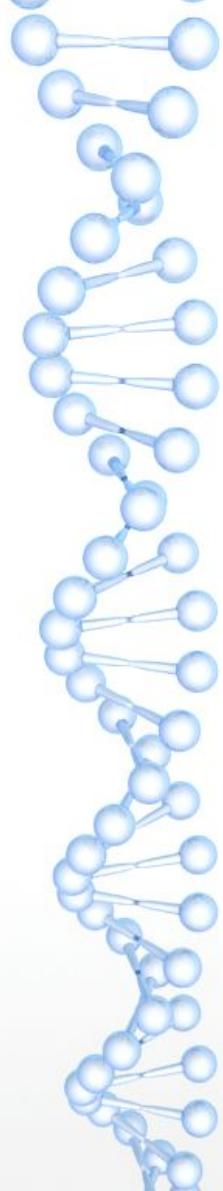


# Biological Sequence Analysis

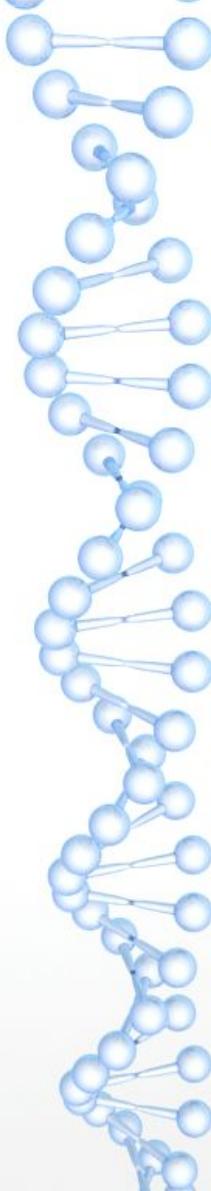
Alberto Pallavicini  
Applied genomics



# Sequence Alignments: Determining Similarity and Deducing Homology

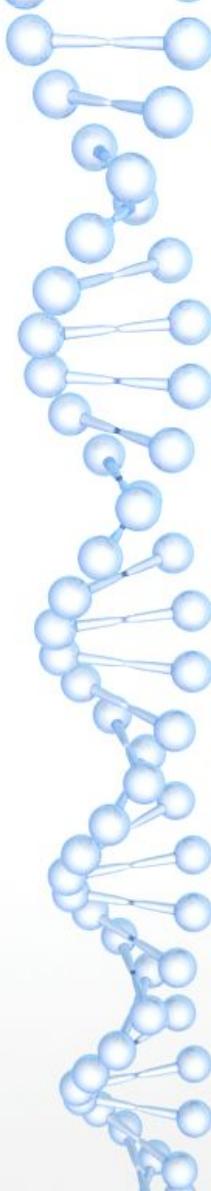
## Why construct sequence alignments?

- Provide a measure of relatedness between nucleotide or amino acid sequences
- Determining relatedness allows one to draw biological inferences regarding
  - structural relationships
  - functional relationships
  - evolutionary relationships
- Important to use correct terminology when describing phylogenetic relationships



## Defining the Terms

- The quantitative measure: **Similarity**
  - Always based on an observable
  - Usually expressed as percent identity
  - Quantify changes that occur as two sequences diverge (substitutions, insertions, or deletions)
  - Identify residues crucial for maintaining a protein's structure or function
- High degrees of sequence similarity *might* imply
  - a common evolutionary history
  - possible commonality in biological function



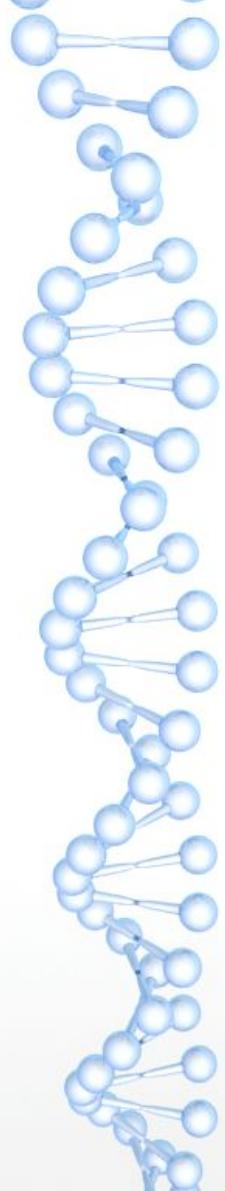
## Defining the Terms

The conclusion: ***Homology***

- ***Homology***: Implies an evolutionary relationship
- ***Homologs***: Genes that have arisen from a common ancestor
- Genes either *are* or *are not* homologous  
(not measured in degrees)

It is worth repeating here that homology, like pregnancy, is indivisible<sup>8</sup>. You either are homologous (pregnant) or you are not. Thus, if what one means to assert is that 80% of the character states are identical one should speak of 80% identity, and not 80% homology.

*Fitch, Trends Genet. 16: 227-231, 2000*



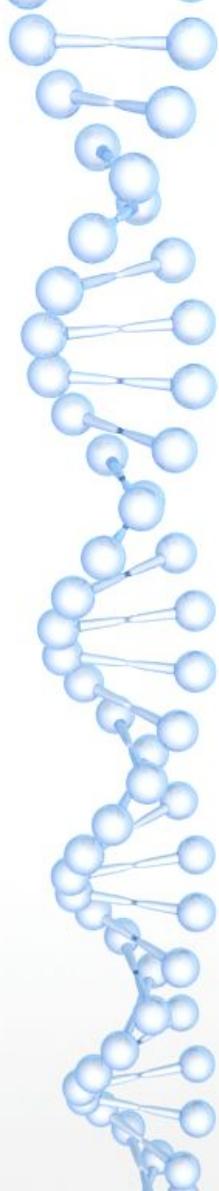
## Defining the Terms

**Orthologs:** Genes that diverged as a result of a speciation event

- Sequences are direct descendants of a sequence in a common ancestor (share a common origin)
- Most likely have similar domain and three-dimensional structure
- Usually retain same biological function over evolutionary time
- Can be used to predict gene function in novel genomes

**Paralogs:** Genes that arose by the duplication of a single gene in a particular lineage

- Perhaps less likely to perform similar functions
- Can take on new functions over evolutionary time
- Provides insight into 'evolutionary innovation'



## Paralogs

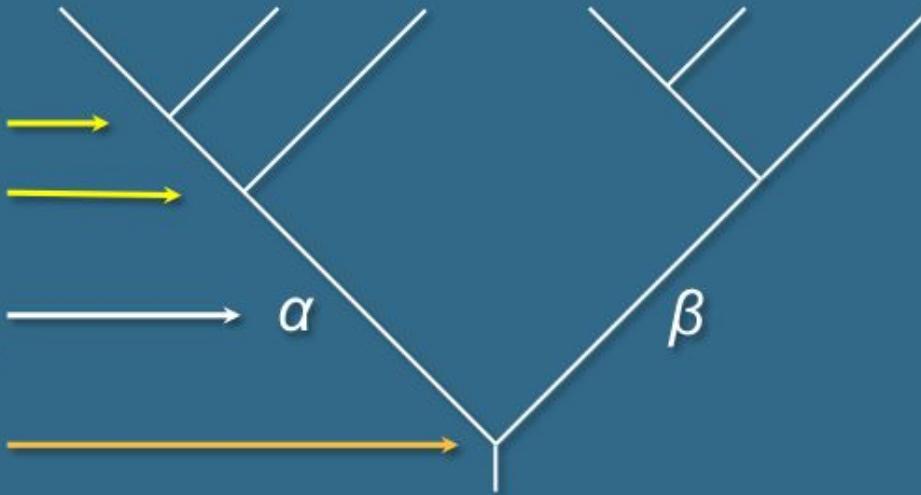
### Orthologs

|   |   |   |
|---|---|---|
| 1 | 2 | 3 |
| 4 | 5 | 6 |

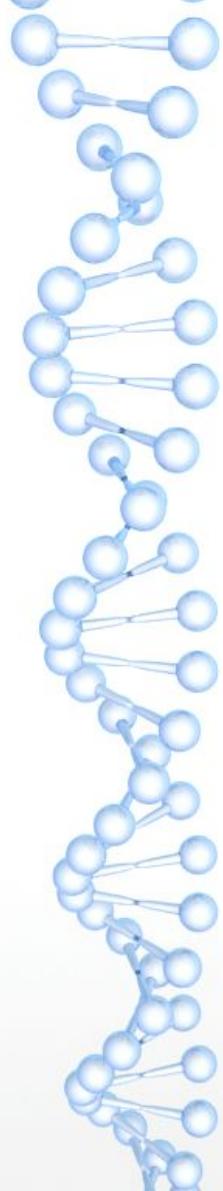
*Speciation events*

*Gene in common ancestor*

*Gene duplication*



- Genes 1-3 are orthologous
- Genes 4-6 are orthologous
- Any pair of  $\alpha$  and  $\beta$  genes are paralogous  
(genes related through a gene duplication event)



# Orthology and Paralogy: Further Reading

**Homology**  
Reviews

## Homology

a personal view on some of the problems

There are many problems relating to defining the terminology used to describe the various biological relationships and getting agreement on which definitions are best. Here, I examine 15 terminological problems, all of which are current, and all of which relate to the usage of homology and its associated terms. I suggest a set of definitions that are intended to be totally consistent among themselves and also as consistent as possible with most current usage.

**The relationship problem**  
The word 'homology' was first defined in biology with something like its present meaning by Oskar Haeckel in 1866 who characterized homology as 'the same organ under every variety of external conditions'. This definition has remained essentially unchanged since that time. In 1913, George Gaylord Simpson, in his book 'Principles of Classification and Systematics', proposed a more precise definition, which is surprisingly given that these were pre-Darwinian times, that 'homology is the degree of similarity between organs or structures of different organisms which are similar because they have descended from a common ancestor'. This definition is still used today, although it is not the only one in use. In 1950, R. A. Fisher proposed a definition that 'homology is the degree of similarity between molecules and morphology', and in 1954, R. H. Williams proposed a definition that 'homology is the degree of similarity between molecules and morphology, via R.A. Fisher's definition'. I have frequently been asked about many controversial topics in the field of molecular evolution and related fields. I examine some of these below as a set of 15 problems. This is my opinion on how best to minimize clarity and precision in the field. I hope that this article will help to clarify these views as possible. Part of that clarity lies in making the definitions as precise as possible. There are many alternative definitions and most of these are not necessarily wrong. Some would be more accurate than others, but that is not the point. The point is to make sure that the language we use is clear and precise enough to allow us to communicate effectively. This is a personal view on some of the problems in the field, especially by molecular biologists, mathematicians and bioinformaticians. I hope that this article will help to clarify these views more clearly and get others to examine their own definitions and to refine them. I hope that this article will help to clarify the often I have avoided phrases like 'I would say' and 'in my opinion' to save space. I trust does this? It does not. I have done this because I believe that the examples are highly molecular, the letter is to be as technical as possible, and the reader is likely to be a molecular biologist. This article is an invited follow-up to the excellent chapter here in 1995 (ibid., 15). Other good discussions of some of these topics can be found in the book 'Homology: the search for genes' (Ed. A. R. Wilson and D. M. Mindell, 1999).

