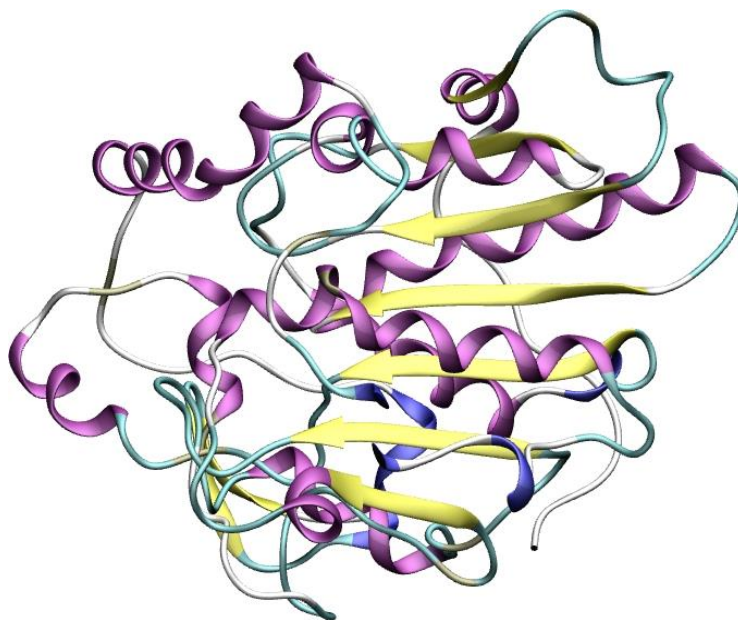


**Biocatalysis in organic synthesis:  
Production of enantiomerically pure  
amino acids, drugs, aromas and building  
blocks**



## Amino acids: industrial impact

The use of enzymes and whole cell biocatalysts has proven particularly valuable in production of both **proteinogenic** and **nonproteinogenic** L-amino acids D-amino acids, and enantiomerically pure amino acid derivatives, which are of great interest as **building blocks** for active ingredients that are applied as **pharmaceuticals, cosmetics, and agricultural products**.

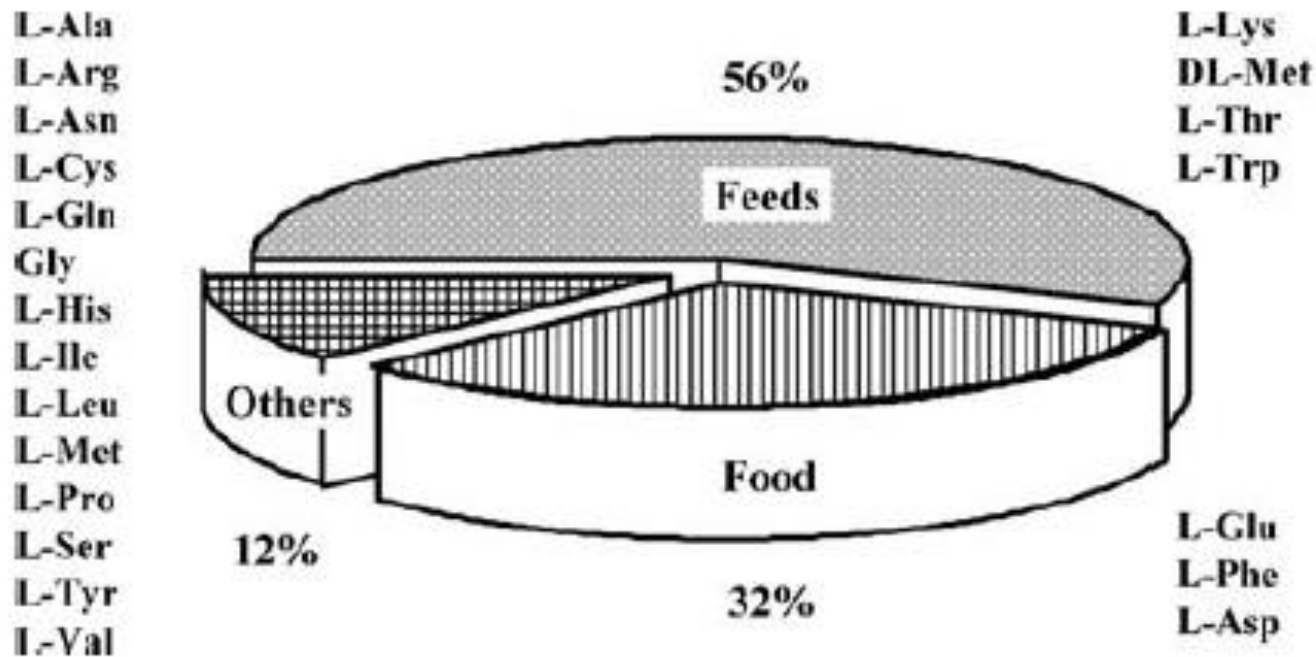


Fig. 1 Global market amino acids, 2004: US \$4.5 billion

## Amino acids: industrial impact

Of the 20 standard protein amino acids, the **9 essential amino acids** L-valine, L-leucine, L-isoleucine, L-lysine, L-threonine, L-methionine, L-histidine, L-phenylalanine, and L-tryptophan occupy a key position in that they are not synthesized in animals and humans but must be **ingested with feed or food**.

In terms of market volume, development over the last 20 years has been tremendously bullish in the so-called **feed amino acids** L-lysine, DL-methionine, L-threonine, and L-tryptophan, which constitute the largest share (56%) of the total amino acid market, estimated in 2004 at approximately US \$4.5 billion.

Also substantial is the share of the **food sector**, which is determined essentially by three amino acids: L-**glutamic acid** in the form of the flavor enhancer monosodium glutamate (MSG) and the amino acids L-**aspartic acid** and L-**phenylalanine**, both of which are starting materials for the peptide sweetener L-aspartyl L-phenylalanyl methyl ester (Aspartame), used, for example, in “lite” colas.

The amino acid market for synthesis applications is growing at an annual rate of 7% (US \$1 billion in the year 2009), of which the share of amino acids for **peptide sweeteners** alone is expected to be more than US \$400 million.

## Amino acids: industrial impact

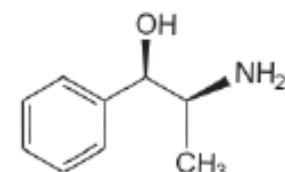
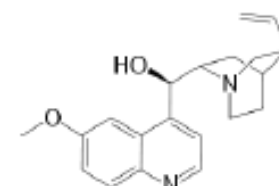
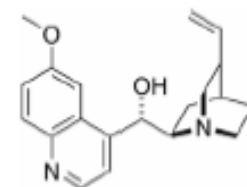
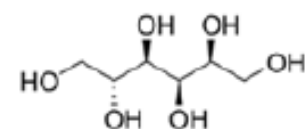
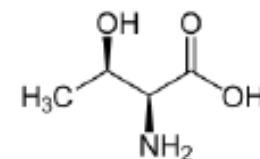
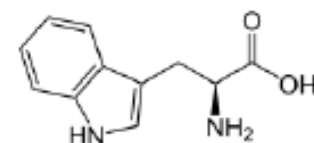
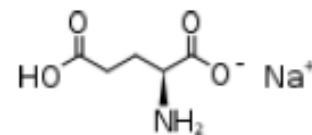
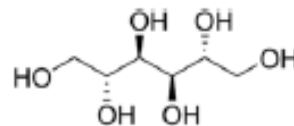
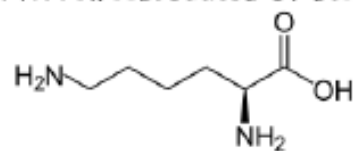
The remaining **proteinogenic** amino acids are required in the **pharmaceutical and cosmetics** industries and are also ideal raw materials for synthesis of chiral active ingredients, which in turn find application in such sectors as pharmaceuticals, cosmetics, and agriculture.

# Chiral pool

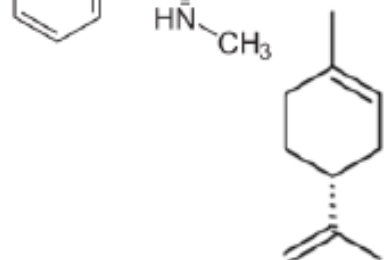
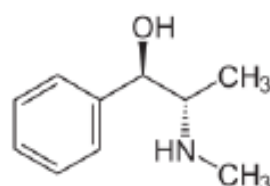
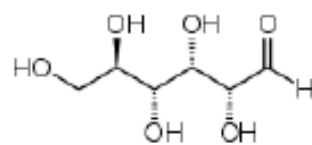
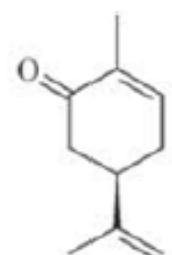
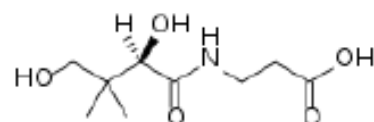
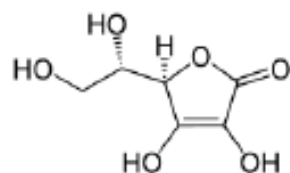
**Table 2.** Representative substances from the chiral pool<sup>a</sup>

Compound	Approx. price (US dollars kg <sup>-1</sup> )
Ascorbic acid	13
(+)-Calcium pantothenate	16
(-)-Carvone	23
Anhydrous dextrose	1.2
Ephedrine hydrochloride	62
(+)-Limonene	3
L-Lysine	3.2
Mannitol	7.5
Monosodium glutamate	2
Norephedrine hydrochloride	24
Quinidine sulphate	130
Quinine sulphate	75
Sorbitol	1.7
L-Threonine	12–50, depending on grade
L-Tryptophan	68

<sup>a</sup> Data from *Chemical Marketing Reporter*, Schnell Publishing, New York, 13 April (1990); reproduced by permission of the Editor.



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## PRODUCTION OF AMINOACIDS

**Extraction of amino acids from protein hydrolysate** as a method of obtaining L-amino acids is now of only limited importance; although still relevant for production of **L-serine, L-proline, L-hydroxy-proline, and L-tyrosine**, for example, it is not suitable for large-scale production of amino acids.

The extraction method for obtaining **L-glutamate** was superseded nearly 50 years ago by fermentation, following a sharp increase in demand for the flavor-enhancer MSG.

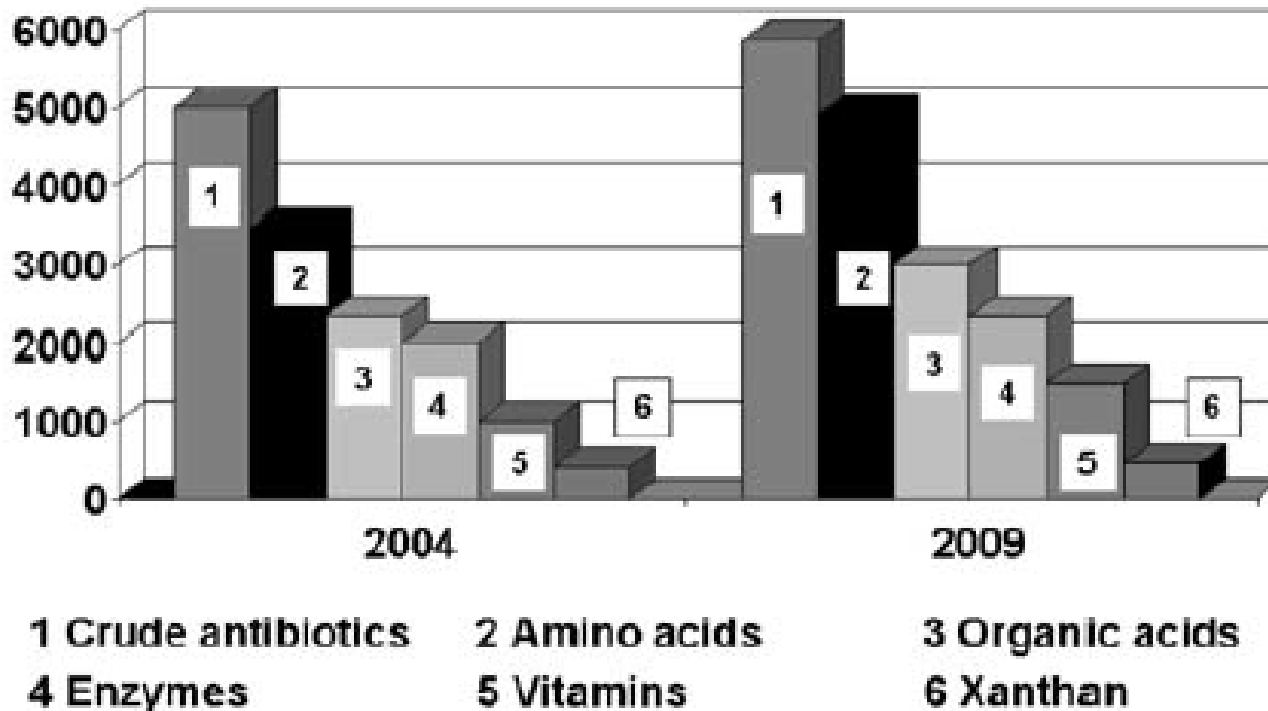
## MICROBIAL PRODUCTION OF AMINOACIDS

The discovery of the soil bacterium, *Corynebacterium glutamicum*, which is capable of producing L-glutamic acid with high productivity from sugar, paved the way for the success of the fermentation technique in amino acid production (Kinoshita et al. [1957](#)). It was advantageous that the wild strain could be used on an industrial scale under optimized fermentation conditions for **mass production of glutamate**.

***Fermentation methods*** are gaining importance for the preparation of enantiomerically pure compounds like **amino acids**,  $\beta$ -lactam antibiotics and vitamins. Many fermentations are complex multistep reactions, involving several different enzymes of living cell system.

US\$ millions

## MICROBIAL PRODUCTION OF AMINOACIDS



In the world market for fermentation products (ethanol excluded), has been US \$17.8 billion in 2009, the amino acids are the second most important category, after antibiotics, with fermentation products exhibiting the highest growth rates .



## Biotechnological production of sodium glutamate

The fermentation process is in principle very simple: a fermentation tank is charged under sterile conditions with a culture medium containing a suitable carbon source, such as **sugar cane syrup**, as well as the required nitrogen, sulfur, and phosphorus sources, and some trace elements. A culture of the production strain prepared in a fermenter is added to the fermentation tank and stirred under specified conditions (temperature, pH, aeration).

The **L-glutamic acid released by the microorganism** into the fermentation solution is then obtained by **crystallization** in the recovery section of the fermentation plant.

MSG (**1.5 million tons**) is currently produced each year by this method, making L-glutamic acid the number one amino acid in terms of production capacity and demand (Ajinomoto [2003](#)).

## Biotechnological production of lysine: *Corynebacterium glutamicum*

Lysine is a preferred additive to animal feeds for pig breeding (as the first limiting amino acid) and poultry (second limiting amino acid, after methionine).

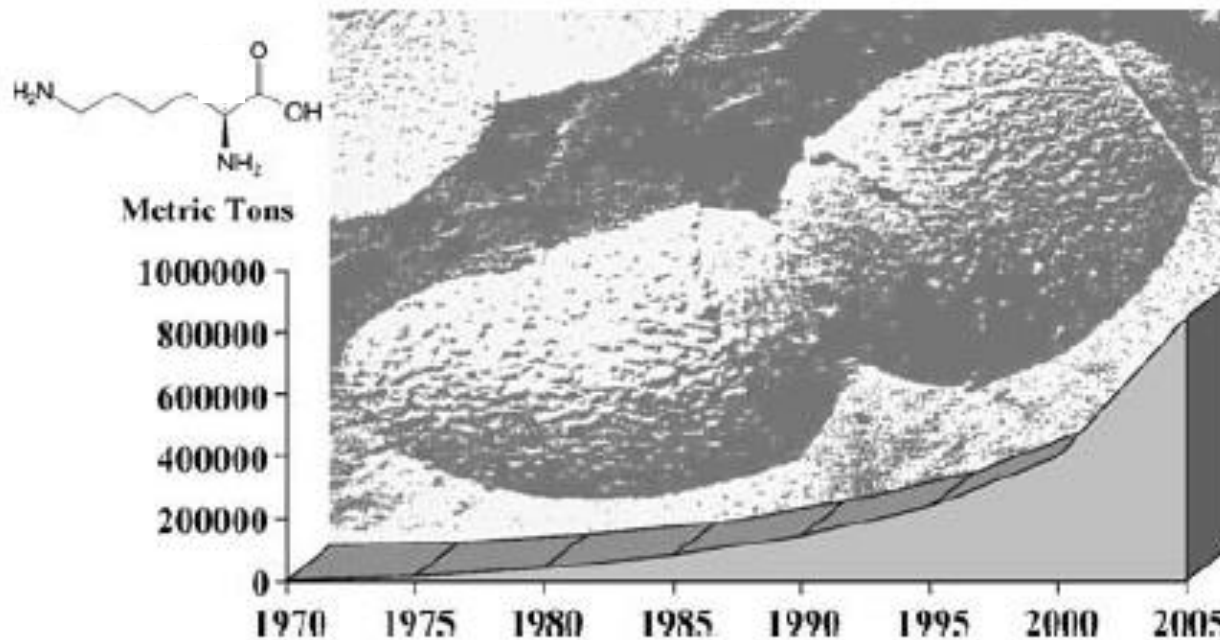


Fig. 3 Global market for L-lysine (1970–2005). The picture shows the lysine-producing mutant of *C. glutamicum*—after cell division

## Biotechnological production of lysine

Production of lysine hydrochloride in 2005 is estimated at 850,000 tons.

