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# ***Metal-Catalyzed Polymerizations***

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UNIVERSITÀ  
DEGLI STUDI DI TRIESTE

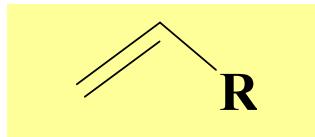
**20 and 21-05-2020**

# **Metal-Catalyzed Polymerizations**

## **(Coordination Polymerization)**

- *Polymerization of Olefins (Insertion) ✓ Prof. Barbara Milani*
- ***Polymerization of Dienes (Insertion)***
- ***Polymerization of Alkynes***
- ***Ring Opening Metathesis Polymerization (ROMP)***
- *Classical Anionic Polymerization*
- ***Ring Opening Polymerization (ROP)***
- ***Metal-mediated Radical Polymerization***

# POLYMERIZATION OF VINYL MONOMERS



- TYPES OF POLYMERIZATION**
- RADICAL
  - CATIONIC
  - ANIONIC
  - COORDINATION

initiators: ROOR, ROOH, R-N≡N-R

initiators: Brönsted acids, Lewis acids, stable cations

initiators: alkyl or aryl lithium or sodium compounds, sodium

catalysts: Ziegler-Natta, **metallocenes**, **post-metallocenic**

(the only method that homo- and copolymerizes propylene and  $\alpha$ -olefins)

## GENERAL MECHANISM

- Chain Growth**
- INITIATION
  - PROPAGATION
  - CHAIN TRANSFER
  - TERMINATION

common to all types

they can be suppressed when the polymerization is “controlled”



“Living” polymerization

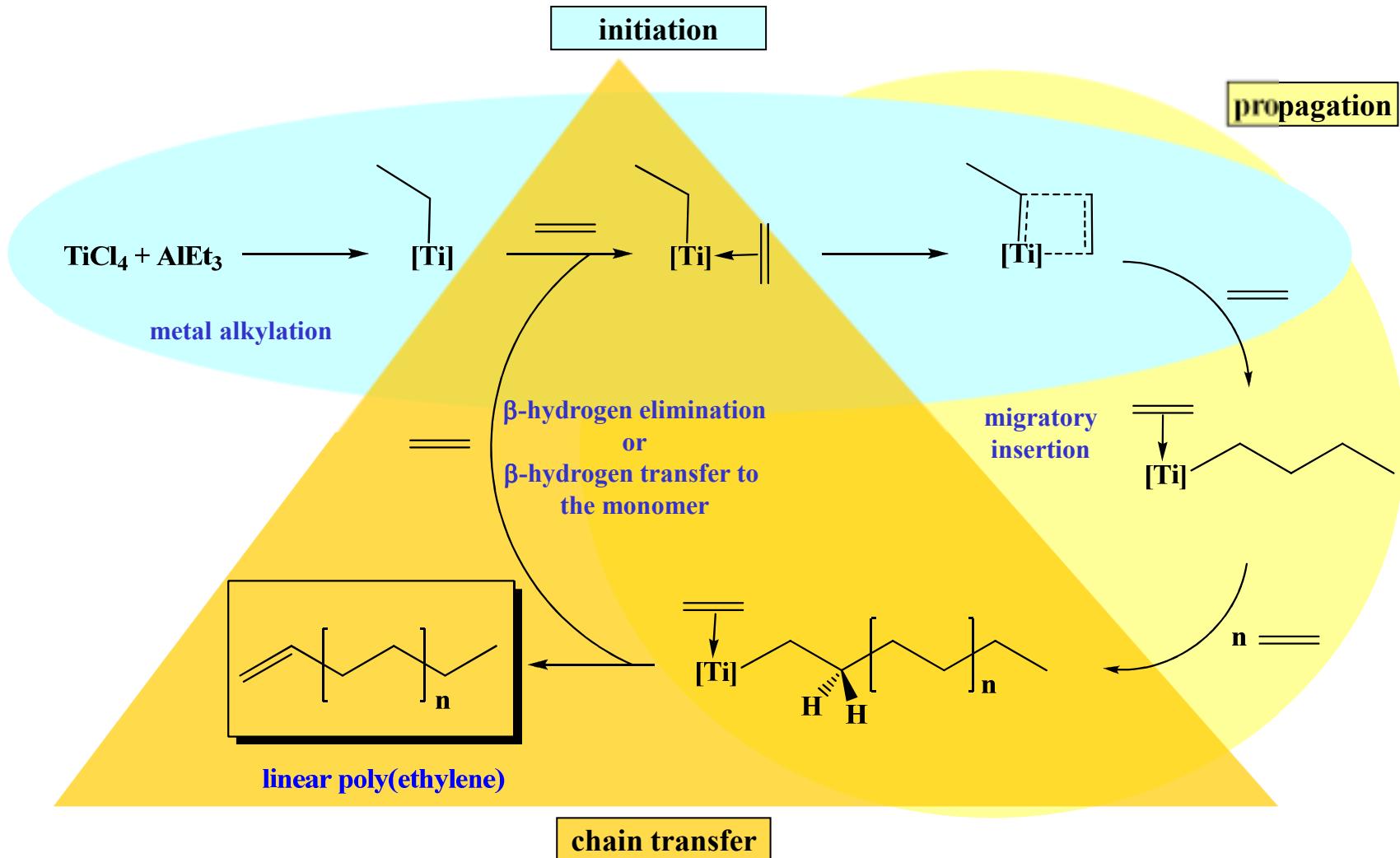
## ZIEGLER-NATTA CATALYSTS

## **DEFINITION:**

IA 1														VIII 18			
H 1	IIA 2																
Li 3	Be 4																
Na 11	Mg 12	IIIA 3	IVA 4	VA 5	VIA 6	VIIA 7		VIIIA 8		IB 11	IIB 12						
K 19	Ca 20	Sc 21	Ti 22	V 23	Cr 24	Mn 25	Fe 26	Co 27	Ni 28	Cu 29	Zn 30	Ga 31	Ge 32	As 33	Se 34	Br 35	Kr 36
Rb 37	Sr 38	Y 39	Zr 40	Nb 41	Mo 42	Tc 43	Ru 44	Rh 45	Pd 46	Ag 47	Cd 48	In 49	Sn 50	Sb 51	Te 52	I 53	Xe 54
Cs 55	Ba 56	La 57	Hf 72	Ta 73	W 74	Re 75	Os 76	Ir 77	Pt 78	Au 79	Hg 80	Tl 81	Pb 82	Bi 83	Po 84	At 85	Rn 86
Fr 87	Ra 88	Ac 89	Rf 104	Db 105	Sg 106												
Metais alcalino-terrosos																	
Metais de transição																	
Metais alcalinos																	
Lantanídeos																	
Actinídeos																	
Ce 58	Pr 59	Nd 60	Pm 61	Sm 62	Eu 63	Gd 64	Tb 65	Dy 66	Ho 67	Er 68	Tm 69	Yb 70	Lu 71				
Th 90	Pa 91	U 92	Np 93	Pu 94	Am 95	Cm 96	Bk 97	Cf 98	Es 99	Fm 100	Md 101	No 102	Lr 103				

By analogy with homogeneous metallocene catalysts

## Mechanism of Olefin Polymerization with Ziegler-Natta Catalysts

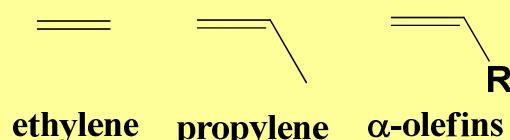


# POLYOLEFINS

Olefin = unsaturated hydrocarbon = Alkene

## Typical Olefin Monomers:

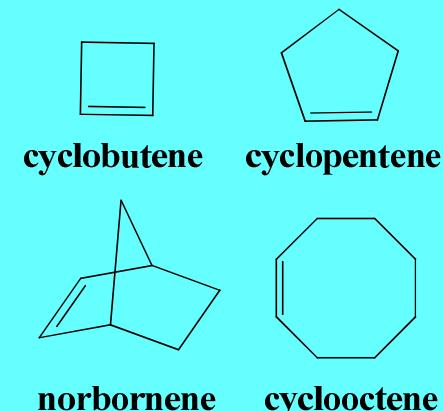
Aliphatic monoolefins ( $C_nH_{2n}$ ):



Aliphatic diolefins ( $C_nH_{2n-2}$ ):



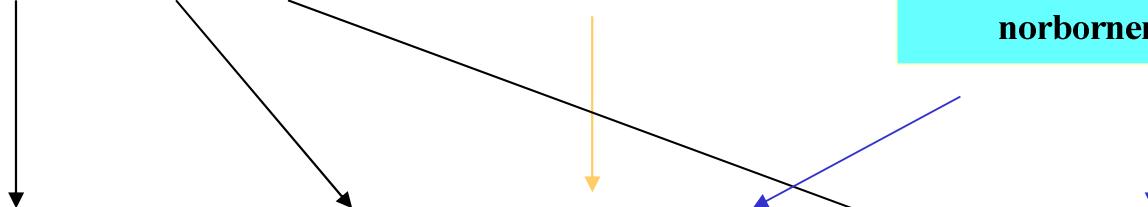
Cycloolefins ( $C_nH_{2n-2}$ ):



PLASTICS  
("COMMODITY")

ELASTOMERS

SPECIALTY POLYMERS

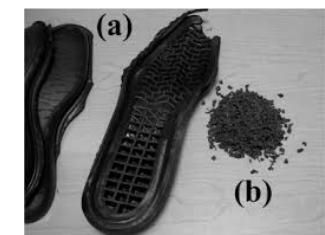
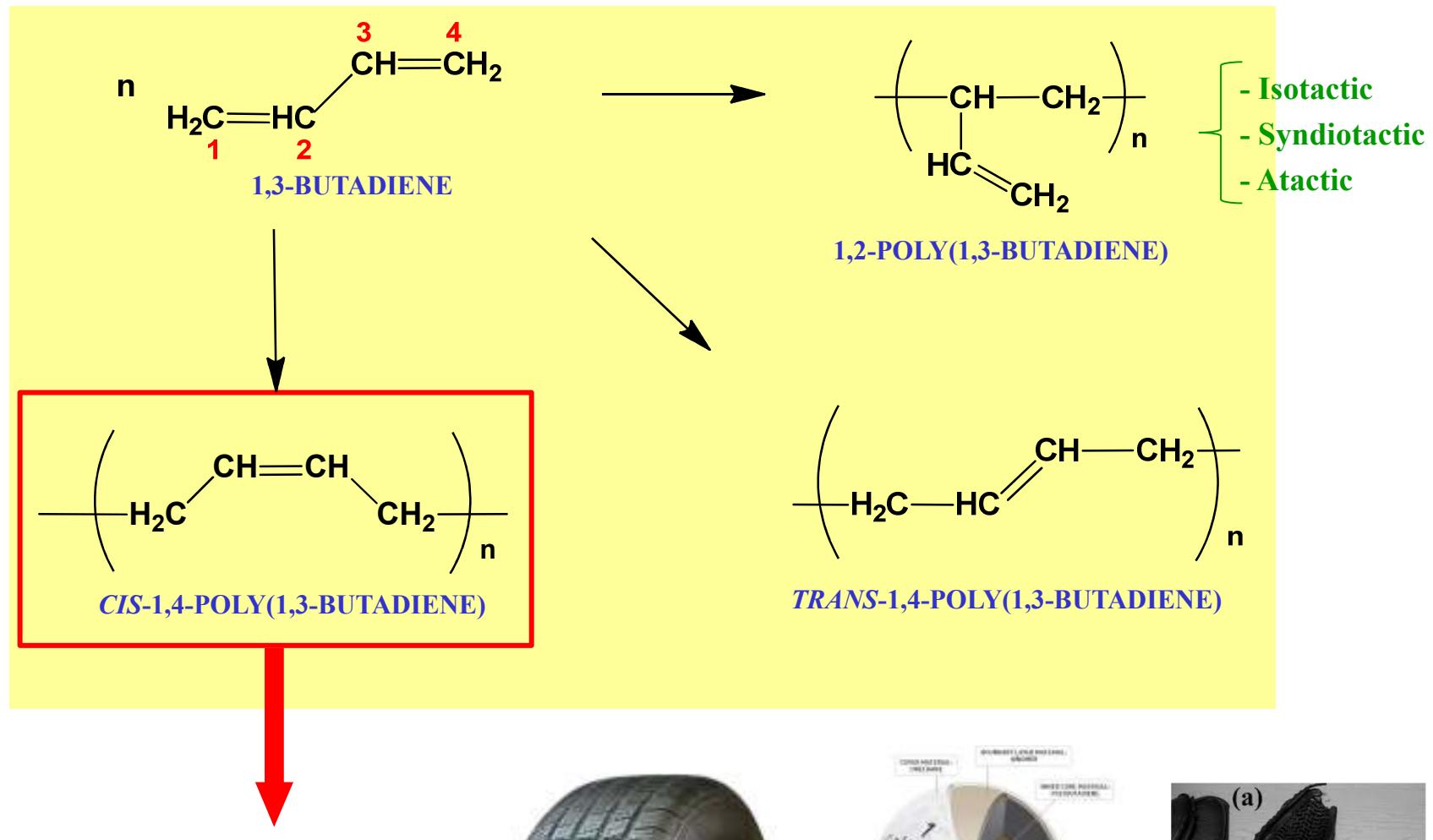


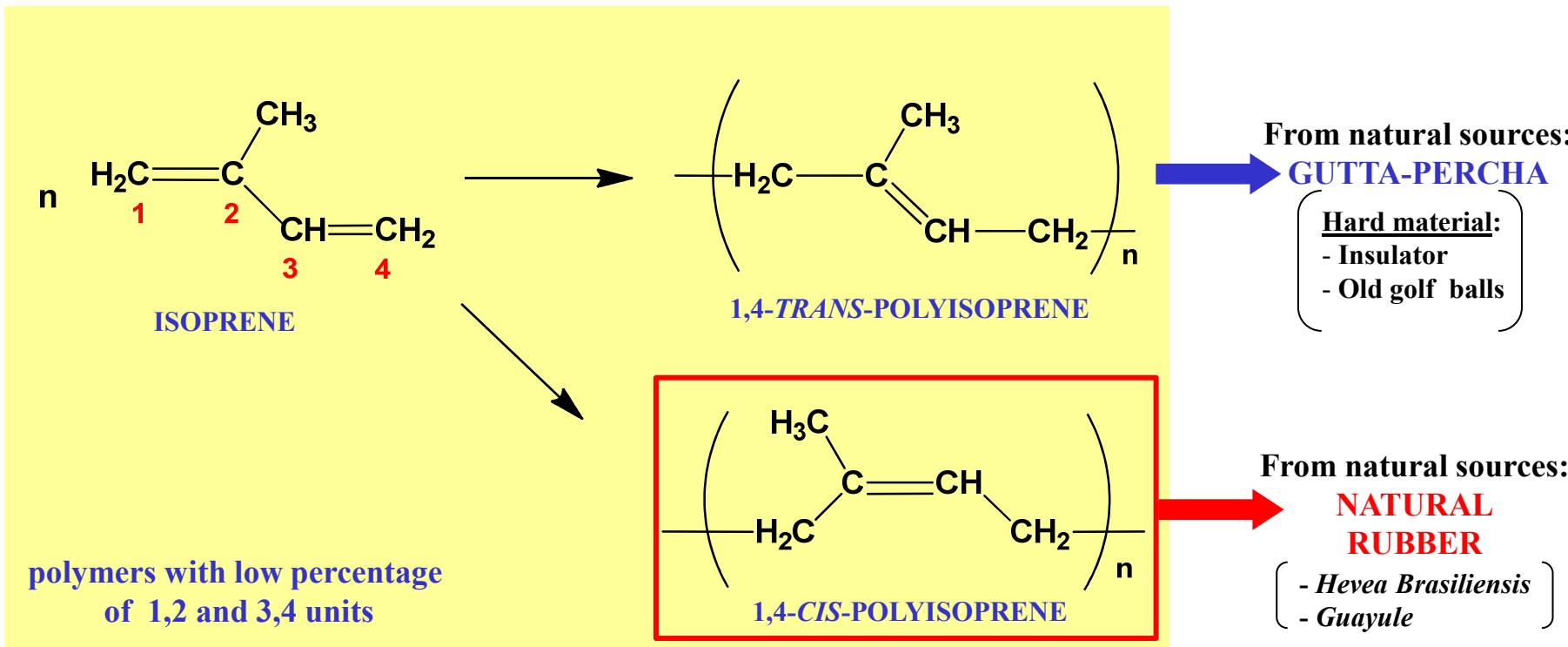
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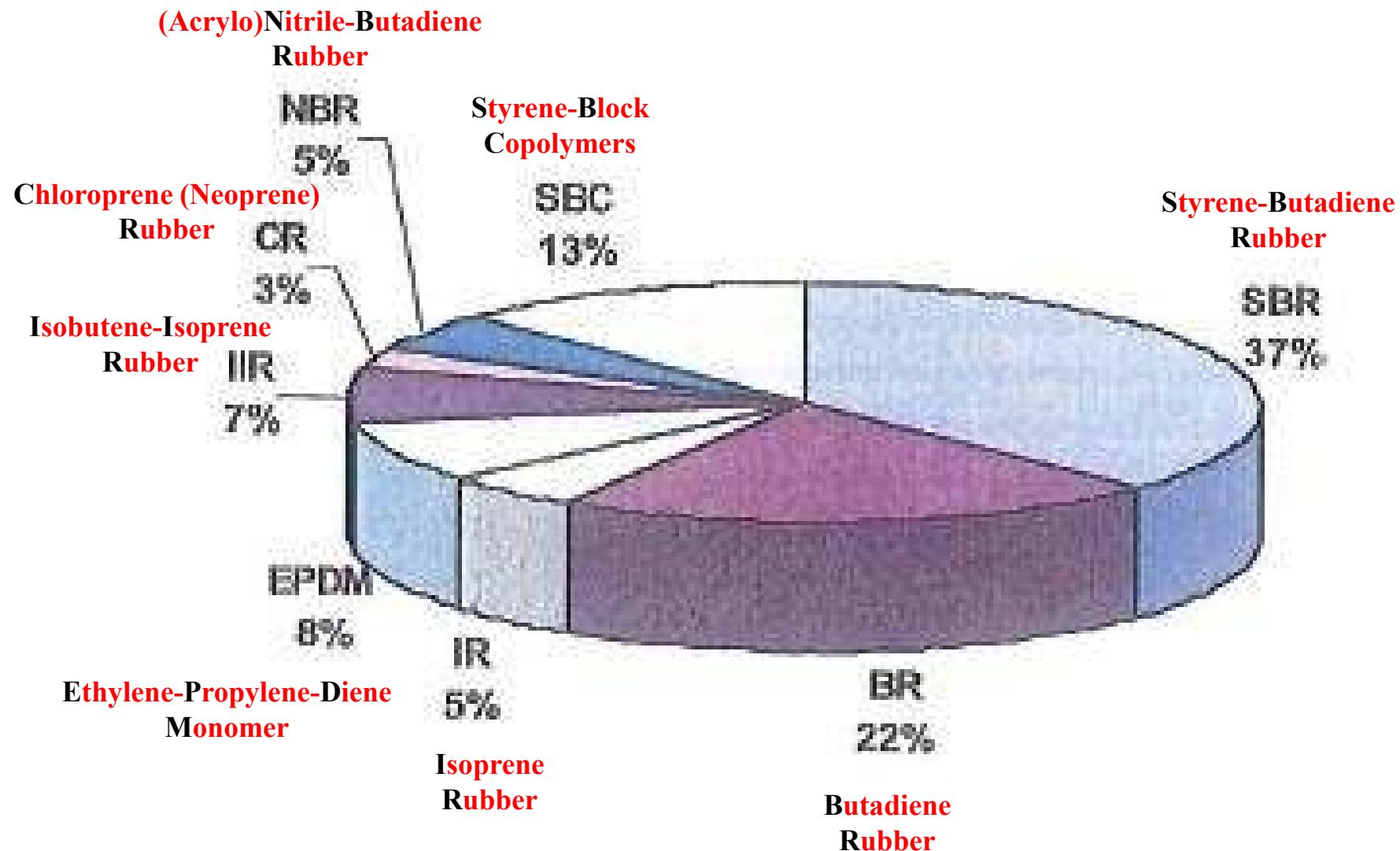
## POLYMERIZATION OF DIENES





**SYNTHETIC  
NATURAL  
RUBBER**

## SYNTHETIC RUBBER MARKET



## STEREOREGULAR ELASTOMERS

**1,4-CIS-POLYBUTADIENE**

**~3.2 Mton**

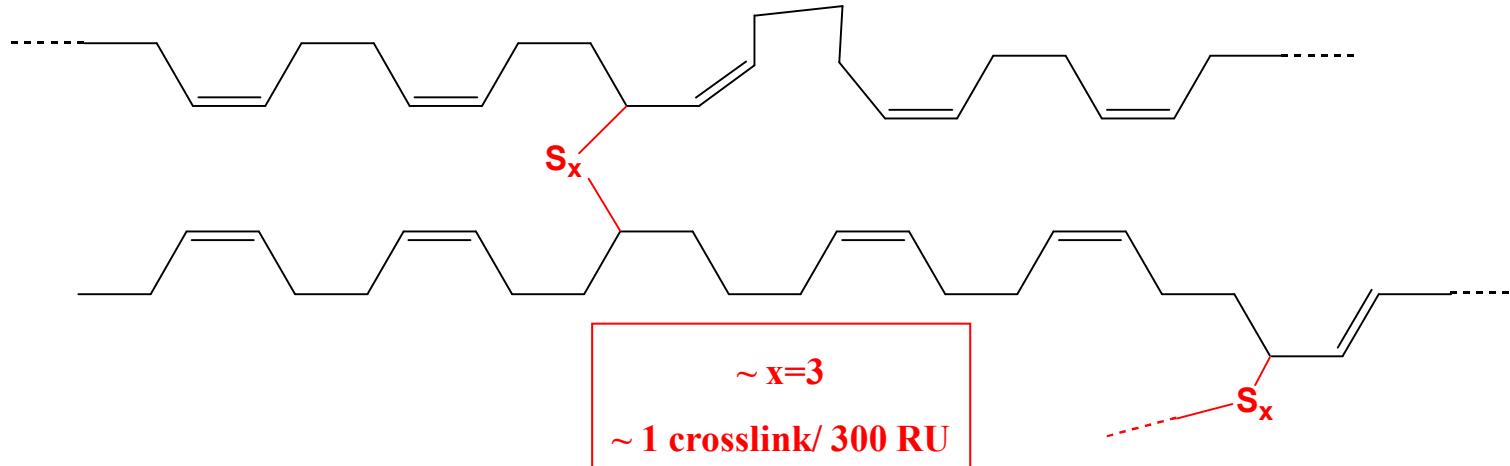
**1,4-CIS-POLYISOPRENE**

**~0.75 Mton**

Production depends on the **NATURAL RUBBER** market

**~10.3 Mton**

**S<sub>8</sub>** ↓ **Vulcanization**



**MACROMOLECULAR NETWORK**

# PolyButadiene Rubber World Producers

---

<b>Company</b>	<b>Annual Capacity (thousand of metric tons)</b>	<b>% of World Capacity</b>
LANXESS	488	15,4
<b>Sinopec</b>	<b>390</b>	<b>12,3</b>
Goodyear	265	8,4
Korea Kumho Petrochemicals	222	7,0
UBE Industries	173	5,5
<b>PetroChina</b>	<b>160</b>	<b>5,1</b>
<b>Polimeri Europa</b>	<b>160</b>	<b>5,1</b>
Firestone Polymers	150	4,7
Voronezhsynthiezkauchuk	141	4,5
others	1010	32,0
<i>Total</i>	3159	100

North America	Central and South America	Western Europe	Central and Western Europe	Asia*	Middle East/Africa/Oceania	<i>Total</i>
755	93	355	421	1520	45	3159