**Homology is the similarity of two character states in two different lineages that have descended from a common ancestor. This is important because most of the concepts of homology are based on this definition.**

**The other homologous problem**  
Organic chemists consider compounds such as esterases, organic peroxides, or fatty acids to be homologous because they differ from each other by a CH<sub>2</sub> group. Thus, homologous are one more CH<sub>2</sub> group than series. Mathematicians consider numbers to be homologous if they are similar. Thus, no point at writing about these differences, except to suggest that molecular biologists, mathematicians, and organic chemists may have very different ways of biology leads and differs in the biological definitions.

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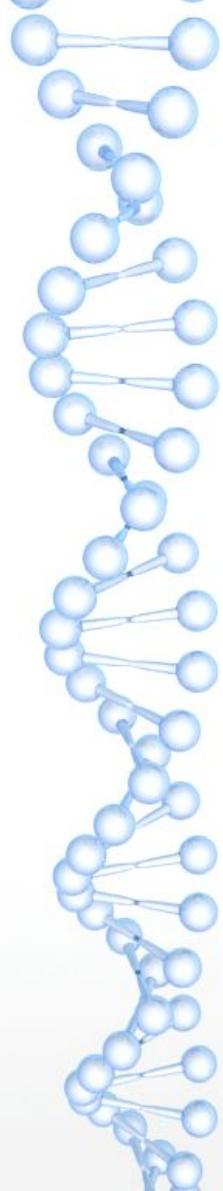
doi: 10.1111/j.1465-3133.2000.tb00521.x  
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**Walter Fitch**  
*Trends Genet.*  
16: 227-231, 2000

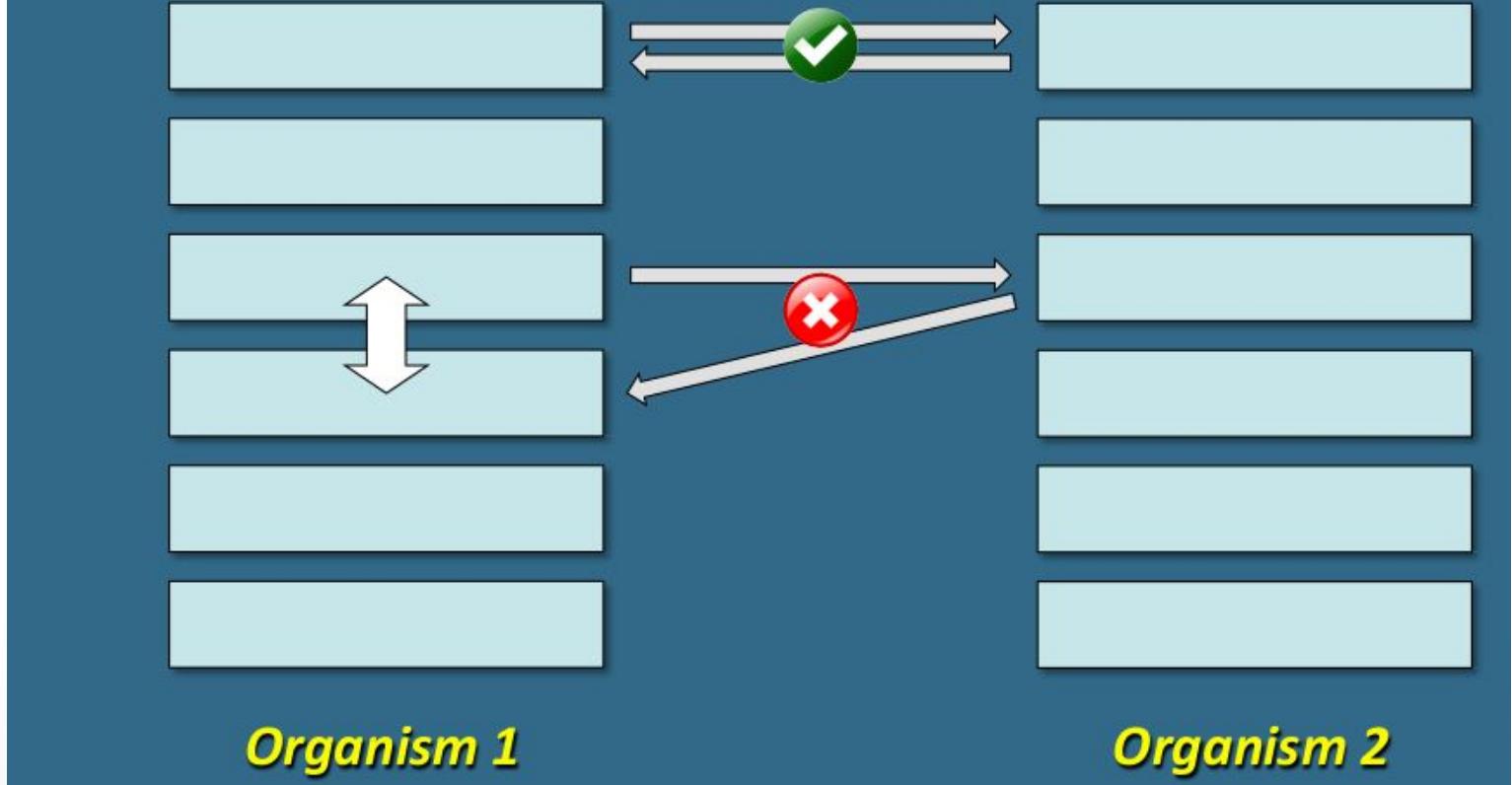
**Eugene Koonin**  
*Annu. Rev. Genet.*  
39: 309-338, 2005

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**Key Words:**  
homolog, ortholog, paralog, pseudohomolog, pseudoparalog, tetraploid  
**Abstract:**  
Orthologs and paralogs are two fundamentally different types of homologous genes that evolved, respectively, by vertical descent from a single ancestral gene and by duplication. Orthology and paralogy are key concepts of evolutionary genomics. A clear distinction between orthologs and paralogs is critical for the construction of a robust evolutionary classification of genes and reliable functional annotation of newly sequenced genomes. Genome comparisons show that orthologous relationships with genes from taxonomically distinct species can be established for the majority of the genes from each sequenced genome. This review examines in depth the definitions and subtleties of orthologs and paralogs, outlines the principal methodological approaches employed for identification of orthology and paralogy, and considers evolutionary and functional implications of these concepts.



## Identifying Candidate Orthologs: Reciprocal Best Hits



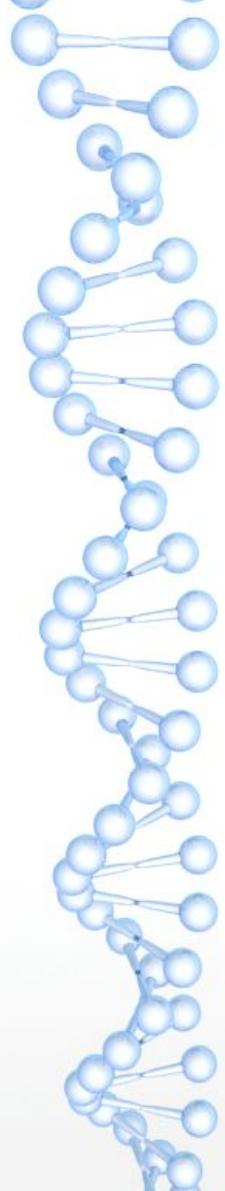
## Global Sequence Alignments

- Sequence comparison along the entire length of the two sequences being aligned
- Best for highly-similar sequences of similar length
- As the degree of sequence similarity declines, global alignment methods tend to miss important biological relationships



## Local Sequence Alignments

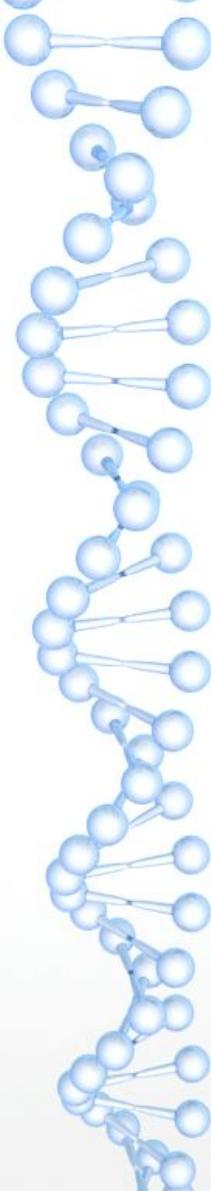
- Sequence comparison intended to find the most similar regions in the two sequences being aligned ('paired subsequences')
- Regions outside the area of local alignment are excluded
- More than one local alignment could be generated for any two sequences being compared
- Best for sequences that share some similarity, or for sequences of different lengths



# Scoring Matrices: Construction and Proper Selection

## Scoring Matrices

- Empirical weighting scheme representing physicochemical and biological characteristics of nucleotides and amino acids
  - Side chain structure and chemistry
  - Side chain function
- Amino acid-based examples of considerations:
  - Cys/Pro are important for structure and function
  - Trp has a bulky side chain
  - Lys/Arg have positively charged side chains



## Scoring Matrices

- **Conservation:** What residues can substitute for another residue and not adversely affect the function of the protein?
  - Ile/Val - both small and hydrophobic
  - Ser/Thr - both polar
  - *Conserve charge, size, hydrophobicity, additional physicochemical factors*
- **Frequency:** How often does a particular residue occur amongst the entire constellation of proteins?

