

# SEISMOLOGY

Master Degree Programme in Physics - UNITS  
Physics of the Earth and of the Environment

# FTAN ANALYSIS

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# Surface wave dispersion

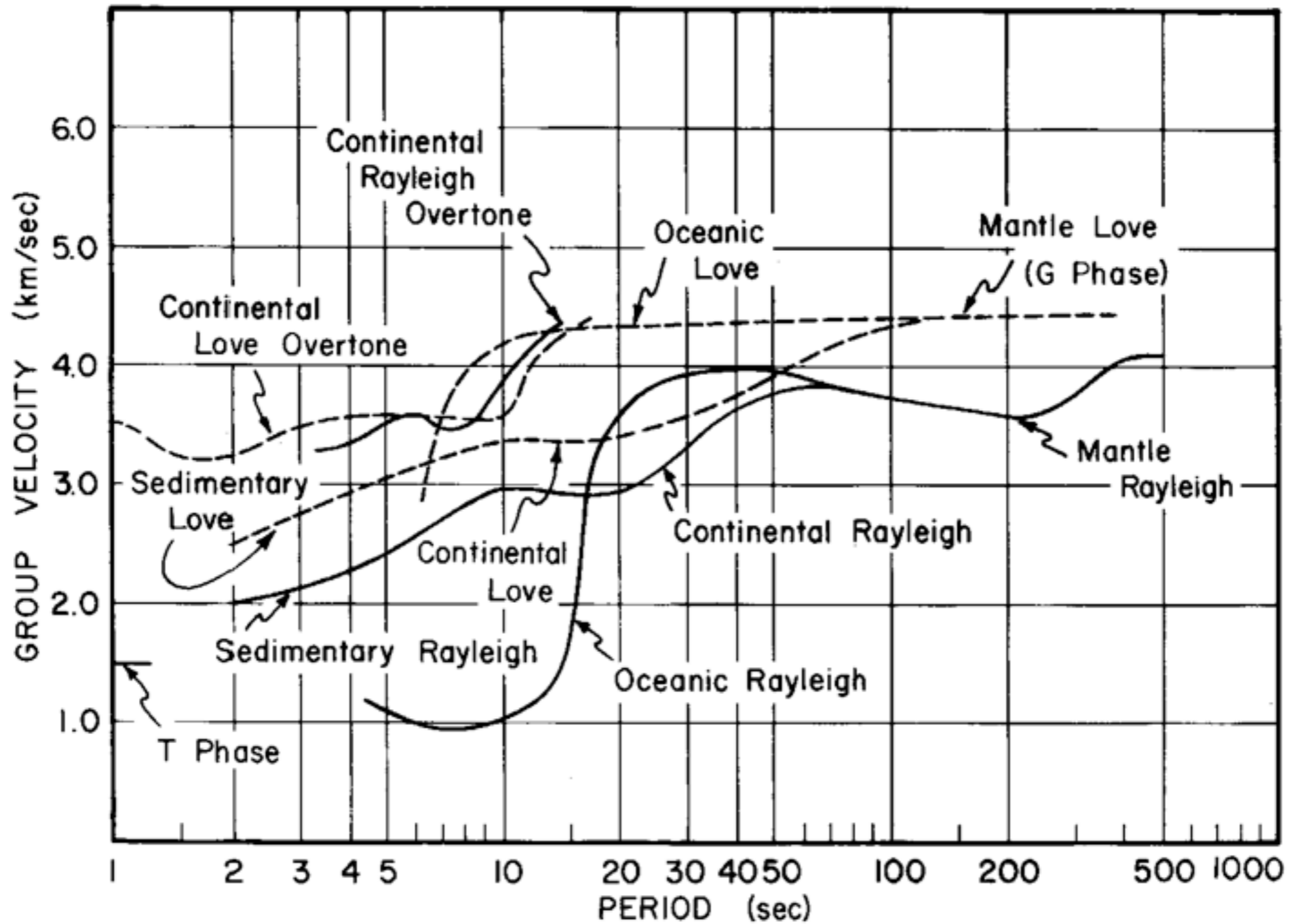
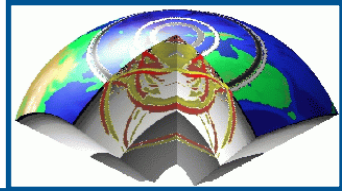
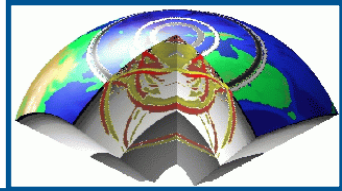


Figure 1.2.1b Composite of dispersion curves for surface waves.

