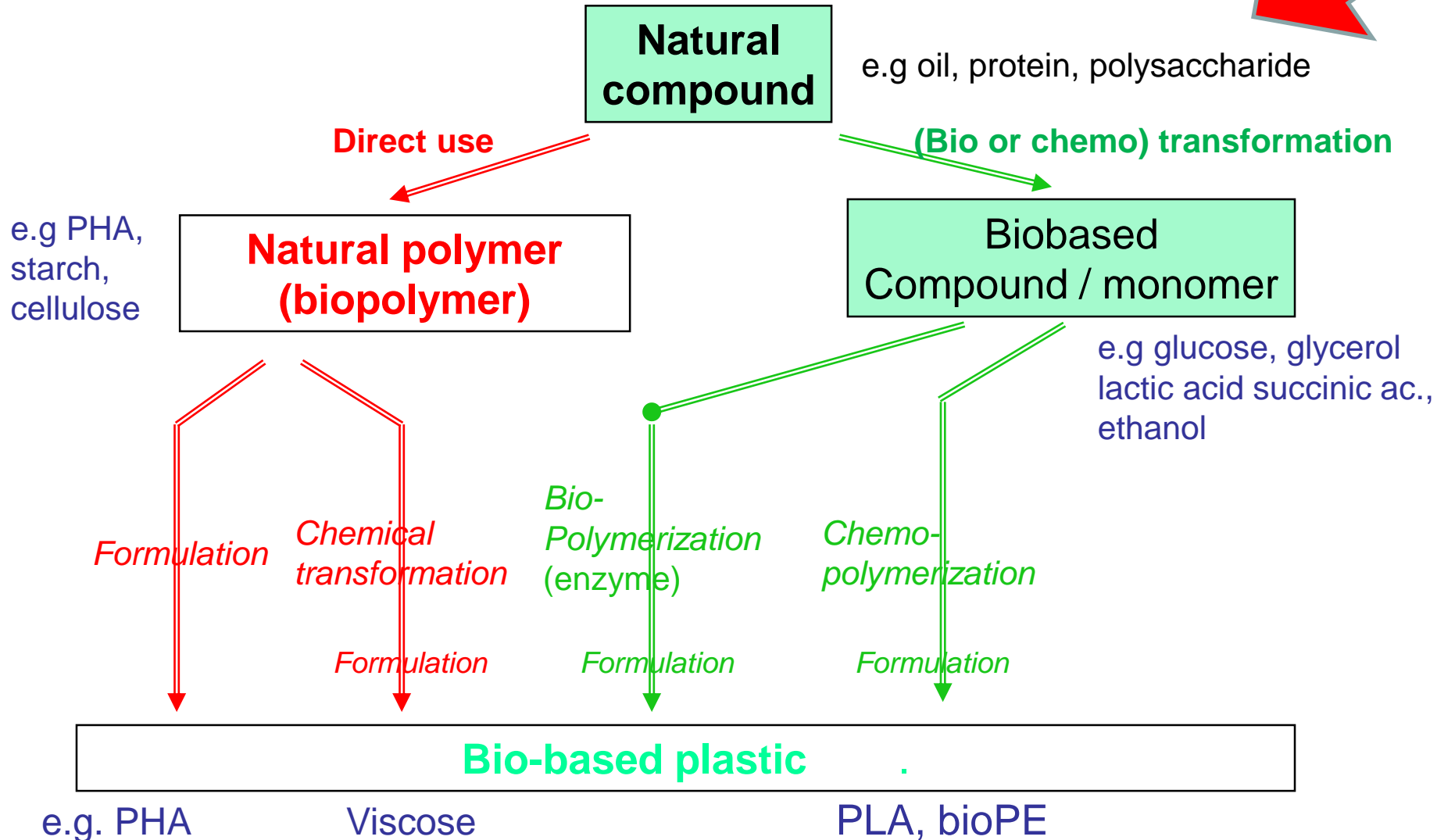
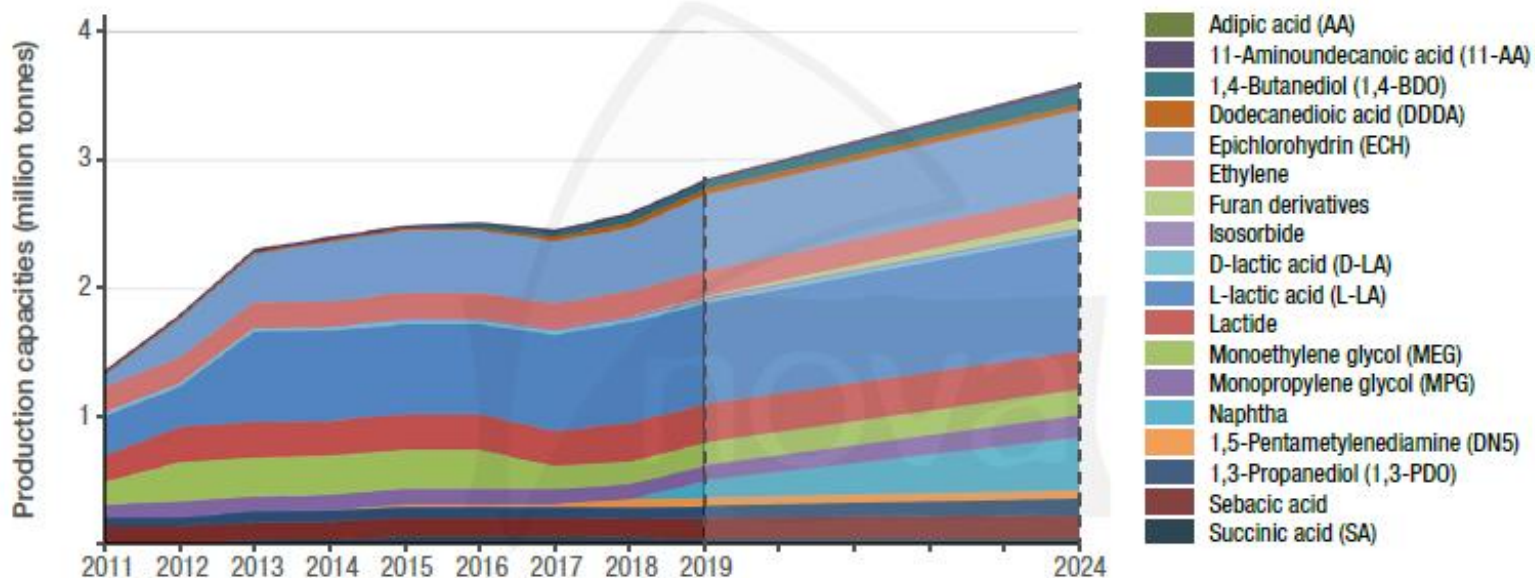