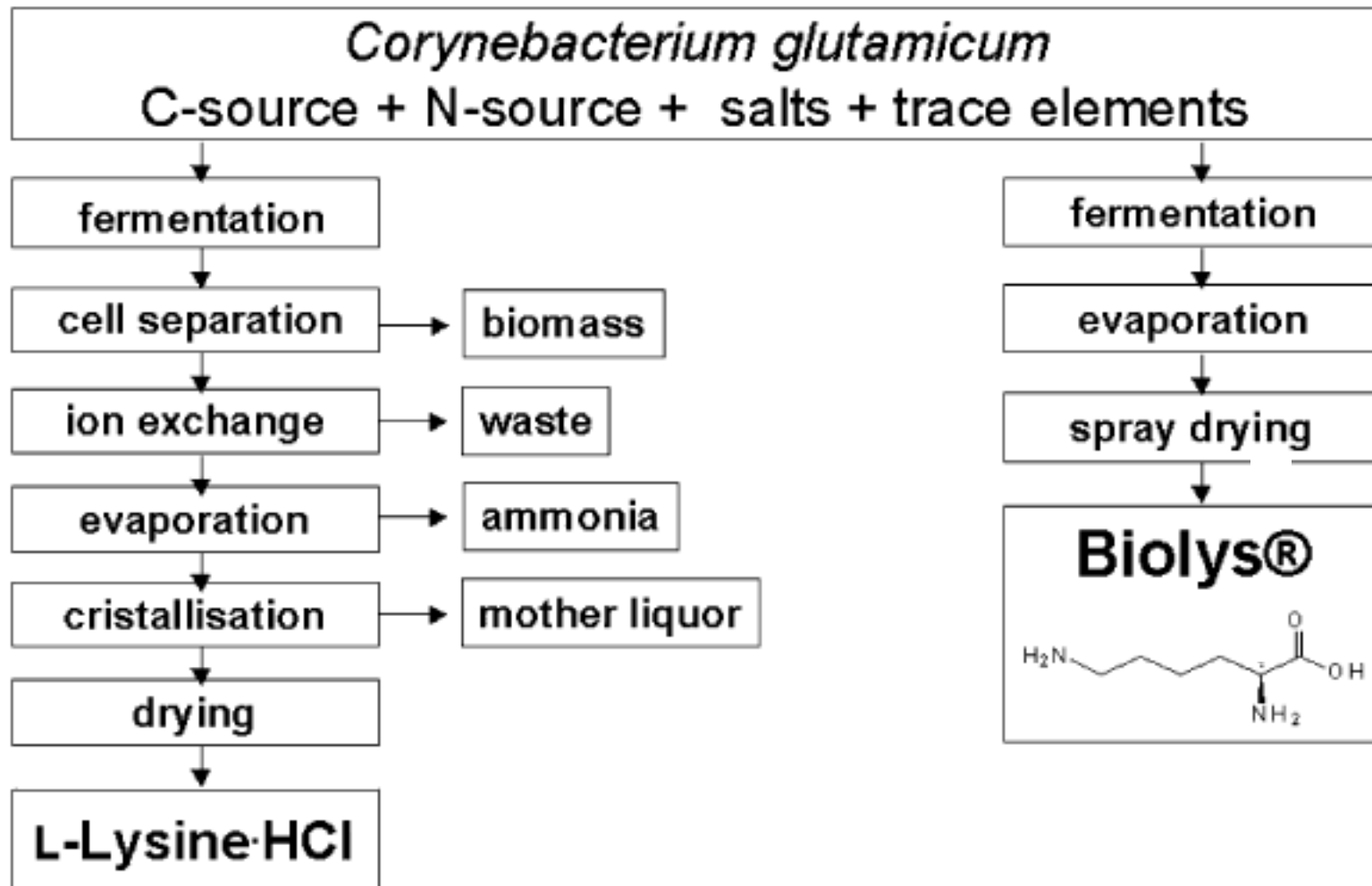
The main producers of lysine are the companies Ajinomoto (Japan), ADM (USA), Cheil-Jedang (South Korea), and Global BioChem (China) as well as BASF and Degussa (Germany).

The strains used are exclusively high-performance mutants of *C. glutamicum*, usually fermented by the fed-batch process, in which nutrients are added in a controlled manner in accordance with the requirements of the culture solution, allowing optimal yields and productivities.

Competitiveness is determined not only by the performance of the production strain, but can also be increased by a conveniently produced product form. Thus, in addition to the classic product form lysine **hydrochloride**, **other forms such as granulated lysine sulfate (Biolys) and** liquid lysine have also become established where the production is more economical and generates **less liquid and solid waste**.

# Biotechnological production of lysine

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## Selected amino acid producing strains

The amino acids **L-phenylalanine** and **L-cysteine**, both of which were previously produced mainly with the help of enzymes, can now be obtained more cost effectively by fermentation with ***E. coli*** strains and are thus available to a larger and growing market.

Almost all proteinogenic amino acids, with a few exceptions, can be produced industrially by specially developed mutants of ***C. glutamicum*** or ***E. coli***.

Amino acid	Strain/mutant	Titer (g/l)	Estimated yield (g/100 g sucrose)
L-Lysine HCl	<i>C. glutamicum</i> B-6	100	40–50
L-Threonine	<i>E. coli</i> KY 10935	100	40–50
L-Tryptophan	<i>C. glutamicum</i> KY9218/pIK9960	58	20–25
L-Tryptophan	<i>E. coli</i>	45	20–25
L-Phenylalanine	<i>E. coli</i> MWPWJ304/pMW16	51	20–25
L-Arginine	<i>Brevibacterium flavum</i> AJ12429	36	30–40
L-Histidine	<i>C. glutamicum</i> F81/pCH99	23	15–20
L-Isoleucine	<i>E. coli</i> H-8461	30	20–30
L-Serine	<i>Methylobacterium</i> sp. MN43	65	30–35
L-Valine	<i>C. glutamicum</i> VR 3	99	30–40

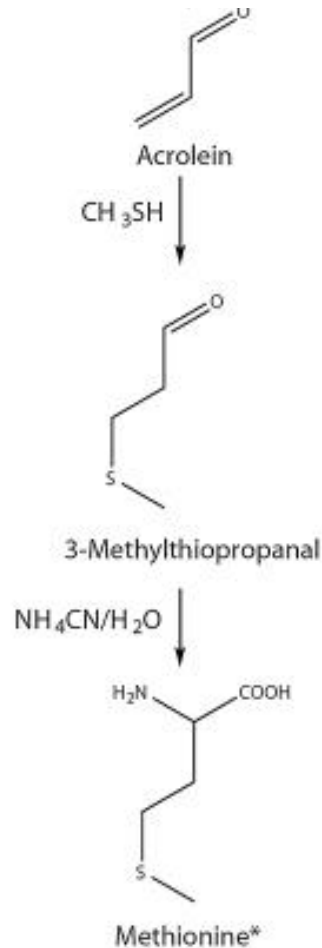
## Large scale chemical production of D,L-Methionine

**sulfur-containing amino acid methionine**, is the first limiting amino acid in poultry, is of particular importance.

Researchers at Deutsche Gold- und Silber-Scheideanstalt (Degussa AG since 1980 of Evonik) studied the use of the synthetic amino acid methionine to treat the widespread **nutritional edema**, the result of chronic **protein insufficiency suffered by soldiers returning home from the war**. The first technically feasible synthesis of D,L-methionine at Degussa was achieved by Werner Schwarze, Hans Wagner and Hermann Schulz in 1946/47.

## Large scale chemical production of D,L-Methionine

Despite the experience gained from lysine and threonine fermentation, attempts to develop a cost-effective production of L-methionine by the fermentation pathway have so far proved unsuccessful



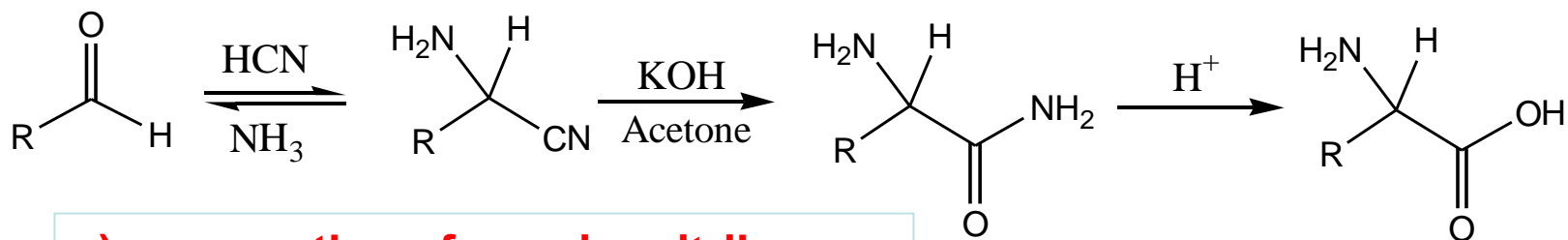
The fact that the **D-form, not found in nature, is enzymatically converted into the nutritive L-form** in the animal organism by means of an oxidase and transaminase allows direct use of the synthetic racemic mixture.

## Enzymatic production of enantiomerically pure aminoacids

- For other amino acids, there is no comparable enzyme system for conversion of the D-form, and there is no fermentation process with adequate yield.
- For these amino acids, it is necessary to produce the enantiomerically pure form using enzymatic procedures.
- The **racemates** are generally produced by **chemical synthesis**.

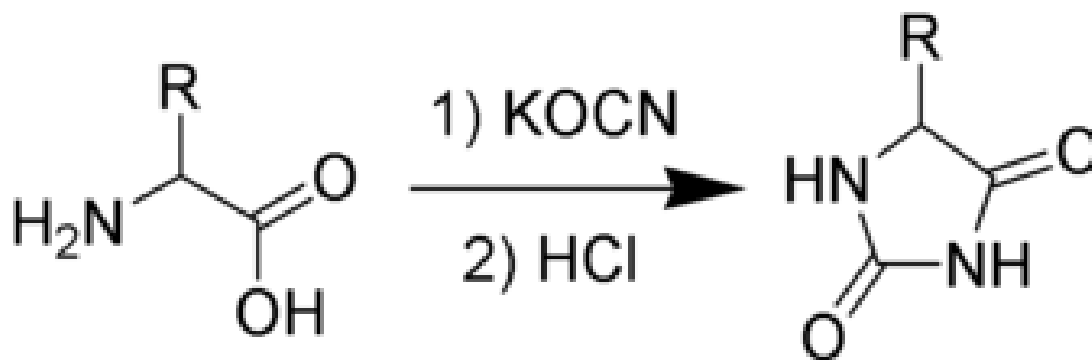


## Synthesis of intermediates of D,L-amino acids:



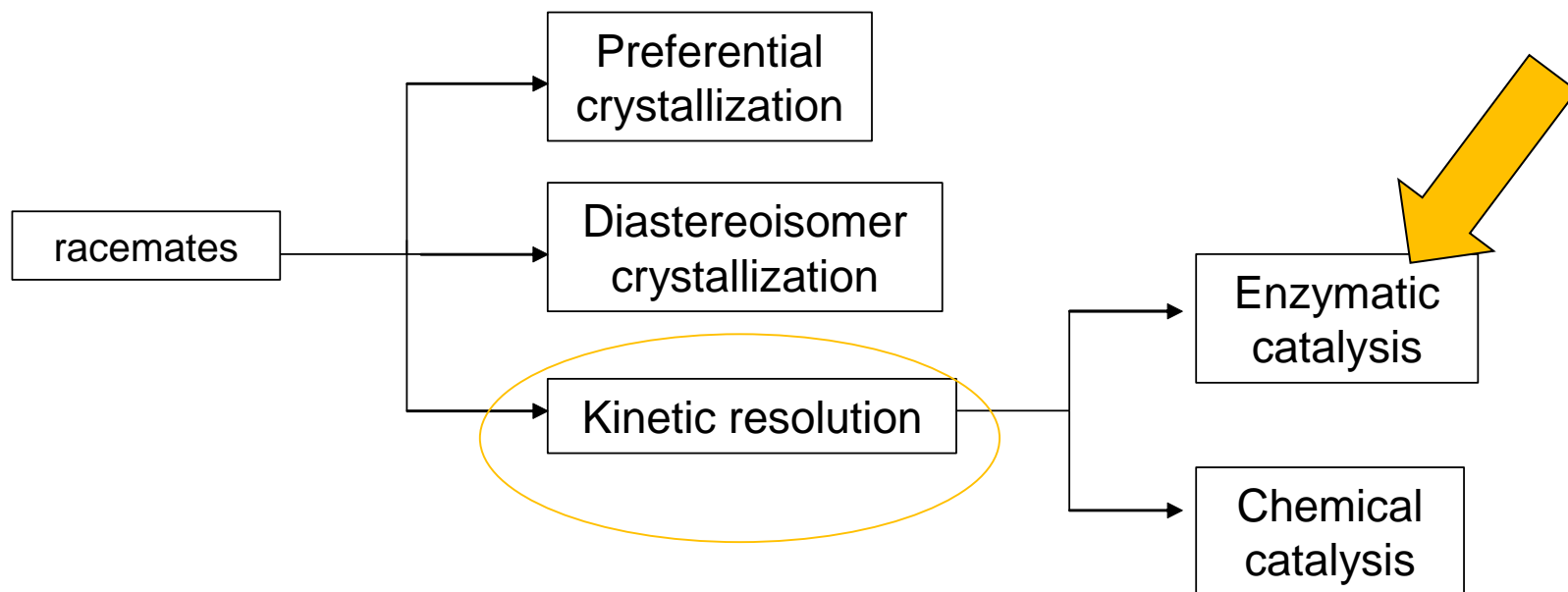
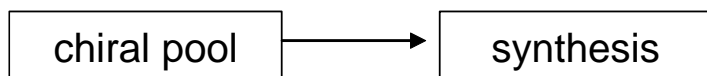
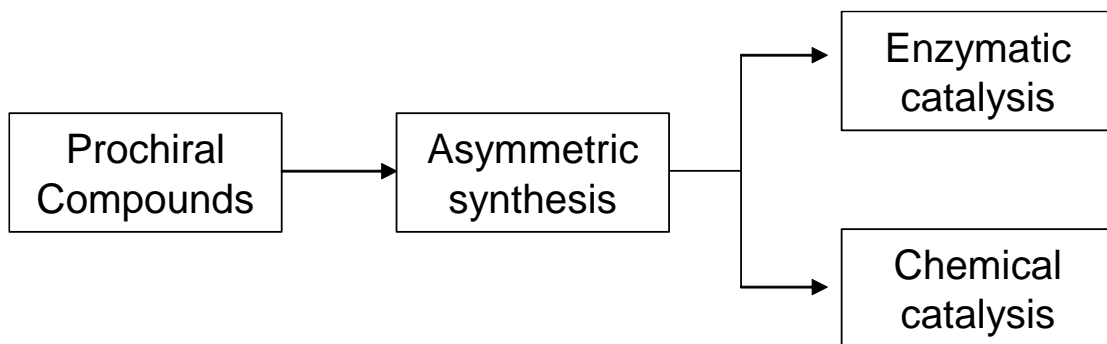
a) preparation of  $\alpha$ -aminonitriles,  
b) hydrolysis of the nitrile.

