# $RL + TL$  for Cyber Physical Systems

Laura Nenzi

# Reinforcement Learning







#### **Complex Tasks**  $\blacktriangleright$



# Safe Reinforcement Learning



# Complex Tasks





#### Reward Hacking

A policy that achieves high returns but against the designer's intentions

<https://www.youtube.com/watch?v=92qDfT8pENs>

# Reward function is not enough

## Description using a language can help..

- To define task better
- $\blacktriangleright$  To learn more efficiently and precisly
- $\blacktriangleright$  To transfer learning between tasks
- To be "safe"

# LTL Syntax

- LTL formulas are built from propositions and other smaller LTL formulas using:
	- Boolean connectives
	- ▶ Temporal Operators
- Only shown  $∧$  and  $¬$ , but can define ∨, ⇒, ≡ for convenience



# Example specifications in LTL

Suppose you are designing a robot that has to do a number of missions



Whenever the robot visits the kitchen, it should visit the bedroom after.

$$
\mathbf{G}(k_r \Rightarrow \mathbf{F} \, d_r)
$$

 $\blacktriangleright$  Robot should never go to the bathroom.

 $\mathbf{G} \neg b_{r}$ 

 $\blacktriangleright$  The robot should keep working until its battery becomes low working **U** low\_battery

# STL Syntax





# Example Specification in STL



 $p(t) \in B_k : (0 < p_x(t) < 15) \land (5 < p_y(t) < 25)$ 

Whenever the robot visits the kitchen, it should visit the bedroom within the next 15 mins.  $\mathbf{G}\left((p(t) \in B_k) \Rightarrow \mathbf{F}_{[0,15]}(p(t) \in B_b)\right)$ 

 $B_r$ : Box describing room  $r$ 

 $p(t)$ : Position of robot at time t

Robot should not go to the bathroom in the first 60 mins.  ${\bf G}_{[0.60]}(p(t) \notin B_{bath})$ 

## Several Works with different motivations

#### **12 LTL constrained, Reward function remained the same**

- $\blacktriangleright$  Reward shaping using probability of average robusntess satisfaction
- multi-task-RL

The Thirty-Second AAAI Conference on Artificial Intelligence (AAAI-18)

#### **Safe Reinforcement Learning via Shielding**

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#### **Abstract**

Reinforcement learning algorithms discover policies that maximize reward, but do not necessarily guarantee safety during learning or execution phases. We introduce a new approach to learn optimal policies while enforcing properties expressed in temporal logic. To this end, given the temporal logic specification that is to be obeyed by the learning system, we propose to synthesize a reactive system called a *shield*. The shield monitors the actions from the learner and corrects them only if the chosen action causes a violation of the specification. We discuss which requirements a shield must meet to preserve the convergence guarantees of the learner. Finally, we demonstrate the versatility of our approach on several challenging reinforcement learning scenarios.



Figure 1: Shielded reinforcement learning

its operation whenever absolutely needed in order to ensure safety?"

In this paper, we introduce *shielded learning*, a framework that allows applying machine learning to control sys-

## Safe RL via Shield

How can we let a learning agent do whatever it is doing, and also monitor and interfere with its operation whenever absolutely needed in order to ensure safety?

- $\blacktriangleright$  The shield is computed upfront from the safety part of the given system specification and an abstraction of the agent's environment dynamics
	- **Minimum interference**: monitors the actions selected by the learning agent and corrects them if and only if the chosen action is unsafe.
	- Boundary helps to separate the concerns, e.g., safety and correctness on one side and convergence and optimality on the other
	- ں ۔<br>انا ان Compatible with mechanisms such as function approximation, employed by learning algorithms in order to improve their scalability

# Safe RL via Shield

- $\blacktriangleright$  Safety fragment of LTL (something bad should never happen, e.g. no safety  $G(r \rightarrow Fg)$ , every request is eventually granted)
- $\blacktriangleright$  A faithful, yet precise enough, abstraction of the physical environment is required
- Independent of the state space components of the system to be controlled
- $\blacktriangleright$  The shield is the product between specification automaton and the MDP abstraction

## Safe RL via Shield

If the property is violated there are two approaches:

- Assign a punishment : negative reward
- $\blacktriangleright$  Assign the reward: positive reward

Then the shield selects an action in a "rank" that is safe

### Grid world Example

With tabular Q-learning with an ε-greedy explorer



Figure 6: 9x9 grid world.



