

INTEGRAL CONTROL (I)

- The controller action does **not depend** on the **instantaneous** value of the error, but on the **time profile** of the error (a sort of «memory» of the controller action)

$$p(t) = \bar{p} + \frac{1}{\tau_I} \int_0^t \varepsilon(t^*) dt^*$$

$\tau_I =$ **integral time** or reset time >0 [time units]
= «tempo integrale»
- **The integral action can remove the offset**

$$\frac{dp'}{dt} = \frac{1}{\tau_I} \varepsilon(t)$$
 - ▷ The control action $p(t)$ **changes** as long as the **integral term changes**, i.e. as long as an error $\varepsilon(t) \neq 0$ exists
 - ❖ Only if the error is **zero and steady** the controller output stops changing
 - ▷ At steady state and with zero error, the **integral term is not zero**, but it is constant instead
 - ❖ It contains the entire «history» of past errors
 - ❖ It can be interpreted as the indirect way through which bias is adjusted to compensate for a sustained disturbance (or for a set-point variation)

$$G_c(s) = \frac{P'(s)}{E(s)} = \frac{1}{\tau_I s}$$

$E(s) \rightarrow \left[\frac{1}{\tau_I s} \right] \rightarrow P'(s)$

The controller is a dynamic system
Namely, it is a **pure integrator**

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PROPORTIONAL-INTEGRAL CONTROL (PI)

- The integral action, used alone, does not provide a **prompt** control action
 - ▷ The integral contribution «builds up» little by little, which means that the **error must remain non-zero** for a long time in order for p to change sufficiently
- Virtually, the **I action is always coupled to the P action**

$$p(t) = \bar{p} + K_c \left(\varepsilon(t) + \frac{1}{\tau_I} \int_0^t \varepsilon(t^*) dt^* \right)$$

$$G_c(s) = \frac{P'(s)}{E(s)} = K_c \left(1 + \frac{1}{\tau_I s} \right) = K_c \left(\frac{\tau_I s + 1}{\tau_I s} \right)$$

$E(s) \rightarrow \left[K_c \frac{\tau_I s + 1}{\tau_I s} \right] \rightarrow P'(s)$

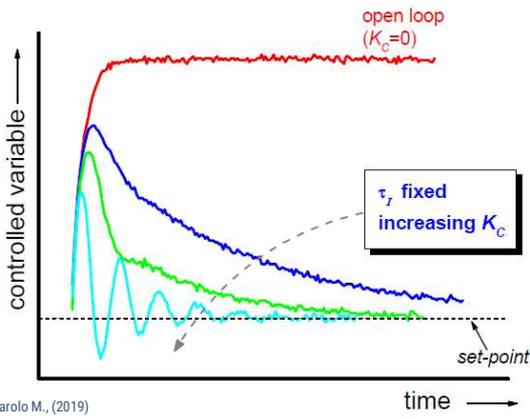
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RESPONSE OF A PI CONTROLLER

Typical response to a step disturbance – effect of K_c



Barolo M., (2019)

$$p(t) = \bar{p} + K_c \left(\varepsilon(t) + \frac{1}{\tau_I} \int_0^t \varepsilon(t^*) dt^* \right)$$

- **The offset is always removed**
 - ▷ Increasing K_c fastens the response, but the system may oscillate

Warning

For large values of the gain, the closed-loop system may become **unstable!!!**

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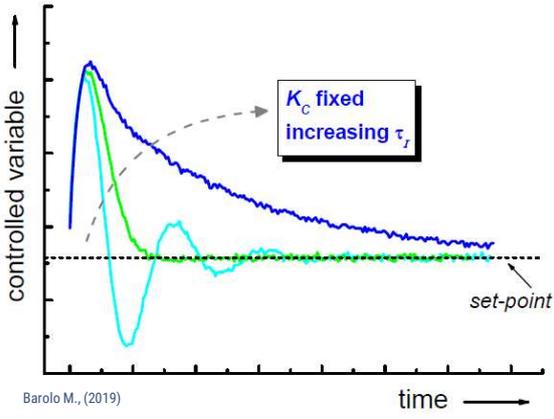
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RESPONSE OF A PI CONTROLLER