1. Strecker



2. Hydantoin

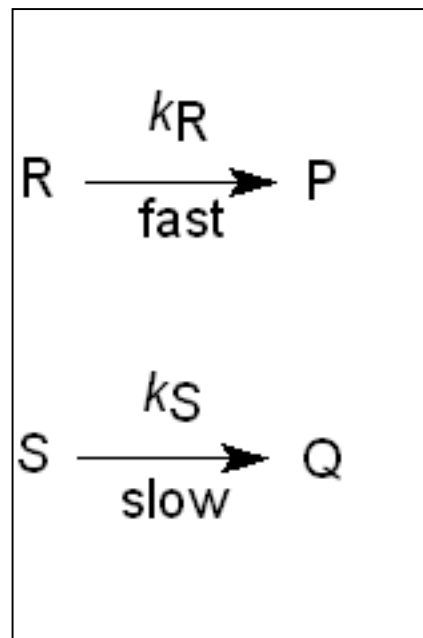
# Synthetic methods for the production of pure enantiomers



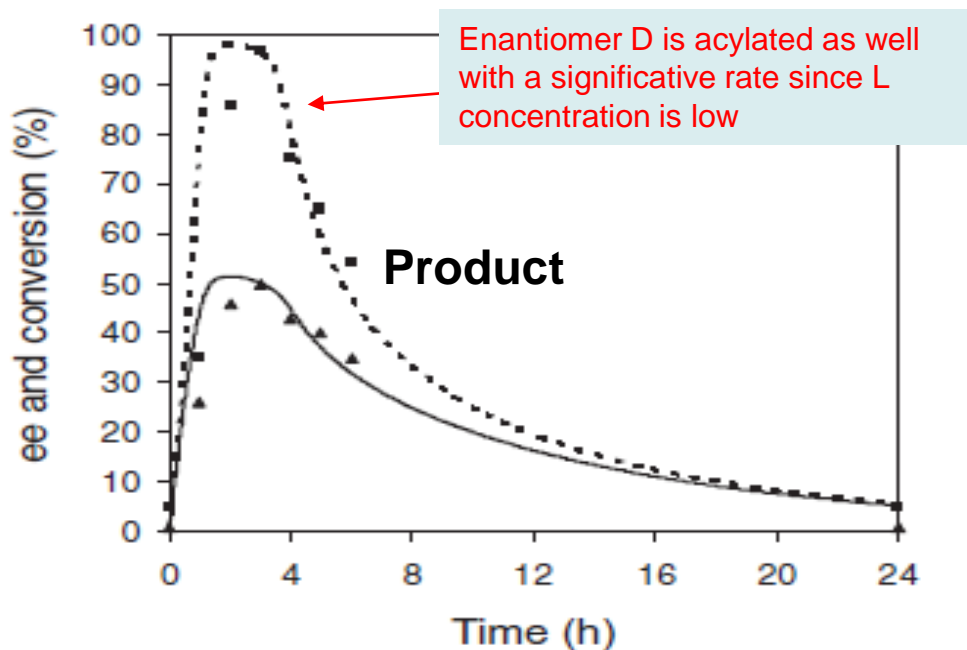
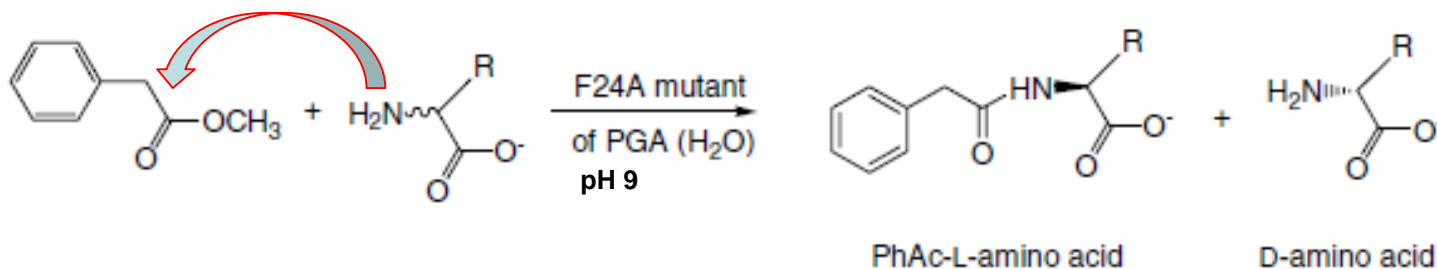
***Kinetic resolution of racemates:***  
***typical application of hydrolases***

When an enzymatic catalytic reaction is followed in time, *ideally* only one enantiomer reacts and the reaction stops at **50% conversion**.

- Resolutions have a maximum theoretical yield of 50%
- Unwanted enantiomer is wasted or at best recycled



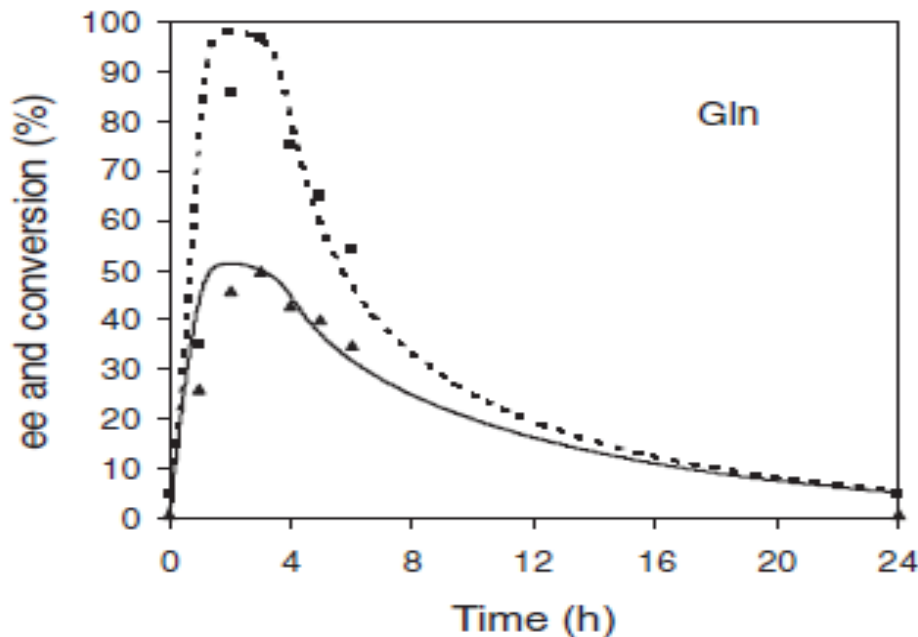
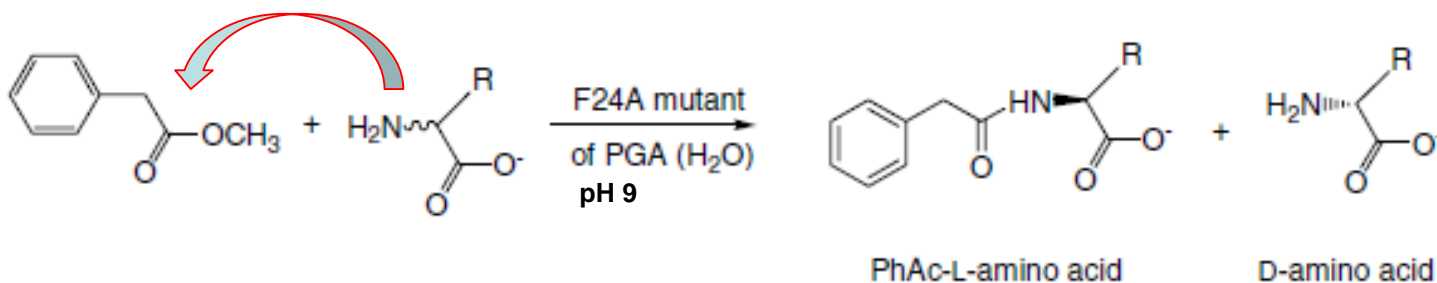
# Resolution of aminoacid racemates *via* acylation: max 50% yield



$$\text{e.e.}\% = \frac{C_R - C_S}{C_R + C_S} \times 100$$

**NB!!! Changes throughout the reaction**

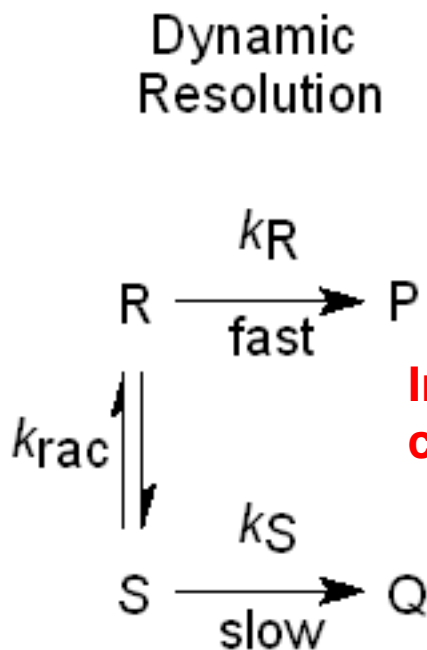
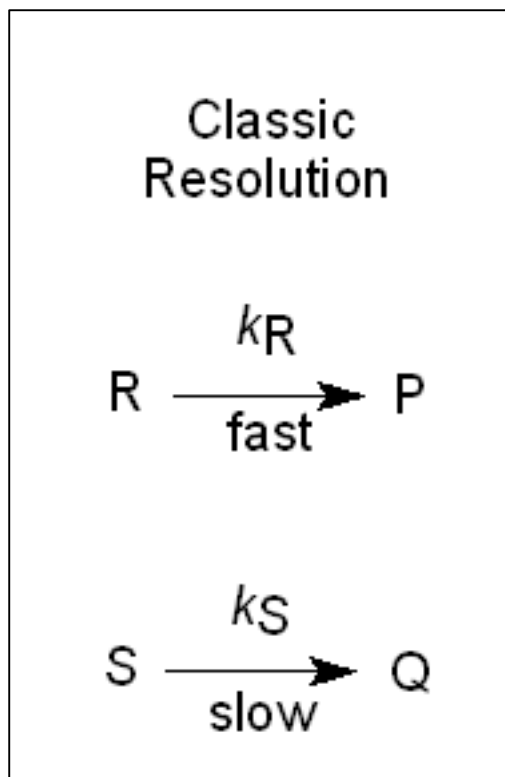
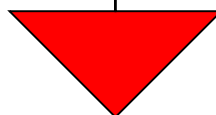
# Resolution of aminoacid racemates *via* acylation: max 50% yield



It is easier to achieve higher ee% of the unreacted reagent by pushing the reaction beyond 50% : lower yield but higher ee%

Reaction must be stopped at the most favourable time

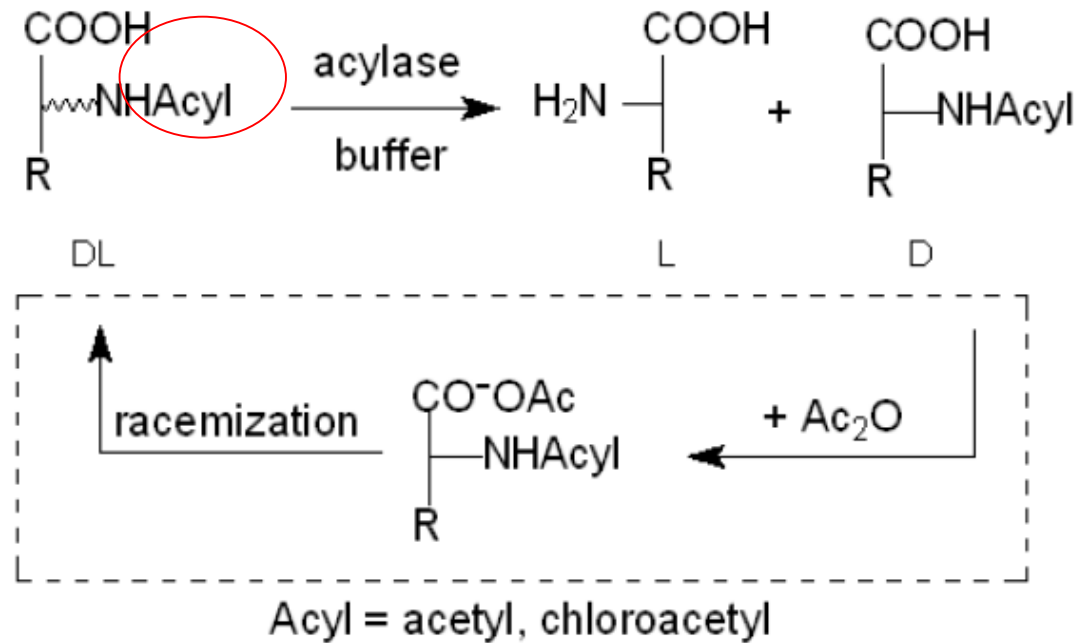
# How to overcome the maximum 50% yield? Dynamic Kinetic Resolution



**In situ racemization (may be chemical or enzymatic)**

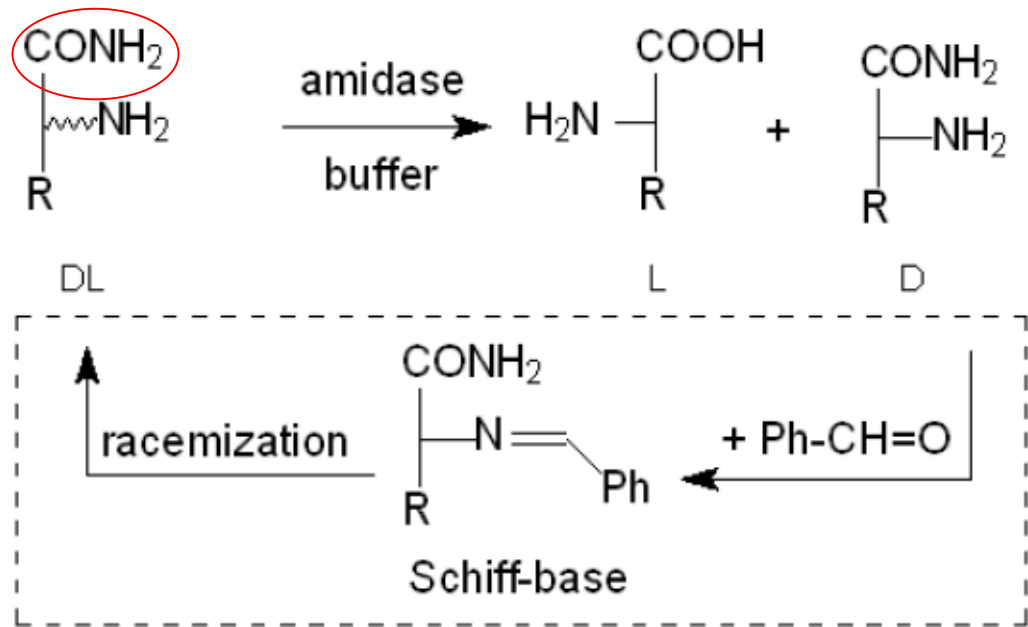
R, S = substrate enantiomers  
P, Q = product enantiomers

# DKR: Hydrolysis of N-acylated amino acids



- *rac* - *N* -acyl amino acids as substrates.
- use of acylases from porcine kidney or from *Aspergillus* or *Penicillium* sp.
- resolution of *N* -acetyl tryptophan and -phenylalanine on an industrial scale using immobilized enzymes in column reactors.
- the non-reacting D-enantiomer may be recycled *via* **racemization** of the corresponding **mixed anhydride** intermediate in a separate step.

## DKR: Hydrolysis of amides of aminoacids

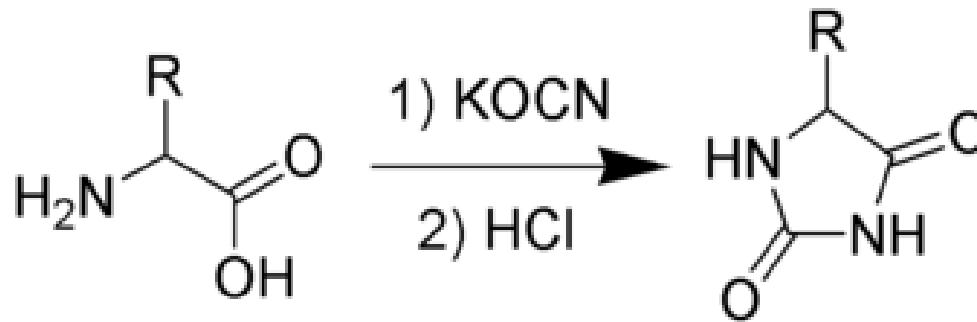


- use of L-selective amidases from *Pseudomonas*, *Aspergillus* or *Rhodococcus* sp.,
- hydrolyze **L-amino acid amides** from a racemate.

The possibility to **recycle** the unreacted D-configured amide *via* its corresponding Schiff-base with benzaldehyde in a separate step makes this procedure economical.



***Synthetic intermediates of D,L-amino acids:  
hydantoines***

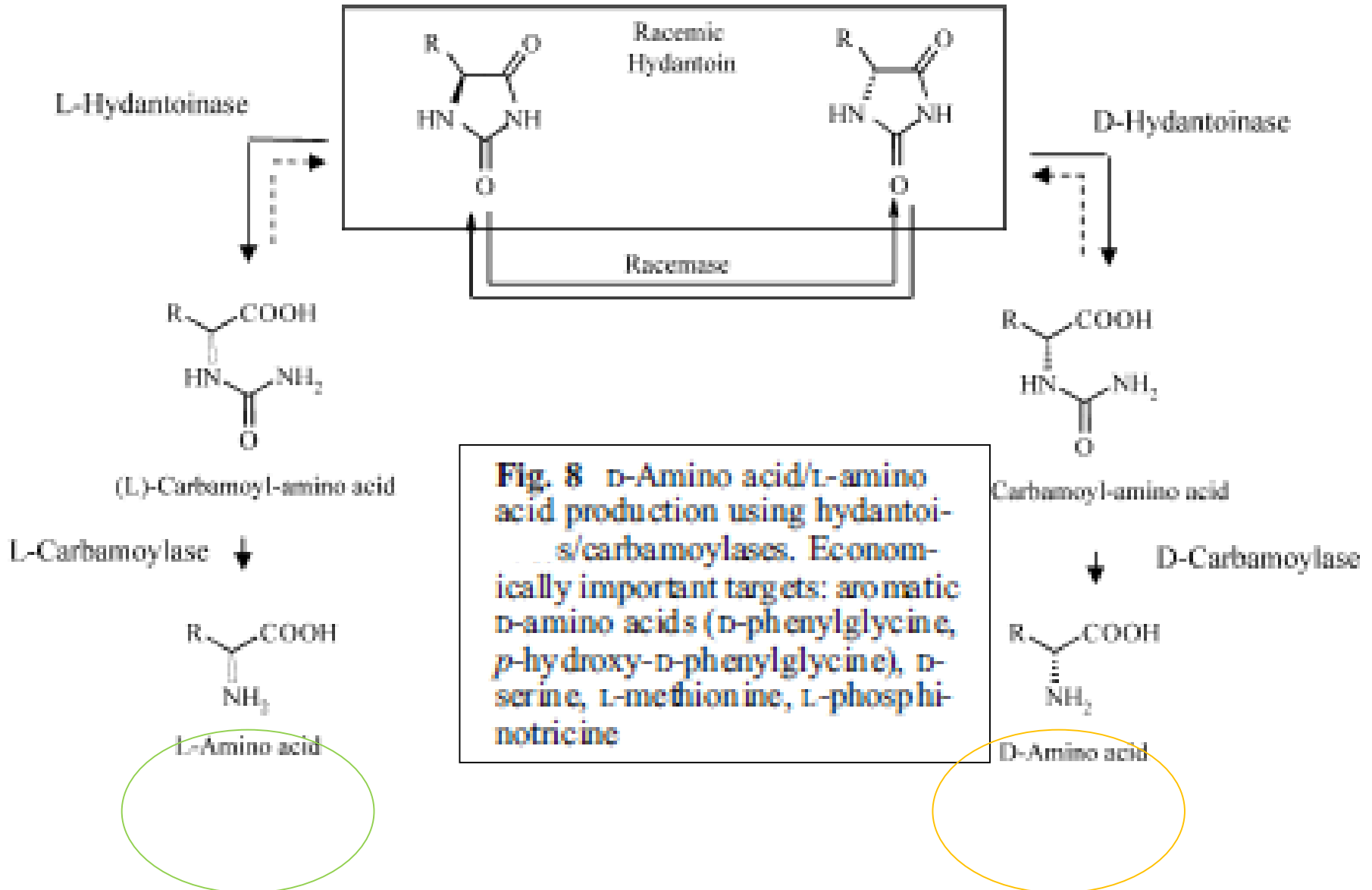


**hydantoines**

A promising route to enantiomerically pure amino acids, both L- and D-enantiomers, is based on conversion of hydantoins via **hydantoinases** and, additionally, **carbamoylase**.