\*China, Taiwan, India, Japan, Korea, Thailandia

## STEREOSPECIFIC CATALYSTS - POLYBUTADIENE

- ZIEGLER-NATTA CATALYSTS

<u>High content (&gt;90%) in:</u>		
<b>1,4-cis</b>	<b>1,4-trans</b>	<b>1,2 (syndiotactic)</b>
TiI <sub>4</sub> + Al(iBu) <sub>3</sub> (1:4-5), 30 °C	γ-TiCl <sub>3</sub> + AlEt <sub>3</sub>	Ti(OR) <sub>4</sub> + AlEt <sub>3</sub> (1:7), 15 °C
CoCl <sub>2</sub> + Al <sub>2</sub> Cl <sub>3</sub> Et <sub>3</sub> (1:1000), 5 °C	VCl <sub>3</sub> + AlEt <sub>3</sub> (1:2), 15 °C	V(acac) <sub>3</sub> + AlEt <sub>3</sub> (1:6-10), 15°C
Co(acac) <sub>2</sub> + AlEt <sub>2</sub> Cl + H <sub>2</sub> O (branched polymer)	VCl <sub>4</sub> + AlEt <sub>3</sub> (1:1.8), 15 °C	Cr(C <sub>6</sub> H <sub>5</sub> CN) + AlEt <sub>3</sub> (1:2) Cr(C <sub>6</sub> H <sub>5</sub> CN) + AlEt <sub>3</sub> (1:10) (isot.)
Ni(octanoate) <sub>2</sub> + AlEt <sub>3</sub> + BF <sub>3</sub> ·OEt <sub>2</sub> (1:17:15), 50 °C	V(acac) <sub>3</sub> + AlEt <sub>2</sub> Cl + Cl <sub>3</sub> CCO <sub>2</sub> H, 80 °C	Co(acac) <sub>3</sub> + AlEt <sub>3</sub> (1:50), 16°C
U(OR) <sub>4</sub> + AlEt <sub>2</sub> Cl	VOCl <sub>3</sub> + AlEt <sub>3</sub>	Co(acac) <sub>3</sub> + AlEt <sub>3</sub> + H <sub>2</sub> O + CS <sub>2</sub>
Nd(neodecanoate) <sub>3</sub> + AlR <sub>2</sub> Cl + AlR <sub>3</sub> , 60 °C	V(acac) <sub>3</sub> + MAO (1:1000)	

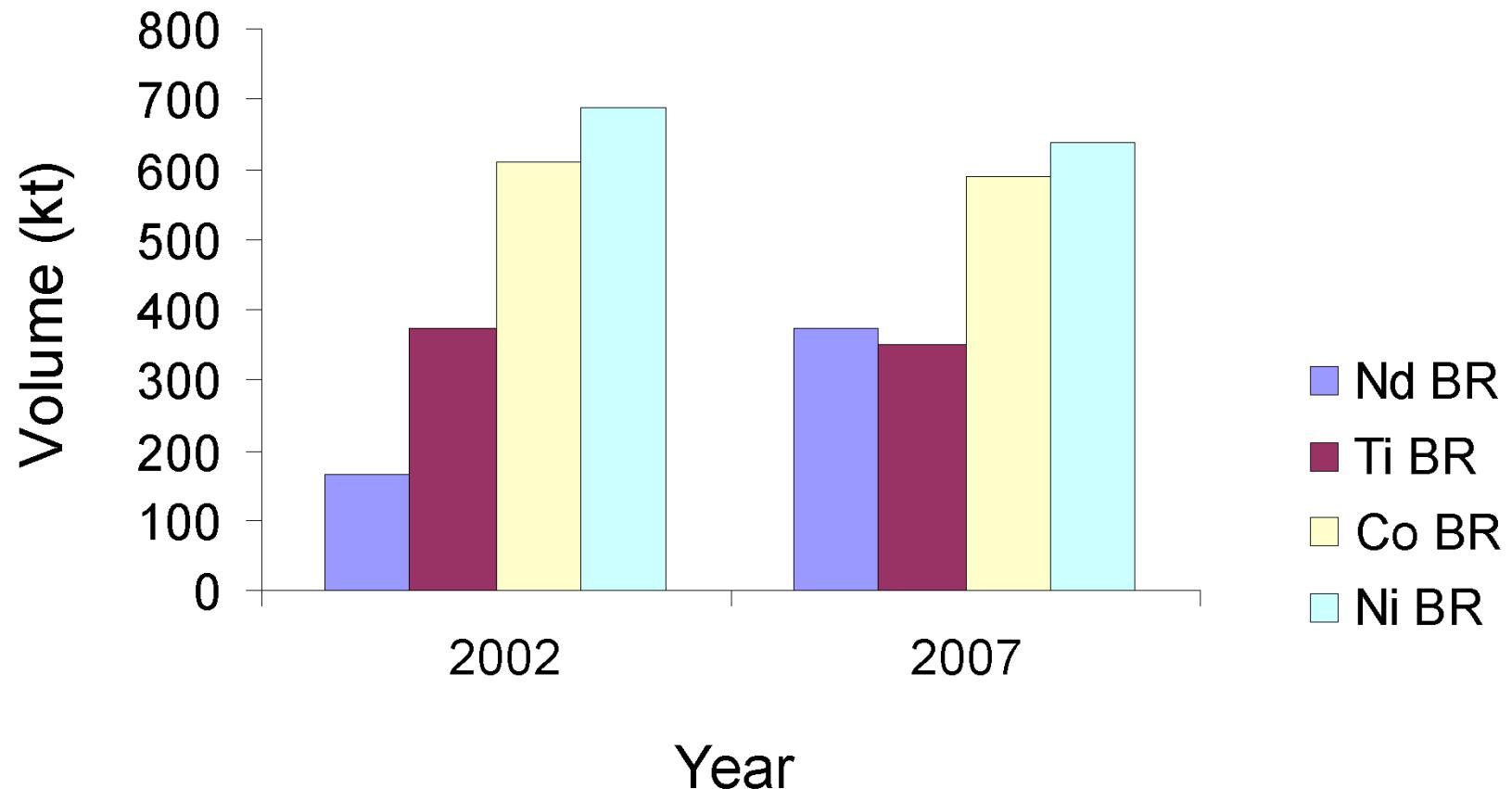
- ALLYL METAL CATALYSTS (without Alkyl Aluminium cocatalyst)



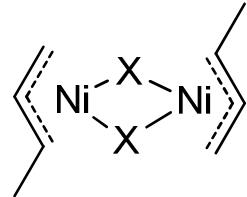
M= Cr, Co, Nb, W, Rh, U, Ni

## Catalysts for high *cis*-1,4 Polybutadiene

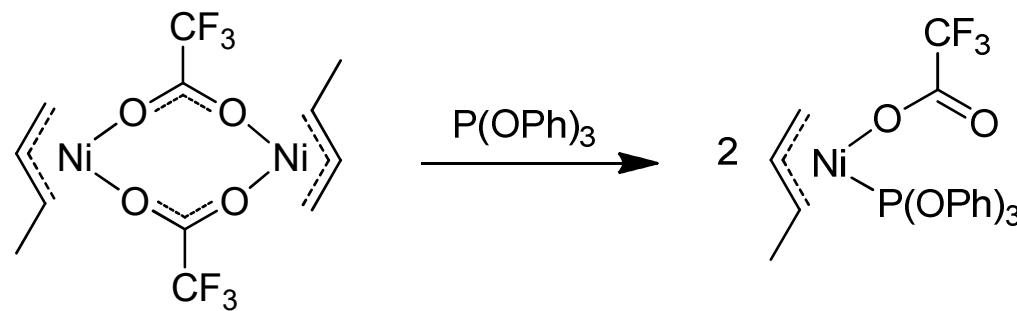
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## EXAMPLES OF ALLYL NICKEL STEREOSPECIFIC CATALYSTS



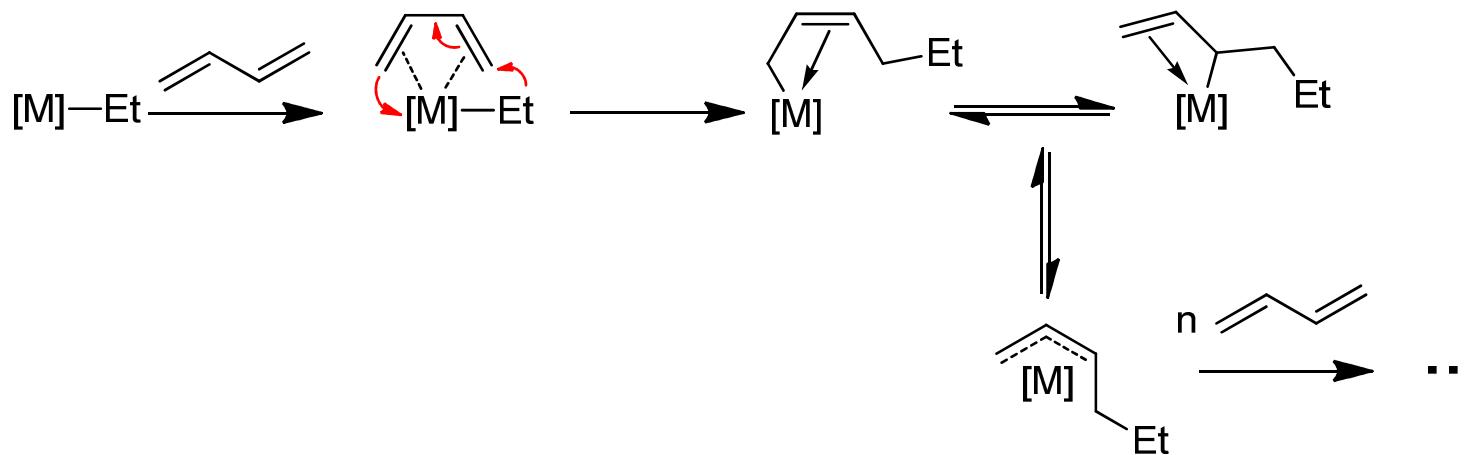
X	%1,4- <i>cis</i>	%1,4- <i>trans</i>	%1,2
Cl	92	6	2
Br	72	25	3
I	0	97	3



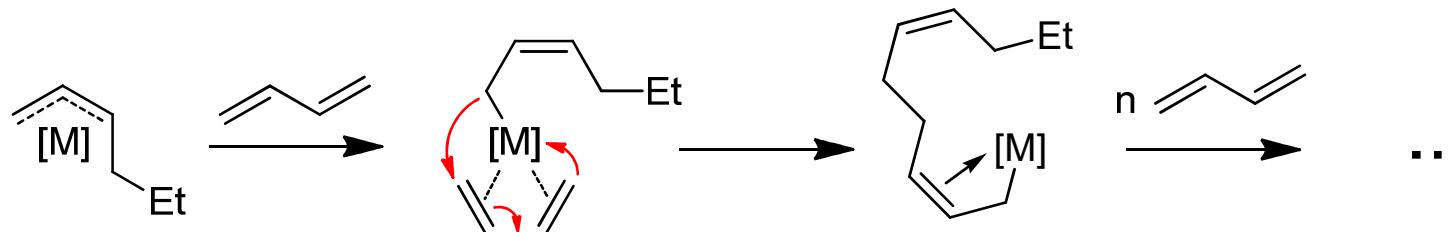
%1,4- <i>cis</i>	97	0
%1,4- <i>trans</i>	2	96
%1,2	1	4

## STEREOREGULATION MECHANISM

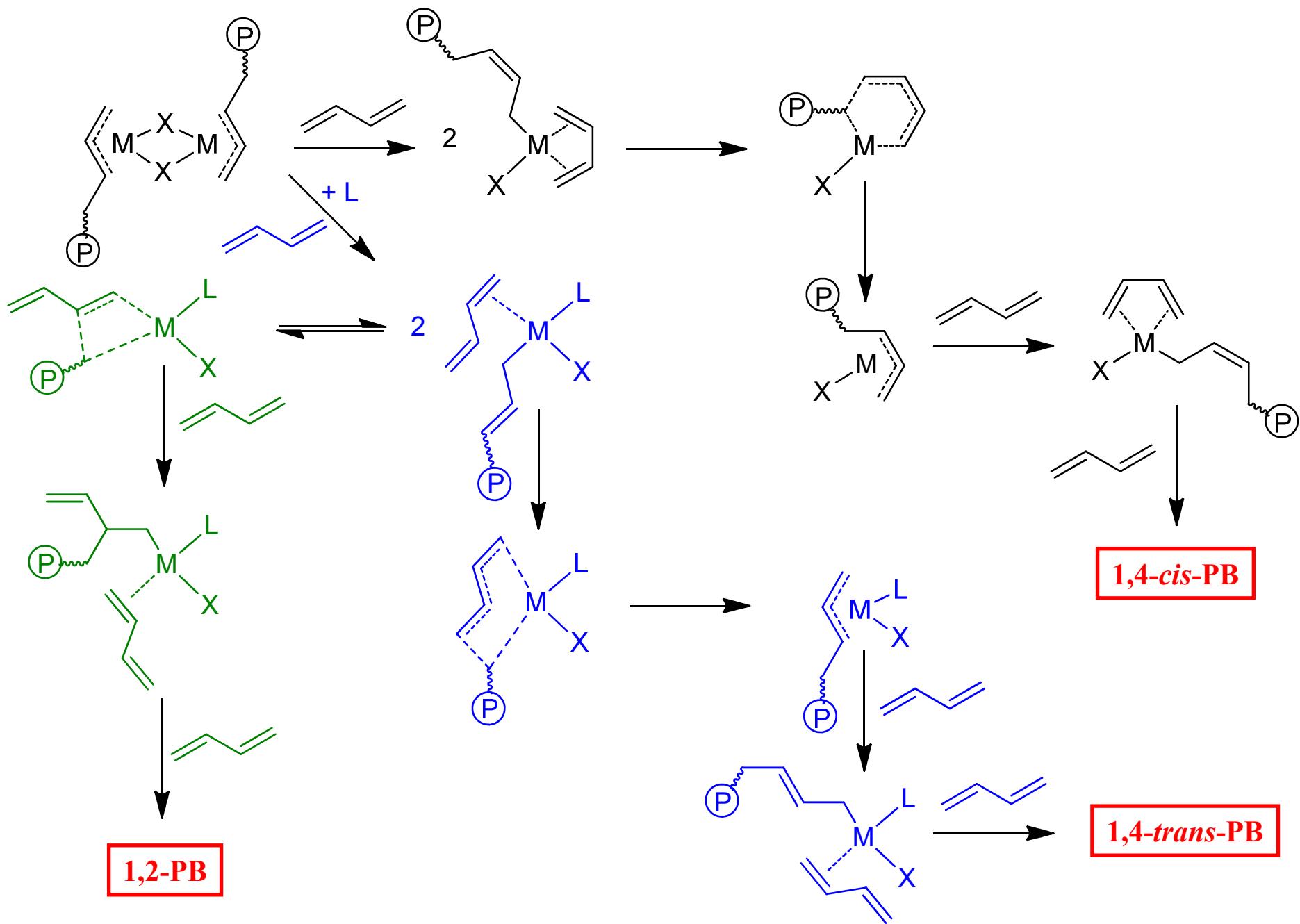
- INITIATION (Z-N CATALYSTS)



- PROPAGATION



## STEREOREGULATION MECHANISM



## STEREOSPECIFIC CATALYSTS - POLYISOPRENE

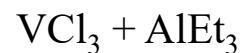
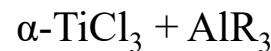
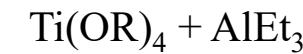
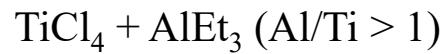
### • ZIEGLER-NATTA CATALYSTS

**High content (>90%) in:**

**1,4-cis**

**1,4-*trans***

**3,4**



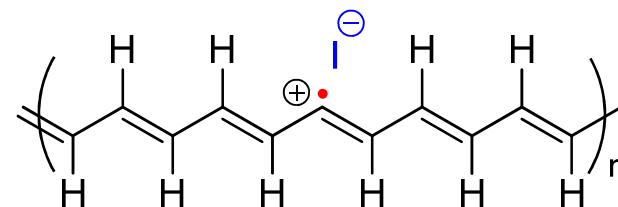
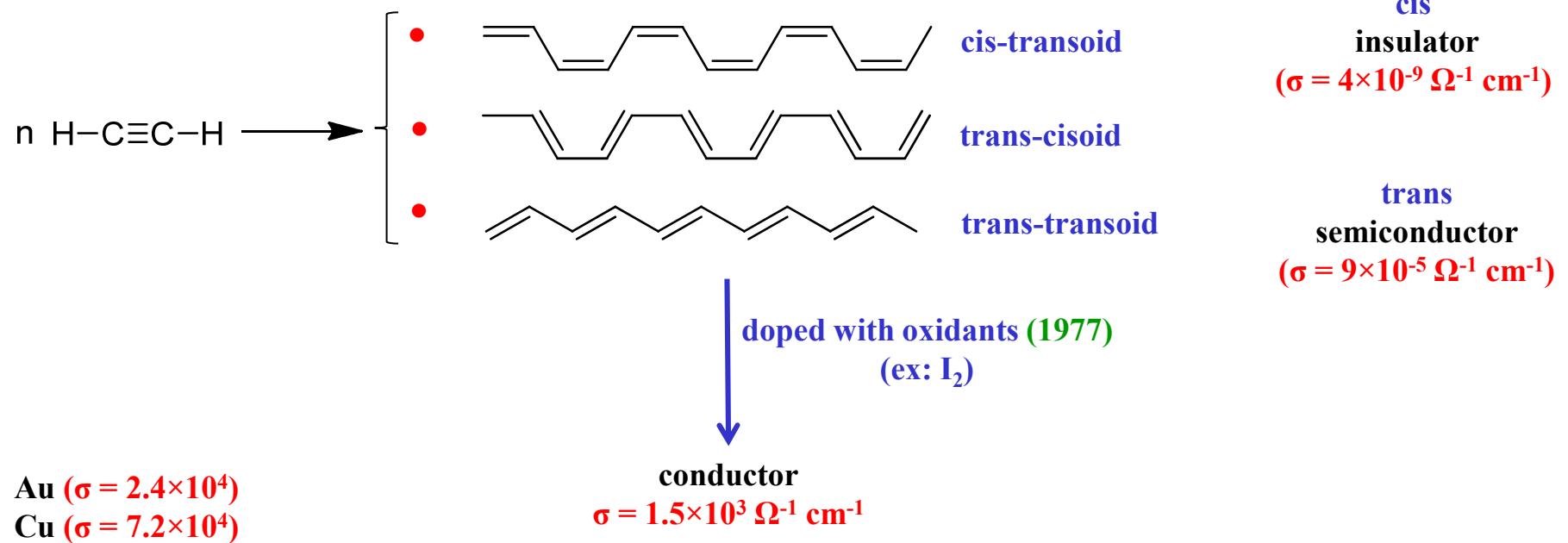
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## POLYMERIZATION OF ALKYNES

### (POLYMERIZATION OF ACETYLENE)



**CONDUCTION**  
(?)

- Intermolecular
- Interchain
- Intergrain

## POLYACETYLENE

- Insoluble (in organic solvents)
- Unstable in air (double bonds oxidation)
- Bad mechanical properties (poorly processable)

the objective was to make conducting films

### • SYNTHESIS - CATALYSTS

-  $\text{Ti(OBu)}_4 + \text{AlEt}_3$  (Natta, 1958)

-  $\text{Ti(OBu)}_4 + \text{AlEt}_3$  ( $\text{Al/Ti} \sim 4$ ) (Shirakawa, 1974) good films when  $[\text{Ti}] < 10^{-3}$  M

-  $\text{Ti(OBu)}_4 + \text{LiBu}$  ( $\text{Li/Ti} \sim 2$ ) high *trans* %

-  $\text{MoCl}_5 + \text{SnPh}_4$

-  $\text{WCl}_6 + \text{SnPh}_4$

-  $\text{NiX}_2(\text{PR}_3)_2$  ( $\text{X} = \text{Cl, Br, I}$ ) high *trans* %

## POLYACETYLENE

### • BASF METHOD (best commercial polyacetylene)

-  $\text{Ti(OBu)}_4 + \text{AlEt}_3$  ( $\text{Al/Ti} \sim 4$ )

-  $\text{Ti(OBu)}_4 + \text{LiBu}$  ( $\text{Li/Ti} \sim 2$ )

SOLVENT:

very viscous silicone

↓  
Polymers with lower content  
of  $sp_3$  defects

↓  
Better morphological properties  
(better oriented fibres)

Films produced on HDPE or PP supports

↓  
Stretching 7× the original length

Highly oriented transparent films

↓  
Doping with  $I_2$

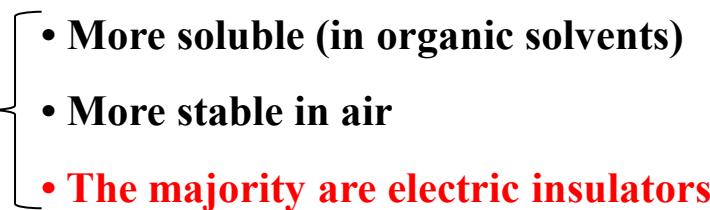
Ti/Al catalyst:  $\sigma = 2 \times 10^4 \Omega^{-1} \text{ cm}^{-1}$  (20  $\mu\text{m}$  film)  
 $\sigma = 8 \times 10^3 \Omega^{-1} \text{ cm}^{-1}$  (0.1  $\mu\text{m}$  film)

Ti/Li catalyst:  $\sigma \sim 10^5 \Omega^{-1} \text{ cm}^{-1}$

BASF abandoned  
this process with the  
appearance of new  
conducting polymers  
(more stable and  
processable)

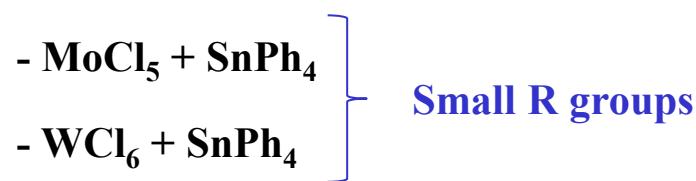
## POLYMERIZATION OF SUBSTITUTED ACETYLENES



POLYMERS: 

- More soluble (in organic solvents)
- More stable in air
- The majority are electric insulators

### • CATALYSTS



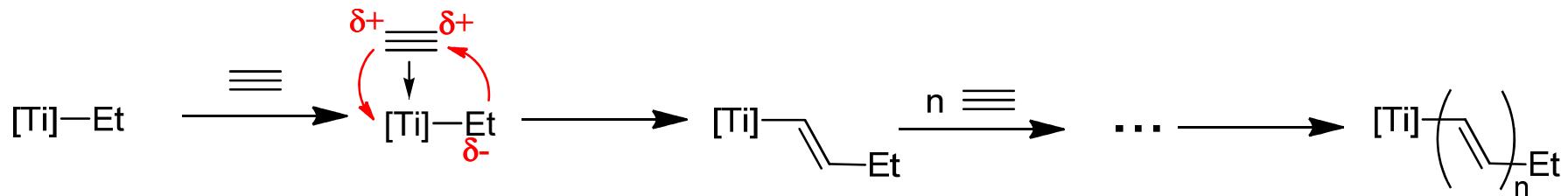
Small R groups



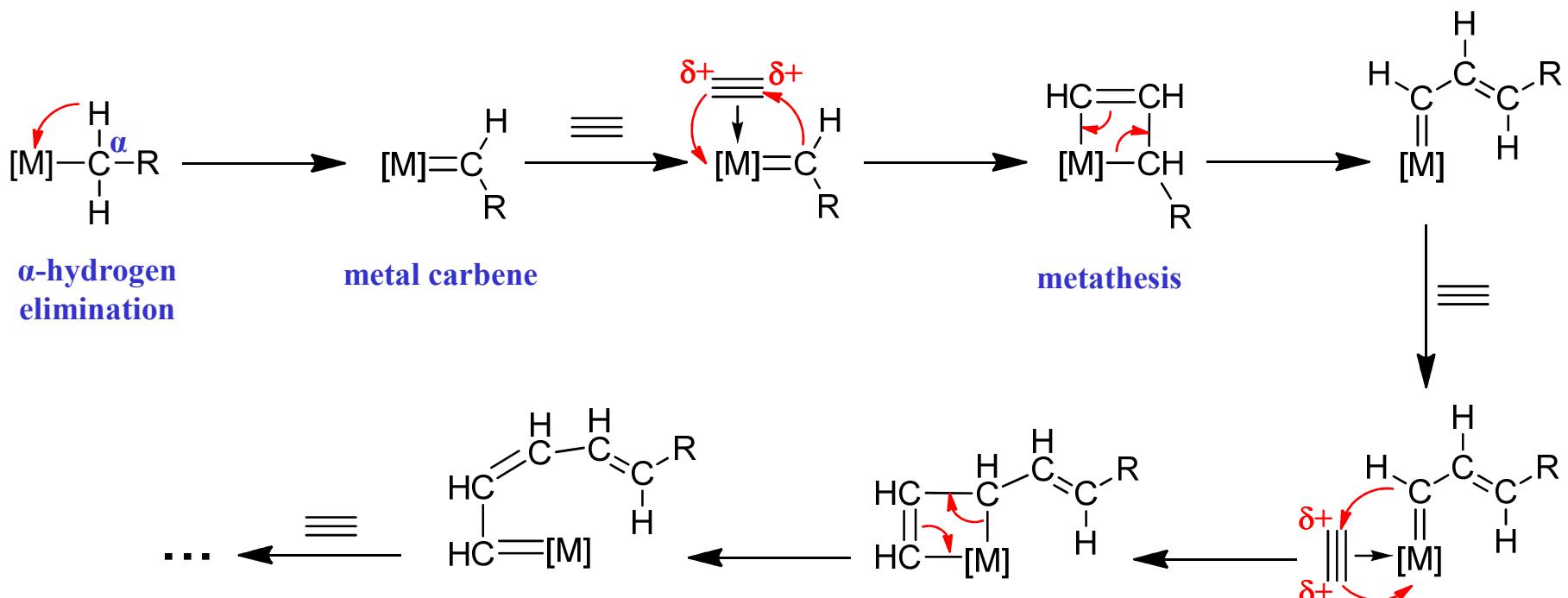
Bulky R groups (ex:  $-\text{SiR}_3$ )

## MECHANISM

- Ti ZIEGLER-NATTA CATALYSTS (insertion mechanism)



- Mo, W, Nb, Ta (Groups 5 and 6) ZIEGLER-NATTA CATALYSTS (metathesis mechanism ??)