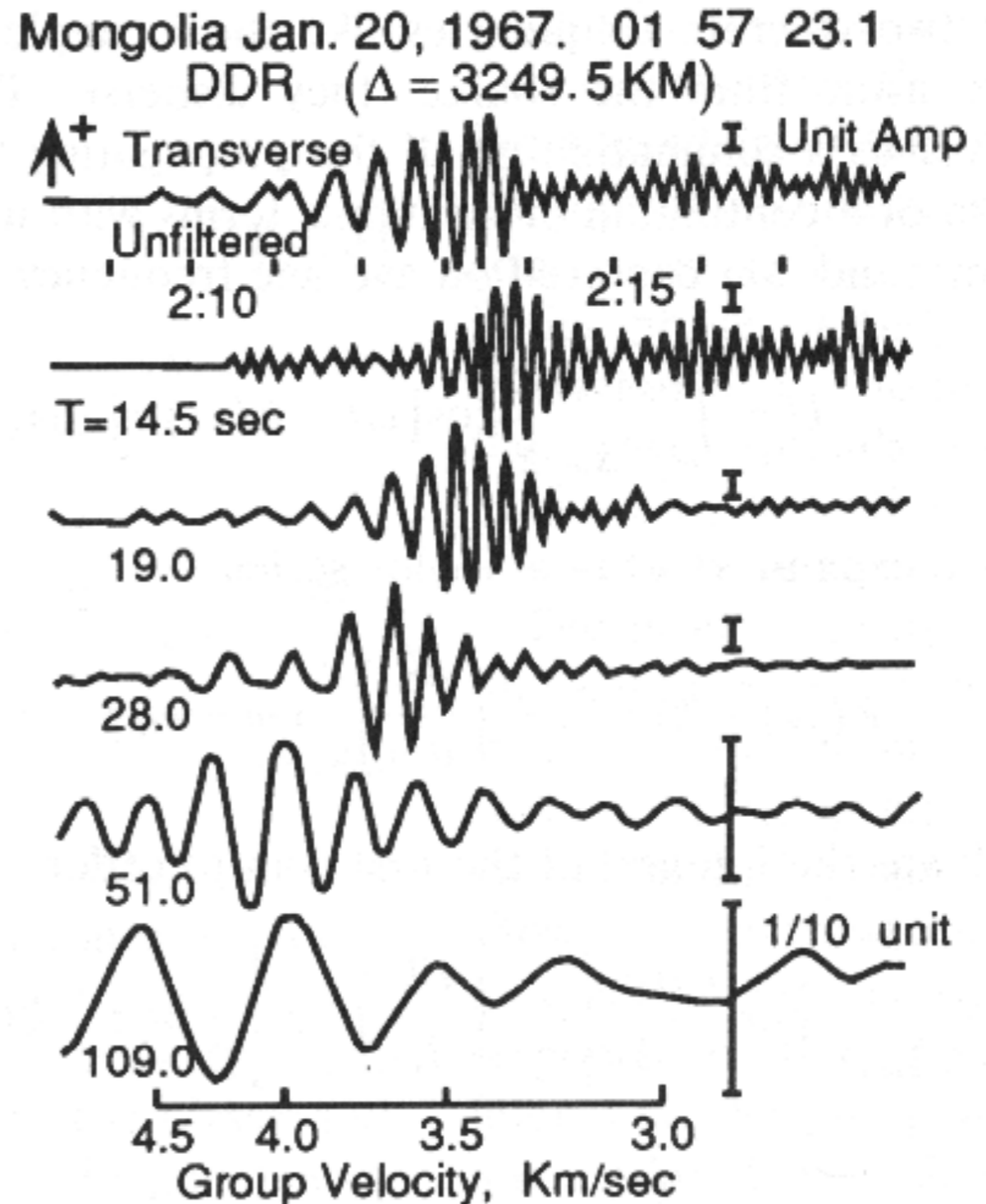


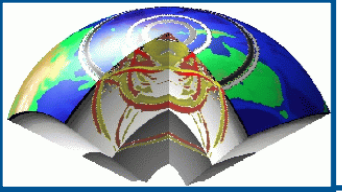
# Wave Packets



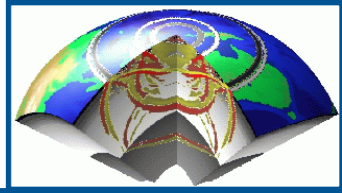
Seismograms of a Love wave train filtered with different central periods.

Each narrowband trace has the appearance of a wave packet arriving at different times.





# FTAN analysis



## **Frequency-Time representation:**

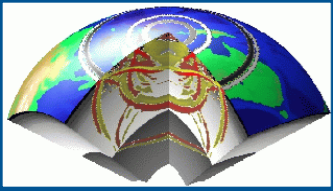
gaussian filters  
FTAN maps

## **Floating filters:**

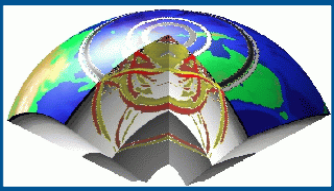
phase equalization

## **Examples of calculation and filtering:**

synthetic signals (Love, Rayleigh, 1D, 2D)  
recorded (real) signals



# Frequency-Time representation



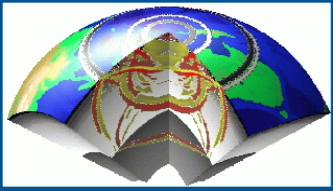
Surface waves, showing not impulse nor quasi-harmonic behavior, are difficult to be studied in time or spectral domain, since their principal feature, dispersion, is described by a function rather than a single parameter.

**Frequency-time analysis** has the property of separating signals in accordance to their dispersion curve, since a visual picture requires a function of two variables.