# 5-substitued hydantoins

1. hydantoinase
2. carbamoylase,
3. hydantoin racemases



## Dynamic Kinetic Resolution (DKR)

### Racemization using enzymes

The use of an **enzyme**, rather than a transition metal catalyst, represents an attractive option for combined DKR reactions in view of the likely mild conditions associated with enzyme-catalyzed racemization processes.

**Racemases** belong to the group of enzymes EC 5.1.X.X and contain notable members such as mandelate racemase and various **amino acid racemases**.

# Method of the 5-substitued hydantoins for side chain antibiotics production

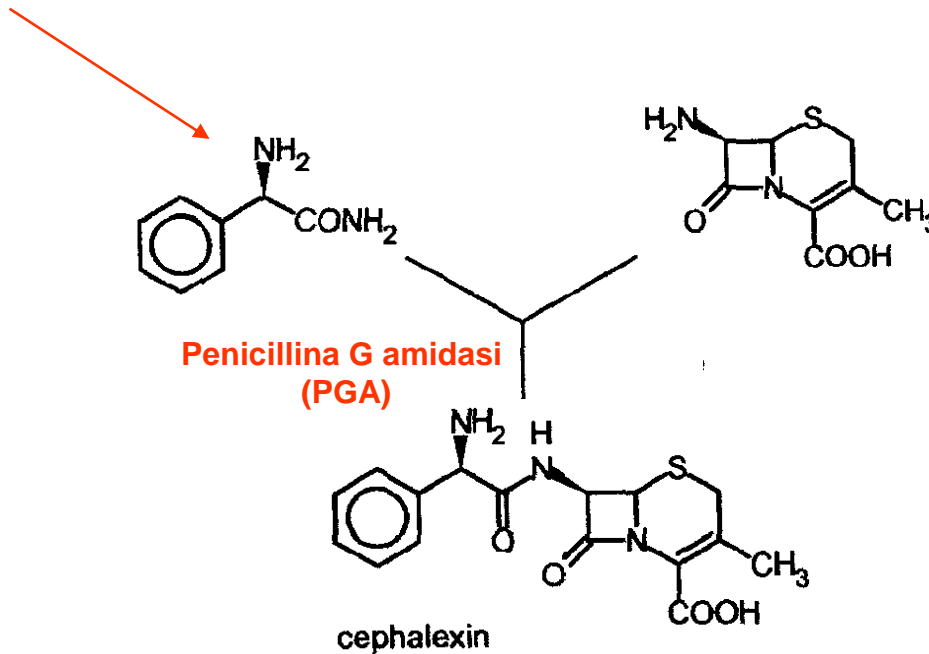
D-Phenylglycin

D-p-hydroxyphenyglycine



Side chains of beta lactams antibiotics

(ampicillin, cephalixin amoxycillin)



Recordati (IT)

# Method of the 5-substitued hydantoins

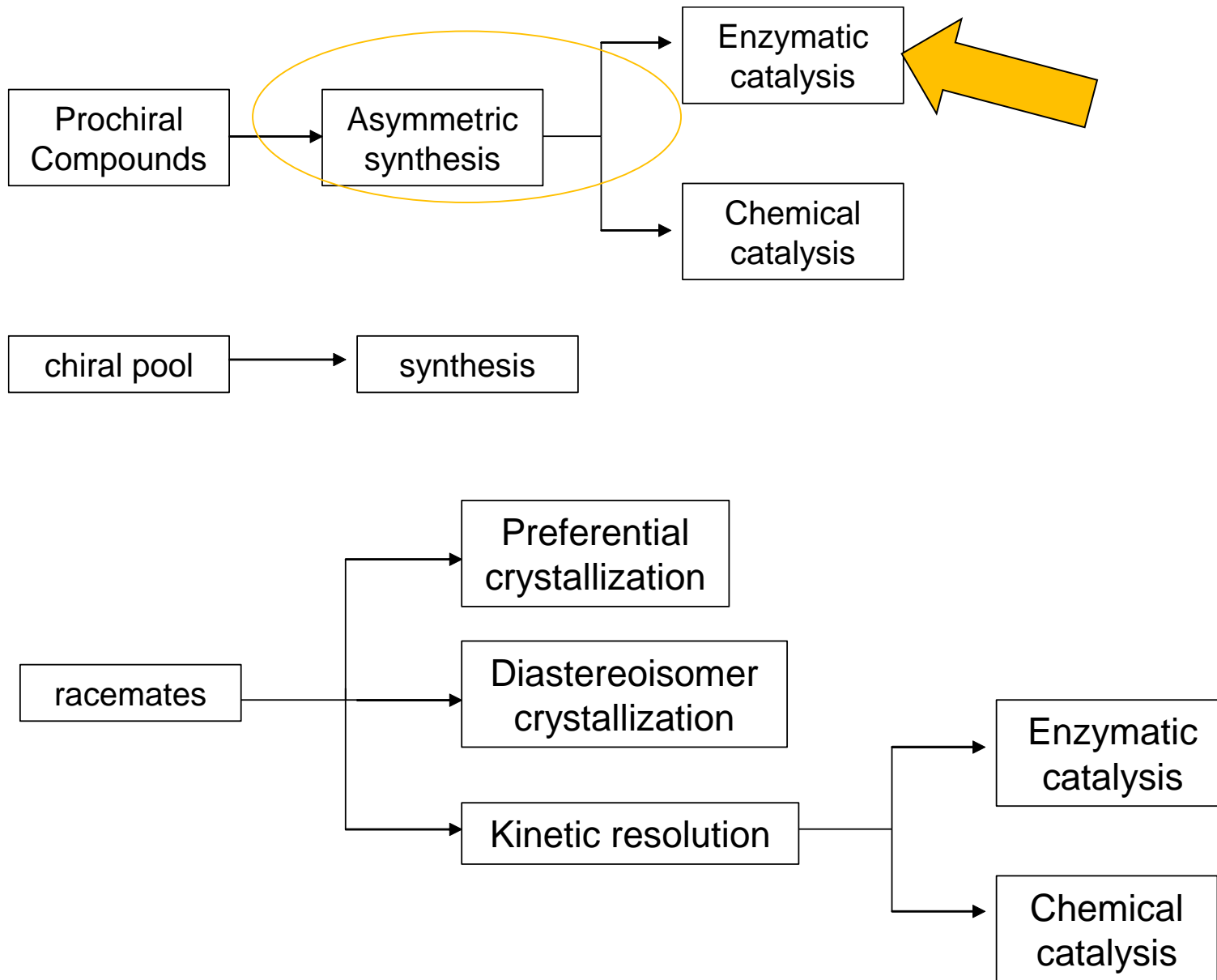
**D-serine**

**L-methionine**

**Degussa (D):**

whole **cells coexpressing** L-carbamoylase + hydantoin  
racemase + hydantoinase

# Enantiomerically pure aminoacids *via* enzymatic asymmetric synthesis



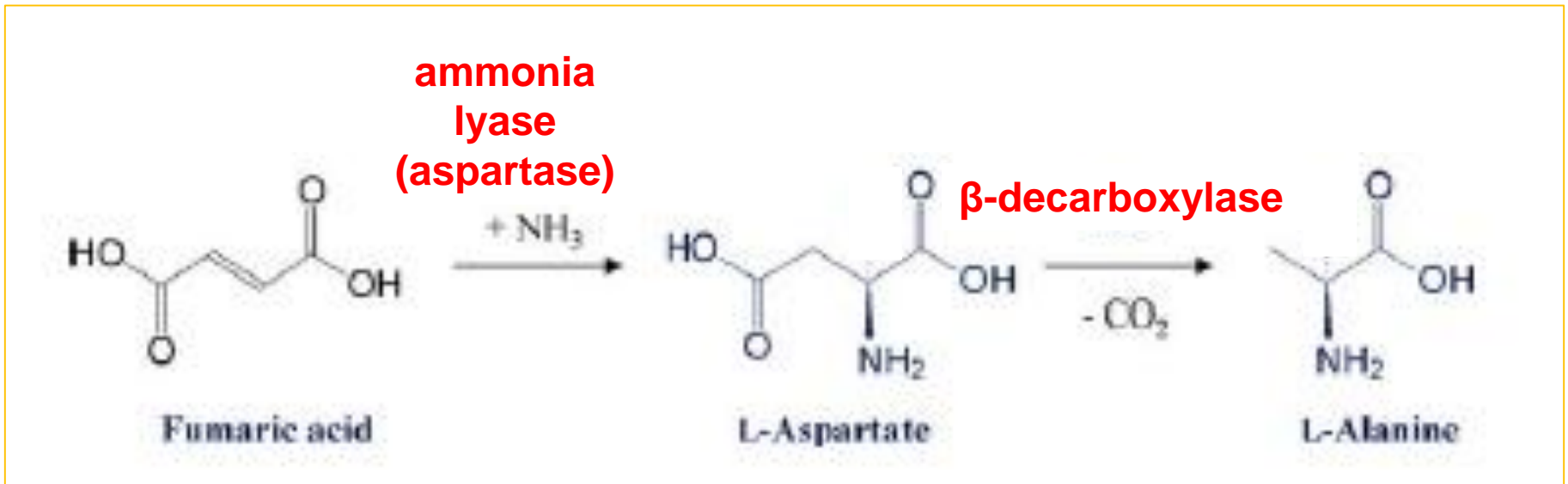
# L-Aspartic acid: Asymmetric enzymatic synthesis

Achiral substrate + chiral  
(enantioselective)  
biocatalyst

1. addition of ammonia to fumaric acid catalyzed by **ammonia lyase** from *E.coli*, also called aspartase

2. L-aspartate (which is required in large quantities for the sweetener Aspartame).

3. aspartate  **$\beta$ -decarboxylase** from *Pseudomonas dacunhae* transforms aspartic acid into **L-alanine**



- **Lyases catalyze the addition or removal of a chemical group without passing through hydrolysis, oxidation, transfer**

**Lyases can act on**

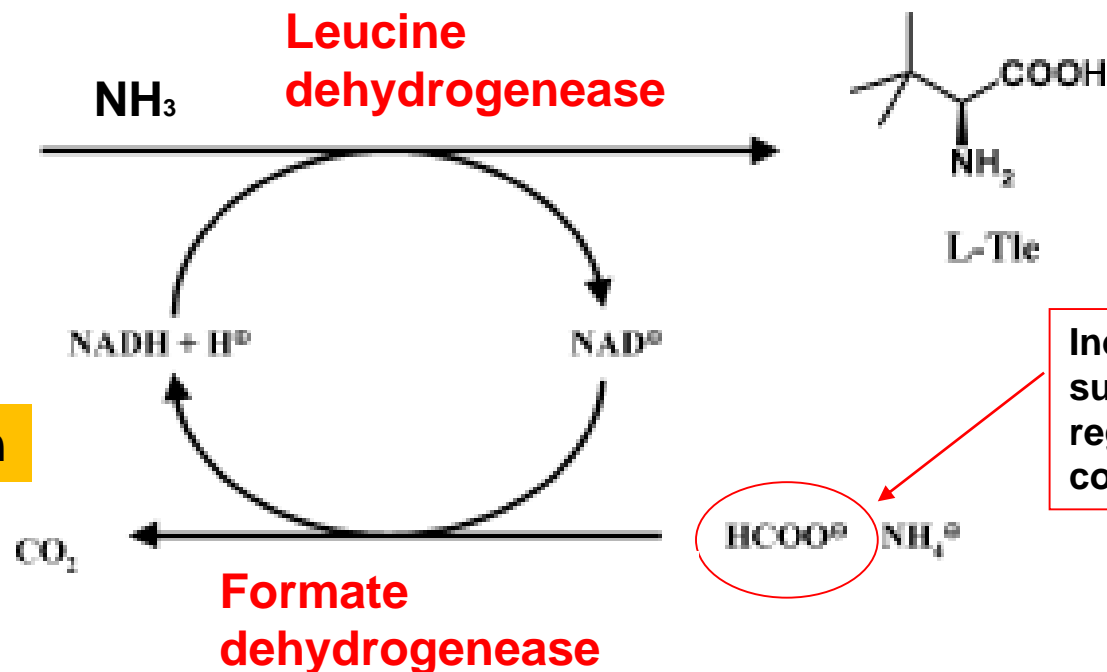
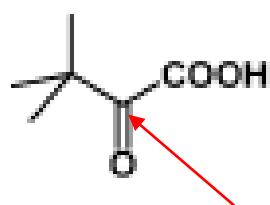
- **C - C bonds (decarboxylases; aldolases)**
- **C - O (hydratases or dehydratases)**
- **C - N**
- **C - S**
- **C - X**



# Asymmetric enzymatic synthesis

## Synthesis of L-*tert*-leucine via reductive amination of trimethyl pyruvate

**Prochiral compound:**



Inexpensive substrate to regenerate cofactor

**Cofactor regeneration**

Use of recombinant *E. coli* coexpressing **Leucine dehydrogenase** and NAD<sup>+</sup>-dependent **Formate dehydrogenase**.

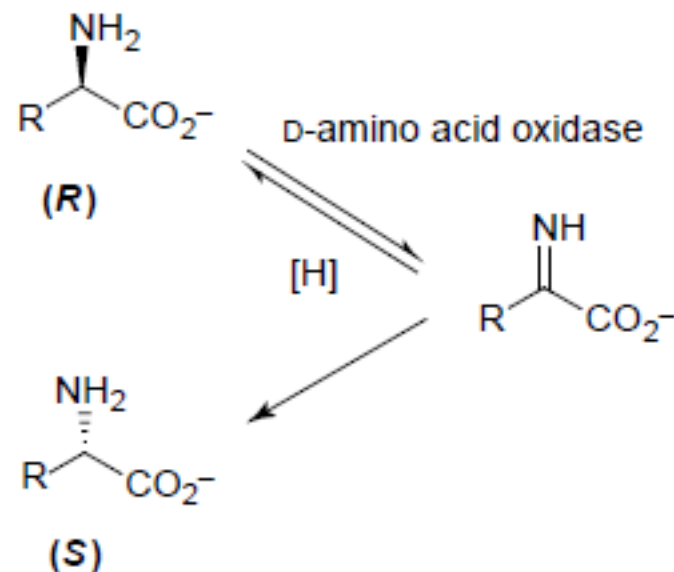
# Deracemisation

Deracemisation reactions tend to **involve redox processes**, for example the **interconversion of amino acids via the corresponding imine**

1. combines a highly **enantioselective amino acid or amine oxidase**
2. with a ***nonselective chemical reducing agent***

The complete transformation of a racemate into one single enantiomer is defined as a deracemization. In amino acid chemistry, chemo-enzymatic deracemization is possible due to the ease of racemization of  $\alpha$ -amino acids and the numerous enantioselective enzymatic systems operating on this class of compounds. Deracemization by dynamic kinetic resolution is a process in which the enantioselective catalyst is coupled with a second one promoting racemization of the reagent but not of the product.

Deracemization by stereo-inversion is a convergent process in which **the transformed isomer is finally converted into its enantiomer**. These transformations can be applied for the preparation of enantiomerically pure  $\alpha$ -amino acids of non-natural configuration or of L- $\alpha$ -amino acid of non-natural structure.



**Only (R ) is oxidized**



## CHIRALITY AND PHARMACEUTICALS

Chirality in biologically active molecules is of natural occurrence. Traditionally, it was common practice for a pharmaceutical company to market a chiral drug as the racemate, and as recently as **1985**, more than 75% of chiral drugs were sold as the racemate.

This policy implied that each dose of a drug is contaminated with an equal amount of an isomer, which usually has **no therapeutic value** but may have the **potential to cause unsuspected deleterious side effects**.

