

Rapid Response to COVID-19: Health Informatics Support for Outbreak Management in an Academic Health System

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ABSTRACT:

Objective:

To describe the implementation of technological support important for optimizing clinical management of the COVID-19 pandemic.

Materials and Methods:

Our health system has confirmed prior and current cases of COVID-19. An Incident Command Center was established early in the crisis and helped identify electronic health record (EHR) based tools to support clinical care.

Results:

We outline the design and implementation of EHR based rapid screening processes, laboratory testing, clinical decision support, reporting tools, and patient-facing technology related to COVID-19.

Discussion:

The EHR is a useful tool to enable rapid deployment of standardized processes. UC San Diego Health built multiple COVID-19-specific tools to support outbreak management, including scripted triaging, electronic check-in, standard ordering and documentation, secure messaging, real-time data analytics, and telemedicine capabilities. Challenges included the need to frequently adjust build to meet rapidly evolving requirements, communication and adoption, and

coordinating the needs of multiple stakeholders while maintaining high-quality, pre-pandemic medical care.

Conclusion

The EHR is an essential tool in supporting the clinical needs of a health system managing the COVID-19 pandemic.

BACKGROUND AND SIGNIFICANCE:

The novel coronavirus COVID-19 was initially identified in December 2019 as a case of pneumonia in Wuhan, China and has since become a global pandemic, affecting greater than 150 countries around the world.[1-6] The World Health Organization declared the outbreak a pandemic on March 11, 2020 and called for coordinated mechanisms to support preparedness and response to the infection across health sectors.[7] On March 13, the Executive Office of the United States proclaimed the pandemic a national emergency.[8] While the incidence of COVID-19 continues to rise, healthcare systems are rapidly preparing and adapting to increasing clinical demands.[9-10] Inherent to the operational management of a pandemic in the era of modern medicine is leveraging the capabilities of the electronic health record (EHR), which can be useful for developing tools to support standard management of patients.[11] Technology-based tools can effectively support institutions during a pandemic by facilitating the immediate widespread distribution of information, tracking transmission in real-time, creating virtual venues for meetings and day-to-day operations, and, perhaps most importantly, offering telemedicine visits for patients.[12-16]

During the Ebola outbreak in 2014, attention was brought to the use of the EHR as a potential public health tool.[17] Unfortunately, despite the recent Ebola epidemic, the infrastructure for outbreak management was not present in many United States health systems and their EHR applications.[18] As we are now facing a pandemic, many institutions are working to rapidly develop supportive and reliable informatics infrastructure in order to prepare for managing an exponential increase in COVID-19-infected patients. However, the literature to describe the informatics tools required to successfully manage this novel infection is lacking.

OBJECTIVE:

Here we discuss the rapid development and implementation of the EHR configuration necessary for outbreak management within a large regional public academic health center in the setting of new and pending COVID-19 cases. We detail the specific informatics tools we built to support the health system's efforts to prepare for the current pandemic and the challenges associated with this task.

MATERIALS AND METHODS:

University of California, San Diego Health (UCSDH) is a large regional academic health system encompassing two acute care hospitals, outpatient primary and specialty medical and surgical care, and emergency patient care. UCSDH is also one of five academic medical centers within a broader 10-campus University of California system. UCSDH utilizes a commercially available, electronic health record (EHR), Epic (Verona, WI), and also hosts over 300 affiliate physicians across 10 medical groups on this EHR. San Diego County served as a quarantine site for both Chinese ex-patriots and cruise ship passengers, and also experienced community spread of COVID earlier than much of the US.[19] An Incident Command Center was established at UCSDH on February 5, 2020 for 24-hour monitoring and adaptation to rapidly evolving conditions and recommendations on a local, state, federal, and global scale. An assessment of the institutional current state revealed the need to develop a rapid screening process, hospital-based and ambulatory testing, new orders with clinical decision support, reporting/analytics tools, and the enhancement/expansion of current patient-facing technology.

RESULTS:

With the guidance of the Incident Command Center, our clinical informatics team prioritized projects related to COVID-19 to enable expedited build and implementation. In

response to the pandemic, we configured our EHR with the technology-based tools listed in **Table 1**. Awareness and training of novel resources for clinicians and staff were distributed via a variety of communication channels in concurrence with important epidemiologic, policy, and health safety information by the Incident Command Center and health system leadership.

