

## LESSON 11.

### 1. REGULAR MAPS.

Let  $X, Y$  be quasi-projective varieties (or more generally locally closed sets). Let  $\varphi : X \rightarrow Y$  be a map.

**Definition 1.1.**  $\varphi$  is a *regular map* or a *morphism* if

- (i)  $\varphi$  is continuous;
- (ii)  $\varphi$  preserves regular functions, i.e. for all  $U \subset Y$  ( $U$  open and non-empty) and for all  $f \in \mathcal{O}(U)$ , then  $f \circ \varphi \in \mathcal{O}(\varphi^{-1}(U))$ :

$$\begin{array}{ccccc} X & \xrightarrow{\varphi} & Y & & \\ \uparrow & & \uparrow & & \\ \varphi^{-1}(U) & \xrightarrow{\varphi|} & U & \xrightarrow{f} & K \end{array}$$

Note that:

- a) for all  $X$  the identity map  $1_X : X \rightarrow X$  is regular;
- b) for all  $X, Y, Z$  and regular maps  $X \xrightarrow{\varphi} Y, Y \xrightarrow{\psi} Z$ , the composite map  $\psi \circ \varphi$  is regular.

An *isomorphism* of varieties is a regular map which possesses regular inverse, i.e. a regular map  $\varphi : X \rightarrow Y$  such that there exists a regular map  $\psi : Y \rightarrow X$  verifying the conditions  $\psi \circ \varphi = 1_X$  and  $\varphi \circ \psi = 1_Y$ . In this case  $X$  and  $Y$  are said to be isomorphic, and we write:  $X \simeq Y$ .

If  $\varphi : X \rightarrow Y$  is regular, there is a natural  $K$ -homomorphism  $\varphi^* : \mathcal{O}(Y) \rightarrow \mathcal{O}(X)$ , called the *comorphism associated to  $\varphi$* , defined by:  $f \rightarrow \varphi^*(f) := f \circ \varphi$ .

The construction of the comorphism is *functorial*, which means that:

- a)  $1_X^* = 1_{\mathcal{O}(X)}$ ;
- b)  $(\psi \circ \varphi)^* = \varphi^* \circ \psi^*$ .

This implies that, if  $X \simeq Y$ , then  $\mathcal{O}(X) \simeq \mathcal{O}(Y)$ . In fact, if  $\varphi : X \rightarrow Y$  is an isomorphism and  $\psi$  is its inverse, then  $\varphi \circ \psi = 1_Y$ , so  $(\varphi \circ \psi)^* = \psi^* \circ \varphi^* = (1_Y)^* = 1_{\mathcal{O}(Y)}$  and similarly  $\psi \circ \varphi = 1_X$  implies  $\varphi^* \circ \psi^* = 1_{\mathcal{O}(X)}$ .

**Example 1.2.**

- 1) The homeomorphism  $\varphi_i : U_i \rightarrow \mathbb{A}^n$  of Lesson 3, 1.6, is an isomorphism.
- 2) There exist homeomorphisms which are not isomorphisms. Let  $Y = V(x^3 - y^2) \subset \mathbb{A}^2$ . We have seen (see Exercise 2, Lesson 8) that  $K[X] \not\cong K[\mathbb{A}^1]$ , hence  $Y$  is not isomorphic to

the affine line  $\mathbb{A}^1$ . Nevertheless, the map

$$\varphi : \mathbb{A}^1 \rightarrow Y \text{ such that } t \rightarrow (t^2, t^3)$$

is regular, bijective and also a homeomorphism (see Exercise 1, Lesson 8).

Its inverse  $\varphi^{-1} : Y \rightarrow \mathbb{A}^1$  is defined by

$$(x, y) \rightarrow \begin{cases} \frac{y}{x} & \text{if } x \neq 0 \\ 0 & \text{if } (x, y) = (0, 0). \end{cases}$$

Note that  $\varphi^{-1}$  cannot be regular at the point  $(0, 0)$ .

**Proposition 1.3.** *Let  $\varphi : X \rightarrow Y \subset \mathbb{A}^n$  be a map. Then  $\varphi$  is regular if and only if  $\varphi_i := t_i \circ \varphi$  is a regular function on  $X$ , for all  $i = 1, \dots, n$ , where  $t_1, \dots, t_n$  are the coordinate functions on  $Y$ .*

*Proof.* If  $\varphi$  is regular, then  $\varphi_i = \varphi^*(t_i)$  is regular by definition.

