

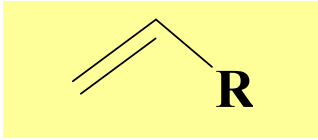
# ***Metal-Catalyzed Polymerization***

***Pedro T. Gomes***

# ***Metal-Catalyzed Polymerization*** ***(Coordination Polymerization)***

- *Polymerization of Olefins (Insertion)* ✓ ***Prof. Barbara Milani***
- ***Polymerization of Dienes (Insertion)***
- ***Polymerization of Alkynes***
- ***Ring Opening Metathesis Polymerization (ROMP)***
- ***Classical Anionic Polymerization***
- ***Ring Opening Polymerization (ROP)***
- ***Metal-mediated Radical Polymerization***

# POLYMERIZATION OF VINYL MONOMERS



## TYPES OF POLYMERIZATION

- RADICAL
- CATIONIC
- ANIONIC
- COORDINATION

initiators: ROOR, ROOH, R-N≡N-R  
initiators: Brønsted acids, Lewis acids, stable cations  
initiators: alkyl or aryl lithium or sodium compounds, sodium  
catalysts: Ziegler-Natta, **metallocenes**, **post-metallocenic**  
(the only method that homo- and copolymerizes propylene and  $\alpha$ -olefins)

## GENERAL MECHANISM

### Chain Growth

- INITIATION
- PROPAGATION
- CHAIN TRANSFER
- TERMINATION

common to all types

they can be suppressed when the polymerization is “controlled”

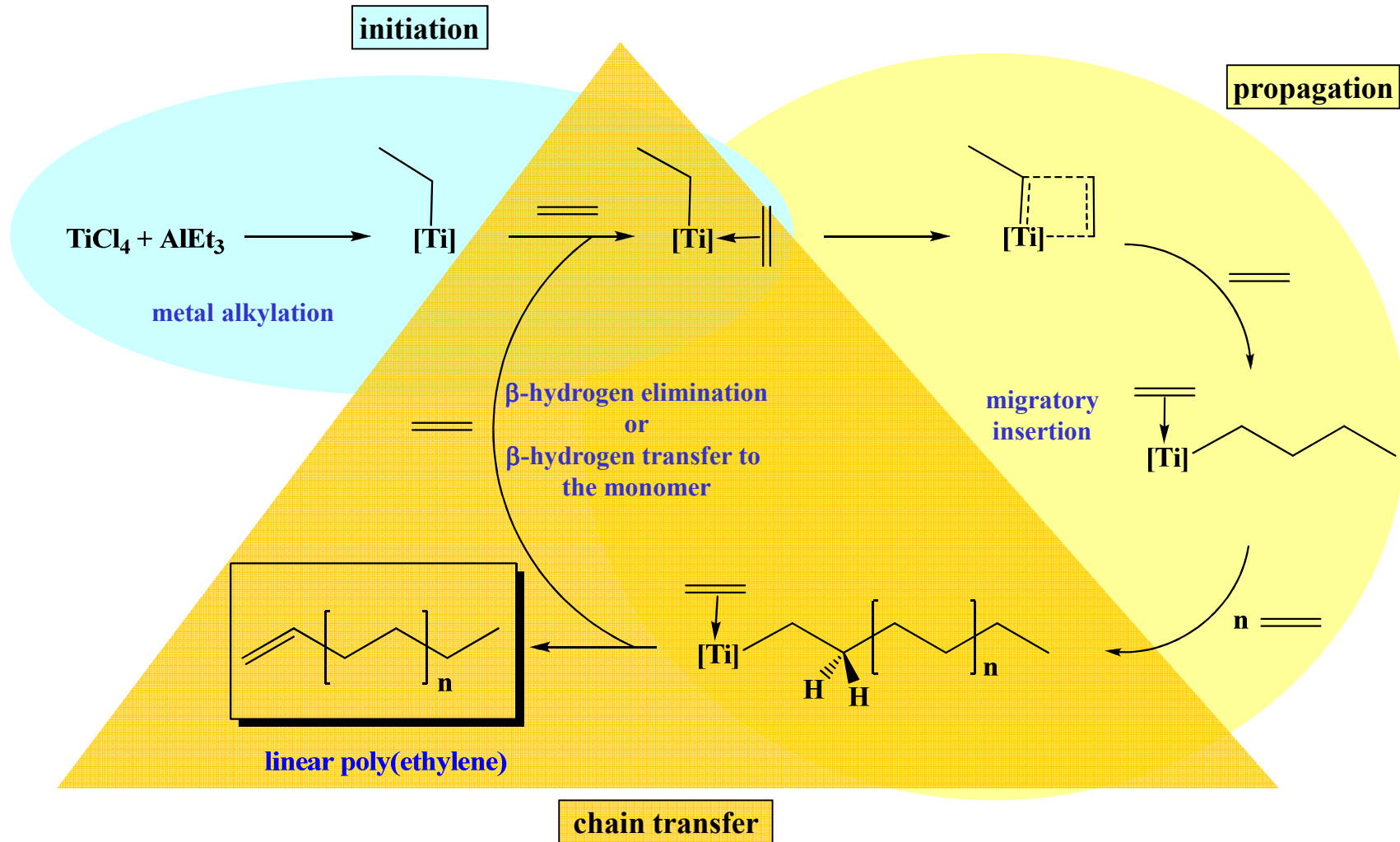
↓  
“Living” polymerization



	IA 1	IIA 2	Metals										IIIB 13	IVB 14	VB 15	VIB 16	VII B 17	VIII 18
1	H 1	Be 4	Metals alcalino-terrosos										B 5	C 6	N 7	O 8	F 9	He 2
2	Li 3	Be 4	Metals										Al 13	Si 14	P 15	S 16	Cl 17	Ne 10
3	Na 11	Mg 12	IIIA 13	IVA 14	VA 15	VIA 16	VIIA 17	VIIIA 8	VIIIA 9	VIIIA 10	IB 11	IIB 12	Al 13	Si 14	P 15	S 16	Cl 17	Ar 18
4	K 19	Ca 20	Sc 21	Ti 22	V 23	Cr 24	Mn 25	Fe 26	Co 27	Ni 28	Cu 29	Zn 30	Ga 31	Ge 32	As 33	Se 34	Br 35	Kr 36
5	Rb 37	Sr 38	Y 39	Zr 40	Nb 41	Mo 42	Tc 43	Ru 44	Rh 45	Pd 46	Ag 47	Cd 48	In 49	Sn 50	Sb 51	Te 52	I 53	Xe 54
6	Cs 55	Ba 56	La 57	Hf 72	Ta 73	W 74	Re 75	Os 76	Ir 77	Pt 78	Au 79	Hg 80	Tl 81	Pb 82	Bi 83	Po 84	At 85	Rn 86
7	Fr 87	Ra 88	Ac 89	Rf 104	Db 105	Sg 106	Metals de transição					Metalóides Halogénios Gases nobres						
Lantanídeos		Ce 58	Pr 59	Nd 60	Pm 61	Sm 62	Eu 63	Gd 64	Tb 65	Dy 66	Ho 67	Er 68	Tm 69	Yb 70	Lu 71			
Actinídeos		Th 90	Pa 91	U 92	Np 93	Pu 94	Am 95	Cm 96	Bk 97	Cf 98	Es 99	Fm 100	Md 101	No 102	Lr 103			

By analogy with homogeneous metallocene catalysts

# Mechanism of Olefin Polymerization with Ziegler-Natta Catalysts



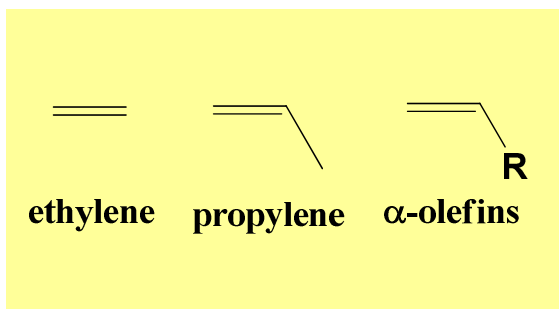
$[\text{Ti}] \equiv$  heterogeneous metal site (it can also be a metallocene or a post-metallocene)

# POLYOLEFINS

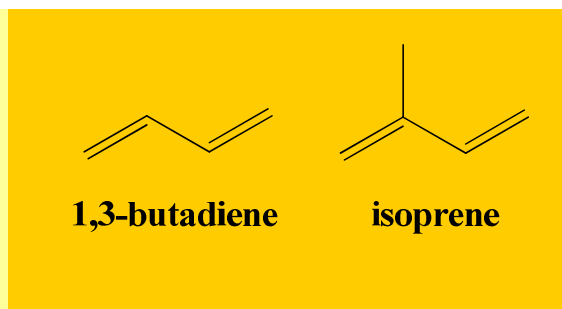
Olefin = unsaturated hydrocarbon = Alkene

## Typical Olefin Monomers:

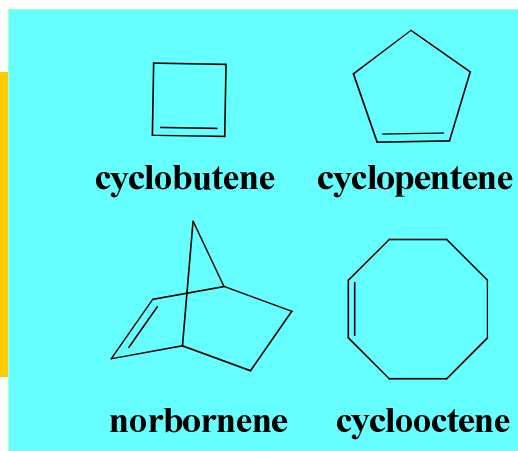
Aliphatic monoolefins ( $C_nH_{2n}$ ):



Aliphatic diolefins ( $C_nH_{2n-2}$ ):



Cycloolefins ( $C_nH_{2n-2}$ ):



**PLASTICS**  
("COMMODITY")

**ELASTOMERS**

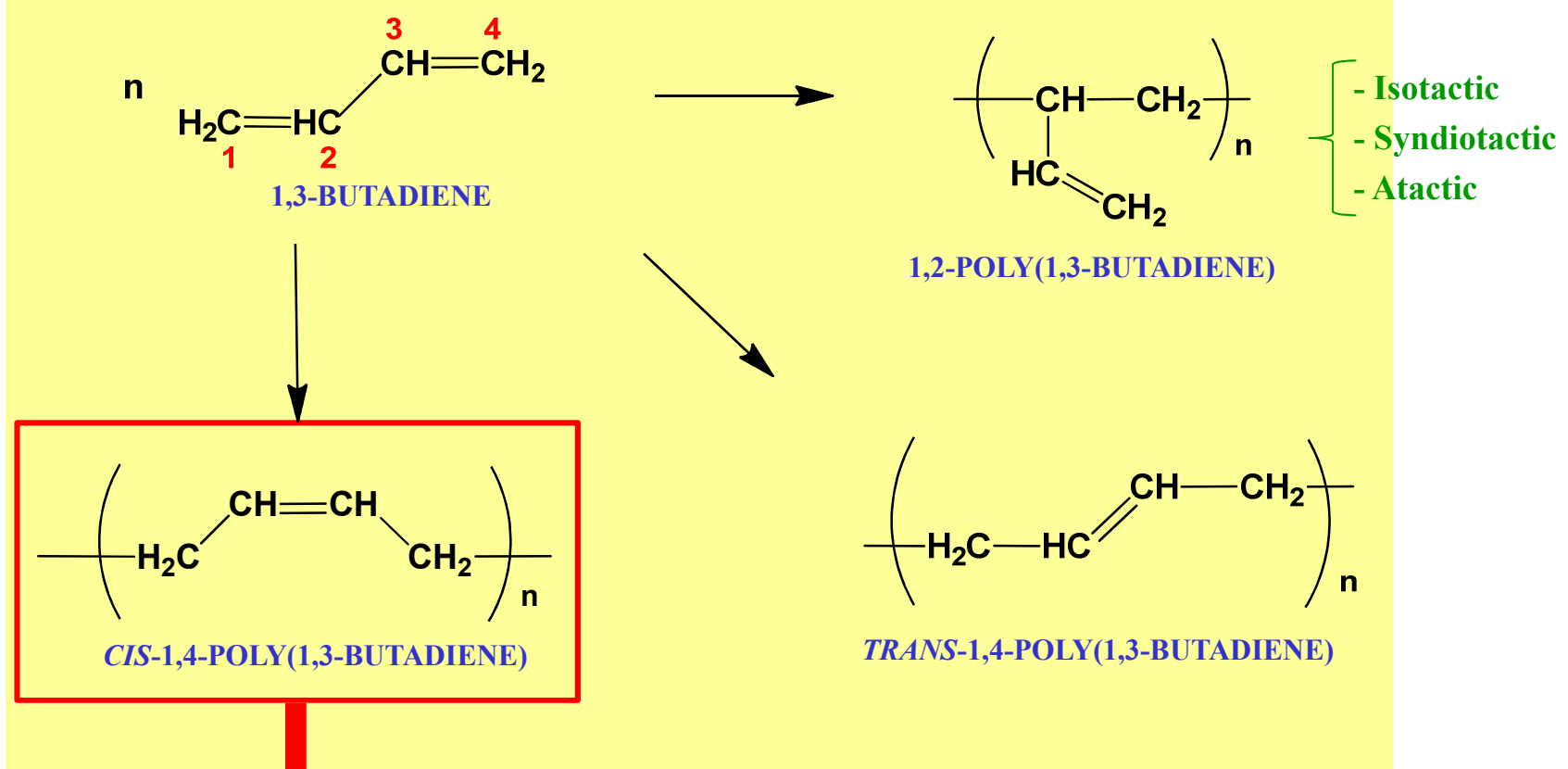
**SPECIALTY POLYMERS**

# ***Metal-Catalyzed Polymerization*** ***(Coordination Polymerization)***

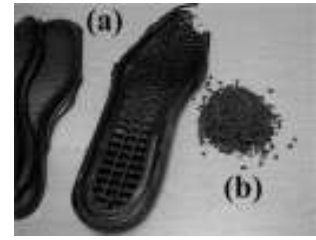
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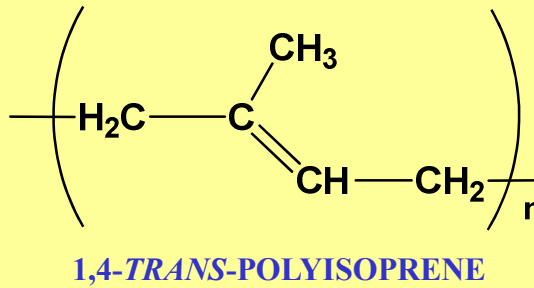
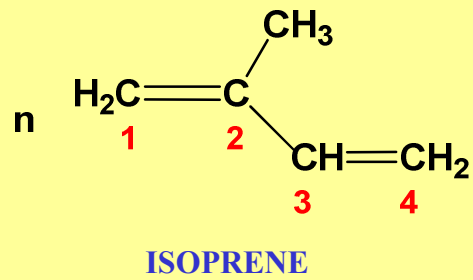


# POLYMERIZATION OF DIENES



SYNTHETIC RUBBER



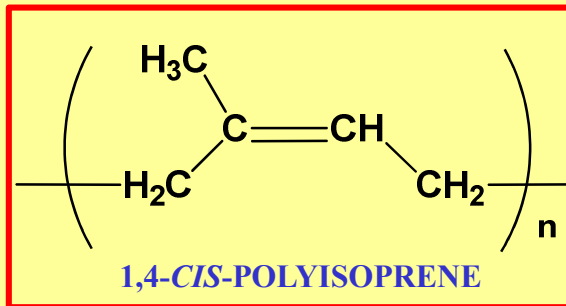


From natural sources:

**GUTTA-PERCHA**

- Hard material:
- Insulator
  - Old golf balls

polymers with low percentage  
of 1,2 and 3,4 units



From natural sources:

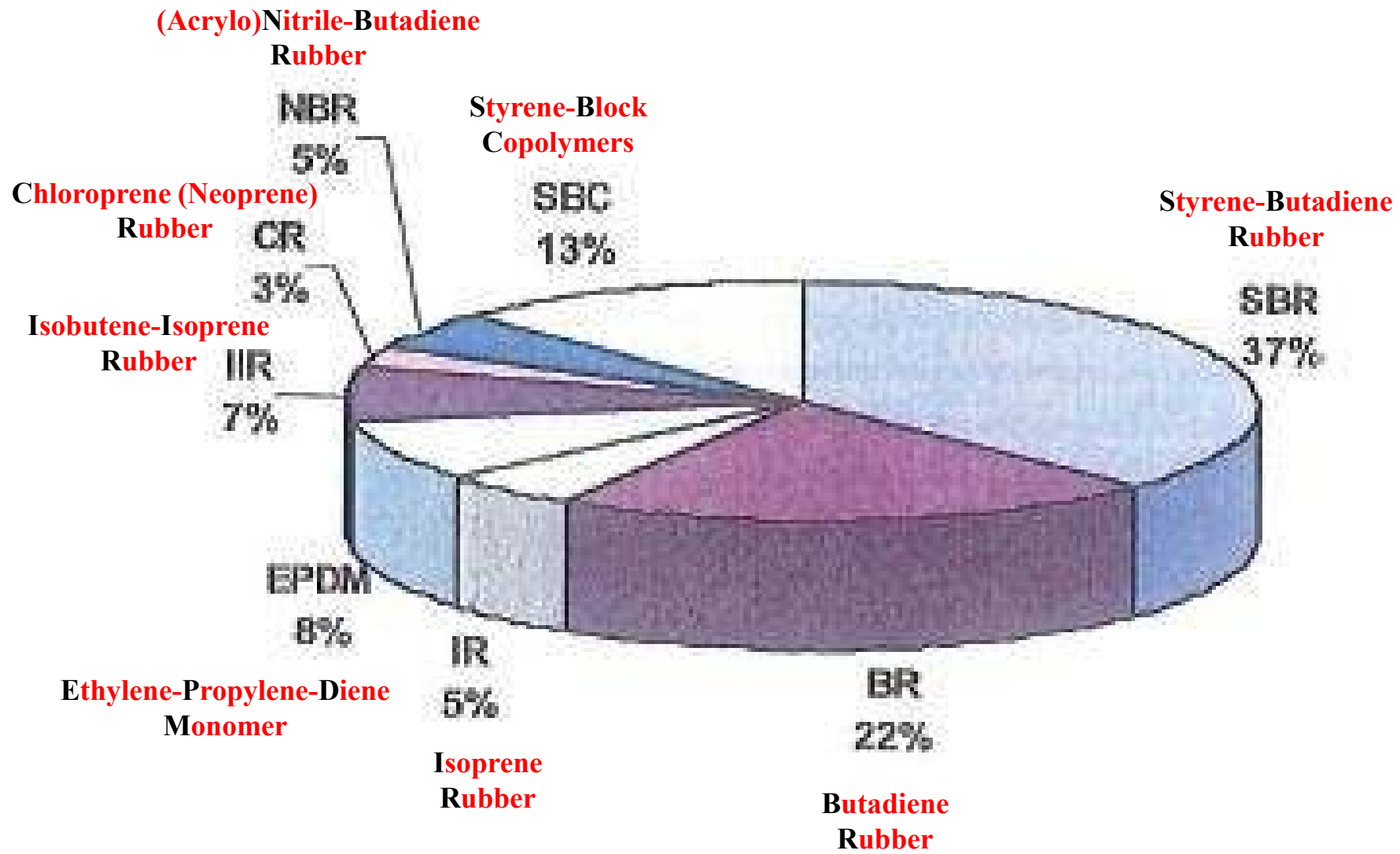
**NATURAL  
RUBBER**

- *Hevea Brasiliensis*
- *Guayule*

**SYNTHETIC  
NATURAL  
RUBBER**



# SYNTHETIC RUBBER MARKET



## STEREOREGULAR ELASTOMERS

**1,4-CIS-POLYBUTADIENE**

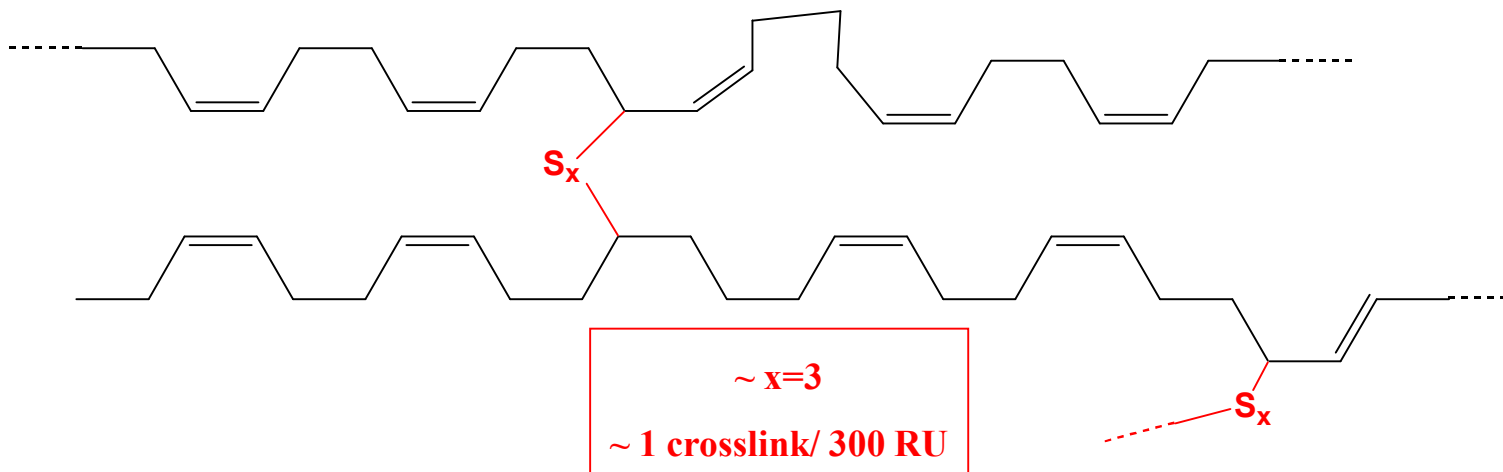
**~3.2 Mton**

**1,4-CIS-POLYISOPRENE**

**~0.75 Mton**

Production depends on the **NATURAL RUBBER** market

**~10.3 Mton**



**MACROMOLECULAR NETWORK**

# PolyButadiene Rubber World Producers

Company	Annual Capacity (thousand of metric tons)	% of World Capacity
LANXESS	488	15,4
<b>Sinopec</b>	<b>390</b>	<b>12,3</b>
Goodyear	265	8,4
Korea Kumho Petrochemicals	222	7,0
UBE Industries	173	5,5
<b>PetroChina</b>	<b>160</b>	<b>5,1</b>
<b>Polimeri Europa</b>	<b>160</b>	<b>5,1</b>
Firestone Polymers	150	4,7
Voronezhsynthiezkauchuk	141	4,5
others	1010	32,0
<i>Total</i>	3159	100

North America	Central and South America	Western Europe	Central and Western Europe	Asia*	Middle East/ Africa/Oceania	Total
755	93	355	421	1520	45	3159

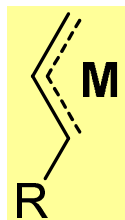
\*China, Taiwan, India, Japan, Korea, Thailandia