Figure 7: The accumulated reward per episode for the 9x9 grid world example.

# The PacMan Example

#### Approximate Q-learning agent



Figure 13: The accumulated reward per episode for the pacman example. 19



Figure 12: The 5x18 grid world of the pacman example.

## Several Works with different motivations

 $\blacktriangleright$  LTL constrained, Reward function remained the same

u **Reward shaping using probability of average robusntess satisfaction**

multi-task-RL

#### General Idea

**Reward Shaping problem:** 

Design  $R(s, a)$  s.t. I can find  $\pi^*$  s.t.  $\forall x, \pi^*(x)$  the "satisfaction" of x is maximised

Why important?

- Poorly design -> poorly convergence  $\blacktriangleright$
- Learning unsafe or unrealistic action

#### LTL constrained to discrete state and action

#### Probably Approximately Correct MDP Learning and Control With Temporal Logic Constraints

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Abstract—We consider synthesis of controllers that maximize the probability of satisfying given temporal logic specifications in unknown, stochastic environments. We model the interaction between the system and its environment as a Markov decision process (MDP) with initially unknown transition probabilities. The solution we develop builds on the so-called **model-based** probably approximately correct Markov decision process (PAC-**MDP)** method. The algorithm attains an  $\varepsilon$ -approximately optimal policy with probability  $1-\delta$  using samples (i.e. observations), time and space that grow polynomially with the size of the MDP, the size of the automaton expressing the temporal logic specification, and a finite time horizon. In this approach, the system maintains a model of the initially unknown MDP, and constructs a product MDP based on its learned model and the specification automaton that expresses the temporal logic constraints. During execution, the policy is iteratively updated using observation of the transitions taken by the system. The iteration terminates in finitely many execution steps. With high probability, the resulting policy is such that, for any state, the difference between the probability of satisfying the specification under this policy and the optimal one is within a predefined bound.

arrived positions differ of different grounds. terrain can be modele probabilities are unkno observations of robot' number of samples. may not be affordable amount of samples, we MDP and reason abou respect to the underl policies synthesized us

We develop an als updates the controller for an unknown MDP. method  $[4, 5]$  to maxin temporal logic specific tion probabilities. In th a model of the MDP

#### A Learning Based Approach to Control Synthesis of Markov Decision **Processes for Linear Temporal Logic Specifications**

Dorsa Sadigh, Eric S. Kim, Samuel Coogan, S. Shankar Sastry, Sanjit A. Seshia

*Abstract*—We propose to synthesize a control policy for a Markov decision process (MDP) such that the resulting traces of the MDP satisfy a linear temporal logic (LTL) property. We construct a product MDP that incorporates a deterministic Rabin automaton generated from the desired LTL property. The reward function of the product MDP is defined from the acceptance condition of the Rabin automaton. This construction allows us to apply techniques from learning theory to the problem of synthesis for LTL specifications even when the transition probabilities are not known a priori. We prove that our method is guaranteed to find a controller that satisfies the LTL property with probability one if such a policy exists, and we suggest empirically that our method produces reasonable control strategies even when the LTL property cannot be satisfied with probability one.

practical contexts where we start from a partial model with unspecified probabilities.

Our approach is based on finding a policy that maximizes the expected utility of an auxiliary MDP constructed from the original MDP and a desired LTL specification. As in the above mentioned existing work, we convert the LTL specification to a *deterministic Rabin automaton* (DRA) [11], [12], and construct a product MDP such that the states of the product MDP are pairs representing states of the original MDP in addition to states of the DRA that encodes the desired LTL specification. The novelty of our approach is that we then define a state based reward function on this product MDP based on the Rabin acceptance condition of

### LTL constrained to discrete state and action

- For MDPs with unknown transition probability
- LTL -> Deterministic Rabin Automata (DRA)
- Translation breakes the history- dependence
- select the reward function on the product MDP so it corresponds to the *Rabin* acceptance condition of the LTL specification.

