

### 9. Regular and rational maps.

In the following  $K$  is an algebraically closed field.

#### a) Regular maps.

Let  $X, Y$  be quasi-projective varieties (or more generally locally closed sets). Let  $\phi : X \rightarrow Y$  be a map.

**9.1. Definition.**  $\phi$  is a *regular map* or a *morphism* if

- (i)  $\phi$  is continuous;
- (ii)  $\phi$  preserves regular functions, i.e. for all  $U \subset Y$  ( $U$  open and non-empty) and for all  $f \in \mathcal{O}(U)$ , then  $f \circ \phi \in \mathcal{O}(\phi^{-1}(U))$ :

$$\begin{array}{ccccc} X & \xrightarrow{\phi} & Y & & \\ \uparrow & & \uparrow & & \\ \phi^{-1}(U) & \xrightarrow{\phi|} & U & \xrightarrow{f} & K \end{array}$$

Note that:

- a) for all  $X$  the identity map  $1_X : X \rightarrow X$  is regular;
- b) for all  $X, Y, Z$  and regular maps  $X \xrightarrow{\phi} Y, Y \xrightarrow{\psi} Z$ , the composite map  $\psi \circ \phi$  is regular.

An *isomorphism* of varieties is a regular map which possesses regular inverse, i.e. a regular  $\phi : X \rightarrow Y$  such that there exists a regular  $\psi : Y \rightarrow X$  verifying the conditions  $\psi \circ \phi = 1_X$  and  $\phi \circ \psi = 1_Y$ . In this case  $X$  and  $Y$  are said to be isomorphic, and we write:  $X \simeq Y$ .

If  $\phi : X \rightarrow Y$  is regular, there is a natural  $K$ -homomorphism  $\phi^* : \mathcal{O}(Y) \rightarrow \mathcal{O}(X)$ , called the *comorphism associated to  $\phi$* , defined by:  $f \rightarrow \phi^*(f) := f \circ \phi$ .

The construction of the comorphism is functorial, which means that:

- a)  $1_X^* = 1_{\mathcal{O}(X)}$ ;
- b)  $(\psi \circ \phi)^* = \phi^* \circ \psi^*$ .

This implies that, if  $X \simeq Y$ , then  $\mathcal{O}(X) \simeq \mathcal{O}(Y)$ . In fact, if  $\phi : X \rightarrow Y$  is an isomorphism and  $\psi$  is its inverse, then  $\phi \circ \psi = 1_Y$ , so  $(\phi \circ \psi)^* = \psi^* \circ \phi^* = (1_Y)^* = 1_{\mathcal{O}(Y)}$  and similarly  $\psi \circ \phi = 1_X$  implies  $\phi^* \circ \psi^* = 1_{\mathcal{O}(X)}$ .

#### 9.2. Examples.

1) The homeomorphism  $\phi_i : U_i \rightarrow \mathbb{A}^n$  of Proposition 3.2 is an isomorphism.

2) There exist homeomorphisms which are not isomorphisms. Let  $Y = V(x^3 - y^2) \subset \mathbb{A}^2$ . We have seen (see Exercise 7.2) that  $K[X] \not\cong K[\mathbb{A}^1]$ , hence  $Y$  is not isomorphic to the affine line. Nevertheless, the following map is regular, bijective and also a homeomorphism (see Exercise 7.1):

$$\phi : \mathbb{A}^1 \rightarrow Y \text{ such that } t \rightarrow (t^2, t^3);$$

$\phi^{-1} : Y \rightarrow \mathbb{A}^1$  is defined by  $(x, y) \rightarrow \begin{cases} \frac{y}{x} & \text{if } x \neq 0 \\ 0 & \text{if } (x, y) = (0, 0). \end{cases}$

Note that  $\phi^{-1}$  is not regular at the point  $(0, 0)$ .

**9.3. Proposition.** *Let  $\phi : X \rightarrow Y \subset \mathbb{A}^n$  be a map. Then  $\phi$  is regular if and only if  $\phi_i := t_i \circ \phi$  is a regular function on  $X$ , for all  $i = 1, \dots, n$ , where  $t_1, \dots, t_n$  are the coordinate functions on  $Y$ .*

*Proof.* If  $\phi$  is regular, then  $\phi_i = \phi^*(t_i)$  is regular by definition.

Conversely, assume that  $\phi_i$  is a regular function on  $X$  for all  $i$ . Let  $Z \subset Y$  be a closed subset and we have to prove that  $\phi^{-1}(Z)$  is closed in  $X$ . Since any closed subset of  $\mathbb{A}^n$  is an intersection of hypersurfaces, it is enough to consider  $\phi^{-1}(Y \cap V(F))$  with  $F \in K[x_1, \dots, x_n]$ :

$$\phi^{-1}(V(F) \cap Y) = \{P \in X \mid F(\phi(P)) = F(\phi_1, \dots, \phi_n)(P) = 0\} = V(F(\phi_1, \dots, \phi_n)).$$

But note that  $F(\phi_1, \dots, \phi_n) \in \mathcal{O}(X)$ : it is the composition of  $F$  with the regular functions  $\phi_1, \dots, \phi_n$ . Hence  $\phi^{-1}(V(F) \cap Y)$  is closed, so we can conclude that  $\phi$  is continuous. If  $U \subset Y$  and  $f \in \mathcal{O}(U)$ , for any point  $P$  of  $U$  choose an open neighbourhood  $U_P$  such that  $f = F_P/G_P$  on  $U_P$ .

So  $f \circ \phi = F_P(\phi_1, \dots, \phi_n)/G_P(\phi_1, \dots, \phi_n)$  on  $\phi^{-1}(U_P)$ , hence it is regular on each  $\phi^{-1}(U_P)$  and by consequence on  $\phi^{-1}(U)$ . □

If  $\phi : X \rightarrow Y$  is a regular map and  $Y \subset \mathbb{A}^n$ , by Proposition 9.2. we can represent  $\phi$  in the form  $\phi = (\phi_1, \dots, \phi_n)$ , where  $\phi_1, \dots, \phi_n \in \mathcal{O}(X)$  and  $\phi_i = \phi^*(t_i)$ .  $\phi_1, \dots, \phi_n$  are not arbitrary in  $\mathcal{O}(X)$  but such that  $\text{Im } \phi \subset Y$ . If  $Y$  is closed in  $\mathbb{A}^n$ , let us recall that  $t_1, \dots, t_n$  generate  $\mathcal{O}(Y)$ , hence  $\phi_1, \dots, \phi_n$  generate  $\phi^*(\mathcal{O}(Y))$  as  $K$ -algebra. This observation is the key for the following important result.

**9.4. Theorem.** *Let  $X$  be a locally closed algebraic set and  $Y$  be an affine algebraic set. Let  $\text{Hom}(X, Y)$  denote the set of regular maps from  $X$  to  $Y$  and  $\text{Hom}(\mathcal{O}(Y), \mathcal{O}(X))$  denote the set of  $K$ -homomorphisms from  $\mathcal{O}(Y)$  to  $\mathcal{O}(X)$ .*

*Then the map  $\text{Hom}(X, Y) \rightarrow \text{Hom}(\mathcal{O}(Y), \mathcal{O}(X))$ , such that  $\phi : X \rightarrow Y$  goes to  $\phi^* : \mathcal{O}(Y) \rightarrow \mathcal{O}(X)$ , is bijective.*

*Proof.* Let  $Y \subset \mathbb{A}^n$  and let  $t_1, \dots, t_n$  be the coordinate functions on  $Y$ , so  $\mathcal{O}(Y) = K[t_1, \dots, t_n]$ . Let  $u : \mathcal{O}(Y) \rightarrow \mathcal{O}(X)$  be a  $K$ -homomorphism: we want to define a morphism  $u^\sharp : X \rightarrow Y$  whose associated comorphism is  $u$ . By the remark above, if  $u^\sharp$  exists, its components have to be  $u(t_1), \dots, u(t_n)$ . So we define

$$u^\sharp : \begin{array}{ccc} X & \rightarrow & \mathbb{A}^n \\ P & \rightarrow & (u(t_1)(P), \dots, u(t_n)(P)). \end{array}$$

This is a morphism by Proposition 9.3. We claim that  $u^\sharp(X) \subset Y$ . Let  $F \in I(Y)$  and  $P \in X$ : then

$$\begin{aligned} (F(u^\sharp(P))) &= F(u(t_1)(P), \dots, u(t_n)(P)) = \\ &= F(u(t_1), \dots, u(t_n))(P) = \\ &= u(F((t_1, \dots, t_n)))(P) \text{ because } u \text{ is } K\text{-homomorphism} = \\ &= u(0)(P) = \\ &= 0(P) = 0. \end{aligned}$$

So  $u^\sharp$  is a regular map from  $X$  to  $Y$ .