## STEREOSPECIFIC CATALYSTS - POLYBUTADIENE

### • ZIEGLER-NATTA CATALYSTS

<b><u>High content (&gt;90%) in:</u></b>		
<b>1,4-cis</b>	<b>1,4-trans</b>	<b>1,2 (syndiotactic)</b>
TiI <sub>4</sub> + Al( <i>i</i> Bu) <sub>3</sub> (1:4-5), 30 °C	γ-TiCl <sub>3</sub> + AlEt <sub>3</sub>	Ti(OR) <sub>4</sub> + AlEt <sub>3</sub> (1:7), 15 °C
CoCl <sub>2</sub> + Al <sub>2</sub> Cl <sub>3</sub> Et <sub>3</sub> (1:1000), 5 °C	VCl <sub>3</sub> + AlEt <sub>3</sub> (1:2), 15 °C	V(acac) <sub>3</sub> + AlEt <sub>3</sub> (1:6-10), 15 °C
Co(acac) <sub>2</sub> + AlEt <sub>2</sub> Cl + H <sub>2</sub> O (branched polymer)	VCl <sub>4</sub> + AlEt <sub>3</sub> (1:1.8), 15 °C	Cr(C <sub>6</sub> H <sub>5</sub> CN) + AlEt <sub>3</sub> (1:2) Cr(C <sub>6</sub> H <sub>5</sub> CN) + AlEt <sub>3</sub> (1:10) (isot.)
Ni(octanoate) <sub>2</sub> + AlEt <sub>3</sub> + BF <sub>3</sub> ·OEt <sub>2</sub> (1:17:15), 50 °C	V(acac) <sub>3</sub> + AlEt <sub>2</sub> Cl + Cl <sub>3</sub> CCO <sub>2</sub> H, 80 °C	Co(acac) <sub>3</sub> + AlEt <sub>3</sub> (1:50), 16 °C
U(OR) <sub>4</sub> + AlEt <sub>2</sub> Cl	VOCl <sub>3</sub> + AlEt <sub>3</sub>	Co(acac) <sub>3</sub> + AlEt <sub>3</sub> + H <sub>2</sub> O + CS <sub>2</sub>
Nd(neodecanoate) <sub>3</sub> + AlR <sub>2</sub> Cl + AlR <sub>3</sub> , 60 °C	V(acac) <sub>3</sub> + MAO (1:1000)	

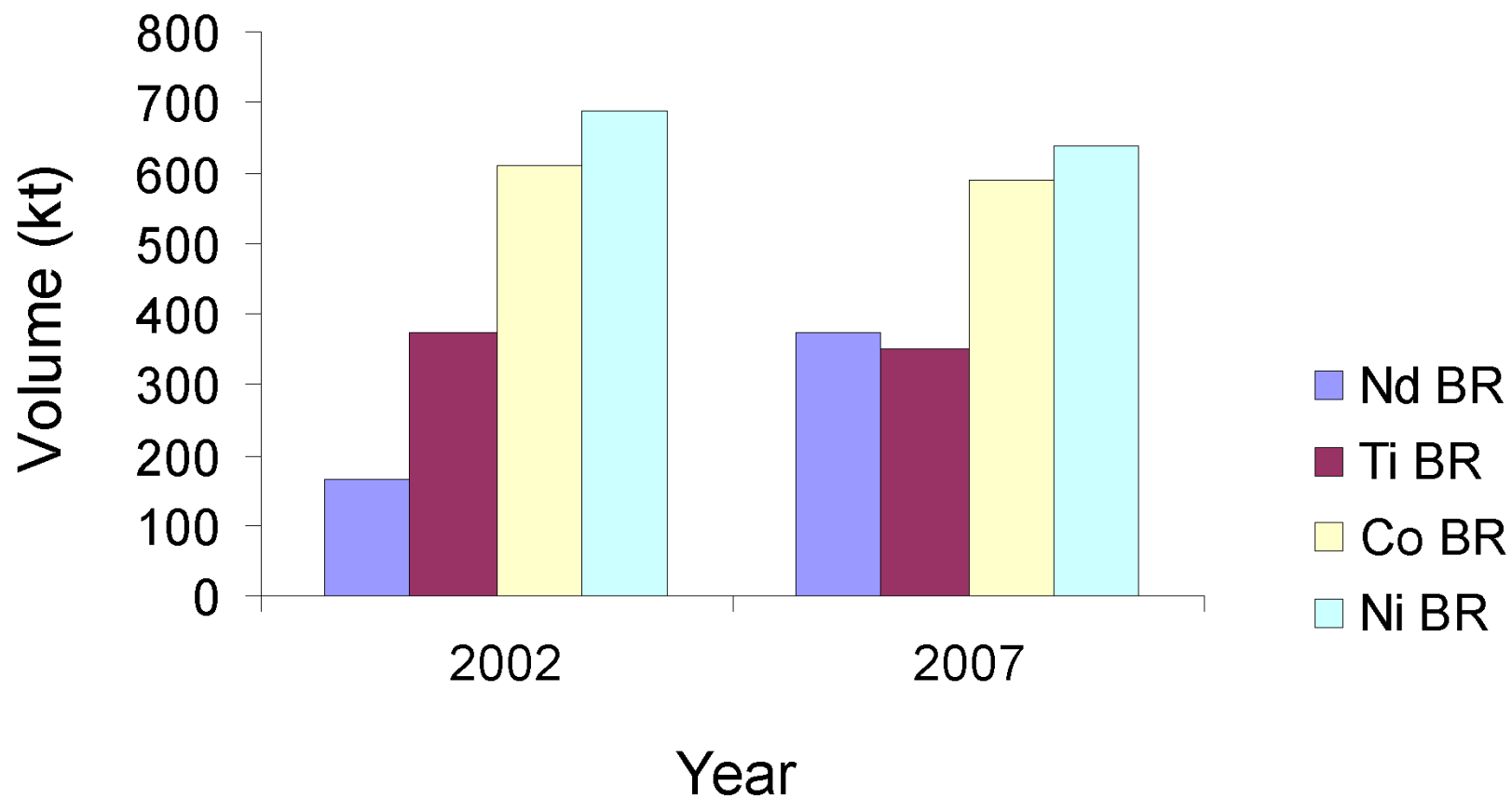
### • ALLYL METAL CATALYSTS (without Alkyl Aluminium cocatalyst)



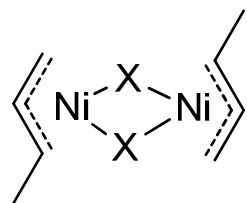
M= Cr, Co, Nb, W, Rh, U, Ni

# Catalysts for high *cis*-1,4 Polybutadiene

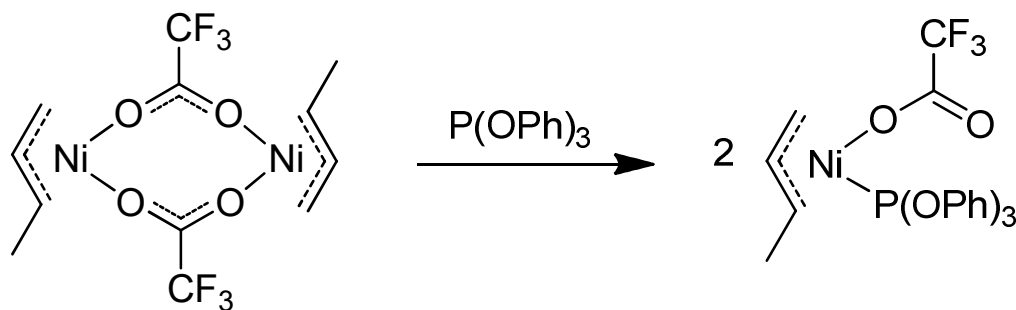
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## EXAMPLES OF ALLYL NICKEL STEREOSPECIFIC CATALYSTS



<b>X</b>	<b>1,4-<i>cis</i></b>	<b>1,4-<i>trans</i></b>	<b>1,2</b>
<b>Cl</b>	<b>92</b>	<b>6</b>	<b>2</b>
<b>Br</b>	<b>72</b>	<b>25</b>	<b>3</b>
<b>I</b>	<b>0</b>	<b>97</b>	<b>3</b>

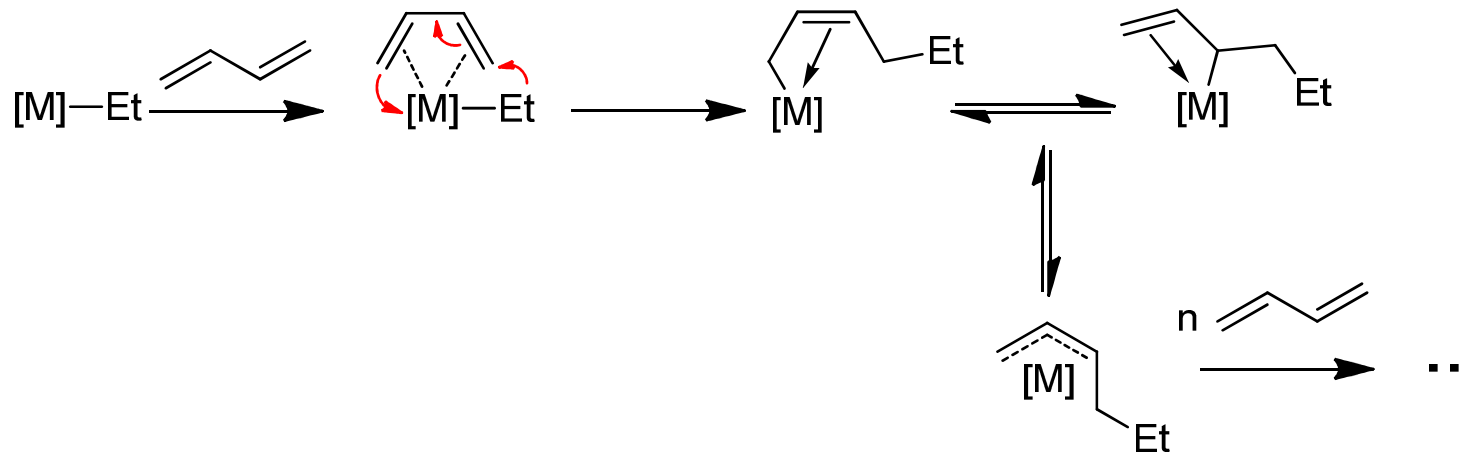


<b>1,4-<i>cis</i></b>	<b>97</b>	<b>0</b>
<b>1,4-<i>trans</i></b>	<b>2</b>	<b>96</b>
<b>1,2</b>	<b>1</b>	<b>4</b>

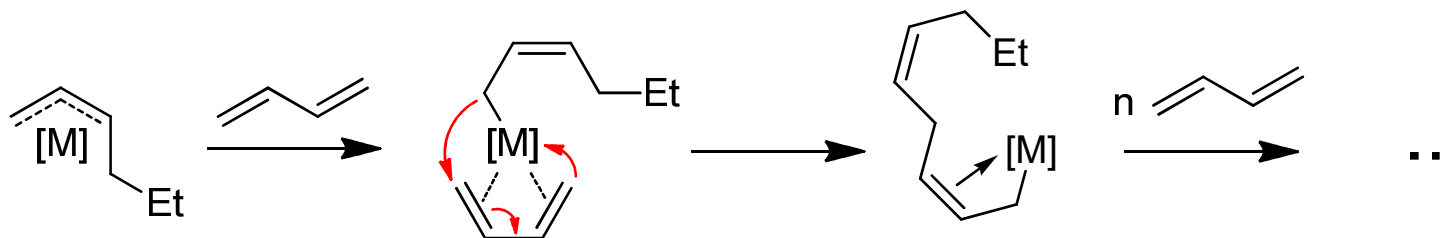


## STEREOREGULATION MECHANISM

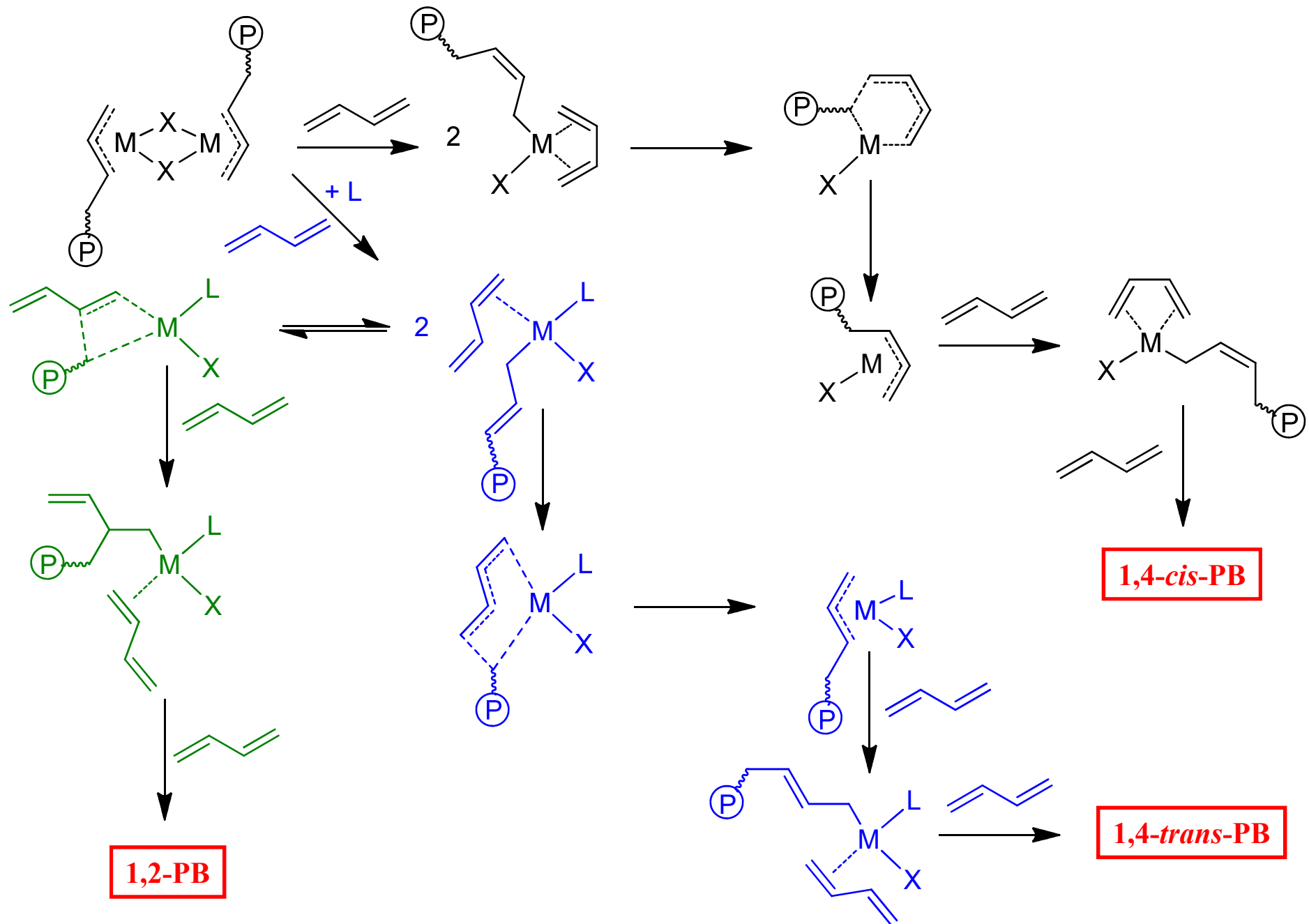
### • INITIATION (Z-N CATALYSTS)



### • PROPAGATION



# STEREOREGULATION MECHANISM



**STEREOSPECIFIC CATALYSTS - POLYISOPRENE**

**• ZIEGLER-NATTA CATALYSTS**

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<b><u>High content (&gt;90%) in:</u></b>		
<b>1,4-cis</b>	<b>1,4-trans</b>	<b>3,4</b>
$\text{TiCl}_4 + \text{AlEt}_3 \text{ (Al/Ti > 1)}$	$\text{TiCl}_4 + \text{AlEt}_3 \text{ (Al/Ti < 1)}$	$\text{Ti(OR)}_4 + \text{AlEt}_3$
	$\alpha\text{-TiCl}_3 + \text{AlR}_3$	
	$\text{VCl}_3 + \text{AlEt}_3$	

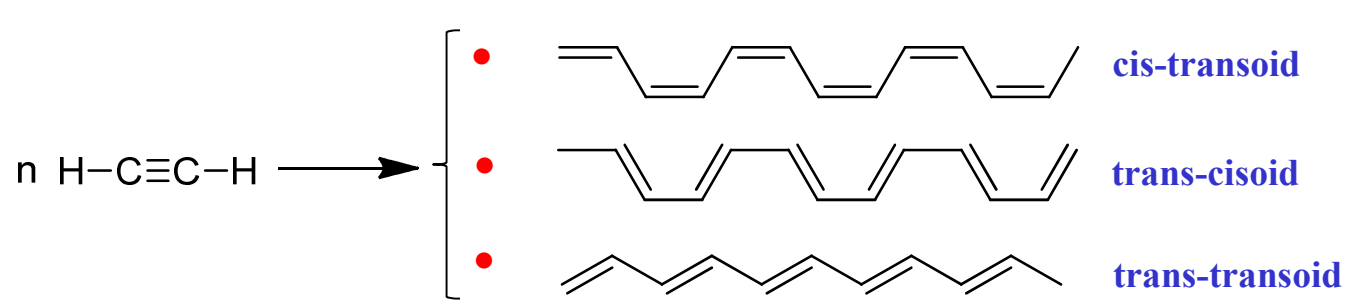
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# POLYMERIZATION OF ALKYNES

## (POLYMERIZATION OF ACETYLENE)



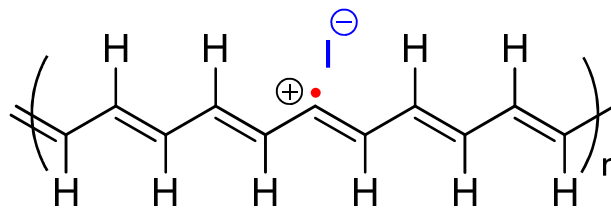
**cis**  
**insulator**  
 $(\sigma = 4 \times 10^{-9} \Omega^{-1} \text{ cm}^{-1})$

**trans**  
**semiconductor**  
 $(\sigma = 9 \times 10^{-5} \Omega^{-1} \text{ cm}^{-1})$

↓  
**doped with oxidants (1977)**  
 (ex:  $\text{I}_2$ )

**Au** ( $\sigma = 2.4 \times 10^4$ )  
**Cu** ( $\sigma = 7.2 \times 10^4$ )

**conductor**  
 $\sigma = 1.5 \times 10^3 \Omega^{-1} \text{ cm}^{-1}$



**CONDUCTION**  
(?)

- Intermolecular
- Interchain
- Intergrain

## POLYACETYLENE

- Insoluble (in organic solvents)
- Unstable in air (double bonds oxidation)
- Bad mechanical properties (poorly processable)

the objective was to make conducting films

### • SYNTHESIS - CATALYSTS

- $\text{Ti}(\text{OBu})_4 + \text{AlEt}_3$  (Natta, 1958)
- $\text{Ti}(\text{OBu})_4 + \text{AlEt}_3$  (Al/Ti  $\sim$  4) (Shirakawa, 1974)      **good films when  $[\text{Ti}] < 10^{-3}$  M**
- $\text{Ti}(\text{OBu})_4 + \text{LiBu}$  (Li/Ti  $\sim$  2)      **high trans %**
- $\text{MoCl}_5 + \text{SnPh}_4$
- $\text{WCl}_6 + \text{SnPh}_4$
- $\text{NiX}_2(\text{PR}_3)_2$  (X= Cl, Br, I)      **high trans %**

# POLYACETYLENE

- **BASF METHOD** (best commercial polyacetylene)

-  $\text{Ti}(\text{OBu})_4 + \text{AlEt}_3$  (Al/Ti  $\sim 4$ )

-  $\text{Ti}(\text{OBu})_4 + \text{LiBu}$  (Li/Ti  $\sim 2$ )

**SOLVENT:**

**very viscous silicone**

↓  
Polymers with lower content  
of  $sp_3$  defects

↓  
Better morphological properties  
(better oriented fibres)

Films produced on HDPE or PP supports

↓  
Stretching  $7\times$  the original length

Highly oriented transparent films

↓  
Doping with  $\text{I}_2$

Ti/Al catalyst:  $\sigma = 2 \times 10^4 \Omega^{-1} \text{cm}^{-1}$  (20  $\mu\text{m}$  film)  
 $\sigma = 8 \times 10^3 \Omega^{-1} \text{cm}^{-1}$  (0.1  $\mu\text{m}$  film)

Ti/Li catalyst:  $\sigma \sim 10^5 \Omega^{-1} \text{cm}^{-1}$

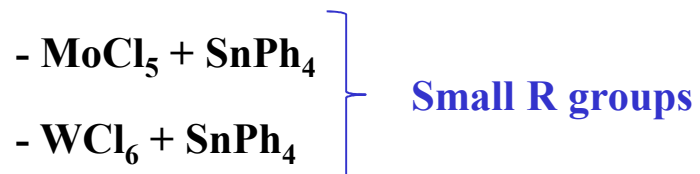
**BASF abandoned  
this process with the  
appearance of new  
conducting polymers  
(more stable and  
processable)**

## POLYMERIZATION OF SUBSTITUTED ACETYLENES



- POLYMERS: {
- More soluble (in organic solvents)
  - More stable in air
  - The majority are electric insulators