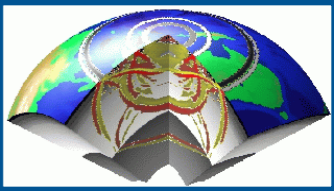
Let us consider a signal in time  $x(t)$  and its Fourier transform,  $X(\omega)$ , and let it pass through a system of parallel relatively narrow-band filters  $H(\omega - \omega^H)$  with varying central frequency  $\omega^H$ . The combination of all signals at the output of all the filters is a complex function of two variables:

$$S(\omega^H, t) = \int_{-\infty}^{+\infty} H(\omega - \omega^H) X(\omega) e^{i\omega t} d\omega$$

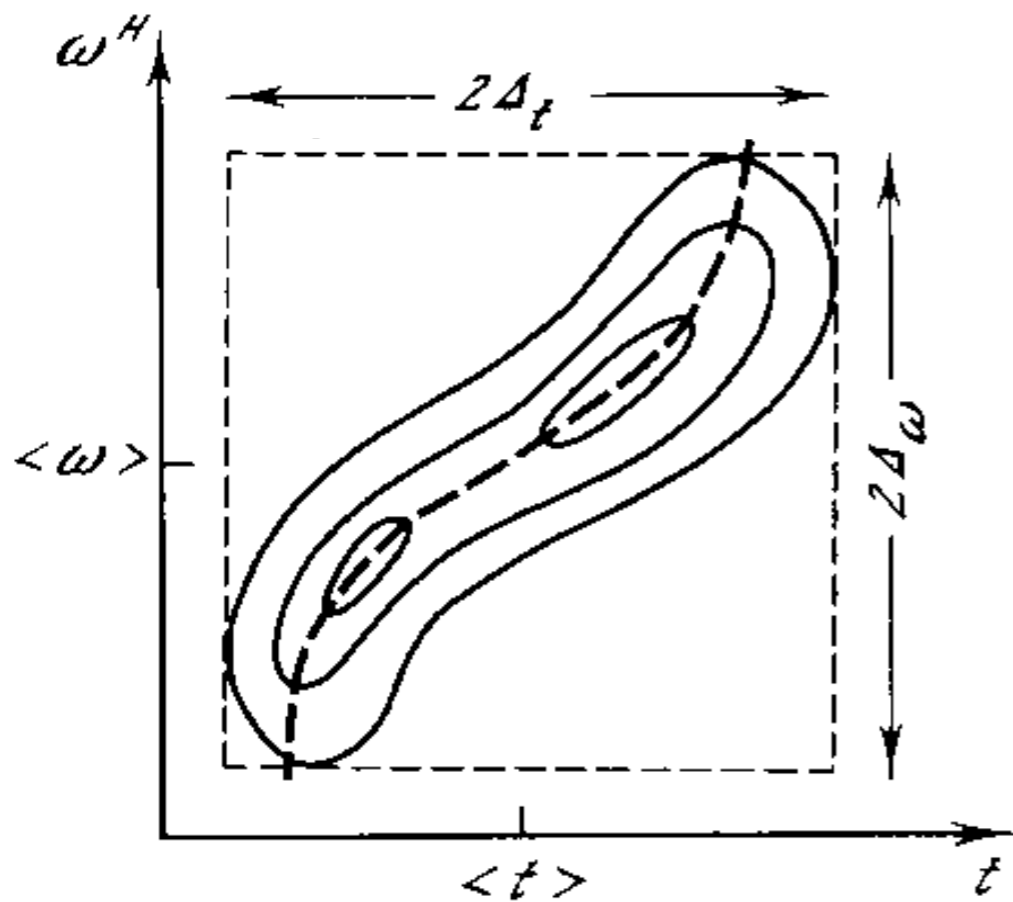




# FTAN



A contour map of  $|S(\omega^H, t)|$  is called **FTAN** (Frequency-Time Analysis) map, and it is used to visualize the dispersion curves, since, for frequency fixed, a “mountain ridge” (increased amplitudes) appears. The frequency-time region of a signal is that part of the  $(\omega^H, t)$  plane occupied by the relevant ridge, and the statement “the energy of a signal concentrates around its dispersion curve” has a clear meaning.



**FTAN** map: dashed line is a dispersion curve  $t(\omega^H)$

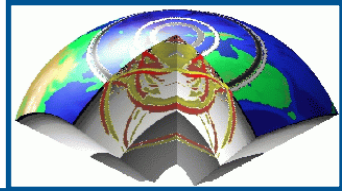
The function  $S(\omega^H, t)$  is not a property of the original signal alone, since it involves also the filter characteristics  $H(\omega - \omega^H)$ , chosen by the investigator: we have different classes of signal representations that differ in **filter choice**.

When the shape of  $H(\omega - \omega^H)$  is known, the function  **$x(t)$  or  $X(\omega)$  can be recovered:**

- $X(\omega)$  from infinitesimally small filters =  $\delta(\omega - \omega^H)$
  - $x(t)$  from infinitely broad filters =  $1/(2\pi)^{1/2}$
- with the advantage that the noise can be more easily separated, for surface wave identification.