We consider now  $(u^\sharp)^* : \mathcal{O}(Y) \rightarrow \mathcal{O}(X)$ : it takes a function  $f$  to  $f \circ u^\sharp = f(u(t_1), \dots, u(t_n)) = u(f)$ , so  $(u^\sharp)^* = u$ . Conversely, if  $\phi : X \rightarrow Y$  is regular, then  $(\phi^*)^\sharp$  takes  $P$  to  $(\phi^*(t_1)(P), \dots, \phi^*(t_n)(P)) = (\phi_1(P), \dots, \phi_n(P))$ , so  $(\phi^*)^\sharp = \phi$ .  $\square$

Note that, by definition,  $1_{\mathcal{O}(X)}^\sharp = 1_X$ , for all affine  $X$ ; moreover  $(v \circ u)^\sharp = u^\sharp \circ v^\sharp$  for all  $u : \mathcal{O}(Z) \rightarrow \mathcal{O}(Y)$ ,  $v : \mathcal{O}(Y) \rightarrow \mathcal{O}(X)$ ,  $K$ -homomorphisms of affine algebraic sets: this means that also this construction is functorial.

The previous results can be rephrased using the language of categories. We introduce a category  $\mathcal{C}$  whose objects are the affine algebraic sets over a fixed algebraically closed field  $K$  and the morphisms are the regular maps. We consider also a second category  $\mathcal{C}'$  with objects the  $K$ -algebras and morphisms the  $K$ -homomorphisms. Then there is a contravariant functor that operates on the objects sending  $X$  to  $\mathcal{O}(X) = K[X]$ , and on the morphisms sending  $\phi$  to the associated comorphisms  $\phi^*$ .

If we restrict the class of objects of  $\mathcal{C}'$  taking only the finitely generated reduced  $K$ -algebras (a full subcategory of the previous one), then this functor becomes an equivalence of categories. Indeed the construction of the comorphism establishes a bijection between the Hom sets  $\text{Hom}_{\mathcal{C}}(X, Y)$  and  $\text{Hom}_{\mathcal{C}'}(\mathcal{O}(Y), \mathcal{O}(X))$ . Moreover, for any finitely generated  $K$ -algebra  $A$ , there exists an affine algebraic set  $X$  such that  $A$  is  $K$ -isomorphic to  $\mathcal{O}(X)$ . To see this, we choose a finite set of generators of  $A$ , such that  $A = K[\xi_1, \dots, \xi_n]$ . Then we can consider the surjective  $K$ -homomorphism  $\Psi$  from the polynomial ring  $K[x_1, \dots, x_n]$  to  $A$  sending  $x_i$  to  $\xi_i$  for any  $i$ . In view of the fundamental theorem of homomorphism, it follows that  $A \simeq K[x_1, \dots, x_n] / \ker \Psi$ . The assumption that  $A$  is reduced then implies that  $X := V(\ker \Psi) \subset \mathbb{A}^n$  is an affine algebraic set with  $I(X) = \ker \Psi$  and  $A \simeq \mathcal{O}(X)$ .

We note that changing system of generators for  $A$  changes the homomorphism  $\Psi$ , and by consequence also the algebraic set  $X$ , up to isomorphism. For instance let  $A$  be a polynomial ring in one variable  $t$ : if we choose only  $t$  as system of generators, we get  $X = \mathbb{A}^1$ , but if we choose  $t, t^2, t^3$  we get the affine skew cubic in  $\mathbb{A}^3$ .

As a consequence of the previous discussion we have the following:

**9.5. Corollary.** *Let  $X, Y$  be affine algebraic sets. Then  $X \simeq Y$  if and only if  $\mathcal{O}(X) \simeq \mathcal{O}(Y)$ .  $\square$*

If  $X$  and  $Y$  are quasi-projective varieties and  $\phi : X \rightarrow Y$  is regular, it is not always possible to define a comorphism  $K(Y) \rightarrow K(X)$ . If  $f$  is a rational function on  $Y$  with  $\text{dom} f = U$ , it can happen that  $\phi(X) \cap \text{dom} f = \emptyset$ , in which case  $f \circ \phi$  does not exist. Nevertheless, if we assume that  $\phi$  is dominant, i.e.  $\overline{\phi(X)} = Y$ , then certainly  $\phi(X) \cap U \neq \emptyset$ , hence  $\langle \phi^{-1}(U), f \circ \phi \rangle \in K(X)$ . We obtain a  $K$ -homomorphism, which is necessarily injective,  $K(Y) \rightarrow K(X)$ , also denoted by  $\phi^*$ . Note that in this case, we have:  $\dim X \geq \dim Y$ . As above, it is possible to check that, if  $X \simeq Y$ , then  $K(X) \simeq K(Y)$ , hence  $\dim X = \dim Y$ . Moreover, if  $P \in X$  and  $Q = \phi(P)$ , then  $\phi^*$  induces a map  $\mathcal{O}_{Q,Y} \rightarrow \mathcal{O}_{P,X}$ , such that  $\phi^* \mathcal{M}_{Q,Y} \subset \mathcal{M}_{P,X}$ . Also in this case, if  $\phi$  is an isomorphism, then  $\mathcal{O}_{Q,Y} \simeq \mathcal{O}_{P,X}$ .

We will see now how to express in practice a regular map when the target is contained in a projective space. Let  $X \subset \mathbb{P}^n$  be a quasi-projective variety and  $\phi : X \rightarrow \mathbb{P}^m$  be a map.

**9.6. Proposition.**  *$\phi$  is a morphism if and only if, for any  $P \in X$ , there exist an open neighbourhood  $U_P$  of  $P$  and  $n + 1$  homogeneous polynomials  $F_0, \dots, F_m$  of the same degree, in  $K[x_0, x_1, \dots, x_n]$ , such that, if  $Q \in U_P$ , then  $\phi(Q) = [F_0(Q), \dots, F_m(Q)]$ . In particular, for any  $Q \in U_P$ , there exists an index  $i$  such that  $F_i(Q) \neq 0$ .*

*Proof.* “ $\Rightarrow$ ” Let  $P \in X$ ,  $Q = \phi(P)$  and assume that  $Q \in U_0$ . Then  $U := \phi^{-1}(U_0)$  is an open neighbourhood of  $P$  and we can consider the restriction  $\phi|_U : U \rightarrow U_0$ , which is regular. Possibly after restricting  $U$ , using non-homogeneous coordinates on  $U_0$ , we can assume that  $\phi|_U = (F_1/G_1, \dots, F_m/G_m)$ , where  $(F_1, G_1), \dots, (F_m, G_m)$  are pairs of homogeneous polynomials of the same degree such that  $V_P(G_i) \cap U = \emptyset$  for all index  $i$ . We can reduce the fractions  $F_i/G_i$  to a common denominator  $F_0$ , so that  $\deg F_0 = \deg F_1 = \dots = \deg F_m$  and  $\phi|_U = (F_1/F_0, \dots, F_m/F_0) = [F_0, F_1, \dots, F_m]$ , with  $F_0(Q) \neq 0$  for  $Q \in U$ .