/cont'd

Typical response to a step disturbance – effect of τ_I



Barolo M., (2019)

$$p(t) = \bar{p} + K_c \left(\varepsilon(t) + \frac{1}{\tau_I} \int_0^t \varepsilon(t^*) dt^* \right)$$

- **The offset is always removed**
 - ▷ Increasing τ_I dampens the oscillations, but the response is slower

Warning

For small values of the integral time, the closed-loop system may become **unstable!!!**

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PROS & CONS of PI CONTROL

- It can **remove the offset**
 - ▷ Adopted whenever the offset is not acceptable
- **Fasten** the response
 - ▷ It can significantly speed up the system response with respect to the open-loop one



- **Tuning is more complex** than in P-only control
 - ▷ The values of two adjustable parameters (K_c and τ_I) have to be identified
- The system response may become **oscillatory**
 - ▷ Bad tuning may even bring the system to **instability**
- The integral action may **saturate** (reset windup)
 - ▷ The technology to avoid windup exists

About 90% of the conventional industrial controller are based on the PI logic

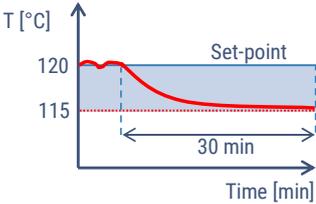
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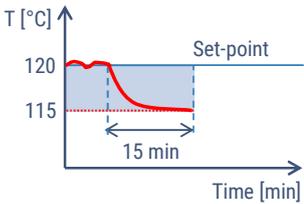
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DERIVATIVE CONTROL (D)





- The control action **depends of the time derivative** of the error
 - ▷ The controller acts by **anticipating** the future behavior of the process
 - ▷ **it cannot remove the offset**
 - ▷ If the error is **constant** (not necessarily zero), then also the control action is **constant**
 - ▷ This is why the D action is usually **coupled to the PI action** (sometimes to P only)
 - ▷ It is recommended for **slow loops** (large θ_p/τ_p ratio) with **noise-free measurements**
 - ▷ Typical slow loops: temperature (which is also almost noise-free); composition
 - ▷ Typical fast loops: flow (very noisy); liquid pressure

Ideal derivative action
D control law

$$p(t) = \bar{p} + \tau_D \frac{d\varepsilon(t)}{dt}$$

τ_D = derivative time >0

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WHY NOISE-FREE MEASUREMENTS?

■ The derivative action requires to derive a measurement with respect to time

$$p(t) = \bar{p} + \tau_D \frac{d\varepsilon(t)}{dt}$$

$$\frac{d\varepsilon(t)}{dt} \cong \frac{d\varepsilon}{dt} \Big|_{t=t_k} \cong \frac{\varepsilon_k - \varepsilon_{k-1}}{t_k - t_{k-1}} = \frac{\varepsilon_k - \varepsilon_{k-1}}{\Delta t}$$

- If the noise level is high, the valve opens and closes very frequently. Possible solutions are:
 - ▷ filter the measurement
 - ▷ attenuate the derivative action
 - ▷ remove the derivative action

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TRANSFER FUNCTION OF D ACTION

$$p(t) = \bar{p} + \tau_D \frac{d\varepsilon(t)}{dt}$$

■ The derivative action tends to «stabilize» the response

- ▷ The anticipatory control action **counteracts the oscillations** as soon as they generate, thus attenuating them
- ▷ The settling time usually **decreases**

■ The TF of an «ideal» D controller is $\Rightarrow G_c(s) = \frac{P'(s)}{E(s)} = \tau_D s$

- ▷ It is physically unrealizable
 - ❖ When the error is subject to a step change, it would give rise to $p'(t) \rightarrow \infty$
- ▷ In commercial implementations, it is usually coupled to a derivative filter, i.e. a FO system with time constant τ_f , whose value has to be assigned