# **Metal-Catalyzed Polymerization**

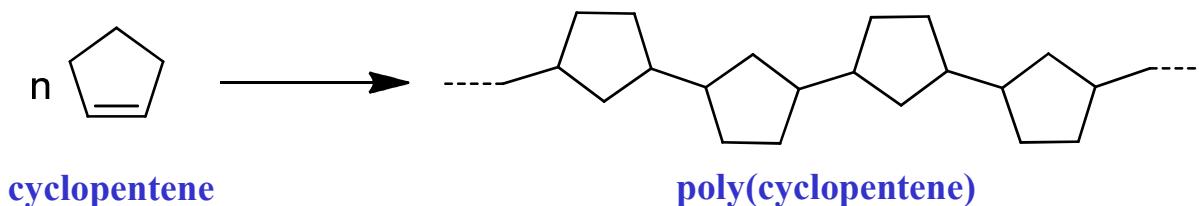
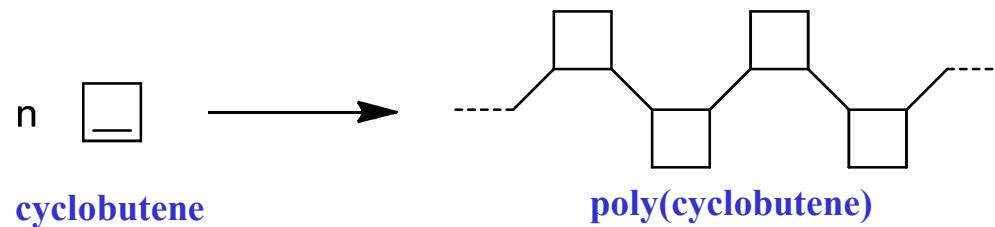
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## RING-OPENING METATHESIS POLYMERIZATION (ROMP)

### MONOMERS: Cycloolefins and Cycloalkynes

When Ziegler-Natta or metallocene catalysts based on Group 4 metals (Ti, Zr, Hf) or post-metallocene catalysts are used the polymerization occurs by **Insertion (or Vinyl-addition) Polymerization**:

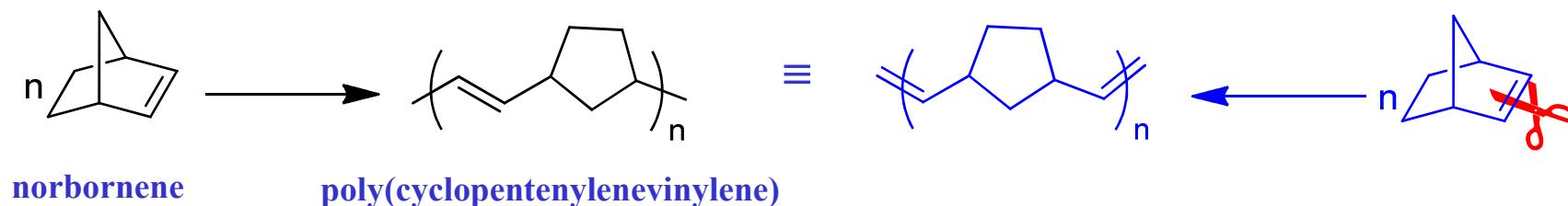
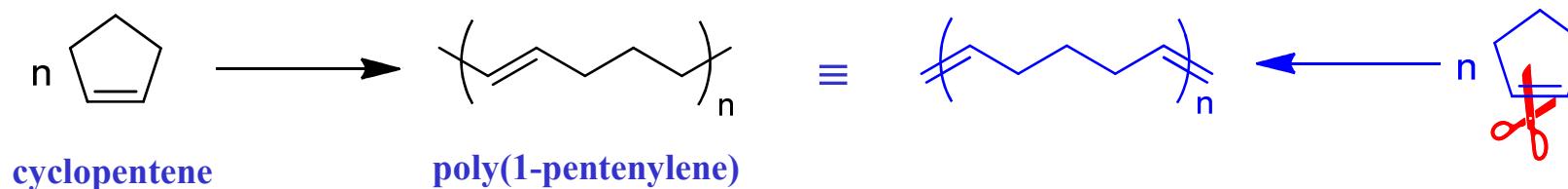


- The polymers do not have double bonds in the main chain
- Very rigid polymers (high melting temperatures)
- May copolymerize with linear  $\alpha$ -olefins (metallocene catalysts) to give amorphous copolymers

BUT...

# RING-OPENING METATHESIS POLYMERIZATION (ROMP)

When Ziegler-Natta catalysts based on Group 6 metal (Mo, W) or metal carbene catalysts are used the polymerization occurs by **Ring-Opening Methathesis Polymerization**:



- The monomer double bond is retained in the polymer main chain
  - The polymerization has a living character
  - Easy block-copolymerization
  - Ring tension favours ROMP (thermodynamically)

## ROMP CATALYSTS

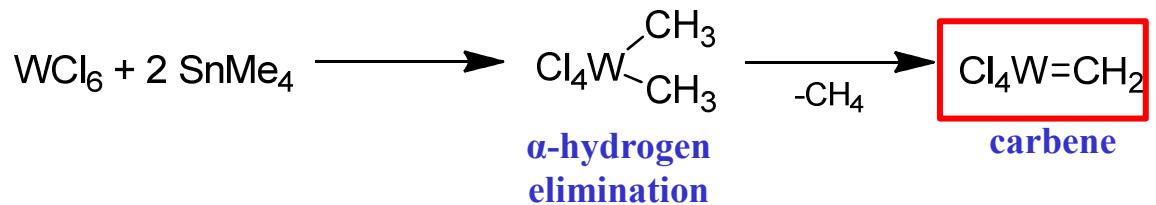
- ZIEGLER–NATTA CATALYSTS

-  $\text{WCl}_6 + \text{SnMe}_4$

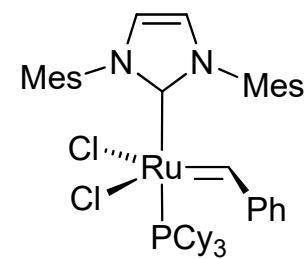
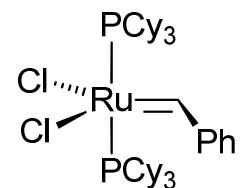
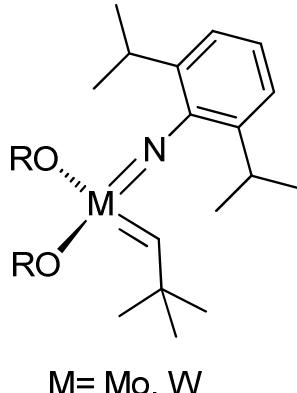
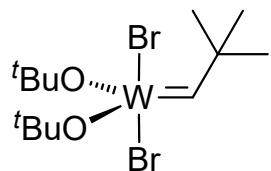
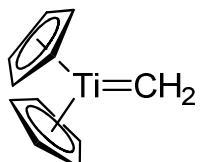
-  $\text{WCl}_6 + \text{ZnMe}_2$

-  $\text{MoO}_3 / \gamma\text{-Al}_2\text{O}_3$

-  $\text{MoCl}_5 + \text{AlEt}_3$  (cyclopentadiene, Natta, 1964)



- WELL-DEFINED CARBENE CATALYSTS

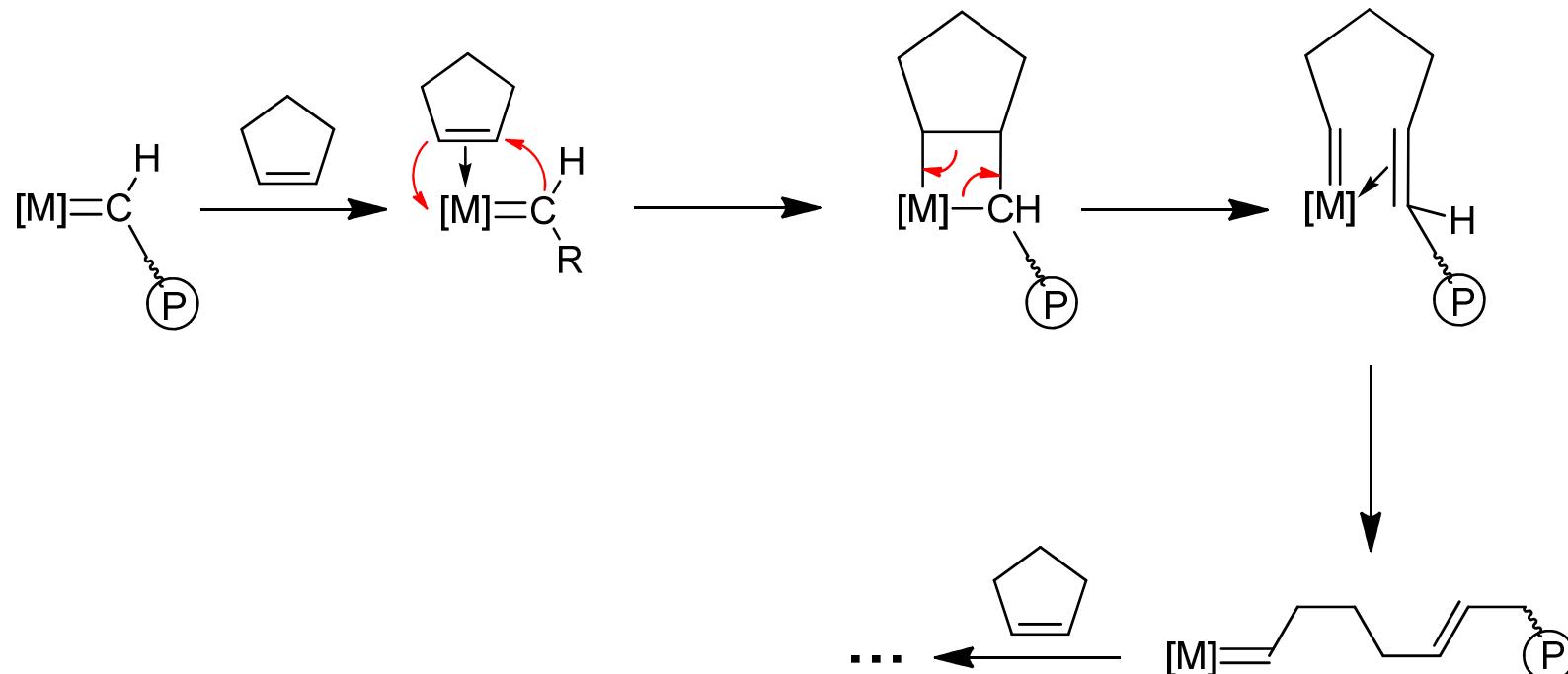


Schrock type catalysts

Grubbs type catalysts

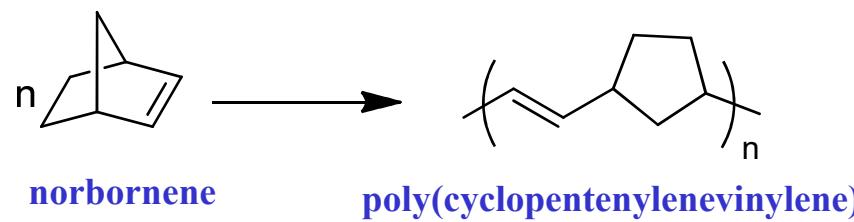
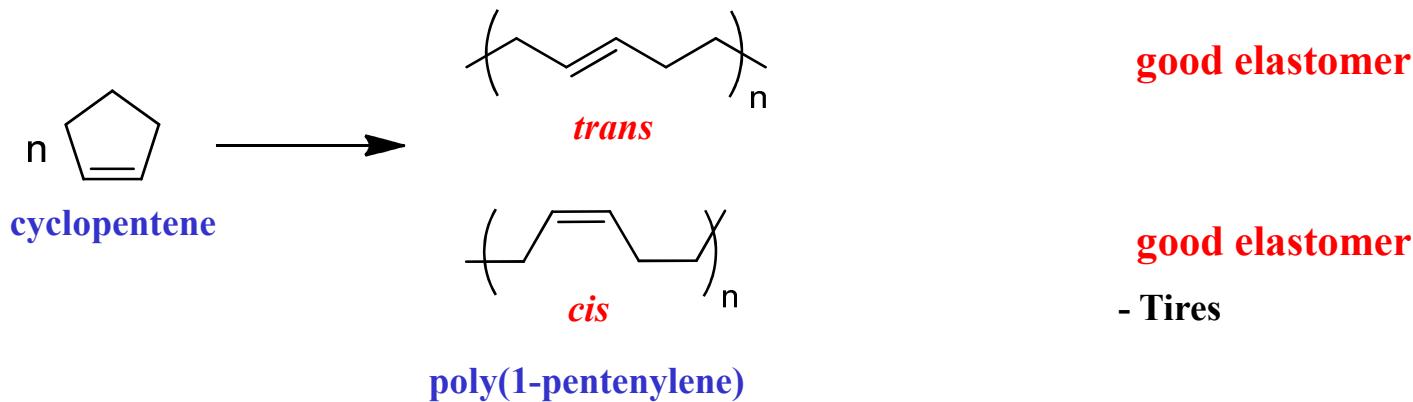
## MECHANISM

### • PROPAGATION



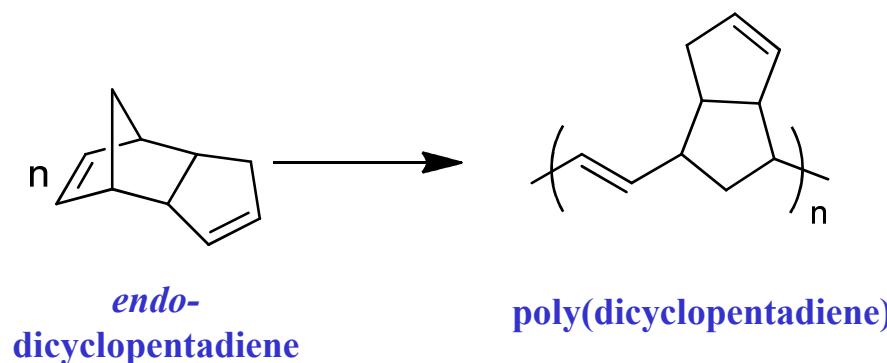
Living polymerization

## ASSORTED EXAMPLES OF ROMP



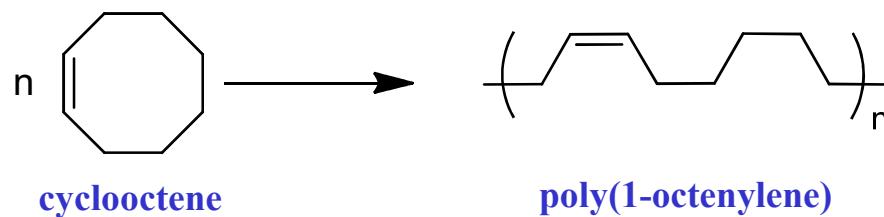
Norsorex®

- Oil superabsorbent (400% elongation)
- Cleaning up oil spills
- Acoustic insulator
- Gaskets
- Anti-vibration material
- Shock absorption material



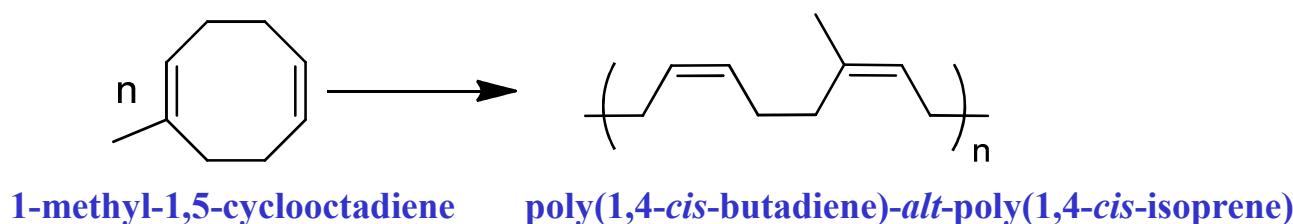
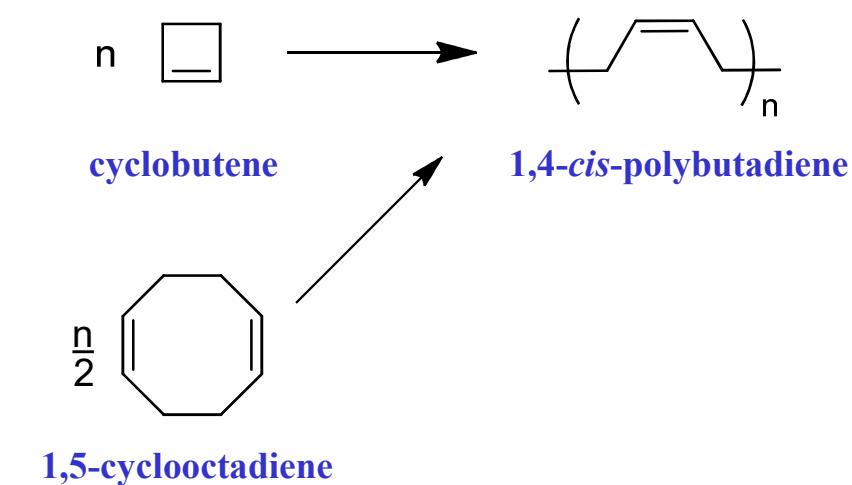
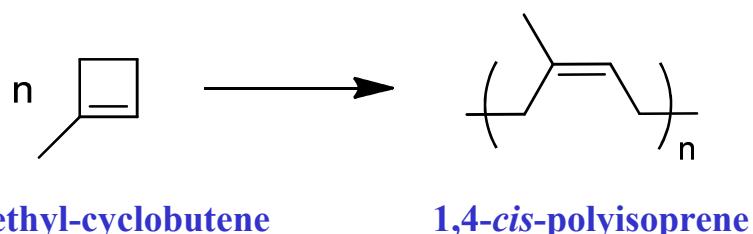
Metton®

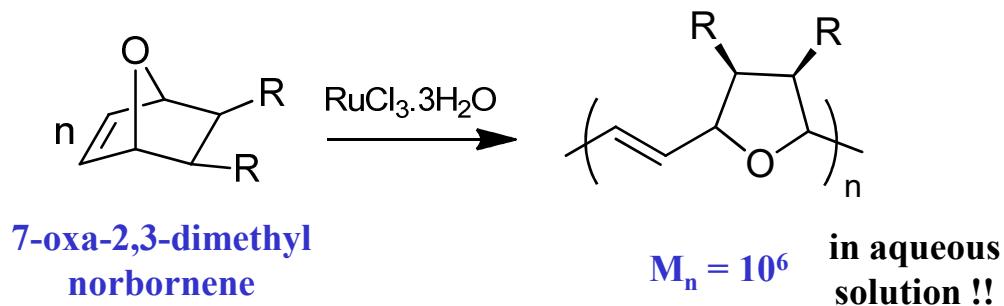
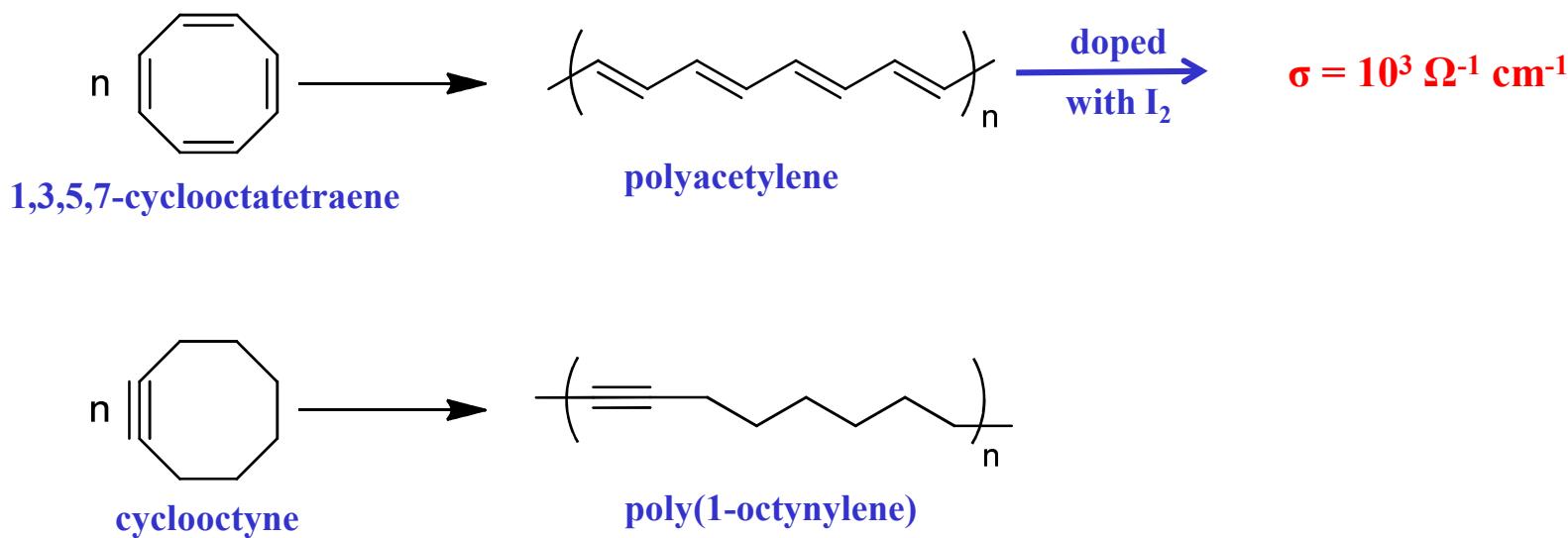
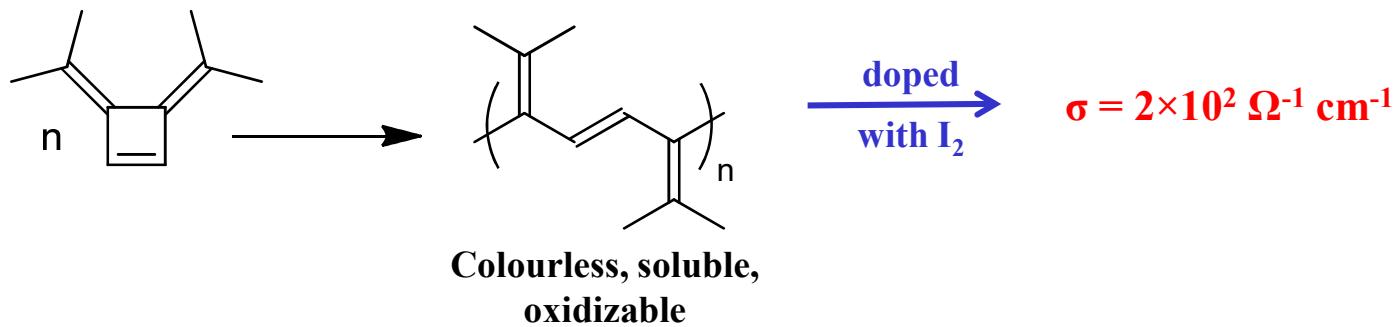
- Commercial engineering plastic for moulding