Table 1. Electronic Health Record Tools for Managing a Pandemic

Screening Protocols
<p>Triage of Patient Phone Calls</p> <p>Required Registration/Check-In Screening Questions for All Patients</p>
System Level EHR-Templates
<p>Triage of patient phone calls, nurse directed</p> <p>Managing patient concerns for providers</p> <p>Home Isolation Instructions</p> <p>Screening or treating a patient in an ambulatory care setting</p> <p>Screening or treating a patient in an urgent care setting</p> <p>Updated with Current Infection Control Specialist and Command Center Information</p>
Inpatient, Emergency Department and Ambulatory Order Panels
<p>Decision support for who needs testing</p> <p>Embedded modifiable required isolation orders</p> <p>Detailed personal protective equipment needs for providers</p> <p>Detailed instructions for proper specimen collection</p>
Reporting and Analytics
<p>COVID-19 Operational Dashboard</p> <p>Tracking of COVID-related infection in EHR embedded database</p> <p>Tracking of persons under investigation (PUI) in EHR embedded database</p> <p>Reports regarding prior PUI, existing and pending tests, training completion, and screening/documentation compliance</p>
Communication Channels
<p>EHR-integrated secure messaging</p>
Patient Facing Technology
<p>Telemedicine – Video Visits for Outpatient Clinic Encounters</p> <p>Repurposing and utilization of EHR optimization team to train end users in video visit workflow</p>

Screening Tools

One of the first needs for the health system was the development of a rapid and effective multimodal COVID-19 screening process, including telephone calls, direct email, and EHR messaging, all before in-person encounters. A protocolized triage system was developed and embedded into multiple EHR templates, which could be rapidly updated as screening guidance evolved (**Table 2**). These instructions can be easily accessed by call centers and triage nurses, allowing them to provide guidance to patients regarding requirements for home isolation, appropriate locations to obtain COVID-19 testing, and when to visit the emergency department. In order to limit exposures and relieve the burden on physical healthcare locations, automated email notifications were sent to patients prior to their clinic appointments indicating that persons with fever and/or new cough call the health system for proper triage before presenting to the health care facility.

Travel and symptoms screening questions were added to the registration/check-in process (**Figure 1**). The EHR was configured to require complete documentation by front desk staff, ensuring all patients being seen for acute and elective care across the institution receive screening. EHR templates were used by front-line staff to adhere to a standardized screening “script.” Positive screening questions in both acute and ambulatory care settings triggered a provider alert with clinical decision support offering appropriate guidance for subsequent clinical care, including any testing or isolation orders needed to facilitate next steps. Again, this set of tools in the EHR can be rapidly adjusted based on evolving recommendations.

Table 2. Modifiable Templates Available in the Electronic Health Record		
Smart Phrase	Setting of Use	Description of Content
.UCSDCOVID19TRIAGE	Phone or In-Person Patient Triage	Updated travel and symptom screening, testing criteria, and clear guidance on best setting and location of patient care
.UCSDCOVID19PATIENTCONCERNS	Phone or In-Person Patient Encounter	Detailed information on frequently asked questions concerning COVID-19
.UCSDCOVID19HOMEISOLATION	When Instructing Patients on Home Isolation	Isolation information for patients, including the discontinuation of home isolation
.UCSDCOVID19AMBULATORY	Ambulatory Screening or Testing	Clinical decision support on testing criteria, recommended additional work-up, admission criteria/protocol and discharge information
.UCSDCOVID19URGENTCARE	Urgent Care/ED Screening or Testing	Clinical decision support on testing criteria, recommended additional work-up, admission criteria/protocol and discharge information
.UCSDCOVID19VISITORSCREENING	Clinics or Inpatient Units	Standard documentation of any screening of patient visitors for symptoms of infection
UCSD COVID-19 WORK EXCUSE	Work Excuse Letter	Templated excuse letter for providers to recommend working from home

Ordering Tools

We constructed order panels in the EHR for inpatient, emergency department, and ambulatory settings that included a defaulted, pre-populated COVID-19 lab order, appropriate isolation orders and options for additional laboratory testing or imaging studies. UCSDH elected to use in-house COVID-19 testing for patients in the hospital and emergency department, but to have COVID-19 tests obtained in ambulatory and urgent care settings sent out to a reference laboratory due to initial existing limitations in testing capacity. As such, engineering the correct order to appear in the correct setting was essential to avoid confusion and process failures. Additionally, modifications were made to our existing respiratory pathogen panel, as several tests for non-COVID-19 coronaviruses were already present in the system. In order to avoid confusion for both clinicians and patients, these tests were clearly labeled to delineate between COVID-19 and other coronaviruses unrelated to the current pandemic or “NON-COVID-19 coronavirus.”