***Why is understanding scoring matrices important?***

- Appear in all analyses involving sequence comparison
- Implicitly represent particular evolutionary patterns
- Choice of matrix can strongly influence outcomes of analyses

# Matrix Structure: Nucleotides

- Simple match/mismatch scoring scheme:

Match +2  
Mismatch -3

|   | A  | T  | G  | C  |
|---|----|----|----|----|
| A | 2  | -3 | -3 | -3 |
| T | -3 | 2  | -3 | -3 |
| G | -3 | -3 | 2  | -3 |
| C | -3 | -3 | -3 | 2  |

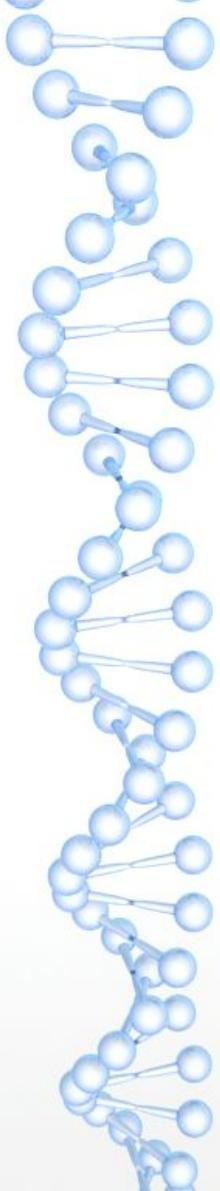


- Assumes each nucleotide occurs 25% of the time

# Matrix Structure: Proteins

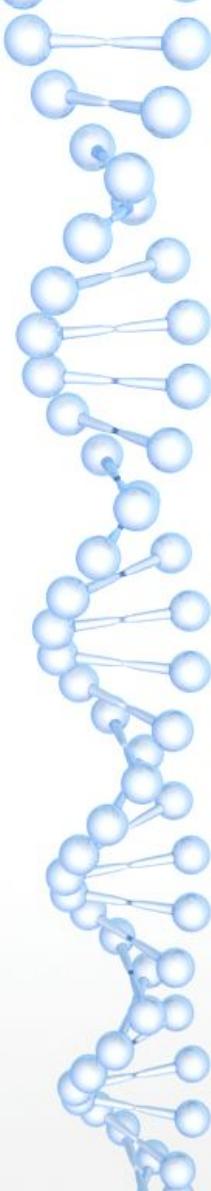
|   | A  | R  | N  | D  | C  | Q  | E  | G  | H  | I  | L  | K  | M  | F  | P  | S  | T  | W  | Y  | V  | B  | Z  | X  | *  |    |
|---|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|
| A | 4  | -1 | -2 | -2 | 0  | -1 | -1 | 0  | -2 | -1 | -1 | -1 | -1 | -2 | -1 | 1  | 0  | -3 | -2 | 0  | -2 | -1 | 0  | -4 |    |
| R | -1 | 5  | 0  | -2 | -3 | 1  | 0  | -2 | 0  | -3 | -2 | 2  | -1 | -3 | -2 | -1 | -1 | -3 | -2 | -3 | -1 | 0  | -1 | -4 |    |
| N | -2 | 0  | 6  | 1  | -3 | 0  | 0  | 0  | 1  | -3 | -3 | 0  | -2 | -3 | -2 | 1  | 0  | -4 | -2 | -3 | 3  | 0  | -1 | -4 |    |
| D | -2 | -2 | 1  | 6  | -3 | 0  | 2  | -1 | -1 | -3 | -4 | -1 | -3 | -3 | -1 | 0  | -1 | -4 | -3 | -3 | 4  | 1  | -1 | -4 |    |
| C | 0  | -3 | -3 | -3 | -3 | -9 | -3 | -4 | -3 | -3 | -1 | -1 | -3 | -1 | -2 | -3 | -1 | -1 | -2 | -2 | -1 | -3 | -3 | -2 | -4 |
| Q | -1 | -1 | 0  | 0  | 0  | -3 | 5  | 2  | -2 | 0  | -3 | -2 | 1  | 0  | -3 | -1 | 0  | -1 | -2 | -1 | -2 | 0  | 3  | -1 | -4 |
| E | -1 | 0  | 0  | 2  | -4 | 2  | 5  | -2 | 0  | -3 | -3 | 1  | -2 | -3 | -1 | 0  | -1 | -3 | -2 | -2 | 1  | 4  | -1 | -4 |    |
| G | 0  | -2 | 0  | -1 | -3 | -2 | -2 | 6  | -2 | -4 | -4 | -2 | -3 | -3 | -2 | 0  | -2 | -2 | -3 | -3 | -1 | -2 | -1 | -4 |    |
| H | -2 | 0  | 1  | -1 | -3 | 0  | 0  | -2 | 8  | -3 | -3 | -1 | -2 | -1 | -2 | -1 | -2 | -2 | 2  | -3 | 0  | 0  | -1 | -4 |    |
| I | -1 | -3 | -3 | -3 | -1 | -3 | -3 | -4 | -3 | 4  | 2  | -3 | 1  | 0  | -3 | -2 | -1 | -3 | -1 | 3  | -3 | -3 | -1 | -4 |    |
| L | -1 | -2 | -3 | -4 | -1 | -2 | -3 | -4 | -3 | 2  | 4  | -2 | 2  | 0  | -3 | -2 | -1 | -2 | -1 | 1  | -4 | -3 | -1 | -4 |    |
| K | -1 | 2  | 0  | -1 | -3 | 1  | 1  | -2 | -1 | -3 | -2 | 5  | -1 | -3 | -1 | 0  | -1 | -3 | -2 | -2 | 0  | 1  | -1 | -4 |    |
| M | -1 | -1 | -2 | -3 | -1 | 0  | -2 | -3 | -2 | 1  | 2  | -1 | 5  | 0  | -2 | -1 | -1 | -1 | 1  | -3 | -1 | -1 | -4 |    |    |
| F | -2 | -3 | -3 | -3 | -2 | -3 | -3 | -3 | -1 | 0  | 0  | -3 | 0  | 6  | -4 | -2 | -2 | 1  | 3  | -1 | -3 | -3 | -1 | -4 |    |
| P | -1 | -2 | -2 | -1 | -3 | -1 | -1 | -2 | -2 | -3 | -3 | -1 | -2 | -4 | 7  | -1 | -1 | -4 | -3 | -2 | -2 | -1 | -2 | -4 |    |
| S | 1  | -1 | 1  | 0  | -1 | 0  | 0  | 0  | -1 | -2 | -2 | 0  | -1 | -2 | -1 | 4  | 1  | -3 | -2 | -2 | 0  | 0  | 0  | -4 |    |
| T | 0  | -1 | 0  | -1 | -1 | -1 | -2 | -2 | -1 | -1 | -1 | -2 | -1 | 1  | 5  | -2 | -2 | 0  | -1 | -1 | 0  | -4 |    |    |    |
| W | 3  | 3  | 1  | 1  | 2  | 2  | 3  | 2  | 2  | 3  | 2  | 3  | 1  | 1  | 1  | 3  | 2  | 11 | 2  | -3 | -4 | -3 | -2 | -4 |    |
| Y | 2  | 2  | 2  | 2  | 2  | 1  | 2  | 2  | 2  | 1  | 2  | 1  | 2  | 2  | 2  | 2  | 2  | 2  | 7  | -1 | -3 | -2 | -1 | -4 |    |
| V | 0  | -3 | -3 | -3 | -1 | -2 | -2 | -3 | -3 | 3  | 1  | -2 | 1  | -1 | -2 | -2 | 0  | -3 | -1 | 4  | -3 | -2 | -1 | -4 |    |
| B | -2 | -1 | 3  | 4  | -3 | 0  | 1  | -1 | 0  | -3 | -4 | 0  | -3 | -3 | -2 | 0  | -1 | -4 | -3 | -3 | 4  | 1  | -1 | -4 |    |
| Z | -1 | 0  | 0  | 1  | -3 | 3  | 4  | -2 | 0  | -3 | -3 | 1  | -1 | -3 | -1 | 0  | -1 | -3 | -2 | -2 | 1  | 4  | -1 | -4 |    |
| X | 0  | -1 | -1 | -1 | -2 | -1 | -1 | -1 | -1 | -1 | -1 | -1 | -1 | -2 | 0  | 0  | -2 | -1 | -1 | -1 | -1 | -1 | -1 | -4 |    |
| * | -4 | -4 | -4 | -4 | -4 | -4 | -4 | -4 | -4 | -4 | -4 | -4 | -4 | -4 | -4 | -4 | -4 | -4 | -4 | -4 | -4 | -4 | -4 | -4 |    |

BLOSUM62



## BLOSUM Matrices

- Look only for differences in conserved, ungapped regions of a protein family ('blocks')
- Directly calculated based on local alignments
  - Substitution probabilities (*conservation*)
  - Overall *frequency* of amino acids
- Sensitive to detecting structural or functional substitutions
- Generally perform better than PAM matrices for local similarity searches (*Henikoff and Henikoff, 1993*)
- BLOSUM series can be used to identify both closely and distantly related sequences



## BLOSUM $n$

- Built using sequences sharing no more than  $n\%$  identity
- Contribution of sequences  $> n\%$  identical clustered and replaced by a sequence that represents the cluster

\* \* \* \*  
TGNQEEYGNNTSSDSSDEDY  
KKLEKEEEE~~G~~ISQESSEEE  
KKLEKEEEE~~G~~ISQESSEEE  
KKLEKEEEE~~G~~ISQESSEEE  
KPAQEETEETSSQESAEED  
KKPAQEETEETSSQESAEED

80% →

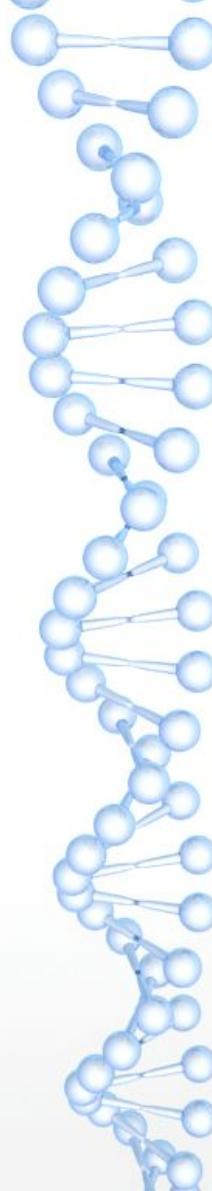
TGNQEEYGNNTSSDSSDEDY  
KKLEKEEEE~~G~~ISQESSEEE  
KKLEKEEEE~~G~~ISQESSEEE  
KKLEKEEEE~~G~~ISQESSEEE  
KPAQEETEETSSQESAEED  
KKPAQEETEETSSQESAEED