Vestenamer®

- Minor component in elastomer blends with SBR for gaskets, brake hoses and printing rollers





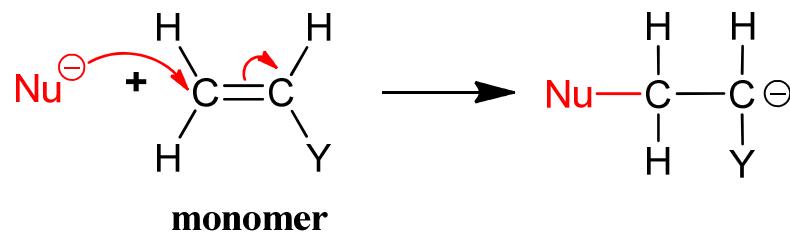
**In general, the coordination polymerization experimental conditions impose strict absence of H<sub>2</sub>O and O<sub>2</sub>**

# **Metal-Catalyzed Polymerization**

## **(Coordination Polymerization)**

- *Polymerization of Olefins (Insertion) ✓ Prof. Barbara Milani*
- *Polymerization of Dienes (Insertion)*
- *Polymerization of Alkynes*
- *Ring Opening Metathesis Polymerization (ROMP)*
- ***Classical Anionic Polymerization***
- *Ring Opening Polymerization (ROP)*
- *Metal-mediated Radical Polymerization*

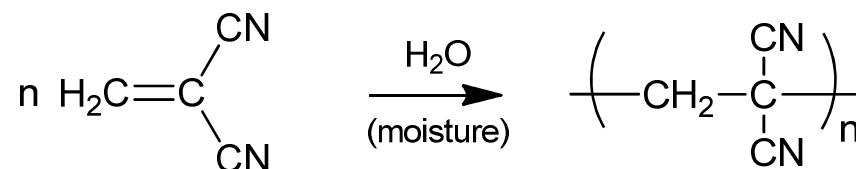
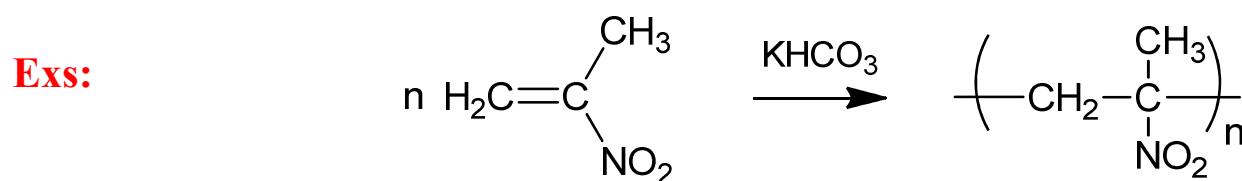
## ANIONIC POLYMERIZATION



### MONOMERS

**Most reactive monomers:** those containing Y substituents that stabilize carbanions (electron withdrawing by induction and/or resonance). **Exs: nitro, cyano, carboxyl, vinyl, phenyl**

The more electron attractor group Y is, the less need for strong bases in the initiation:



## ANIONIC INITIATORS

- ADDITION OF A NEGATIVE ION TO THE MONOMER
- ELECTRON TRANSFER TO THE MONOMER

- INITIATION BY ADDITION OF A NEGATIVE ION TO THE MONOMER

- Organolithium compounds

Exs: Li-CH<sub>3</sub> (LiMe), Li-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>3</sub> (LiBu) (soluble in inert solvents)

- Organometallic compounds of the higher alkali metals (Na, K, Rb,...)

- higher ionic character than those based on Li

- less soluble (generally heterogeneous)

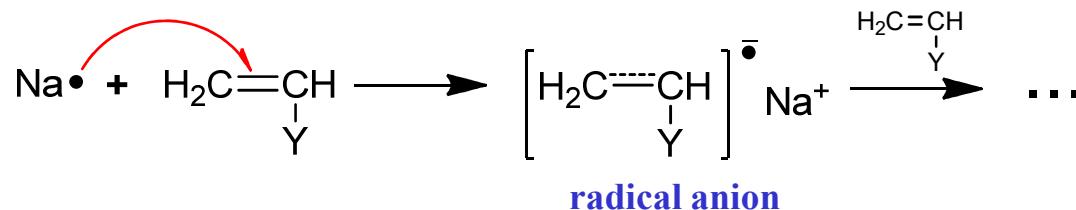
- Organometallic compounds of the alkaline earth metals (Ca, Ba)

- Grignard Reagents (RMgX)

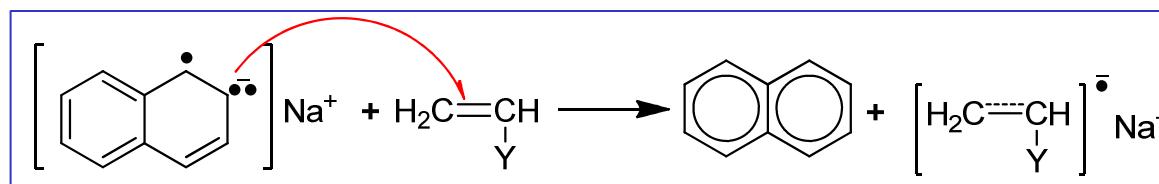
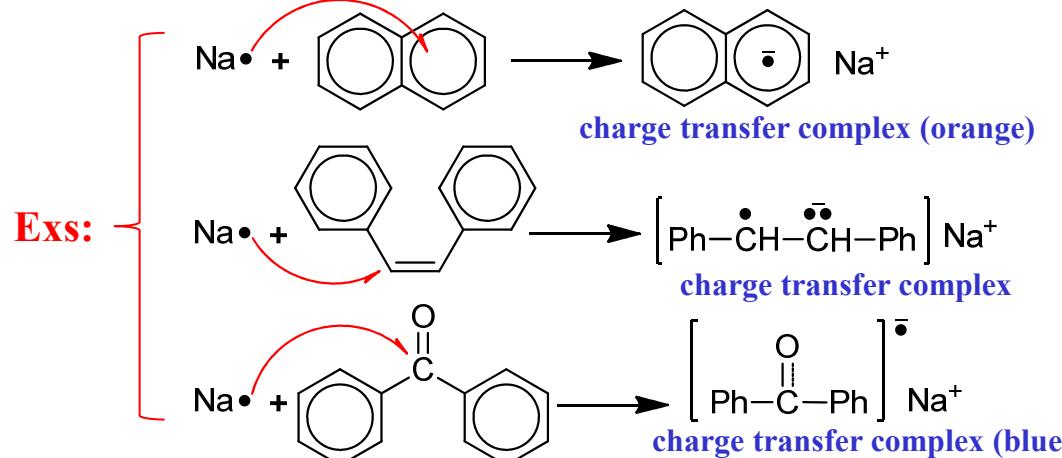
LESS  
USED

## • INITIATION BY ELECTRON TRANSFER TO THE MONOMER

- Alkali Metals
  - in solution (of NH<sub>3</sub> or certain ethers)
  - in suspension (in inert solvents –"sands")
  - supported (in alumina)



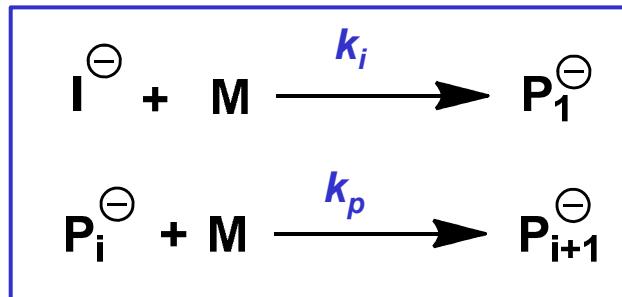
### - Alkali Metal Complexes (soluble in inert solvents)



## MECHANISM AND KINETICS

- INITIATION BY ANIONIC SPECIES

**Exs:** Li-CH<sub>3</sub> (LiMe), Li-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>3</sub> (LiBu)



Normally,  $r_i \gg r_p \longrightarrow$  chains grow all at the same time  $\longrightarrow [P_i^-]_o = [I]_o$

$$r_p = -\frac{d[M]}{dt} = k_p [I]_o [M]$$

$$[M] = [M]_o e^{-k_p [I]_o t}$$

$$\overline{DP}_n = \frac{\bar{M}_n}{M_{RU}}$$

$$\overline{DP}_n = \bar{x} = \frac{[M]_o - [M]}{[I]_o} = \frac{p[M]_o}{[I]_o}$$

$\overline{DP}_n$  = degree of polymerization

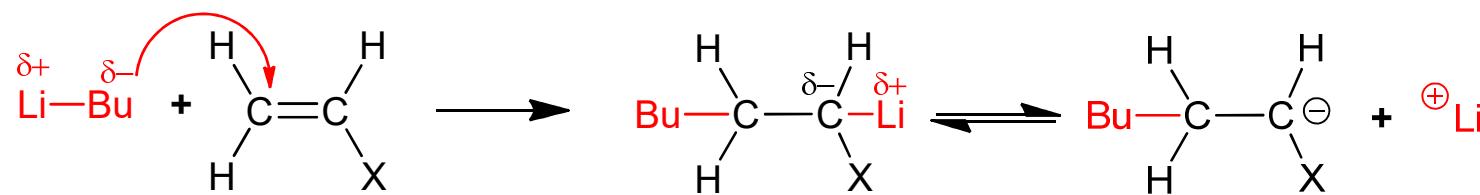
If the monomer is **totally consumed** [ $p$  = conversion = 1 (i.e. 100%)]:

$$\overline{DP}_n = \frac{[M]_o}{[I]_o}$$

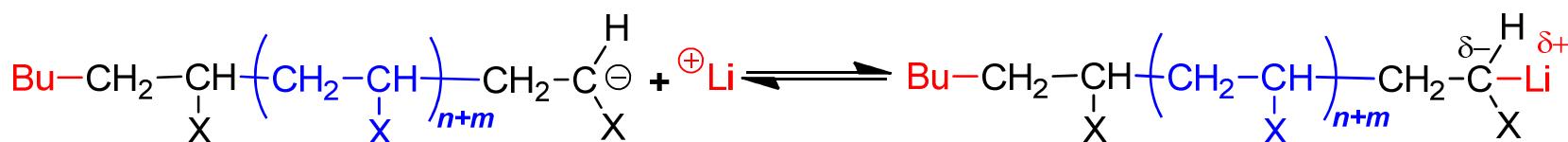
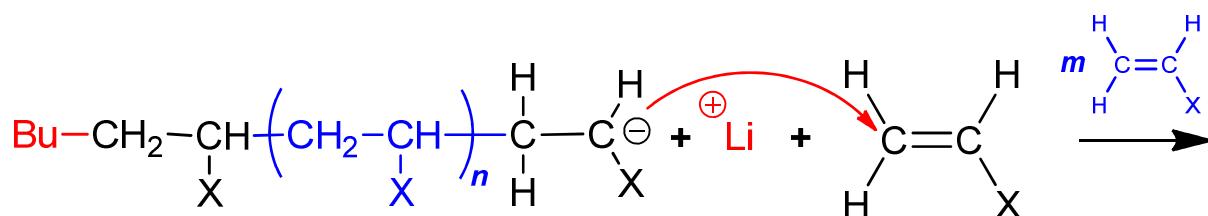
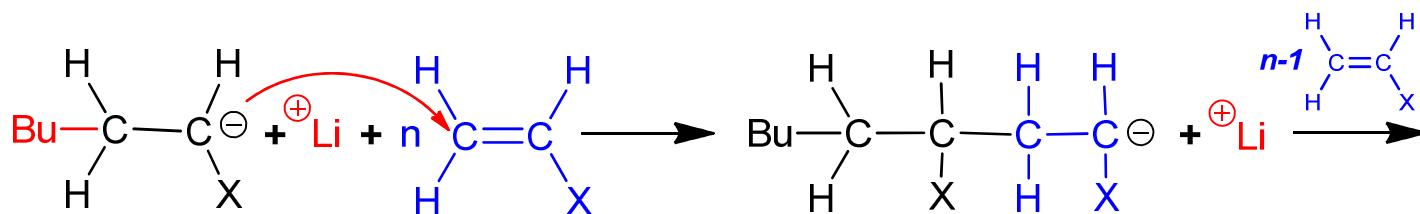
$$\frac{\bar{M}_w}{\bar{M}_n} = 1 + \frac{1}{\overline{DP}_n}$$

Poisson distribution

• Initiation



• Propagation



**“dormant” species**  
**living polymer**

- If there are no transfer agents in the reaction medium (including impurities in the solvent):



### LIVING POLYMERIZATION

because there is **no chain termination**

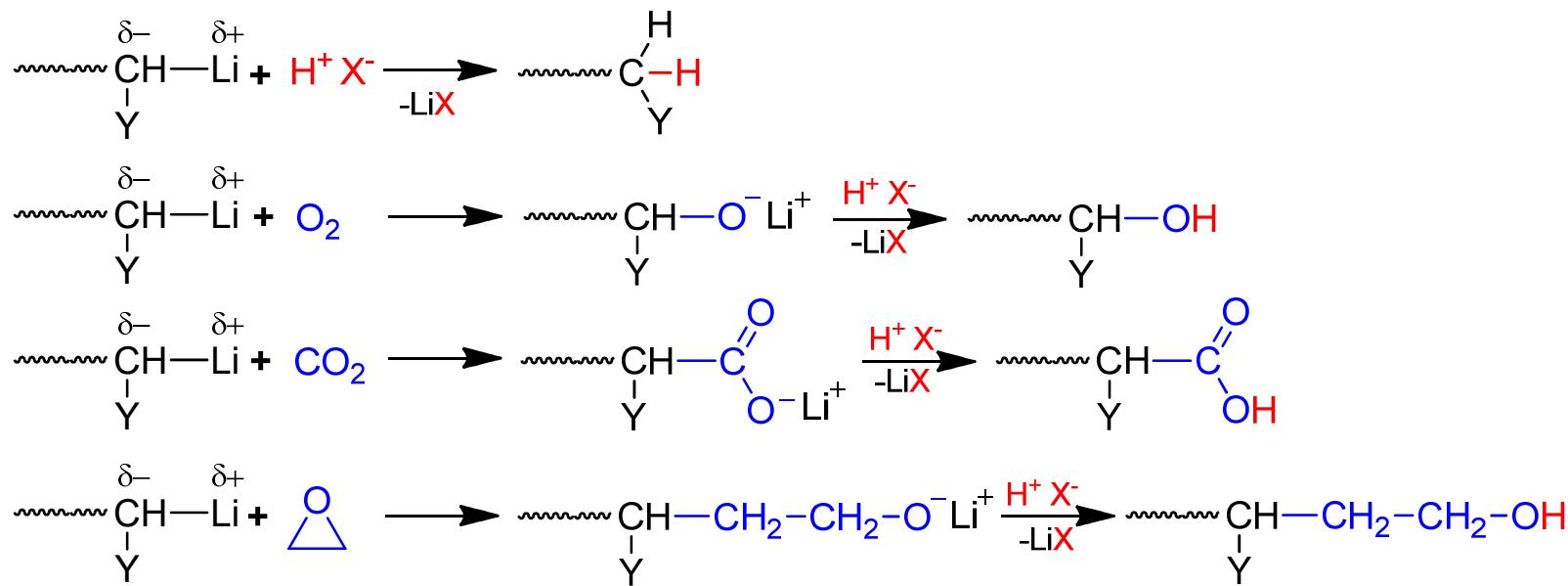


Polymers have a narrow molecular weight distribution i.e.  $M_n/M_w \approx 1$

(e.g. GPC/SEC standards)

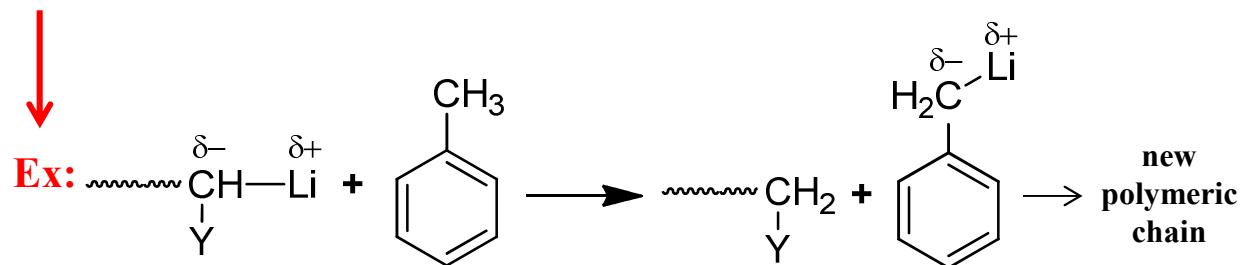
- If there is no termination, the chain end is living and can be used for:

- chain end functionalization
- block copolymerization



- Easy control of the molecular weight:

- Routes**
- Stoichiometry ( $\overline{DP}_n = \frac{[M]_0}{[I]_0}$ )
  - Termination at time  $t$  with addition of a terminating agent
  - Addition of a chain transfer agent → to decrease  $M_n$



- The rate of propagation can be influenced by the degree of association between anion and cation, which depends strongly on the SOLVENT:

- Non-coordinating and weakly polar solvents (e.g. hydrocarbons)

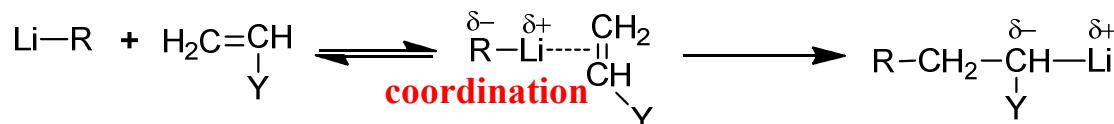
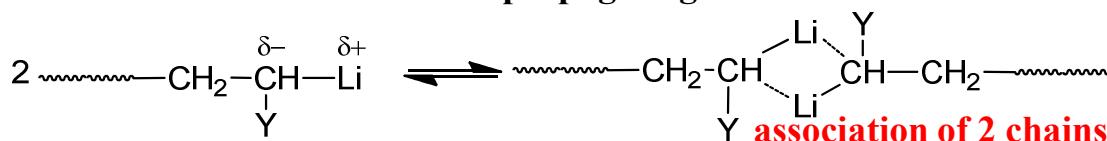


Higher degree of association of the initiator → Lower polymerization rate ( $r_p$ )

- Association of the initiator:



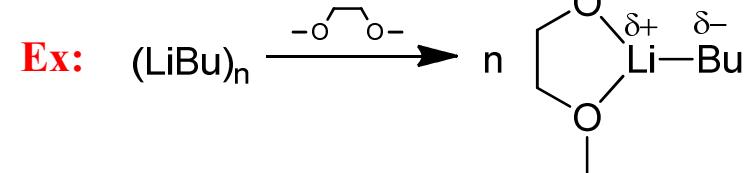
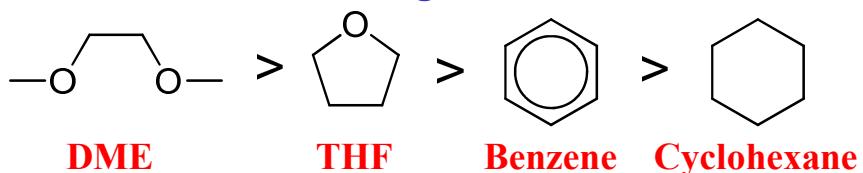
- Association of the propagating chain:



- More coordinating and/or more polar solvents (e.g. ethers)



Lower degree of association → Higher polymerization rate ( $r_p$ )  
and higher solvation



**TABLE 7.4.** Representative Anionic Propagation Rate Constants,  $k_p$ , for Polystyrene<sup>a</sup>

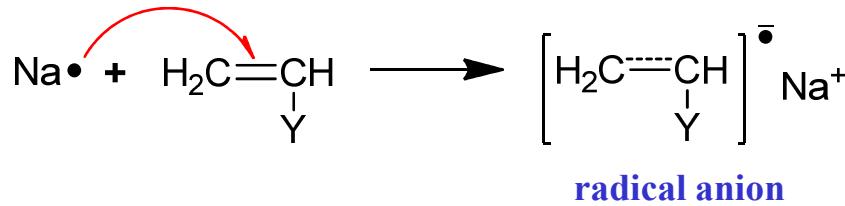
<i>Counterion</i>	<i>Solvent</i>	$k_p$ ( $L/mol\ s$ ) <sup>b</sup>
$\text{Na}^+$	Tetrahydrofuran	80
$\text{Na}^+$	1,2-Dimethoxyethane	3600
$\text{Li}^+$	Tetrahydrofuran	160
$\text{Li}^+$	Benzene	$10^{-3}\text{--}10^{-1}$ c
$\text{Li}^+$	Cyclohexane	$(5\text{--}100)\times10^{-5}$ c

<sup>a</sup>Data from Morton.<sup>30</sup>

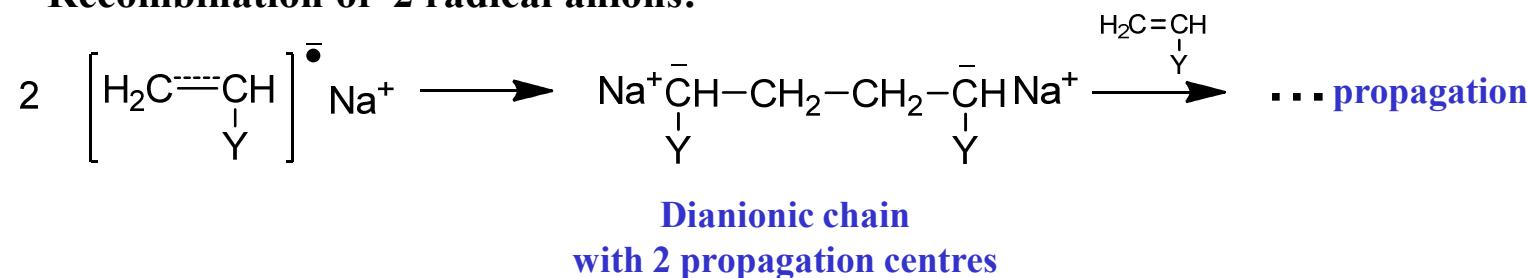
<sup>b</sup>At 25°C unless otherwise noted.

<sup>c</sup>Variable temperature.