Conversely, assume that  $\varphi_i$  is a regular function on  $X$  for all  $i$ . Let  $Z \subset Y$  be a closed subset and we have to prove that  $\varphi^{-1}(Z)$  is closed in  $X$ . Since any closed subset of  $\mathbb{A}^n$  is an intersection of hypersurfaces, it is enough to consider  $\varphi^{-1}(Y \cap V(F))$  with  $F \in K[x_1, \dots, x_n]$ :

$$\varphi^{-1}(Y \cap V(F)) = \{P \in X \mid F(\varphi(P)) = F(\varphi_1, \dots, \varphi_n)(P) = 0\} = V(F(\varphi_1, \dots, \varphi_n)).$$

But note that  $F(\varphi_1, \dots, \varphi_n) \in \mathcal{O}(X)$ : it is the composition of  $F$  with the regular functions  $\varphi_1, \dots, \varphi_n$ . Hence  $\varphi^{-1}(Y \cap V(F))$  is closed, so we can conclude that  $\varphi$  is continuous. If  $U \subset Y$  and  $f \in \mathcal{O}(U)$ , for any point  $P$  of  $U$  choose an open neighbourhood  $U_P$  such that  $f = F_P/G_P$  on  $U_P$ . So  $f \circ \varphi = F_P(\varphi_1, \dots, \varphi_n)/G_P(\varphi_1, \dots, \varphi_n)$  on  $\varphi^{-1}(U_P)$ , hence it is regular on each  $\varphi^{-1}(U_P)$  and by consequence on  $\varphi^{-1}(U)$ .  $\square$

**Remark.** If  $\varphi : X \rightarrow Y$  is a regular map and  $Y \subset \mathbb{A}^n$ , by Proposition 1.3 we can represent  $\varphi$  in the form  $\varphi = (\varphi_1, \dots, \varphi_n)$ , where  $\varphi_1, \dots, \varphi_n \in \mathcal{O}(X)$  and  $\varphi_i = \varphi^*(t_i)$ .  $\varphi_1, \dots, \varphi_n$  are not arbitrary in  $\mathcal{O}(X)$  but such that  $\text{Im } \varphi \subset Y$ .

If  $Y$  is closed in  $\mathbb{A}^n$ , let us recall that  $t_1, \dots, t_n$  generate  $\mathcal{O}(Y)$ , hence  $\varphi_1, \dots, \varphi_n$  generate  $\varphi^*(\mathcal{O}(Y))$  as  $K$ -algebra. This observation is the key for the following important result.

**Theorem 1.4.** *Let  $X$  be a locally closed algebraic set and  $Y$  be an affine algebraic set. Let  $\text{Hom}(X, Y)$  denote the set of regular maps from  $X$  to  $Y$  and  $\text{Hom}(\mathcal{O}(Y), \mathcal{O}(X))$  denote the set of  $K$ -homomorphisms from  $\mathcal{O}(Y)$  to  $\mathcal{O}(X)$ .*

*Then the map  $\text{Hom}(X, Y) \rightarrow \text{Hom}(\mathcal{O}(Y), \mathcal{O}(X))$ , such that  $\varphi : X \rightarrow Y$  goes to  $\varphi^* : \mathcal{O}(Y) \rightarrow \mathcal{O}(X)$ , is bijective.*

*Proof.* Let  $Y \subset \mathbb{A}^n$  and let  $t_1, \dots, t_n$  be the coordinate functions on  $Y$ , so  $\mathcal{O}(Y) = K[t_1, \dots, t_n]$ . Let  $u : \mathcal{O}(Y) \rightarrow \mathcal{O}(X)$  be a  $K$ -homomorphism: we want to define a morphism  $u^\sharp : X \rightarrow Y$  whose associated comorphism is  $u$ . By the previous Remark, if  $u^\sharp$  exists, its components have to be  $u(t_1), \dots, u(t_n)$ . So we define

$$\begin{aligned} u^\sharp : X &\rightarrow \mathbb{A}^n \\ P &\rightarrow (u(t_1)(P), \dots, u(t_n)(P)). \end{aligned}$$

This is a morphism by Proposition 1.3. We claim that  $u^\sharp(X) \subset Y$ . Let  $F \in I(Y)$  and  $P \in X$ : then

$$\begin{aligned} F(u^\sharp(P)) &= F(u(t_1)(P), \dots, u(t_n)(P)) = \\ &= F(u(t_1), \dots, u(t_n))(P) = \\ &= u(F(t_1, \dots, t_n))(P) \text{ because } u \text{ is } K\text{-homomorphism} = \\ &= u(0)(P) = \\ (1) \qquad &= 0(P) = 0. \end{aligned}$$

So  $u^\sharp$  is a regular map from  $X$  to  $Y$ .

We consider now  $(u^\sharp)^* : \mathcal{O}(Y) \rightarrow \mathcal{O}(X)$ : it takes a function  $f$  to  $f \circ u^\sharp = f(u(t_1), \dots, u(t_n)) = u(f)$ , so  $(u^\sharp)^* = u$ . Conversely, if  $\varphi : X \rightarrow Y$  is regular, then  $(\varphi^*)^\sharp$  takes  $P$  to

$$(\varphi^*(t_1)(P), \dots, \varphi^*(t_n)(P)) = (\varphi_1(P), \dots, \varphi_n(P)),$$

so  $(\varphi^*)^\sharp = \varphi$ . □

Note that, by definition,  $1_{\mathcal{O}(X)}^\sharp = 1_X$ , for all affine  $X$ ; moreover  $(v \circ u)^\sharp = u^\sharp \circ v^\sharp$  for all  $u : \mathcal{O}(Z) \rightarrow \mathcal{O}(Y)$ ,  $v : \mathcal{O}(Y) \rightarrow \mathcal{O}(X)$ ,  $K$ -homomorphisms of affine algebraic sets: this means that also this construction is functorial.