## LTL constrained to discrete state and action

u select the reward function on the product MDP so it corresponds to the *Rabin*  acceptance condition of the LTL specification.

$$
s_{\mathcal{P}} = (s, q) \in S_{\mathcal{P}}
$$
  
\n
$$
W_{\mathcal{P}}^{i}(s_{\mathcal{P}}) = \begin{cases} w_G & \text{if } s_{\mathcal{P}} \in \mathcal{G}_i \\ w_B & \text{if } s_{\mathcal{P}} \in \mathcal{B}_i \\ 0 & \text{if } s_{\mathcal{P}} \in S \setminus (\mathcal{G}_i \cup \mathcal{B}_i) \end{cases}
$$
\n(4)  
\nwhere  $w_G > 0$  is a positive reward,  $w_B < 0$  is a negative reward.

Prove convergence if policy exist s.t. it satisfies property with probability 1

E.g. with a modified active temporal difference learning algorithm 1) Learn the transition probabilities and 2) Optimize the expected utility.

#### STL and discrete space

#### *O*-Learning for Robust Satisfaction of Signal Temporal Logic **Specifications**

Derya Aksaray, Austin Jones, Zhaodan Kong, Mac Schwager, and Calin Belta

*Abstract*—In this paper, we address the problem of learning optimal policies for satisfying signal temporal logic (STL) specifications by agents with unknown stochastic dynamics. The system is modeled as a Markov decision process, in which the states represent partitions of a continuous space and the transition probabilities are unknown. We formulate two synthesis problems where the desired STL specification is enforced by  $maximizing 1$  the probability of satisfaction, and 2) the expected robustness degree, i.e., a measure quantifying the quality of satisfaction. We discuss that  $Q$ -learning is not directly applicable to these problems because, based on the quantitative semantics of STL, the probability of satisfaction and expected robustness degree are not in the standard objective form of  $Q$ learning (i.e., the sum of instantaneous rewards). To resolve this issue, we propose an approximation of STL synthesis problems that can be solved via  $Q$ -learning, and we derive some performance bounds for the policies obtained by the approximate approach. Finally, we present simulation results to demonstrate the performance of the proposed method.

to describe tasks involving bounds on physical parameters and time intervals [8]. An example STL specification is "Within  $t_1$  seconds, a region in which y is less than  $p_1$ is reached, and regions in which y is larger than  $p_2$  are avoided for  $t_2$  seconds." STL is also endowed with a metric called robustness degree that quantifies how strongly a given trajectory satisfies an STL formula as a real number rather than just providing a yes or no answer [10], [8]. This measure enables the use of continuous optimization methods to solve inference  $(e.g., [14], [15], [18])$  or formal synthesis problems  $(e.g., [22])$  involving STL.

In this paper, we formulate two problems that enforce a desired STL specification by maximizing 1) the probability of satisfaction and 2) the expected robustness degree. One of the difficulties in solving these problems is the **history**dependence of the satisfaction. For instance, if the specifi-

## STL and discrete space

- Partition of a Continuous Space  $\blacktriangleright$
- Uknown stochastic dynamics  $\blacktriangleright$



#### Problem: history- dependence of the satisfaction

Fragment of STL such that the progress towards satisfaction is checked with a sufficient number of (i.e., τ) state measurements.

$$
\varphi \quad := f(\mathbf{s}) < d \big| \neg \varphi \big| \varphi \wedge \varphi \big| \varphi \vee \varphi \big| F_{[a,b]} \varphi \big| G_{[a,b]} \varphi,
$$

$$
\pi_1^* = \arg\max_{\pi} Pr^{\pi}[s_{0:T} \models \Phi]
$$

$$
\pi_2^* = \arg \max_{\pi} E^{\pi} [r(s_{0:T}, \Phi)]
$$

#### Problem: history- dependence of the satisfaction

$$
\tau-\text{MDP} \text{ where } \tau = \frac{hrz(\psi)}{\Delta t} + 1 \qquad \text{for} \quad F_{[0,T]}\psi, G_{[0,T]}\psi
$$

or 
$$
F_{[0,T]}\psi
$$
,  $G_{[0,T]}\psi$ 

- Each state corresponds to a  $\tau$ -length trajectory
- Probability remains Markovian



