Not only Ethanol: Bio-Based Chemical, building blocks and monomers from biorefineries

What chemistry needs

The chemical industry relies on six basic chemicals or chemical groups including ethylene, propylene, the C4 olefins (butadiene and butenes), the aromatics (benzene and toluene), the xylenes (ortho, meta and para) and methane.



Fig. 1 Base chemicals and derivatives produced from petroleum (production capacities were taken from the journal *Chemical Engineering News*⁴ and unit prices were taken from the *ICIS Indicative chemical prices*⁵).







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Top Value Added Chemicals from Biomass Volume I—Results of Screening for Potential Candidates from Sugars and Synthesis Gas

Building Blocks

1,4 succinic, fumaric and malic acids 2,5 furan dicarboxylic acid 3 hydroxy propionic acid aspartic acid glucaric acid glutamic acid itaconic acid levulinic acid 3-hydroxybutyrolactone glycerol sorbitol xylitol/arabinitol By 2030, the market value of bio-based building blocks is expected to reach \$3.2 billion, whereas the demand for fermentation-based chemical building blocks was less than s700 million in 2013.

Such building blocks could either be produced from renewable carbon through green chemical conversion routes or via microbial conversions.

The percentage of chemical production based on biotechnology is estimated to increase from less than 2% in 2005 to approximately a quarter of all chemical production by 2025.

The largest contribution will come from the conversion of renewable carbon into chemicals via biotechnological routes.

The incorporation of fermentative production of basic building blocks as unit operations in integrated biorefineries is dependent on intense research activities ranging from microbial strain development and engineering to fermentation and down-stream processing optimization.

The EU demand in 2030 for biobased plastics: 5.2 BEUR

http://www.industrialbiotech-europe.eu/new/wp-content/uploads/2015/06/BIO-TIC-roadmap.pdf

Biotecnological production of monomers:



Scheme 1. Most important bio-based dicarboxylic acids and polyols currently available for the enzymatic synthesis of polyesters. Keywords: renewable plastics; green chemistry; polyesters; biotechnological production of building blocks; industrial biotechnology

Some bio-based monomers for polymer production

Monomer		Biotechnological route	echnological route Company		Status	Application of the corresponding bio- based polyesters	-
	Sorbitol	Fermentation + hydrogenation		Roquette, ADM Market		Functional polyesters; coatings	
	Isosorbide	Sorbitol dehydration		Roquette	Market	Thermosetting resins	
	Ethylene glycol	Ethanol dehydration		India Glycols Ltd, Greencol Taiwan	Market	PET; PEF	
	1,3-propanediol	Fermentation		Du Pont, Tate & Lyle, Metabolic Explorer	Market	PTT; fibers; elastomers; polyester-urethanes	
	1,4-butanediol	Fermentation, succinic acid hydrogenation		BioAmber, Genomatica, Mitsubishi	Market	PBAT; PBS; PBT	
	Adipic acid	Fermentation + hydrogenation		Celexion LLC, BioAmber, Rennovia, Verdezyme	Market	Resins; polyester-amines; polyester-urethanes	
	Itaconic acid	Fermentation		Qingdao Kehai Biochemistry, Itaconix	Market	Photocurable precursors; plasticizers	
	Lactic acid	Fermentation		Nature Works, BASF, Purac, Cargill, BBCA, Galactic	Market	PLA	
	Succinic acid	Fermentation		BioAmber, Myriant, Reverdia, BASF, Purac, Succinity	Market	Textiles; coatings; PBS; PBT	
	Terephthalic acid	Isobutylene oxidation, fermentation		Virient, Annellotech, Genomatica	Pilot plant	PET; coatings	
	Levulinic acid	Fermentation, acid treatment of C6 sugars		GFBiochemicals, Bio-on, Biofine Renewables	Market	Coatings, hyperbranched dendrimeric polyesters	
	Malic acid	Fermentation		Novozymes	Pilot plant	Functionalized chiral polyesters	
	2,5- furandicarboxylic acid	Fermentation + dehydration + oxidation		Avantium	Pilot plant	PEF; polyester-urethanes	



Bio-based monomers for polyesters plastics: PLA (polylactic acid)



Among polymers, polyesters are a widely used class with applications ranging from clothing to food packaging and from the car industry to biomedical applications. The possibility to synthesize polyesters from bio-based monomers is demonstrated by PLA, currently the most important bio-based polyester in terms of volume, with a capacity of approximately 180 000 tons/y.