Clinical decision support at the moment of ordering was provided by including screening criteria, information on specimen acquisition, requirements for personal protective equipment, and expectations on test result turnaround time for easy review. In addition, a series of questions were added to the COVID-19 orders, requiring the ordering provider to document the testing criteria met by the patient. Our build construct allowed for rapid editing to maintain alignment with operational needs as screening requirements and lab handling processes frequently changed following the initial implementation. Similar COVID-19 ordering workflows were instituted in our occupational health department to prepare for the potential of increased healthcare worker exposures.

Team communication and coordination of health system efforts

To better respond to the rapidly evolving care needs during this outbreak, UCSDH assembled a seven-person “Ambulatory COVID Team (ACT).” Team members included two infectious disease physicians, three primary care physicians (our community care physician lead, an epidemiology expert, and our ambulatory Chief Medical Information Officer), and two nurses. We used a secured messaging platform (SMP) within the EHR to enable rapid communication between the ACT of any new requirements or updates while offering the ability to share protected health information when necessary. The SMP can be accessed in both the desktop EHR as well as the EHR mobile applications. Key benefits of EHR-integrated messaging over other forms of secure communication, namely email and pager, include the ability of the message sender to see which team members have reviewed a given message and one-click access to any patient chart attached to the message, whether using SMP on the desktop or on a mobile device. This platform enabled 24-hour secure communication among members of the ACT and other clinical care providers.

Reporting and Analytics

Having the ability to monitor the evolving current state of the health system was a key request from the Incident Command Center. The enterprise reporting team constructed a series of easily accessible reports within the EHR for use by the Incident Command Center, Infection Control, and other key organizational leaders. The reports were automatically distributed, accessible on mobile devices, and used to inform operational decisions on expanding testing capacity, patient isolation procedures, geographic cohorting of patients, and to monitor adherence to patient screening processes. We also created a COVID-19 Operational Dashboard

with real-time data on the number of patients tested, test results, bed availability in the intensive care unit, availability of ventilator units, and volume of ambulatory visits (**Figure 2**). In addition, UCSDH had previously granted broad access to the EHR self-service analytics tool, with which key personnel can create ad hoc reports on items such as the use of isolation and testing frequency.

Patient-facing technologies

UCSDH prioritized moving in-person clinic visits to telemedicine-based visits when appropriate in order to avoid unnecessary patient and staff exposure. Prior to the advent of the COVID-19 outbreak, UCSD had already developed and implemented a telemedicine infrastructure for use by multiple outpatient clinics. In response to the federal government loosening of telehealth requirements, and local desire to convert existing outpatient visits to video, we expanded access to all outpatient areas and created online self-guided learning videos on the conduct of virtual patient care (**Figure 3**).^[20-21] In addition, we re-purposed an existing ambulatory EHR optimization team to provide assistance to onboarding clinical areas or those enhancing their telemedicine capacity. Within 72 hours of the executive proclamation of a national emergency, over 300 unique health employees were trained in telemedicine and ~1,000 video visits were scheduled.

Over 200,000 patients at UCSDH use the patient portal functionality within the EHR-tethered patient portal (Epic MyChart), which allows patients to utilize the video visit capability described above in addition to scheduling appointments, secure messaging with the care team, and viewing and downloading test results.²² The aforementioned modification of the display

names for our pre-existing coronavirus assay ensured non-COVID coronavirus lab results displayed to patients as “non-COVID-19.” An early operational decision was made to delay the release of *positive* COVID-19-specific test results to allow UCSDH to directly contact and inform patients. However, we elected to immediately post *negative* COVID-19 test results to MyChart as testing volume made it more challenging to contact all tested patients in a timely fashion.