#### Problem: robustness shape

$$
\max_{\pi} E^{\pi}[r(s_{0:T}, \Phi)] = \begin{cases} \max_{\pi} E^{\pi} \left[ \max_{\tau-1 \le t \le T} \left( r(s_t^{\tau}, \phi) \right], & \text{if } \Phi = F_{[0,T]} \phi \\ \max_{\pi} E^{\pi} \left[ \min_{\tau-1 \le t \le T} \left( r(s_t^{\tau}, \phi) \right], & \text{if } \Phi = G_{[0,T]} \phi \end{cases}
$$

#### log-sum-exp approximation to adapt the Robustness of Q-learning

$$
\max(x_1,\ldots,x_n)\sim\frac{1}{\beta}\log\sum_{i=1}^n e^{\beta x_i},
$$

#### Finally...

$$
\pi_{2A}^* = \begin{cases} \arg \max_{\pi} E^{\pi} \Big[ \sum_{t=\tau-1}^T e^{\beta r(s_t^{\tau}, \phi)} \Big], & \text{if } \Phi = F_{[0,T]} \phi \\ \arg \max_{\pi} E^{\pi} \Big[ - \sum_{t=\tau-1}^T e^{-\beta r(s_t^{\tau}, \phi)} \Big], & \text{if } \Phi = G_{[0,T]} \phi \end{cases}
$$

#### The immediate reward is :

$$
R = \begin{cases} e^{\beta I(r(s_j^{\tau}, \phi))}, & \text{if Problem 1A with } \Phi = F_{[0,T]} \phi \\ -e^{-\beta I(r(s_j^{\tau}, \phi))}, & \text{if Problem 1A with } \Phi = G_{[0,T]} \phi \\ e^{\beta r(s_j^{\tau}, \phi)}, & \text{if Problem 2A with } \Phi = F_{[0,T]} \phi \\ -e^{-\beta r(s_j^{\tau}, \phi)}, & \text{if Problem 2A with } \Phi = G_{[0,T]} \phi \end{cases}
$$

#### **Experiments**



Fig.  $5$ .  $\ddot{\phantom{1}}$ which a sample trajectory by (b)  $\pi_{1A}^*$  and (c)  $\pi_{2A}^*$ .

 $\Phi_2 = G_{[0,12]}(F_{[0,2]}(region \ A) \wedge F_{[0,2]}(region \ B))$ 

 $|S|= 19$  and  $|S^T|= 676$ 

the robustness degree gives "partial credit" for trajectories that are close to satisfaction

For the prop satisfaction, instead, Qlearning algorithm is essentially performing a random search

#### STL and continuous space

2017 IEEE/RSJ International Conference on Intelligent Robots and Systems (IROS) September 24-28, 2017, Vancouver, BC, Canada

#### Reinforcement Learning With Temporal Logic Rewards

Xiao Li, Cristian-Ioan Vasile and Calin Belta.

Abstract—Reinforcement learning (RL) depends critically on the choice of reward functions used to capture the desired behavior and constraints of a robot. Usually, these are handcrafted by a expert designer and represent heuristics for relatively simple tasks. Real world applications typically involve more complex tasks with rich temporal and logical structure. In this paper we take advantage of the expressive power of *temporal* logic (TL) to specify complex rules the robot should follow, and incorporate domain knowledge into learning. We propose Truncated Linear Temporal Logic (TLTL) as a specification language, We propose Truncated Linear Temporal Logic (TLTL) as a specification language, that is arguably well suited for the robotics applications, We show in simulated trials that learning is faster and policies obtained using the proposed approach outperform the ones learned using heuristic rewards in terms<br>of the robustness degree, i.e., how well the tasks are satisfied.<br>Furthermore, we demonstrate the proposed RL approach in a toast-placing task learned by a Baxter robot.