Lactic acid (2-hydroxypropionic acid), CH3–CHOHCOOH, is a simple chiral molecule which exists as two enantiomers.



Chemical synthesis of lactic acid is mainly based on the hydrolysis of lactonitrile by strong acids, which provide only the racemic mixture of D-and L-lactic acid.

PLA was discovered in 1932 by Carothers (DuPont) who produced a low molecular weight product by heating lactic acid under vacuum. In 1954 Du Pont produced the polymer with a molecular weight greater and patented.

From lactic acid to PLA



Lactide



Lactide



Polylactide

Ring opening polymerization of lactide to polylactide

Lactide is the cyclic di-ester of lactic acid, i.e., 2-hydroxypropionic acid.

Lactic acid can not form a lactone but first forms a dimer, which contains an hydroxy group at a convenient distance from the carboxylic group for the formation of a lactone. The dimer readily forms a six-membered cyclic diester known as lactide Since, lactic acid is a chiral molecule, PLA has stereoisomers, such as poly(L-lactide) (PLLA), poly(Dlactide) (PDLA), and poly(DL-lactide) (PDLLA).



Optically active PLLA and PDLA are crystalline, whereas optically inactive PDLLA is amorphous

Polylactic acid a.k.a. polylactide

Aliphatic polyester

Monomer produced in fermentation

Chemical polymerization - copolymers

Starch CH, Hydrolysis Glucose H.C Fermentation Dilactide Oligocondensation **Ring Opening** and Sodium-Lactate Depolymerisation Polymerisation Purification -С-соон HO CH. CH. Lactic Acid Polylactide

Natureworks (Cargill/Teijin) 140.000 t/a cap.

Biodegradable



Companies, e.g. Cargill Dow Polymer LLC, Shimadzu Corp, Mitsui Chemicals, Musashino Co. Are now producing PLA-targeting markets for **packaging materials**, **films, textile fibers**, along with **pharmaceutical** products. The US Food and Drug Administration (FDA) and European regulatory authorities have approved the PLA resins for all food type applications and some **chirurgical** applications such as **drug releasing systems**

PLLA has gained great attention because of its excellent biocompatibility and mechanical properties. It has extensive applications in **biomedical** fields, including suture, bone fixation material, drug delivery microsphere, and tissue engineering.

However, its long degradation times coupled with the high crystallinity of its fragments can cause inflammatory reactions in the body. In order to overcome this, PLLA can be used as a material combination of L-lactic and D, L-lactic acid monomers, being the latter rapidly degraded without formation of crystalline fragments during this process.

Approximately 90% of the total lactic acid produced worldwide is made by bacterial fermentation and the remaining portion is produced synthetically by the hydrolysis of lactonitrile.

The fermentation processes to obtain lactic acid can be classified according to the type of bacteria used. The carbon source for microbial production of lactic acid can be either sugar in pure form such as glucose, sucrose, lactose or sugar containing materials such as molasses, whey, sugarcane bagasse, cassava bagasse, and starchy materials from potato, tapioca, wheat and barley. Sucrose-containing materials such as molasses are commonly exploited raw materials for lactic acid production because they represent cheaper alternatives. Sugarcane bagasse is reported to be used as support for lactic acid production by Rhizopus oryzae and Lactobacillus in solid-state fermentation by supplementing sugars or starch hydrolysates as carbon source.

More on PLA: http://www.galateabiotech.com/it/

Besides high product specificity, as it produces a desired optically pure L-(+)- or D-(-)-lactic acid, the biotechnological production of lactic acid offers several advantages compared to chemical synthesis like low cost of substrates, low production temperature, and low energy consumption

PLA-based products had already been developed by the 1940s and 1950s, but their production became economically viable only 70 years later. This demonstrates the importance of optimizing the productivity and robustness of bioconversions to achieve cost-effective production.

The success of bio-based polyesters does not rely solely on their capacity to replace fossil-based polymers while being economically competitive. Rather, the next generation of bio-based polyesters should bring entirely new advanced chemical and functional properties to the polymer scenario.