Cluster →

TGNQEEYGNNTSSDSSDEDY  
KKLEKEEEE~~G~~ISQESSEEE  
KPAQEETEETSSQESAEED



Calculate  
BLOSUM80

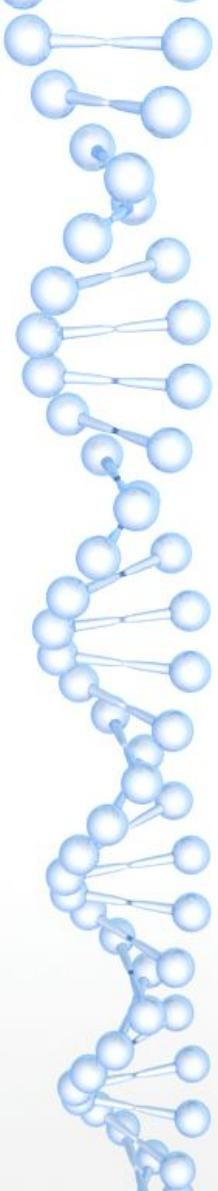


- Clustering reduces contribution of closely related sequences (less bias towards substitutions that occur in the most closely related members of a family)
- Reducing  $n$  yields more distantly related sequences
- Increasing  $n$  yields more closely related sequences

## Which one to choose?

### BLOSUM

|    |   | % Similarity |
|----|---|--------------|
| 90 | Short alignments, highly similar                            | 70-90        |
| 80 | Best for detecting known members of a protein family        | 50-60        |
| 62 | <b>Most effective in finding all potential similarities</b> | 30-40        |
| 30 | Longer, weaker local alignments                             | < 30         |



## The takeaway...

*No single matrix is the complete answer for all sequence comparisons*

David Wheeler  
Curr. Protoc. Bioinformatics  
3.5.1 – 3.5.6, 2003

### Selecting the Right Protein-Scoring Matrix

UNIT 3.5

#### OVERVIEW

Every program for searching protein sequences against a database includes a choice of a "protein-scoring matrix," also called a "weight matrix." Weight matrices add sensitivity to the search, while statistical significance adds specificity. Typically, every user chooses the default, typically PAM 250 or BLOSUM62. Despite the fact that the choice of matrix can strongly influence the outcome of the analysis, most users do not know why a particular matrix should be used. In general, scoring matrices implicitly represent a particular theory of protein sequence evolution. This unit provides guidance in the choice of a scoring matrix, as understanding the assumptions underlying PAM and BLOSUM matrices can aid in making a proper choice.

The selection of PAM matrices is covered first,

after which the selection of BLOSUM matrices is discussed, and finally a brief overview of the wide variety of specialized scoring matrices is provided.

#### PAM MATRICES

PAM, a rearranged acronym derived from Accession Matrices (Dayhoff 1978) is a probabilistic model for amino acid replacement derived by computing the frequencies of replacement in closely related sequences to the frequency expected from the completely random replacement of amino acids. The basis of this scoring system is the observation that the evolution of protein sequences is a nonrandom process—i.e., some amino acid replacements occur much more frequently than others, especially in terms of the physical properties of the substituted amino acid, such as hydrophobicity and to conserve charge, size, and hydrophobicity among other characteristics. One would expect that the substitution of glycine for alanine (CH<sub>3</sub> versus H) would have less of an effect on a protein's structure and function than the substitution of alanine for threonine (CH<sub>3</sub> versus substituted indole ring). The inference is that if two aligned sequences manifest a higher than expected prevalence of these characteristics, then the sequences are related. An excellent discussion of the derivation and use of the PAM matrices is given in George et al. (1990).

PAM matrices are the result of computing the probability of one substitution per 100

amino acids, called the PAM 1 matrix. Higher PAM matrices are derived by multiplying the PAM 1 matrix by itself a defined number of times. Thus, a PAM 160 matrix is the result of performing 160 matrix multiplications of the PAM 1 matrix against itself. Similarly, the PAM 250 matrix is derived by multiplying the PAM 1 matrix against itself 250 times.

Biologically, the PAM 50 matrix means that in 100 amino acids there have been 50 substitutions, while the PAM 250 matrix means there have been 2.5 amino acid replacements at each site (see UNIT 3.1 regarding insertions and deletions). This sounds unusual, but remember that over evolutionary time, it is possible that an alanine was changed to a glycine, then to a valine, and then back to an alanine. These silent substitutions are derived from observed amino acid frequency data in protein families and superfamilies.

#### Choosing a PAM Matrix

It is extremely important to note that PAM matrices are derived from protein sequence data available in the late 1960s and early 1970s. Most proteins known at that time were small, globular, hydrophilic proteins. If a user believes their target contains substantial hydrophobic regions, such as membrane-spanning helices or sheets, the PAM matrices are less useful than others described in this unit. Dayhoff et al. (1978) were the first to define the terms protein family and superfamily. A protein family is defined as sequences 85% identical or greater to each other. A protein superfamily is defined as sequences related from 30% identical or greater to each other. It is interesting to note that many protein families, the user should be aware that while the terms "family" and "superfamily" are widely used in biology, most of the time the original definition of Dayhoff and collaborators is not being used (see below).

#### Locating all potential similarities: PAM 250

The most widely used PAM matrix is PAM 250 (Fig. 3.5.1). It has been chosen because it is a good balance between discriminability (in the 30% range (i.e., conservation), that is, when the two proteins are up to 70% different from each other (George et al., 1990). Another way to think about this is that the PAM 250

Finding  
Similarities and  
Inferring  
Homologies

3.5.1

Contributed by David Wheeler  
Current Protocols in Bioinformatics (2003) 3.5.1–3.5.6  
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# Gaps

- Used to improve alignments between two sequences
  - Compensate for insertions and deletions
  - As such, *gaps represent biological events*
- Gaps must be kept to a reasonable number, to not reflect a biologically implausible scenario. About one gap per 20 residues is a good rule-of-thumb.
- Cannot be scored simply as a 'match' or a 'mismatch'

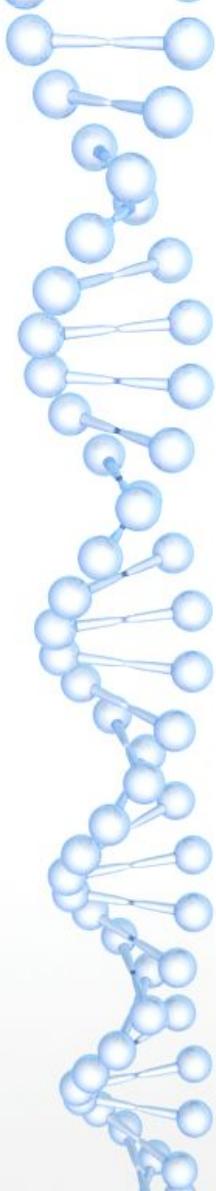


## Affine Gap Penalty

Fixed deduction for introducing a gap *plus* an additional deduction proportional to the length of the gap

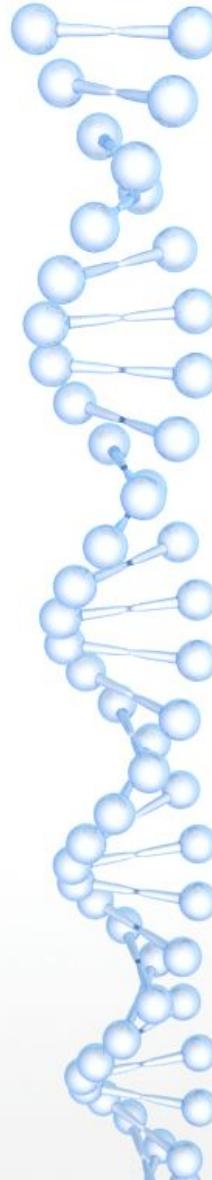
$$\text{Deduction for a gap} = G + Ln$$

|       | nucleotide                  | protein |
|-------|-----------------------------|---------|
| where | $G$ = gap-opening penalty   | 5       |
|       | $L$ = gap-extension penalty | 2       |
|       | $n$ = length of the gap     | 11      |
| and   | $G > L$                     |         |



# BLAST: The Basic Local Alignment Search Tool

- Seeks high-scoring segment pairs (HSPs)
  - Pair of sequences that can be aligned with one another
  - When aligned, have maximal aggregate score (score cannot be improved by extension or trimming)
  - Score must be above score threshold ( $S$ )
  - Gapped or ungapped
- Results not limited to the ‘best’ high-scoring segment pair for the two sequences being aligned



J Mol Biol. 1990 Oct 5;215(3):403-10.

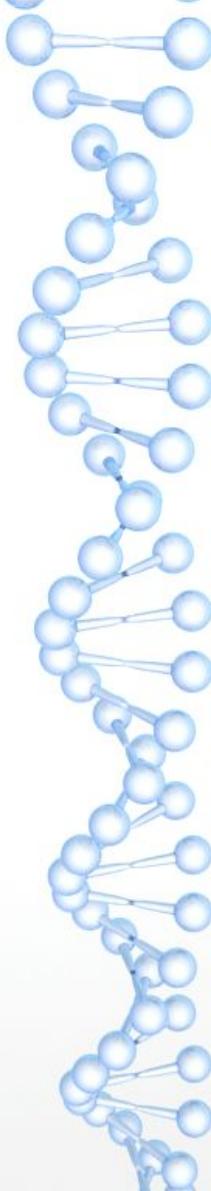
## Basic local alignment search tool.

Altschul SF<sup>1</sup>, Gish W, Miller W, Myers EW, Lipman DJ.

### Author information

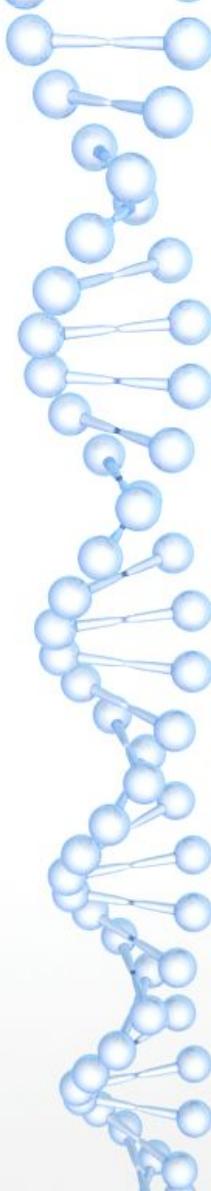
#### Abstract

A new approach to rapid sequence comparison, basic local alignment search tool (BLAST), directly approximates alignments that optimize a measure of local similarity, the maximal segment pair (MSP) score. Recent mathematical results on the stochastic properties of MSP scores allow an analysis of the performance of this method as well as the statistical significance of alignments it generates. The basic algorithm is simple and robust; it can be implemented in a number of ways and applied in a variety of contexts including straightforward DNA and protein sequence database searches, motif searches, gene identification searches, and in the analysis of multiple regions of similarity in long DNA sequences. In addition to its flexibility and tractability to mathematical analysis, BLAST is an order of magnitude faster than existing sequence comparison tools of comparable sensitivity.