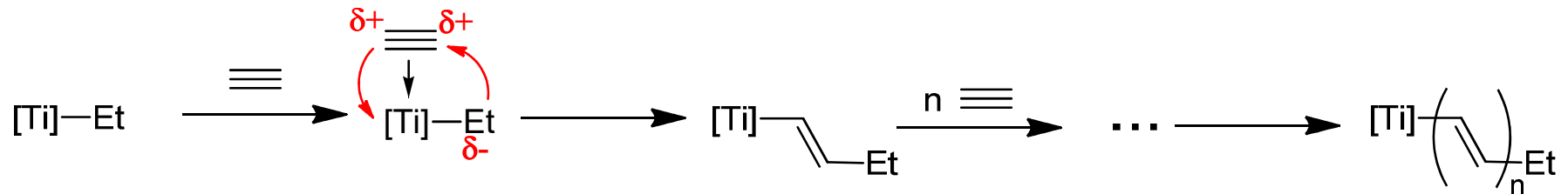
### • CATALYSTS



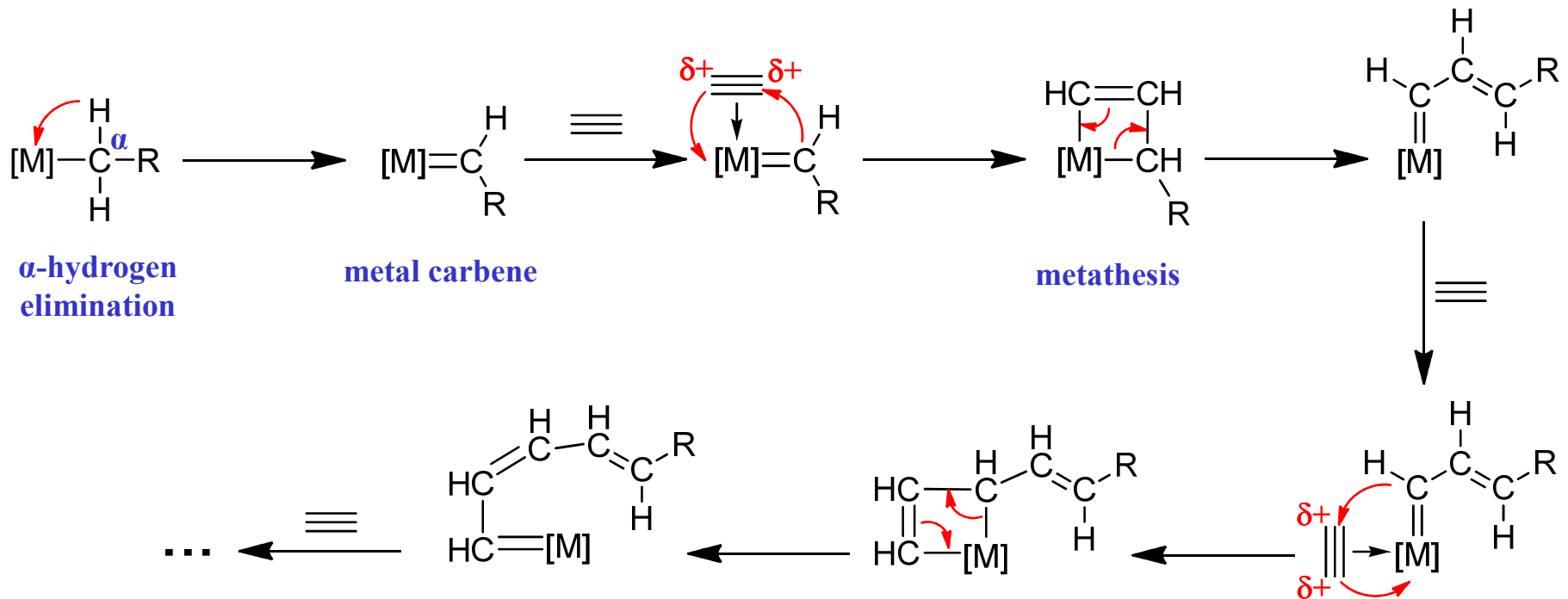


## MECHANISM

### • Ti ZIEGLER-NATTA CATALYSTS (insertion mechanism)



### • Mo, W, Nb, Ta (Groups 5 and 6) ZIEGLER-NATTA CATALYSTS (metathesis mechanism ??)



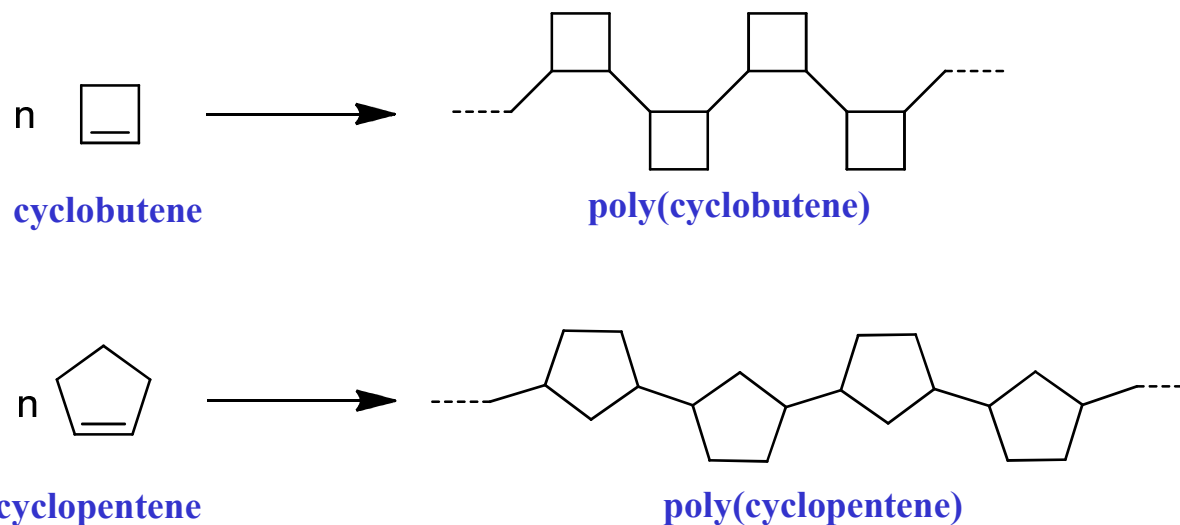
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# RING-OPENING METATHESIS POLYMERIZATION (ROMP)

**MONOMERS:** Cycloolefins and Cycloalkynes

When Ziegler-Natta or metallocene catalysts based on Group 4 metals (Ti, Zr, Hf) or post-metallocene catalysts are used the polymerization occurs by **Insertion (or Vinyl-addition) Polymerization**:

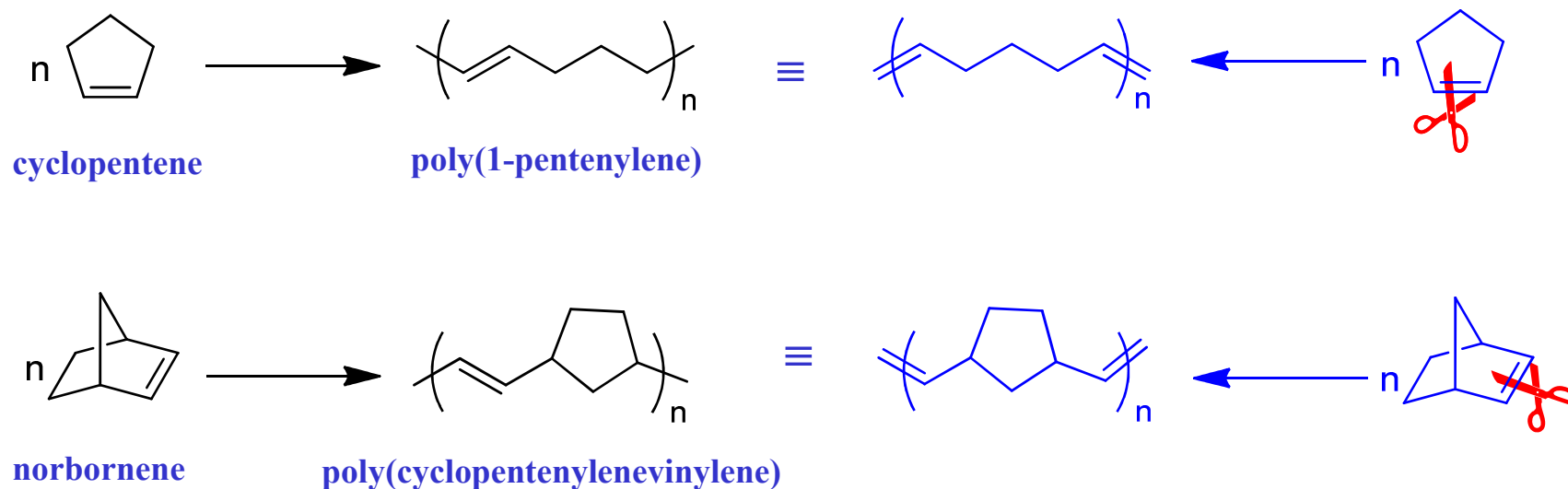


- The polymers do not have double bonds in the main chain
- Very rigid polymers (high melting temperatures)
- May copolymerize with linear  $\alpha$ -olefins (metallocene catalysts) to give amorphous copolymers

**BUT...**

## RING-OPENING METATHESIS POLYMERIZATION (ROMP)

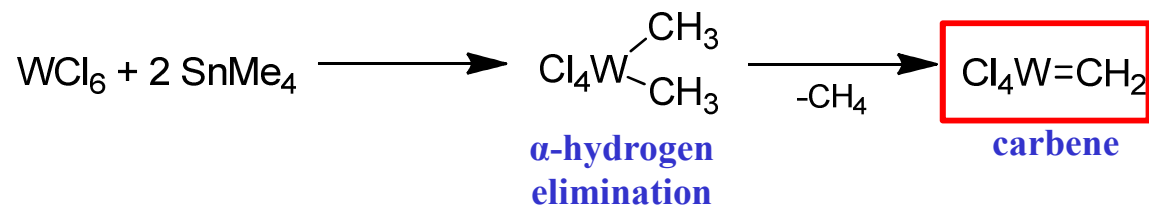
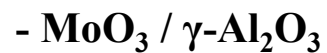
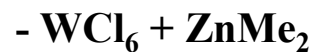
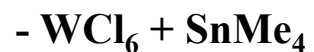
When Ziegler-Natta catalysts based on Group 6 metal (Mo, W) or metal carbene catalysts are used the polymerization occurs by **Ring-Opening Methathesis Polymerization**:



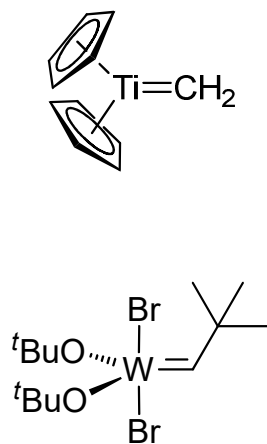
- The **monomer double bond is retained** in the polymer main chain
- The polymerization has a **living** character
- Easy **block-copolymerization**
- **Ring tension favours ROMP** (thermodynamically)

## ROMP CATALYSTS

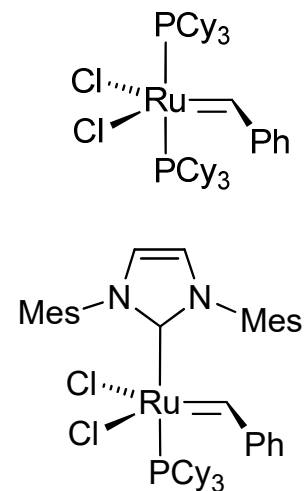
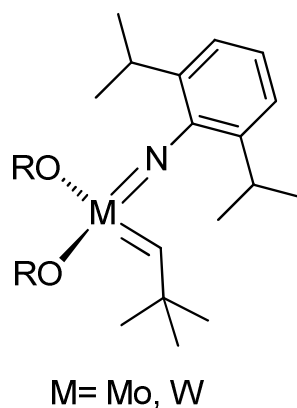
### • ZIEGLER-NATTA CATALYSTS



### • WELL-DEFINED CARBENE CATALYSTS



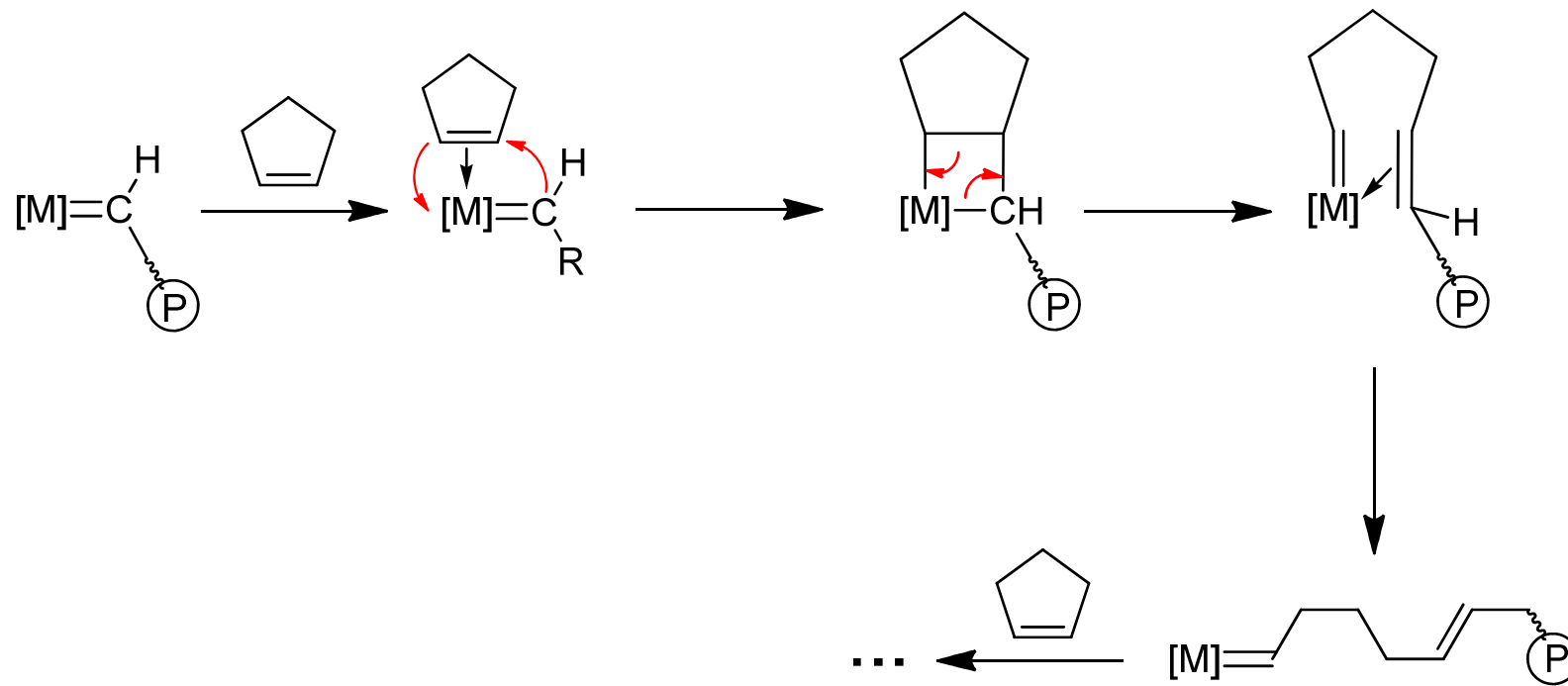
Schrock type catalysts



Grubbs type catalysts

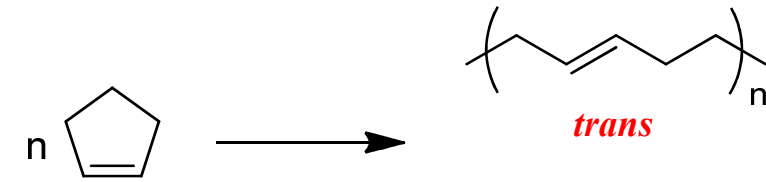
# MECHANISM

## • PROPAGATION



Living polymerization

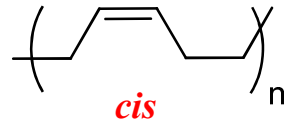
## ASSORTED EXAMPLES OF ROMP



cyclopentene

*trans*

**good elastomer**

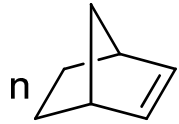


*cis*

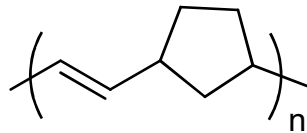
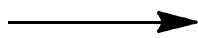
**good elastomer**

- Tires

poly(1-pentenylene)



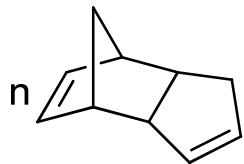
norbornene



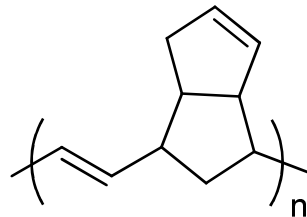
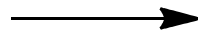
poly(cyclopentenylenevinylene)

**Norsorex<sup>®</sup>**

- Oil superabsorbent (400% elongation)
- Cleaning up oil spills
- Acoustic insulator
- Gaskets
- Anti-vibration material
- Shock absorption material



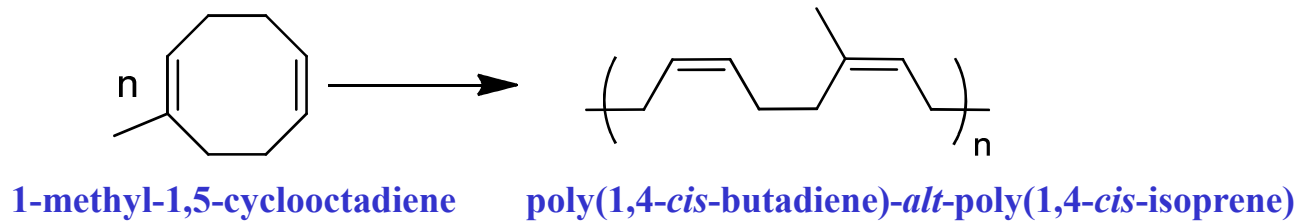
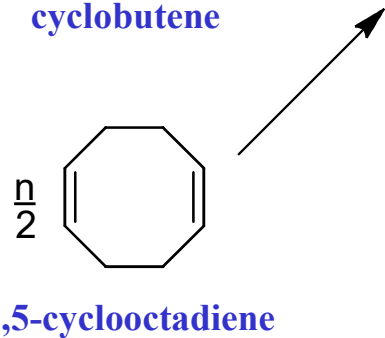
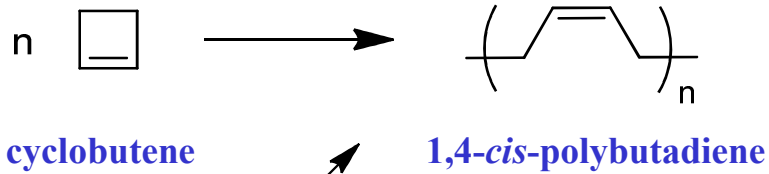
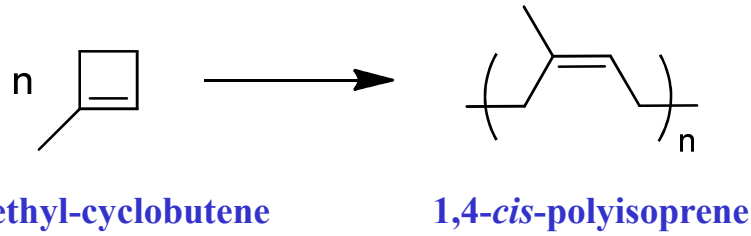
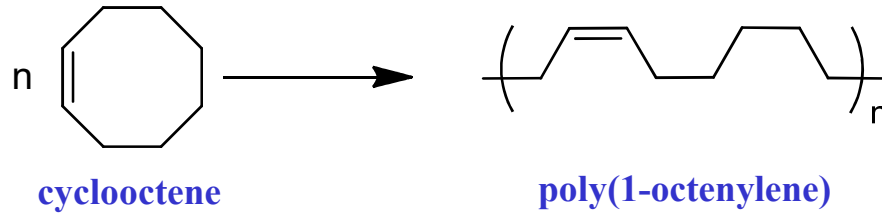
*endo-*  
dicyclopentadiene



poly(dicyclopentadiene)

**Metton<sup>®</sup>**

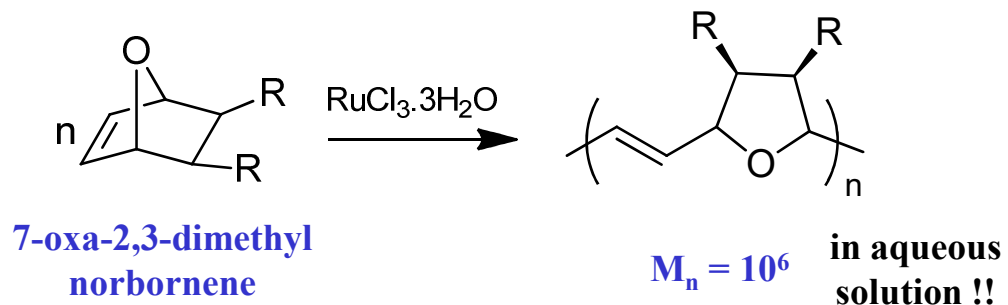
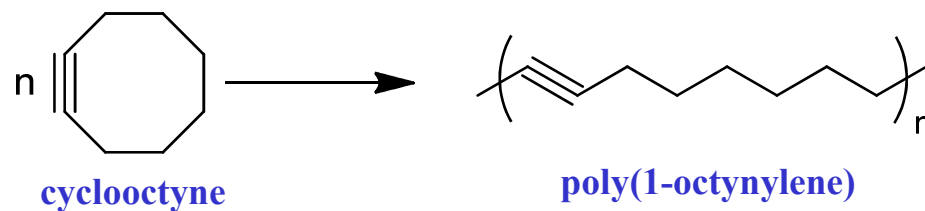
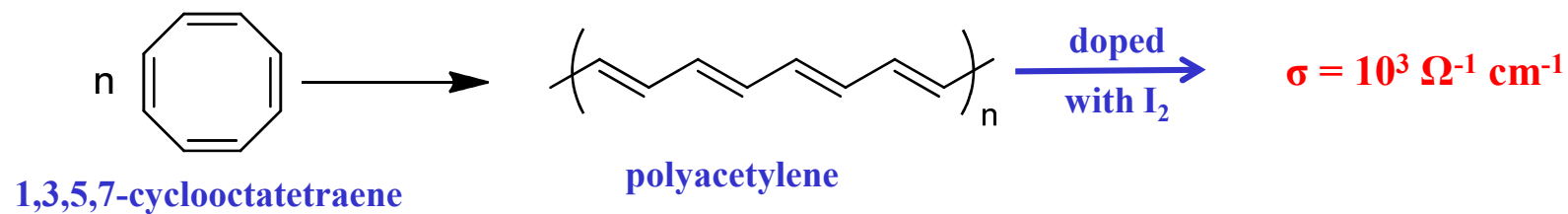
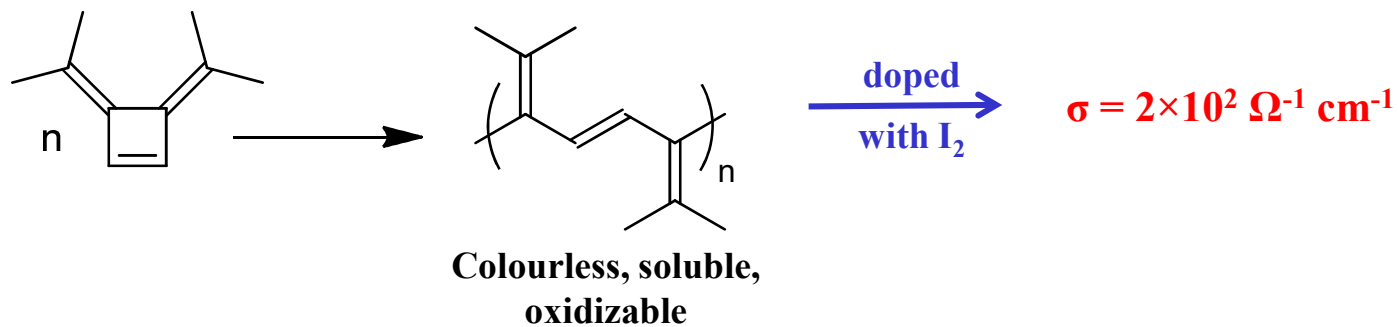
- Commercial engineering plastic for moulding



**Vestenamer<sup>®</sup>**

- Minor component in elastomer blends with SBR for gaskets, brake hoses and printing rollers



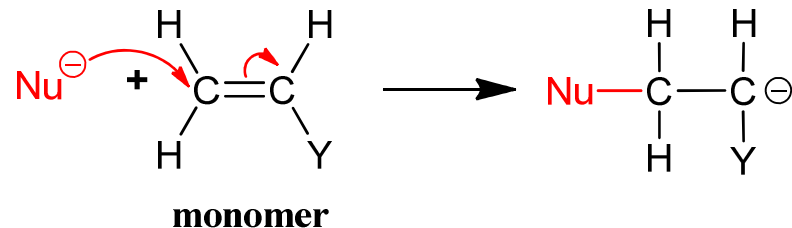


**In general, the coordination polymerization experimental conditions impose **strict absence of  $H_2O$  and  $O_2$****

# ***Metal-Catalyzed Polymerization*** ***(Coordination Polymerization)***

- *Polymerization of Olefins (Insertion)* ✓ ***Prof. Barbara Milani***
- *Polymerization of Dienes (Insertion)*
- *Polymerization of Alkynes*
- *Ring Opening Metathesis Polymerization (ROMP)*
- ***Classical Anionic Polymerization***
- *Ring Opening Polymerization (ROP)*
- *Metal-mediated Radical Polymerization*

## ANIONIC POLYMERIZATION

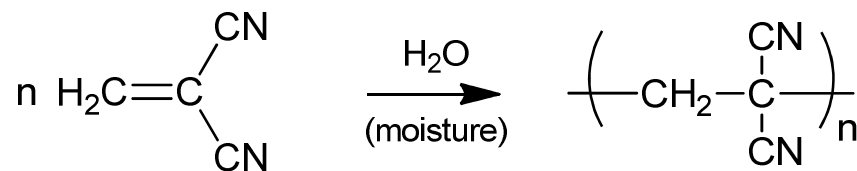
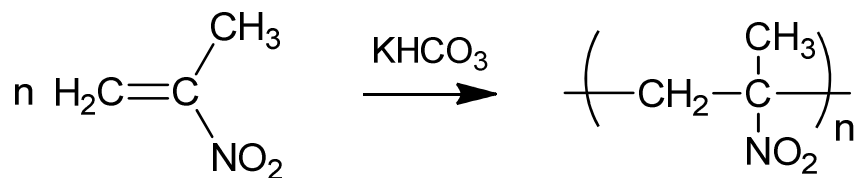


### MONOMERS

**Most reactive monomers:** those containing Y substituents that stabilize carbanions (electron withdrawing by induction and/or resonance). **Exs: nitro, cyano, carboxyl, vinyl, phenyl**

The more electron attractor group Y is, the less need for strong bases in the initiation:

**Exs:**



## ANIONIC INITIATORS

- ADDITION OF A NEGATIVE ION TO THE MONOMER
- ELECTRON TRANSFER TO THE MONOMER

### • INITIATION BY ADDITION OF A NEGATIVE ION TO THE MONOMER

- Organolithium compounds

**Exs:** Li-CH<sub>3</sub> (**LiMe**), Li-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>3</sub> (**LiBu**) (soluble in inert solvents)

- Organometallic compounds of the higher alkali metals (Na, K, Rb,...)