Biotecnological production of di-carboxylic acids:





Microbial strain able to convert raw hydrolysates from biomass to succinate (US Patent 6,743,610). The high interest in SA is because of the fact that this dicarboxylic acid is a key component/intermediate in the production of several solvents, adhesives, printing inks, magnetic tapes, coating resins, plasticizers, emulsifiers, deicing compounds and chemical and pharmaceutical intermediates.



Renewable building blocks for sustainable polyesters: new biotechnological routes for greener plastics

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Abstract

The next generation of plastics are expected to contribute to a massive reduction in the carbon footprint by the exploitation, in industrial productive processes, of renewable monomers such as polyols and dicarboxylic acids obtainable via biotechnological production. More specifically, there is a rising demand for advanced polyesters displaying new functional properties while meeting higher sustainability criteria. Polyesters are part of everyday life with applications in clothing, food packaging, car manufacturing and biomedical devices. This review is intended to provide an overview of the array of renewable building blocks already available for synthetic purposes and exploitable in the production of polyesters. Moreover, new greener routes for more environmentally friendly polyester production and processing are discussed, pointing out the major technological challenges. © 2016 Society of Chemical Industry

Keywords: renewable plastics; green chemistry; polyesters; biotechnological production of building blocks; industrial biotechnology

Succinic acid (SA)

Since 2008, various companies (such as DSM, BASF and Purac) have shown an interest in the production of bio-based SA at an industrial scale.

For SA the most important production process from renewable feedstock is microbial fermentation of various glucose sources by a variety of microorganisms such as genetically engineered microorganisms:

Escherichia coli, Actinobacillus succiniproducens and Anaerobiospirillum succiniproducens



The processes are in use by two companies: the Myriant SA biorefinery in Lake Providence (Louisiana, USA) that employs grain sorghum grits as its saccharificable starting material32 and the Reverdia process (used by DSM+Roquette) where <u>ethanol and SA</u> are co-produced through glucose fermentation.

Both processes run with <u>genetically modified anaerobic bacteria</u>, in such a way that alcoholic fermentation sustains the SA production. Theoretical calculations performed by Pinazo *et al.* concluded that, despite having a lower material efficiency, fermentative SA production is attracting attention due to its very competitive cost and market position close to competitiveness with an important petrochemical feedstock such as maleic anhydride.

From succinic acid (SA) to 1,4-butandiol and to adipic acid (AA)



Figure 3. Biotechnological process for the production of bio-based succinic acid (SA) and its derivatives 1,4-butanediol (1,4-BDO) and adipic acid (AA).

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Succinic acid

Microbial strain able to convert raw hydrolysates from biomass to succinate (US Patent 6,743,610).



1,4-Butanediol (1,4-BDO)

1,4-BDO is an important chemical that is used for the manufacture of over 2.5 million tons of polymers annually. Nowadays its production is almost entirely based on fossil carbon resources (production via the Reppe process in which acetylene is reacted with formaldehyde) with the exception of BASF and Bioamber that started production via hydrogenation of SA which is accessible from biogenic sources as described below.



Figure 3. Biotechnological process for the production of bio-based succinic acid (SA) and its derivatives 1,4-butanediol (1,4-BDO) and adipic acid (AA).

In September 2016 Novamont opened the first plant at commercial scale in the world for the direct fermentation of sugar to produce 1,4-butandiol.

Metabolic engineering of *Escherichia coli* for direct production of 1,4-butanediol

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1,4-Butanediol (BDO) is an important commodity chemical used to manufacture over 2.5 million tons annually of valuable polymers, and it is currently produced exclusively through feedstocks derived from oil and natural gas. Herein we report what are to our knowledge the first direct biocatalytic routes to BDO from renewable carbohydrate feedstocks, leading to a strain of *Escherichia coli* capable of producing 18 g l⁻¹ of this highly reduced, non-natural chemical. A pathway-identification algorithm elucidated multiple pathways for the biosynthesis of BDO from common metabolic intermediates. Guided by a genome-scale metabolic model, we engineered the *E. coli* host to enhance anaerobic operation of the oxidative tricarboxylic acid cycle, thereby generating reducing power to drive the BDO pathway. The organism produced BDO from glucose, xylose, sucrose and biomassderived mixed sugar streams. This work demonstrates a systems-based metabolic engineering approach to strain design and development that can enable new bioprocesses for commodity chemicals that are not naturally produced by living cells.