$E(s) \rightarrow \left[\frac{1}{\tau_f s + 1} \right] \rightarrow \left[\tau_D s \right] \rightarrow P'(s)$
 \Rightarrow
 $E(s) \rightarrow \left[\frac{\tau_D s}{\tau_f s + 1} \right] \rightarrow P'(s)$
 \Rightarrow
 $E(s) \rightarrow \left[\frac{\tau_D s}{\alpha \tau_D s + 1} \right] \rightarrow P'(s)$

$0.05 \leq \alpha \leq 0.2$ often $\alpha = 0.1$

- ▷ The filter attenuates the sensitivity of the control action to high-frequency measurement noise

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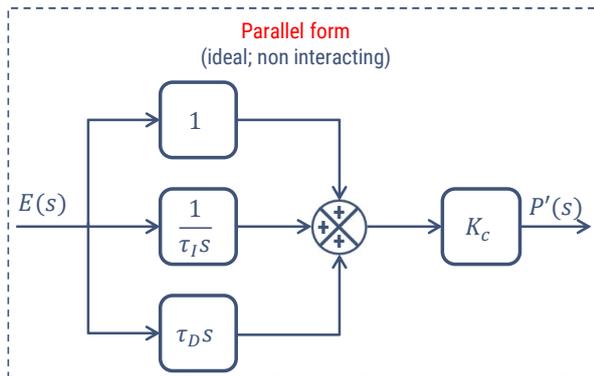
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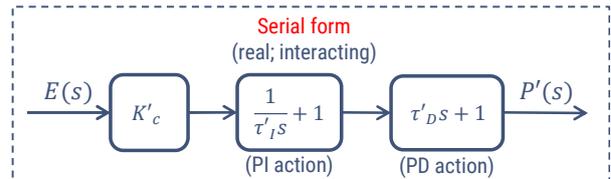
PROPORTIONAL-INTEGRAL-DERIVATIVE CONTROL (PID)

$$p(t) = \bar{p} + K_c \left(\varepsilon(t) + \frac{1}{\tau_I} \int_0^t \varepsilon(t^*) dt^* + \tau_D \frac{d\varepsilon(t)}{dt} \right)$$

Control law for a PID controller



$$G_c(s) = \frac{P'(s)}{E(s)} = K_c \left(1 + \frac{1}{\tau_I s} + \tau_D s \right)$$



Expanded form

$$p(t) = \bar{p} + K_c \varepsilon(t) + K_I \int_0^t \varepsilon(t^*) dt^* + K_D \frac{d\varepsilon(t)}{dt}$$

It can be demonstrated that the three forms are equivalent, provided that the tuning parameters are properly «converted» into one another

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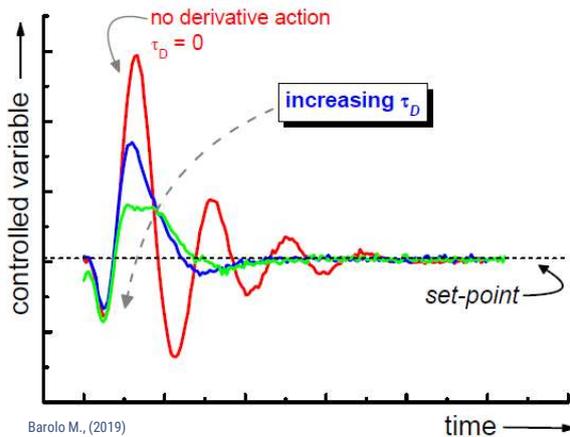
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RESPONSE OF A PID CONTROLLER

Typical response to a step disturbance



Barolo M., (2019)

$$p(t) = \bar{p} + K_c \left(\varepsilon(t) + \frac{1}{\tau_I} \int_0^t \varepsilon(t^*) dt^* + \tau_D \frac{d\varepsilon(t)}{dt} \right)$$

■ Increasing τ_D ($\uparrow \tau_D$)

- The oscillations determined by the integral action are attenuated (\downarrow **oscillations**)
- The process response is faster (\downarrow **settling time**)
- If τ_D is too large, the response worsens ($\uparrow \uparrow \tau_D \rightarrow$ **worst response**)