“ $\Leftarrow$ ” Possibly after restricting  $U_P$ , we can assume  $F_i(Q) \neq 0$  for all  $Q \in U_P$  and suitable  $i$ . Let  $i = 0$ : then  $\phi|_{U_P} : U_P \rightarrow U_0$  operates as follows:  $\phi|_{U_P}(Q) = (F_1(Q)/F_0(Q), \dots, F_m(Q)/F_0(Q))$ , so it is a morphism by Proposition 9.3. From this remark, one deduces that also  $\phi$  is a morphism.  $\square$

### 9.7. Examples.

1. Let  $X \subset \mathbb{P}^2$ ,  $X = V_P(x_1^2 + x_2^2 - x_0^2)$ , the projective closure of the unitary circle. We define  $\phi : X \rightarrow \mathbb{P}^1$  by

$$[x_0, x_1, x_2] \rightarrow \begin{cases} [x_0 - x_2, x_1] & \text{if } (x_0 - x_2, x_1) \neq (0, 0); \\ [x_1, x_0 + x_2] & \text{if } (x_1, x_0 + x_2) \neq (0, 0). \end{cases}$$

$\phi$  is well-defined because on  $X$   $x_1^2 = (x_0 - x_2)(x_0 + x_2)$ . Moreover

$$(x_1, x_0 - x_2) \neq (0, 0) \Leftrightarrow [x_0, x_1, x_2] \in X \setminus \{[1, 0, 1]\},$$

$$(x_0 + x_2, x_1) \neq (0, 0) \Leftrightarrow [x_0, x_1, x_2] \in X \setminus \{[1, 0, -1]\}.$$

The map  $\phi$  is the natural extension of the rational function  $f : X \setminus \{[1, 0, 1]\} \rightarrow K$  such that  $[x_0, x_1, x_2] \rightarrow x_1/(x_0 - x_2)$  (Example 8.9, 2). Now the point  $P[1, 0, 1]$ , the centre of the stereographic projection, goes to the point at infinity of the line  $V_P(x_2)$ .

By geometric reasons  $\phi$  is invertible and  $\phi^{-1} : \mathbb{P}^1 \rightarrow X$  takes  $[\lambda, \mu]$  to  $[\lambda^2 + \mu^2, 2\lambda\mu, \lambda^2 - \mu^2]$  (note the connection with the Pitagorean triples!).

Indeed: the line through  $P$  and  $[\lambda, \mu, 0]$  has equation:  $\mu x_0 - \lambda x_1 - \mu x_2 = 0$ . Its intersections with  $X$  are represented by the system:

$$\begin{cases} \mu x_0 - \lambda x_1 - \mu x_2 = 0 \\ x_1^2 + x_2^2 - x_0^2 = 0 \end{cases}$$

Assuming  $\mu \neq 0$  this system is equivalent to the following:

$$\begin{cases} \mu x_0 - \lambda x_1 - \mu x_2 = 0 \\ \mu^2 x_0^2 = \mu^2(x_1^2 + x_2^2) = (\lambda x_1 + \mu x_2)^2. \end{cases}$$

Therefore, either  $x_1 = 0$  and  $x_0 = x_2$ , or  $\begin{cases} (\mu^2 - \lambda^2)x_1 - 2\lambda\mu x_2 = 0 \\ \mu x_0 = \lambda x_1 + \mu x_2 \end{cases}$ , which gives the required expression.

## 2. Affine transformations.

Let  $A = (a_{ij})$  be a  $n \times n$ -matrix with entries in  $K$ , let  $B = (b_1, \dots, b_n) \in \mathbb{A}^n$  be a point. The map  $\tau_A : \mathbb{A}^n \rightarrow \mathbb{A}^n$  defined by  $(x_1, \dots, x_n) \rightarrow (y_1, \dots, y_n)$ , such that

$$\{y_i = \sum_j a_{ij}x_j + b_i, i = 1, \dots, n,$$

is a regular map called an affine transformation of  $\mathbb{A}^n$ . In matrix notation  $\tau_A$  is  $Y = AX + B$ . If  $A$  is of rank  $n$ , then  $\tau_A$  is said non-degenerate and is an isomorphism: the inverse map  $\tau_A^{-1}$  is represented by  $X = A^{-1}Y - A^{-1}B$ . More in general, an affine transformation from  $\mathbb{A}^n$  to  $\mathbb{A}^m$  is a map represented in matrix form by  $Y = AX + B$ , where  $A$  is a  $m \times n$  matrix and  $B \in \mathbb{A}^m$ . It is injective if and only if  $\text{rk}A = n$  and surjective if and only if  $\text{rk}A = m$ .

The isomorphisms of an algebraic set  $X$  in itself are called automorphisms of  $X$ : they form a group for the usual composition of maps, denoted  $\text{Aut } X$ . If  $X = \mathbb{A}^n$ , the non-degenerate affine transformations form a subgroup of  $\text{Aut } \mathbb{A}^n$ .

If  $n = 1$  and the characteristic of  $K$  is 0, then  $\text{Aut } \mathbb{A}^1$  coincides with this subgroup. In fact, let  $\phi : \mathbb{A}^1 \rightarrow \mathbb{A}^1$  be an automorphism: it is represented by a polynomial  $F(x)$  such that there exists  $G(x)$  satisfying the condition  $G(F(t)) = t$

for all  $t \in \mathbb{A}^1$ , i.e.  $G(F(x)) = x$  in the polynomial ring  $K[x]$ . Then, taking derivatives, we get  $G'(F(x))F'(x) = 1$ , which implies  $F'(t) \neq 0$  for all  $t \in K$ , so  $F'(x)$  is a non-zero constant. Hence,  $F$  is linear and  $G$  is linear too.

If  $n \geq 2$ , then  $\text{Aut } \mathbb{A}^n$  is not completely described. There exist non-linear automorphisms of degree  $d$ , for all  $d$ . For example, for  $n = 2$ : let  $\phi : \mathbb{A}^2 \rightarrow \mathbb{A}^2$  be given by  $(x, y) \rightarrow (x, y + P(x))$ , where  $P$  is any polynomial of  $K[x]$ . Then  $\phi^{-1} : (x', y') \rightarrow (x', y' - P(x'))$ . A very important open problem is the Jacobian conjecture, stating that, in characteristic zero, a regular map  $\phi : \mathbb{A}^n \rightarrow \mathbb{A}^n$  is an automorphism if and only if the Jacobian determinant  $|J(\phi)|$  is a non-zero constant.

### 3. Projective transformations.

Let  $A$  be a  $(n+1) \times (n+1)$ -matrix with entries in  $K$ . Let  $P[x_0, \dots, x_n] \in \mathbb{P}^n$ : then  $[a_{00}x_0 + \dots + a_{0n}x_n, \dots, a_{n0}x_0 + \dots + a_{nn}x_n]$  is a point of  $\mathbb{P}^n$  if and only if it is different from  $[0, \dots, 0]$ . So  $A$  defines a regular map  $\tau : \mathbb{P}^n \rightarrow \mathbb{P}^n$  if and only if  $\text{rk}A = n+1$ . If  $\text{rk}A = r < n+1$ , then  $A$  defines a regular map whose domain is the quasi-projective variety  $\mathbb{P}^n \setminus \mathbb{P}(\ker A)$ . If  $\text{rk}A = n+1$ , then  $\tau$  is an isomorphism, called a projective transformation. Note that the matrices  $\lambda A$ ,  $\lambda \in K^*$ , all define the same projective transformation. So  $PGL(n+1, K) := GL(n+1, K)/K^*$  acts on  $\mathbb{P}^n$  as the group of projective transformations.