# Gaussian filters

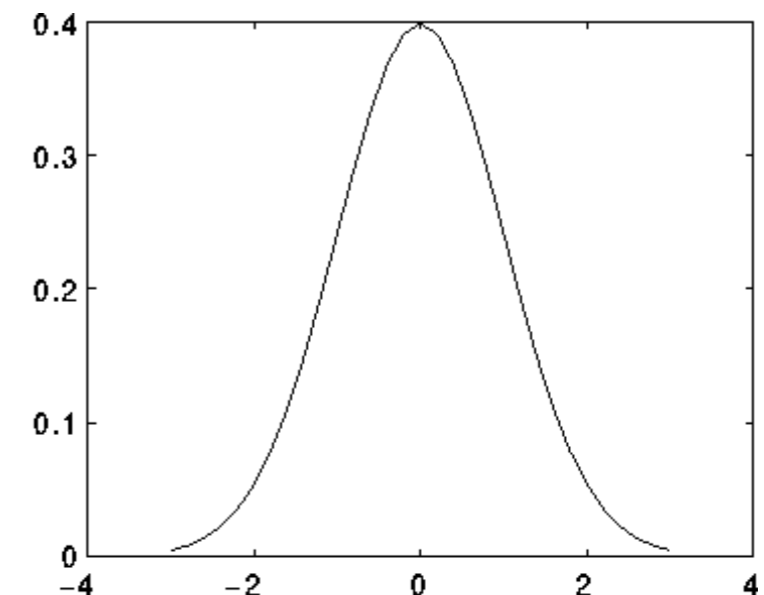


The choice of  $H(\omega - \omega^H)$  is guided by the typical properties of the signal to be processed. For surface waves two simple rules have to be followed:

- No phase distortion ( $H$  has to be real valued)
- Best resolution

and the optimal choice is found to be a **Gaussian** filter, described by two parameters: central frequency,  $\omega^H$ , and width of the frequency band,  $\sigma$ .

$$G(\omega) = \frac{1}{\sqrt{2\pi}\sigma} e^{-\frac{\omega^2}{2\sigma^2}} = \frac{1}{\sqrt{4\pi\alpha}} e^{-\alpha\omega^2}$$

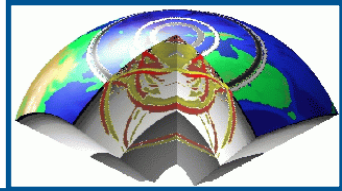


And the final FTAN representation is the complex valued function:

$$S(\omega^H, t) = \frac{1}{\sqrt{4\pi\alpha(\omega^H)}} \int_{-\infty}^{+\infty} e^{-\alpha(\omega - \omega^H)^2} K(\omega) e^{i\omega t} d\omega$$



# FTAN map



The graphical representation consists in the plot of a **matrix** of values,  $(t_i, \omega_j)$  given by:

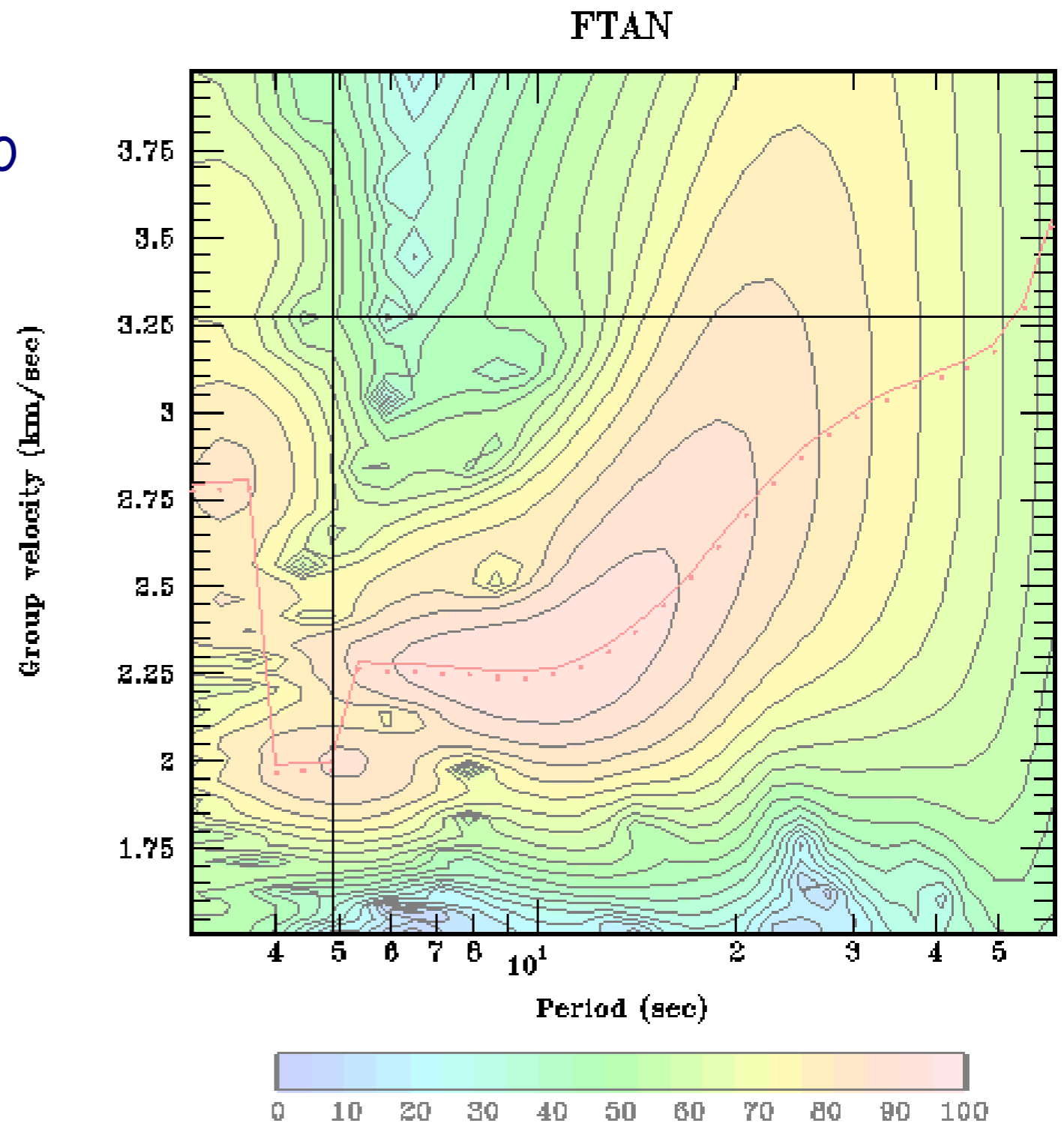
$$S(\omega_j, t_i) = 20 \log_{10} \left( \frac{|S(\omega_j, t_i)|}{\max |S(\omega, t)|} \right) + 100$$