## BLAST Algorithms

| <i>Program</i> | <i>Query Sequence</i>                | <i>Target Sequence</i>               |
|----------------|--------------------------------------|--------------------------------------|
| BLASTN         | Nucleotide                           | Nucleotide                           |
| BLASTP         | Protein                              | Protein                              |
| BLASTX         | Nucleotide,<br>six-frame translation | Protein                              |
| TBLASTN        | Protein                              | Nucleotide,<br>six-frame translation |
| TBLASTX        | Nucleotide,<br>six-frame translation | Nucleotide,<br>six-frame translation |



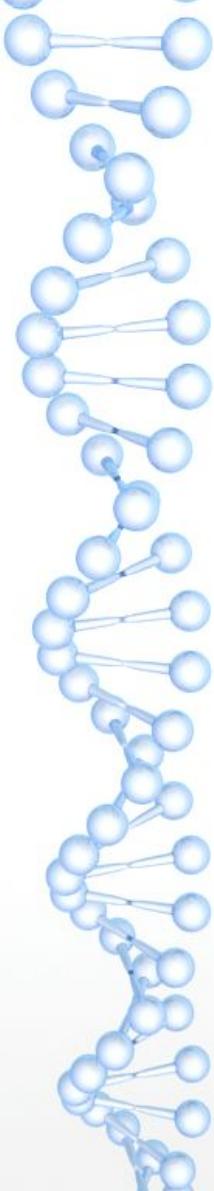
# Neighborhood Words

*Query Word (W = 3)*

Query: GSQSLAALLNKCKT **PQG** QRLVNQWIKQPLMDKNRIERLNLVAFVED

*Neighborhood  
Words*

|      |    |                           |
|------|----|---------------------------|
| PQG  | 18 | = 7 + 5 + 6               |
| PEG  | 15 |                           |
| PRG  | 14 |                           |
| PKG  | 14 |                           |
| PNG  | 13 |                           |
| PDG  | 13 |                           |
| PHG  | 13 |                           |
| PMG  | 13 |                           |
| PSG  | 13 |                           |
| PQA  | 12 | <i>Neighborhood Score</i> |
| PQN  | 12 | <i>Threshold</i>          |
| etc. |    | (T = 13)                  |



## High-Scoring Segment Pairs

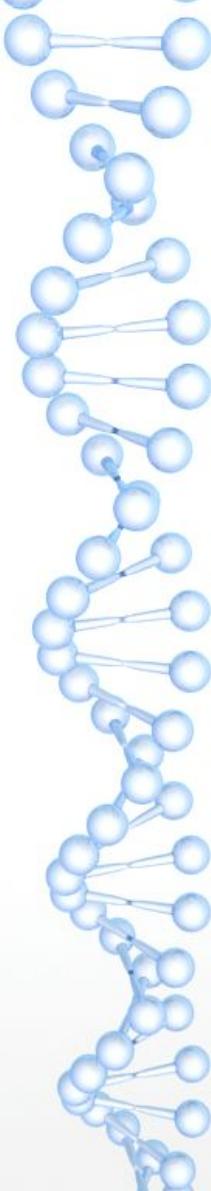
|      |    |
|------|----|
| PQG  | 18 |
| PEG  | 15 |
| PRG  | 14 |
| PKG  | 14 |
| PNG  | 13 |
| PDG  | 13 |
| PHG  | 13 |
| PMG  | 13 |
| PSG  | 13 |
| PQA  | 12 |
| PQN  | 12 |
| etc. |    |



Query: 325 SLAALLNKCKT**PQG**QRLVNQWIKQPLMDKNRIEERLNLV EA 365

+LA++L T**P** G R++ +W+ +P+ D + ER + A

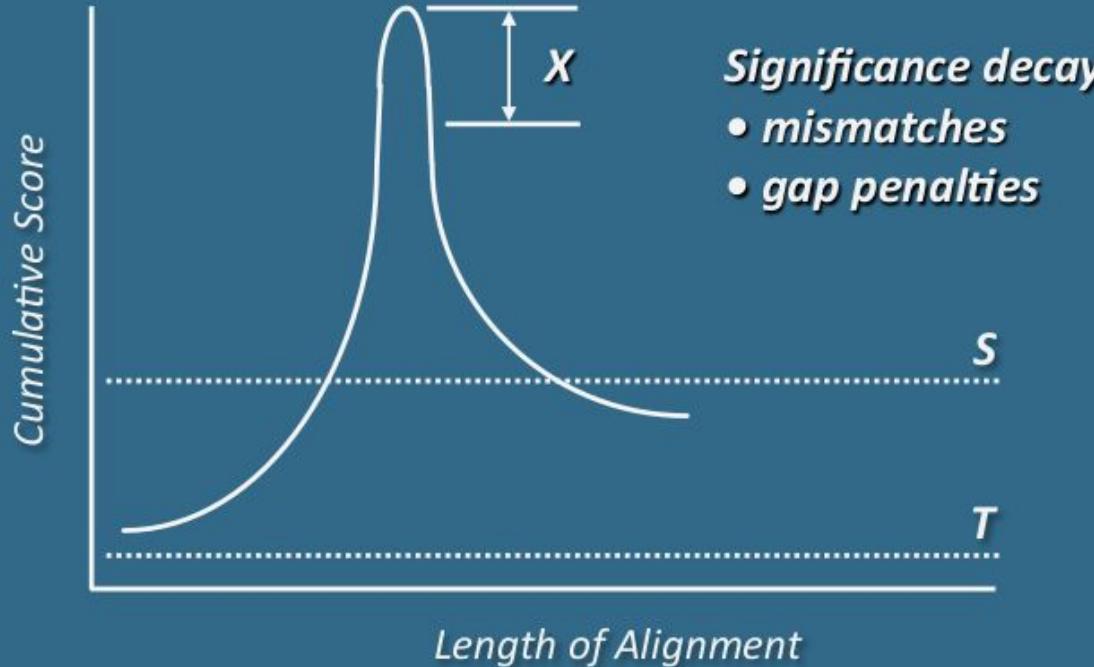
Sbjct: 290 TLASVLDCTVT**PMG**SRMLKRWLHMPVRDTRVLLERQQTIGA 330



## Extension



|        |     |             |       |                         |            |     |
|--------|-----|-------------|-------|-------------------------|------------|-----|
| Query: | 325 | SLAALLNKCKT | PQG   | QRLVNQWIKQPLMDKNRIEERLN | LVEA       | 365 |
|        |     | +LA++L      | T P G | R++ +W+ +P+ D           | + ER       | + A |
| Sbjct: | 290 | TLASVLDCTVT | PMG   | SRMLKRWLHMPVRDTRVL      | LLERQQTIGA | 330 |



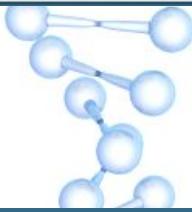
## Scores and Alignment Length Don't Tell the Whole Story

Query: 1 SGLKSLVGKTALLSGTSSKL 20  
SGLKSLVGKTALLSGTSSKL  
Sbjct: 1 SGLKSLVGKTALLSGTSSKL 20

Score = 91

Query: 1 CQHMWYQWMIQCIWMYHCMQ 20  
CQHMWYQWMIQCIWMYHCMQ  
Sbjct: 1 CQHMWYQWMIQCIWMYHCMQ 20

Score = 138



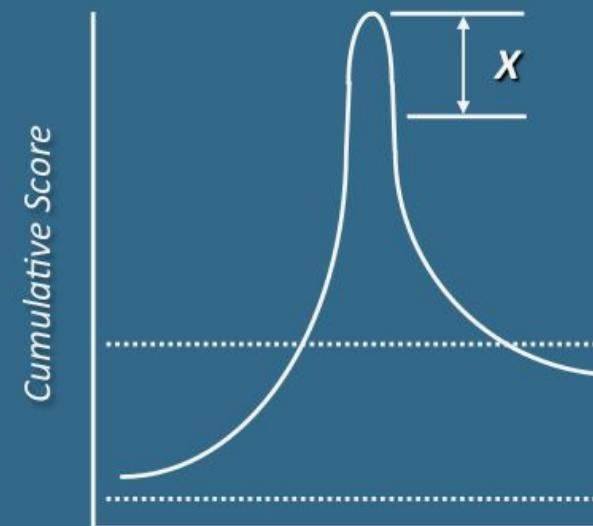
$E \leq 10^{-6}$   
*for nucleotides*

$E \leq 10^{-3}$   
*for proteins*



## Scores and Probabilities

Query: 325 SLAALLNKCKT PQG QRLVNQWI KQPLMDKNRI EERLN LVEA 365  
+LA++L T P G R++ +W+ +P+ D + ER + A  
Sbjct: 290 TLASVLDCTVT PMG SRMLKRWL HMPVRDTRVLLERQQTIGA 330

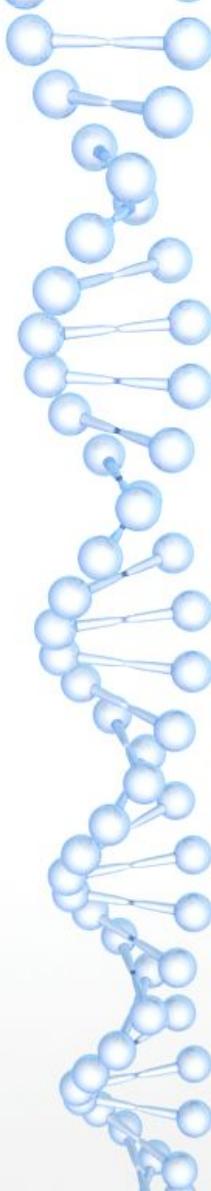


$$E = kmNe^{-\lambda S}$$

- $m$  # letters in query  
 $N$  # letters in database  
 $mN$  size of search space  
 $\lambda S$  normalized score  
 $k$  minor constant