The previous results can be rephrased using the language of categories. We introduce a category  $\mathcal{C}$  whose objects are the affine algebraic sets over a fixed algebraically closed field  $K$  and the morphisms are the regular maps. We consider also a second category  $\mathcal{C}'$  with objects the  $K$ -algebras and morphisms the  $K$ -homomorphisms. Then there is a contravariant functor that operates on the objects sending  $X$  to  $\mathcal{O}(X) = K[X]$ , and on the morphisms sending  $\varphi$  to the associated comorphisms  $\varphi^*$ .

If we restrict the class of objects of  $\mathcal{C}'$  taking only the finitely generated reduced  $K$ -algebras (a full subcategory of the previous one), then this functor becomes an equivalence of categories. Indeed the construction of the comorphism establishes a bijection between the Hom sets  $\text{Hom}_{\mathcal{C}}(X, Y)$  and  $\text{Hom}_{\mathcal{C}'}(\mathcal{O}(Y), \mathcal{O}(X))$ . Moreover, for any finitely generated

$K$ -algebra  $A$ , there exists an affine algebraic set  $X$  such that  $A$  is  $K$ -isomorphic to  $\mathcal{O}(X)$ . To see this, we choose a finite set of generators of  $A$ , such that  $A = K[\xi_1, \dots, \xi_n]$ . Then we can consider the surjective  $K$ -homomorphism  $\Psi$  from the polynomial ring  $K[x_1, \dots, x_n]$  to  $A$  sending  $x_i$  to  $\xi_i$  for any  $i$ . In view of the fundamental theorem of homomorphism, it follows that  $A \simeq K[x_1, \dots, x_n]/\ker \Psi$ . The assumption that  $A$  is reduced then implies that  $X := V(\ker \Psi) \subset \mathbb{A}^n$  is an affine algebraic set with  $I(X) = \ker \Psi$  and  $A \simeq \mathcal{O}(X)$ .

We note that changing system of generators for  $A$  changes the homomorphism  $\Psi$ , and by consequence also the algebraic set  $X$ , up to isomorphism. For instance let  $A$  be a polynomial ring in one variable  $t$ : if we choose only  $t$  as system of generators, we get  $X = \mathbb{A}^1$ , but if we choose  $t, t^2, t^3$  we get the affine skew cubic in  $\mathbb{A}^3$ .

As a consequence of the previous discussion we have the following:

**Corollary 1.5.** *Let  $X, Y$  be affine varieties. Then  $X \simeq Y$  if and only if  $\mathcal{O}(X) \simeq \mathcal{O}(Y)$ .*

If  $X$  and  $Y$  are quasi-projective varieties and  $\varphi : X \rightarrow Y$  is regular, it is not always possible to define a comorphism  $K(Y) \rightarrow K(X)$ . If  $f$  is a rational function on  $Y$  with  $\text{dom} f = U$ , it can happen that  $\varphi(X) \cap \text{dom} f = \emptyset$ , in which case  $f \circ \varphi$  does not exist. Nevertheless, if we assume that  $\varphi$  is **dominant**, i.e.  $\overline{\varphi(X)} = Y$ , then certainly  $\varphi(X) \cap U \neq \emptyset$ , hence  $\langle \varphi^{-1}(U), f \circ \varphi \rangle \in K(X)$ . We obtain a  $K$ -homomorphism, which is necessarily injective,  $K(Y) \rightarrow K(X)$ , also denoted by  $\varphi^*$ . Note that in this case, we have:  $\dim X \geq \dim Y$ . As above, it is possible to check that, if  $X \simeq Y$ , then  $K(X) \simeq K(Y)$ , hence  $\dim X = \dim Y$ . Moreover, if  $P \in X$  and  $Q = \varphi(P)$ , then  $\varphi^*$  induces a map  $\mathcal{O}_{Q,Y} \rightarrow \mathcal{O}_{P,X}$ , such that  $\varphi^* \mathcal{M}_{Q,Y} \subset \mathcal{M}_{P,X}$ . Also in this case, if  $\varphi$  is an isomorphism, then  $\mathcal{O}_{Q,Y} \simeq \mathcal{O}_{P,X}$ .

We will see now how to express in practice a regular map when the target is contained in a projective space. Let  $X \subset \mathbb{P}^n$  be a quasi-projective variety and  $\varphi : X \rightarrow \mathbb{P}^m$  be a map.

**Proposition 1.6.**  *$\varphi$  is a morphism if and only if, for any  $P \in X$ , there exist an open neighbourhood  $U_P$  of  $P$  and  $n + 1$  homogeneous polynomials  $F_0, \dots, F_m$  of the same degree in  $K[x_0, x_1, \dots, x_n]$ , such that, if  $Q \in U_P$ , then  $\varphi(Q) = [F_0(Q), \dots, F_m(Q)]$ . In particular, for any  $Q \in U_P$ , there exists an index  $i$  such that  $F_i(Q) \neq 0$ .*