#### Topcu

to Q-learning on  $\tau$ -MDPs in discrete spaces. Author and  $(5)$  has also taken advantage of automata-based r to synthesize control policies that satisfy LTL specif for MDPs with unknown transition probability. Thes ods are constrained to discrete state and action space a somewhat limited set of temporal operators. To t of our knowledge, this paper is the first to apply reinforcement learning on continuous state and action and demonstrates its abilities in experimentation.

We compare the convergence properties and the of learned policies of RL algorithms using tempor (i.e., robustness degree) and heuristic reward funct addition, we compare the results of a simple TL al against a more elaborate RL algorithm with heuri wards. In both cases better quality policies were

#### *Truncated Linear Temporal Logic (TLTL)*

- Specifically for robots
- Unbounded
- Atomic propositions
- Evaluated against finite time sequences

 $\rho(s_{t:t+k}, \top)$  $\rho_{max},$  $\rho(s_{t:t+k}, f(s_t) < c)$  $= c - f(s_t),$  $\rho(s_{t:t+k}, \neg \phi)$  $-\rho(s_{t:t+k},\phi),$  $\!\!\!=\!\!\!\!$  $= \max(-\rho(s_{t:t+k}, \phi), \rho(s_{t:t+k}, \psi))$  $\rho(s_{t:t+k}, \phi \Rightarrow \psi)$  $= \min(\rho(s_{t:t+k}, \phi_1), \rho(s_{t:t+k}, \phi_2)),$  $\rho(s_{t:t+k}, \phi_1 \wedge \phi_2)$  $\rho(s_{t:t+k}, \phi_1 \vee \phi_2)$  $\max(\rho(s_{t:t+k}, \phi_1), \rho(s_{t:t+k}, \phi_2)),$  $=$  $\rho(s_{t:t+k}, \bigcirc \phi)$  $\rho(s_{t:t+k}, \Box \phi)$  $\rho(s_{t:t+k}, \diamondsuit \phi)$  $\rho(s_{t:t+k}, \phi \mathcal{U} \psi)$  $\rho(s_{t:t+k}, \phi \mathcal{T} \psi)$ 

 $= \rho(s_{t+1:t+k}, \phi)$   $(k > 0),$  $\min_{t' \in [t,t+k)} (\rho(s_{t':t+k}, \phi)),$  $\max_{t' \in [t,t+k)} (\rho(s_{t':t+k}, \phi)),$  $\max_{t' \in [t,t+k)} (\min(\rho(s_{t':t+k}, \psi),$  $\min_{t'' \in [t,t')} \rho(s_{t'':t'}, \phi)))$ ,  $\max_{t' \in [t,t+k)} (\min(\rho(s_{t':t+k}, \psi),$  $\max \rho(s_{t'':t'},\phi))$ ,  $t'' {\in} [t,t')$ 

#### STL and continuous space

Parametrized policy  $\pi(s, a | \theta)$ 

 $\theta^* = argmax_{\theta} E_{p^{\pi} \theta(\tau)}[R(\tau)]$ , where  $p^{\pi_{\theta}}(\tau)$  is trajectory distribution from following policy  $\pi$ 

- Relative Entropy Policy Search (REPS) : constrained optimization problem that can be solved by Lagrange multipliers method
- u Tlinear-Gaussian policies and weighted maximum-likelihood estimation to update the policy parameters

#### Experiments





$$
r_2^{discrete} = \begin{cases} 5 & \text{goals visited in the right order} \\ -5 & \text{goals visited in the wrong} \\ -2 & d_{o_{1,2,3}} \le r_{o_{1,2,3}} \\ 0 & \text{everywhere else} \end{cases}
$$

$$
r_2^{continuous} = -c_1 d_{g_i} + c_2 (d_{g_j} + d_{g_k}) + c_3 \sum_{i=1}^3 d_{o_i}.
$$