*Number of HSPs found purely by chance*

*T Lower values signify higher similarity*



# Using Blast for protein similarity searches

- <https://mega.nz/#!Uh0DCIwS!KezJonusqHAcL4XTFdFRJdG8j-qxWk25WuWj9puh42E>

The screenshot shows the NCBI homepage (<http://ncbi.nlm.nih.gov>) with several sections and links:

- Left sidebar:** NCBI Home, Resource List (A-Z), All Resources, Chemicals & Bioassays, Data & Software, DNA & RNA, Domains & Structures, Genes & Expression, Genetics & Medicine, Genomes & Maps, Homology, Literature, Proteins, Sequence Analysis, Taxonomy, Training & Tutorials, Variation.
- Welcome to NCBI:** The National Center for Biotechnology Information advances science and health by providing access to biomedical and genomic information. Links to About the NCBI, Mission, Organization, NCBI News, and Blog.
- Central Content:** Submit (Deposit data or manuscripts into NCBI databases), Download (Transfer NCBI data to your computer), Learn (Find help documents, attend a class or watch a tutorial), Develop (Use NCBI APIs and code libraries to build applications), Analyze (Identify an NCBI tool for your data analysis task), Research (Explore NCBI research and collaborative projects).
- Popular Resources:** PubMed, Bookshelf, PubMed Central, PubMed Health, **BLAST** (highlighted with a red box), Nucleotide, Genome, SNP, Gene, Protein, PubChem.
- NCBI Announcements:** Variation Viewer 1.5 adds facet toggling, updated backend data (04 Feb 2016); Variation Viewer 1.5 provides several new features, improvements and bug fixes (04 Feb 2016); February 17th webinar: "Five ways to submit next-gen sequencing data to NCBI's Sequence Read Archive (SRA)" (03 Feb 2016); In this webinar, NCBI will present a (03 Feb 2016); Genome Workbench 2.10 now available (29 Jan 2016); Genome Workbench 2.10 includes a reworked BLAST tool and new functionalities in Tree View. For the full (29 Jan 2016); More...
- Bottom footer:** You are here: NCBI > National Center for Biotechnology Information. GETTING STARTED: NCBI Education, NCBI Help Manual, NCBI Handbook, Training & Tutorials. RESOURCES: Chemicals & Bioassays, Data & Software, DNA & RNA, Domains & Structures. POPULAR: PubMed, Bookshelf, PubMed Central, PubMed Health. FEATURED: Genetic Testing Registry, PubMed Health, GenBank, Reference Sequences. NCBI INFORMATION: About NCBI, Research at NCBI, NCBI News, NCBI FTP Site.

NCBI BLAST! blastp suite

Basic Local Alignment Search Tool

Standard Protein BLAST

Enter Query Sequence

Query sequence

From To

Reset page Bookmarks

Or, upload file

Job Title

Query sequence

Enter a descriptive title for your BLAST search

Align two or more sequences

Choose Search Set

Database Non-redundant protein sequences (nr) ↗

Organism Enter organism common name, binomial, or tax id. Only 20 top taxa will be shown. ↗

Optional Exclude ↗

Exclude Models (XMXP) - Uncultured/environmental sample sequences ↗

Optional Enter Query

Optional Create custom database ↗

Enter an Entrez query to limit search ↗

Program Selection

Algorithm

- blastp (protein-protein BLAST)
- PSI-BLAST (Position-Specific Iterated BLAST)
- PHI-BLAST (Patent Hit Initiated BLAST)
- DELTA-BLAST (Domain Enhanced Lookup Time Accelerated BLAST)

Choose a BLAST algorithm ↗

BLAST

Search database Non-redundant protein sequences (nr) using Blastp (protein-protein BLAST)

Show results in a new window ↗

Algorithm parameters ↗

BLAST is a registered trademark of the National Library of Medicine.

NCBI | NLM | NIH | DHHS

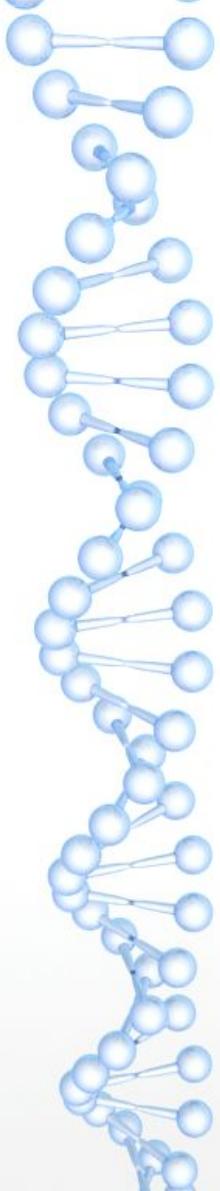
Available protein databases include:

|           |                       |
|-----------|-----------------------|
| nr        | Non-redundant         |
| refseq    | Reference Sequences   |
| swissprot | SWISS-PROT            |
| pat       | Patents               |
| pdb       | Protein Data Bank     |
| env_nr    | Environmental samples |



## NCBI RefSeq Database

- *Goal:* Provide a single reference sequence for each molecule of the central dogma (DNA, mRNA, and protein)
- Distinguishing features
  - Non-redundancy
  - Updates to reflect the current knowledge of sequence data and biology
  - Includes biological attributes of the gene, gene transcript, or protein
  - Encompasses a wide taxonomic range, with primary focus on mammalian and human species
  - Ongoing updates and curation (both automated and manual review), with review status indicated on each record



## RefSeq Accession Number Prefixes

*From curation of GenBank entries:*

**NT\_** Genomic contigs

**NM\_** mRNAs

**NP\_** Proteins

**NR\_** Non-coding transcripts

*From genome annotation:*

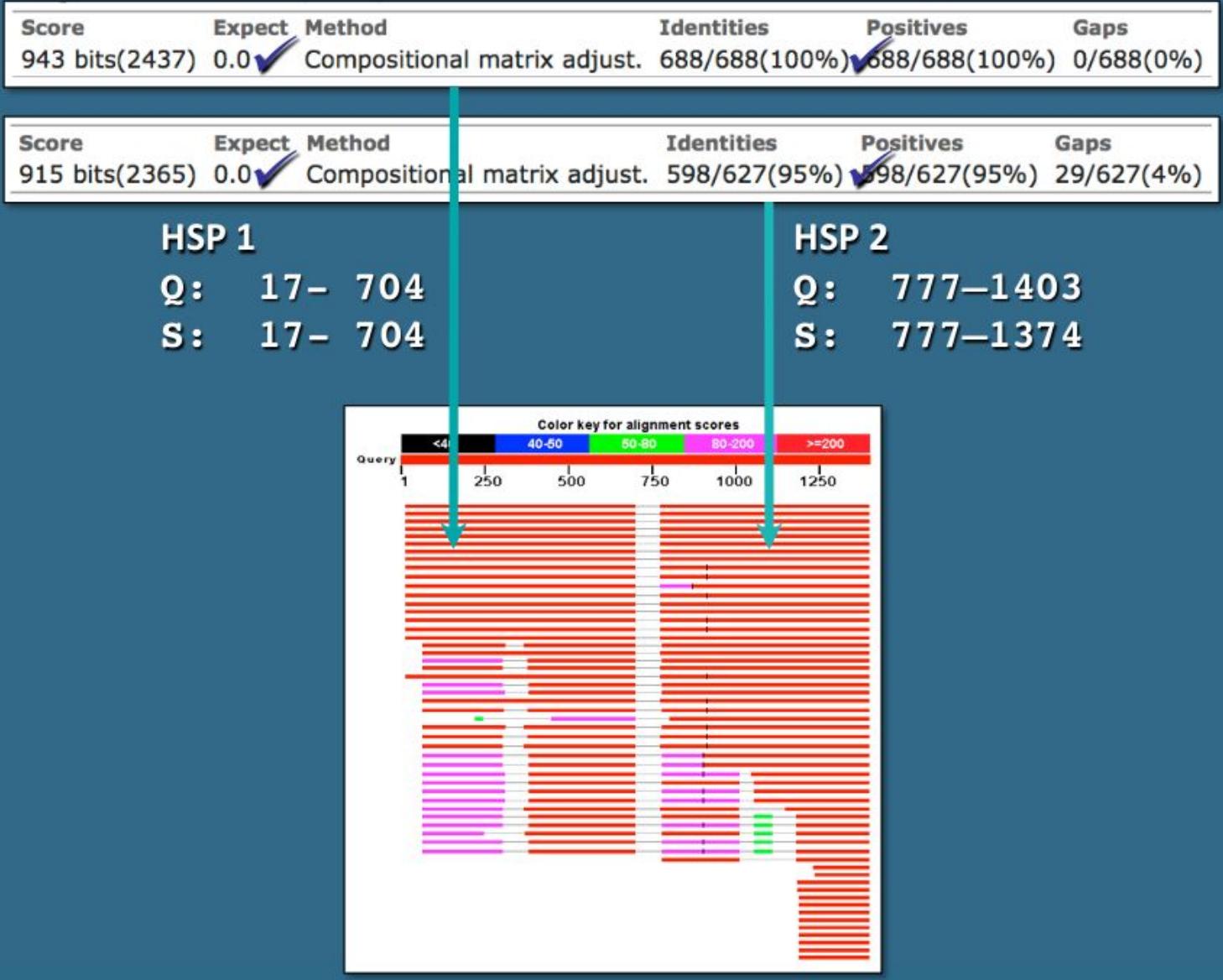
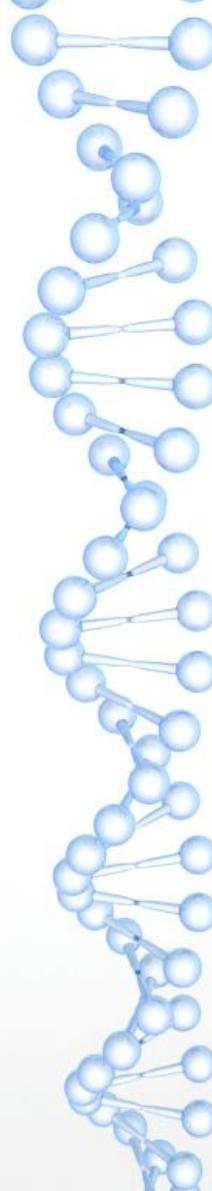
**XM\_** Model mRNA