DISCUSSION:

We describe a series of EHR enhancements designed to support the rapid deployment of new policies, procedures, and protocols across a healthcare system in response to the COVID-19 pandemic. Within the span of a few weeks, the magnitude of the outbreak within the United States became clear to healthcare leaders on local, state, and federal levels.[10,18] As our own institution faced a growing number of known and suspected COVID-19 cases, as well as a variety of patient care and operational needs related to the pandemic, we recognized the importance of the EHR and other technology-based tools as an enabling adjunct to accelerated process design and implementation. The development of a multidisciplinary task force involving institutional leaders, infectious disease and infection prevention specialists, and technology experts is a critical first step in addressing hospital-specific concerns and developing open and productive communication.[23-25] An initial needs-based assessment was done of the current state to determine the necessary operational processes for outbreak management, the existing informatics structure to support these processes, and the gaps that needed to be bridged in a timely fashion. Doing so allowed us to expediently configure the EHR to support COVID-19 outbreak management as detailed in this report.

Consideration of informatics needs in the setting of an outbreak or pandemic begins with strategic planning and a review of well described pandemic response protocols from established agencies such as the World Health Organization (WHO) and the Centers for Disease Control and Prevention (CDC). In addition to constantly evolving recommendations from such agencies specifically pertaining to COVID-19, there is publicly available information on pandemic responses in general.[17] Literature detailing actions taken to mitigate previous influenza outbreaks, such as the 2009 outbreak of the novel influenza A (H1N1) virus also provides useful guidance.[26] The basic principles of well-established protocols include planning and coordination, situation monitoring and assessment, reducing the spread of disease, continuity of health care provisions, and communications.[26] Furthermore, a number of recently published articles have discussed COVID-19 specific guidelines and considerations for health systems and governments in mounting a strategic response to the pandemic.[3,23,27-28] All of this information can serve to assist governments and regional health systems in combatting the outbreak. However, to our knowledge no reports have detailed the specific tools within an EHR that can be developed and leveraged to support the efforts of the public health response against COVID-19. In the modern era, essentially all of the processes mentioned above rely heavily on technology and, for individual health systems, direct interfacing with the EHR. Therefore, we have summarized our recent efforts to enhance our informatics infrastructure.

There are other recent examples of utilizing technology during this public health crisis. In Taiwan, a national health insurance database was integrated with immigration and customs data to generate real-time alerts during clinic visits to aid in case identification.[29] Online questionnaires were utilized to risk stratify travelers so that low risk citizens were automatically sent a health declaration border pass while high-risk individuals were quarantined at home and

remotely monitored for the development of symptoms with mobile device applications.[29] Healthcare systems in Houston, Texas, developed remote communication channels between physicians and emergency responders to assist with triaging.[14] Virtual home visits for high risk individuals and remote ICU monitoring is also being employed to reduce hospital-staff contact with patients with suspected or confirmed cases of COVID-19.[14]

Multiple institutions across the United States have transitioned to telemedicine in an effort to reduce emergency room visits through remote triaging.[23] Telemedicine has already proved useful during epidemiological emergencies, including through use of live video counseling with patients, virtual patient monitoring, and mobile applications for symptom observation.[15] Telemedicine can be used in the setting of emergency medicine to triage patients, decrease the rate of discharge without complete treatment and proper follow-up, and reduce the number of in-person visits and subsequent risk of transmission of infection.[12-13] An additional application is in early symptom identification and triaging as a mitigation strategy for potential delays in access to healthcare.[30] This was previously observed in Wuhan, China during the initial outbreak of COVID-19 when 89% of patients were not hospitalized until at least 5 days into their illness.[30] Enhancing existing EHR platforms with tools for triaging and screening for symptoms has the potential to identify symptomatic patients earlier and decrease the physical burden on healthcare systems.[31]