If  $X, Y \subset \mathbb{P}^n$ , they are called projectively equivalent if there exists a projective transformation  $\tau : \mathbb{P}^n \rightarrow \mathbb{P}^n$  such that  $\tau(X) = Y$ .

### 9.8. Theorem. Fundamental theorem on projective transformations.

Let two  $(n+2)$ -tuples of points of  $\mathbb{P}^n$  in general position be fixed:  $P_0, \dots, P_{n+1}$  and  $Q_0, \dots, Q_{n+1}$ . Then there exists one isomorphic projective transformation  $\tau$  of  $\mathbb{P}^n$  in itself, such that  $\tau(P_i) = Q_i$  for all index  $i$ .

*Proof.* Put  $P_i = [v_i]$ ,  $Q_i = [w_i]$ ,  $i = 0, \dots, n+1$ . So  $\{v_0, \dots, v_n\}$  and  $\{w_0, \dots, w_n\}$  are two bases of  $K^{n+1}$ , hence there exist scalars  $\lambda_0, \dots, \lambda_n, \mu_0, \dots, \mu_n$  such that

$$v_{n+1} = \lambda_0 v_0 + \dots + \lambda_n v_n, \quad w_{n+1} = \mu_0 w_0 + \dots + \mu_n w_n,$$

where the coefficients are all different from 0, because of the general position assumption. We replace  $v_i$  with  $\lambda_i v_i$  and  $w_i$  with  $\mu_i w_i$  and get two new bases, so there exists a unique automorphism of  $K^{n+1}$  transforming the first basis in the second one and, by consequence, also  $v_{n+1}$  in  $w_{n+1}$ . This automorphism induces the required projective transformation on  $\mathbb{P}^n$ .  $\square$

An immediate consequence of the above theorem is that projective subspaces of the same dimension are projectively equivalent. Also two subsets of  $\mathbb{P}^n$  formed both by  $k$  points in general position are projectively equivalent if  $k \leq n+2$ . If  $k > n+2$ , this is no longer true, already in the case of four points on a projective line. The problem of describing the classes of projective equivalence of  $k$ -tuples

of points of  $\mathbb{P}^n$ , for  $k > n + 2$ , is one of the first problems of the classical invariant theory. The solution in the case  $k = 4$ ,  $n = 1$  is given by the notion of *cross-ratio*.

4. Let  $X \subset \mathbb{A}^n$  be an affine variety, then  $X_F = X \setminus V(F)$  is isomorphic to a closed subset of  $\mathbb{A}^{n+1}$ , i.e. to  $Y = V(x_{n+1}F - 1, G_1, \dots, G_r)$ , where  $I(X) = \langle G_1, \dots, G_r \rangle$ . Indeed, the following regular maps are inverse each other:

$$\phi : X_F \rightarrow Y \text{ such that } (x_1, \dots, x_n) \rightarrow (x_1, \dots, x_n, 1/F(x_1, \dots, x_n)),$$

$$\psi : Y \rightarrow X_F \text{ such that } (x_1, \dots, x_n, x_{n+1}) \rightarrow (x_1, \dots, x_n).$$

Hence,  $X_F$  is a quasi-projective variety contained in  $\mathbb{A}^n$ , not closed in  $\mathbb{A}^n$ , but isomorphic to a closed subset of another affine space.

From now on, the term *affine variety* will denote a quasi-projective variety isomorphic to some affine closed set.

If  $X$  is an affine variety and precisely  $X \simeq Y$ , with  $Y \subset \mathbb{A}^n$  closed, then  $\mathcal{O}(X) \simeq \mathcal{O}(Y) = K[t_1, \dots, t_n]$  is a finitely generated  $K$ -algebra. In particular, if  $K$  is algebraically closed and  $\alpha$  is an ideal strictly contained in  $\mathcal{O}(X)$ , then  $V(\alpha) \subset X$  is non-empty, by the relative form of the Nullstellensatz. From this observation, we can deduce that the quasi-projective variety of next example is not affine.

5.  $\mathbb{A}^2 \setminus \{(0, 0)\}$  is not affine.

Set  $X = \mathbb{A}^2 \setminus \{(0, 0)\}$ : first of all we will prove that  $\mathcal{O}(X) \simeq K[x, y] = \mathcal{O}(\mathbb{A}^2)$ , i.e. any regular function on  $X$  can be extended to a regular function on the whole plane.

Indeed: let  $f \in \mathcal{O}(X)$ : if  $P \neq Q$  are points of  $X$ , then there exist polynomials  $F, G, F', G'$  such that  $f = F/G$  on a neighbourhood  $U_P$  of  $P$  and  $f = F'/G'$  on a neighbourhood  $U_Q$  of  $Q$ . So  $F'G = FG'$  on  $U_P \cap U_Q \neq \emptyset$ , which is open also in  $\mathbb{A}^2$ , hence dense. Therefore  $F'G = FG'$  in  $K[x, y]$ . We can clearly assume that  $F$  and  $G$  are coprime and similarly for  $F'$  and  $G'$ . So by the unique factorization property, it follows that  $F' = F$  and  $G' = G$ . In particular  $f$  admits a unique representation as  $F/G$  on  $X$  and  $G(P) \neq 0$  for all  $P \in X$ . Hence  $G$  has no zeroes on  $\mathbb{A}^2$ , so  $G = c \in K^*$  and  $f \in \mathcal{O}(X)$ .

Now, the ideal  $\langle x, y \rangle$  has no zeroes in  $X$  and is proper: this proves that  $X$  is not affine.

We have exploited the fact that a polynomial in more than one variables has infinitely many zeroes, a fact that allows to generalise the previous observation.

On the other hand, the following property holds:

**9.9. Proposition.** *Let  $X \subset \mathbb{P}^n$  be quasi-projective. Then  $X$  admits an open covering by affine varieties.*

*Proof.* Let  $X = X_0 \cup \dots \cup X_n$  be the open covering of  $X$  where  $X_i = U_i \cap X = \{P \in X \mid P[a_0, \dots, a_n], a_i \neq 0\}$ . So, fixed  $P$ , there exists an index  $i$  such that

$P \in X_i$ . We can assume that  $P \in X_0$ :  $X_0$  is open in some affine variety  $Y$  of  $\mathbb{A}^n$  (identified with  $U_0$ ); set  $X_0 = Y \setminus Y'$ , where  $Y, Y'$  are both closed. Since  $P \notin Y'$ , there exists  $F$  such that  $F(P) \neq 0$  and  $V(F) \supset Y'$ . So  $P \in Y \setminus V(F) \subset Y \setminus Y'$  and  $Y \setminus V(F)$  is an affine open neighbourhood of  $P$  in  $Y \setminus Y' = X_0 \subset X$ .  $\square$

### 6. The Veronese maps.

Let  $n, d$  be positive integers; put  $N(n, d) = \binom{n+d}{d} - 1$ . Note that  $\binom{n+d}{d}$  is equal to the number of (monic) monomials of degree  $d$  in the variables  $x_0, \dots, x_n$ , that is equal to the number of  $n+1$ -tuples  $(i_0, \dots, i_n)$  such that  $i_0 + \dots + i_n = d$ ,  $i_j \geq 0$ . Then in  $\mathbb{P}^{N(n,d)}$  we can use coordinates  $\{v_{i_0 \dots i_n}\}$ , where  $i_0, \dots, i_n \geq 0$  and  $i_0 + \dots + i_n = d$ . For example: if  $n = 2, d = 2$ , then  $N(2, 2) = \binom{4}{2} - 1 = 5$ . In  $\mathbb{P}^5$  we can use coordinates  $v_{200}, v_{110}, v_{101}, v_{020}, v_{011}, v_{002}$ .