• INITIATION BY ELECTRON TRANSFER



Recombination of 2 radical anions:



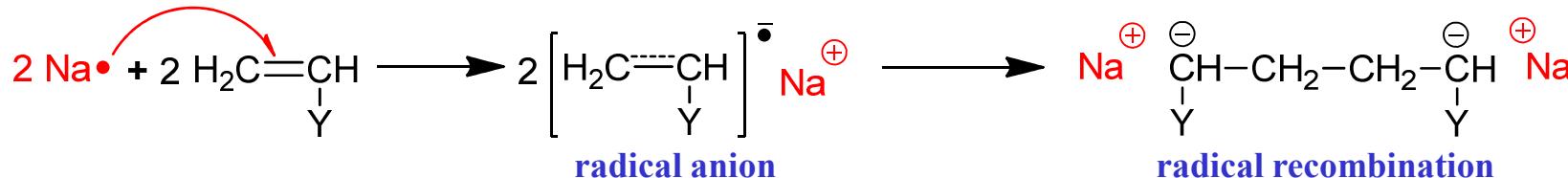
TELECHELIC POLYMERIZATION



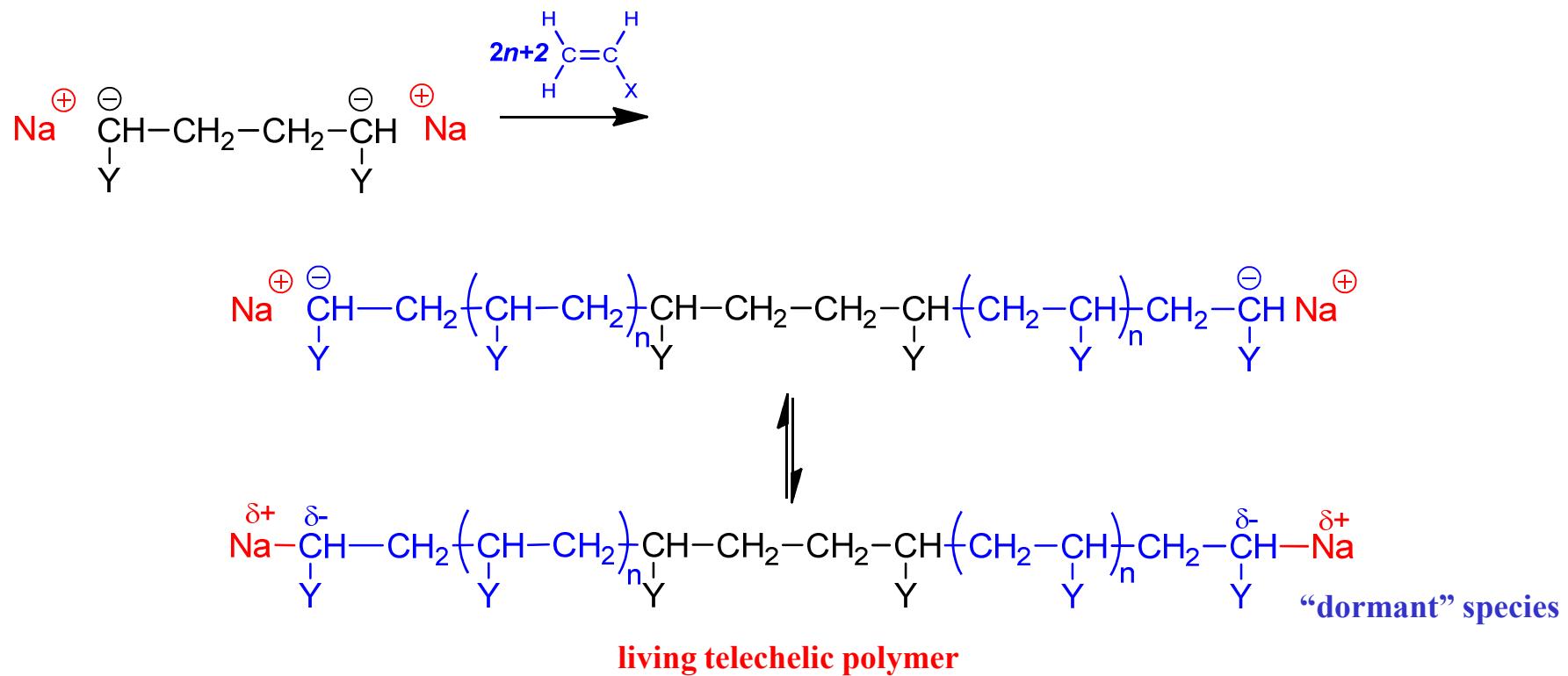
$$\overline{DP}_n = \bar{x} = 2 \frac{[\text{M}]_0 - [\text{M}]}{[\text{I}]_0}$$

average kinetic chain length

• Initiation

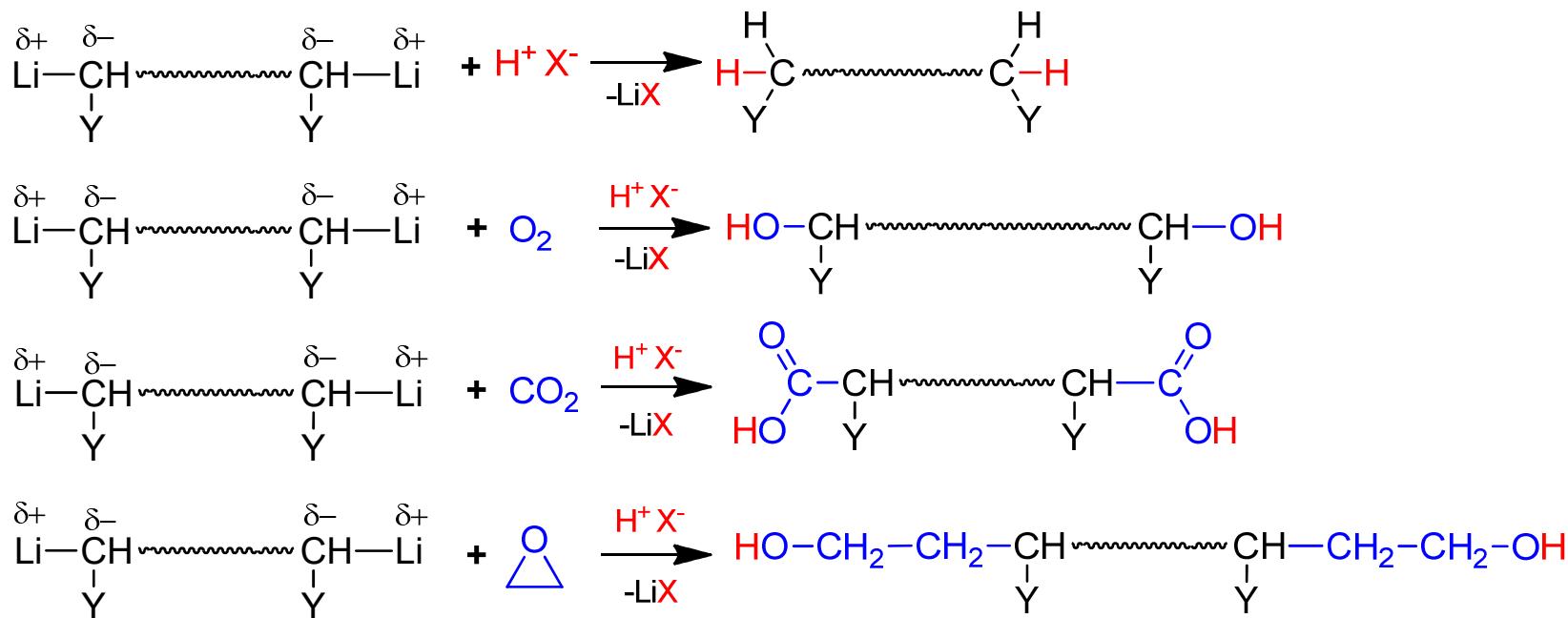


• Propagation

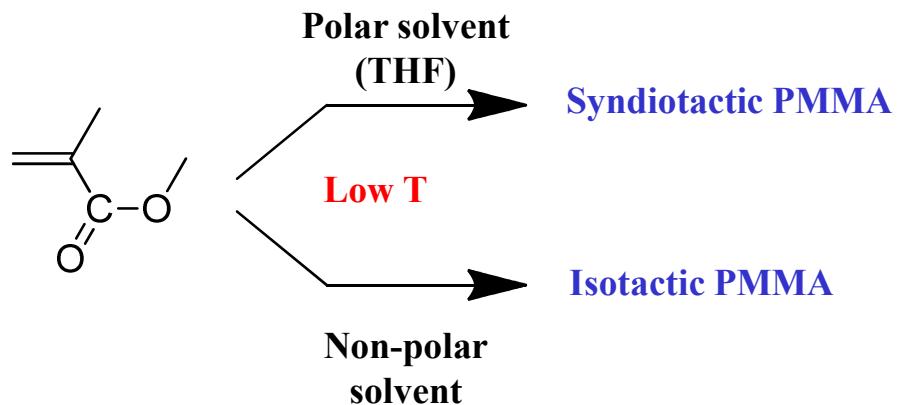
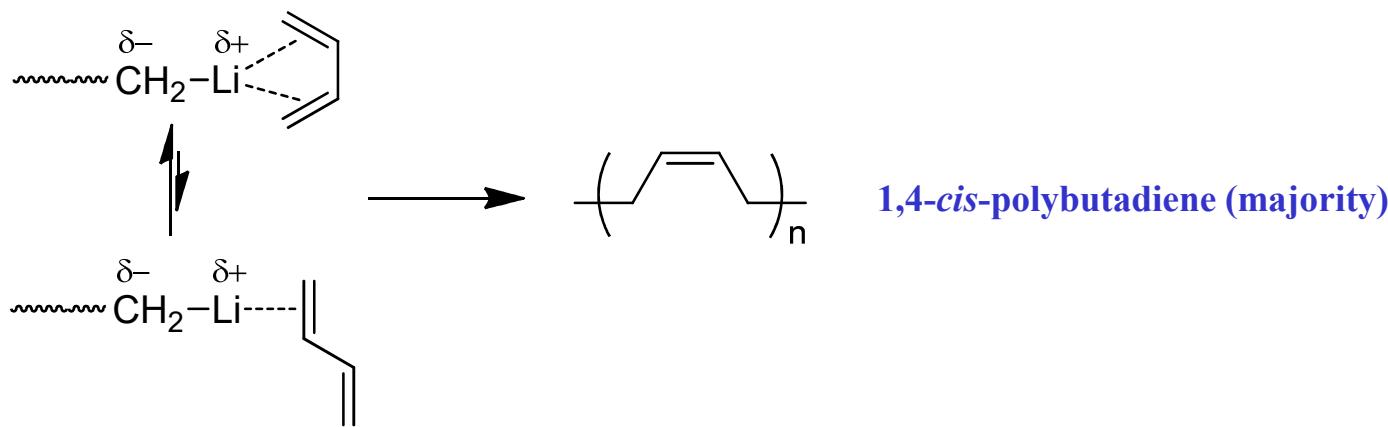
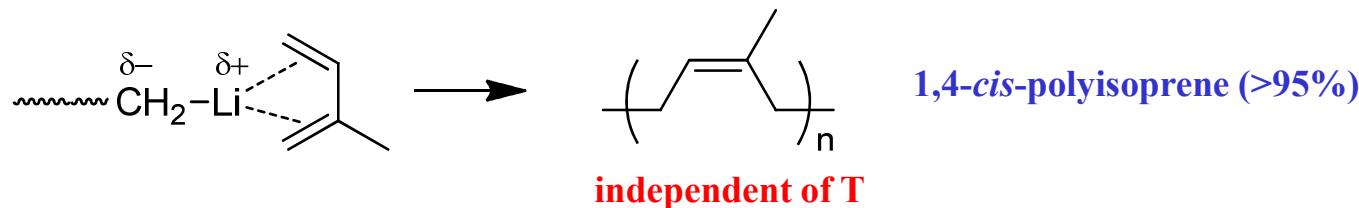


Telechelic chain growth (chain growth at both ends)

- Functionalization of both chain ends:



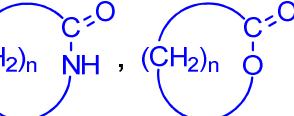
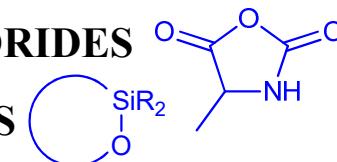
## STEREOCHEMISTRY OF PROPAGATION



The Li<sup>+</sup> counter-cation always assists the chain growth by bonding covalently to the chain end, protecting it and avoiding chain termination or chain transfer reactions

## ANIONIC RING OPENING POLYMERIZATION

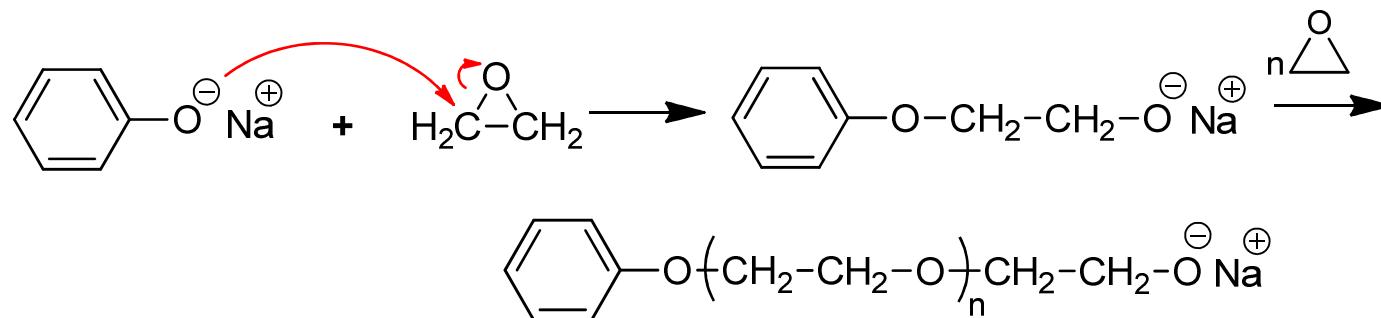
### 4 Types of Monomers

- EPOXIDES 
- CYCLIC LACTAMS AND LACTONES 
- N-CARBOXYANHYDRIDES 
- CYCLIC SILOXANES 

Some examples have already been given in the Step-Growth Polymerization

#### • EPOXIDES

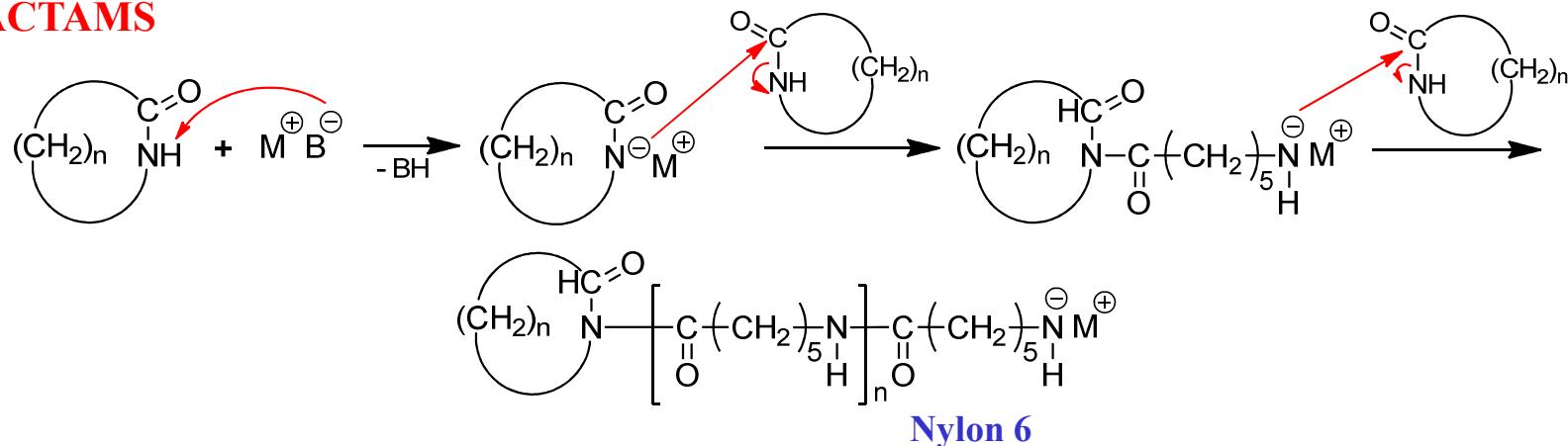
**Ex:**



Poly(ethylene oxide) (PEO)

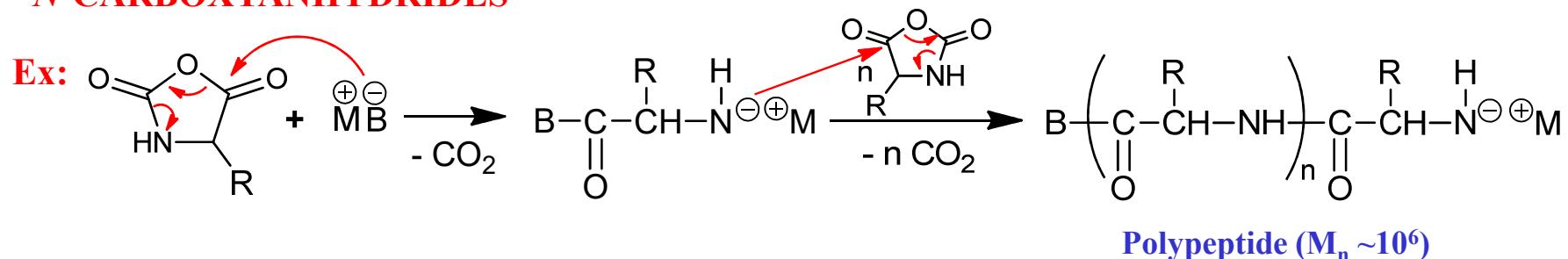
• LACTAMS

Ex:



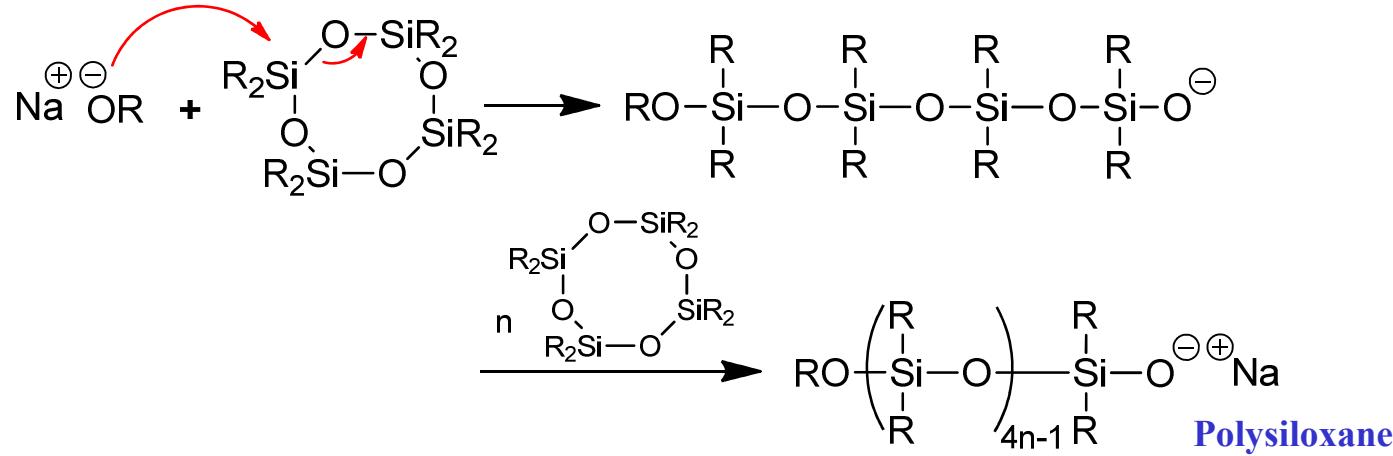
• N-CARBOXYANHYDRIDES

Ex:



• CYCLIC SILOXANES

Ex:



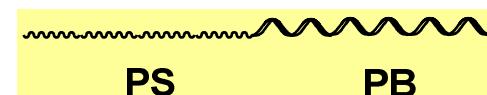
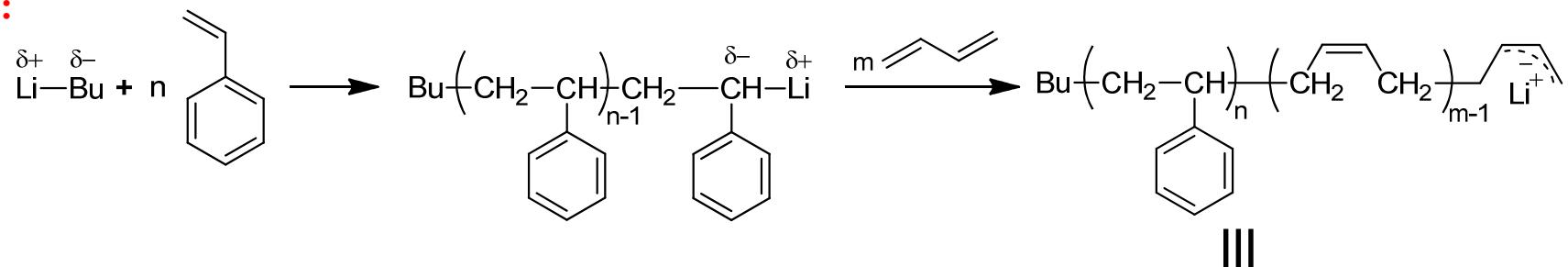
## ANIONIC COPOLYMERIZATION

- **BLOCK COPOLYMERIZATION (COMONOMERS ADDED SEQUENTIALLY)**

Owing to the living nature of anionic polymerization (absence of chain transfer and chain termination reactions) and easy control of molecular weight, this technique is very much used in block copolymerization

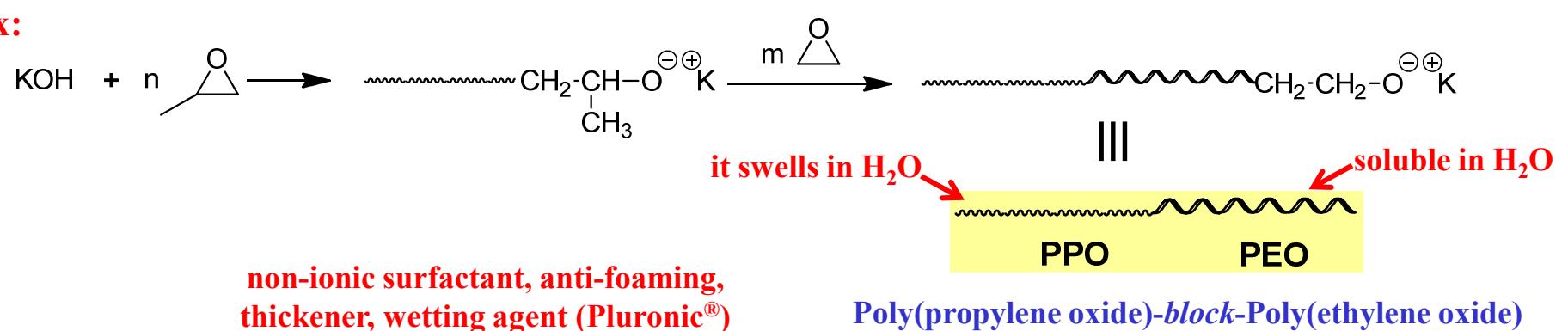
- From mononegative chains

**Ex:**

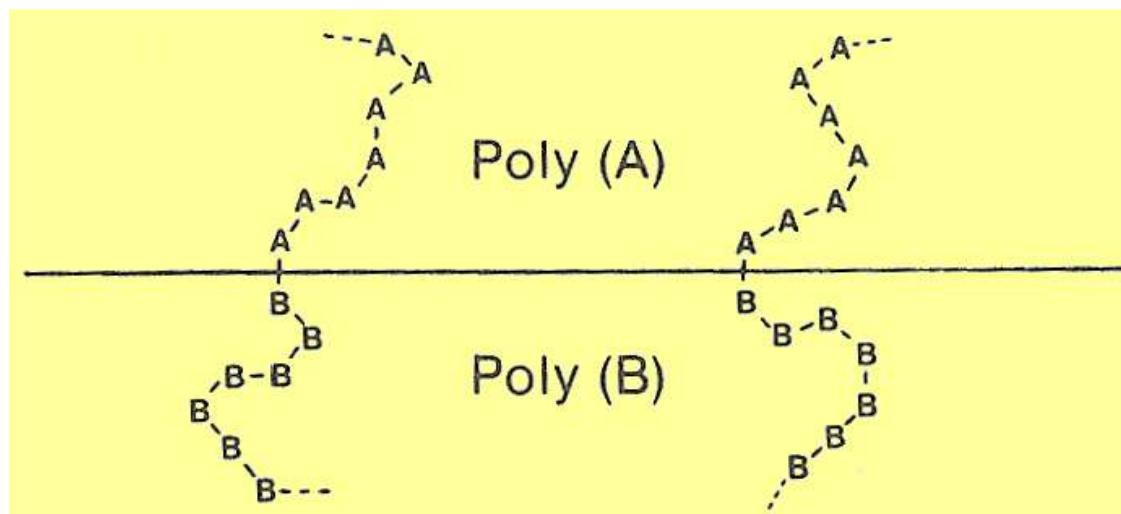


**Polystyrene-block-1,4-cis-Polybutadiene**

**Ex:**



## COMPATIBILIZERS OF IMMISCIBLE POLYMER BLENDS



**AB BLOCK COPOLYMERS ARE COMPATIBILIZERS**

Example: commercial SB (polystyrene-*b*-polybutadiene)