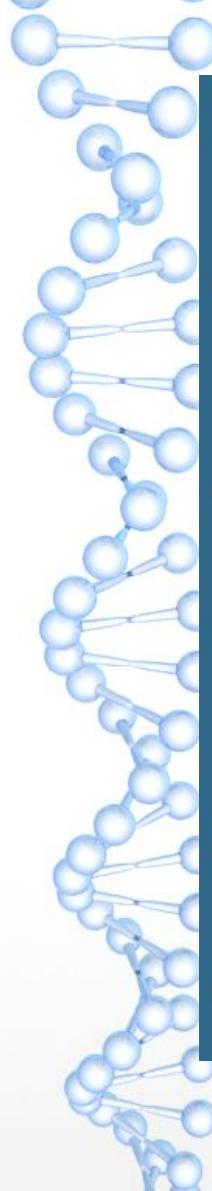
**XP\_** Model proteins

Complete list of molecule types in Chapter 18 of the NCBI Handbook

<http://ncbi.nlm.nih.gov/books/NBK21091>

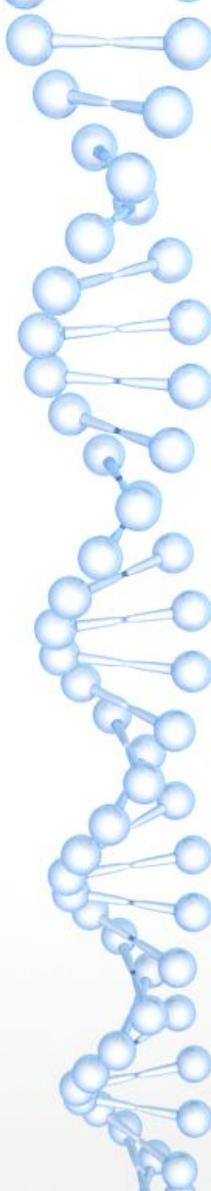






## BLAST 2 Sequences

- Finds local alignments between two protein or nucleotide sequences of interest
- All BLAST programs available
- Select BLOSUM and PAM matrices available for protein comparisons
- Same affine gap costs (adjustable)
- Input sequences can be masked



Protein BLAST: Align two or... PAGE=Proteins&PROGRAM=blastp&BLAST\_PROGRAMS=blastp&PAGE\_TYPE=BlastSearch&BLAST\_SPEC=

**BLAST®** Basic Local Alignment Search Tool

Home Recent Results Saved Strategies Help

NCBI/ BLAST/ blastp suite Align Sequences

blastn blastp blastx tblastn tblastx

Enter Query Sequence

Enter accession number(s), gi(s), or FASTA sequence(s) <input> Clear  
>NP\_008872.1 SOX-10 [Homo sapiens]  
MAEEQDLSEVELSPVGSEEPRLCLSPGSAPSLGPDGGGGSGLRAASPGPGEGLGVKVKKEQQDGEADDDKFPV  
CIREAVSVQLSGYDWTLVPMPVRVNNGASKSPHKVRPMNAFMWQAQARRKLADQYPHLHNAAELSKTLLGK  
LWKRLLNESDKRPFIEEAERLRRMWHKKDHDPDYKQFRRRKNGKAQGEAECPGGEAEQGTTAAIQAHYKSA  
HLDHRHPGEGRPFMSDGNPSQSGSHGPPTPTPKTELQSGKADPKRDGRSMGEGGKPHIDFGNVDICE

Or, upload file <input type="file"/> No file selected.

Job Title NP\_008872.1 SOX-10 [Homo sapiens]

Enter a descriptive title for your BLAST search <input type="text"/>

Align two or more sequences

Enter Subject Sequence

Enter accession number(s), gi(s), or FASTA sequence(s) <input> Clear  
>NP\_003131.1 sex determining region Y [Homo sapiens]  
MQSYASAMLSVFNNSDYSQAVENIPALRRSSFLCTESCNKYQCETGENSKNVQDRVKRPMMNAPIVV  
SRDQRKMALENPRMRNSEEISKQLGYQWQMLTEAKWFFQEAQKLQAMHREKYPNYKYPFRKAKMLPK  
NCSLLPPADPAVLCEQVLNDNLYRDDCTKATHSRMEHQLHLPPINAASSPQRDRYSHWTKL

Or, upload file <input type="file"/> No file selected.

Program Selection

Algorithm  blastp (protein-protein BLAST)  
Choose a BLAST algorithm <input type="text"/>

**BLAST** Search protein sequence using Blastp (protein-protein BLAST)  
 Show results in a new window

+ Algorithm parameters

Search protein sequence using Blastp (protein-protein BLAST)

Show results in a new window

**BLAST**

Search protein sequence using Blastp (protein-protein BLAST)

Show results in a new window

**Algorithm parameters** Note: Parameter values that differ from the default are highlighted

General Parameters

Max target sequences  Select the maximum number of aligned sequences to display

Short queries  Automatically adjust parameters for short input sequences

Expect threshold

Word size

Max matches in a query range

Scoring Parameters

Matrix  ← PAM30  
PAM70  
PAM250  
BLOSUM80  
BLOSUM62  
BLOSUM45  
BLOSUM50  
BLOSUM90

Gap Costs Existence: 11 Extension: 1

Compositional adjustments Conditional compositional score matrix adjustment

Filters and Masking

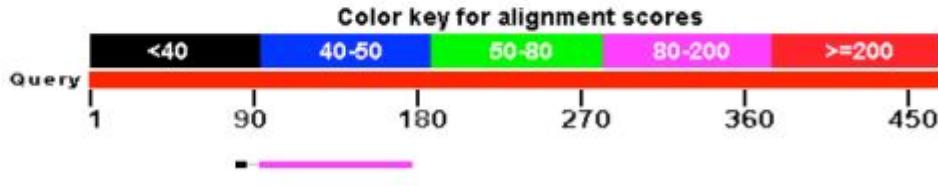
Filter  Low complexity regions

Mask  Mask for lookup table only  
 Mask lower case letters

## Graphic Summary

### Distribution of 2 Blast Hits on the Query Sequence

Mouse over to see the defline, click to show alignments



NP\_003131.1 sex determining region Y [Homo sapiens]

Sequence ID: Icl|Query\_213411 Length: 204 Number of Matches: 2

#### Range 1: 51 to 134 Graphics

▼ Next Match ▲ Previous Match

| Score          | Expect | Method                       | Identities | Positives  | Gaps     |
|----------------|--------|------------------------------|------------|------------|----------|
| 94.0 bits(232) | 1e-26  | Compositional matrix adjust. | 39/84(46%) | 62/84(73%) | 0/84(0%) |

Query 95 NGASKSKPHVKRPMNAFMVWAQARRKLADQYPHLHNAAELSCTLGKLWRLLNESDKRPF 154

N + VKRPMNAF+VW++ RRK+A + P + N+E+SK LG W++L E++K PF

Sbjct 51 NSKGNVQDRVKRPMNAFIVWSRDQRRKMALENPRMRNSEISKQLGYQWKMLTEAEKPWF 110

Query 155 EEAERLRMQRHKKDHPDYKYQPRRR 178

+EA++L+ H++ +P+YKY+PRR+

Sbjct 111 QEAQKLQAMHREKPNKYRPRRK 134

#### Range 2: 95 to 101 Graphics

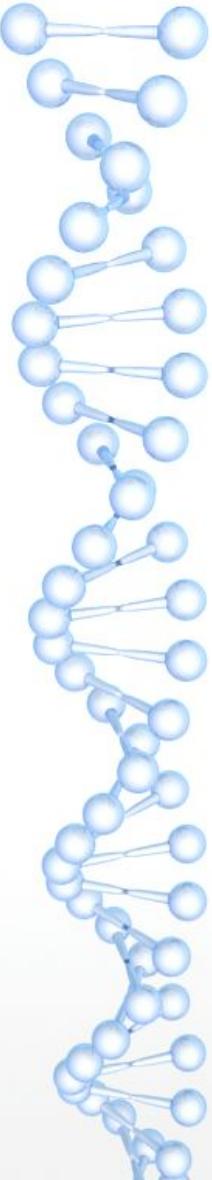
▼ Next Match ▲ Previous Match ▲ First Match

| Score         | Expect | Method                       | Identities | Positives | Gaps    |
|---------------|--------|------------------------------|------------|-----------|---------|
| 15.4 bits(28) | 1.9    | Compositional matrix adjust. | 3/7(43%)   | 5/7(71%)  | 0/7(0%) |

Query 82 GYDWTLV 88

GY W ++

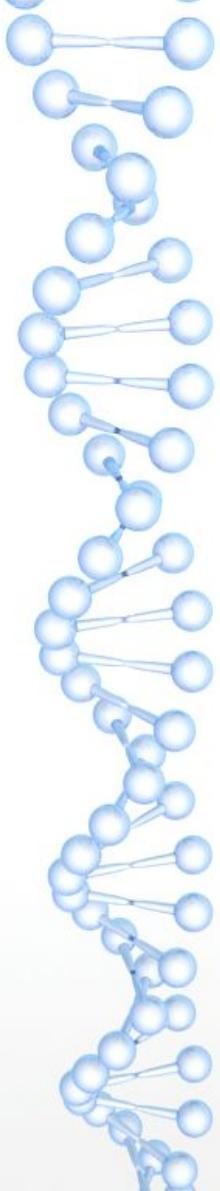
Sbjct 95 GYQWKML 101



# Global alignment

- [https://www.ebi.ac.uk/Tools/psa/emboss\\_needle/](https://www.ebi.ac.uk/Tools/psa/emboss_needle/)