Warning

Noisy measurements can **degrade** the controller performance

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PROS & CONS of PID CONTROL

- The derivative action can **increase** the **speed of response** of the closed-loop system
- **Oscillations** can be **attenuated** with respect to PI control



- **Tuning is even more complex** than in PI controllers
 - The values of three adjustable parameters (K_c , τ_I and τ_D) have to be assigned
- The derivative action can **amplify measurement noise**
 - «Wear-and tear» of the control valve

Avoid using D action when the controlled variable measurement is noisy or when the loop is not slow

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ISSUES RELATED TO PRACTICAL IMPLEMENTATION OF PID CONTROLLERS

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THE DERIVATIVE KICK

- If the set-point is changed in a **PID** controller, a step error change is generated
 - ▷ A drastic change (**spike**) in the controller output $p(t)$ is obtained because of derivative action
- Instead of deriving the error, it is convenient to **derive the measurement**

$$\frac{d\varepsilon(t)}{dt} = \frac{dy_{sp}(t)}{dt} - \frac{dy_m(t)}{dt}$$
 - ▷ In the time intervals where the set-point is constant: $\frac{d\varepsilon(t)}{dt} = -\frac{dy_m(t)}{dt}$
 - ▷ Giving:
$$p(t) - \bar{p} = K_c \left(\varepsilon(t) + \frac{1}{\tau_I} \int_0^t \varepsilon(t^*) dt^* - \tau_D \frac{dy_m(t)}{dt} \right)$$
- A smaller peak may still be present due to the contribution of the P action (**proportional kick**)
 - ▷ This can be avoided **filtering** the set-point profile using a FO system

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RESET WINDUP (INTEGRAL WINDUP)

- Assume that the inlet T_i drops to an unusually very low value
 - ▷ The outlet temperature $T(t)$ decreases
 - ▷ The controller opens the steam valve ($p(t)$ increases)
 - ▷ The valve keeps opening until it becomes wide open (time t_1)
 - ▷ Since $e > 0$, the controller keeps integrating the error; $p(t)$ increases above 100%, but the valve cannot open more
 - ▷ If T_i starts to increase, the outlet temperature starts to increase as well, but for some time the error is still $e > 0$
 - ▷ At $t = t_2$, the outlet T crosses the set-point, the error changes sign, but the valve remains wide open until $p(t)$ gets back to 100% (time t_3)

$$p(t) - \bar{p} = K_c \left(\varepsilon(t) + \frac{1}{\tau_I} \int_0^t \varepsilon(t^*) dt^* \right)$$

Smith and Corripio, (1997)

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Notice
 What matters is **stopping the integration**, not limiting the controller output $p(t)$

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DIRECT-ACTING AND REVERSE-ACTING CONTROLLERS

$p(t) = \bar{p} + K_c [y_{sp}(t) - y_m(t)]$

The controller gain K_c may be positive or negative

- The definition of **direct-acting** or **reverse-acting** controller refers to the **relation between the measured variable (y_m) and the controller output ($p(t)$)**
- Example: assume that $K_c > 0$
 - If $y_m \downarrow \rightarrow \varepsilon(t) > 0 \rightarrow p(t) \uparrow \rightarrow$ **reverse acting control**
 - The opposite behavior arises when $K_c < 0$
- The controller action is selected depending on the **control valve action** and on the **process characteristics**
 - Air-to-open (fail-closed)
 - Air-to-close (fail-open)

Smith and Corripio., (1997)

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DIRECT-ACTING AND REVERSE-ACTING CONTROLLERS /cont'd

- It is essential to assign the correct controller action

General Guideline

The overall product of the gains for all the components in the feedback loop must be **positive**

- However, be aware of non self-regulating systems!

	Air-to open valve (direct-acting; $K_V > 0$)	Air-to close valve (reverse-acting; $K_V < 0$)	
$(K_P \cdot K_m) > 0$	Reverse-acting PID ($K_c > 0$)	Direct-acting PID ($K_c < 0$)	Km is the measurement sensor gain
$(K_P \cdot K_m) < 0$	Direct-acting PID ($K_c < 0$)	Reverse-acting PID ($K_c > 0$)	

Smith and Corripio., (2006)

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