For all  $n, d$  we define the map  $v_{n,d} : \mathbb{P}^n \rightarrow \mathbb{P}^{N(n,d)}$  such that  $[x_0, \dots, x_n] \rightarrow [v_{d00\dots 0}, v_{d-1,10\dots 0}, \dots, v_{0\dots 00d}]$  where  $v_{i_0 \dots i_n} = x_0^{i_0} x_1^{i_1} \dots x_n^{i_n}$ :  $v_{n,d}$  is clearly a morphism, its image is denoted  $V_{n,d}$  and called *the Veronese variety* of type  $(n, d)$ . It is in fact the projective variety of equations:

$$(*) \{v_{i_0 \dots i_n} v_{j_0 \dots j_n} - v_{h_0 \dots h_n} v_{k_0 \dots k_n}, \forall i_0 + j_0 = h_0 + k_0, i_1 + j_1 = h_1 + k_1, \dots\}$$

We prove this statement in the particular case  $n = d = 2$ ; the general case is similar.

First of all, it is clear that the points of  $v_{n,d}(\mathbb{P}^n)$  satisfy the system (\*). Conversely, assume that  $P[v_{200}, v_{110}, \dots] \in \mathbb{P}^5$  satisfies the equations (\*), which become:

$$\begin{cases} v_{200}v_{020} = v_{110}^2 \\ v_{200}v_{002} = v_{101}^2 \\ v_{002}v_{020} = v_{011}^2 \\ v_{200}v_{011} = v_{110}v_{101} \\ v_{020}v_{101} = v_{110}v_{011} \\ v_{110}v_{002} = v_{011}v_{101} \end{cases}$$

Then, at least one of the coordinates  $v_{200}, v_{020}, v_{002}$  is different from 0.

Therefore, if  $v_{200} \neq 0$ , then  $P = v_{2,2}([v_{200}, v_{110}, v_{101}])$ ; if  $v_{020} \neq 0$ , then  $P = v_{2,2}([v_{110}, v_{020}, v_{011}])$ ; if  $v_{002} \neq 0$ , then  $P = v_{2,2}([v_{101}, v_{011}, v_{002}])$ . Note that, if two of these three coordinates are different from 0, then the points of  $\mathbb{P}^2$  found in this way have proportional coordinates, so they coincide.

We have also proved in this way that  $v_{2,2}$  is an isomorphism between  $\mathbb{P}^2$  and  $V_{2,2}$ , called the Veronese surface of  $\mathbb{P}^5$ . The same happens in the general case.

If  $n = 1$ ,  $v_{1,d} : \mathbb{P}^1 \rightarrow \mathbb{P}^d$  takes  $[x_0, x_1]$  to  $[x_0^d, x_0^{d-1}x_1, \dots, x_1^d]$ : the image is called the *rational normal curve* of degree  $d$ , it is isomorphic to  $\mathbb{P}^1$ . If  $d = 3$ , we find the skew cubic.

Let now  $X \subset \mathbb{P}^n$  be a hypersurface of degree  $d$ :  $X = V_P(F)$ , with

$$F = \sum_{i_0 + \dots + i_n = d} a_{i_0 \dots i_n} x_0^{i_0} \dots x_n^{i_n}.$$



Then  $v_{n,d}(X) \simeq X$ : it is the set of points

$$\{v_{i_0 \dots i_n} \in \mathbb{P}^{N(n,d)} \mid \sum_{i_0 + \dots + i_n = d} a_{i_0 \dots i_n} v_{i_0 \dots i_n} = 0 \text{ and } [v_{i_0 \dots i_n}] \in V_{n,d}\}.$$

It coincides with  $V_{n,d} \cap H$ , where  $H$  is a hyperplane of  $\mathbb{P}^{N(n,d)}$ : a hyperplane section of the Veronese variety. This is called the linearisation process, allowing to “transform” a hypersurface in a hyperplane, modulo the Veronese isomorphism.

The Veronese surface  $V$  of  $\mathbb{P}^5$  enjoys a lot of interesting properties. Most of them follow from its property of being covered by a 2-dimensional family of conics, which are precisely the images via  $v_{2,2}$  of the lines of the plane.

To see this, we’ll use as coordinates in  $\mathbb{P}^5$   $w_{00}, w_{01}, w_{02}, w_{11}, w_{12}, w_{22}$ , so that  $v_{2,2}$  sends  $[x_0, x_1, x_2]$  to the point of coordinates  $w_{ij} = x_i x_j$ . With this choice of coordinates, the equations of  $V$  are obtained by annihilating the  $2 \times 2$  minors of the symmetric matrix:

$$M = \begin{pmatrix} w_{00} & w_{01} & w_{02} \\ w_{01} & w_{11} & w_{12} \\ w_{02} & w_{12} & w_{22} \end{pmatrix}$$

Let  $\ell$  be a line of  $\mathbb{P}^2$  of equation  $b_0 x_0 + b_1 x_1 + b_2 x_2 = 0$ . Its image is the set of points of  $\mathbb{P}^5$  with coordinates  $w_{ij} = x_i x_j$ , such that there exists a non-zero triple  $[x_0, x_1, x_2]$  with  $b_0 x_0 + b_1 x_1 + b_2 x_2 = 0$ . But this last equation is equivalent to the system:

$$\begin{cases} b_0 x_0^2 + b_1 x_0 x_1 + b_2 x_0 x_2 = 0 \\ b_0 x_0 x_1 + b_1 x_1^2 + b_2 x_1 x_2 = 0 \\ b_0 x_0 x_2 + b_1 x_1 x_2 + b_2 x_2^2 = 0 \end{cases}$$

It represents the intersection of  $V$  with the plane

$$(*) \begin{cases} b_0 w_{00} + b_1 w_{01} + b_2 w_{02} = 0 \\ b_0 w_{01} + b_1 w_{11} + b_2 w_{12} = 0, \\ b_0 w_{02} + b_1 w_{12} + b_2 w_{22} = 0 \end{cases}$$

so  $v_{2,2}(\ell)$  is a plane curve. Its degree is the number of points in its intersection with a general hyperplane in  $\mathbb{P}^5$ : this corresponds to the intersection in  $\mathbb{P}^2$  of  $\ell$  with a conic (a hypersurface of degree 2). Therefore  $v_{2,2}(\ell)$  is a conic.

So the isomorphism  $v_{2,2}$  transforms the geometry of the lines in the plane in the geometry of the conics on the Veronese surface. In particular, given two distinct points on  $V$ , there is exactly one conic contained in  $V$  and passing through them.

From this observation it is easy to deduce that the *secant lines* of  $V$ , i.e. the lines meeting  $V$  at two points, are precisely the lines of the planes generated by the conics contained in  $V$ , so that the (closure of the) union of these secant lines

coincides with the union of the planes of the conics of  $V$ . This union results to be the cubic hypersurface defined by the equation

$$\det M = \det \begin{pmatrix} w_{00} & w_{01} & w_{02} \\ w_{01} & w_{11} & w_{12} \\ w_{02} & w_{12} & w_{22} \end{pmatrix} = 0.$$

Indeed a point of  $\mathbb{P}^5$ , of coordinates  $[w_{ij}]$  belongs to the plane of a conic contained in  $V$  if and only if there exists a non-zero triple  $[b_0, b_1, b_2]$  which is solution of the homogeneous system (\*).

### b) Rational maps

Let  $X, Y$  be quasi-projective varieties.

**9.10. Definition.** The *rational maps* from  $X$  to  $Y$  are the germs of regular maps from open subsets of  $X$  to  $Y$ , i.e. equivalence classes of pairs  $(U, \phi)$ , where  $U \neq \emptyset$  is open in  $X$  and  $\phi : U \rightarrow Y$  is regular, with respect to the relation:  $(U, \phi) \sim (V, \psi)$  if and only if  $\phi|_{U \cap V} = \psi|_{U \cap V}$ . The following Lemma guarantees that the above defined relation satisfies the transitive property.