#### Smooth Robustness and continuous space

2018 Annual American Control Conference (ACC) June 27-29, 2018. Wisconsin Center, Milwaukee, USA

#### A Policy Search Method For Temporal Logic Specified Reinforcement **Learning Tasks**

Xiao Li, Yao Ma and Calin Belta

*Abstract*—Reward engineering is an important aspect of reinforcement learning. Whether or not the users' intentions can be correctly encapsulated in the reward function can significantly impact the learning outcome. Current methods rely on manually crafted reward functions that often requires parameter tuning to obtain the desired behavior. This operation can be expensive when exploration requires systems to interact with the physical world. In this paper, we explore the use of *temporal logic* (TL) to specify tasks in reinforcement learning. TL formula can be translated to a real-valued function that measures its level of satisfaction against a trajectory. We take advantage of this function and propose *temporal logic policy* search (TLPS), a model-free learning technique that finds a policy that satisfies the TL specification. A set of simulated experiments are conducted to evaluate the proposed approach.

Temporal logics (TL) have been adopted as specification languages for a wide variety of control tasks. Authors of [6] use linear temporal logic (LTL) to specify a persistent surveillance task carried out by aerial robots. Similarly, [7] and [8] applied LTL in traffic network control. Application of TL in reinforcement learning has been less investigated. [9] combined signal temporal logic (STL) with Q-learning while also adopting the log-sum-exp approximation of robustness. However, their focus is in the discrete state and action spaces, and ensured satisfiability by expanding the state space to a history dependent state space. This does not scale well with large or continuous state-action spaces which is often the case for control tasks.

## Several Works with different motivations

 $\blacktriangleright$  LTL constrained, Reward function remained the same

 $\blacktriangleright$  Reward shaping using probability of average robusntess satisfaction

#### **Multi-task-RL**

### Multi-task-RL

#### **Teaching Multiple Tasks to an RL Agent using LTL**

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#### **ABSTRACT**

This paper examines the problem of how to teach multiple tasks to a Reinforcement Learning (RL) agent. To this end, we use Linear Temporal Logic (LTL) as a language for specifying multiple tasks in a manner that supports the composition of learned skills. We also propose a novel algorithm that exploits LTL progression and offpolicy RL to speed up learning without compromising convergence guarantees, and show that our method outperforms the state-ofthe-art approach on randomly generated Minecraft-like grids.

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Linear Temporal Logic (LTL) and then defining reward functions that provide positive reward for their successful completion. LTL is a propositional, modal temporal logic first developed for the verification of reactive systems [35]. It augments propositional logic with modalities such as  $\Diamond$  (eventually),  $\Box$  (always), and  $\Box$  (until) in support of expressing statements such as "Always if clothes are on the floor, put them in the hamper" or "Eventually make dinner." Such statements can be combined via logical connectives and nesting of modal operators to provide task specifications. The syntax is natural and compelling and, as a formal language, it has a well-defined

#### Decompose tasks into subtasks with LTL progression

#### LTL progression

Given an LTL formula  $\varphi$  and state s, we can *progress*  $\varphi$  using s:

- prog(s, p) = true if  $p \in L(s)$ , where  $p \in \mathcal{P}$
- **prog(s, p)** = false if  $p \notin L(s)$ , where  $p \in \mathcal{P}$
- prog(s,  $\neg \varphi$ ) =  $\neg$  prog(s,  $\varphi$ )
- prog(s,  $\varphi_1 \wedge \varphi_2$ ) = prog(s,  $\varphi_1$ )  $\wedge$  prog(s,  $\varphi_2$ )
- **prog(s,**  $\bigcirc \varphi$ **)** =  $\varphi$
- prog(s,  $\Diamond \varphi$ ) = prog(s,  $\varphi$ )  $\lor \Diamond \varphi$
- **prog(s,**  $\varphi_1 \cup \varphi_2$ **)** =  $\text{prog}(s, \varphi_2) \vee (\text{prog}(s, \varphi_1) \wedge \varphi_1 \cup \varphi_2)$

Task with finite-episode -> restriction to co-safe properties