*Proof.* “ $\Rightarrow$ ” Let  $P \in X$ ,  $Q = \varphi(P)$  and assume that  $Q \in U_0$ . Then  $U := \varphi^{-1}(U_0)$  is an open neighbourhood of  $P$  and we can consider the restriction  $\varphi|_U : U \rightarrow U_0$ , which is regular. Possibly after restricting  $U$ , using non-homogeneous coordinates on  $U_0$ , we can assume that  $\varphi|_U = (F_1/G_1, \dots, F_m/G_m)$ , where  $(F_1, G_1), \dots, (F_m, G_m)$  are pairs of homogeneous polynomials of the same degree such that  $V_P(G_i) \cap U = \emptyset$  for all index  $i$ . We can reduce the fractions  $F_i/G_i$  to a common denominator  $F_0$ , so that  $\deg F_0 = \deg F_1 = \dots = \deg F_m$  and  $\varphi|_U = (F_1/F_0, \dots, F_m/F_0) = [F_0, F_1, \dots, F_m]$ , with  $F_0(Q) \neq 0$  for  $Q \in U$ .

“ $\Leftarrow$ ” Possibly after restricting  $U_P$ , we can assume  $F_i(Q) \neq 0$  for all  $Q \in U_P$  and suitable  $i$ . Let  $i = 0$ : then  $\varphi|_{U_P} : U_P \rightarrow U_0$  operates as follows:

$$\varphi|_{U_P}(Q) = (F_1(Q)/F_0(Q), \dots, F_m(Q)/F_0(Q)),$$

so it is a morphism by Proposition 1.3. From this remark, one deduces that also  $\varphi$  is a morphism.  $\square$

**Example 1.7.**

Let  $X \subset \mathbb{P}^2$ ,  $X = V_P(x_1^2 + x_2^2 - x_0^2)$ , the projective closure of the unitary circle. We define  $\varphi : X \rightarrow \mathbb{P}^1$  by

$$[x_0, x_1, x_2] \rightarrow \begin{cases} [x_0 - x_2, x_1] & \text{if } (x_0 - x_2, x_1) \neq (0, 0) \\ [x_1, x_0 + x_2] & \text{if } (x_1, x_0 + x_2) \neq (0, 0). \end{cases}$$

$\varphi$  is well-defined because, on  $X$ ,  $x_1^2 = (x_0 - x_2)(x_0 + x_2)$ . Moreover

$$(x_1, x_0 - x_2) \neq (0, 0) \Leftrightarrow [x_0, x_1, x_2] \in X \setminus \{[1, 0, 1]\},$$

$$(x_0 + x_2, x_1) \neq (0, 0) \Leftrightarrow [x_0, x_1, x_2] \in X \setminus \{[1, 0, -1]\}.$$

The map  $\varphi$  is the natural extension of the rational function  $f : X \setminus \{[1, 0, 1]\} \rightarrow K$  such that  $[x_0, x_1, x_2] \rightarrow x_1/(x_0 - x_2)$  (Lesson 10, Example 1.11, 2). Now the point  $P[1, 0, 1]$ , the centre of the stereographic projection, goes to the point at infinity of the line  $V_P(x_2)$ .

By geometric reasons  $\varphi$  is invertible and  $\varphi^{-1} : \mathbb{P}^1 \rightarrow X$  takes  $[\lambda, \mu]$  to  $[\lambda^2 + \mu^2, 2\lambda\mu, \lambda^2 - \mu^2]$  (note the connection with the Pitagorean triples!).

Indeed: the line through  $P$  and  $[\lambda, \mu, 0]$  has equation:  $\mu x_0 - \lambda x_1 - \mu x_2 = 0$ . Its intersections with  $X$  are represented by the system:

$$\begin{cases} \mu x_0 - \lambda x_1 - \mu x_2 = 0 \\ x_1^2 + x_2^2 - x_0^2 = 0 \end{cases}$$

Assuming  $\mu \neq 0$  this system is equivalent to the following:

$$\begin{cases} \mu x_0 - \lambda x_1 - \mu x_2 = 0 \\ \mu^2 x_0^2 = \mu^2 (x_1^2 + x_2^2) = (\lambda x_1 + \mu x_2)^2 \end{cases}$$

Therefore, either  $x_1 = 0$  and  $x_0 = x_2$ , or

$$\begin{cases} (\mu^2 - \lambda^2)x_1 - 2\lambda\mu x_2 = 0 \\ \mu x_0 = \lambda x_1 + \mu x_2 \end{cases}$$

which gives the required expression.

**Example 1.8.** *Affine transformations.*

Let  $A = (a_{ij})$  be a  $n \times n$  matrix with entries in  $K$ , let  $B = (b_1, \dots, b_n) \in \mathbb{A}^n$  be a point. The map  $\tau_A : \mathbb{A}^n \rightarrow \mathbb{A}^n$  defined by  $(x_1, \dots, x_n) \rightarrow (y_1, \dots, y_n)$ , such that

$$\{y_i = \sum_j a_{ij}x_j + b_i, i = 1, \dots, n,$$

is a regular map called an affine transformation of  $\mathbb{A}^n$ . In matrix notation  $\tau_A$  is  $Y = AX + B$ . If  $A$  is of rank  $n$ , then  $\tau_A$  is said non-degenerate and is an isomorphism: the inverse map  $\tau_A^{-1}$  is represented by  $X = A^{-1}Y - A^{-1}B$ . More in general, an affine transformation from  $\mathbb{A}^n$  to  $\mathbb{A}^m$  is a map represented in matrix form by  $Y = AX + B$ , where  $A$  is a  $m \times n$  matrix and  $B \in \mathbb{A}^m$ . It is injective if and only if  $\text{rk}A = n$  and surjective if and only if  $\text{rk}A = m$ .