Converting the frequency to period  
and,

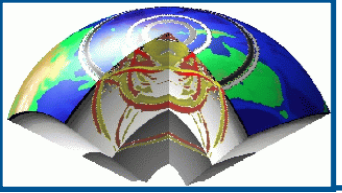
given the **epicentral distance**,

converting arrival times of energy  
packets to group velocity,

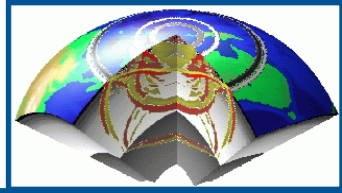
one has the **FTAN map**  
of a time signal.







# FTAN and linear filters

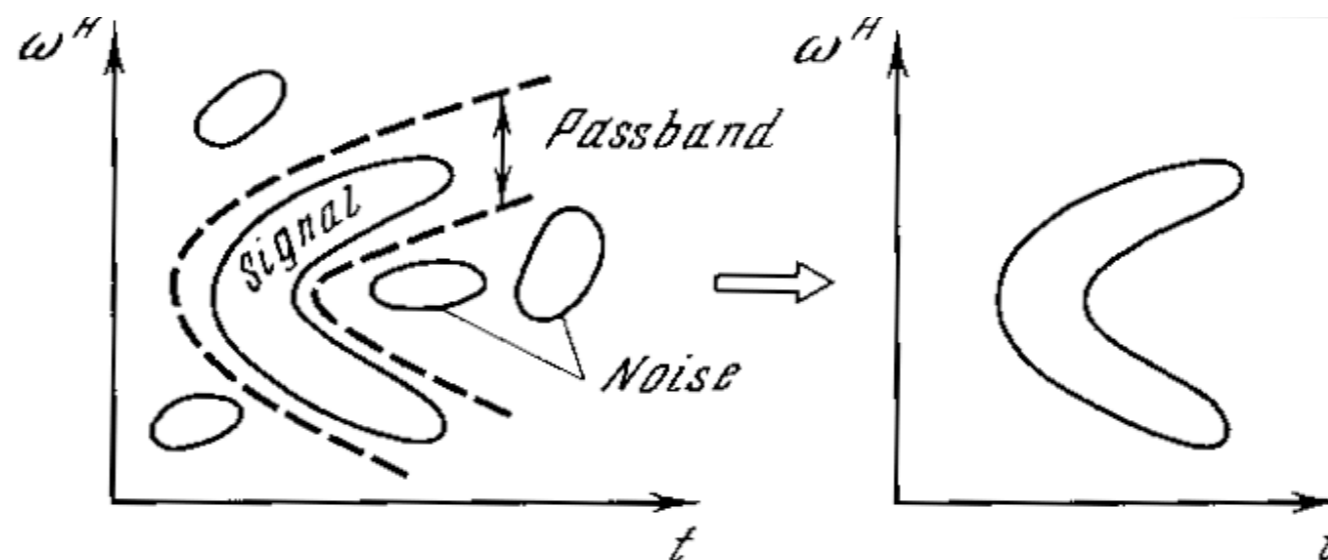


The term “filter” is usually employed for a transformation whose parameters are invariant under a time shift: a band parallel to time axis, bandpass filtering, or a band parallel to frequency axis, time window.