Scheme 1 | BDO biosynthetic pathways introduced into *E. coli***.** Enzymes for each numbered step are as follows: (1) 2-oxoglutarate decarboxylase; (2) succinyl-CoA synthetase; (3) CoA-dependent succinate semialdehyde dehydrogenase; (4) 4-hydroxybutyrate dehydrogenase; (5) 4-hydroxybutyryl-CoA transferase; (6) 4-hydroxybutyryl-CoA reductase; (7) alcohol dehydrogenase. Steps 2 and 7 occur naturally in *E. coli*, whereas the others are encoded by heterologous genes introduced in this work.

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Figure 1. Producing BDO through microbial metabolism. Upper left, basic stoichiometry of bio-BDO, the 0.50 g/g theoretical yield is based on the pathways shown in the rest of the figure and this does omit the needs for biomass production in growing cells and energy conservation. Bottom, the published 1,4-BDO pathway from succinyl-CoA. Middle and right, the BDO pathway incorporated into *E. coli* cellular metabolism. Other pathways to produce BDO have also been identified and tested.

TCA: tricarboxylic acid cycle or citric acidcycle or Krebs cycle





Figure 6. Comparison of BDO titer improvements due to strain engineering and hydrolysate composition. **A.** The large dark magenta filled circle is the 48 hr. BDO titer in early pre-lot 001 B2 hydrolysate (~180 g monomeric sugar/L) with the original benchmark strain, "H". The other curves are BDO titer values over 48 hrs. in both 2 L and 30 L fermenters. All used B2 lot 014/12 and two different strains, "H" (lower curves) and "Super G" (upper curves). **B.** Some of the same data from 6A for H (blue curve) vs. Super G on B2 lot 014/12 lignocellulosic hydrolysate (green curve) combined with Super G on C hydrolysate (hardwood feedstock treated with HCl) (red curve).

1,4-BDO is an important chemical that is used for the manufacture of over 2.5 million tons of polymers annually1,4-BDO and its derivatives represent a market ripe for the introduction of a competitive bio-based route.

derivatives:

- tetrahydrofuran
- *y*-butyrolactone
- N-methylpyrrolidone
- 2-pyrrolidone.

Thanks to an investment of 100 million euro, Novamont has managed to revive an abandoned manufactory site of Bioitalia, former Ajinomoto, who was acquired in 2012 by Novamont, safeguarding 27 jobs, which later became 51 at the end of 2015.

The plant of Bottrighe di Adria is the first facility in the world capable of producing butanediol (BDO) directly from sugars (30 thousand tons yearly).

BDO produced by the plant enables Novamont to deliver its fourth-generation of Mater-Bi bioplastics with greater sustainability (e.g. renewable components).

The products made with this new BDO will save an estimated 56 percent of greenhouse gas emissions compared to the use of conventional BDO.



https://www.youtube.com/watch?v=cWPcKil4z4M

https://www.youtube.com/watch?v=awxsW2nzsN8





Chemical platform for 3-hydroxypropionic acid



Production of Bio-acrylic acid



(source Novozymes communication)

Bio Polyethylene

- Equivalent to fossil based PE
- 100 % biobased (ASTM 6866)
- Not biodegradable
- Braskem 2009, 200.000 t/a
- Dow 2011, 350,000 t/a
- Solvay PVC

• Ethanol fermentation carbon efficiency?

 $C_6H_{12}O_{6(l)} + H_2O_{(l)} \rightarrow 2C_2H_5OH_{(l)} + 2CO_{2(g)} + H_2O_{(l)} + heat$





1,3-Propanediol (1,3-PDO)

The microbial production of 1,3-PDO is one of the oldest processes reported in the literature.

This diol has a wide range of possible applications, e.g. composites, adhesives, solvents, monomers for aliphatic polyesters, and as an anti-freezing agent. In addition, 1,3-PDO is used for the production of **poly(trimethylene terephthalate)**, a polymer with remarkable 'stretch–recovery' properties that is used in specialty resins and other applications.

Various bacteria including *Klebsiella pneumoniae*, *Enterobacter agglomerans*, *Lactobacillus brevis* and *Clostridium butyricum* have been reported to produce 1,3-PDO during anaerobic growth on glycerol.45 The highest concentration of 1,3-PDO was obtained using a *K. pneumonia* strain that led to a concentration of 73.3 g L-1.