- higher ionic character than those based on Li

- less soluble (generally heterogeneous)

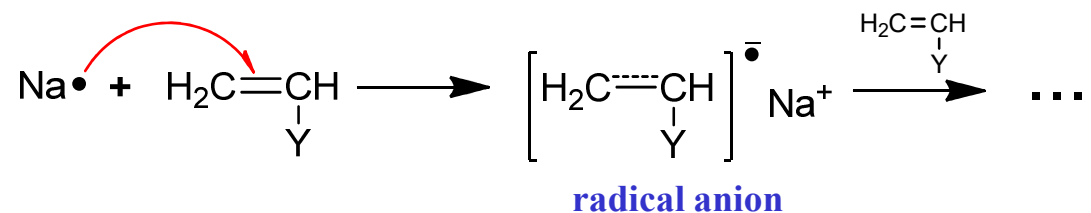
- Organometallic compounds of the alkaline earth metals (Ca, Ba)

- Grignard Reagents (RMgX)

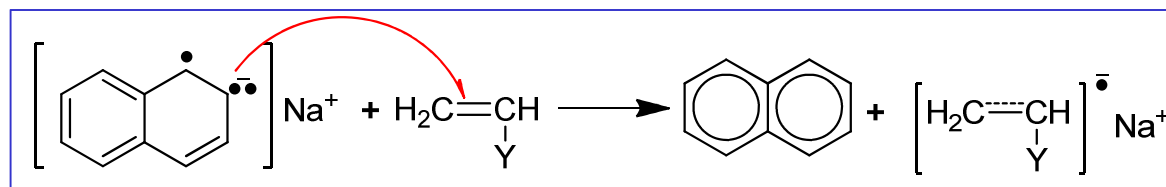
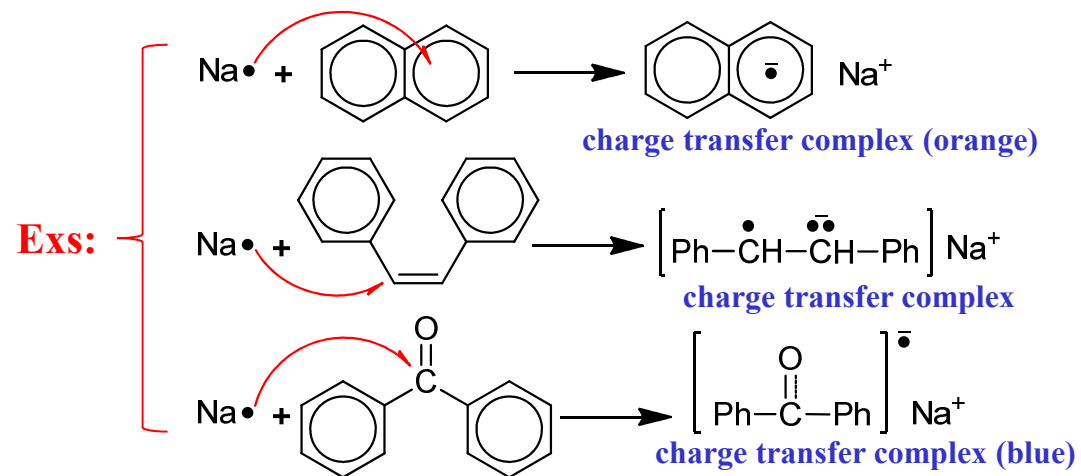
LESS  
USED

• **INITIATION BY ELECTRON TRANSFER TO THE MONOMER**

- **Alkali Metals** {
- in solution (of NH<sub>3</sub> or certain ethers)
  - in suspension (in inert solvents –"sands")
  - supported (in alumina)



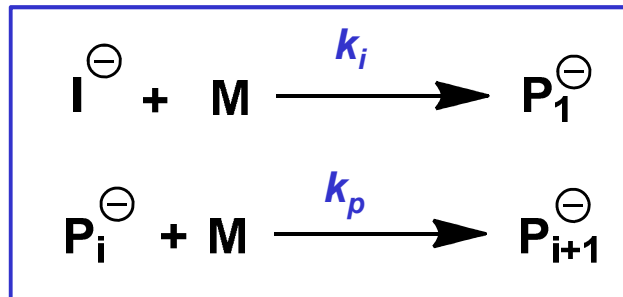
- **Alkali Metal Complexes (soluble in inert solvents)**



## MECHANISM AND KINETICS

### • INITIATION BY ANIONIC SPECIES

**Exs:** Li-CH<sub>3</sub> (LiMe), Li-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>3</sub> (LiBu)



Normally,  $r_i \gg r_p$   $\longrightarrow$  chains grow all at the same time  $\longrightarrow$   $[\text{P}_i^{\ominus}]_0 = [\text{I}]_0$

$$r_p = -\frac{d[\text{M}]}{dt} = k_p [\text{I}]_0 [\text{M}]$$

$$[\text{M}] = [\text{M}]_0 e^{-k_p [\text{I}]_0 t}$$

$$\overline{DP}_n = \frac{\overline{M}_n}{M_{RU}}$$

$$\overline{DP}_n = \bar{x} = \frac{[\text{M}]_0 - [\text{M}]}{[\text{I}]_0} = \frac{p[\text{M}]_0}{[\text{I}]_0}$$

$\overline{DP}_n$  = degree of polymerization

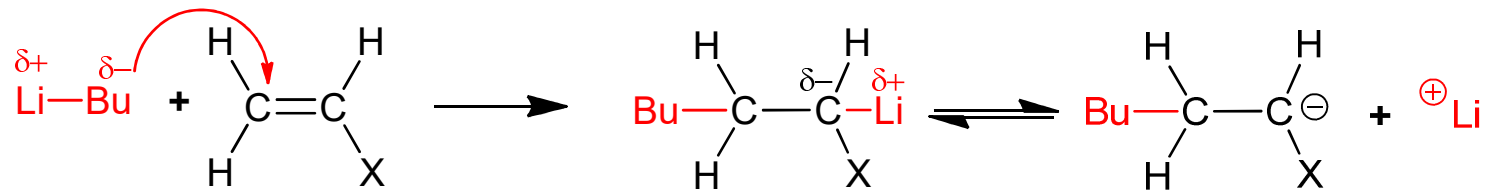
If the monomer is **totally consumed** (conversion = 100%):

$$\overline{DP}_n = \frac{[\text{M}]_0}{[\text{I}]_0}$$

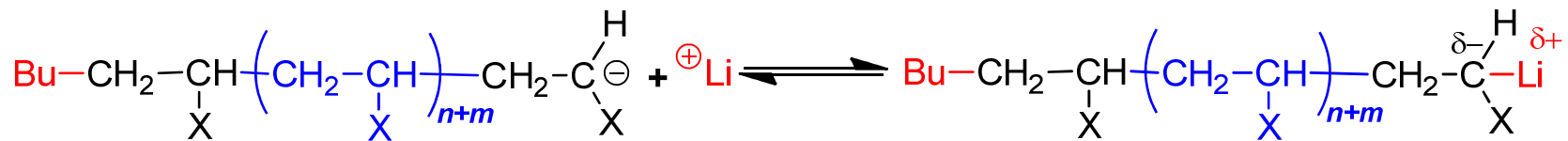
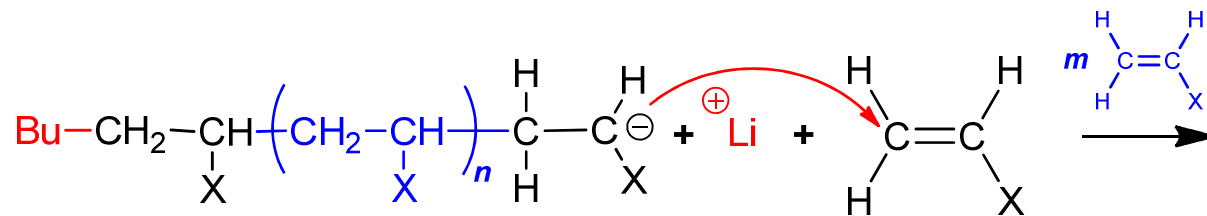
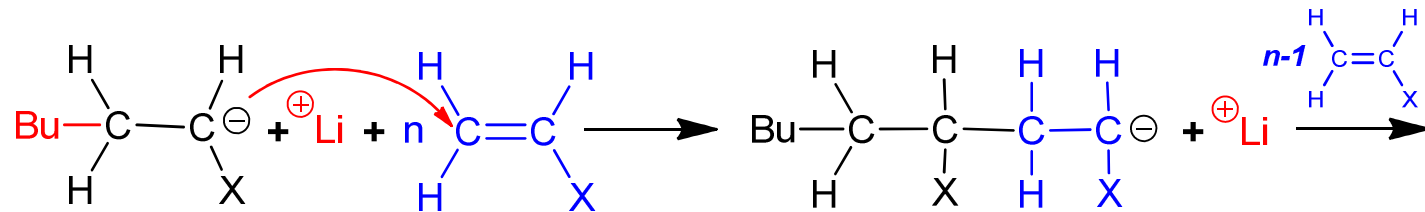
$$\frac{\overline{M}_w}{\overline{M}_n} = 1 + \frac{1}{\overline{DP}_n}$$

Poisson distribution

• Initiation



• Propagation



living polymer

“dormant” species

- If there are no transfer agents in the reaction medium (including impurities in the solvent):



**LIVING POLYMERIZATION**

because there is **no chain termination**



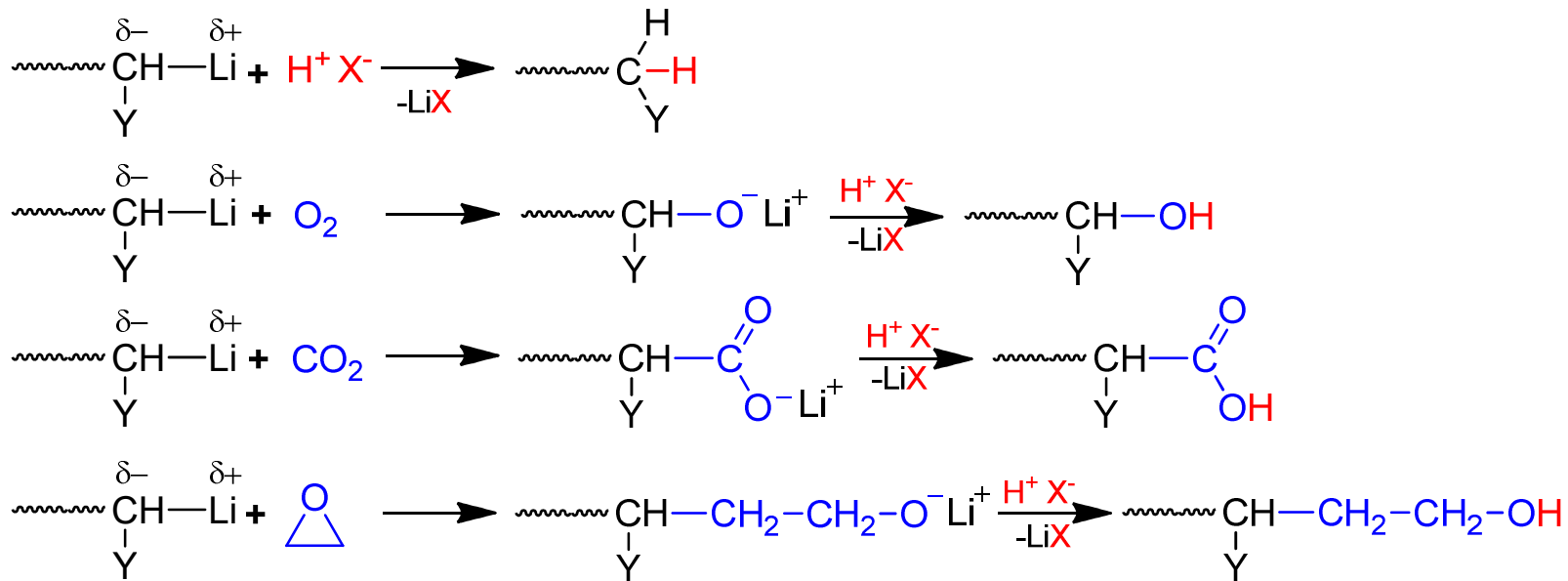
Polymers have a narrow molecular weight distribution i.e.  $M_n/M_w \approx 1$

(e.g. GPC/SEC standards)

- If there is no termination, the chain end is living and can be used for:

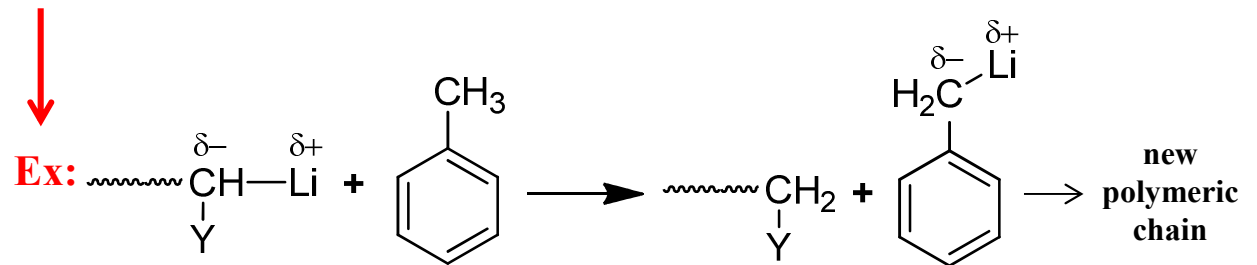
- chain end functionalization
- block copolymerization





- Easy control of the molecular weight:

- Routes** {
- Stoichiometry ( $\overline{DP}_n = \frac{[M]_0}{[I]_0}$ )
  - Termination at time  $t$  with addition of a terminating agent
  - Addition of a chain transfer agent  $\rightarrow$  to decrease  $M_n$



- The **rate of propagation** can be influenced by the degree of association between **anion** and **cation**, which depends strongly on the SOLVENT:

- Non-coordinating and weakly polar solvents (e.g. hydrocarbons)

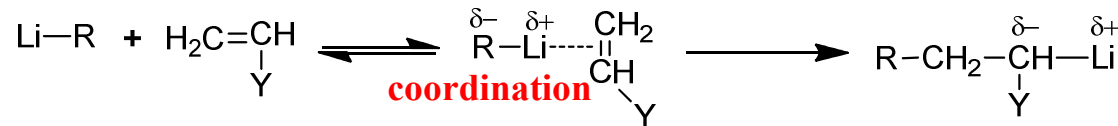
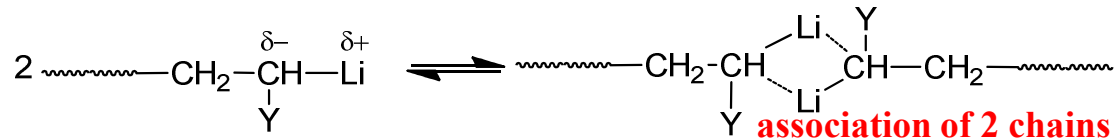


Higher degree of association of the initiator → Lower polymerization rate ( $r_p$ )

- Association of the initiator:



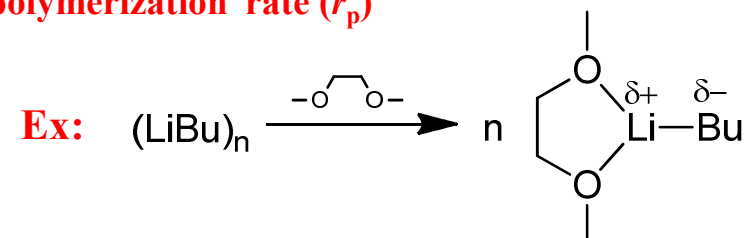
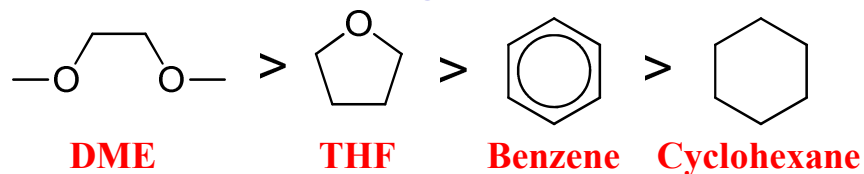
- Association of the propagating chain:



- More coordinating and/or more polar solvents (e.g. ethers)



Lower degree of association and higher solvation → Higher polymerization rate ( $r_p$ )



**TABLE 7.4.** Representative Anionic Propagation Rate Constants,  $k_p$ , for Polystyrene<sup>a</sup>

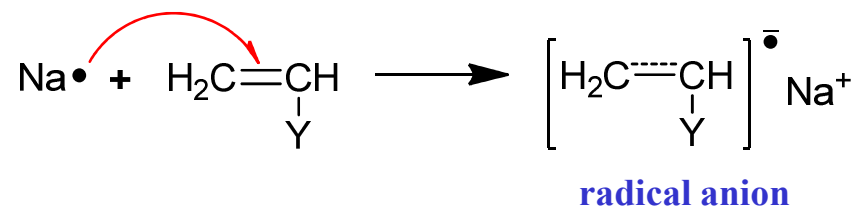
<i>Counterion</i>	<i>Solvent</i>	$k_p$ (L/mol s) <sup>b</sup>
Na <sup>+</sup>	Tetrahydrofuran	80
Na <sup>+</sup>	1,2-Dimethoxyethane	3600
Li <sup>+</sup>	Tetrahydrofuran	160
Li <sup>+</sup>	Benzene	$10^{-3}$ – $10^{-1}$ <sup>c</sup>
Li <sup>+</sup>	Cyclohexane	$(5-100) \times 10^{-5}$ <sup>c</sup>

<sup>a</sup>Data from Morton.<sup>30</sup>

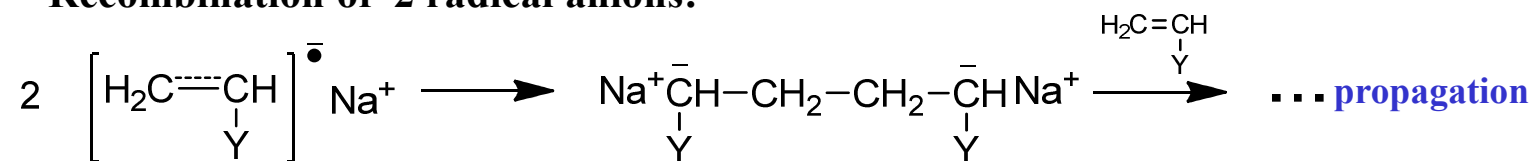
<sup>b</sup>At 25°C unless otherwise noted.

<sup>c</sup>Variable temperature.