**B** –biotechnol \* chyral  
 § not chyral

**Top drugs 2011-13**

2011		2012		2013	
Rank	Drug	Rank	Drug	Rank	Drug
1	<i>Lipitor</i> *	1	Nexium *	1	Abilify §
2	<i>Plavix</i> *	2	Abilify §	2	Nexium *
3	Nexium *	3	Crestor *	3	Cymbalta *
4	Abilify §	4	Advair Diskus*	4	Humira <b>B</b>
5	Advair Diskus*	5	Cymbalta *	5	Crestor *
6	<i>Seroquel</i> <b>B</b>	6	Humira <b>B</b>	6	Advair Diskus *
7	<i>Singulair</i> *	7	Enbrel <b>B</b>	7	Enbrel <b>B</b>
8	Crestor *	8	Remicade <b>B</b>	8	Remicade <b>B</b>
9	Cymbalta *	9	Copaxone *	9	Copaxone *
10	Humira <b>B</b>	10	Neulasta <b>B</b>	10	Neulasta <b>B</b>

- 1** Aripiprazolo: Chinolinone, è una molecola che viene utilizzata come antipsicotico atipico. L'aripiprazolo è utilizzato soprattutto nel trattamento della schizofrenia, del disturbo bipolare e del disturbo depressivo maggiore
- 2** L'esomeprazolo è un inibitore di pompa protonica (IPP), una molecola utilizzata per la terapia di patologie gastrointestinali acido-correlate, quali l'ulcera e la malattia da reflusso gastroesofageo (GERD), oltre che per la prevenzione di possibili lesioni gastriche derivanti dall'assunzione di farmaci FANS.
- 3** La duloxetina è il principio attivo di un antidepressivo appartenente alla classe degli inibitori della ricaptazione della serotonina e noradrenalina (SNRI).
- 4** Adalimumab, noto anche come D2E7, è un anticorpo monoclonale umano IgG1 specifico per il fattore di necrosi tumorale alfa (Tumor Necrosis Factor alpha, TNF-alfa), approvato per il trattamento di artrite reumatoide, artrite idiopatica giovanile poliarticolare, artrite psoriasica, psoriasi, morbo di Crohn, spondilite anchilosante, colite ulcerosa.
- 5** La *rosuvastatina* (Crestor, Provisacor) è un farmaco usato per abbassare il colesterolo nei pazienti con livelli elevati. Appartiene alla classe delle statine.

# Abilify (achiral)

## ARIPRIPAZOLE:

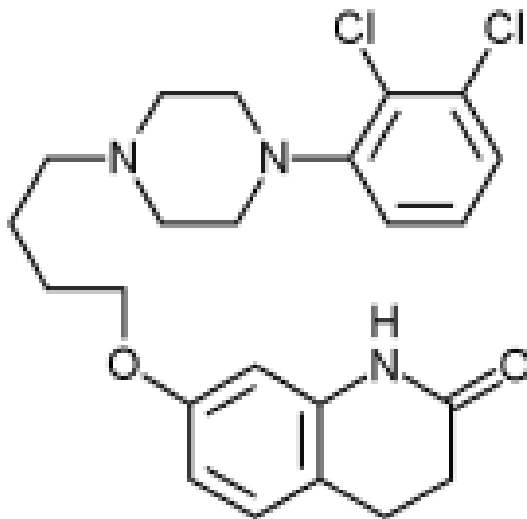
atypical antipsychotic

schizophrenia

bipolar disorder

major depressive disorder

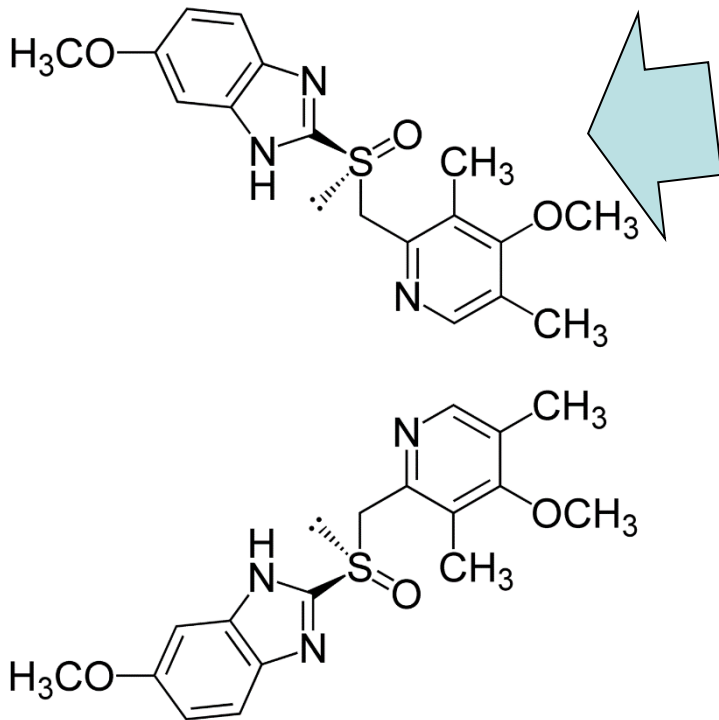
irritability associated with autism



**Omeprazole** is a racemate.

At the cellular level, both of these isomers convert to the same inhibitor of the H<sup>+</sup>,K<sup>+</sup>-ATPase (proton pump inhibition) and produce the same reduction in gastric acid secretion.

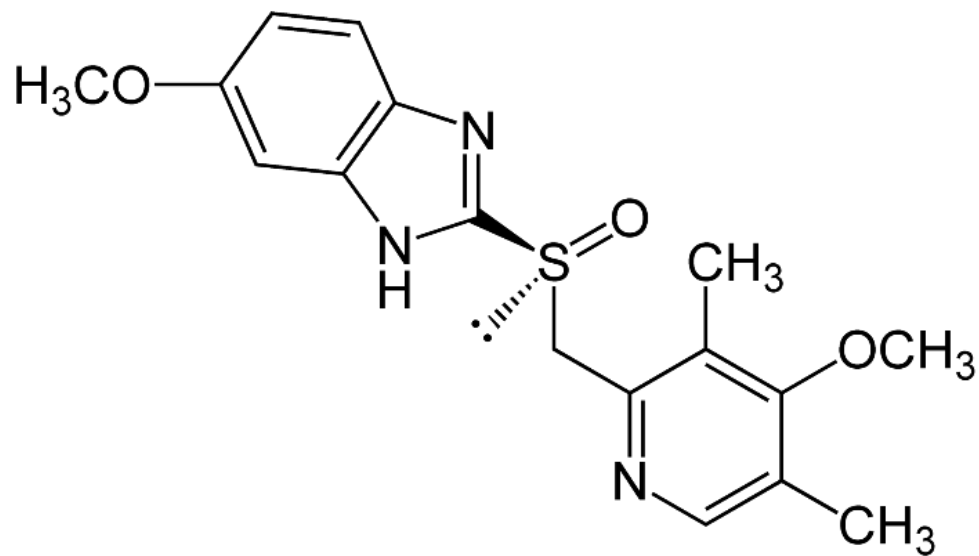
Omeprazole is a racemate with a central sulphur atom, which acts as a chiral centre;



S-isomer, **esomeprazole**, is metabolized more slowly and reproducibly than the R-isomer and omeprazole, and therefore produces higher plasma concentrations for longer and, as a result, inhibits gastric acid production more effectively and for longer. Thus, esomeprazole has the pharmacological properties of a more effective form of treatment for disorders related to gastric acid secretion



S-isomer, **esomeprazole**



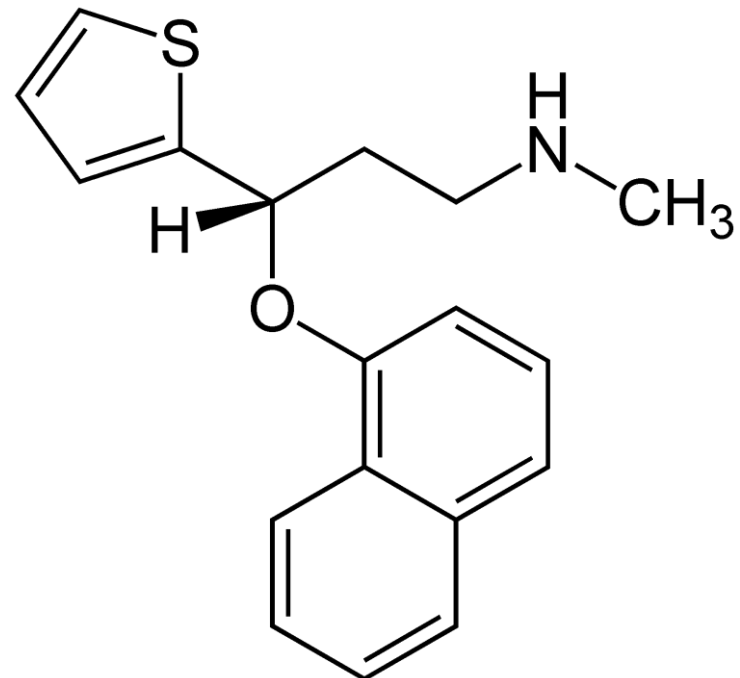
**Obtained via  
asymmetric oxidation  
using Ti based catalyst  
(94% ee)**

# Cymbalta

La duloxetine

prescribed for major depressive disorder, generalized anxiety disorder, fibromyalgia and neuropathic pain

Cymbalta generated sales of nearly \$5 billion in 2012 with \$4 billion of that in the U.S., but its patent protection terminated January 1, 2014. Lilly received a six-month extension beyond June 30, 2013 after testing for the treatment of depression in adolescents, which may produce \$1.5 billion in added sales.



# Process for preparation of duloxetine hydrochloride

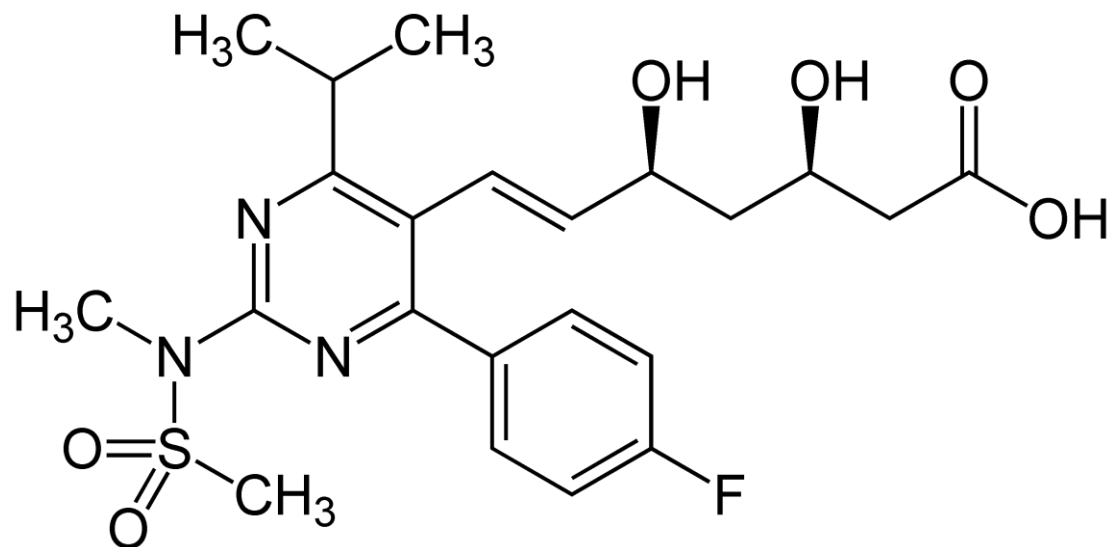
## US 8269023 B2

- An improved process for synthesis of duloxetine hydrochloride (1) having chiral purity greater than 99.9% that is characterized by the following: (i) preparation of racemic condensed compound (RS)—N,N-dimethyl-3-(1-naphthyloxy)-3-(2-thienyl)propanamine (4) by reaction of racemic hydroxy compound (2) with 1-fluoronaphthalene (3) in presence of a base such as sodamide, potassium amide or potassium bis(trimethylsilyl)amide (KHDMS) in polar aprotic solvent,
- (ii) optical resolution of racemic condensed compound (5a+5b) with di-benzoyl-L-tartaric acid (7, DBTA, R=H) or di-para-anisoyl-L-tartaric acid (7, DATA, R=OCH<sub>3</sub>) to obtain crude (S)—N,N-dimethyl-3-(1-naphthyloxy)-3-(2-thienyl)propanamine dibenzoyl tartarate salt (8a) or (S)—N,N-dimethyl-3-(1-naphthyloxy)-3-(2-thienyl)propanamine di-p-anisoyl tartarate salt (9a) respectively,
- (iii) optionally purification of crude tartarate salts (8a or 9a) by crystallization,
- (iv) optionally purification of duloxetine hydrochloride (1) by crystallization and
- (v) racemization of undesired (R)—N,N-dimethyl-3-(1-naphthyloxy)-3-(2-thienyl)propanamine (5b) by treatment with base potassium bis(trimethylsilyl)amide (KHDMS) to obtain racemic mixture of condensed compounds (5a and 5b).

***Classical resolution in chemistry*** is based on formation of **diastereomers**, by addition of a pure enantiomer (from the chirality pool) to the racemate solution, which can be separated by **crystallization**.  
To date it has been the most commonly used industrial technique.

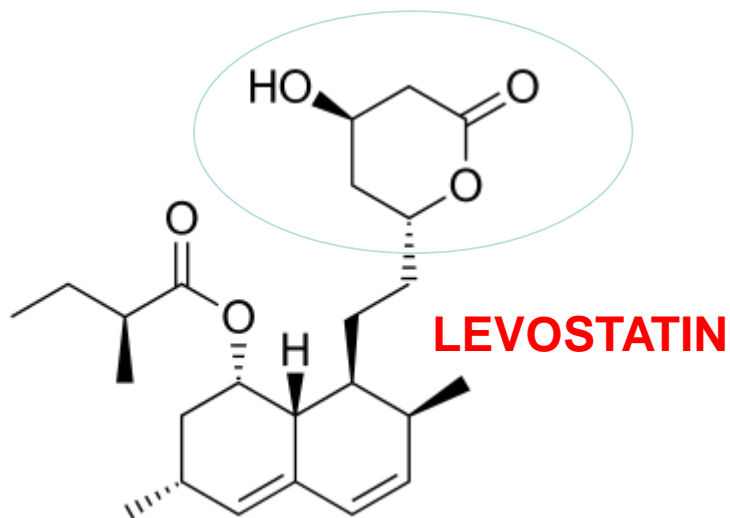
**Crestor**

***Rosuvastatina***



**Statins**

**Inhibitors of cholesterol synthesis**



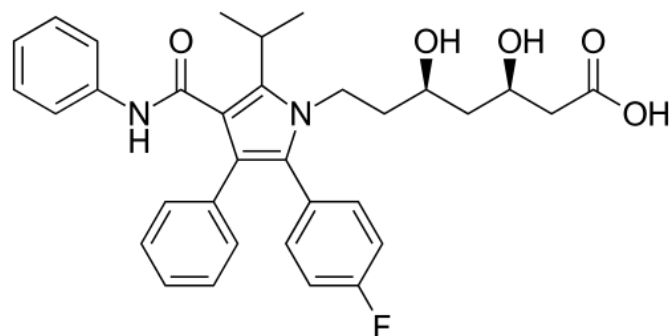
isolated from [\*Aspergillus terreus\*](#), was the first statin to be marketed.

In 2005, sales were estimated at US\$ 18.7 billion in the United States.

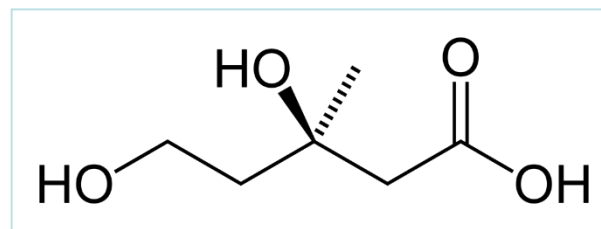
The best-selling statin is atorvastatin, which in 2003 became the best-selling DRUG in history.

The manufacturer Pfizer reported sales of US\$ 12.4 billion in 2008.