**9.11. Lemma.** *Let  $\phi, \psi : X \rightarrow Y \subset \mathbb{P}^n$  be regular maps between quasi-projective varieties. If  $\phi|_U = \psi|_U$  for  $U \subset X$  open and non-empty, then  $\phi = \psi$ .*

*Proof.* Let  $P \in X$  and consider  $\phi(P), \psi(P) \in Y$ . There exists a hyperplane  $H$  such that  $\phi(P) \notin H$  and  $\psi(P) \notin H$  (otherwise the dual projective space  $\check{\mathbb{P}}^n$  would be the union of its two hyperplanes consisting of hyperplanes of  $\mathbb{P}^n$  passing through  $\phi(P)$  and  $\psi(P)$ ). Up to a projective transformation, we can assume that  $H = V_P(x_0)$ , so  $\phi(P), \psi(P) \in U_0$ . Set  $V = \phi^{-1}(U_0) \cap \psi^{-1}(U_0)$ : an open neighbourhood of  $P$ . Consider the restrictions of  $\phi$  and  $\psi$  from  $V$  to  $Y \cap U_0$ : they are regular maps which coincide on  $V \cap U$ , hence their coordinates  $\phi_i, \psi_i, i = 1, \dots, n$ , coincide on  $V \cap U$ , hence on  $V$ . So  $\phi_i|_V = \psi_i|_V$ . In particular  $\phi(P) = \psi(P)$ .  $\square$

A rational map from  $X$  to  $Y$  will be denoted  $\phi : X \dashrightarrow Y$ . As for rational functions, the domain of definition of  $\phi$ ,  $\text{dom } \phi$ , is the maximum open subset of  $X$  such that  $\phi$  is regular at the points of  $\text{dom } \phi$ .

The following proposition follows from the characterization of rational functions on affine varieties.

**9.12. Proposition.** *Let  $X, Y$  be affine algebraic sets, with  $Y$  closed in  $\mathbb{A}^n$ . Then  $\phi : X \dashrightarrow Y$  is a rational map if and only if  $\phi = (\phi_1, \dots, \phi_n)$ , where  $\phi_1, \dots, \phi_n \in K(X)$ .  $\square$*

If  $X \subset \mathbb{P}^n, Y \subset \mathbb{P}^m$ , then a rational map  $X \dashrightarrow Y$  is assigned by giving  $m+1$

homogeneous polynomials of  $K[x_0, x_1, \dots, x_n]$  of the same degree,  $F_0, \dots, F_m$ , such that *at least one* of them is not identically zero on  $X$ .

A rational map  $\phi : X \dashrightarrow Y$  is called *dominant* if the image of  $X$  via  $\phi$  is dense in  $Y$ , i.e. if  $\overline{\phi(U)} = Y$ , where  $U = \text{dom } \phi$ . If  $\phi : X \dashrightarrow Y$  is dominant and  $\psi : Y \dashrightarrow Z$  is any rational map, then  $\text{dom } \psi \cap \text{Im } \phi \neq \emptyset$ , so we can define  $\psi \circ \phi : X \dashrightarrow Z$ : it is the germ of the map  $\psi \circ \phi$ , regular on  $\phi^{-1}(\text{dom } \psi \cap \text{Im } \phi)$ .

**9.13. Definition.** A *birational map* from  $X$  to  $Y$  is a rational map  $\phi : X \dashrightarrow Y$  such that  $\phi$  is dominant and there exists  $\psi : Y \dashrightarrow X$ , a dominant rational map, such that  $\psi \circ \phi = 1_X$  and  $\phi \circ \psi = 1_Y$  as rational maps. In this case,  $X$  and  $Y$  are called *birationally equivalent* or simply *birational*.

If  $\phi : X \dashrightarrow Y$  is a dominant rational map, then we can define the comorphism  $\phi^* : K(Y) \rightarrow K(X)$  in the usual way: it is an injective  $K$ -homomorphism.

**9.14. Proposition.** Let  $X, Y$  be quasi-projective varieties,  $u : K(Y) \rightarrow K(X)$  be a  $K$ -homomorphism. Then there exists a rational map  $\phi : X \dashrightarrow Y$  such that  $\phi^* = u$ .

*Proof.*  $Y$  is covered by open affine varieties  $Y_\alpha$ ,  $\alpha \in I$  (by Proposition 9.9): for all index  $\alpha$ ,  $K(Y) \simeq K(Y_\alpha)$  (Prop. 8.8) and  $K(Y_\alpha) \simeq K[t_1, \dots, t_n]$ , where  $t_1, \dots, t_n$  can be interpreted as coordinate functions on  $Y_\alpha$ . Then  $u(t_1), \dots, u(t_n) \in K(X)$  and there exists  $U \subset X$ , non-empty open subset such that  $u(t_1), \dots, u(t_n)$  are all regular on  $U$ . So  $u(K[t_1, \dots, t_n]) \subset \mathcal{O}(U)$  and we can consider the regular map  $u^\sharp : U \rightarrow Y_\alpha \hookrightarrow Y$ . The germ of  $u^\sharp$  gives a rational map  $X \dashrightarrow Y$ . It is possible to check that this rational map does not depend on the choice of  $Y_\alpha$  and  $U$ .  $\square$

**9.15. Theorem.** Let  $X, Y$  be quasi-projective varieties. The following are equivalent:

- (i)  $X$  is birational to  $Y$ ;
- (ii)  $K(X) \simeq K(Y)$ ;
- (iii) there exist non-empty open subsets  $U \subset X$  and  $V \subset Y$  such that  $U \simeq V$ .

*Proof.*

(i)  $\Leftrightarrow$  (ii) via the construction of the comorphism  $\phi^*$  associated to  $\phi$  and of  $u^\sharp$ , associated to  $u : K(Y) \rightarrow K(X)$ . One checks that both constructions are functorial.

(i)  $\Rightarrow$  (iii) Let  $\phi : X \dashrightarrow Y$ ,  $\psi : Y \dashrightarrow X$  be inverse each other. Put  $U' = \text{dom } \phi$  and  $V' = \text{dom } \psi$ . By assumption,  $\psi \circ \phi$  is defined on  $\phi^{-1}(V')$  and coincides with  $1_X$  there. Similarly,  $\psi \circ \phi$  is defined on  $\psi^{-1}(U')$  and equal to  $1_Y$ . Then  $\phi$  and  $\psi$  establish an isomorphism between the corresponding sets  $U := \phi^{-1}(\psi^{-1}(U'))$  and  $V := \psi^{-1}(\phi^{-1}(V'))$ .

(iii)  $\Rightarrow$  (ii)  $U \simeq V$  implies  $K(U) \simeq K(V)$ ; but  $K(U) \simeq K(X)$  and  $K(V) \simeq K(Y)$  (Prop.8.8), so  $K(X) \simeq K(Y)$  by transitivity.  $\square$

**9.16. Corollary.** *If  $X$  is birational to  $Y$ , then  $\dim X = \dim Y$ .*  $\square$

**9.17. Examples.**

a) The cuspidal cubic  $Y = V(x^3 - y^2) \subset \mathbb{A}^2$ .