• **INITIATION BY ELECTRON TRANSFER**



**Recombination of 2 radical anions:**



**Dianionic chain  
with 2 propagation centres**



**TELECHELIC POLYMERIZATION**

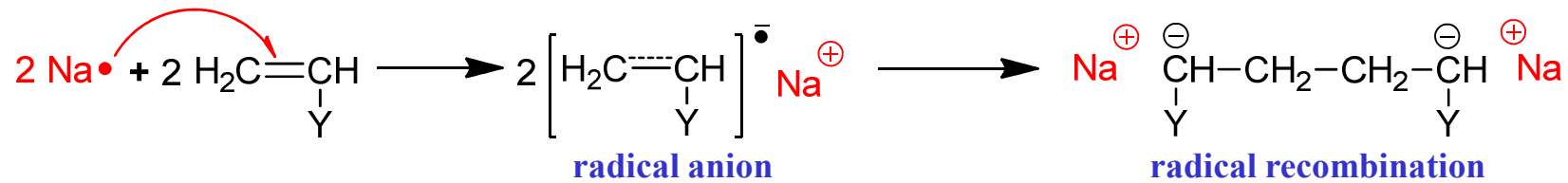


$$\overline{DP}_n = \bar{x} = 2 \frac{[\text{M}]_0 - [\text{M}]}{[\text{I}]_0}$$

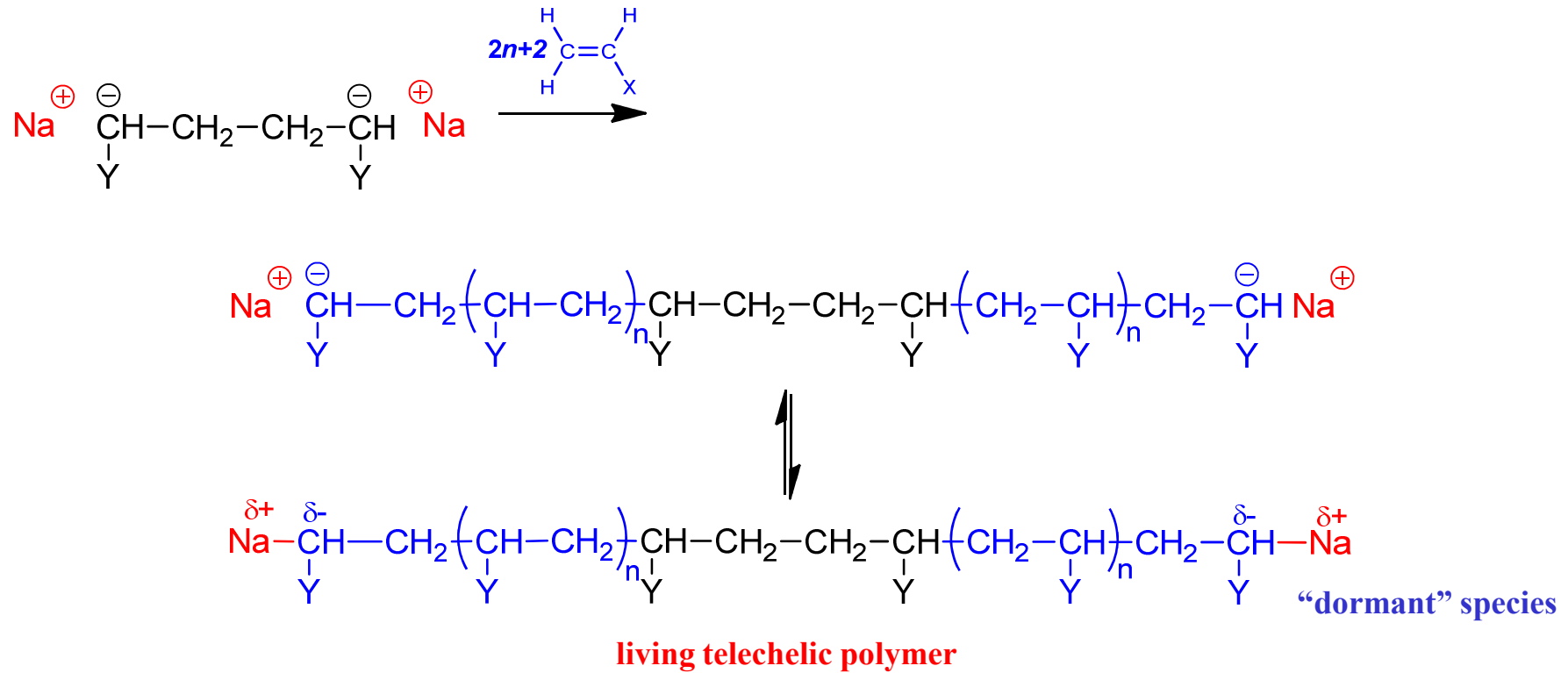


**average kinetic chain length**

• Initiation

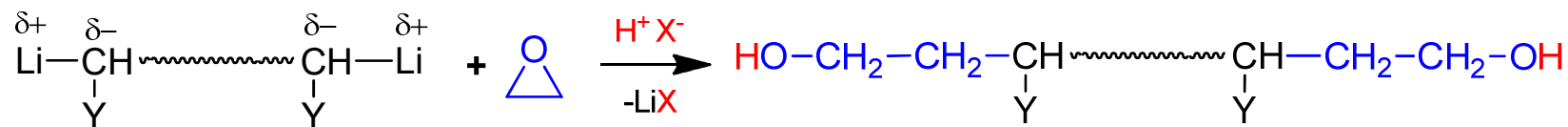
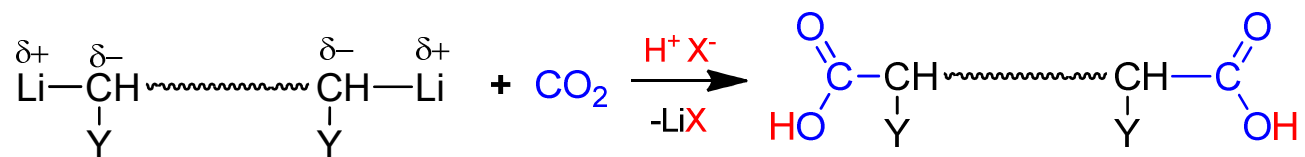
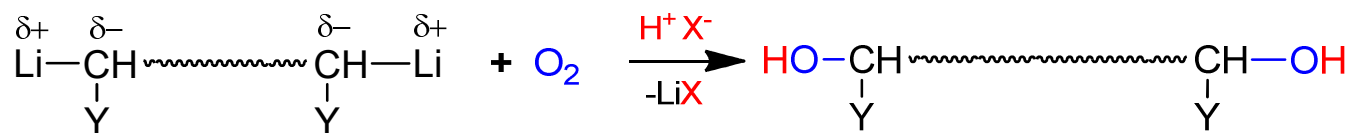
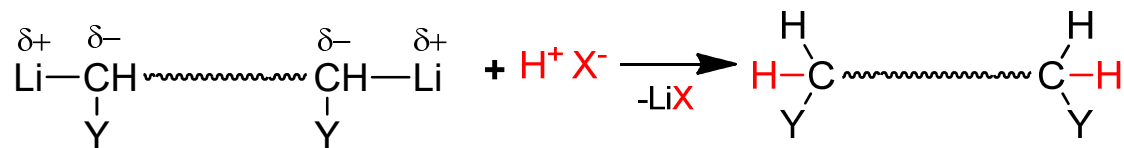


• Propagation

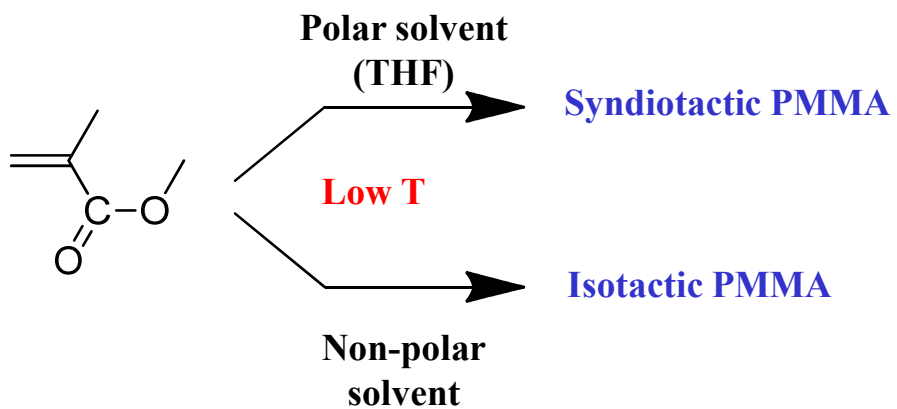
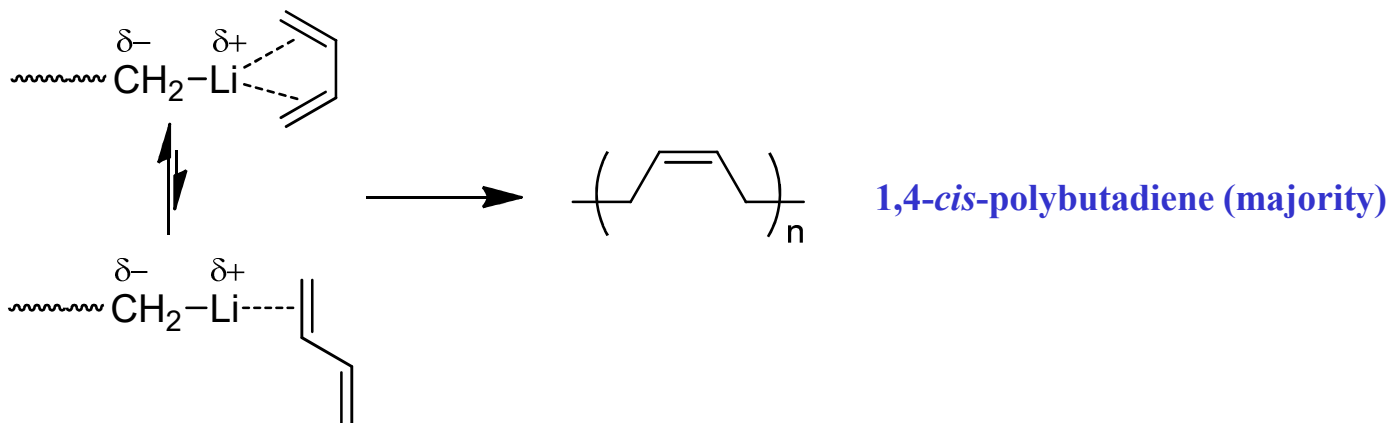
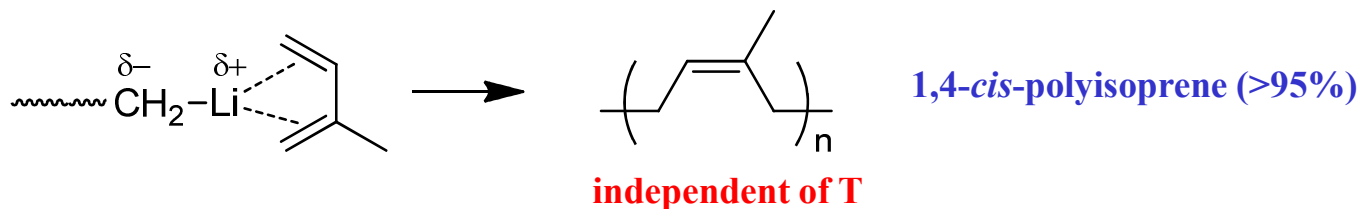


Telechelic chain growth (chain growth at both ends)

• **Functionalization of both chain ends:**




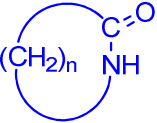
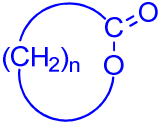
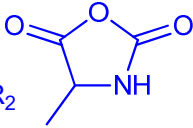
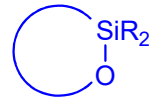
## STEREOCHEMISTRY OF PROPAGATION



**The Li<sup>+</sup> counter-cation always assists the chain growth by bonding covalently to the chain end, protecting it and avoiding chain termination or chain transfer reactions**

## ANIONIC RING OPENING POLYMERIZATION

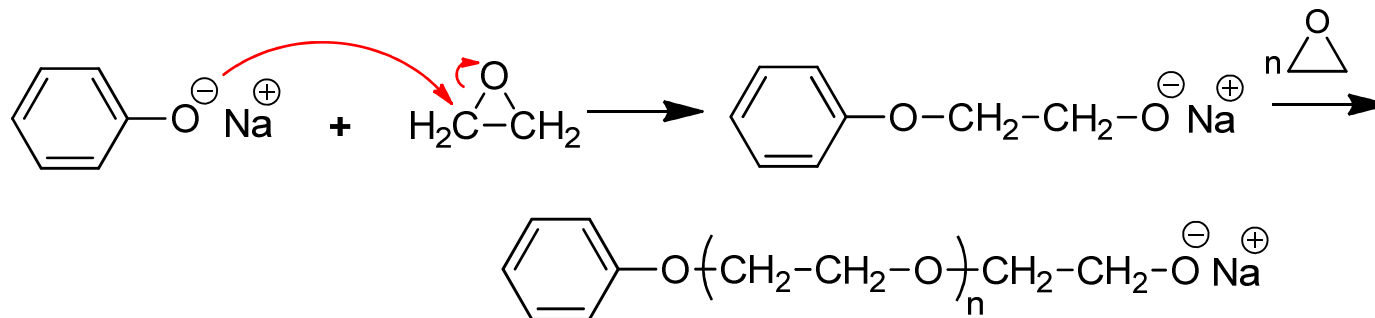
### 4 Types of Monomers

- **EPOXIDES** 
- **CYCLIC LACTAMS AND LACTONES**  , 
- **N-CARBOXYANHYDRIDES** 
- **CYCLIC SILOXANES** 

Some examples have already been given in the Step-Growth Polymerization

### • EPOXIDES

**Ex:**

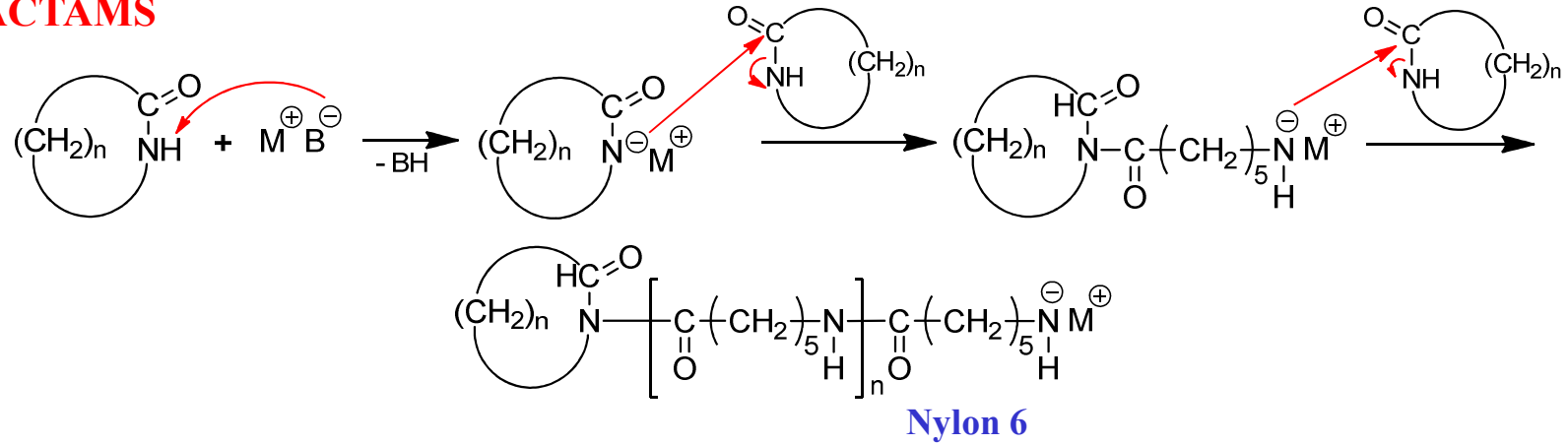


**Poly(ethylene oxide) (PEO)**



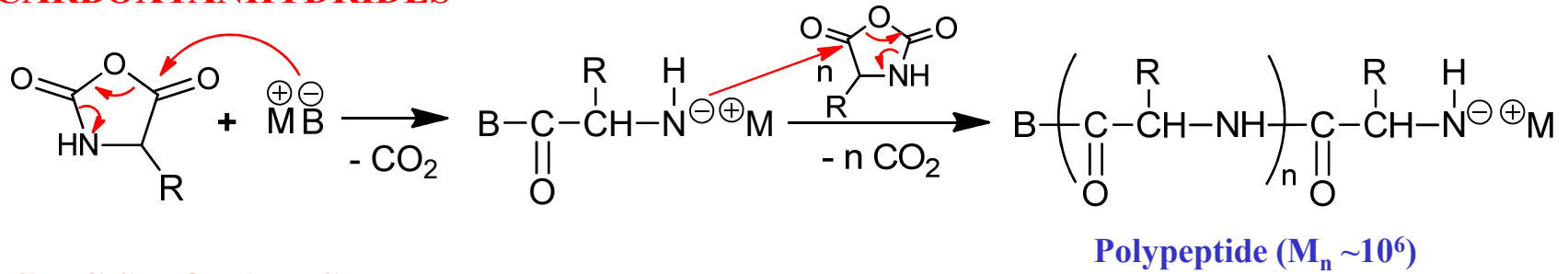
• **LACTAMS**

Ex:



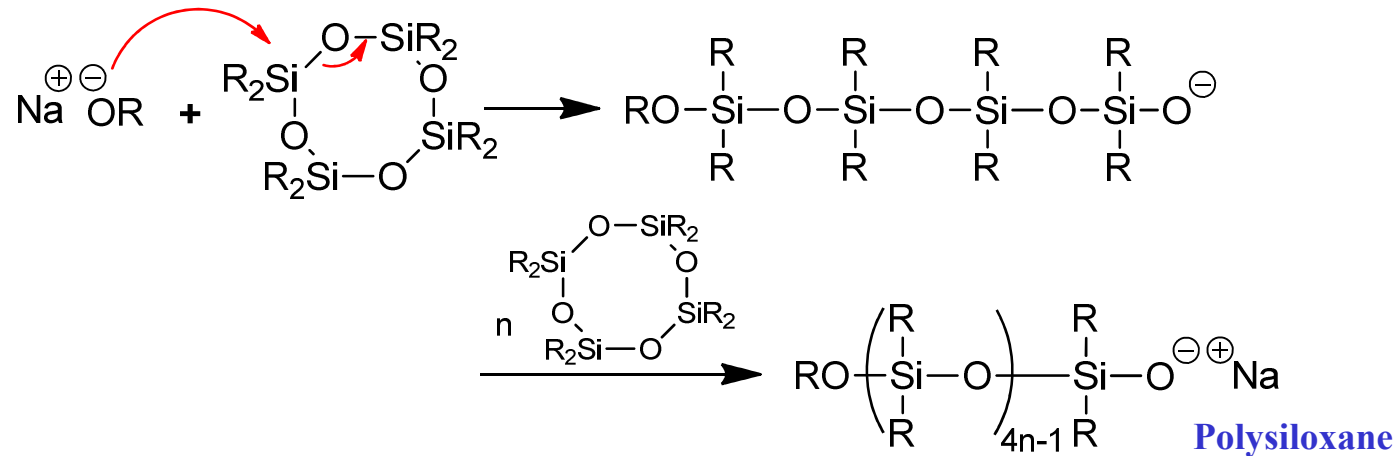
• **N-CARBOXYANHYDRIDES**

Ex:



• **CYCLIC SILOXANES**

Ex:



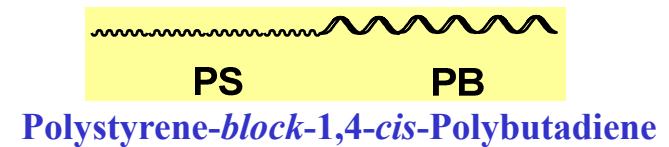
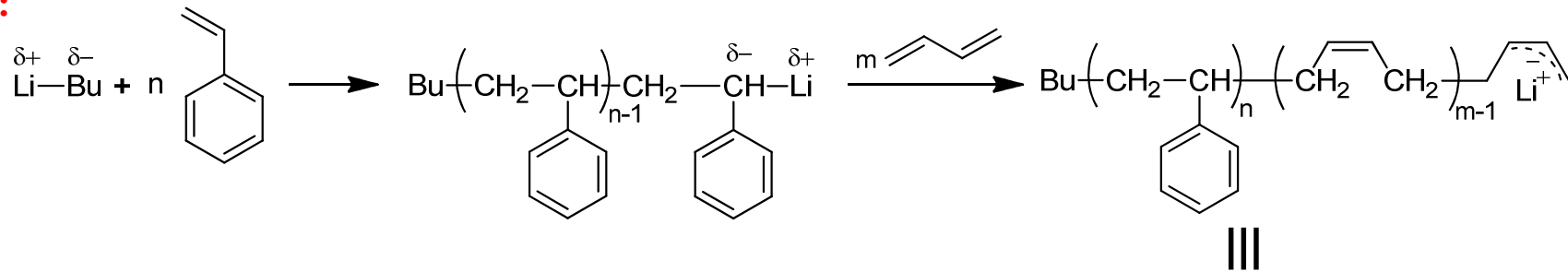
## ANIONIC COPOLYMERIZATION

### • BLOCK COPOLYMERIZATION (COMONOMERS ADDED SEQUENTIALLY)

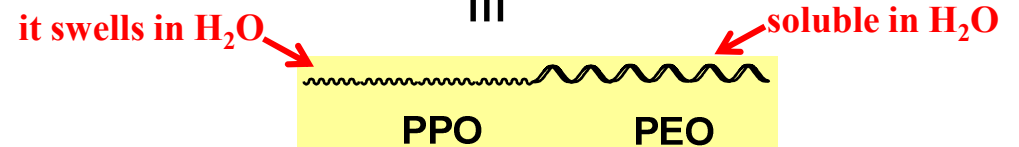
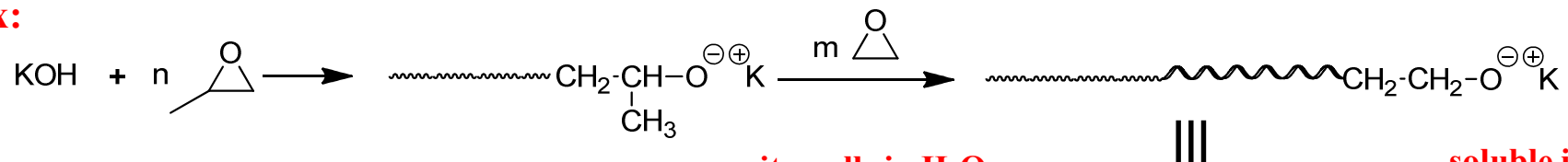
Owing to the living nature of anionic polymerization (absence of chain transfer and chain termination reactions) and easy control of molecular weight, this technique is very much used in block copolymerization

#### • From mononegative chains

**Ex:**

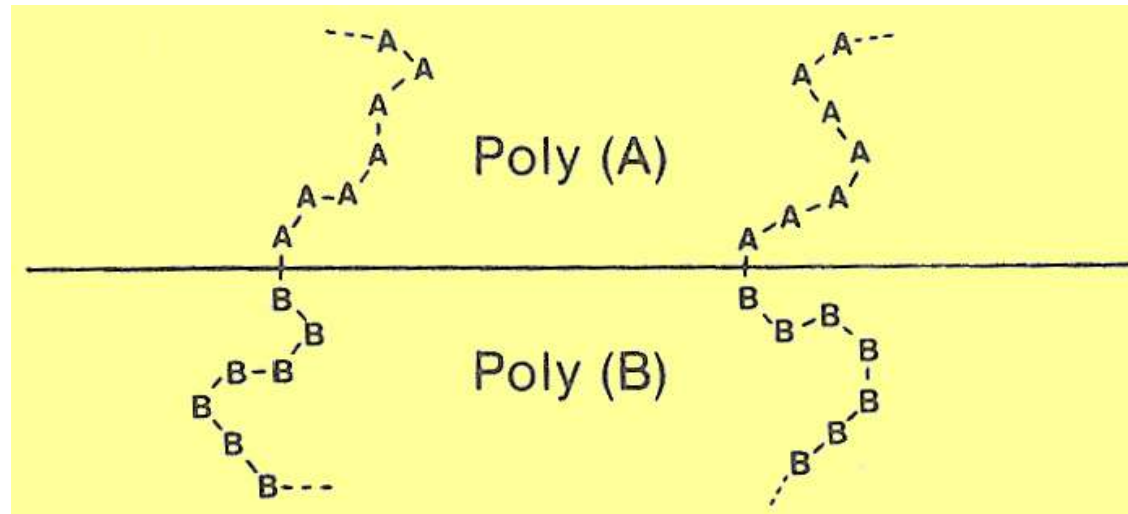


**Ex:**



**non-ionic surfactant, anti-foaming, thickener, wetting agent (Pluronic®)**

## COMPATIBILIZERS OF IMMISCIBLE POLYMER BLENDS



**AB BLOCK COPOLYMERS ARE COMPATIBILIZERS**

**Example: commercial SB (polystyrene-*b*-polybutadiene)**

**Blends of polybutadiene and polystyrene are immiscible**

**AB copolymers improve the adhesion between phases and compatibilize them**

Sequential polymerization of different monomers (with total monomer consumption) is possible due to the living nature of the polymeric chain end. The preparation of the following types of block copolymers can be performed:



DIBLOCK AB TYPE



TRIBLOCK ABC TYPE

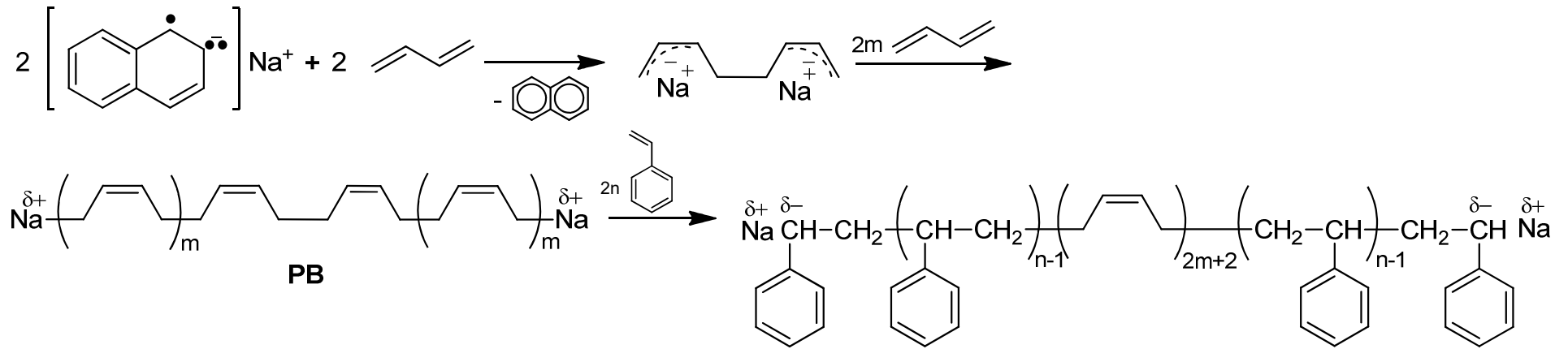


TRIBLOCK ABA TYPE

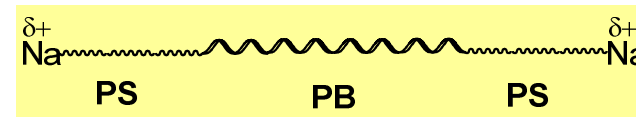


MULTIBLOCK {AB} TYPE

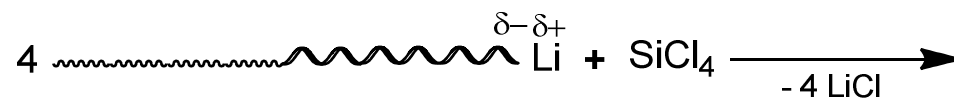
• From dinegative chains



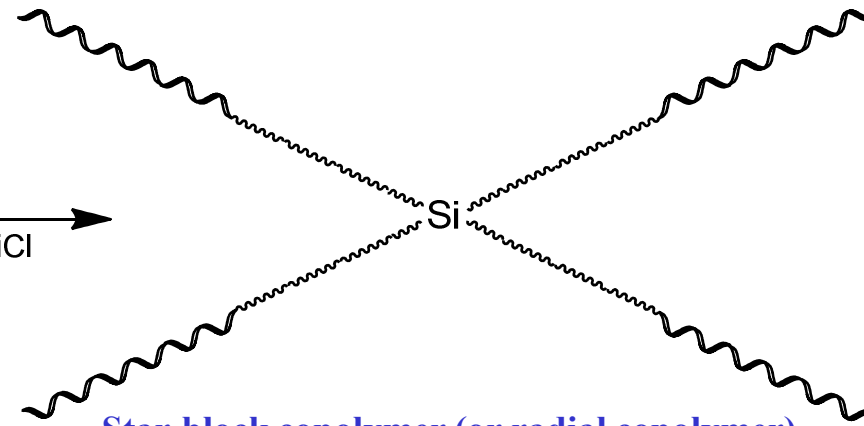
The ABA copolymers are thus obtained in 2 steps whereas from mononegative initiators is obtained in 3 steps



• Star-block copolymers

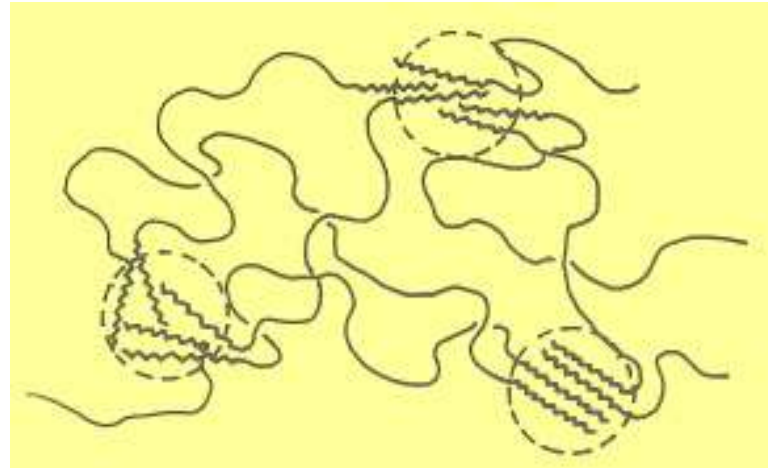


**Living AB block copolymer**



When melted, star-block copolymers exhibit lower viscosities, even when  $M_n$  are high

## THERMOPLASTIC ELASTOMERS (TPE)



**ABA BLOCK COPOLYMERS ARE THERMOPLASTIC ELASTOMERS**  
(A= rigid polymer; B= flexible polymer)

Example: commercial **SBS (polystyrene-*b*-polybutadiene-*b*-polystyrene)**

- PB blocks – *ca.*  $M_n = 50000 - 70000$

- PS blocks – *ca.*  $M_n = 10000 - 15000$

**Semicrystalline blocks at the ends (S) tend to aggregate in microdomains,  
whereas amorphous central blocks (B) form the matrix**

**Aggregation  $\equiv$  elastic behaviour  $\equiv$  physical crosslinks**

• **NORMAL COPOLYMERIZATION (COMONOMERS MIXED IN THE FEED)**

Relatively few reactivity ratios have been determined for anionic “normal” copolymerization

**TABLE 7.5.** Representative Anionic Reactivity Ratios ( $r$ )<sup>a</sup>

<i>Monomer 1</i>	<i>Monomer 2</i>	<i>Initiator</i> <sup>b</sup>	<i>Solvent</i> <sup>c</sup>	<i>Temperature</i> <sup>d</sup> (°C)	$r_1$	$r_2$	
Styrene	Methyl methacrylate	Na	NH <sub>3</sub>		0.12	6.4	
		<i>n</i> -BuLi	None		<sup>e</sup>	<sup>e</sup>	
	Butadiene		<i>n</i> -BuLi	None	25	0.04	11.2
			<i>n</i> -BuLi	Hexane	25	0.03	12.5
			<i>n</i> -BuLi	Hexane	50	0.04	11.8
			<i>n</i> -BuLi	THF	25	4.0	0.3
			<i>n</i> -BuLi	THF	-78	11.0	0.4
			EtNa	Benzene		0.96	1.6
			<i>n</i> -BuLi	Cyclohexane	40	0.046	16.6
			RLi	None		0.12	12.5
	Vinyl acetate	Na	NH <sub>3</sub>		0.01	0.01	
Butadiene	Isoprene	<i>n</i> -BuLi	Hexane	50	3.38	0.47	
Methyl methacrylate	Acrylonitrile	NaNH <sub>2</sub>	NH <sub>3</sub>		0.25	7.9	
		RLi	None		0.34	6.7	
	Vinyl acetate	NaNH <sub>2</sub>	NH <sub>3</sub>		3.2	0.4	

<sup>a</sup>Data from Morton.<sup>30</sup>

<sup>b</sup>Bu = butyl, Et = ethyl, R = alkyl.

<sup>c</sup>THF = tetrahydrofuran.

<sup>d</sup>Temperature not specified in some instances.

<sup>e</sup>No detectable styrene in polymer.

# POLYMER ARCHITECTURES ACCESSIBLE BY LIVING POLYMERIZATION



**Monofunctionalized  
polymers**

- Dispersing agents
- Synthesis of macromonomers



**Difunctionalized  
polymers**

- Synthesis of elastomers
- Crosslinking agents



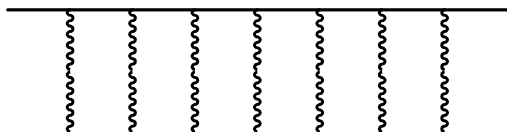
**AB block  
copolymers**

- Dispersing agents
- Compatibilizers of polymer blends



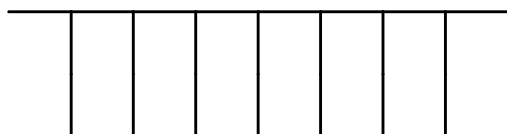
**ABA block  
copolymers**

- Thermoplastic elastomers (TPE)



**Graft  
copolymers**

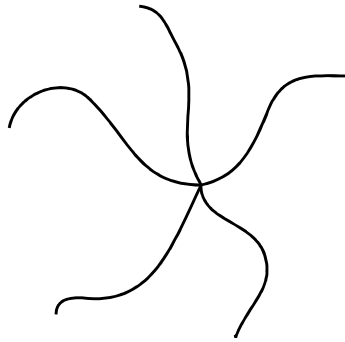
- Elastomers
- Adhesives



**Comb  
polymers**

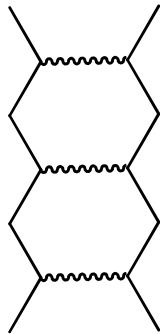
- Elastomers
- Adhesives





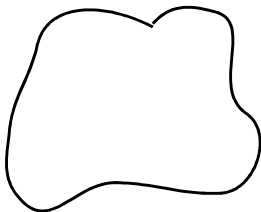
**Star  
polymers/  
copolymers**

- **Rheology control**



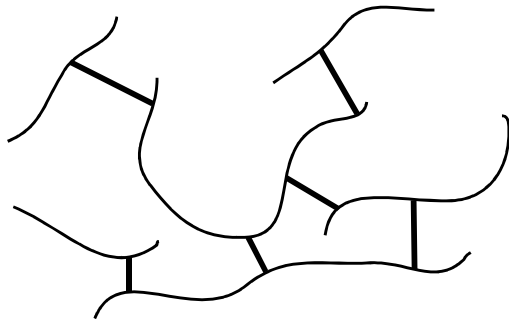
**Ladder  
polymers/  
copolymers**

- **High temperature plastics**
- **Membranes**
- **Elastomers**



**Cyclic  
polymers**

- **Rheology control**



**Amphiphilic  
networks**

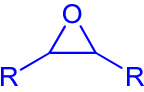
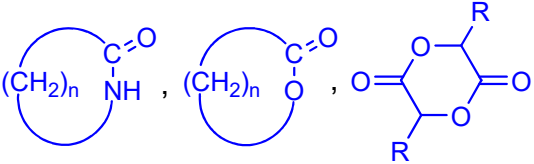
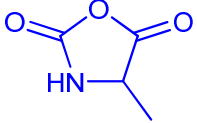
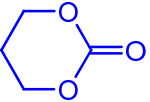
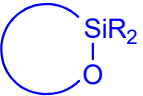
- **Biocompatible polymers**

# ***Metal-Catalyzed Polymerization*** ***(Coordination Polymerization)***

- *Polymerization of Olefins (Insertion)* ✓ ***Prof. Barbara Milani***
- *Polymerization of Dienes (Insertion)*
- *Polymerization of Alkynes*
- *Ring Opening Metathesis Polymerization (ROMP)*
- *Classical Anionic Polymerization*
- ***Ring Opening Polymerization (ROP)***
- *Metal-mediated Radical Polymerization*

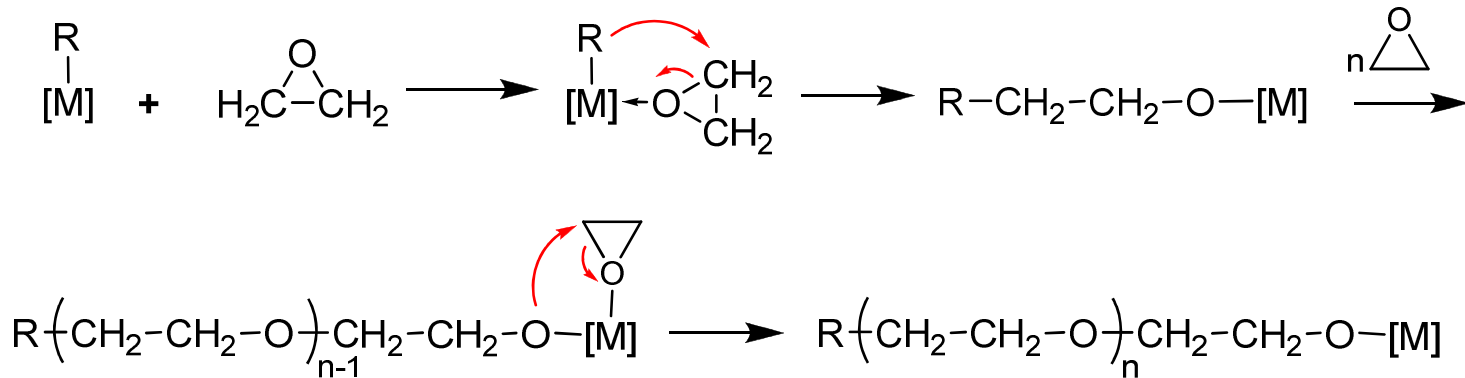
# RING-OPENING POLYMERIZATION (ROP)

## Types of Monomers (heterocyclic rings)

- EPOXIDES 
- CYCLIC LACTAMS, LACTONES AND DILACTONES 
- N-CARBOXYANHYDRIDES 
- CYCLIC CARBONATES 
- CYCLIC SILOXANES 

## • EPOXIDES

Ex:



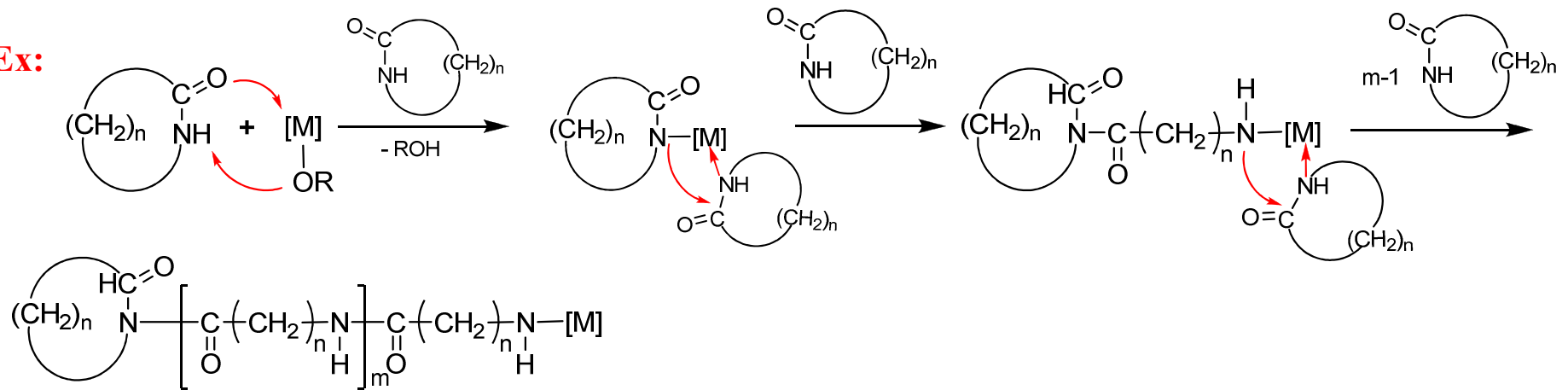
Polyethers [Poly(ethylene oxide) (PEO)]

## Main catalytic systems used for the coordination ROP of epoxides

<i>Monomer</i>	<i>Catalysts</i>
Methyloxirane	FeCl <sub>3</sub> /POx, ZnEt <sub>2</sub> /H <sub>2</sub> O, AlEt <sub>3</sub> /H <sub>2</sub> O/pyridine, and others
Phenyloxirane	ZnEt <sub>2</sub> (H <sub>2</sub> O)
(Haloalkyl)oxiranes (e.g., ECH)	FeCl <sub>3</sub> /POx, AlEt <sub>3</sub> /H <sub>2</sub> O(/pyridine)
Oxiranes substituted with acetal groups	ZnEt <sub>2</sub> /MeOH, ZnEt <sub>2</sub> /cyclohexanol
Oxiranes substituted with ester groups	AlEt <sub>3</sub> /H <sub>2</sub> O/acetylacetone
Oxiranes substituted with organosilane or organosiloxane	ZnEt <sub>2</sub> /H <sub>2</sub> O
Oxiranes substituted with nitrile	Al( <i>i</i> -Bu) <sub>3</sub> /H <sub>2</sub> O/acetylacetone
2,3-Dimethyloxirane	Al( <i>i</i> -Bu) <sub>3</sub> /H <sub>2</sub> O, ZnEt <sub>2</sub> /H <sub>2</sub> O
bis(Chloromethyl)oxirane	Al( <i>i</i> -Bu) <sub>3</sub> /H <sub>2</sub> O
1,2-Epoxy cyclohexane	ZnEt <sub>2</sub> , (EtZnOMe) <sub>4</sub> , Al( <i>i</i> -Bu) <sub>3</sub> /H <sub>2</sub> O, AlEt <sub>3</sub> /H <sub>2</sub> O/acetylacetone, and others
Others (ethyl, <i>tert</i> -butyl, neopentyl, allyl amines, sulfones, ether, amides)	ZnEt <sub>2</sub> /H <sub>2</sub> O

• **LACTAMS**

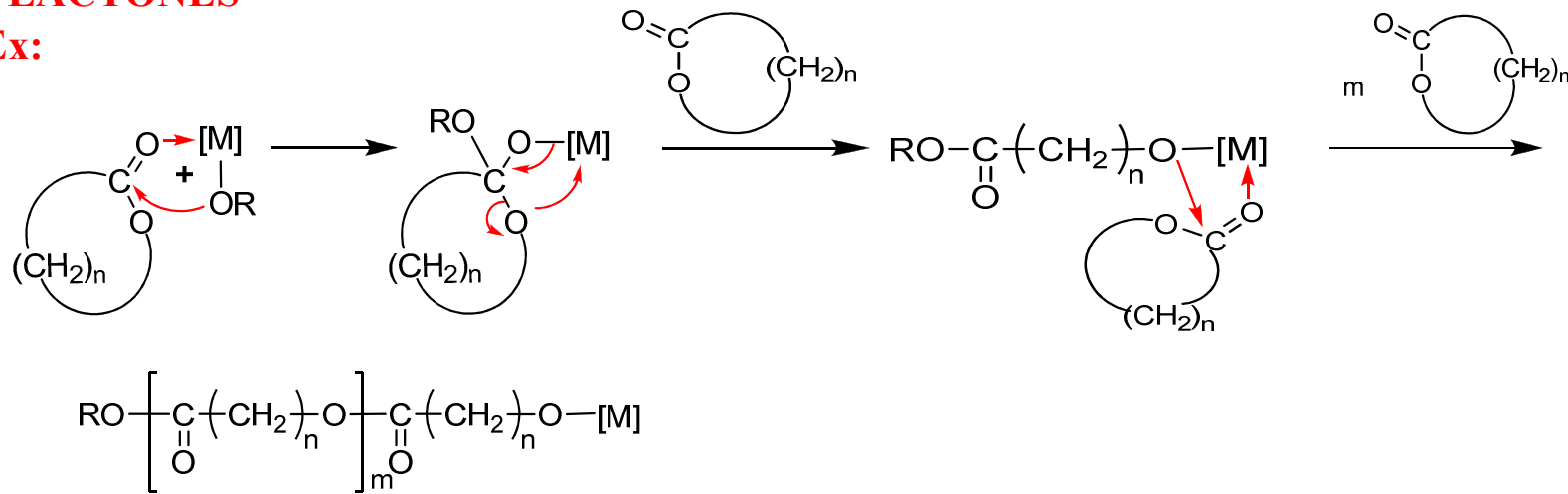
Ex:



Polyamides [nylon 6 or poly( $\epsilon$ -caprolactam) (n=5)]

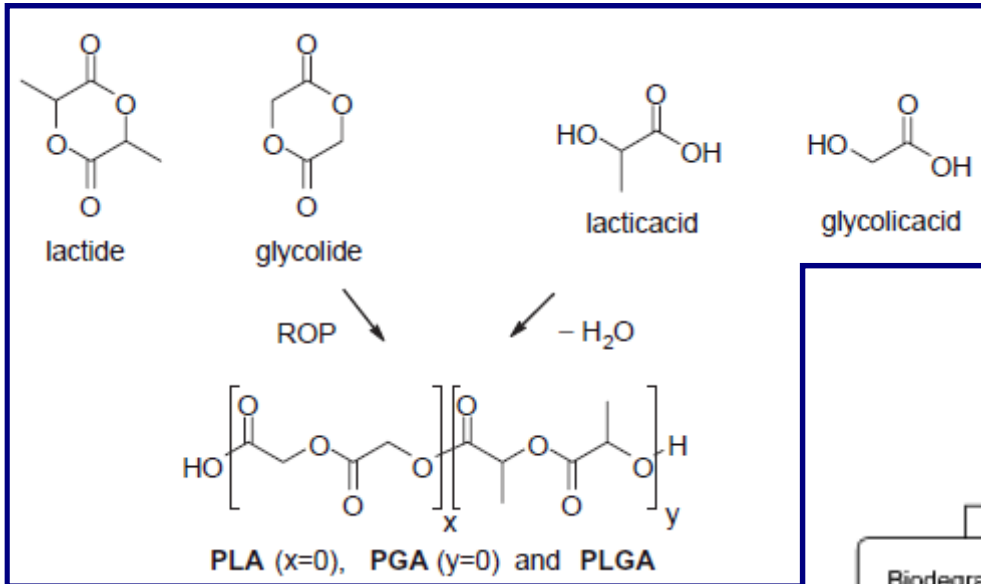
• **LACTONES**

Ex:

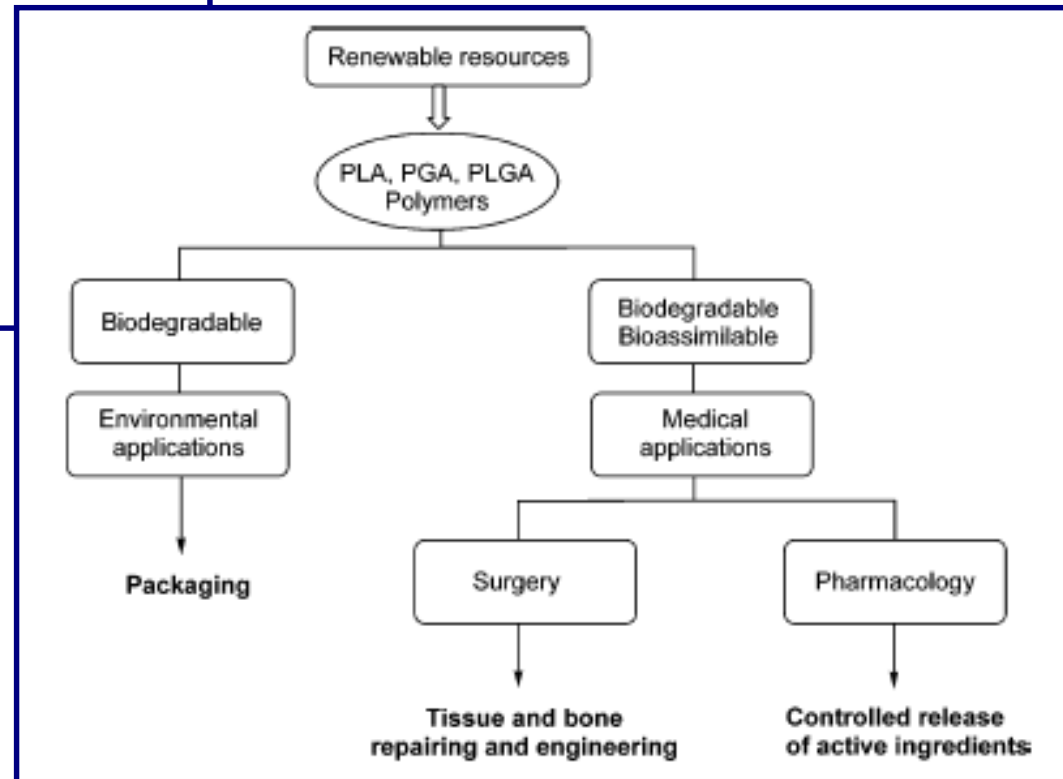


Polyesters [poly( $\epsilon$ -caprolactone)] (n=5)

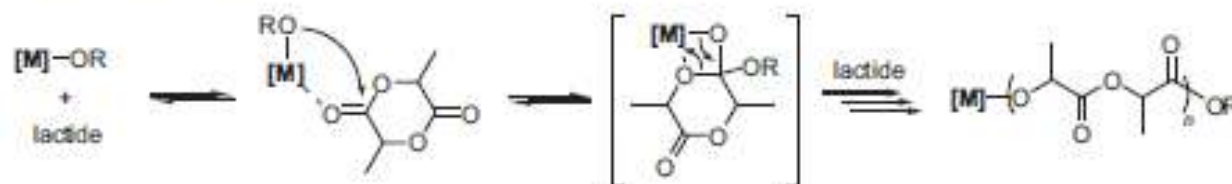
• **DILACTONES**



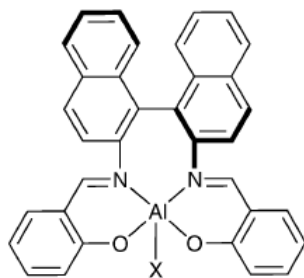
Monomers, polymers and copolymers  
Polyesters



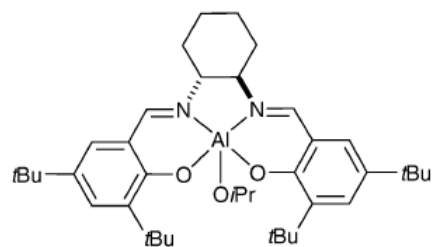
"Coordination-Insertion" ROP mechanism



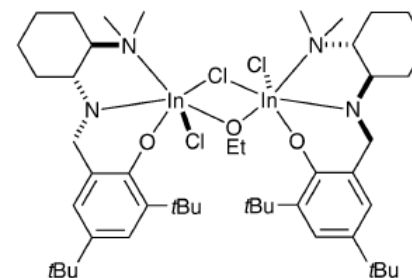
## Catalysts used for coordination ROP of lactide



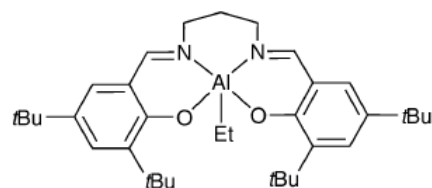
**1a:** X = O*i*Pr  
**1b:** X = OMe



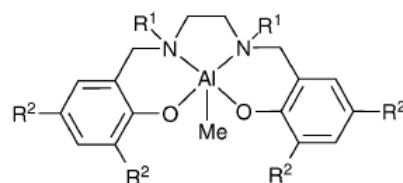
**2**



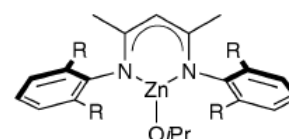
**5**



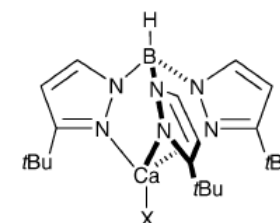
**3**



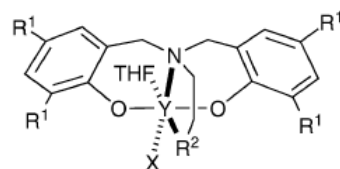
**4a:** R<sup>1</sup> = Me, R<sup>2</sup> = H  
**4b:** R<sup>1</sup> = CH<sub>2</sub>Ph, R<sup>2</sup> = H  
**4c:** R<sup>1</sup> = CH<sub>2</sub>Ph, R<sup>2</sup> = Cl  
**4d:** R<sup>1</sup> = Me, R<sup>2</sup> = Me



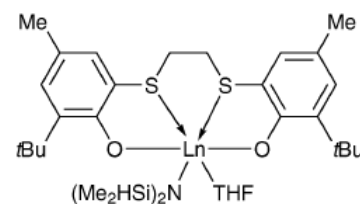
**6a:** R = Et  
**6b:** R = *n*Pr  
**6c:** R = *i*Pr



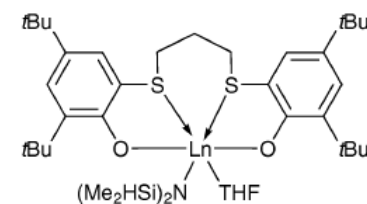
**7a:** X = N(SiMe<sub>3</sub>)<sub>2</sub>  
**7b:** X = OC<sub>6</sub>H<sub>3</sub>-2,6-*i*Pr<sub>2</sub>



**9a:** R<sup>1</sup> = CMe<sub>3</sub>; R<sup>2</sup> = OMe; X = N(SiHMe<sub>2</sub>)<sub>2</sub>  
**9b:** R<sup>1</sup> = CPhMe<sub>2</sub>; R<sup>2</sup> = OMe; X = N(SiHMe<sub>2</sub>)<sub>2</sub>  
**9c:** R<sup>1</sup> = CPhMe<sub>2</sub>; R<sup>2</sup> = OMe; X = O*i*Pr  
**9d:** R<sup>1</sup> = Me; R<sup>2</sup> = OMe; X = N(SiMe<sub>3</sub>)<sub>2</sub>  
**9e:** R<sup>1</sup> = CMe<sub>3</sub>; R<sup>2</sup> = NMe<sub>2</sub>; X = CH<sub>2</sub>SiMe<sub>3</sub>  
**9f:** R<sup>1</sup> = CMe<sub>3</sub>; R<sup>2</sup> = NEt<sub>2</sub>; X = CH<sub>2</sub>SiMe<sub>3</sub>

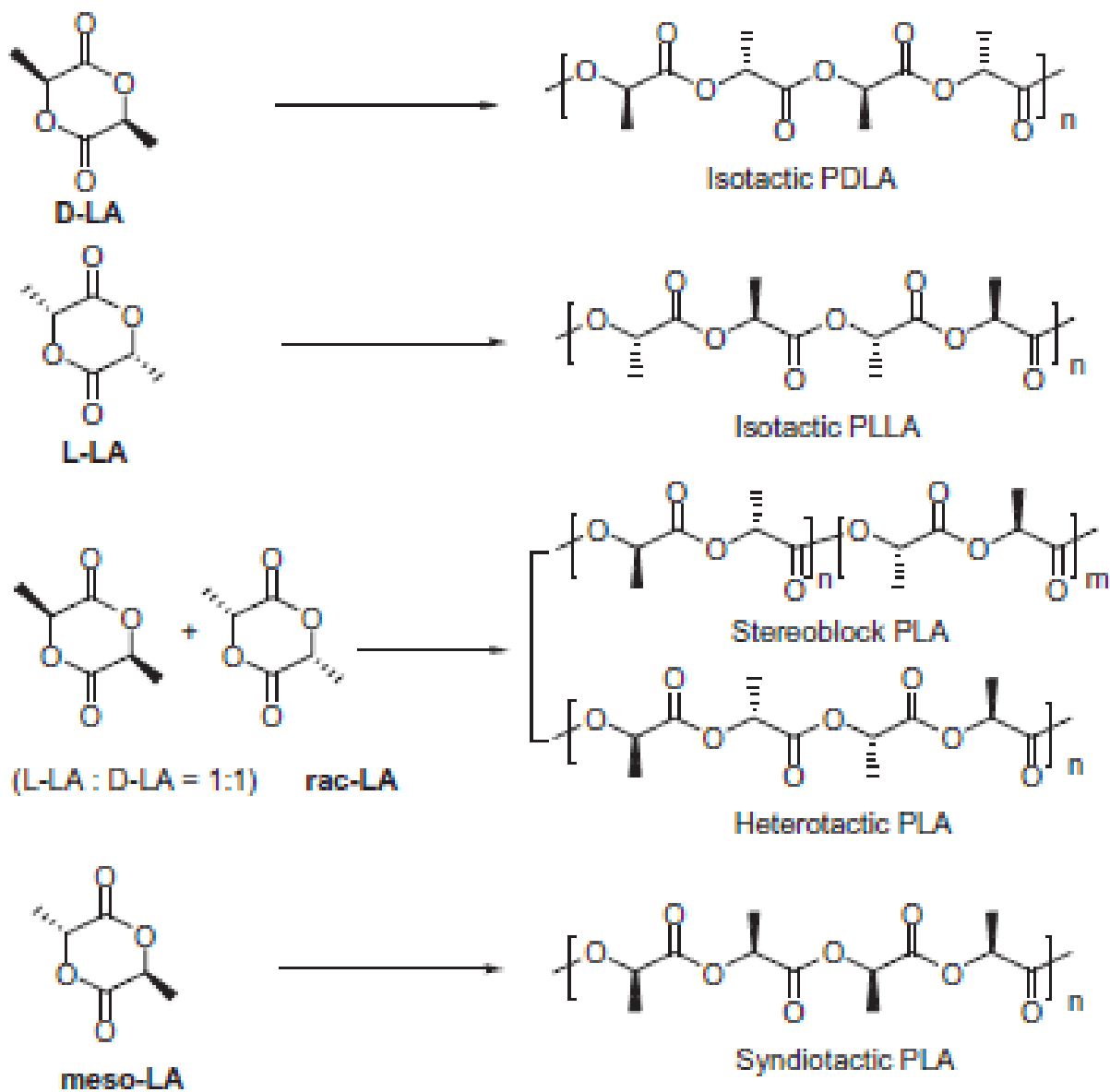


**10a:** Ln = Sc  
**10b:** Ln = Lu  
**10c:** Ln = Y



**11a:** Ln = Sc  
**11b:** Ln = Lu  
**11c:** Ln = Y

## Synthesis of stereoregular PLAs by ROP

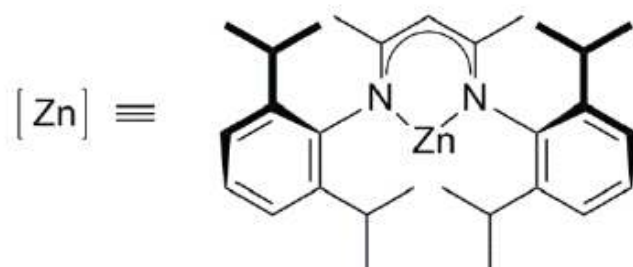
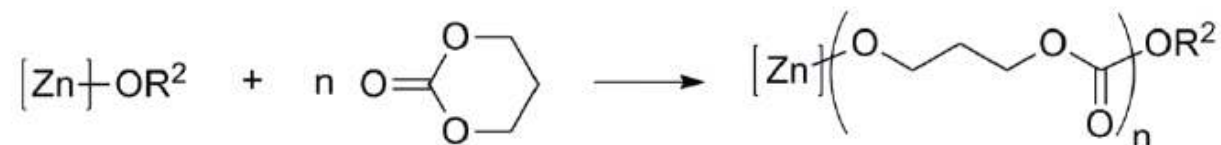
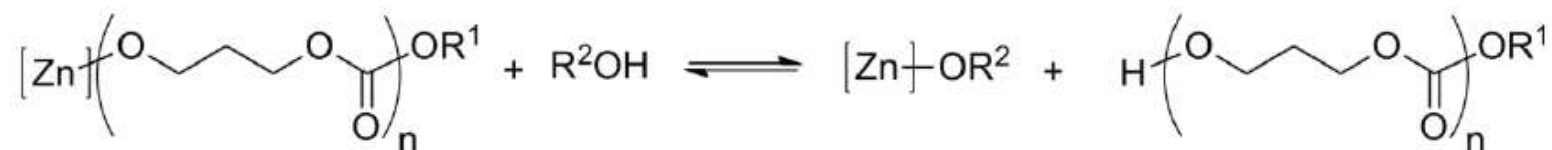
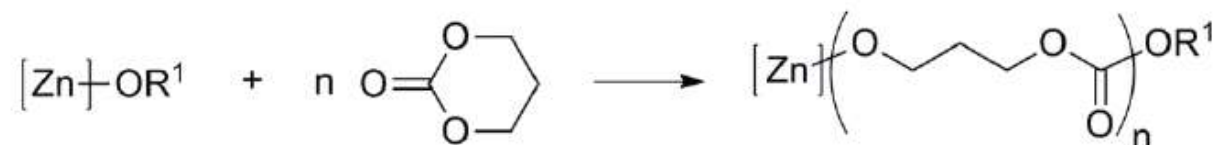


Crystalline  
 $T_m = 170-180\text{ }^\circ\text{C}$

Amorphous



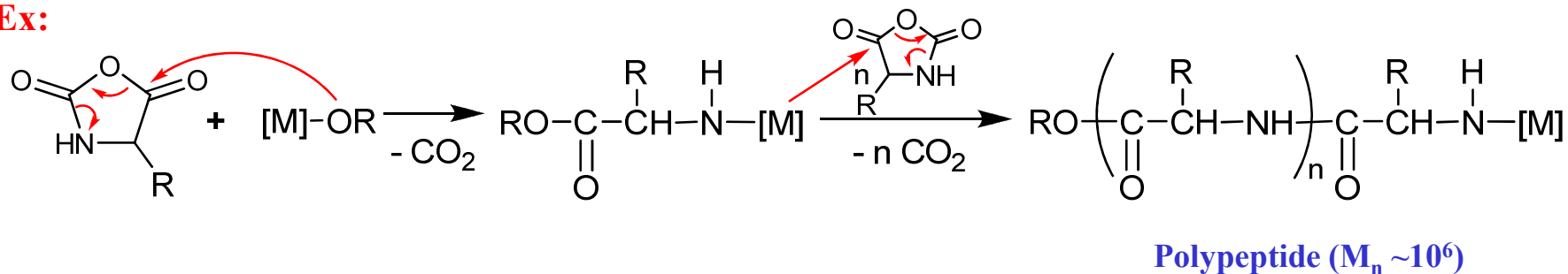
## Synthesis of polycarbonates by ROP



**[Zn] mediated living ROP of trimethylene carbonate**

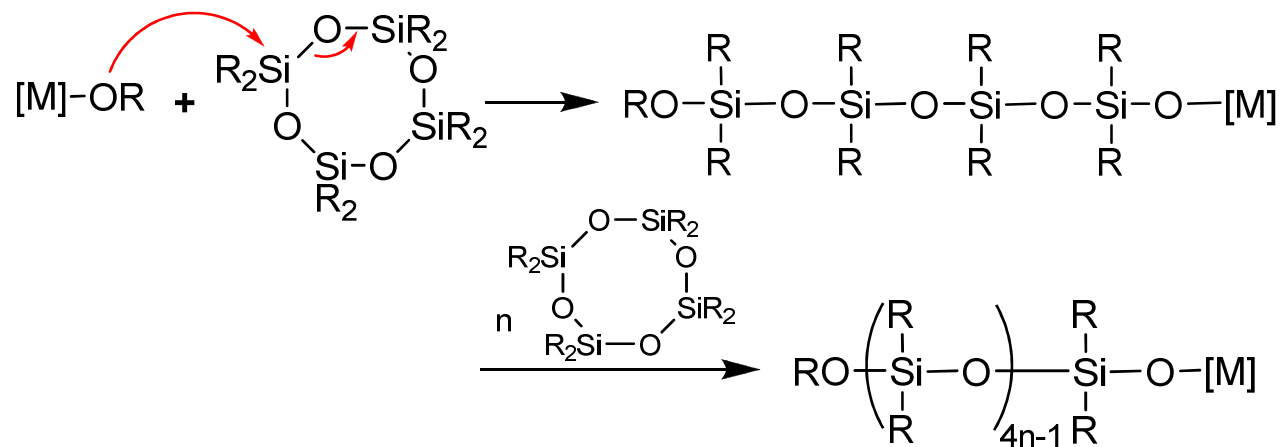
• **N-CARBOXYANHYDRIDES**

Ex:



• **CYCLIC SILOXANES**

Ex:



# ***Metal-Catalyzed Polymerization*** ***(Coordination Polymerization)***

- *Polymerization of Olefins (Insertion)* ✓ ***Prof. Barbara Milani***
- *Polymerization of Dienes (Insertion)*
- *Polymerization of Alkynes*
- *Ring Opening Metathesis Polymerization (ROMP)*
- *Classical Anionic Polymerization*
- *Ring Opening Polymerization (ROP)*
- ***Metal-mediated Radical Polymerization***

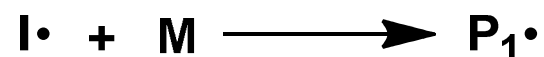
# FREE RADICAL POLYMERIZATION

It involves:

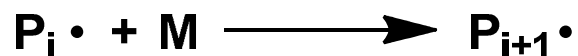
- Monomer
- +
- Radical Initiator

## STEPS

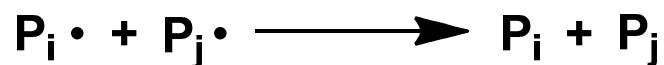
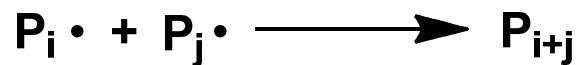
- **INITIATION**  
addition of the radical initiator to the monomer
- **PROPAGATION**  
radical chain growth by sequential addition of monomers
- **CHAIN TERMINATION**  
“death” of the radical propagating species by reaction with other radical species
- **CHAIN TRANSFER**  
growing chain reacts with a neutral molecule and abstracts one of its atoms, the latter becoming a new radical



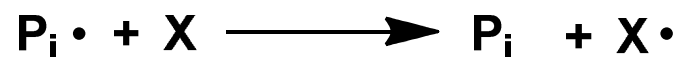
} **INITIATION**



**PROPAGATION**



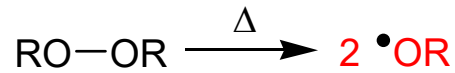
} **TERMINATION**



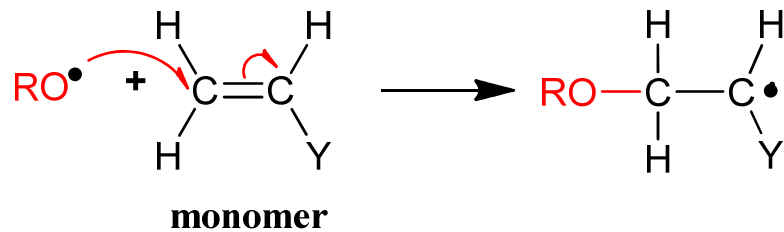
**CHAIN TRANSFER**

**MECHANISM OF CLASSICAL 'FREE RADICAL POLYMERIZATION'  
(GENERAL)**

**• INITIATION**

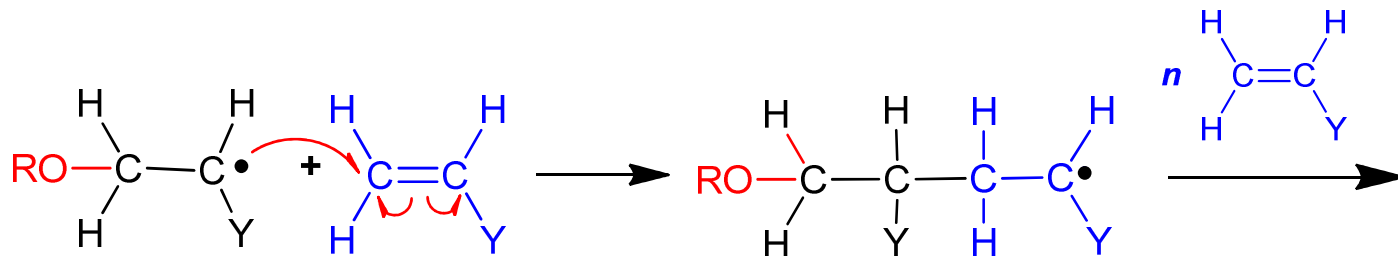


Initiator decomposition



Addition of initiator radical to monomer

**• PROPAGATION**

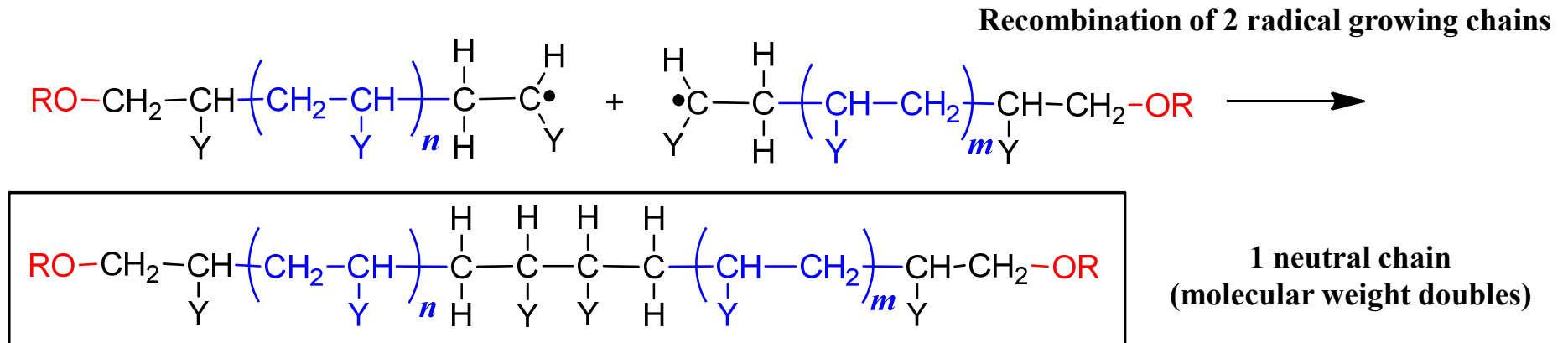


Sequential addition of monomers  
to radical growing chain

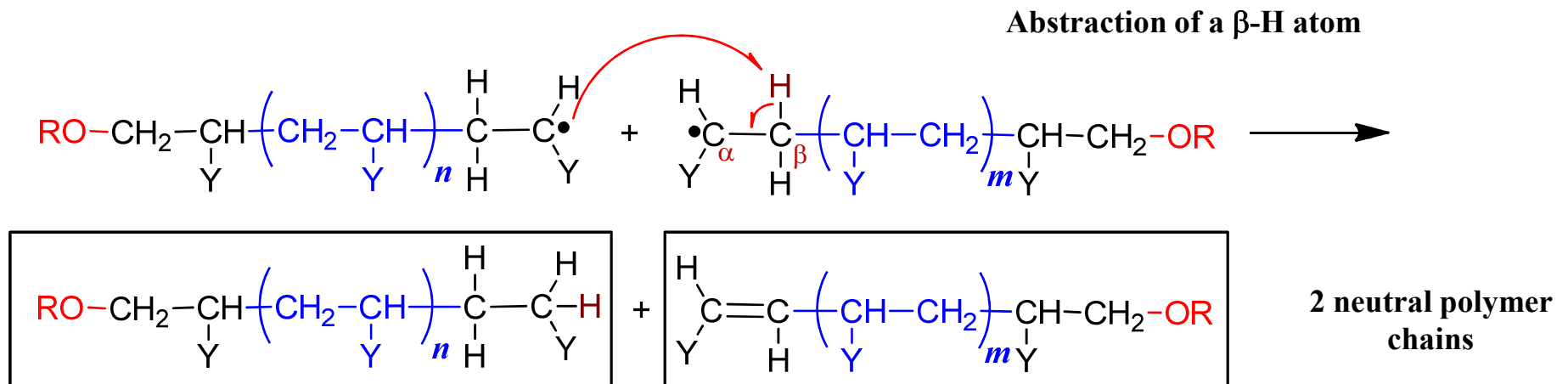
→ **CHAIN  
GROWTH**

• **TERMINATION**

• **Recombination (or Coupling)** (*low temperatures*)