Due to patent expirations, several statins are now available as less expensive generics.†

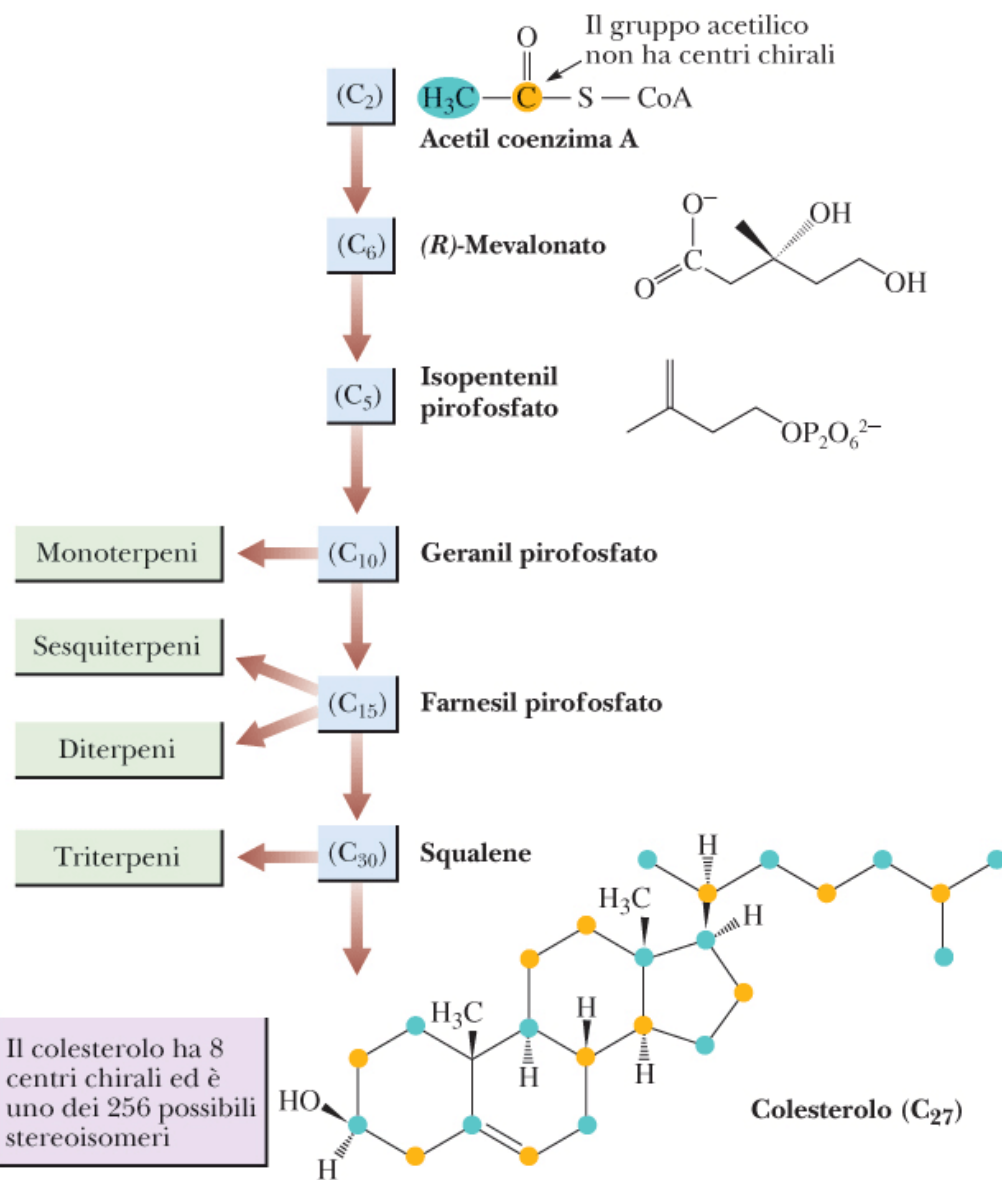


**ATORVASTATIN**



( R ) – mevalonic acid

# Biosintesi del colesterolo



**Figura 26.10**

Alcuni intermedi chiave nella sintesi del colesterolo a partire del gruppo acetilico dell'acetil-CoA. Sono necessarie otto molecole di acetil-CoA per la sintesi di una mole di colesterolo.

## **CHIRALITY AND PHARMACEUTICALS**

**Recent rulings of the Food and Drug Administration (FDA) in the United States reflect the current situation in “chiral drugs”: pharmaceutical industries will have to provide rigorous justification to obtain the FDA’s approval of racemates.**



The **chiral pool** refers to relatively inexpensive enantiomerically pure products (approximate prices of 2-100 US \$/Kg), which are readily available from nature, for example by plant extraction or fermentation (amino acids, alkaloids, lactic acid, e.g.), in the range of  $10^2$  to  $10^5$  tonnes per annum.

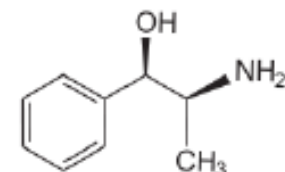
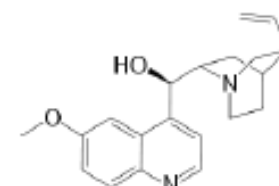
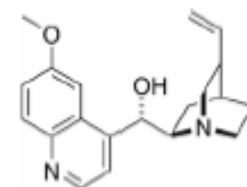
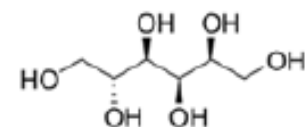
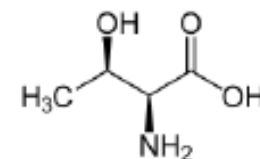
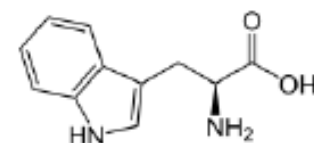
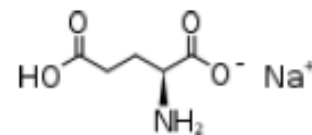
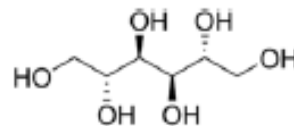
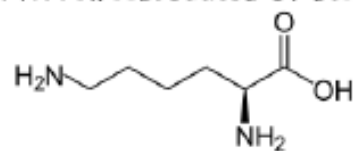
- Carboidrati C6, C4
- Terpeni: limonene, carvone, carene, pineni, derivati della canfora
- Alcaloidi
- Etc.

# Chiral pool

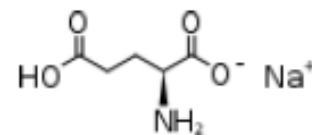
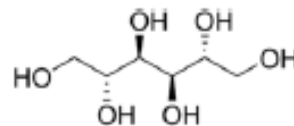
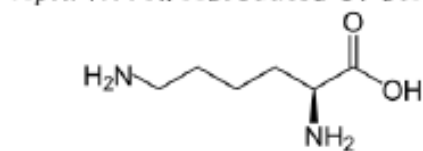
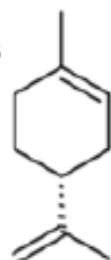
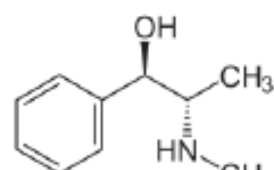
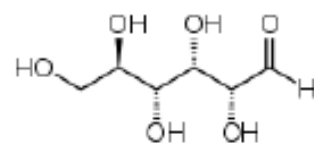
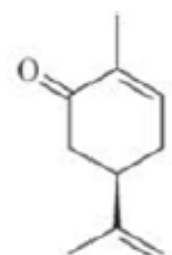
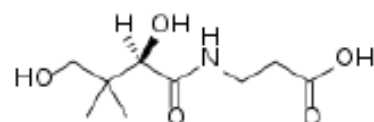
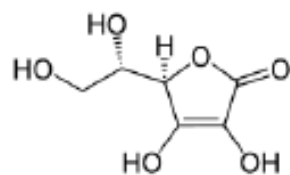
**Table 2.** Representative substances from the chiral pool<sup>a</sup>

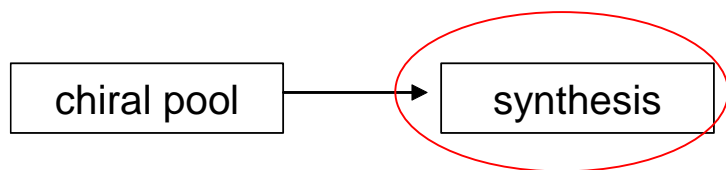
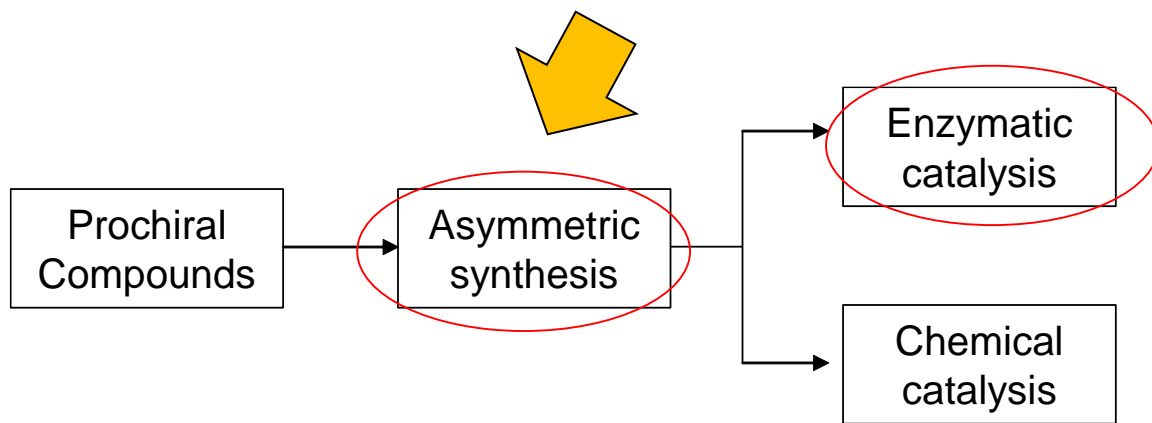
Compound	Approx. price (US dollars kg <sup>-1</sup> )
Ascorbic acid	13
(+)-Calcium pantothenate	16
(-)-Carvone	23
Anhydrous dextrose	1.2
Ephedrine hydrochloride	62
(+)-Limonene	3
L-Lysine	3.2
Mannitol	7.5
Monosodium glutamate	2
Norephedrine hydrochloride	24
Quinidine sulphate	130
Quinine sulphate	75
Sorbitol	1.7
L-Threonine	12–50, depending on grade
L-Tryptophan	68

<sup>a</sup> Data from *Chemical Marketing Reporter*, Schnell Publishing, New York, 13 April (1990); reproduced by permission of the Editor.

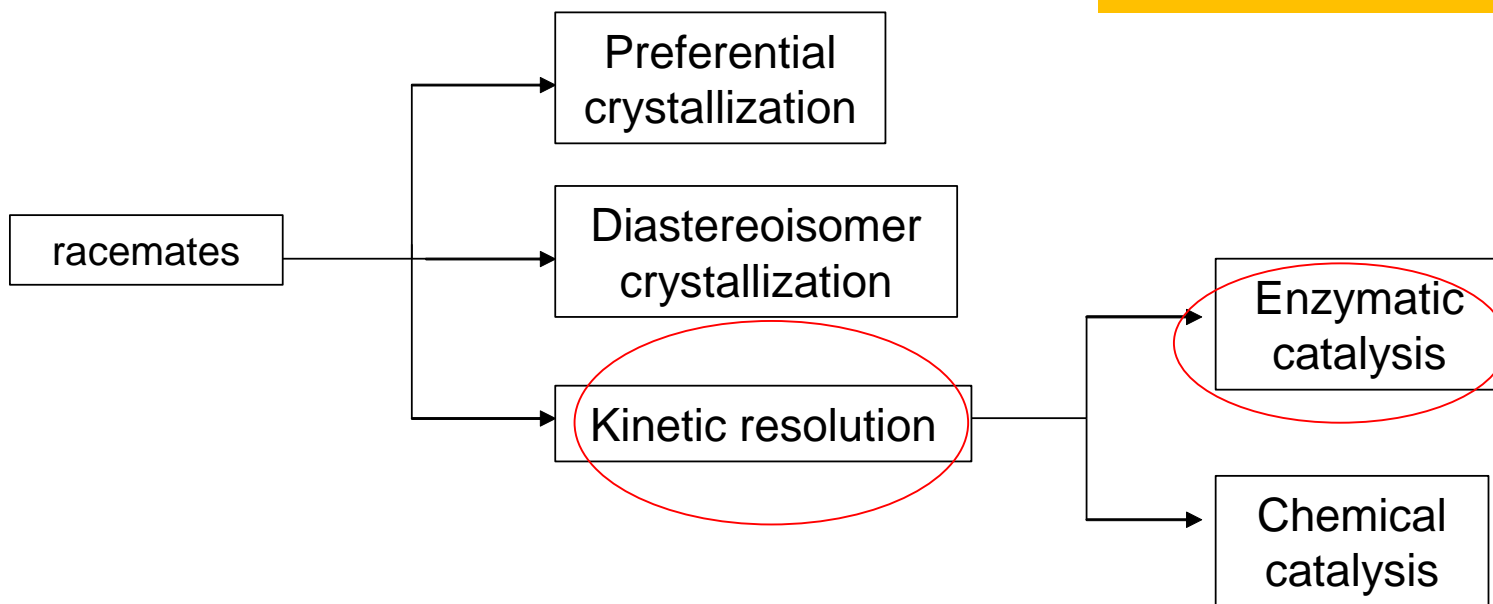


54





***Synthetic methods for production of pure enantiomers***



# Asymmetric synthesis for the production of statin intermediates

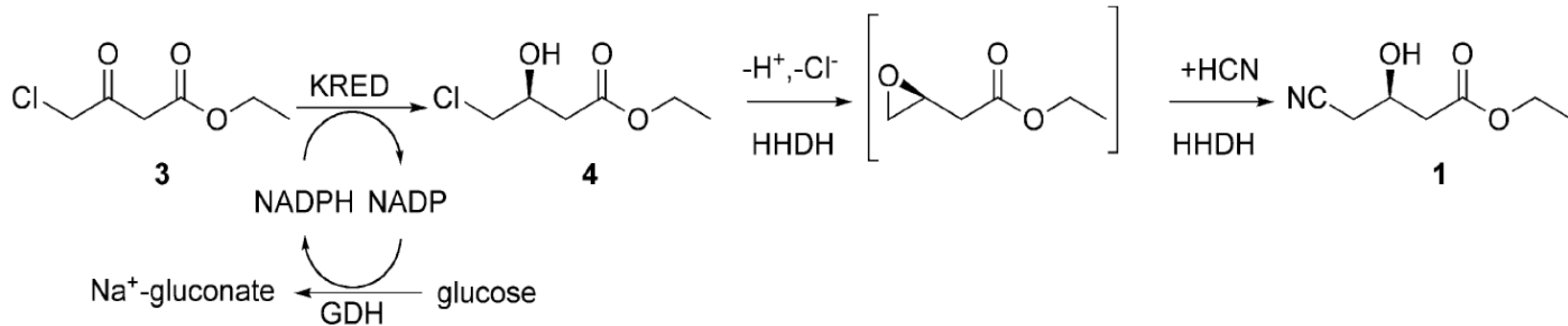
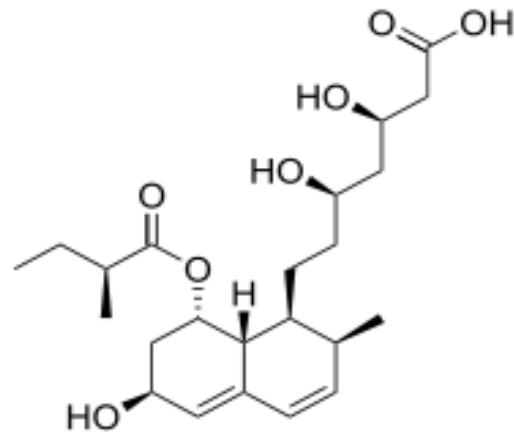


Fig. 4 Two-step, three-enzyme process for hydroxynitrile 1.