The isomorphisms of an algebraic set  $X$  in itself are called automorphisms of  $X$ : they form a group for the usual composition of maps, denoted by  $\text{Aut } X$ . If  $X = \mathbb{A}^n$ , the non-degenerate affine transformations form a subgroup of  $\text{Aut } \mathbb{A}^n$ .

If  $n = 1$  and the characteristic of  $K$  is 0, then  $\text{Aut } \mathbb{A}^1$  coincides with this subgroup. In fact, let  $\varphi : \mathbb{A}^1 \rightarrow \mathbb{A}^1$  be an automorphism: it is represented by a polynomial  $F(x)$  such that there exists  $G(x)$  satisfying the condition  $G(F(t)) = t$  for all  $t \in \mathbb{A}^1$ , i.e.  $G(F(x)) = x$  in the polynomial ring  $K[x]$ . Then, taking derivatives, we get  $G'(F(x))F'(x) = 1$ , which implies  $F'(t) \neq 0$  for all  $t \in K$ , so  $F'(x)$  is a non-zero constant. Hence,  $F$  is linear and  $G$  is linear too.

If  $n \geq 2$ , then  $\text{Aut } \mathbb{A}^n$  is not completely described. There exist non-linear automorphisms of degree  $d$ , for all  $d$ . For example, for  $n = 2$ : let  $\varphi : \mathbb{A}^2 \rightarrow \mathbb{A}^2$  be given by  $(x, y) \rightarrow (x, y + P(x))$ , where  $P$  is any polynomial of  $K[x]$ . Then  $\varphi^{-1} : (x', y') \rightarrow (x', y' - P(x'))$ . A very important open problem is the Jacobian conjecture, stating that, in characteristic zero, a regular map  $\varphi : \mathbb{A}^n \rightarrow \mathbb{A}^n$  is an automorphism if and only if the Jacobian determinant  $|J(\varphi)|$  is a non-zero constant.

**Example 1.9.** *Projective transformations.*

Let  $A$  be a  $(n + 1) \times (n + 1)$ -matrix with entries in  $K$ . Let  $P[x_0, \dots, x_n] \in \mathbb{P}^n$ : then  $[a_{00}x_0 + \dots + a_{0n}x_n, \dots, a_{n0}x_0 + \dots + a_{nn}x_n]$  is a point of  $\mathbb{P}^n$  if and only if it is different from  $[0, \dots, 0]$ . So  $A$  defines a regular map  $\tau : \mathbb{P}^n \rightarrow \mathbb{P}^n$  if and only if  $\text{rk}A = n + 1$ . If  $\text{rk}A = r < n + 1$ , then  $A$  defines a regular map whose domain is the quasi-projective variety  $\mathbb{P}^n \setminus \mathbb{P}(\ker A)$ . If  $\text{rk}A = n + 1$ , then  $\tau$  is an isomorphism, called a projective transformation. Note that the matrices  $\lambda A$ ,  $\lambda \in K^*$ , all define the same projective transformation. So  $PGL(n + 1, K) := GL(n + 1, K)/K^*$  acts on  $\mathbb{P}^n$  as the group of projective transformations.

If  $X, Y \subset \mathbb{P}^n$ , they are called **projectively equivalent** if there exists a projective transformation  $\tau : \mathbb{P}^n \rightarrow \mathbb{P}^n$  such that  $\tau(X) = Y$ .

**Theorem 1.10.** *Fundamental theorem on projective transformations.*

Let two  $(n + 2)$ -tuples of points of  $\mathbb{P}^n$  in general position be fixed:  $P_0, \dots, P_{n+1}$  and  $Q_0, \dots, Q_{n+1}$ . Then there exists one, and only one, isomorphic projective transformation  $\tau$  of  $\mathbb{P}^n$  in itself, such that  $\tau(P_i) = Q_i$  for all index  $i$ .

*Proof.* Put  $P_i = [v_i]$ ,  $Q_i = [w_i]$ ,  $i = 0, \dots, n + 1$ . So  $\{v_0, \dots, v_n\}$  and  $\{w_0, \dots, w_n\}$  are two bases of  $K^{n+1}$ , hence there exist scalars  $\lambda_0, \dots, \lambda_n, \mu_0, \dots, \mu_n$  such that

$$v_{n+1} = \lambda_0 v_0 + \dots + \lambda_n v_n, \quad w_{n+1} = \mu_0 w_0 + \dots + \mu_n w_n,$$

where the coefficients are all different from 0, because of the general position assumption. We replace  $v_i$  with  $\lambda_i v_i$  and  $w_i$  with  $\mu_i w_i$  and get two new bases, so there exists a unique automorphism of  $K^{n+1}$  transforming the first basis in the second one and, by consequence, also  $v_{n+1}$  in  $w_{n+1}$ . This automorphism induces the required projective transformation on  $\mathbb{P}^n$ .  $\square$

An immediate consequence of the above theorem is that projective subspaces of the same dimension are projectively equivalent. Also two subsets of  $\mathbb{P}^n$  formed both by  $k$  points in general position are projectively equivalent if  $k \leq n + 2$ . If  $k > n + 2$ , this is no longer true, already in the case of four points on a projective line. The problem of describing the classes of projective equivalence of  $k$ -tuples of points of  $\mathbb{P}^n$ , for  $k > n + 2$ , is one of the first problems of the classical invariant theory. The solution in the case  $k = 4$ ,  $n = 1$  is given by the notion of *cross-ratio*.