In a broader sense, the general form of a linear transformation can be written as:

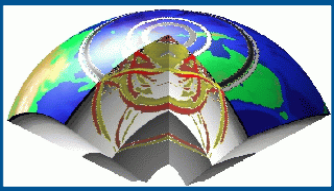
$$K'(\omega) = \int_{-\infty}^{+\infty} F(\omega, \lambda) K(\lambda) d\lambda$$

And the filter should separate, without distortions, the part of the plane where the signal energy is. The filter band has to “float” along the dispersion curve:





# Floating filters



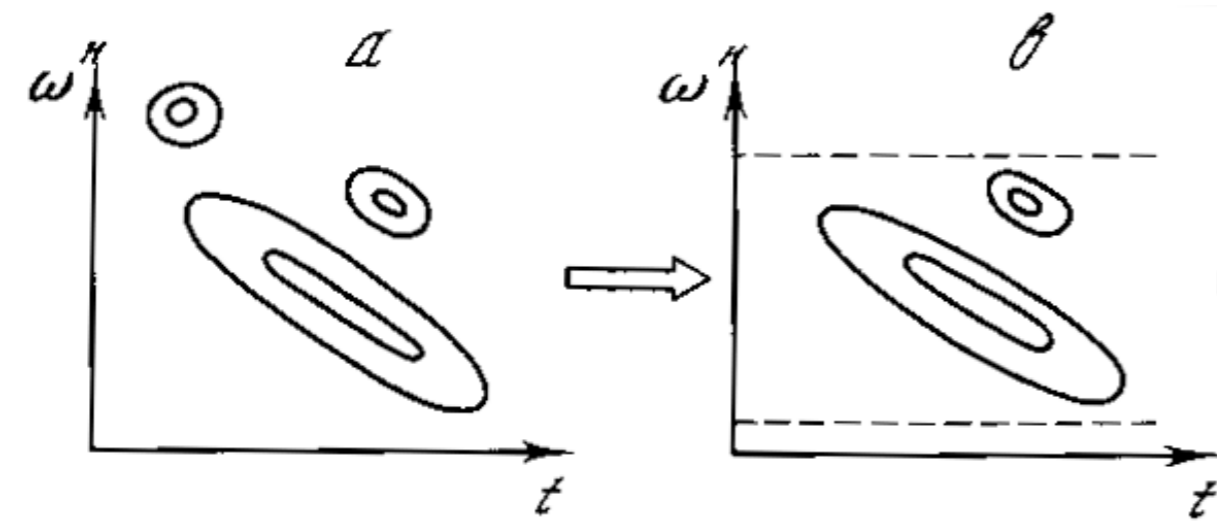
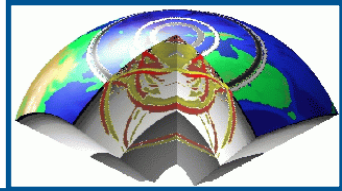
The dispersion curve of a signal,  $\tau(\omega)$ , is known approximately from FTAN results and the spectral phase of the whole record is transformed according to:

$$K'(\omega) = K(\omega) e^{-i\psi(\omega)}$$
$$\psi(\omega) = - \left( \int_0^{\omega} \tau(\eta) d\eta + c_1 \omega + c_2 \right)$$

to make the signal weakly dispersed, thus transforming into a straight line parallel to the frequency axis. The use of a time window allows to filter out the noise and the original signal shape can be recovered applying the inverse procedure of **phase equalization**.



# Floating filters: scheme



b) Bandpass filtering

$$K'_1(\lambda) = X(\lambda)K(\lambda)$$

c) Phase equalization

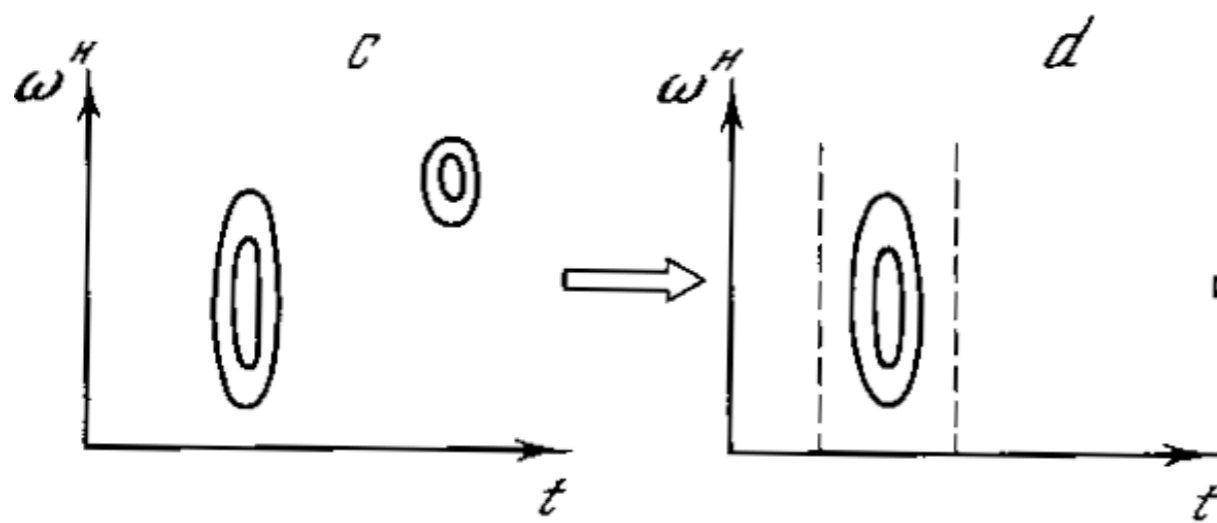
$$W'_2(t) = \frac{1}{\sqrt{2\pi}} \int_{-\infty}^{+\infty} K'_1(\lambda) e^{i[\lambda t - \psi(\lambda)]} d\lambda$$