Producing bio-based plastics



Bio-based building blocks

Evolution of worldwide production capacities from 2011 to 2024



All figures available at www.bio-based.eu/markets

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Figure 7: Bio-based building blocks – Evolution of worldwide production capacities from 2011 to 2024



U.S. Department of Energy
**Energy Efficiency
and Renewable Energy**
Bringing you a prosperous future where energy
is clean, abundant, reliable, and affordable

Biomass

Top Value Added Chemicals from Biomass Volume I—Results of Screening for Potential Candidates from Sugars and Synthesis Gas



Building Blocks

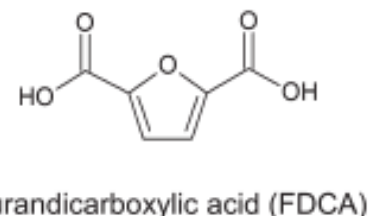
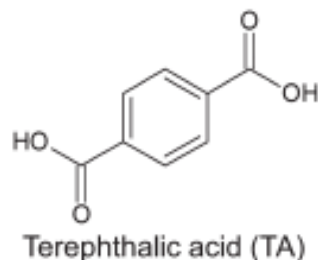
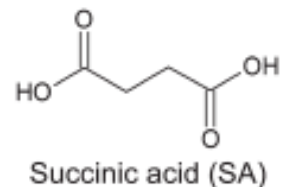
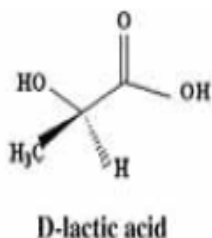
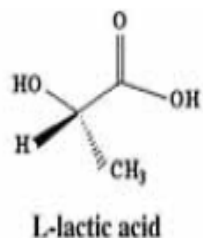
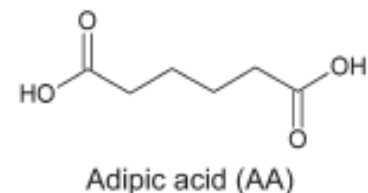
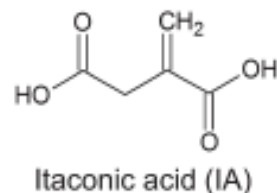
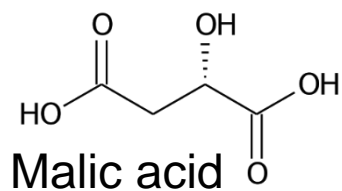
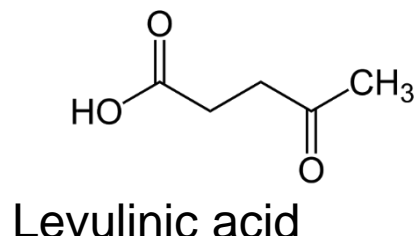
| Building Blocks |
|---------------------------------------|
| 1,4 succinic, fumaric and malic acids |
| 2,5 furan dicarboxylic acid |
| 3 hydroxy propionic acid |
| aspartic acid |
| glucaric acid |
| glutamic acid |
| itaconic acid |
| levulinic acid |
| 3-hydroxybutyrolactone |
| glycerol |
| sorbitol |
| xylitol/arabinitol |