**Example 1.11.**

Let  $X \subset \mathbb{A}^n$  be an affine variety, then  $X_F = X \setminus V(F)$  is isomorphic to a closed subset of  $\mathbb{A}^{n+1}$ , i.e. to  $Y = V(x_{n+1}F - 1, G_1, \dots, G_r)$ , where  $I(X) = \langle G_1, \dots, G_r \rangle$ . Indeed, the following regular maps are inverse each other:

- $\varphi : X_F \rightarrow Y$  such that  $(x_1, \dots, x_n) \rightarrow (x_1, \dots, x_n, 1/F(x_1, \dots, x_n))$ ,
- $\psi : Y \rightarrow X_F$  such that  $(x_1, \dots, x_n, x_{n+1}) \rightarrow (x_1, \dots, x_n)$ .

Hence,  $X_F$  is a quasi-projective variety contained in  $\mathbb{A}^n$ , not closed in  $\mathbb{A}^n$ , but isomorphic to a closed subset of another affine space.

From now on, the term *affine variety* will denote a *quasi-projective variety isomorphic to some affine closed set*.

If  $X$  is an affine variety and precisely  $X \simeq Y$ , with  $Y \subset \mathbb{A}^n$  closed, then  $\mathcal{O}(X) \simeq \mathcal{O}(Y) = K[t_1, \dots, t_n]$  is a finitely generated  $K$ -algebra. In particular, if  $K$  is algebraically closed and  $\alpha$  is an ideal strictly contained in  $\mathcal{O}(X)$ , then  $V(\alpha) \subset X$  is non-empty, by the relative

form of the Nullstellensatz. From this observation, we can deduce that the quasi-projective variety of next example is not affine.

**Example 1.12.**  $\mathbb{A}^2 \setminus \{(0, 0)\}$  is not affine.

Set  $X = \mathbb{A}^2 \setminus \{(0, 0)\}$ : first of all we will prove that  $\mathcal{O}(X) \simeq K[x, y] = \mathcal{O}(\mathbb{A}^2)$ , i.e. any regular function on  $X$  can be extended to a regular function on the whole plane.

Indeed: let  $f \in \mathcal{O}(X)$ : if  $P \neq Q$  are points of  $X$ , then there exist polynomials  $F, G, F', G'$  such that  $f = F/G$  on a neighbourhood  $U_P$  of  $P$  and  $f = F'/G'$  on a neighbourhood  $U_Q$  of  $Q$ . So  $F'G = FG'$  on  $U_P \cap U_Q \neq \emptyset$ , which is open also in  $\mathbb{A}^2$ , hence dense. Therefore  $F'G = FG'$  in  $K[x, y]$ . We can clearly assume that  $F$  and  $G$  are coprime and similarly for  $F'$  and  $G'$ . So by the unique factorization property, it follows that  $F' = F$  and  $G' = G$ . In particular  $f$  admits a unique representation as  $F/G$  on  $X$  and  $G(P) \neq 0$  for all  $P \in X$ . Hence  $G$  has no zeros on  $\mathbb{A}^2$ , so  $G = c \in K^*$  and  $f \in \mathcal{O}(X)$ .

Now, the ideal  $\langle x, y \rangle$  has no zeros in  $X$  and is proper: this proves that  $X$  is not affine.

We have exploited the fact that a polynomial in more than one variables has infinitely many zeros, a fact that allows to generalise the previous observation.

On the other hand, the following property holds:

**Proposition 1.13.** Let  $X \subset \mathbb{P}^n$  be quasi-projective. Then  $X$  admits an open covering by affine varieties.

*Proof.* Let  $X = X_0 \cup \dots \cup X_n$  be the open covering of  $X$  where  $X_i = U_i \cap X = \{P \in X \mid P[a_0, \dots, a_n], a_i \neq 0\}$ . So, fixed  $P$ , there exists an index  $i$  such that  $P \in X_i$ . We can assume that  $P \in X_0$ :  $X_0$  is open in some affine variety  $Y$  of  $\mathbb{A}^n$  (identified with  $U_0$ ); set  $X_0 = Y \setminus Y'$ , where  $Y, Y'$  are both closed. Since  $P \notin Y'$ , there exists  $F$  such that  $F(P) \neq 0$  and  $V(F) \supset Y'$ . So  $P \in Y \setminus V(F) \subset Y \setminus Y'$  and  $Y \setminus V(F)$  is an affine open neighbourhood of  $P$  in  $Y \setminus Y' = X_0 \subset X$ .  $\square$