Blends of polybutadiene and polystyrene are immiscible

AB copolymers improve the adhesion between phases and compatibilize them

**Sequential polymerization of different monomers (with total monomer consumption) is possible due to the living nature of the polymeric chain end. The preparation of the following types of block copolymers can be performed:**

~~~~AAAAAABBBBB~~~~

**DIBLOCK AB TYPE**

~~~~AAAAAABBBB~~~~BBBBCCCC~~~~

**TRIBLOCK ABC TYPE**

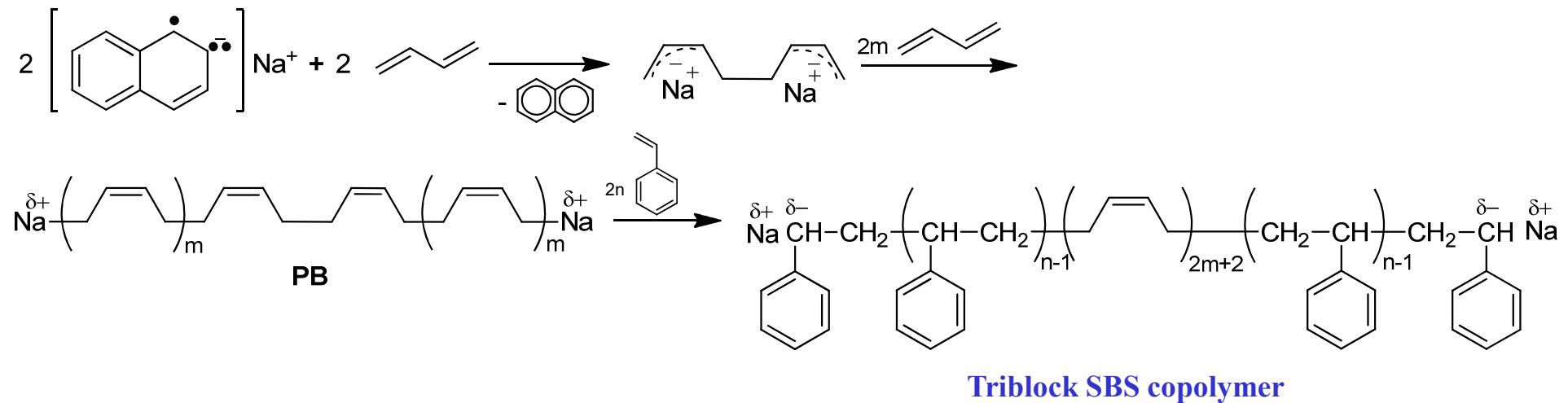
~~~~AAAAAABBBB~~~~BBBBAAAAAA~~~~

**TRIBLOCK ABA TYPE**

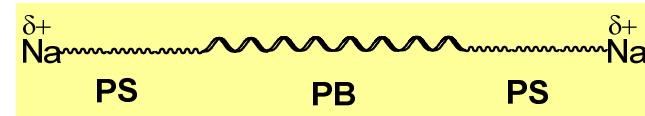
[~~~~AAAAAABBBBBB~~~~]<sub>n</sub>

**MULTIBLOCK {AB} TYPE**

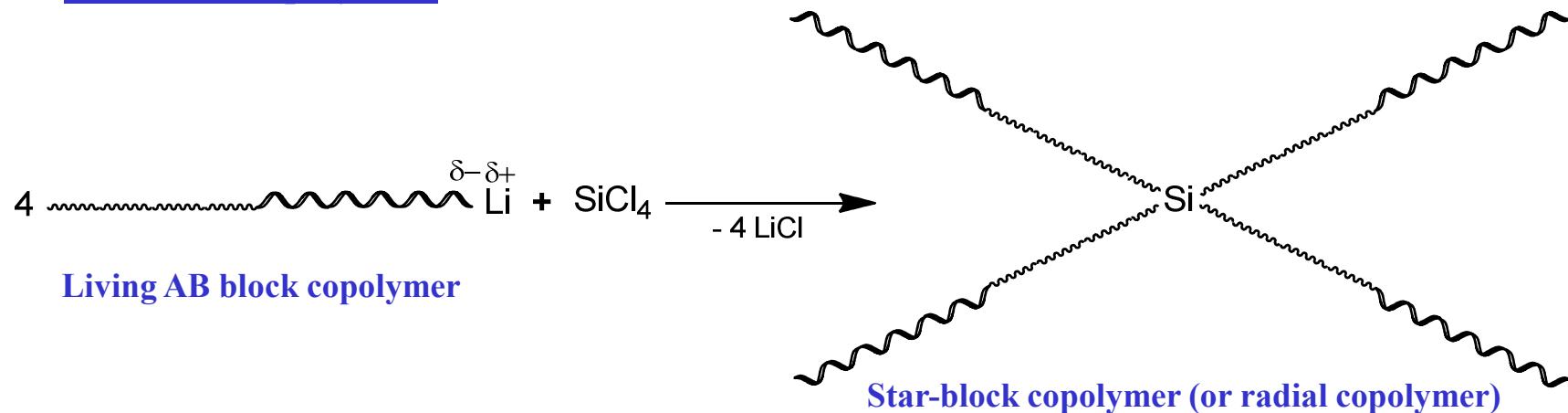
- From dinegative chains



The ABA copolymers are thus obtained in 2 steps whereas from mononegative initiators is obtained in 3 steps

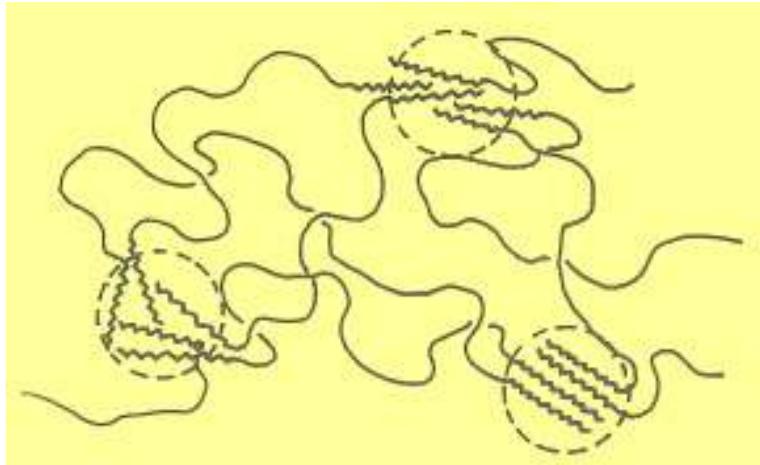


- Star-block copolymers



When melted, star-block copolymers exhibit lower viscosities, even when  $M_n$  are high

## THERMOPLASTIC ELASTOMERS (TPE)



**ABA BLOCK COPOLYMERS ARE THERMOPLASTIC ELASTOMERS**  
(A= rigid polymer; B= flexible polymer)

**Example:** commercial **SBS (polystyrene-*b*-polybutadiene-*b*-polystyrene)**

- PB blocks – ca.  $M_n = 50000 - 70000$
- PS blocks – ca.  $M_n = 10000 - 15000$

Semicrystalline blocks at the ends (S) tend to aggregate in microdomains,  
whereas amorphous central blocks (B) form the matrix

Aggregation ≡ elastic behaviour ≡ physical crosslinks

• NORMAL COPOLYMERIZATION (COMONOMERS MIXED IN THE FEED)

Relatively few reactivity ratios have been determined for anionic “normal” copolymerization

**TABLE 7.5.** Representative Anionic Reactivity Ratios ( $r$ )<sup>a</sup>

| Monomer 1           | Monomer 2           | Initiator <sup>b</sup> | Solvent <sup>c</sup> | Temperature <sup>d</sup><br>(°C) | $r_1$ | $r_2$ |
|---------------------|---------------------|------------------------|----------------------|----------------------------------|-------|-------|
| Styrene             | Methyl methacrylate | Na                     | NH <sub>3</sub>      |                                  | 0.12  | 6.4   |
|                     |                     | <i>n</i> -BuLi         | None                 |                                  | e     | e     |
|                     | Butadiene           | <i>n</i> -BuLi         | None                 | 25                               | 0.04  | 11.2  |
|                     |                     | <i>n</i> -BuLi         | Hexane               | 25                               | 0.03  | 12.5  |
|                     |                     | <i>n</i> -BuLi         | Hexane               | 50                               | 0.04  | 11.8  |
|                     |                     | <i>n</i> -BuLi         | THF                  | 25                               | 4.0   | 0.3   |
|                     |                     | <i>n</i> -BuLi         | THF                  | -78                              | 11.0  | 0.4   |
|                     | Isoprene            | EtNa                   | Benzene              |                                  | 0.96  | 1.6   |
|                     |                     | <i>n</i> -BuLi         | Cyclohexane          | 40                               | 0.046 | 16.6  |
|                     |                     | RLi                    | None                 |                                  | 0.12  | 12.5  |
| Butadiene           | Vinyl acetate       | Na                     | NH <sub>3</sub>      |                                  | 0.01  | 0.01  |
|                     | Isoprene            | <i>n</i> -BuLi         | Hexane               | 50                               | 3.38  | 0.47  |
|                     | Methyl methacrylate | NaNH <sub>2</sub>      | NH <sub>3</sub>      |                                  | 0.25  | 7.9   |
| Methyl methacrylate | Acrylonitrile       | RLi                    | None                 |                                  | 0.34  | 6.7   |
|                     | Vinyl acetate       | NaNH <sub>2</sub>      | NH <sub>3</sub>      |                                  | 3.2   | 0.4   |

<sup>a</sup>Data from Morton.<sup>30</sup>

<sup>b</sup>Bu = butyl, Et = ethyl, R = alkyl.

<sup>c</sup>THF = tetrahydrofuran.

<sup>d</sup>Temperature not specified in some instances.

<sup>e</sup>No detectable styrene in polymer.

## POLYMER ARCHITECTURES ACCESSIBLE BY LIVING POLYMERIZATION



Monofunctionalized polymers

- Dispersing agents
- Synthesis of macromonomers



Difunctionalized polymers

- Synthesis of elastomers
- Crosslinking agents



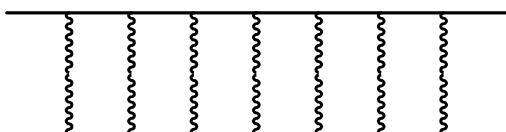
AB block copolymers

- Dispersing agents
- Compatibilizers of polymer blends



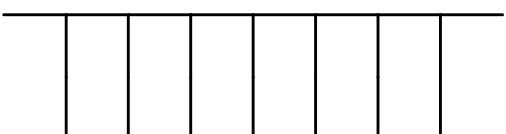
ABA block copolymers

- Thermoplastic elastomers (TPE)



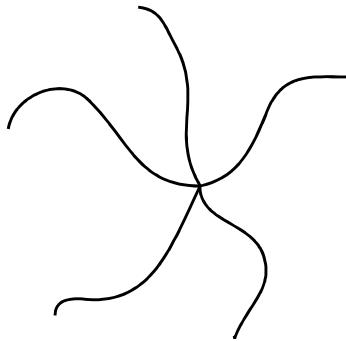
Graft copolymers

- Elastomers
- Adhesives



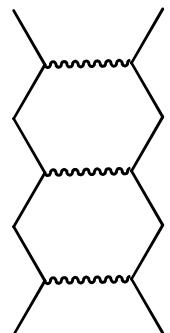
Comb polymers

- Elastomers
- Adhesives



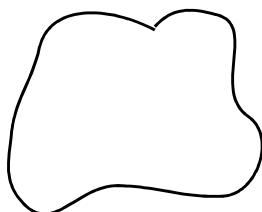
Star  
polymers/  
copolymers

- Rheology control



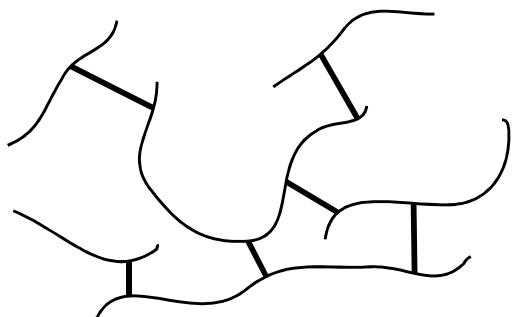
Ladder  
polymers/  
copolymers

- High temperature plastics
- Membranes
- Elastomers



Cyclic  
polymers

- Rheology control



Amphiphilic  
networks

- Biocompatible polymers

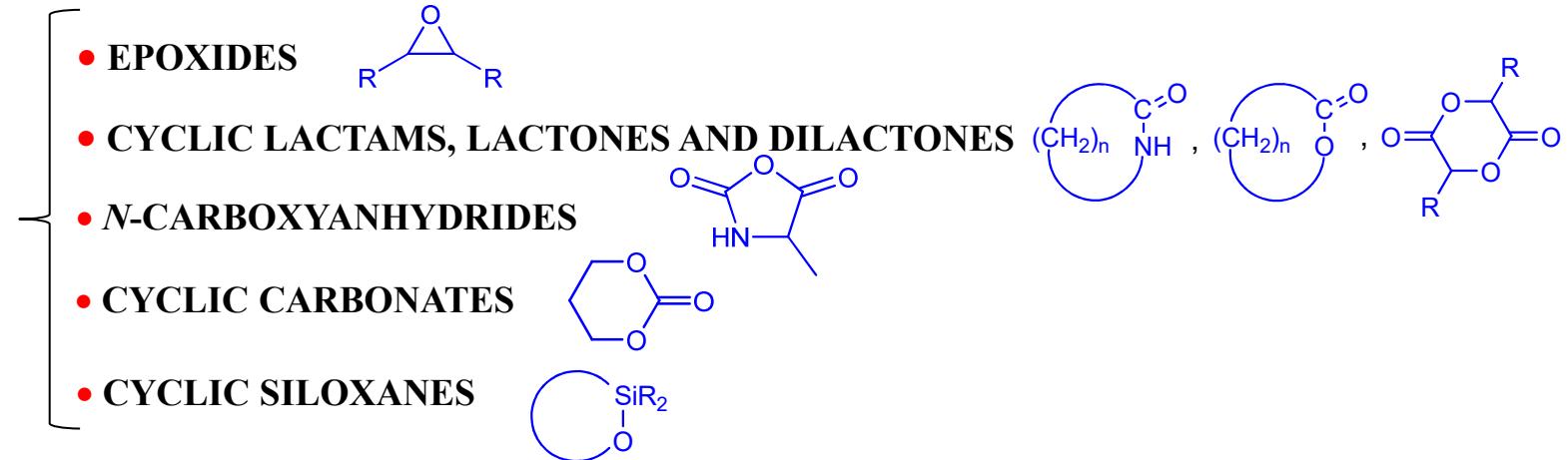
# **Metal-Catalyzed Polymerization**

## **(Coordination Polymerization)**

- *Polymerization of Olefins (Insertion) ✓ Prof. Barbara Milani*
- *Polymerization of Dienes (Insertion)*
- *Polymerization of Alkynes*
- *Ring Opening Metathesis Polymerization (ROMP)*
- *Classical Anionic Polymerization*
- ***Ring Opening Polymerization (ROP)***
- *Metal-mediated Radical Polymerization*

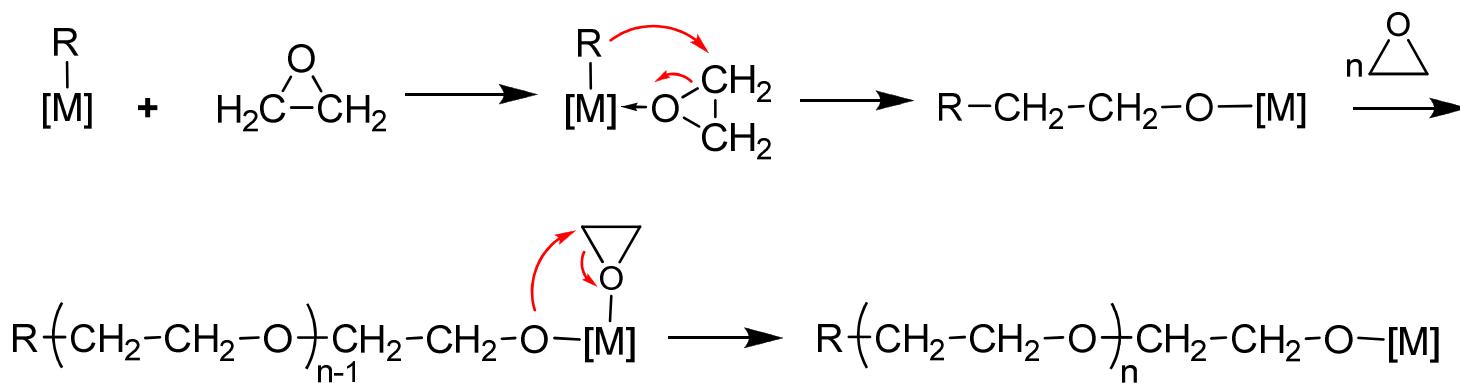
## RING-OPENING POLYMERIZATION (ROP)

**Types of Monomers  
(heterocyclic rings)**



### • EPOXIDES

**Ex:**



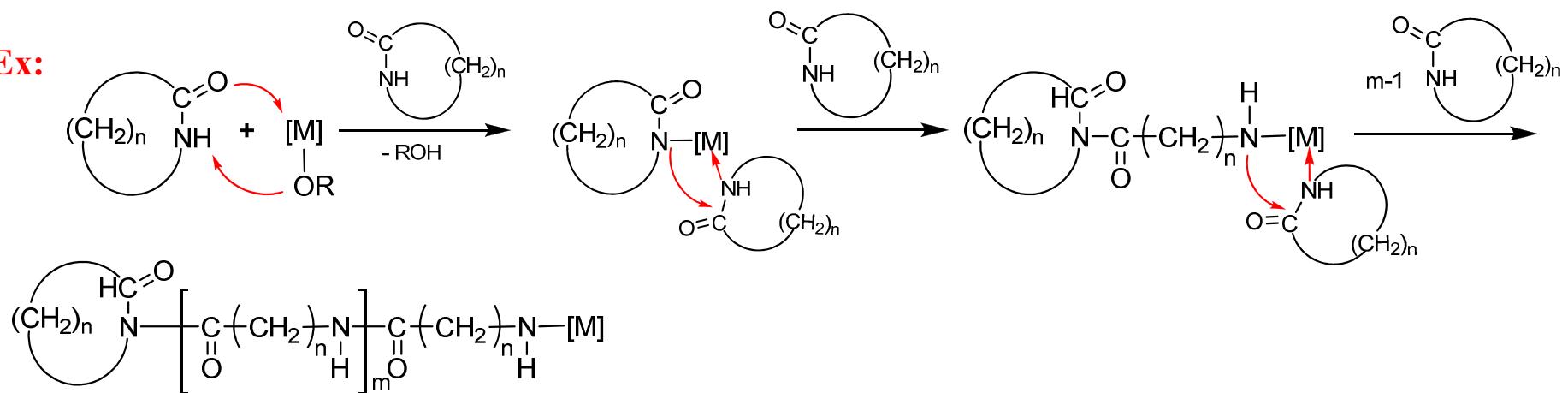
Polyethers [Poly(ethylene oxide) (PEO)]

## Main catalytic systems used for the coordination ROP of epoxides

| Monomer                                                                              | Catalysts                                                                                                                                                    |
|--------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Methyloxirane                                                                        | FeCl <sub>3</sub> /POx, ZnEt <sub>2</sub> /H <sub>2</sub> O, AlEt <sub>3</sub> /H <sub>2</sub> O/pyridine, and others                                        |
| Phenyloxirane                                                                        | ZnEt <sub>2</sub> (H <sub>2</sub> O)                                                                                                                         |
| (Haloalkyl)oxiranes (e.g., ECH)                                                      | FeCl <sub>3</sub> /POx, AlEt <sub>3</sub> /H <sub>2</sub> O(/pyridine)                                                                                       |
| Oxiranes substituted with acetal groups                                              | ZnEt <sub>2</sub> /MeOH, ZnEt <sub>2</sub> /cyclohexanol                                                                                                     |
| Oxiranes substituted with ester groups                                               | AlEt <sub>3</sub> /H <sub>2</sub> O/acetylacetone                                                                                                            |
| Oxiranes substituted with organosilane or organosiloxane                             | ZnEt <sub>2</sub> /H <sub>2</sub> O                                                                                                                          |