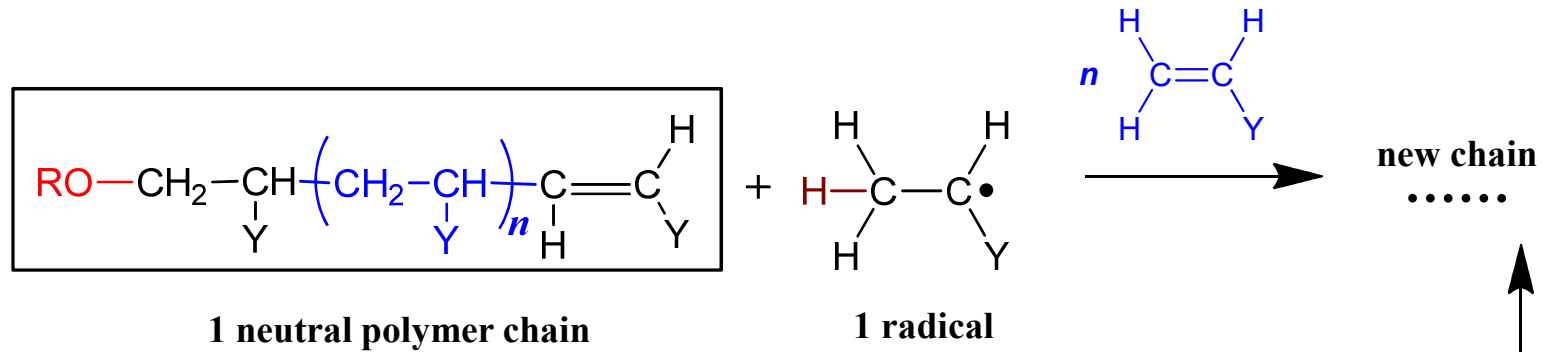
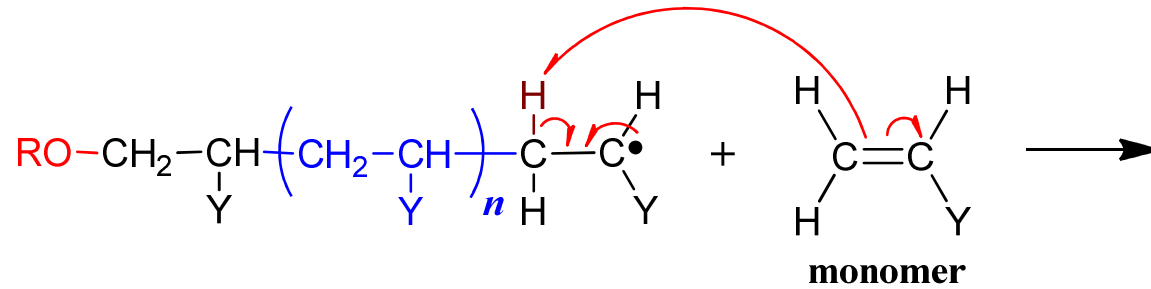
• **Disproportionation** (*high temperatures*)



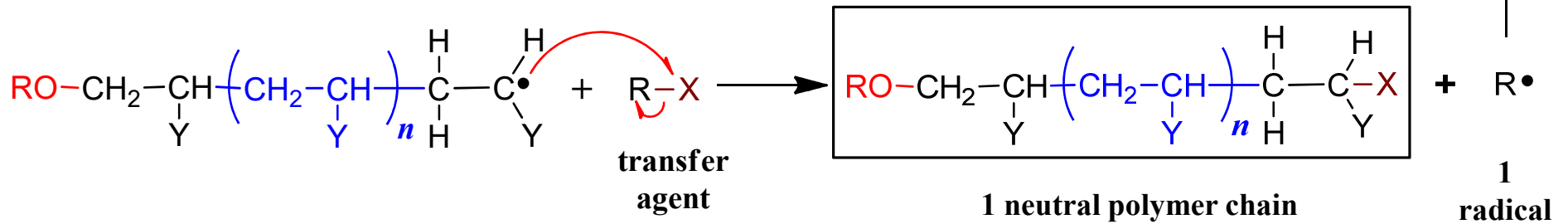
• **CHAIN TRANSFER**

Step responsible for the decrease in molecular weight and for the broadening of the molecular weight distribution

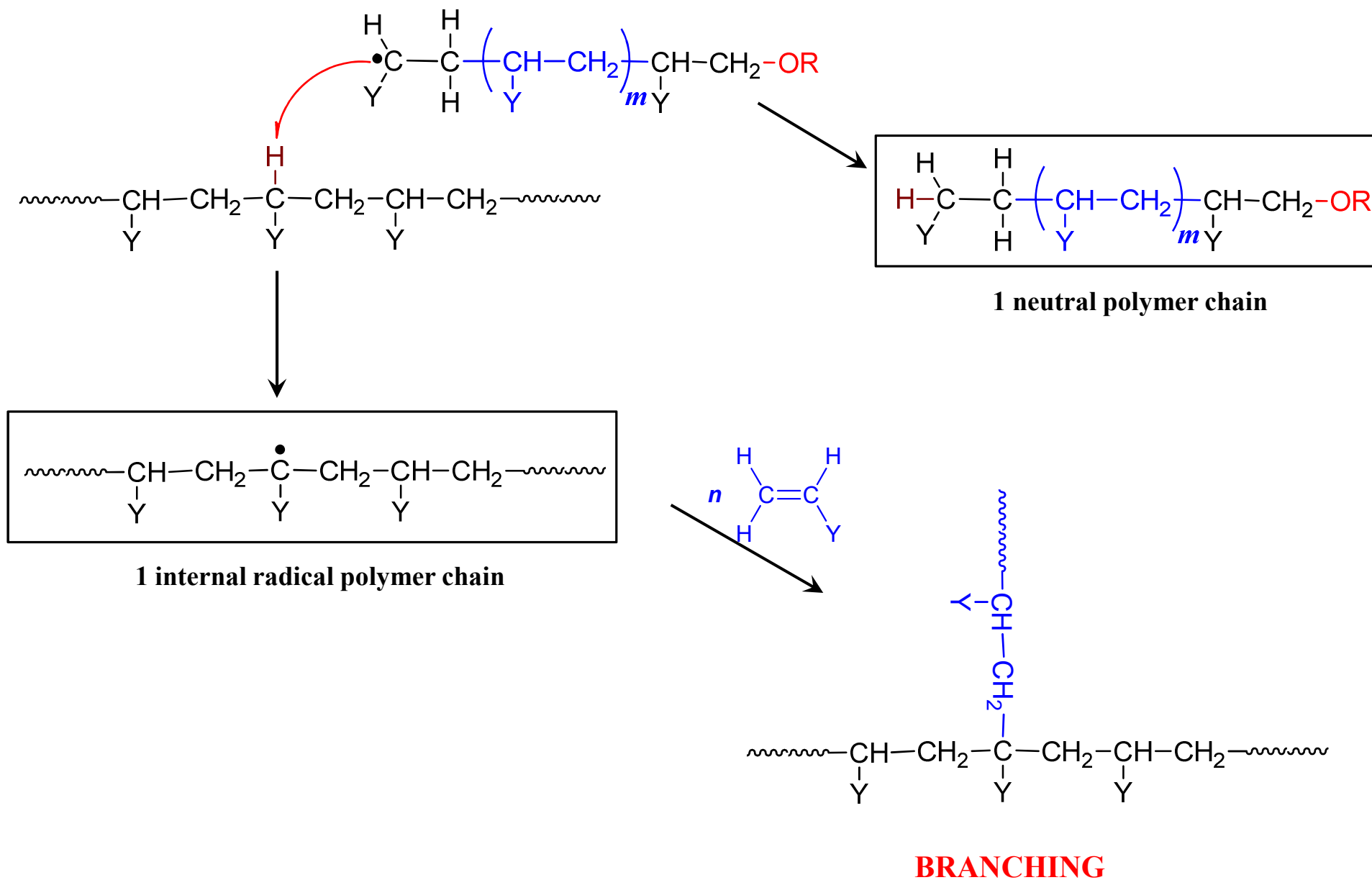
• to the Monomer



• to a Transfer Agent



- to the Polymer (*very high temperatures*)





# CONTROLLED RADICAL POLYMERIZATION (CRP)

Absence of **TERMINATION** or **CHAIN TRANSFER**



Living Polymers (Living Polymerization) → Living Chain Ends



Molecular Weight Control → Narrow Molecular Weight Distribution



Block Copolymers

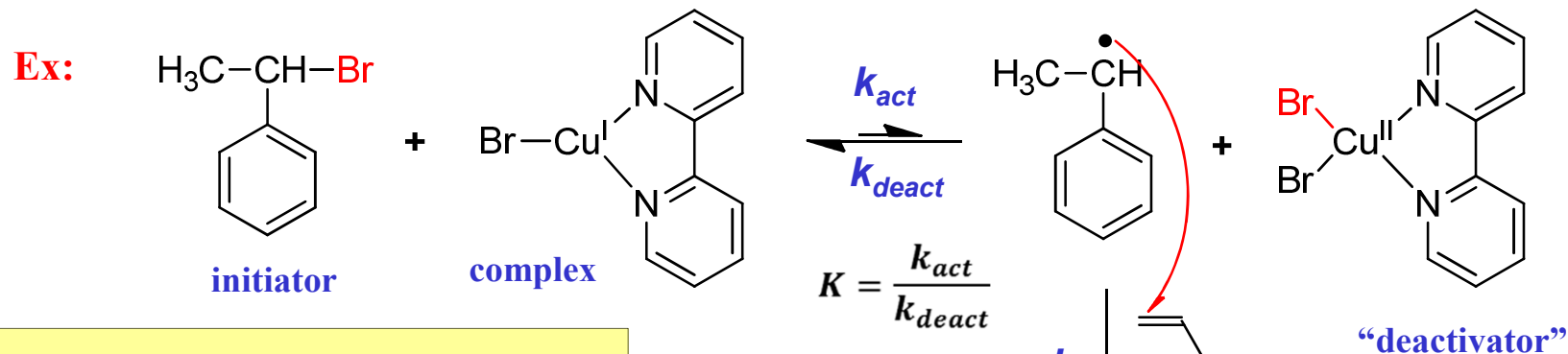
Several  
Techniques  
of **CRP**  
(examples)

- ATRP
  - OMRP
  - NMP
  - RAFT
- } **SFRP**

**SFRP = Stable Free Radical Polymerization**

# ATOM-TRANSFER RADICAL POLYMERIZATION (ATRP)

**Initiator:** Organic halide undergoing a reversible redox catalyzed by a transition-metal complex



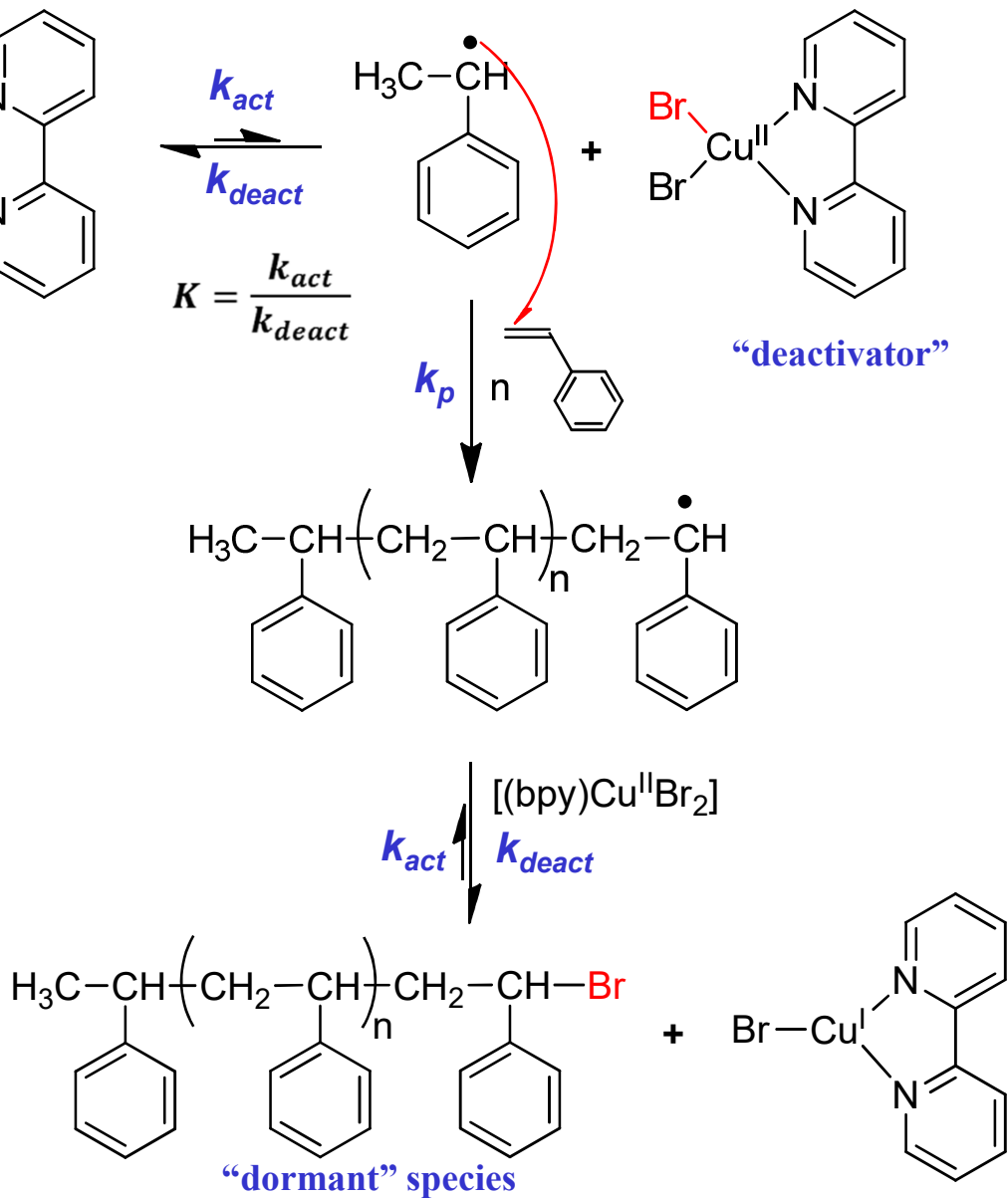
$$r_p = \frac{k_p K [I] [Cu^+]}{[Cu^{2+}]} [M]$$

$$\ln \frac{[M]_o}{[M]} = \frac{k_p K [I] [Cu^+]}{[Cu^{2+}]} t$$

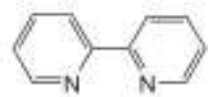
$$\overline{DP}_n = \frac{[M]_o - [M]}{[I]_o} = \frac{p[M]_o}{[I]_o}$$

$$\frac{\overline{M}_w}{\overline{M}_n} = 1 + \frac{1}{\overline{DP}_n}$$

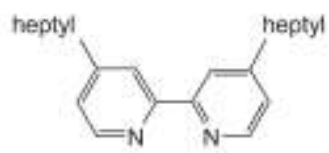
Poisson distribution



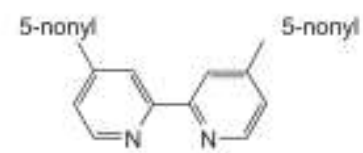
• COMMON LIGANDS (L) OF ATRP



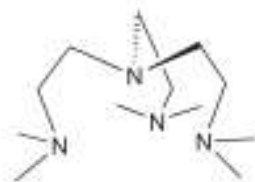
**bipy**



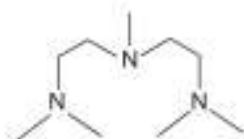
**dHbipy**



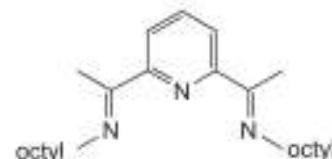
**dNbipy**



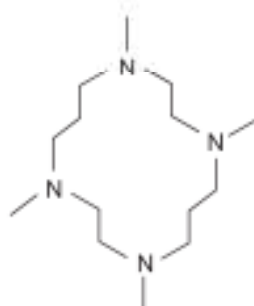
**Me<sub>6</sub>TREN**



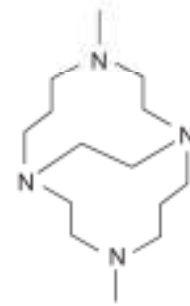
**PMDETA**



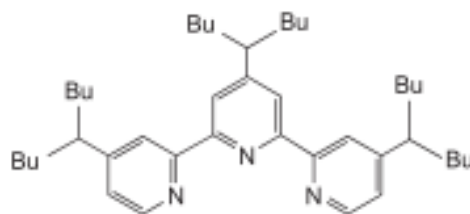
**DOIP**



**Me<sub>6</sub>Cyclam**



**Cyclam-B**



**TERPY**

**TABLE 8.1.** Commercially Available Polymers Synthesized with Complex Coordination Catalysts

<i>Polymer</i>	<i>Principal Stereochemistry</i>	<i>Typical Uses</i>
Plastics		
Polyethylene, high density (HDPE)	—	Bottles, drums, pipe, conduit, sheet, film, wire and cable insulation
Polyethylene, ultrahigh molecular weight (UHMWPE)	—	Surgical prostheses, machine parts, heavy-duty liners
Polypropylene	Isotactic.	Automobile and appliance parts, rope, cordage, webbing, carpeting, film
Poly(1-butene)	Isotactic	Film, pipe
Poly(4-methyl-1-pentene) <sup>a</sup>	Isotactic	Packaging, medical supplies, lighting
Polystyrene	Syndiotactic	Specialty plastics
1,4-Polybutadiene	<i>trans</i>	Metal can coatings, potting compounds for transformers
1,4-Polyisoprene	<i>trans</i>	Golf ball covers, orthopedic devices
Ethylene-1-alkene <sup>b</sup> copolymer (linear low-density polyethylene, LLDPE)	—	Blending with LDPE, packaging film, bottles
Ethylene-propylene block copolymers (polyallomers)	Isotactic	Food packaging, automotive trim, toys, bottles, film, heat-sterilizable containers
Polydicyclopentadiene <sup>c</sup>	—	Reaction injection molding (RIM) structural plastics
Elastomers		
1,4-Polybutadiene	<i>cis</i>	Tires, conveyer belts, wire and cable insulation, footwear
1,4-Polyisoprene	<i>cis</i>	Tires, footwear, adhesives, coated fabrics
Poly(1-octenylene) (polyoctenamer) <sup>c</sup>	<i>trans</i>	Blending with other elastomers
Poly(1,3-cyclopentenylenevinylene) (norbornene polymer) <sup>c</sup>	<i>trans</i>	Molding compounds, engine mounts, car bumper guards
Polypropylene (amorphous)	—	Asphalt blends, sealants, adhesives, cable coatings
Ethylene-propylene copolymer (EPM, EPR)	—	Impact modifier for polypropylene, car bumper guards
Ethylene-propylene-diene copolymer (EPDM)	—	Wire and cable insulation, weather stripping, tire side walls, hose, seals

<sup>a</sup>Usually copolymerized with small amounts of 1-pentene.

<sup>b</sup>1-Butene, 1-hexene, and 1-octene.

<sup>c</sup>Synthesized by ring-opening metathesis polymerization of the corresponding cycloalkene.

**Table 4.2** Initiation modes of various monomers

Monomer	Initiator			
	Free radical	Anionic	Cationic	Co-ordination
Ethylene ( $\text{CH}_2=\text{CH}_2$ )	✓			✓
Propylene (and other $\alpha$ -olefins $\text{CH}_2=\text{CH-R}$ )				✓
Isobutylene ( $\text{CH}_2=\text{C}(\text{CH}_3)_2$ )			✓	
Styrene ( $\text{CH}_2=\text{CH}-\text{C}_6\text{H}_5$ )	✓	✓	✓	✓
Butadiene and isoprene ( $\text{CH}_2=\text{CH}-\text{CH}(\text{R})-\text{CH}=\text{CH}_2$ )	✓	✓		✓
Acrylates and methacrylates ( $\text{CH}_2=\text{C}(\text{COOR})-\text{R}'$ )	✓	✓		
Acrylonitrile ( $\text{CH}_2=\text{CH-CN}$ )	✓	✓		
Vinyl ethers ( $\text{CH}_2=\text{CH-OR}$ )			✓	
Vinyl halides ( $\text{CH}_2=\text{CH-Hal}$ )	✓			
Fluorocarbons (e.g. TFE, $\text{CF}_2=\text{CF}_2$ )	✓			
Vinyl esters (e.g. acetate $\text{CH}_2=\text{CH-OCOCH}_3$ )	✓			
Formaldehyde ( $\text{CH}_2=\text{O}$ )			✓	
Formaldehyde trimer (trioxan $\text{C}_3\text{H}_6\text{O}_3$ )		✓		✓
Ethylene oxide ( $\text{C}_2\text{H}_4\text{O}$ )		✓		✓
Cyclic ethers (e.g. THF $\text{C}_4\text{H}_8\text{O}$ )			✓	✓
Cyclic lactams and lactones ( $\text{C}_n\text{H}_{2n}\text{O}$ , $\text{C}_n\text{H}_{2n-2}\text{O}_2$ )		✓		✓
Cyclic siloxanes ( $\text{R}_2\text{SiO}_3$ or $4$ )		✓		
Cycloalkenes and cycloalkynes				✓
Alkynes ( $\text{C}\equiv\text{C-R}$ )				✓

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