d) Time window

$$K'_3(\omega) = \frac{1}{\sqrt{2\pi}} \int_{-\infty}^{+\infty} G(t) W'_2(t) e^{-i\omega t} dt$$

e) Inverse phase transformation

$$K'(\omega) = K'_3(\omega) e^{i\psi(\omega)}$$

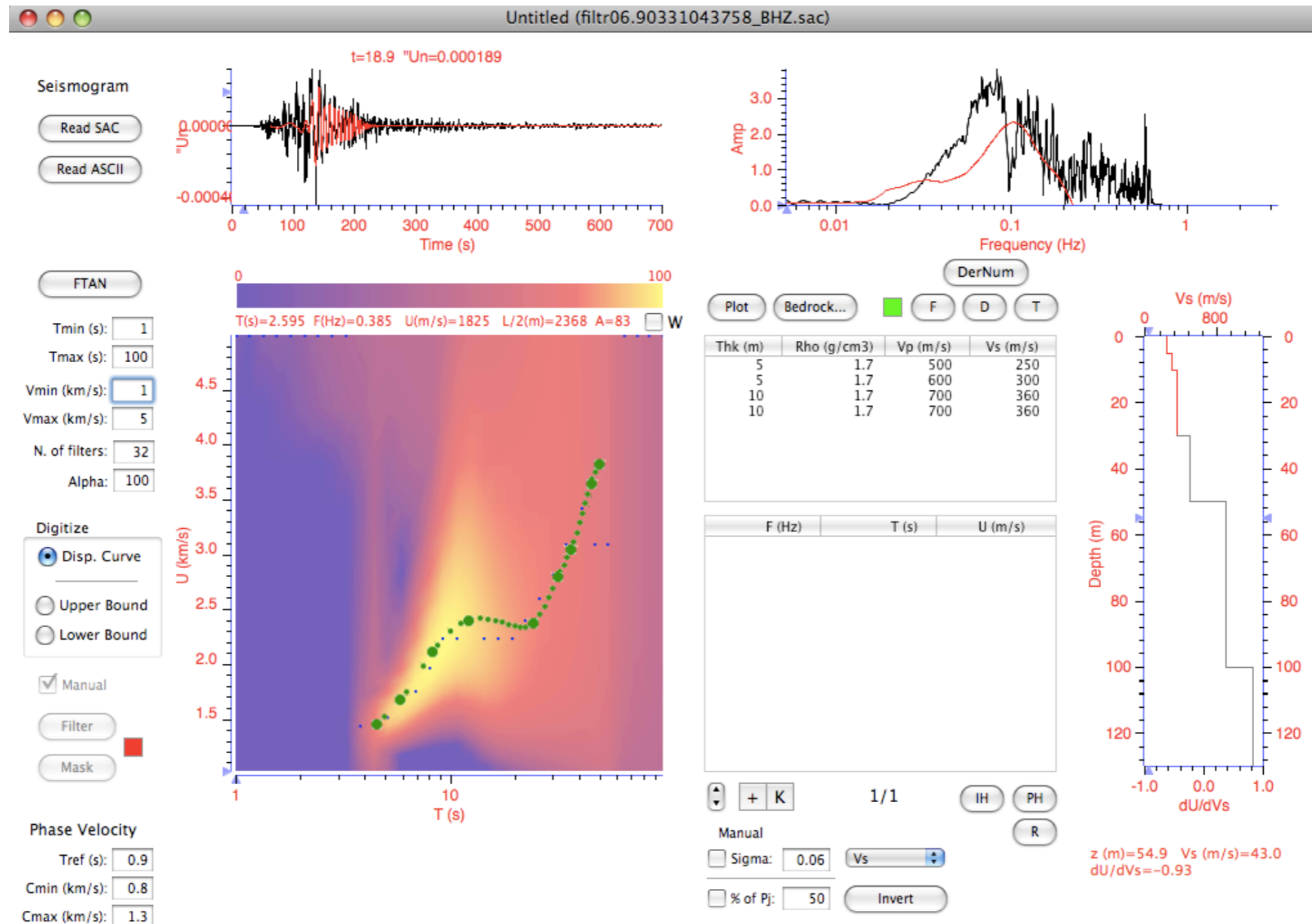


$$F(\omega, \lambda) = \frac{1}{\sqrt{2\pi}} X(\lambda) X_+(\omega - \lambda) e^{i[\psi(\omega) - \psi(\lambda)]}$$

$$X_+(\omega) = \frac{1}{\sqrt{2\pi}} \int_{-\infty}^{+\infty} G(t) e^{i\omega t} dt$$