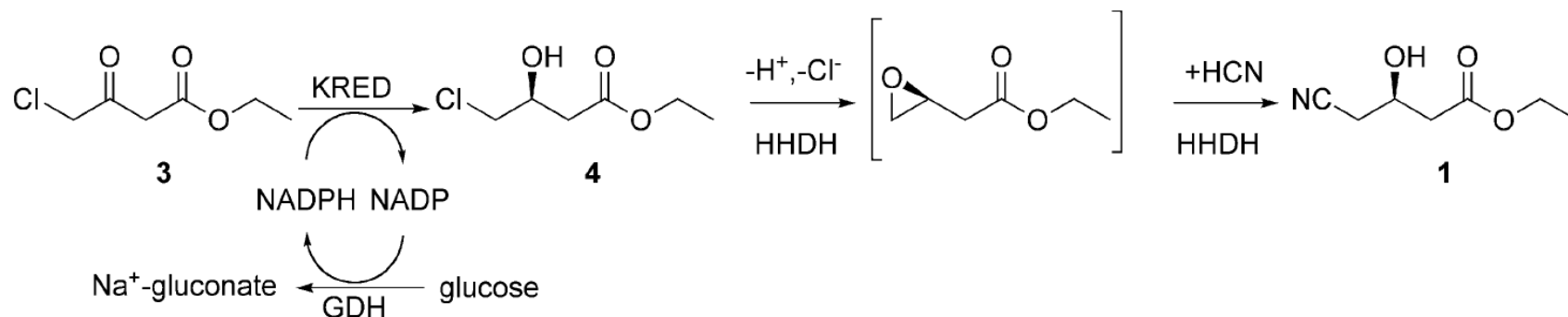
1. **Ketoreductase**
2. **Glucose dehydrogenase for cofactor recycling**



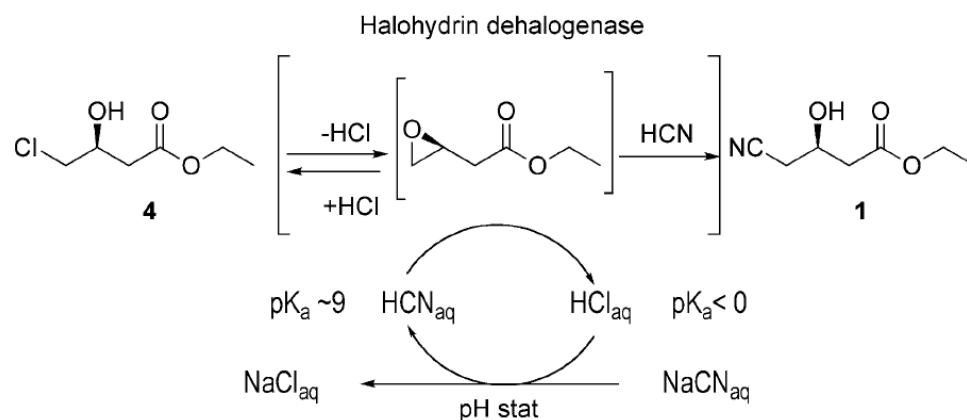
pravastatina

3. **halohydrin dehalogenase (HHDH) catalyses the replacement of the chloro substituent with cyano by reaction with HCN at neutral pH and ambient temperature**

# Asymmetric synthesis for the production of statin intermediates



**Fig. 4** Two-step, three-enzyme process for hydroxynitrile 1.



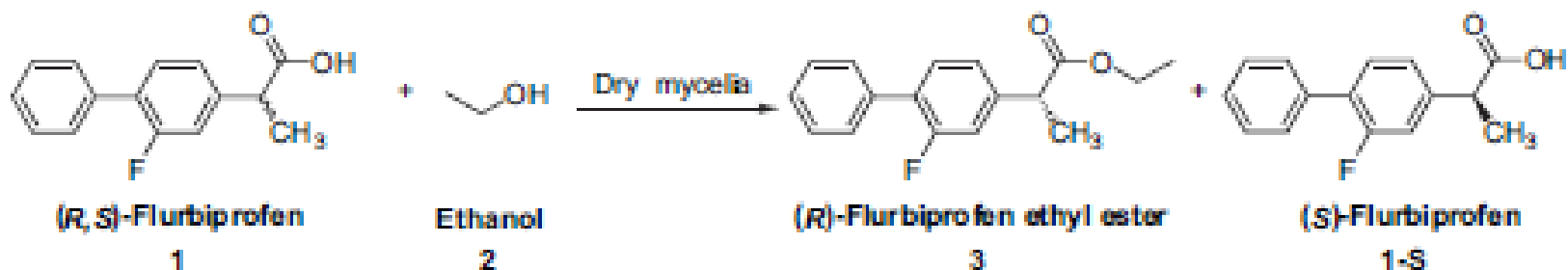
**Fig. 6** HHDH-catalyzed cyanation of 4.

halohydrin dehalogenase (HHDH) is employed to catalyse the replacement of the chloro substituent with cyano by reaction with HCN at neutral pH and ambient temperature



# Resolution of flurbiprofen: esterification catalyzed by lipase (in dry mycelia) in organic solvents

*P. Spizzo et al. / Tetrahedron 63 (2007) 11005–11010*



**S: anti-inflammatory**

**R: anti-Alzheimer**

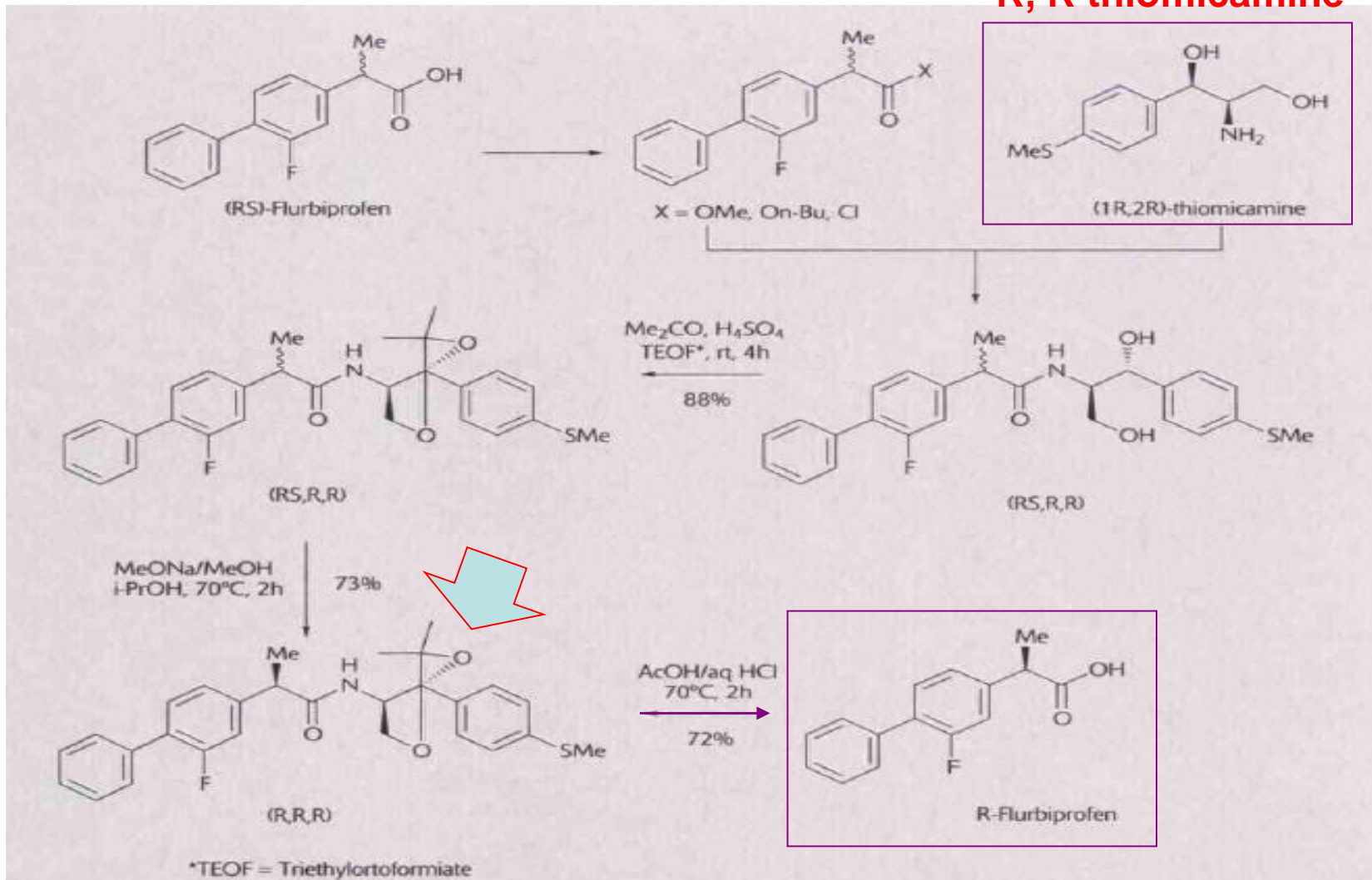


Dry mycelia of  
*Aspergillus oryzae*  
in toluene

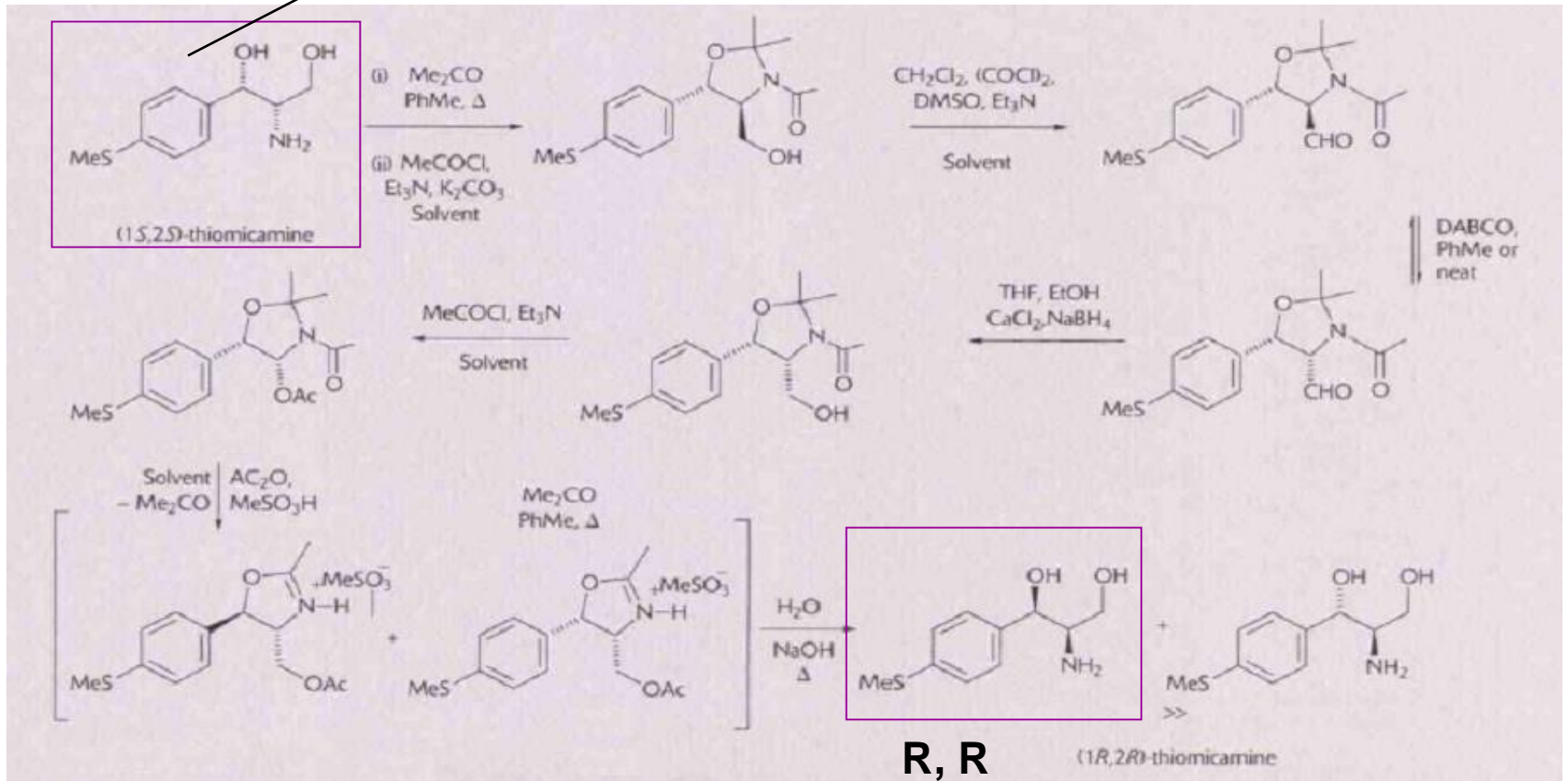
***Classical resolution in chemistry*** is based on formation of **diastereomers**, by addition of a pure enantiomer (from the chirality pool) to the racemate solution, which can be separated by **crystallization**.  
To date it has been the most commonly used industrial technique.



# Chemical industrial resolution **Zambon Group S.p.A** **R, R thiomicamine**

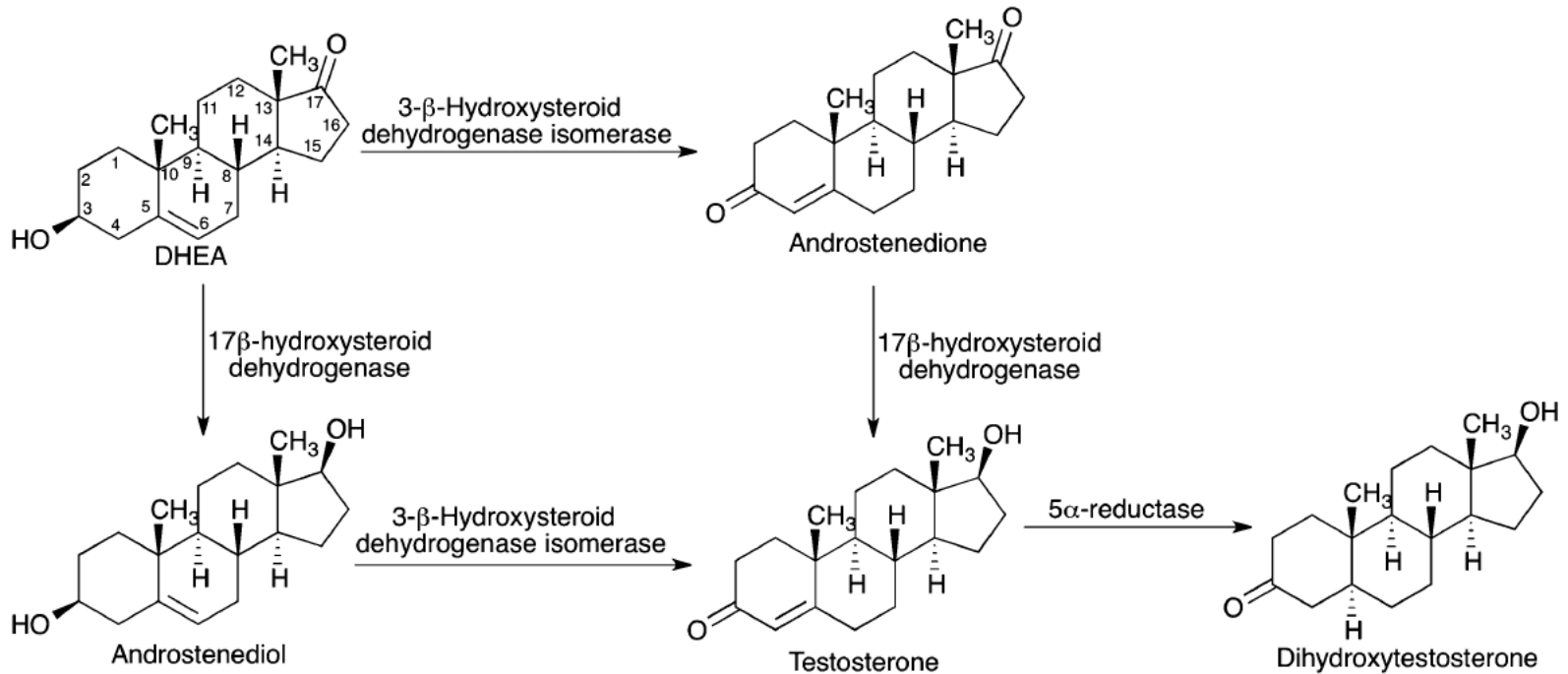


**S, S: Intermediate in the production of tiamfenicolo**



## Steroids synthesis

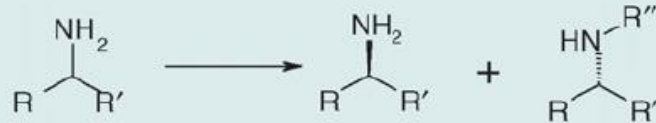
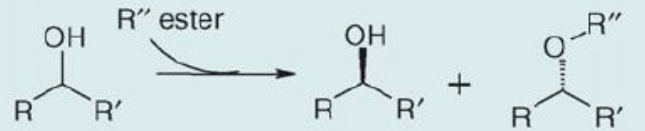
DHEA (dehydroepiandrosterone), natural steroid (max in humans 15-20 anni)  
Precursor of estrogens and androgens  
produced from natural vegetal sources (tropical plant)



used as a radiation countermeasure: stimulates white cells and platelets production

## Established chemistries

Resolution (lipase/protease) [20,21,34,56]



Hydrolysis (lipase/protease) [56,57]



Ketone reduction (ketoreductase) [49-52,58]



## Playing with nitrile group

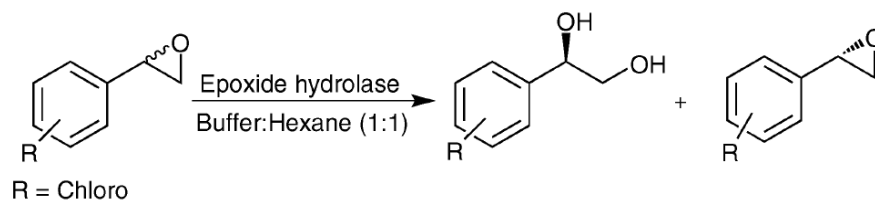
Nitrile reduction  
(nitrilase) [59–62]



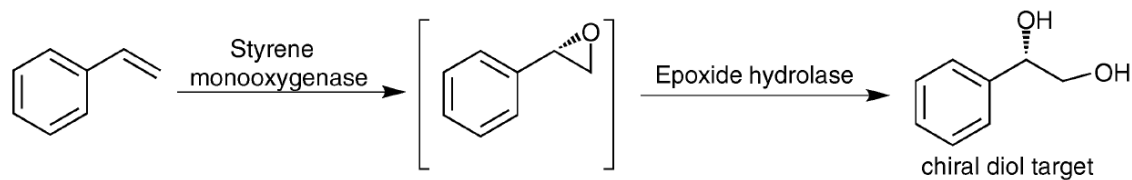
Cyanohydrin synthesis  
(oxynitrilases) [63,64]



## Playing with epoxides

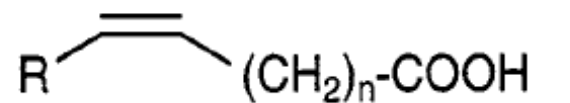
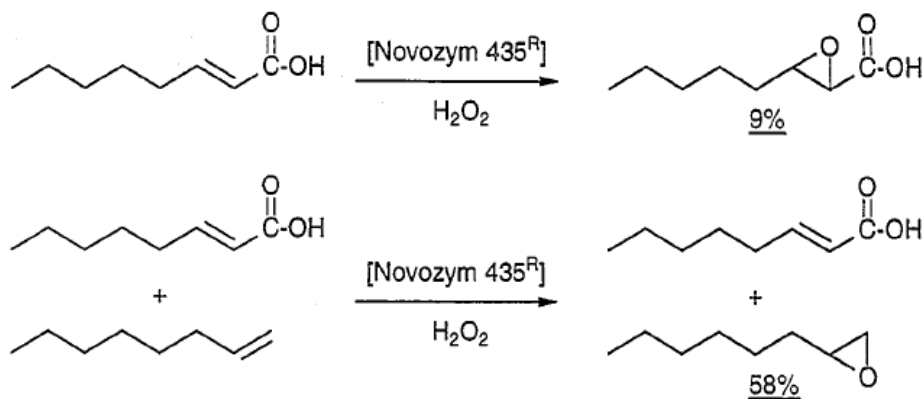


**Scheme 6** Biocatalytic kinetic resolution of racemic 2-, 3-, and 4-chlorostyrene oxides using epoxide hydrolase.

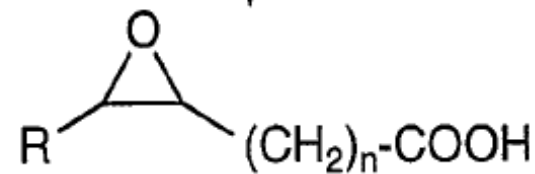
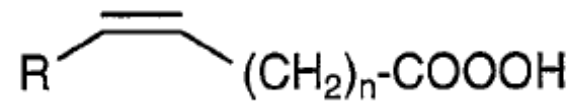
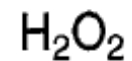


**Scheme 7** Asymmetric dihydroxylation of an aryl olefin *via* a tandem monoxygenase and epoxide hydrolase biocatalysis approach.