**Example 1.14.** The Veronese maps.

Let  $n, d$  be positive integers; put  $N(n, d) = \binom{n+d}{d} - 1$ . Note that  $\binom{n+d}{d}$  is equal to the number of (monic) monomials of degree  $d$  in the variables  $x_0, \dots, x_n$ , that is equal to the number of  $(n+1)$ -tuples  $(i_0, \dots, i_n)$  such that  $i_0 + \dots + i_n = d, i_j \geq 0$ . Then in  $\mathbb{P}^{N(n,d)}$  we can use coordinates  $\{v_{i_0 \dots i_n}\}$ , where  $i_0, \dots, i_n \geq 0$  and  $i_0 + \dots + i_n = d$ . For example: if  $n = 2, d = 2$ , then  $N(2, 2) = \binom{4}{2} - 1 = 5$ . In  $\mathbb{P}^5$  we can use coordinates  $v_{200}, v_{110}, v_{101}, v_{020}, v_{011}, v_{002}$ .

For all  $n, d$  we define the map  $v_{n,d} : \mathbb{P}^n \rightarrow \mathbb{P}^{N(n,d)}$  such that

$$[x_0, \dots, x_n] \rightarrow [v_{d00\dots 0}, v_{d-1,10\dots 0}, \dots, v_{0\dots 00d}]$$



where  $v_{i_0 \dots i_n} = x_0^{i_0} x_1^{i_1} \dots x_n^{i_n}$ :  $v_{n,d}$  is clearly a morphism, its image is denoted by  $V_{n,d}$  and is called *the Veronese variety* of type  $(n, d)$ . It is in fact the projective variety of equations:

$$(2) \quad \{v_{i_0 \dots i_n} v_{j_0 \dots j_n} - v_{h_0 \dots h_n} v_{k_0 \dots k_n}, \forall i_0 + j_0 = h_0 + k_0, i_1 + j_1 = h_1 + k_1, \dots\}$$

We prove this statement in the particular case  $n = d = 2$ ; the general case is similar.

First of all, it is clear that the points of  $v_{n,d}(\mathbb{P}^n)$  satisfy the system (2). Conversely, assume that  $P[v_{200}, v_{110}, \dots] \in \mathbb{P}^5$  satisfies equations (2), which become:

$$\begin{cases} v_{200}v_{020} = v_{110}^2 \\ v_{200}v_{002} = v_{101}^2 \\ v_{002}v_{020} = v_{011}^2 \\ v_{200}v_{011} = v_{110}v_{101} \\ v_{020}v_{101} = v_{110}v_{011} \\ v_{110}v_{002} = v_{011}v_{101} \end{cases}$$

Then, at least one of the coordinates  $v_{200}, v_{020}, v_{002}$  is different from 0.

Therefore, if  $v_{200} \neq 0$ , then  $P = v_{2,2}([v_{200}, v_{110}, v_{101}])$ ; if  $v_{020} \neq 0$ , then  $P = v_{2,2}([v_{110}, v_{020}, v_{011}])$ ; if  $v_{002} \neq 0$ , then  $P = v_{2,2}([v_{101}, v_{011}, v_{002}])$ . Note that, if two of these three coordinates are different from 0, then the points of  $\mathbb{P}^2$  found in this way have proportional coordinates, so they coincide.

We have also proved in this way that  $v_{2,2}$  is an isomorphism between  $\mathbb{P}^2$  and  $V_{2,2}$ , called the Veronese surface of  $\mathbb{P}^5$ . The same happens in the general case.

If  $n = 1$ ,  $v_{1,d}: \mathbb{P}^1 \rightarrow \mathbb{P}^d$  takes  $[x_0, x_1]$  to  $[x_0^d, x_0^{d-1}x_1, \dots, x_1^d]$ : the image is called the *rational normal curve* of degree  $d$ , it is isomorphic to  $\mathbb{P}^1$ . If  $d = 3$ , we find the skew cubic.

Let now  $X \subset \mathbb{P}^n$  be a hypersurface of degree  $d$ :  $X = V_P(F)$ , with

$$F = \sum_{i_0 + \dots + i_n = d} a_{i_0 \dots i_n} x_0^{i_0} \dots x_n^{i_n}.$$

Then  $v_{n,d}(X) \simeq X$ : it is the set of points

$$\{v_{i_0 \dots i_n} \in \mathbb{P}^{N(n,d)} \mid \sum_{i_0 + \dots + i_n = d} a_{i_0 \dots i_n} v_{i_0 \dots i_n} = 0 \text{ and } [v_{i_0 \dots i_n}] \in V_{n,d}\}.$$

It coincides with  $V_{n,d} \cap H$ , where  $H$  is a hyperplane of  $\mathbb{P}^{N(n,d)}$ : a hyperplane section of the Veronese variety. This is called the linearisation process, allowing to “transform” a hypersurface in a hyperplane, modulo the Veronese isomorphism.

The Veronese surface  $V = V_{2,2}$  of  $\mathbb{P}^5$  enjoys a lot of interesting properties. Most of them follow from its property of being covered by a 2-dimensional family of conics, which are precisely the images via  $v_{2,2}$  of the lines of the plane.