Itaconic acid (IA)

IA has been known since 1837 when Baup first described the thermal decomposition of citric acid, leading to IA.

Neither thermal decomposition nor alternative chemical methods are used for commercial production since fermentation by fungi is economically more profitable.

Biosynthesis of IA was first described by Kinoshita in 1932 who isolated the product from cultivation media of the osmophile eukaryotic *Aspergillus itaconicus*.

Various *Aspergillus terreus* strains were found more suitable for the fermentation process.

The best yields of IA production were achieved using glucose or sucrose as substrates, but for the economic sustainability of the process, complex carbon sources like starch, molasses and hydrolysates of corn syrup or wood were also tested and found to be suitable.

During the fermentation process, the pH drops below 2 and IA becomes the main fermentation product.

For an optimal reaction setup the temperature is usually maintained at around 37 °C. An adequate oxygen supply is essential since anaerobic conditions will irreversibly kill the cells.

Economically speaking, the most productive process was established by Pfizer which involves a submerged fermentation process using suspended *A. terreus* biomass, inoculated as spores on pretreated molasses.

IA is currently used in paper-coating and carpet-backing, which are the primary consumers at the industrial scale. Some IA derivatives are used in medicines, cosmetics, lubricants and herbicides.

Enzymatic synthesis of polesters

1. Polycondensation



X= -OH, -OCH₃, -OCH₂CH₃, etc. R, Y= linear moieties, aromatic moieties, etc.

2. Ring Opening Polymerization (ROP)



Scheme 3. Routes for the enzymatic synthesis of bio-based polyesters. (1) Polycondensation reaction of diacids (or their diesters) with polyols. (2) Ring-opening polymerization of lactones.

Trends for polyester synthesis and functionalyzation



Table 2. Most recent enzymatic processes for synthesis and functionalization/hydrolysis of bio-based polyesters.								
Biocatalyst	Material class	Goal						
	Lactic-acid based	ROP of D,D-lactide						
	Terephthalic acid-based	Synthesis of aromatic/aliphatic polyesters						
	Ethylene glycol-based							
	2,5-furandicarboxylic acid-based							
	Sorbitol-based	Synthesis of hydroxy-functional polyesters						
	Adipic acid-based	Synthesis of aliphatic polyesters						
Cal B	1,4-butanediol-based							
Galb	Isosorbide-based							
	Itaconic acid-based	Curath agin of vinual functional networters						
	1,4-butanediol-based	Synthesis of vinyi-functional polyesters						
	Glycerol-based	Branched-controlled polyesters, epoxide-						
	Itaconic acid-based	containing polyesters						
	Malic acid-based	Copolymers of L-malic acid, adipic acid and						
	Adipic acid-based	1,8-octanediol						
	Adipic acid-based	Synthesis of aliphotic polycotors						
	1,4-butanediol-based							
	Terephthalic acid-based	PET hydrolysis						
	Ethylene glycol-based							
	Lactic-acid based	Surface functionalization of PLA films						
Thermobifida cellulosilytica	Terephthalic acid-based							
cutinase 1	Ethylene glycol-based	Surface functionalization of PET films						
	Terephthalic acid-based							
	Ethylene glycol-based	Degradation of PET hanoparticles						
Thermohifide heletelerene	Terephthalic acid-based	PET hydrolysis						
	Ethylene glycol-based							
esterase	Lactic-acid based	PLA hydrolysis						
	Succinic acid-based	PBS hydrolysis						
	1,4-butanediol-based							
	Terephthalic acid-based	PET hydrolysis						
	Ethylene glycol-based							



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Why enzymes in polyester synthesis? Selective and efficient under mild conditions No need of toxic metal catalysts

Solvent-free processes feasible



Challenges for the solvent-free enzymatic synthesis of polyesters in general



C. Korupp, R. Weberskirch, J. J. Müller, A. Liese and L. Hilterhaus, *Org. Process Res. Dev.*, 2010, 14, 1118–1124. F. Binns, S. M. Roberts, A.Taylor and C. F. Williams. *J. Chem. Soc., Perkin Trans.* 1, 1993, 899.