## Chemo enzymatic epoxydation of unsaturated fatty acids



**Lipase CALB**



Scheme 1.

## Emerging chemistries

Transamination (transaminase) [53,65,66]



Enoate reduction (enoate reductase) [67–69]



Hydroxylation (cytochrome P450) [70,71]

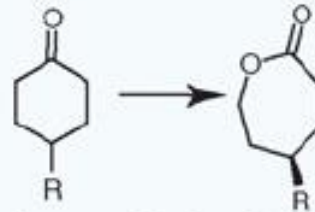


Dihydroxylation (cytochrome P450) [72,73]





Baeyer-Villiger (monooxygenase) [74]



Hydrolysis (epoxide hydrolase) [75–77]



Epoxidation (haloperoxidase, cytochrome P450) [78–80]

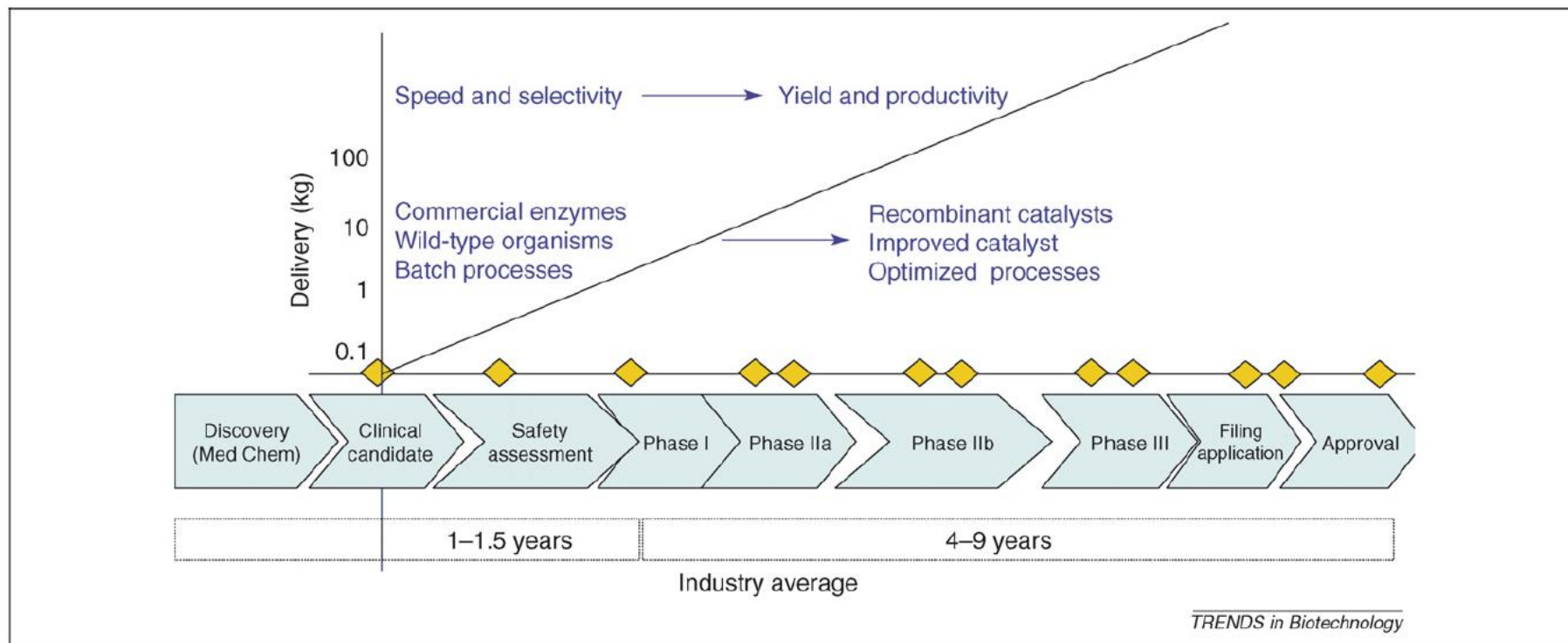


Halohydrin formation (haloperoxidase) [81–82]



# Biocatalysis for pharmaceutical intermediates: the future is now

David J. Pollard<sup>1</sup> and John M. Woodley<sup>2</sup>



**Figure 2.** Development timeline for pharmaceutical products and processes. Initial development requires rapid synthesis of compounds to provide material for safety assessment. Later stage enables development time for optimized processes using recombinant catalysts. Abbreviation: Med Chem, medicinal chemistry.

## Expressing quantitatively enantioselectivity in biocatalysis

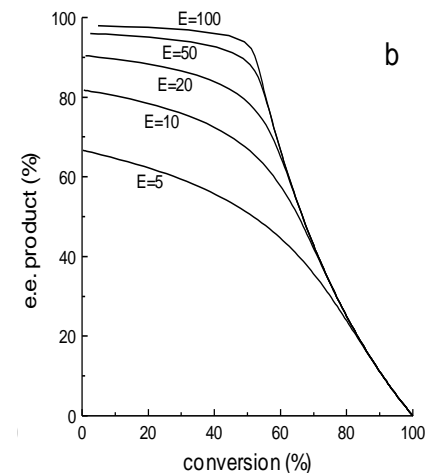
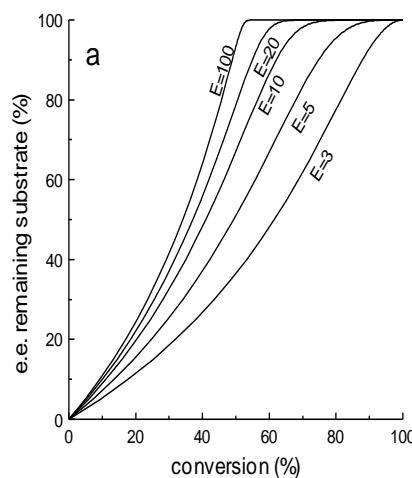
$$\text{selectivity: } \frac{(k_{\text{cat}}/k_m)_R}{(k_{\text{cat}}/k_m)_S}$$

$$\text{e.e.\%} = \frac{C_R - C_S}{C_R + C_S} \times 100$$

**Ratio of specificity constants**

**“E” : Enantiomeric Ratio**

**ee% changes during the reaction and is not informative**



## Calculating “E ” experimentally

$$E = \frac{\ln(A / A_0)}{\ln(B / B_0)} = \frac{V_A / K_A}{V_B / K_B}$$

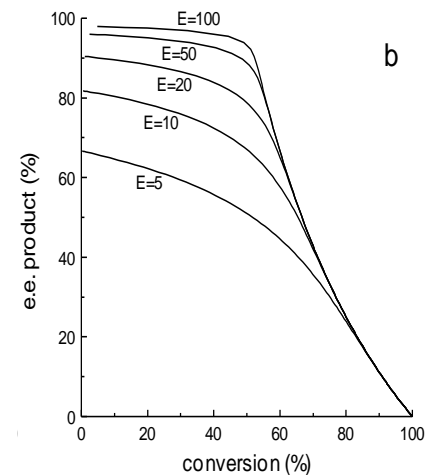
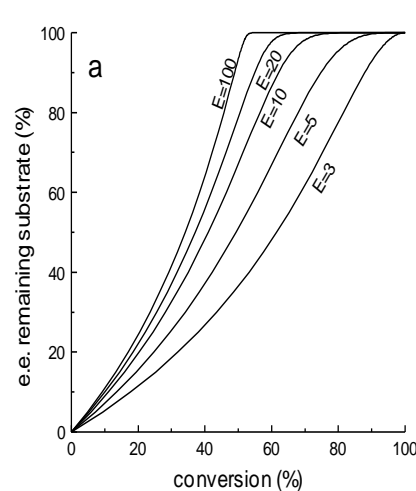
$$E = \frac{\ln[(1 - c)(1 - ee(L))]}{\ln[(1 - c)(1 + ee(L))]} = \frac{\ln[1 - c(1 + ee(P))]}{\ln[1 - c(1 - ee(P))]}$$

*L*: left, Remained unreacted  
*P*: product

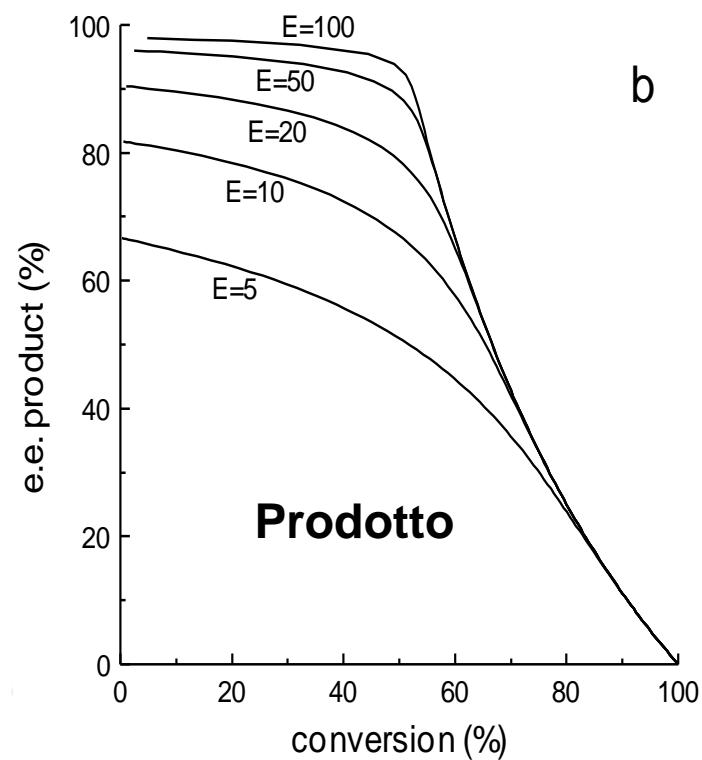
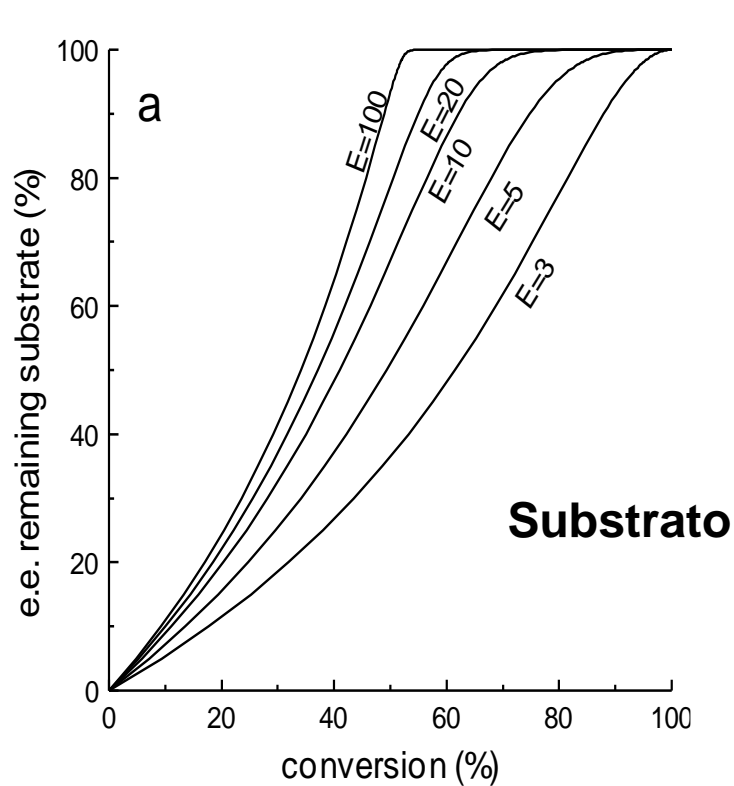
Experimental quantitative work:

“*c*” (concentration by means of HPLC or <sup>1</sup>H NMR)

e.e. % (chiral GC or HPLC)



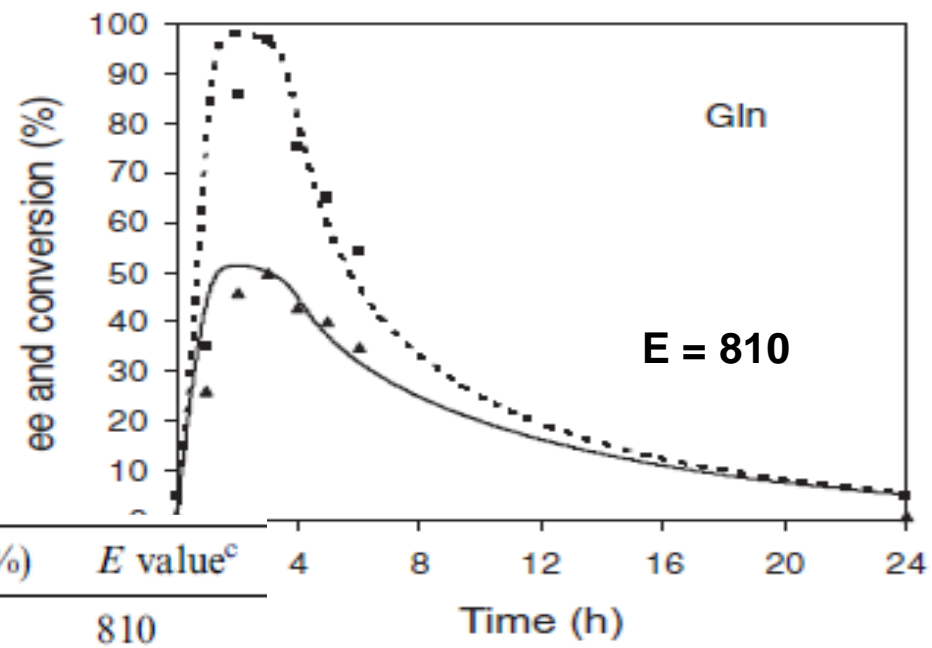
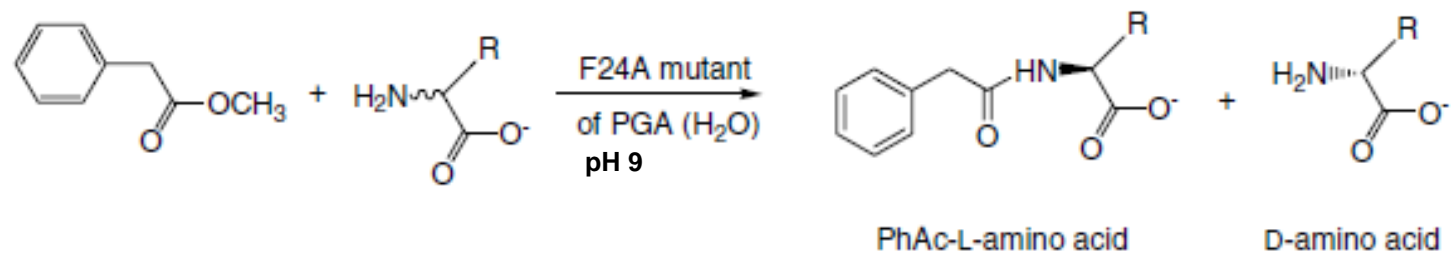
# “E value” for practical applications



for the left unreacted substrate: **E > 20** (yield  $\approx$  40%; e.e.% > 99).

Product enantiomerically pure: **E > 100**

# Esempio: risoluzione di amminoacidi



$ee_D$ max (%)	$ee_L^a$ (%)	Conversion <sup>a,b</sup> (%)	$E$ value <sup>c</sup>
97	>99	49.5	810