At our own institution, much of the informatics framework to support outbreak management already existed as a result of repetitive enhancements to the EHR, from both our vendor and our local configuration. Despite this robust starting point, we still experienced several challenges in implementation. The usual EHR enhancement project includes a thorough

clinical and technical scoping process, a budgeting and planning stage, project management oversight, careful design with testing in multiple electronic environments, ample communication to end-users prior to go-live, and finally implementation with field support in active clinical settings. Due to obvious time constraints, we were unable to follow this standard workflow. The result was an end-product that required back and forth communication with front-line care providers, ongoing iterations, and unanticipated incidents and disruptions to daily practice. Education and training were difficult as providers dealt with a litany of internal and external communication streams in addition to managing an already full clinical workload. A surge in requests from clinical departments to start utilizing video visits placed a strain on the limited bandwidth of the informatics department and the EHR optimization team necessitating the development of a prioritization and onboarding process. Another important challenge was balancing the need to focus on the pandemic while maintaining high-quality healthcare and operations unrelated to the novel infection in order to provide service to all aspects of the community. Finally, the frequently changing landscape of a pandemic, with often daily guideline and protocol updates that generally require approval from multiple stakeholders within a large and complex health system, presented challenges to maintaining the rapid project momentum.

The most significant mitigation strategy for these challenges was the establishment of a 24-hour Incident Command Center that included representation from UCSDH Information Services. This enabled real-time identification of failures and successes, a focus on evolving needs, and feedback on subsequent interventions. The COVID-19 pandemic has highlighted the importance of a multidisciplinary team approach to medical care and building cohesive systems capable of sustaining unanticipated trials.

CONCLUSION:

In the face of the COVID-19 pandemic, healthcare systems can best prepare by following guidelines and recommendations set forth by federal and global institutions. The electronic health record and associated technologies are vital and requisite tools in supporting outbreak management that should be leveraged to their full potential, and we hope that our experiences in developing these tools will be helpful to other health systems facing the same challenge.

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COMPETING INTERESTS:

The authors have no conflicts of interest to disclose.

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FIGURE CAPTIONS/LEGENDS:

The screenshot displays the 'Registration' tab in the Epic Systems interface. The left sidebar shows various patient information sections, with 'Travel History' highlighted. A red arrow points from this tab to the 'Recent Travel Screening' form. The form includes the following sections:

- Time taken:** 3/4/2020, 1747. Buttons: Add Group, Add Bow, Add LDA, Responsible, Create Note, Show Row.
- Recent Travel Screening:**
 - Fever (>38) and/or New Rash: No (Yes, patient masked, isolation precaution initiated).
 - Cough: No (Yes, patient masked, isolation precaution initiated).
 - International travel within past 30 days: No (Yes).
 - Country/Region: 2018 African Ebola Alert, Arabian Peninsula, South Korea, Iran, Italy, Japan, or China (NOT Hong Kong, Macau, or Taiwan), Other (Country/Region).
 - South Korea, Iran, Italy, Japan, or China (NOT Hong Kong, Macau, or Taiwan) Symptoms: (Patient ill Enough to Consider Hospitalization) Travel within 14 Days to South Korea, Iran, Italy, Japan, or China (NOT Hong Kong, Macau, or Taiwan) PLUS Symptoms, None.
 - Infection Prevention Notified: Yes (No).

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Figure 1. Travel and Symptom Screening. The above screenshot demonstrates the required screening, as viewed by front desk staff, that was added to the registration/check-in process for all patients.