Making lipase robust and recyclable: covalent immobilization

Synthetic activity: 2400 U ^{g-1} (PLU)



Gardossi et al., WO2012085206 A1

A. Pellis et al. Green Chem. 2015, 17, 1756–1766.

V. Ferrario, et al, *Biomolecules* 2013, 3, 514-534

Combining robust biocatalysts with new process configuration for preserving biocatalyst integrity



A. Pellis et al. Green Chem. 2015, 17, 1756–1766.

The process: covalent immobilised enzyme + turbo reactors



- ✓ High efficiency in overcoming viscosity (solvent-free conditions)
- ✓ Easy water/alcohol removal
- ✓ Mechanical integrity of the biocatalyst
- No protein leaching



OPTIMAL HEAT AND MASS TRANSFER



Gardossi L., et al.. Patent EP 2 620 462 A1.

Preserving biocatalyst activity: two-step process



Biocatalyst: 9% w⁻¹ : 30 U g⁻¹ monomers Specific activity: 300 U g⁻¹

A. Pellis et al. Green Chem. 2015, 17, 1756–1766

The case study: fully renewable polyesters of itaconic acid



dimethyl itaconate (DMI)





poly(butandiol itaconate) (PBI)

Michael addition Radical reactions

os Grafting Biomolecules

L. Corici, et al., Adv. Synth. Catal., 2015, 357, 1763-1774.

A. Pellis et al., Polym. Int., 2016, 65, 861-871.



Itaconic acid: low reactivity of conjugated acyl carbon



L. Corici, et al., Adv. Synth. Catal., 2015, 357, 1763–1774.



Introducing rigidity in the diol: CHDM



L. Corici, et al., Adv. Synth. Catal., 2015, 357, 1763-1774.

Enzymatic polycondensation of DMI + CHDM



L. Corici, et al., Adv. Synth. Catal., 2015, 357, 1763-1774.

Enzyme distribution and accessibility is crucial in viscous systems: working with less enzyme distributed on a larger amount of carrier



L. Corici, et al., Adv. Synth. Catal., 2015, 357, 1763–1774.

Sustainability of immobilized enzymes?

LCA: epoxy activated methacrylic resins represents the primary greenhouse gas emission source for immobilized enzymes because of the fossil based raw materials (glycidyl methacrylate, ethylene dimethyl acrylate)

S. Kim, Int. J. Life Cycle Assess., 2009, 14, 392–400.

Fossil based methacrylic resin





Rice husk: cellulose, lignin, silica



The cost contribution of biocatalysts per kg product:

- > Can varies from few hundred € (for pharmaceuticals)
- Down to few cents (for bulk chemicals)
- > Depends on the number of recycles of the enzyme

M. C. R. Franssen, et al., Chem. Soc. Rev., 2013, 42, 6491-6533.

Less enzyme, more immobilization carrier: need of renewable and inexpensive carrier



Around 100 Mt accessible at large scale and with **continuous supply**.

L. Corici et al. RSC Adv., 2016, 6, 63256



L. Corici et al. RSC Adv., 2016, 6, 63256

Comparing CaLB on rice-husk and on epoxy acrylic resin

Functionalizatio n	Loading (U _{gdry-1})*	Protein loaded (%)	Synthetic activity (U g dry ⁻¹)**	
Oxidized RH + HMDA	10,000	70	2,090	
Epoxy (EC-EP)	27,000	> 90	2,000	
*Tributyrin hydrolysis **Propyl laurate synthesis	Palativa activity (92)	120 - 100 - 80 - 60 - 40 - 20 - 0		
L. Corici et al. RSC Adv., 2016, 6, 63256		1 3 4 5 6 Recy	7 8 9 10 cle	

Fully renewable solvent free synthesis of (poly)esters catalyzed by RH-CaLB on thin-film



Green Chem., 2017, 2017, 19, 490-502. DOI: 10.1039/C6GC02142E

Enlarging the tools for polycondensation: cutinase from *Thermobifida cellulosilytica* In collaboration with G. Guebitz





A. Pellis, et al., Catal. Sci. Technol., 2016, 6, 3430-3442.

Enlarging the tools for polycondensation: cutinase from *Thermobifida cellulosilytica*





Green Chem., 2017, 2017, 19, 490-502. DOI: 10.1039/C6GC02142E