We have seen that  $Y$  is not isomorphic to  $\mathbb{A}^1$ , but in fact  $Y$  and  $\mathbb{A}^1$  are birational. Indeed, the regular map  $\phi : \mathbb{A}^1 \rightarrow Y$ ,  $t \rightarrow (t^2, t^3)$ , admits a rational inverse  $\psi : Y \dashrightarrow \mathbb{A}^1$ ,  $(x, y) \rightarrow \frac{y}{x}$ .  $\psi$  is regular on  $Y \setminus \{(0, 0)\}$ ,  $\psi$  is dominant and  $\psi \circ \phi = 1_{\mathbb{A}^1}$ ,  $\phi \circ \psi = 1_Y$  as rational maps. In particular,  $\phi^* : K(Y) \rightarrow K(X)$  is a field isomorphism. Recall that  $K[Y] = K[t_1, t_2]$ , with  $t_1^2 = t_2^3$ , so  $K(Y) = K(t_1, t_2) = K(t_2/t_1)$ , because  $t_1 = (t_2/t_1)^2 = t_2^2/t_1^2 = t_1^3/t_1^2$  and  $t_2 = (t_2/t_1)^3 = t_2^3/t_1^3 = t_2^3/t_2^2$ , so  $K(Y)$  is generated by a unique transcendental element. Notice that  $\phi$  and  $\psi$  establish isomorphisms between  $\mathbb{A}^1 \setminus \{0\}$  and  $Y \setminus \{(0, 0)\}$ .

b) *Rational maps from  $\mathbb{P}^1$  to  $\mathbb{P}^n$ .*

Let  $\phi : \mathbb{P}^1 \dashrightarrow \mathbb{P}^n$  be rational: on some open  $U \subset \mathbb{P}^1$ ,

$$\phi([x_0, x_1]) = [F_0(x_0, x_1), \dots, F_n(x_0, x_1)],$$

with  $F_0, \dots, F_n$  homogeneous of the same degree, without non-trivial common factors. Assume that  $F_i(P) = 0$  for a certain index  $i$ , with  $P = [a_0, a_1]$ . Then  $F_i \in I_h(P) = \langle a_1x_0 - a_0x_1 \rangle$ , i.e.  $a_1x_0 - a_0x_1$  is a factor of  $F_i$ . This remark implies that  $\forall Q \in \mathbb{P}^1$  there exists  $i \in \{0, \dots, n\}$  such that  $F_i(Q) \neq 0$ , because otherwise  $F_0, \dots, F_n$  would have a common factor of degree 1. Hence we conclude that  $\phi$  is regular.

We have obtained that any rational map from  $\mathbb{P}^1$  is in fact regular.

c) *Projections.*

Let  $\phi : \mathbb{P}^n \dashrightarrow \mathbb{P}^m$  be given in matrix form by  $Y = AX$ , where  $A$  is a  $(m+1) \times (n+1)$ -matrix, with entries in  $K$ . Then  $\phi$  is a rational map, regular on  $\mathbb{P}^n \setminus \mathbb{P}(\text{Ker} A)$ . Put  $\Lambda := \mathbb{P}(\text{Ker} A)$ . If  $A = (a_{ij})$ , this means that  $\Lambda$  has cartesian equations

$$\begin{cases} a_{00}x_0 + \dots + a_{0n}x_n = 0 \\ a_{10}x_0 + \dots + a_{1n}x_n = 0 \\ \dots \\ a_{m0}x_0 + \dots + a_{mn}x_n = 0 \end{cases}$$

The map  $\phi$  has a geometric interpretation: it can be seen as the *projection of centre  $\Lambda$  to a complementary linear space*. First of all, we can assume that  $\text{rk } A = m+1$ , otherwise we replace  $\mathbb{P}^m$  with  $\mathbb{P}(\text{Im } A)$ ; hence  $\dim \Lambda = n - (m+1)$ .

Consider first the case  $\Lambda : x_0 = \dots = x_m = 0$ ; we identify  $\mathbb{P}^m$  with the subspace of  $\mathbb{P}^n$  of equations  $x_{m+1} = \dots = x_n = 0$ , so  $\Lambda$  and  $\mathbb{P}^m$  are complementary subspaces, i.e.  $\Lambda \cap \mathbb{P}^m = \emptyset$  and the linear span of  $\Lambda$  and  $\mathbb{P}^m$  is  $\mathbb{P}^n$ . Then, for  $Q \in \mathbb{P}^n \setminus \Lambda$ ,  $\phi(Q) = [x_0, \dots, x_m, 0, \dots, 0]$ : it is the intersection of  $\mathbb{P}^m$  with the linear span of  $\Lambda$  and  $Q$ . In fact, if  $Q[a_0, \dots, a_n]$  then  $\overline{\Lambda Q}$  has equations

$$\{a_i x_j - a_j x_i = 0, i, j = 0, \dots, m \text{ (check!)}\}$$

so  $\overline{\Lambda Q} \cap \mathbb{P}^m$  has coordinates  $[a_0, \dots, a_m, 0, \dots, 0]$ .

In the general case, if  $\Lambda = V_P(L_0, \dots, L_m)$ , with  $L_0, \dots, L_m$  linearly independent forms, we can identify  $\mathbb{P}^m$  with  $V_P(L_{m+1}, \dots, L_n)$ , where  $L_0, \dots, L_m, L_{m+1}, \dots, L_n$  is a basis of  $(K^{n+1})^*$ . Then  $L_0, \dots, L_m$  can be interpreted as coordinate functions on  $\mathbb{P}^m$ .

If  $m = n - 1$ , then  $\Lambda$  is a point  $P$  and  $\phi$ , often denoted  $\pi_P$ , is the projection from  $P$  to a hyperplane not containing  $P$ .

d) *Rational and unirational varieties.*

A quasi-projective variety  $X$  is called *rational* if it is birational to a projective space  $\mathbb{P}^n$ , or equivalently to  $\mathbb{A}^n$ . Indeed, in view of Theorem 9.15 (iii),  $\mathbb{P}^n$  and  $\mathbb{A}^n$  are birationally equivalent.

By Theorem 9.15,  $X$  is rational if and only if  $K(X) \simeq K(\mathbb{P}^n) = K(x_1, \dots, x_n)$  for some  $n$ , i.e.  $K(X)$  is an extension of  $K$  generated by a transcendence basis (a purely transcendental extension of  $K$ ). In an equivalent way,  $X$  is rational if there exists a rational map  $\phi : \mathbb{P}^n \dashrightarrow X$  which is dominant and is an isomorphism if restricted to a suitable open subset  $U \subset \mathbb{P}^n$ . Hence  $X$  admits a *birational parametrization* by polynomials in  $n$  parameters.

A weaker notion is that of *unirational* variety:  $X$  is unirational if there exists a dominant rational map  $\mathbb{P}^n \dashrightarrow X$  i.e. if  $K(X)$  is contained in the quotient field of a polynomial ring. Hence  $X$  can be parametrised by polynomials, but not necessarily generically one-to-one.

It is clear that, if  $X$  is rational, then it is unirational. The converse implication has been an important open problem, up to 1971, when it has been solved in the negative, for varieties of dimension  $\geq 3$  (Clemens–Griffiths and Iskovskih–Manin). Nevertheless rationality and unirationality are equivalent for curves (Theorem of Lüroth, 1880) and for surfaces if  $\text{char}K = 0$  (Theorem of Castelnuovo, 1894).