- Frequency-Time representation:
- Gaussian filters; FTAN maps
- Floating filters: Phase equalization

e.g. Levshin et al., 1972



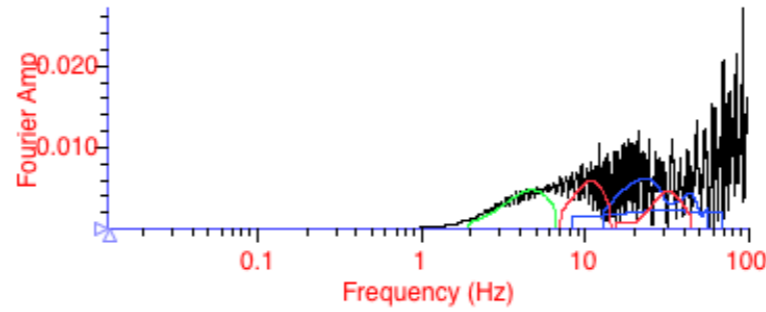
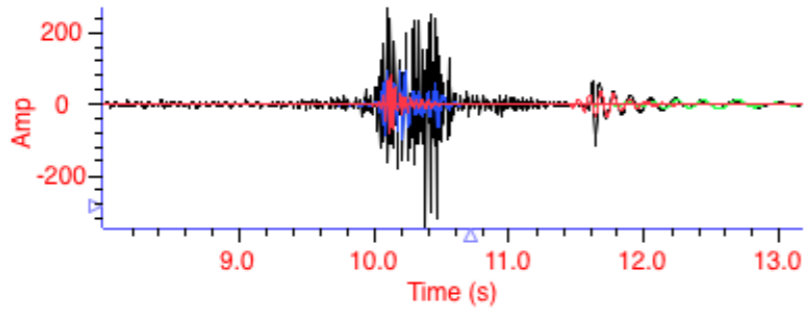




# FTAN - Acoustic signal

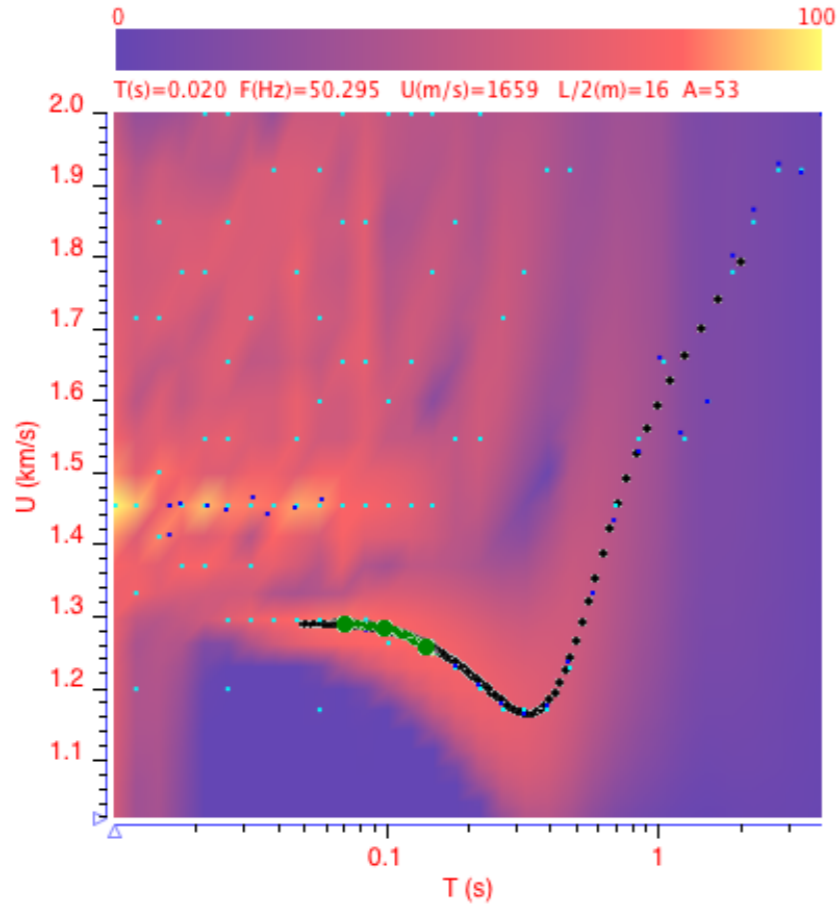
nosed1 (edi.syz.00001.ft)

Dist. (km): 14.942  
 Samples: 8192  
 dt (s): 0.005



## FTAN analysis

Tmin (s): 0.01  
 Tmax (s): 4.  
 Umin (km/s): 1.019  
 Umax (km/s): 2.  
 N. Filters: 32  
 Alpha: 100



## Structural Model

Depth (m)	Thk (m)	D (g/cm3)	Vp (m/s)	Vs (m/s)	Qp	Qs
130	130	1	1500	0	10000	10000
230	100	2.45	2800	1618	1000	500
330	100	2.45	3000	1734	1000	500
430	100	2.45	3400	1965	1000	500
530	100	2.45	3500	2023	1000	500
780	250	2.45	3600	2081	1000	500
1030	250	2.45	3700	2139	1000	500
1530	500	2.45	3800	2196	1000	500
2030	500	2.45	3900	2254	1000	500
3030	1000	2.45	4000	2312	1000	500

## Fundamental Mode

F (Hz)	T (s)	U (m/s)	C (m/s)
0.5000	2.00000	1791	1949
0.6000	1.66667	1741	1916
0.7000	1.42857	1700	1885
0.8000	1.25000	1663	1857
0.9000	1.11111	1628	1831
1.0000	1.00000	1594	1806
1.1000	0.90909	1559	1782
1.2000	0.83333	1525	1759
1.3000	0.76923	1490	1737