To see this, we will change notation and will use as coordinates in  $\mathbb{P}^5$   $w_{00}, w_{01}, w_{02}, w_{11}, w_{12}, w_{22}$ , so that  $v_{2,2}$  sends  $[x_0, x_1, x_2]$  to the point of coordinates  $w_{ij} = x_i x_j$ . With this choice of coordinates, the equations of  $V$  are obtained by annihilating the  $2 \times 2$  minors of the symmetric matrix:

$$M = \begin{pmatrix} w_{00} & w_{01} & w_{02} \\ w_{01} & w_{11} & w_{12} \\ w_{02} & w_{12} & w_{22} \end{pmatrix}.$$

Let  $\ell$  be a line of  $\mathbb{P}^2$  of equation  $b_0 x_0 + b_1 x_1 + b_2 x_2 = 0$ . Its image is the set of points of  $\mathbb{P}^5$  with coordinates  $w_{ij} = x_i x_j$ , such that there exists a non-zero triple  $[x_0, x_1, x_2]$  with  $b_0 x_0 + b_1 x_1 + b_2 x_2 = 0$ . But this last equation is equivalent to the system:

$$\begin{cases} b_0 x_0^2 + b_1 x_0 x_1 + b_2 x_0 x_2 = 0 \\ b_0 x_0 x_1 + b_1 x_1^2 + b_2 x_1 x_2 = 0 \\ b_0 x_0 x_2 + b_1 x_1 x_2 + b_2 x_2^2 = 0 \end{cases}$$

It represents the intersection of  $V$  with the plane

$$(3) \quad \begin{cases} b_0 w_{00} + b_1 w_{01} + b_2 w_{02} = 0 \\ b_0 w_{01} + b_1 w_{11} + b_2 w_{12} = 0 \\ b_0 w_{02} + b_1 w_{12} + b_2 w_{22} = 0 \end{cases}$$

so  $v_{2,2}(\ell)$  is a plane curve. Its degree is the number of points in its intersection with a general hyperplane in  $\mathbb{P}^5$ : this corresponds to the intersection in  $\mathbb{P}^2$  of  $\ell$  with a conic (a hypersurface of degree 2). Therefore  $v_{2,2}(\ell)$  is a conic.

So the isomorphism  $v_{2,2}$  transforms the geometry of the lines in the plane in the geometry of the conics in the Veronese surface. In particular, given two distinct points on  $V$ , there is exactly one conic contained in  $V$  and passing through them.

From this observation it is easy to deduce that the *secant lines* of  $V$ , i.e. the lines meeting  $V$  at two points, are precisely the lines of the planes generated by the conics contained in  $V$ , so that the (closure of the) union of these secant lines coincides with the union of the planes of the conics of  $V$ . This union results to be the cubic hypersurface defined by the equation

$$\det M = \det \begin{pmatrix} w_{00} & w_{01} & w_{02} \\ w_{01} & w_{11} & w_{12} \\ w_{02} & w_{12} & w_{22} \end{pmatrix} = 0.$$

Indeed a point in  $\mathbb{P}^5$ , of coordinates  $[w_{ij}]$  belongs to the plane of a conic contained in  $V$  if and only if there exists a non-zero triple  $[b_0, b_1, b_2]$  which is solution of the homogeneous system (3).

**Exercises 1.15.** 1. Let  $X, Y$  be closed subsets of  $\mathbb{A}^n$ . Consider  $X \times Y \subset \mathbb{A}^{2n}$  and the linear subspace, called the diagonal,  $\Delta \subset \mathbb{A}^{2n}$  defined by the equations  $x_i - y_i = 0$ ,  $i = 1, \dots, n$ .

Prove that  $(X \times Y) \cap \Delta$  is isomorphic to  $X \cap Y$ , constructing an explicit regular map with regular inverse.

2. Let  $f : \mathbb{A}^2 \rightarrow \mathbb{A}^2$  be the map defined by  $f(x, y) = (x, xy)$ . Check that  $f$  is regular and find the image  $f(\mathbb{A}^2)$ : is it open in  $\mathbb{A}^2$ ? Dense? Closed? Locally closed? Irreducible?

3. Let  $v_{1,d} : \mathbb{P}^1 \rightarrow \mathbb{P}^d$  be the  $d$ -tuple Veronese map, such that  $v_{1,d}([x_0, x_1]) = [x_0^d, x_0^{d-1}x_1, \dots, x_1^d]$ .

a) Check that the image of  $v_{1,d}$  is  $C_d$ , the projective algebraic set defined by the  $2 \times 2$  minors of the matrix

$$A = \begin{pmatrix} x_0 & x_1 & \dots & x_{d-1} \\ x_1 & x_2 & \dots & x_d \end{pmatrix}.$$

$C_d$  is called the rational normal curve of degree  $d$ .

b) Prove that  $v_{1,d} : \mathbb{P}^1 \rightarrow C_d$  is an isomorphism, by explicitly constructing its inverse morphism.

c) Prove that any  $d+1$  points on  $C_d$  are linearly independent in  $\mathbb{P}^d$  (Hint: Vandermonde).