As an example of rational variety with an explicit rational parametrization constructed geometrically, let us consider the following quadric of maximal rank in  $\mathbb{P}^3$ :  $X = V_P(x_0x_3 - x_1x_2)$ , an irreducible hypersurface of degree 2. Let  $\pi_P : \mathbb{P}^3 \dashrightarrow \mathbb{P}^2$  be the projection of centre  $P[1, 0, 0, 0]$ , such that  $\pi_P([y_0, y_1, y_2, y_3]) = [y_1, y_2, y_3]$ . The restriction of  $\pi_P$  to  $X$  is a rational map  $\tilde{\pi}_P : X \dashrightarrow \mathbb{P}^2$ , regular on  $X \setminus \{P\}$ .  $\tilde{\pi}_P$  has a rational inverse: indeed consider the rational map  $\psi : \mathbb{P}^2 \dashrightarrow X$ ,  $[y_1, y_2, y_3] \rightarrow [y_1y_2, y_1y_3, y_2y_3, y_3^2]$ . The equation of  $X$  is satisfied by the points of  $\psi(\mathbb{P}^2)$ :  $(y_1y_2)y_3^2 = (y_1y_3)(y_2y_3)$ .  $\psi$  is regular on  $\mathbb{P}^2 \setminus V_P(y_1y_2, y_3)$ . Let us compose  $\psi$  and  $\tilde{\pi}_P$ :

$$[y_0, \dots, y_3] \in X \xrightarrow{\pi_P} [y_1, y_2, y_3] \xrightarrow{\psi} [y_1y_2, y_1y_3, y_2y_3, y_3^2];$$

$y_1y_2 = y_0y_3$  implies  $\psi \circ \pi_P = 1_X$ . In the opposite order:

$$[y_1, y_2, y_3] \xrightarrow{\psi} [y_1y_2, y_1y_3, y_2y_3, y_3^2] \xrightarrow{\pi_P} [y_1y_3, y_2y_3, y_3^2] = [y_1, y_2, y_3].$$

So  $X$  is birational to  $\mathbb{P}^2$  hence it is a rational surface.

Note that if we consider a projection  $\pi_P$  whose centre  $P$  is not on the quadric, we get a regular  $2 : 1$  map to the plane, certainly not birational.

e) *A birational non-regular map from  $\mathbb{P}^2$  to  $\mathbb{P}^2$ .*

The following rational map is called the *standard quadratic map*:

$$Q : \mathbb{P}^2 \dashrightarrow \mathbb{P}^2, \quad [x_0, x_1, x_2] \rightarrow [x_1x_2, x_0x_2, x_0x_1].$$

$Q$  is regular on  $U := \mathbb{P}^2 \setminus \{A, B, C\}$ , where  $A[1, 0, 0]$ ,  $B[0, 1, 0]$ ,  $C[0, 0, 1]$  are the fundamental points (see Fig. 2)

Let  $a$  be the line through  $B$  and  $C$ :  $a = V_P(x_0)$ , and similarly  $b = V_P(x_1)$ ,  $c = V_P(x_2)$ . Then  $Q(a) = A$ ,  $Q(b) = B$ ,  $Q(c) = C$ . Outside these three lines  $Q$  is an isomorphism. Precisely, put  $U' = \mathbb{P}^2 \setminus \{a \cup b \cup c\}$ ; then  $Q : U' \rightarrow \mathbb{P}^2$  is regular, the image is  $U'$  and  $Q^{-1} : U' \rightarrow U'$  coincides with  $Q$ . Indeed,

$$[x_0, x_1, x_2] \xrightarrow{Q} [x_1x_2, x_0x_2, x_0x_1] \xrightarrow{Q} [x_0^2x_1x_2, x_0, x_1^2x_2, x_0x_1x_2^2].$$

So  $Q \circ Q = 1_{\mathbb{P}^2}$  as rational map, hence  $Q$  is birational and  $Q = Q^{-1}$ .

– Fig. 2 –

The set of the birational maps  $\mathbb{P}^2 \dashrightarrow \mathbb{P}^2$  is a group, called the *Cremona group*. At the end of XIX century, Max Noether proved that the Cremona group is generated by  $PGL(3, K)$  and by the single standard quadratic map above. The analogous groups for  $\mathbb{P}^n$ ,  $n \geq 3$ , are much more complicated and a complete description is still unknown.

We conclude this section with a theorem illustrating an application of the linearisation procedure. We shall use the following notation: given a homogeneous polynomial  $F \in K[x_0, x_1, \dots, x_n]$ ,  $D(F) := \mathbb{P}^n \setminus V_P(F)$ .

**9.16. Theorem.** *Let  $W \subset \mathbb{P}^n$  be a closed projective variety. Let  $F$  be a homogeneous polynomial of degree  $d$  in  $K[x_0, x_1, \dots, x_n]$  such that  $W \not\subseteq V_P(F)$ . Then  $W \cap D(F)$  is an affine variety.*

*Proof.* The assumption  $W \not\subseteq V_P(F)$  is equivalent to  $W \cap D(F) \neq \emptyset$ . Let us consider the  $d$ -tuple Veronese embedding  $v_{n,d} : \mathbb{P}^n \rightarrow \mathbb{P}^{N(n,d)}$ , with  $N(n,d) = \binom{n+d}{d} - 1$ , that gives the isomorphism  $\mathbb{P}^n \simeq V_{n,d}$ . In this isomorphism the hypersurface  $V_P(F)$  corresponds to a hyperplane section  $V_{n,d} \cap H$ , for a suitable hyperplane  $H$  in  $\mathbb{P}^{N(n,d)}$ . Therefore we have  $W \cap D(F) \simeq v_{n,d}(W \cap D(F)) = v_{n,d}(W) \setminus H = v_{n,d}(W) \cap (\mathbb{P}^{N(n,d)} \setminus H)$ . There exists a projective isomorphism  $\tau : \mathbb{P}^{N(n,d)} \rightarrow \mathbb{P}^{N(n,d)}$  such that  $\tau(H) = H_0$ , the fundamental hyperplane of equation  $x_0 = 0$ . Therefore, denoting  $X := v_{n,d}(W)$ , we get  $X \cap (\mathbb{P}^{N(n,d)} \setminus H) \simeq \tau(X) \cap (\mathbb{P}^{N(n,d)} \setminus H_0) = \tau(X) \cap U_0$ , which proves the theorem.  $\square$

As a consequence of Theorem 9.16, we get that the open subsets of the form  $W \cap D(F)$  form a topology basis of affine varieties for  $W$ .

### Exercises to §9.

1. Let  $\phi : \mathbb{A}^1 \rightarrow \mathbb{A}^n$  be the map defined by  $t \rightarrow (t, t^2, \dots, t^n)$ .
  - a) Prove that  $\phi$  is regular and describe  $\phi(\mathbb{A}^1)$ ;
  - b) prove that  $\phi : \mathbb{A}^1 \rightarrow \phi(\mathbb{A}^1)$  is an isomorphism;
  - c) give a description of  $\phi^*$  and  $\phi^{-1*}$ .
  
2. Let  $f : \mathbb{A}^2 \rightarrow \mathbb{A}^2$  be defined by:  $(x, y) \rightarrow (x, xy)$ .
  - a) Describe  $f(\mathbb{A}^2)$  and prove that it is not locally closed in  $\mathbb{A}^2$ .
  - b) Prove that  $f(\mathbb{A}^2)$  is a constructible set in the Zariski topology of  $\mathbb{A}^2$  (i.e. a finite union of locally closed sets).
  
3. Prove that the Veronese variety  $V_{n,d}$  is not contained in any hyperplane of  $\mathbb{P}^{N(n,d)}$ .
  
4. Let  $GL_n(K)$  be the set of invertible  $n \times n$  matrices with entries in  $K$ . Prove that  $GL_n(K)$  can be given the structure of an affine variety.
  
5. Show the unicity of the projective transformation  $\tau$  of Theorem 9.8.
  
6. Let  $\phi : X \rightarrow Y$  be a regular map and  $\phi^*$  its comorphism. Prove that the kernel of  $\phi^*$  is the ideal of  $\phi(X)$  in  $\mathcal{O}(Y)$ . In the affine case, deduce that  $\phi$  is dominant if and only if  $\phi^*$  is injective.
  
7. Prove that  $\mathcal{O}(X_F)$  is isomorphic to  $\mathcal{O}(X)_f$ , where  $X$  is an affine algebraic variety,  $F$  a polynomial and  $f$  the function on  $X$  defined by  $F$ .