| Oxiranes substituted with nitrile                                                    | Al( <i>i</i> -Bu) <sub>3</sub> /H <sub>2</sub> O/acetylacetone                                                                                               |
| 2,3-Dimethyloxirane                                                                  | Al( <i>i</i> -Bu) <sub>3</sub> /H <sub>2</sub> O, ZnEt <sub>2</sub> /H <sub>2</sub> O                                                                        |
| bis(Chloromethyl)oxirane                                                             | Al( <i>i</i> -Bu) <sub>3</sub> /H <sub>2</sub> O                                                                                                             |
| 1,2-Epoxyhexane                                                                      | ZnEt <sub>2</sub> , (EtZnOMe) <sub>4</sub> , Al( <i>i</i> -Bu) <sub>3</sub> /H <sub>2</sub> O, AlEt <sub>3</sub> /H <sub>2</sub> O/acetylacetone, and others |
| Others (ethyl, <i>tert</i> -butyl, neopentyl, allyl amines, sulfones, ether, amides) | ZnEt <sub>2</sub> /H <sub>2</sub> O                                                                                                                          |

## • LACTAMS

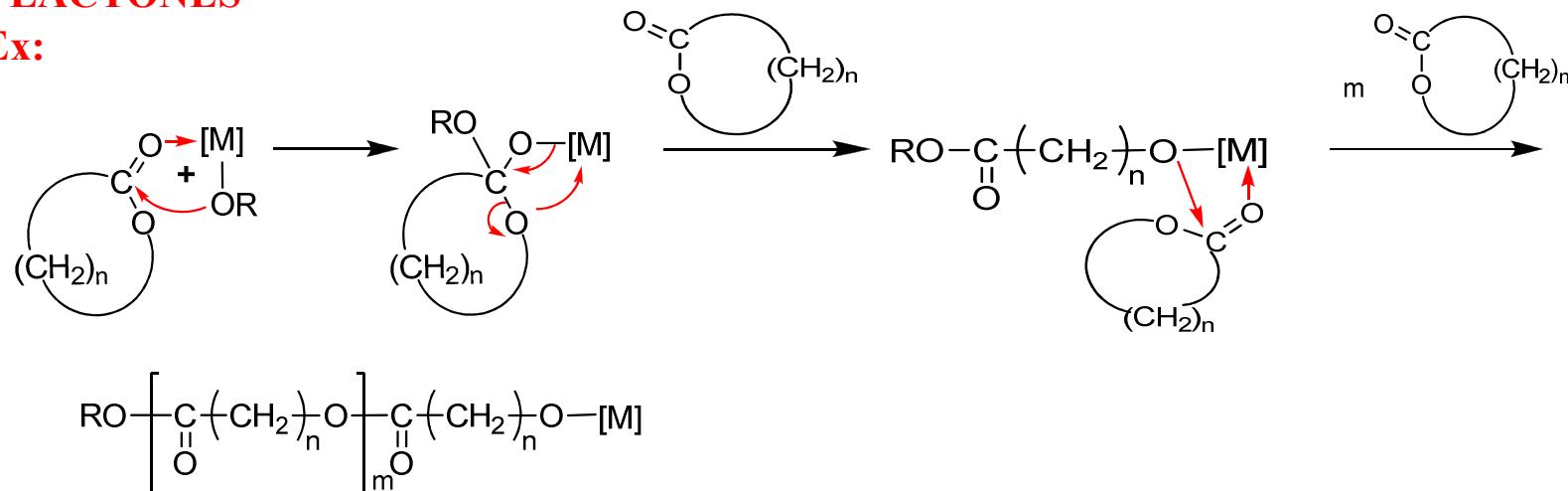
**Ex:**



Polyamides [nylon 6 or poly( $\epsilon$ -caprolactam) ( $n=5$ )]

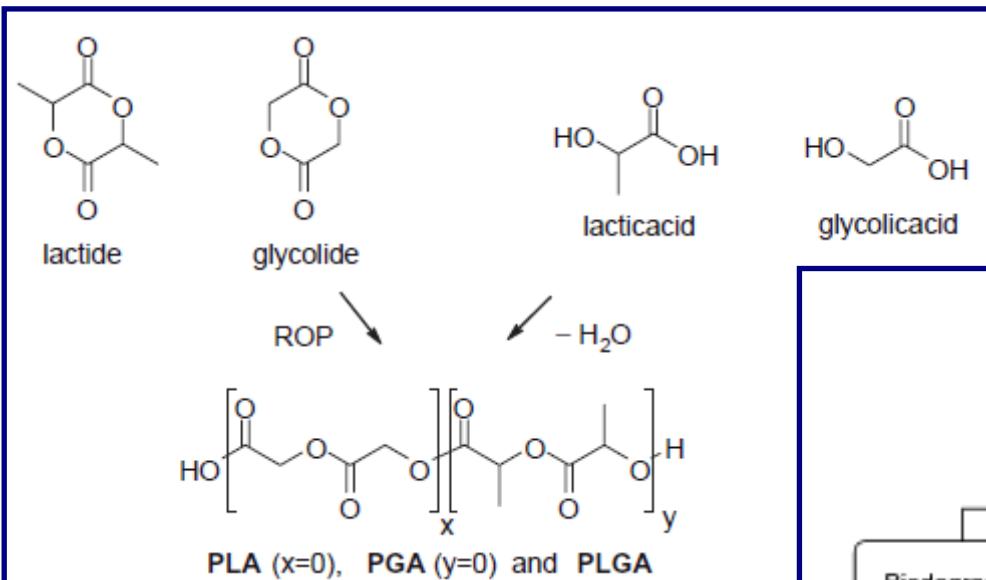
## • LACTONES

**Ex:**



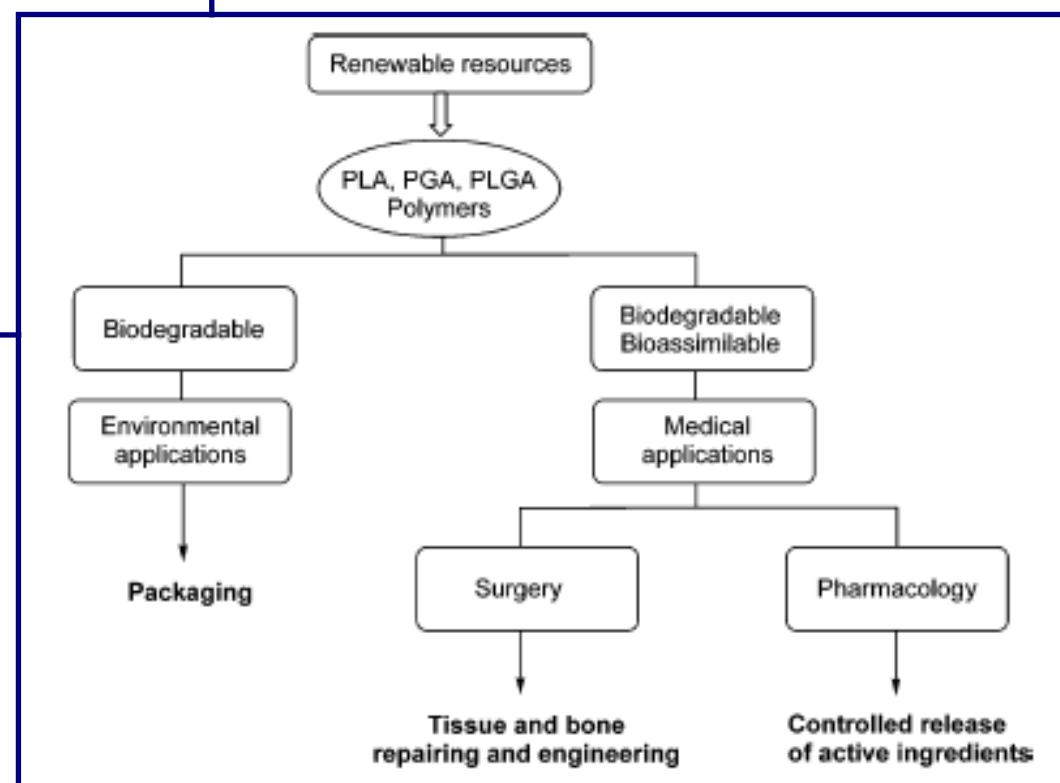
Polyesters [poly( $\epsilon$ -caprolactone)] ( $n=5$ )

## • DILACTONES

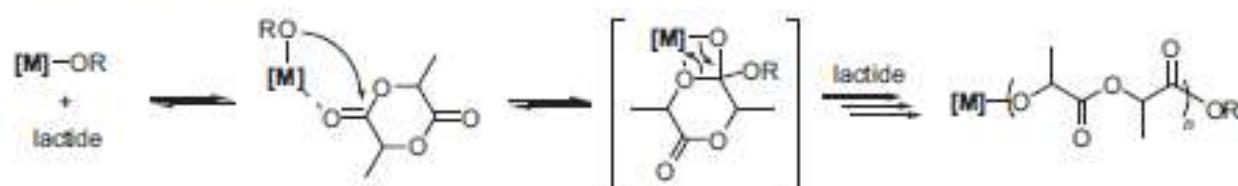


## Monomers, polymers and copolymers

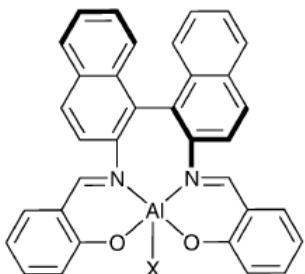
## Polyesters



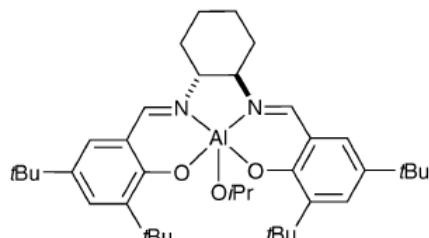
#### "Coordination n-Insertion" ROP mechanism



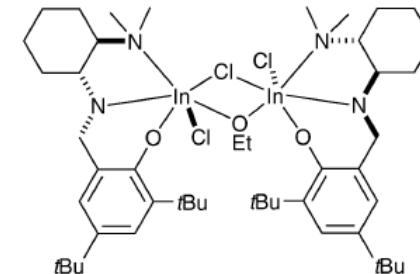
## Catalysts used for coordination ROP of lactide



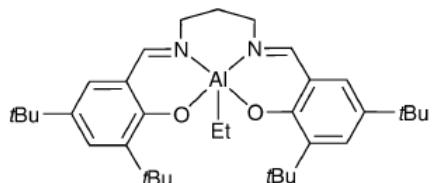
**1a:** X = O*i*Pr  
**1b:** X = OMe



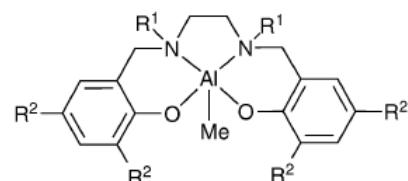
**2**



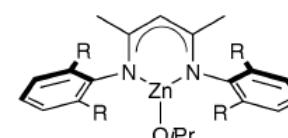
**5**



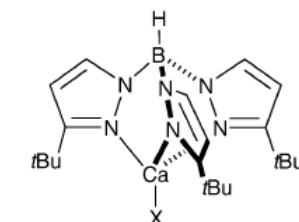
**3**



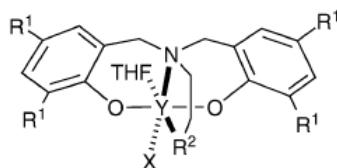
**4a:** R<sup>1</sup> = Me, R<sup>2</sup> = H  
**4b:** R<sup>1</sup> = CH<sub>2</sub>Ph, R<sup>2</sup> = H  
**4c:** R<sup>1</sup> = CH<sub>2</sub>Ph, R<sup>2</sup> = Cl  
**4d:** R<sup>1</sup> = Me, R<sup>2</sup> = Me



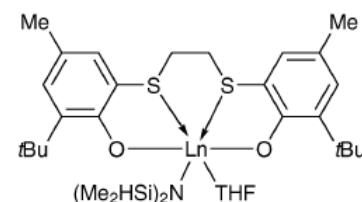
**6a:** R = Et  
**6b:** R = *n*Pr  
**6c:** R = *i*Pr



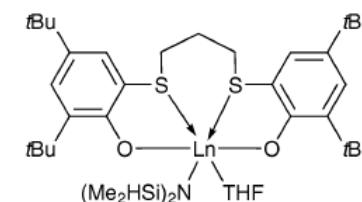
**7a:** X = N(SiMe<sub>3</sub>)<sub>2</sub>  
**7b:** X = OC<sub>6</sub>H<sub>3</sub>-2,6-*i*Pr<sub>2</sub>



**9a:** R<sup>1</sup> = CMe<sub>3</sub>; R<sup>2</sup> = OMe; X = N(SiHMe<sub>2</sub>)<sub>2</sub>  
**9b:** R<sup>1</sup> = CPhMe<sub>2</sub>; R<sup>2</sup> = OMe; X = N(SiHMe<sub>2</sub>)<sub>2</sub>  
**9c:** R<sup>1</sup> = CPhMe<sub>2</sub>; R<sup>2</sup> = OMe; X = O*i*Pr  
**9d:** R<sup>1</sup> = Me; R<sup>2</sup> = OMe; X = N(SiMe<sub>3</sub>)<sub>2</sub>  
**9e:** R<sup>1</sup> = CMe<sub>3</sub>; R<sup>2</sup> = NMe<sub>2</sub>; X = CH<sub>2</sub>SiMe<sub>3</sub>  
**9f:** R<sup>1</sup> = CMe<sub>3</sub>; R<sup>2</sup> = NEt<sub>2</sub>; X = CH<sub>2</sub>SiMe<sub>3</sub>

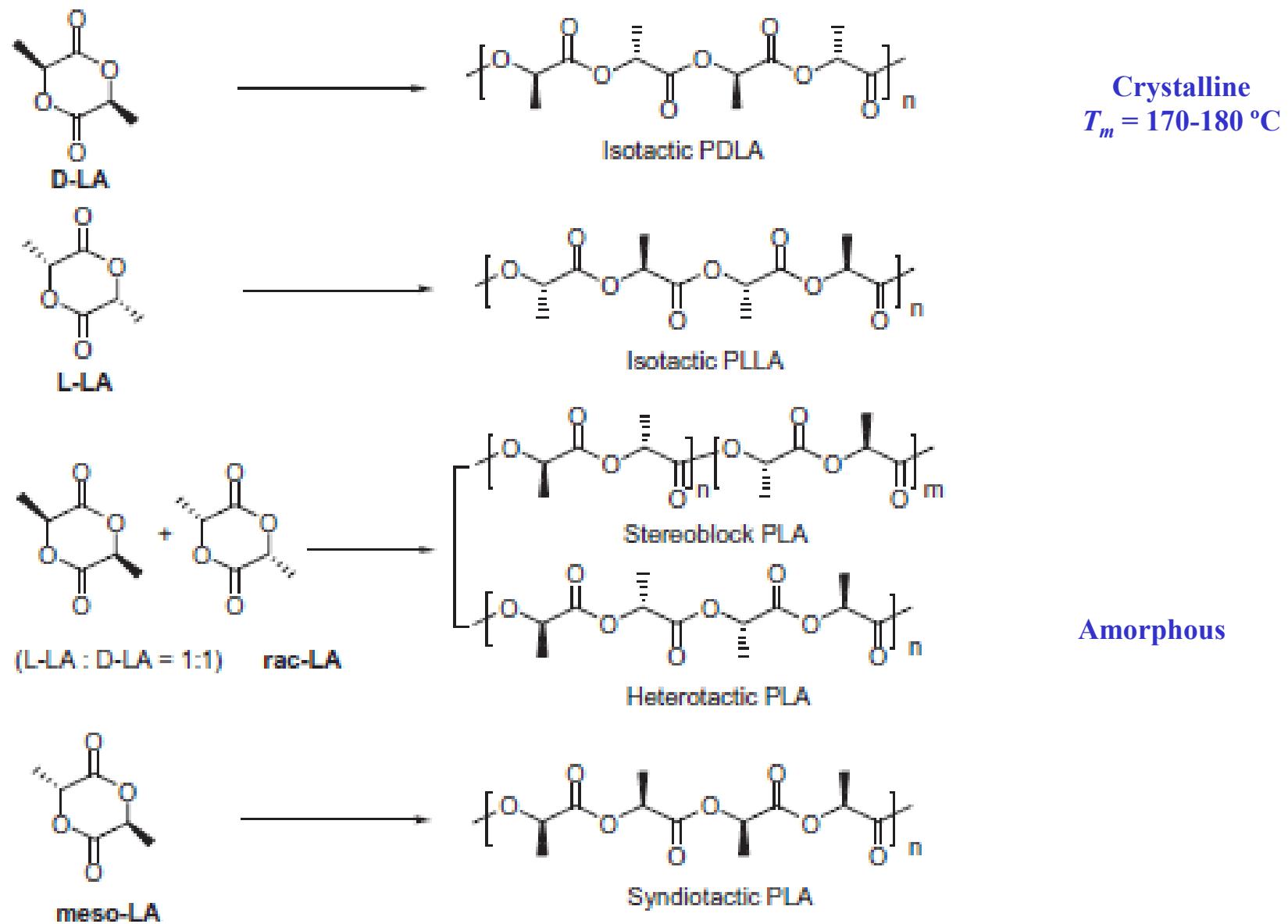


**10a:** Ln = Sc  
**10b:** Ln = Lu  
**10c:** Ln = Y

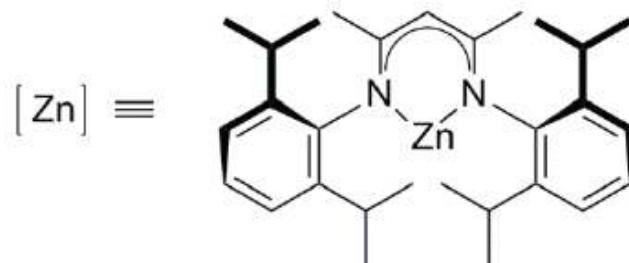
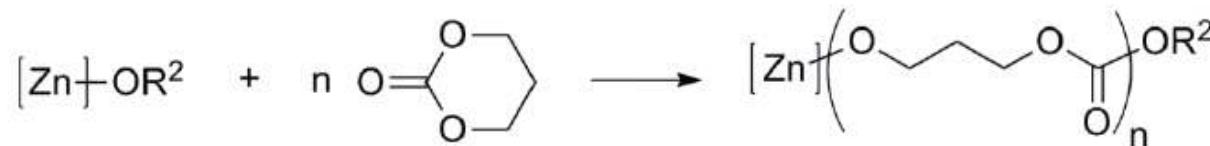
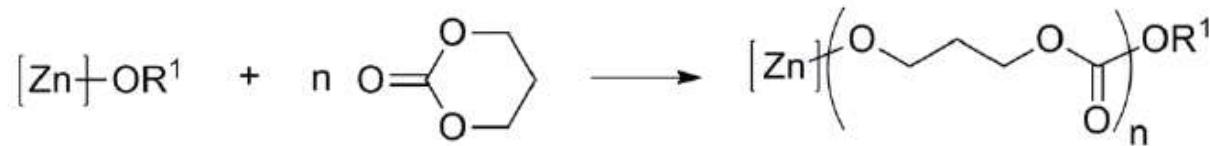


**11a:** Ln = Sc  
**11b:** Ln = Lu  
**11c:** Ln = Y

## Synthesis of stereoregular PLAs by ROP



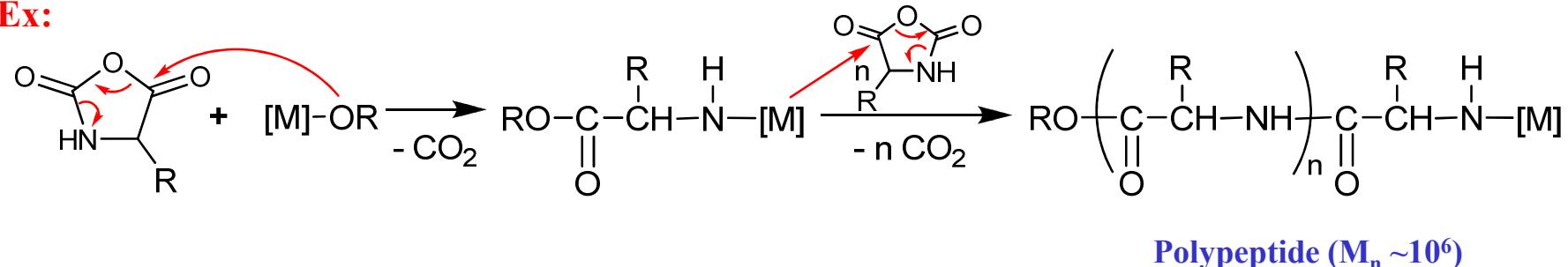
## Synthesis of polycarbonates by ROP



[Zn] mediated living ROP of trimethylene carbonate

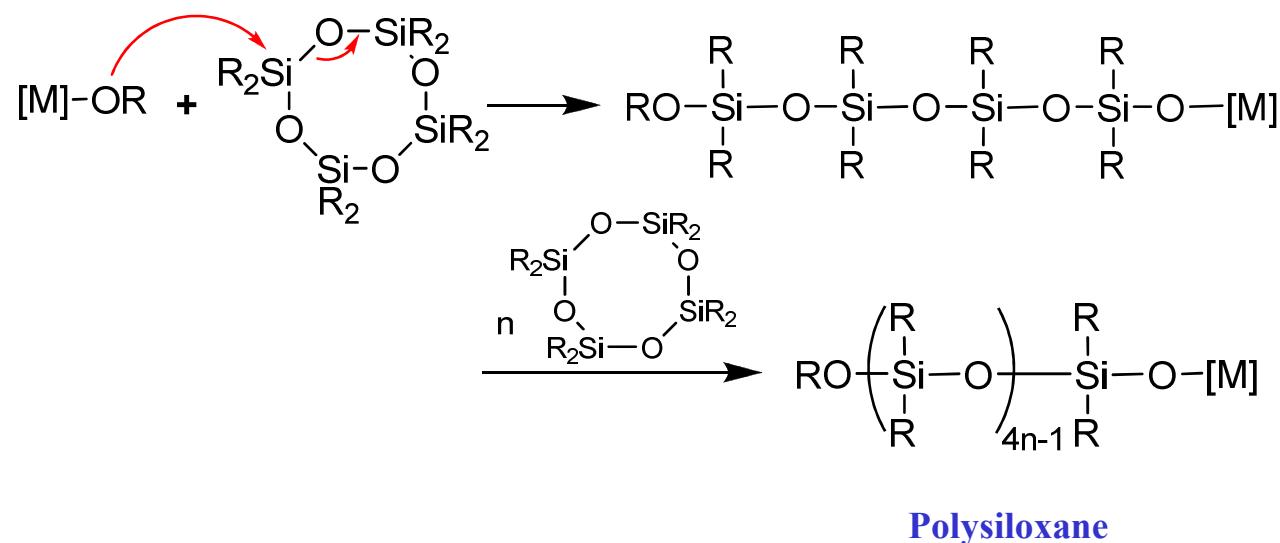
• ***N*-CARBOXYANHYDRIDES**

Ex:



• **CYCLIC SILOXANES**

Ex:



# **Metal-Catalyzed Polymerization**

## **(Coordination Polymerization)**

- *Polymerization of Olefins (Insertion) ✓ Prof. Barbara Milani*
- *Polymerization of Dienes (Insertion)*
- *Polymerization of Alkynes*
- *Ring Opening Metathesis Polymerization (ROMP)*
- *Classical Anionic Polymerization*
- *Ring Opening Polymerization (ROP)*
- ***Metal-mediated Radical Polymerization***

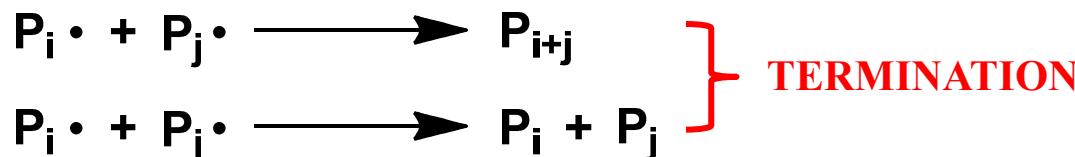
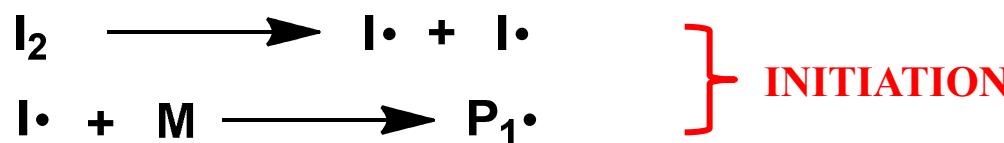
## FREE RADICAL POLYMERIZATION

### STEPS

- **INITIATION**  
addition of the radical initiator to the monomer
- **PROPAGATION**  
radical chain growth by sequential addition of monomers
- **CHAIN TERMINATION**  
“death” of the radical propagating species by reaction with other radical species
- **CHAIN TRANSFER**  
growing chain reacts with a neutral molecule and abstracts one of its atoms, the latter becoming a new radical

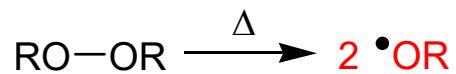
It involves:

- Monomer + Radical Initiator

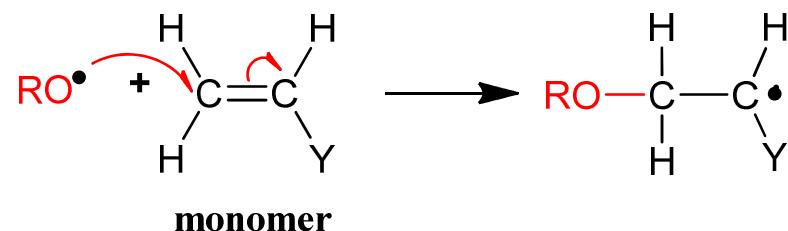


## MECHANISM OF CLASSICAL 'FREE RADICAL POLYMERIZATION' (GENERAL)

### • INITIATION

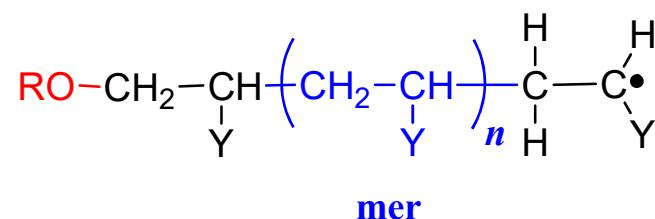
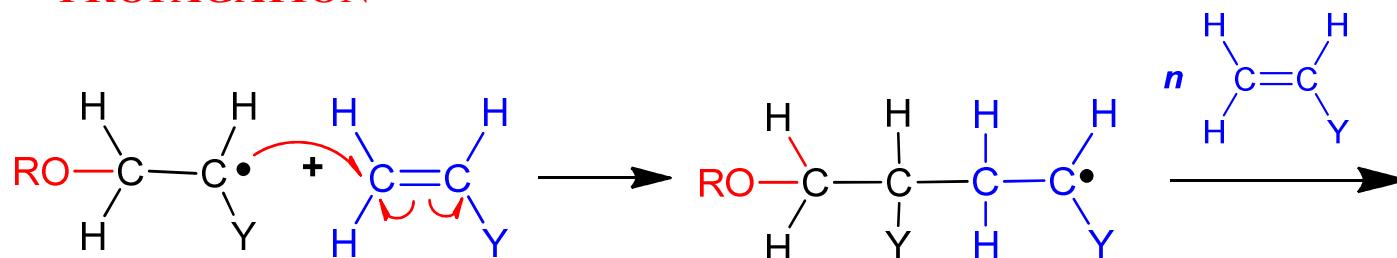


Initiator decomposition



Addition of initiator radical to monomer

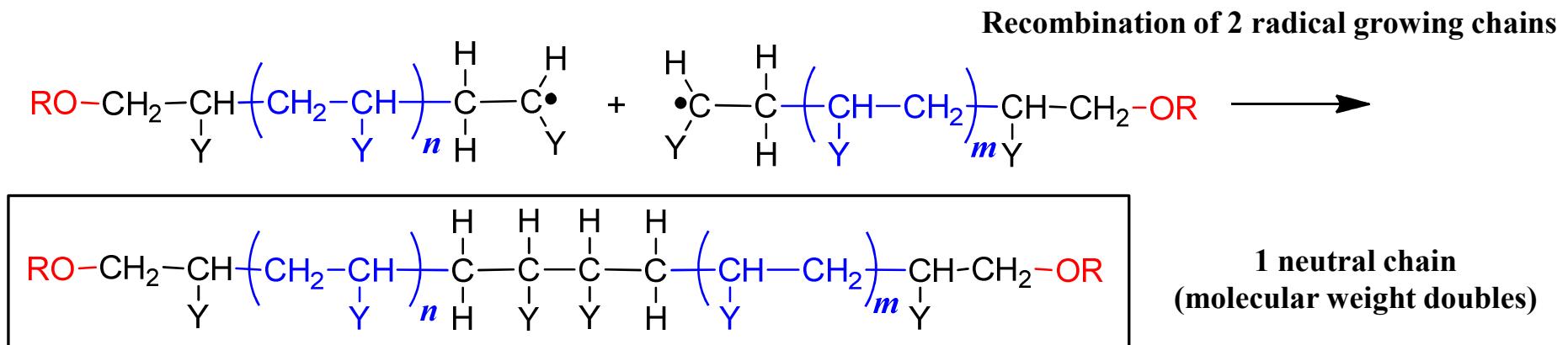
### • PROPAGATION



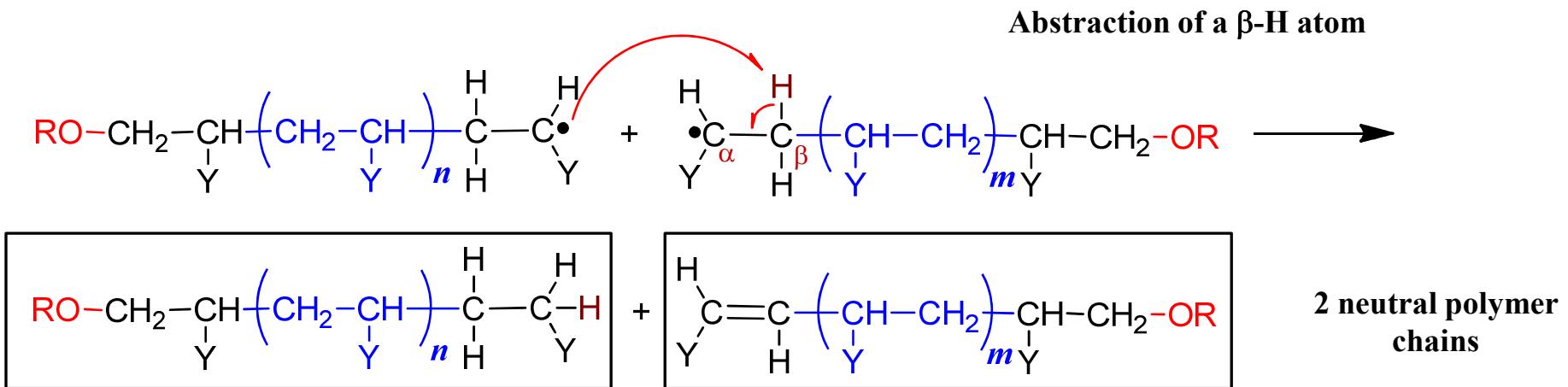
Sequential addition of monomers  
to radical growing chain → CHAIN GROWTH

## • TERMINATION

### • Recombination (or Coupling) (low temperatures)



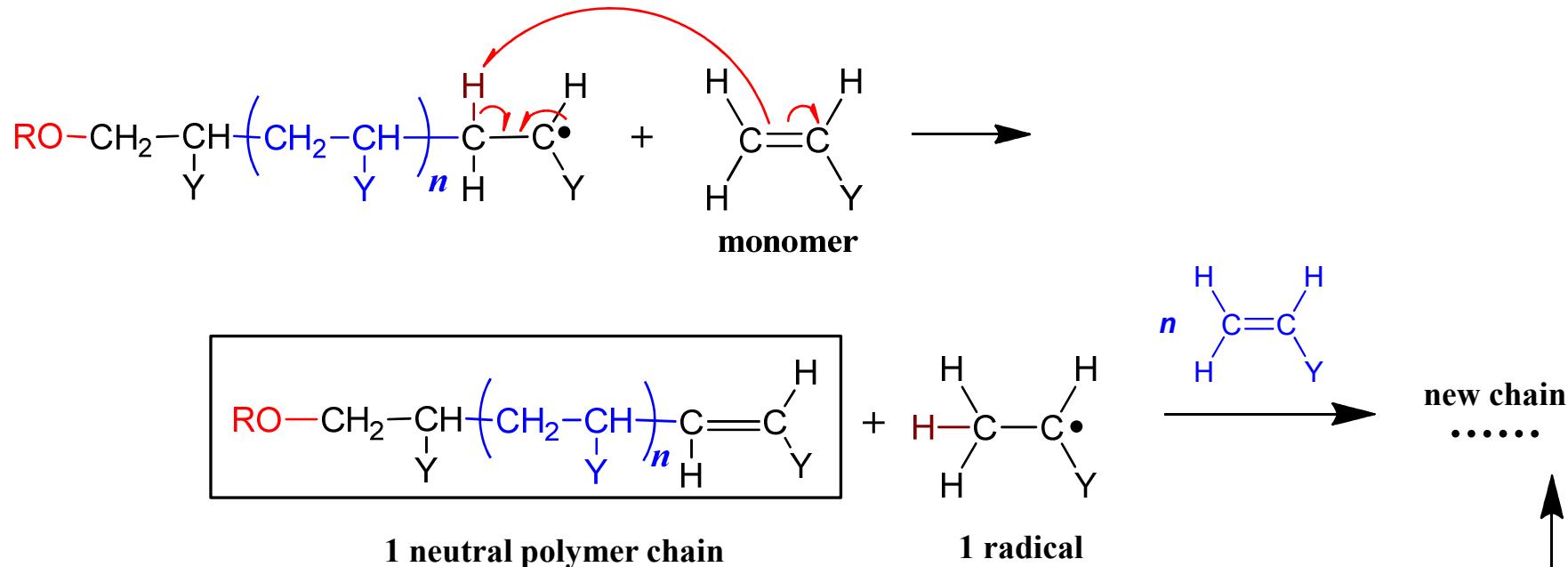
### • Disproportionation (high temperatures)



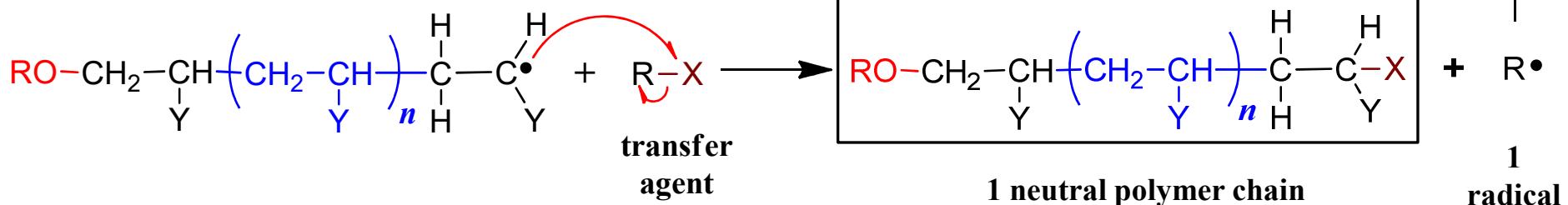
## • CHAIN TRANSFER

Step responsible for the decrease in molecular weight and for the broadening of the molecular weight distribution

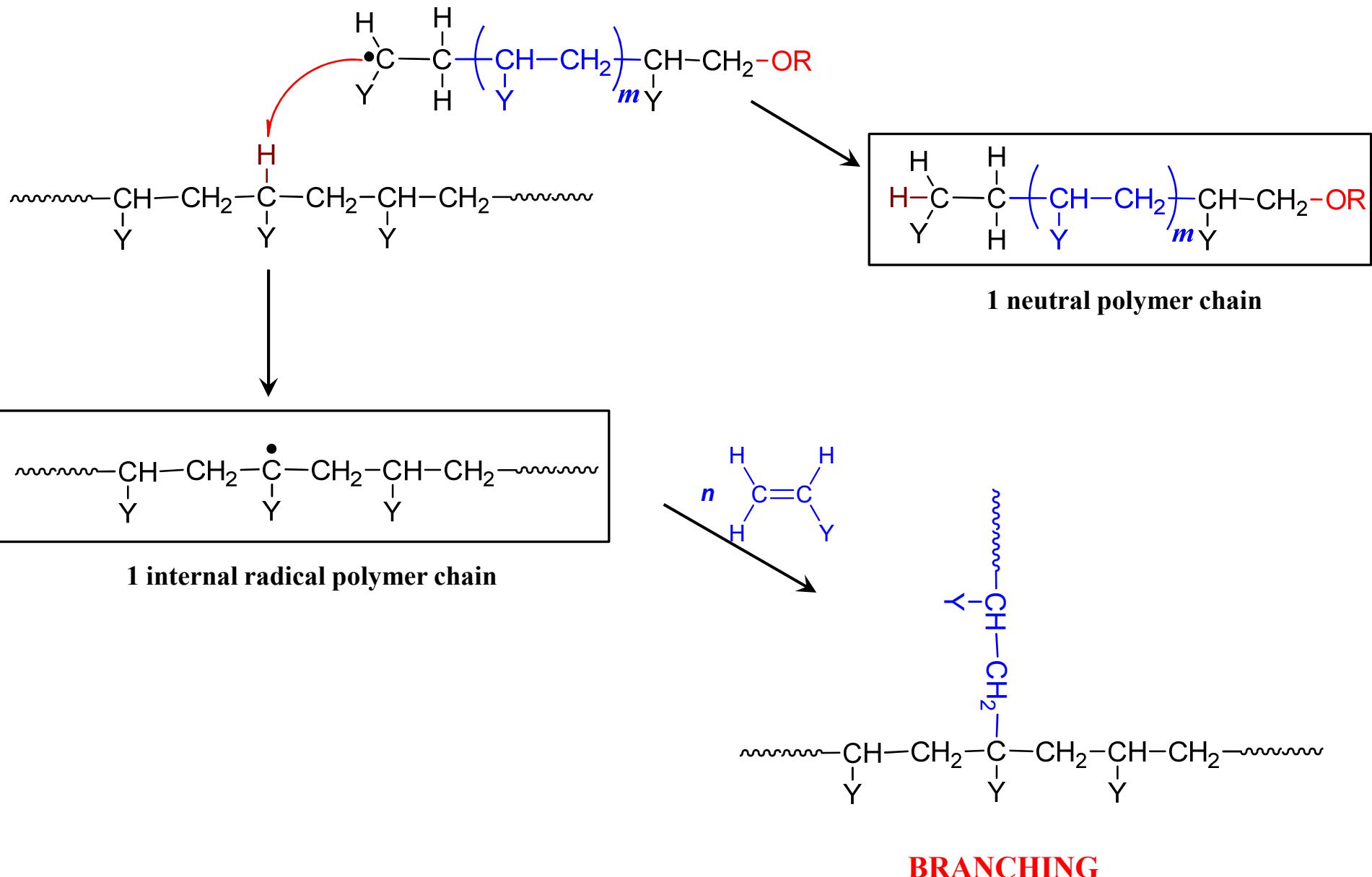
### • to the Monomer



### • to a Transfer Agent



- to the Polymer (*very high temperatures*)



## CONTROLLED RADICAL POLYMERIZATION (CRP)

Absence of **TERMINATION** or **CHAIN TRANSFER**



Living Polymers (Living Polymerization) → Living Chain Ends



Molecular Weight Control → Narrow Molecular Weight Distribution



Block Copolymers

Several  
Techniques  
of CRP  
(examples)

- ATRP  
- OMRP  
- NMP  
- RAFT

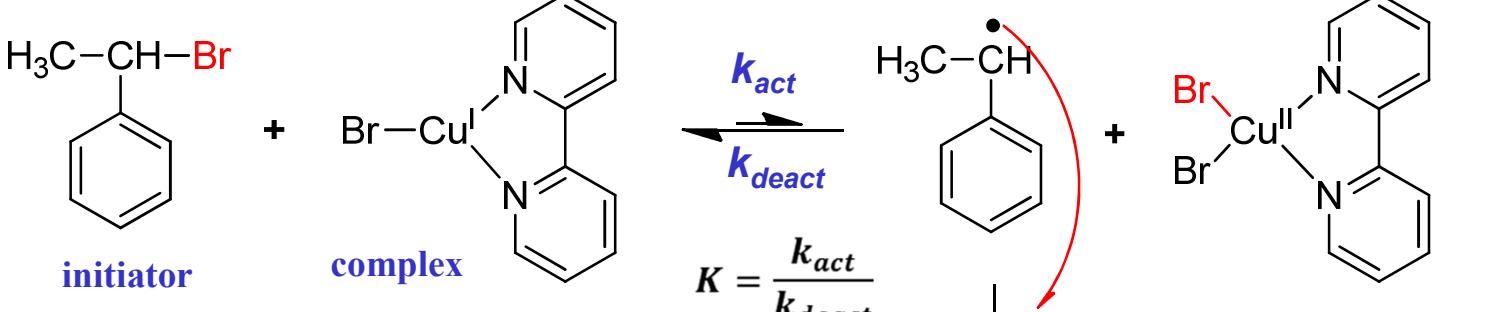
SFRP

**SFRP = Stable Free Radical Polymerization**

## ATOM-TRANSFER RADICAL POLYMERIZATION (ATRP)

Initiator: Organic halide undergoing a reversible redox catalyzed by a transition-metal complex

Ex:  $\text{H}_3\text{C}-\text{CH}-\text{Br}$



$$r_p = \frac{k_p K[\text{I}][\text{Cu}^+]}{[\text{Cu}^{2+}]} [\text{M}]$$

$$\ln \frac{[\text{M}]_o}{[\text{M}]} = \frac{k_p K[\text{I}][\text{Cu}^+]}{[\text{Cu}^{2+}]} t$$

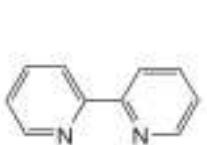
$$\overline{DP}_n = \frac{[\text{M}]_o - [\text{M}]}{[\text{I}]_o} = \frac{p[\text{M}]_o}{[\text{I}]_o}$$

$$\frac{\bar{M}_w}{\bar{M}_n} = 1 + \frac{1}{\overline{DP}_n}$$

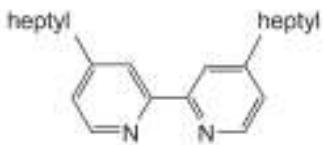
Poisson distribution



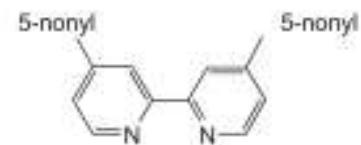
• COMMON LIGANDS (L) OF ATRP



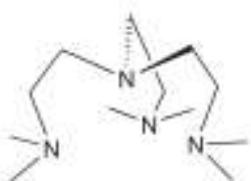
bipy



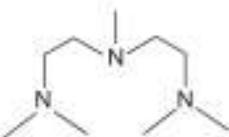
dHbipy



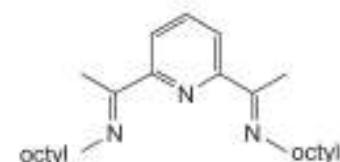
dNbipy



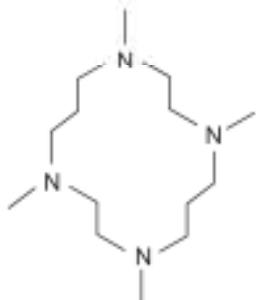
Me<sub>6</sub>TREN



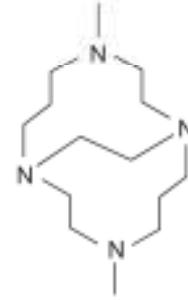
PMDETA



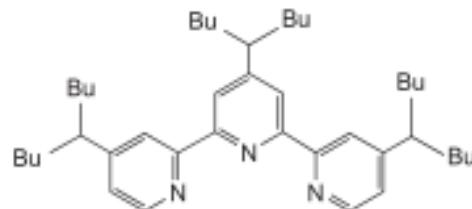
DOIP



Me<sub>4</sub>Cyclam



Cyclam-B



TERPY

**TABLE 8.1.** Commercially Available Polymers Synthesized with Complex Coordination Catalysts

| Polymer                                                                           | Principal Stereochemistry | Typical Uses                                                                       |
|-----------------------------------------------------------------------------------|---------------------------|------------------------------------------------------------------------------------|
| Plastics                                                                          |                           |                                                                                    |
| Polyethylene, high density (HDPE)                                                 | —                         | Bottles, drums, pipe, conduit, sheet, film, wire and cable insulation              |