|            |   |     |
|------------|---|-----|
| EMBOSS_001 | 1 MAEEQDLSEVELSPVGSEEPRLSPGSAPS LGPDGGGGSGLRASPGPGE   | 50  |
| EMBOSS_001 | 1 -----   | 0   |
| EMBOSS_001 | 51 LGKVKEQQDGEA-----DDDKFPV-----CIREAVSQVLSGYDWTLV<br>..... ..... ..... .   . .: .: .: .: .....                 | 88  |
| EMBOSS_001 | 1 -----MQSYASAMLSVFNSDDYSPA VQENIPALRRSSSFLCTESCN SKY   | 44  |
| EMBOSS_001 | 89 PMPVRVNGASKSKPHVKRPMNA FMWVAQAARRKLADQYPHLHNAELSKTL<br>..... ..... ..... ..... ..... ..... ..... ..... ..... | 138 |
| EMBOSS_001 | 45 QCETGENSKGNVQDRVKRPMNAFIVWSRDQRRKMALENPRMRN SEISKQL  | 94  |
| EMBOSS_001 | 139 GKLWRLLNESDKRPFIEEAER LRMQHKKDHPDYKYQPRRRKNGKAAQGEA<br> .. .: .. .: .. .: .. .: .. .: .. .: .. .: ..        | 188 |
| EMBOSS_001 | 95 GYQWKMLTEAKWPFFQEAKLQAMHREKYPNYKYRPRR---KAKMLPK  | 140 |
| EMBOSS_001 | 189 ECPGGEAEQGGTAIIQAHYKS AHL DHR-HPGE GSPMSDG NPEHPSQ SHG<br> ..... :..... ..... : ..... ..... ..... .....  .. | 237 |
| EMBOSS_001 | 141 NCSLLPADPAS VLC-----SEV QLDNRLYR DDC TKA THSRMEHQ LG--HL  | 183 |
| EMBOSS_001 | 238 PP-TPPTTPK-----TELQSGKADPKRDGRSMGE GGKPHIDFGNVDI<br>   .. :   :   | 278 |
| EMBOSS_001 | 184 PPINAASSPQQRDRYSHWT KL-----   | 204 |
| EMBOSS_001 | 279 GEISHEVMSNMETFDVAELDQYLPPNGHPGHVSS YSAAGYGLGSALAVAS   | 328 |
| EMBOSS_001 | 205 -----   | 204 |
| EMBOSS_001 | 329 GHSAWISKPPGVALPTVSPPGVDAKAQVKTETAGPQGP PHYTDQPSTS QI  | 378 |
| EMBOSS_001 | 205 -----   | 204 |
| EMBOSS_001 | 379 AYTSLSLPHYGSAFPSISRPQFDYSDHQPSGPYYGHSGQAS GLYSAFSYM   | 428 |
| EMBOSS_001 | 205 -----   | 204 |
| EMBOSS_001 | 429 GPSQRPLYTAISDPSPSGPQSHSPTHWEQPVY TTLSRP   | 466 |
| EMBOSS_001 | 205 -----   | 204 |



## Nucleotide-Based BLAST Algorithms

| <i>W</i> | <i>+/-</i> | <i>Gaps</i> |
|----------|------------|-------------|
|----------|------------|-------------|

*Optimized for aligning very long and/or highly similar sequences (> 95%)*

|                     |    |       |        |
|---------------------|----|-------|--------|
| MegaBLAST (default) | 28 | 1, -2 | Linear |
|---------------------|----|-------|--------|

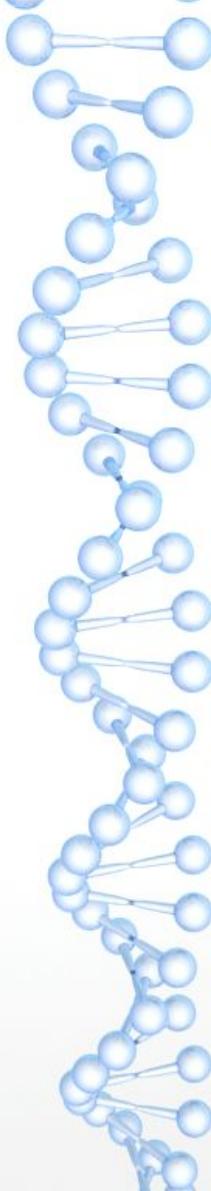
*Better for diverged sequences and/or cross-species comparisons (< 80%)*

|                         |    |       |        |
|-------------------------|----|-------|--------|
| Discontiguous MegaBLAST | 11 | 2, -3 | Affine |
|-------------------------|----|-------|--------|

|        |    |       |        |
|--------|----|-------|--------|
| BLASTN | 11 | 2, -3 | Affine |
|--------|----|-------|--------|

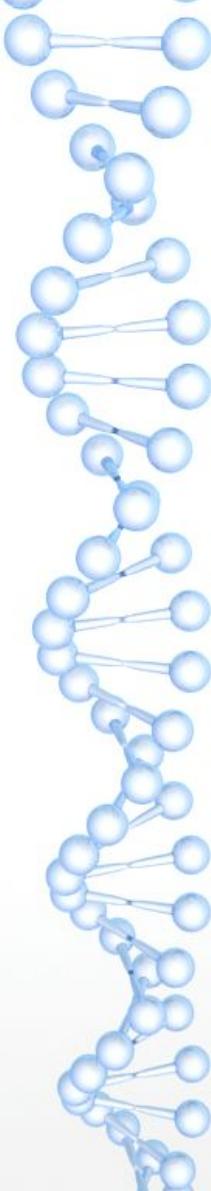
*Finding short, nearly exact matches (< 20 bases)*

|        |   |       |        |
|--------|---|-------|--------|
| BLASTN | 7 | 2, -3 | Affine |
|--------|---|-------|--------|



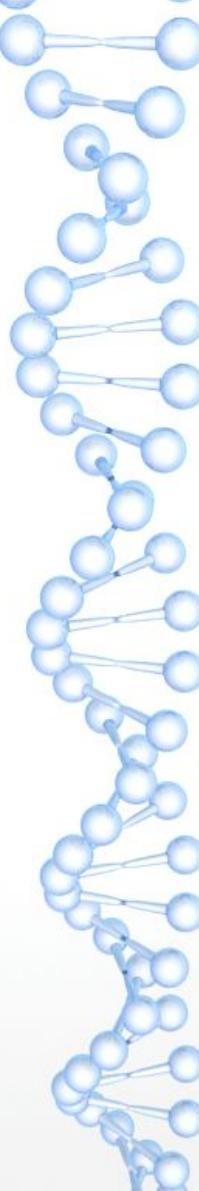
## BLAT

- “BLAST-Like Alignment Tool”
- Designed to rapidly align longer nucleotide sequences ( $L \geq 40$ ) having  $\geq 95\%$  sequence similarity
- Can find exact matches reliably down to  $L = 33$
- Method of choice when looking for exact matches in nucleotide databases
- 500 times faster than BLAST for mRNA/DNA searches
- May miss divergent or shorter sequence alignments
- Can be used on protein sequences, but BLASTP is more efficient



## BLAT

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<http://genome.ucsc.edu>

UCSC Genome Bioinformatics

Genomes Genome Browser Tools Mirrors Downloads My Data Help About Us

Genome Browser Blat Table

About the UCSC Genome Bioinformatics Site

Welcome to the UCSC Genome Browser website. This site contains the reference sequence and working draft assemblies for a large collection of genomes. It also provides portals to ENCODE data at UCSC (2003 to 2012) and to the Neandertal project. Download or purchase the Genome Browser source code, or the Genome Browser in a Box (GBIB) at our online store.

## BLAT Search Genome

Genome: Assembly: Query type: Sort output: Output type:

Rhesus

Oct. 2010 (BGI CR\_1.0/rheMac3)

DNA

query.score

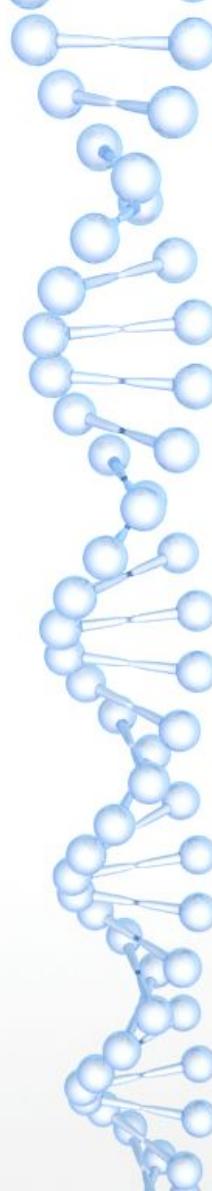
hyperlink

```
>CB312814 NICHD_Rh_Ov1 Macaca mulatta cDNA clone
GGGGTGGAGCTGCCAGAGTAAAGCAAAGAGCAAGGAAGCAGGCTCGTTGAAGGGGTGTGACAGCCCC
AGCAATGTGGAGAACGTCTGGGGCTTGCCTGCTCTGTCCTCCATCGGGAGAACAGAGAGCCAG
GACCAAGCTCCCTGTAAGCAACCCCAGCTGGAGCATAAAGAGATCAAGATCCAATGCTAGACTCCA
ATGGTCAGTGACTGTGTCGCTCTTCAAGCCAGCTGATACCTGTGCTACTGCAANGCATCTAAATT
GGAAGAACTCGCAAGTAAACTGGAGAAAAGAGGATATTCTAAATATTCTATATTGGTGTAAATCATCAA
GGGATCTCTCTCGATTAACACACATCTTAGAAAAAAAGGTTTCAGAGCATATTCTGTATATTCA
CCAGAAAGAAAACCCAAACCGATGCTGGACTCTTTAATGGAAACCAAGAAGACCTCTCATATATGACGG
ATGTGGCCTCTGGAAAACCCCTGGTTGGCCCTTTCTCCAAACCTGGCAATGGTAAAAAAACC
CCTTTAAATGGTTTCTGGGAAAAAAAGTGGAAATTGGTCTCTCCAAATCTAAAAAGAAAAAA
TTTTGTAAAAGGGATCTTTGGCACCGGGGGAAAAAAATTGAAAACCTCCCCACCCCCCTT
TTCCCTCTTGGGACTCTTCCCAAATCCGGGACATCCCCCT
```

submit I'm feeling lucky clear

Paste in a query sequence to find its location in the genome. Multiple sequences may be searched if separated by lines starting with '>' followed by the sequence name.

I'm feeling lucky returns only  
the highest scoring alignment  
(direct path to genome browser)



UCSC Genome Browser on Rhesus Oct. 2010 (BGI CR\_1.0/rheMac3) Assembly

move <<< << < > >> zoom in 1.5x 3x 10x base zoom out 1.5x 3x 10x 100x

chr6:43,157,205-43,167,176 9,972 bp. enter position, gene symbol or search terms go

chr6 6

Scale: 2 kb | rheMac3 | 43,159,888| 43,168,888| 43,162,888| 43,163,888| 43,164,888| 43,165,888| 43,166,888| 43,167,888

Your Sequence from Sanger Search CB312814

Non-Rhesus RefSeq Genes

Rhesus RefSeq Genes

Rhesus mRNAs From GenBank

Repeating Elements by RepeatMasker

Click on a feature for details. Click or drag in the base position track to zoom

- **red:** Genome and query sequence have different bases at this position.
- **orange:** The query sequence has an insertion (or genome has a deletion / alignment gap) at this point.
- **purple:** The query sequence extends beyond the end of the alignment.
- **green:** The query sequence appears to have a polyA tail which is not aligned to the genome.