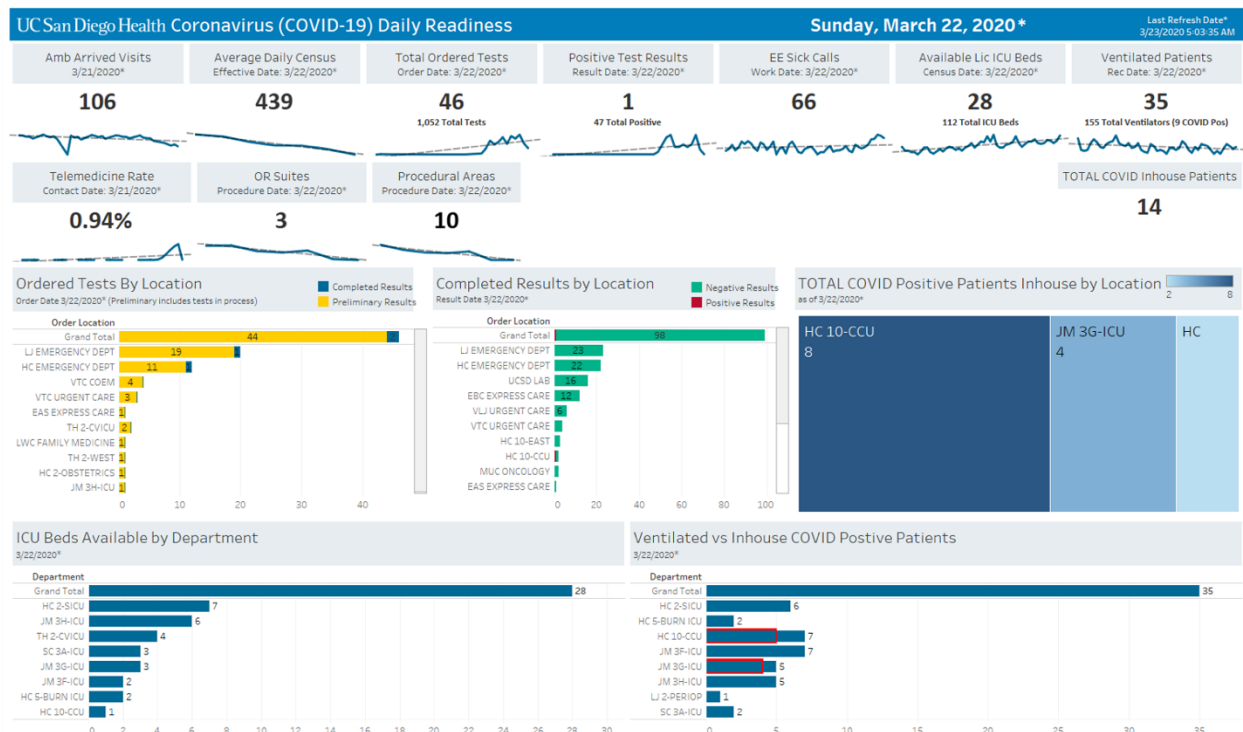


Figure 2. COVID-19 Outbreak Management Dashboard. This dashboard was created and utilized by the Incident Command Center, institutional leaders, nursing supervisors, and other healthcare providers to monitor COVID-19 patient volume and distribution across the health

system, COVID-19 test results, intensive care unit and ventilator use and availability, and the impact of the outbreak on daily ambulatory visits. The bottom right panel shows the number of ventilated patients; highlighted in red are ventilated patients who are COVID-19 *positive* versus non-COVID-19 ventilated patients.

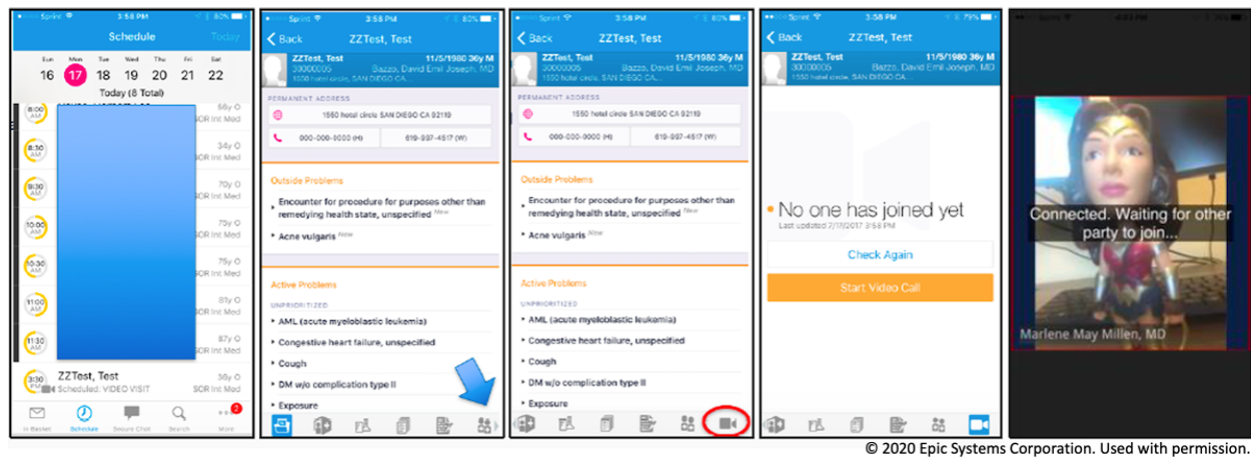


Figure 3. Telemedicine - Video Visit. Graphic displaying the layout of UC San Diego's virtual visit encounter.