| Polyethylene, ultrahigh molecular weight (UHMWPE)                                 | —                         | Surgical prostheses, machine parts, heavy-duty liners                              |
| Polypropylene                                                                     | Isotactic                 | Automobile and appliance parts, rope, cordage, webbing, carpeting, film            |
| Poly(1-butene)                                                                    | Isotactic                 | Film, pipe                                                                         |
| Poly(4-methyl-1-pentene) <sup>a</sup>                                             | Isotactic                 | Packaging, medical supplies, lighting                                              |
| Polystyrene                                                                       | Syndiotactic              | Specialty plastics                                                                 |
| 1,4-Polybutadiene                                                                 | <i>trans</i>              | Metal can coatings, potting compounds for transformers                             |
| 1,4-Polyisoprene                                                                  | <i>trans</i>              | Golf ball covers, orthopedic devices                                               |
| Ethylene-1-alkene <sup>b</sup> copolymer (linear low-density polyethylene, LLDPE) | —                         | Blending with LDPE, packaging film, bottles                                        |
| Ethylene-propylene block copolymers (polyallomers)                                | Isotactic                 | Food packaging, automotive trim, toys, bottles, film, heat-sterilizable containers |
| Polydicyclopentadiene <sup>c</sup>                                                | —                         | Reaction injection molding (RIM) structural plastics                               |
| Elastomers                                                                        |                           |                                                                                    |
| 1,4-Polybutadiene                                                                 | <i>cis</i>                | Tires, conveyor belts, wire and cable insulation, footware                         |
| 1,4-Polyisoprene                                                                  | <i>cis</i>                | Tires, footware, adhesives, coated fabrics                                         |
| Poly(1-octenylene) (polyoctenamer) <sup>c</sup>                                   | <i>trans</i>              | Blending with other elastomers                                                     |
| Poly(1,3-cyclopentenylenevinylene) (norbornene polymer) <sup>c</sup>              | <i>trans</i>              | Molding compounds, engine mounts, car bumper guards                                |
| Polypropylene (amorphous)                                                         | —                         | Asphalt blends, sealants, adhesives, cable coatings                                |
| Ethylene-propylene copolymer (EPM, EPR)                                           | —                         | Impact modifier for polypropylene, car bumper guards                               |
| Ethylene-propylene-diene copolymer (EPDM)                                         | —                         | Wire and cable insulation, weather stripping, tire side walls, hose, seals         |

<sup>a</sup>Usually copolymerized with small amounts of 1-pentene.

<sup>b</sup>1-Butene, 1-hexene, and 1-octene.

<sup>c</sup>Synthesized by ring-opening metathesis polymerization of the corresponding cycloalkene.

Table 4.2 Initiation modes of various monomers

| Monomer                                                                                                                        | Initiator    |         |          |               |
|--------------------------------------------------------------------------------------------------------------------------------|--------------|---------|----------|---------------|
|                                                                                                                                | Free radical | Anionic | Cationic | Co-ordination |
| Ethylene ( $\text{CH}_2=\text{CH}_2$ )                                                                                         | ✓            |         |          | ✓             |
| Propylene (and other $\alpha$ -olefins $\text{CH}_2=\text{CH}-\text{R}$ )                                                      |              |         |          | ✓             |
| Isobutylene ( $\text{CH}_2=\text{C}(\text{CH}_3)_2$ )                                                                          |              |         | ✓        |               |
| Styrene ( $\text{CH}_2=\text{CH}-\text{C}_6\text{H}_5$ )                                                                       | ✓            | ✓       | ✓        | ✓             |
| Butadiene and isoprene ( $\text{CH}_2=\text{CH}-\text{CH}=\text{CH}_2$ )                                                       | ✓            | ✓       |          | ✓             |
| Acrylates and methacrylates ( $\text{CH}_2=\text{CH}-\text{COOR}$ )                                                            | ✓            | ✓       |          |               |
| Acrylonitrile ( $\text{CH}_2=\text{CH}-\text{CN}$ )                                                                            | ✓            | ✓       |          |               |
| Vinyl ethers ( $\text{CH}_2=\text{CH}-\text{OR}$ )                                                                             |              |         | ✓        |               |
| Vinyl halides ( $\text{CH}_2=\text{CH}-\text{Hal}$ )                                                                           | ✓            |         |          |               |
| Fluorocarbons (e.g. TFE, $\text{CF}_2=\text{CF}_2$ )                                                                           | ✓            |         |          |               |
| Vinyl esters (e.g. acetate $\text{CH}_2=\text{CH}-\text{OCOCH}_3$ )                                                            | ✓            |         |          |               |
| Formaldehyde ( $\text{CH}_2=\text{O}$ )                                                                                        |              |         | ✓        |               |
| Formaldehyde trimer (trioxane) ( $\text{CH}_2=\text{O}-\text{CH}_2-\text{O}-\text{CH}_2$ )                                     |              | ✓       |          | ✓             |
| Ethylene oxide ( $\text{CH}_2-\text{CH}_2-\text{O}$ )                                                                          |              | ✓       |          | ✓             |
| Cyclic ethers (e.g. THF $\text{CH}_2-\text{CH}_2-\text{O}-\text{CH}_2-\text{CH}_2$ )                                           |              | ✓       |          | ✓             |
| Cyclic lactams and lactones ( $\text{CONH}-\text{CH}_2-\text{CH}_2-\text{O}$ , $\text{COO}-\text{CH}_2-\text{CH}_2-\text{O}$ ) | ✓            |         |          | ✓             |
| Cyclic siloxanes ( $\text{R}_2\text{SiO}_3$ or $4$ )                                                                           |              | ✓       |          |               |
| Cycloalkenes and cycloalkynes                                                                                                  |              |         |          | ✓             |
| Alkynes ( $\text{R}\equiv\text{C}\equiv\text{R}$ )                                                                             |              |         |          | ✓             |

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