**building blocks produced from renewable
carbon through green **chemical conversion**
routes or via
microbial conversions.**

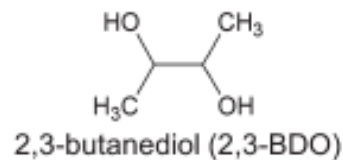
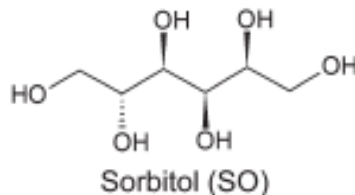
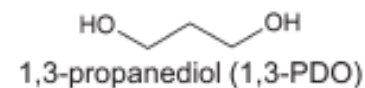
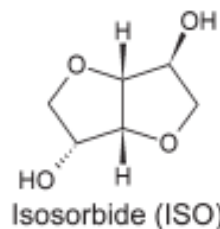
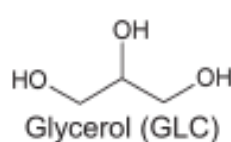
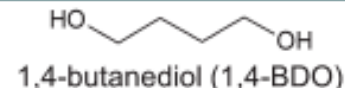
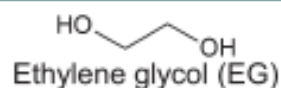
✿ Not biodegradable

Table 4. Bio-based synthetic polymers obtained from bio-based monomers or a combination of bio- and fossil-based monomers.

| Polymer | Properties and applications |
|---|---|
| Poly(trimethylene terephthalate) - PTT* | ✿ Polyester. Same properties as fossil-based PTT. Scarcely biodegradable. Semi crystalline thermoplastic, easily molded or thermoformed and spun into fibres. Good tensile and flexural strength, excellent flow and surface finish. Used in textiles and engineering applications (automotive parts, mobile phone housings). |
| Poly(ethylene terephthalate) -PET* | ✿ Polyester. Same properties as the fossil-based PET. High-performance plastic used for engineering applications, fibres, films, bottles. |
| Poly(1,4-butylene succinate) - PBS | ✿ Polyester. Biodegradable in soil and biocompostable. Its T_m of 115 °C and tensile strength of 30–35 MPa make PBS suitable for applications in packaging as an alternative to polyolefins. |
| Poly(ethylene succinate) - PES | ✿ Moderately biodegradable. Good oxygen barrier and elongation properties. Used for film applications. |
| Poly(ethylene furanoate) - PEF | ✿ Polyester. Durable, good oxygen barrier. T_m of 211 °C and T_g of 86 °C. Suitable for packaging, in the food and beverage industry. |
| Poly(trimethylene furanoate) - PTF | ✿ Polyester. Not biodegradable. T_m of 172 °C, T_g of 57 °C, good oxygen barrier properties. Employed in light weighting packaging. |
| Poly(butylene furanoate) - PBF | ✿ Polyester. T_m of 172 °C, T_g of 44 °C. Potential replacer of PET and PBT. |
| Poly(1,4-butylene adipate-co-1,4-butylene terephthalate) - PBAT | ✿ Polyester. Biodegradable. Used in blends with PLA and fibers due to low thermo-mechanical properties. Obtained from fossil feedstock or bio-tereftalic acid |
| Unsaturated polyester resins - UPR | ✿ Properties varies according the percentage of unsaturated diacid (e.g. itaconic acid) and the curing procedure. Applied in waterborne UV-curable coatings for wood and flooring industry. |
| Poly(L-lactide) -PLLA | ✿ Polyester. Thermoplastic. Processable by extrusion, injection molding, blow molding. Degradable by hydrolysis rather than microbial attack. Industrially compostable. Crystallinity can be controlled by co-polymerization of selected ratios of L- to D-stereoisomers of lactic acid or lactide. Mechanical, thermal and barrier properties justify applications in food packaging. Used for medical applications and drug delivery because of its biocompatibility. |
| Polyamides containing four carbons - 4C PAs: 4; 4.6 and 4.10 | ✿ Not biodegradable. 4C PAs match properties of fossil-based PAs 6 and 6.6, such as thermal durability and mechanical strength, with a T_m above 250°C. All 4C PAs have higher dielectric strength and higher retention of tensile properties as compared to PA 6.6. PA 4.10 has low moisture uptake. Applications range from water management to cable coating, food contact products and automotive. |
| Polyamides with longer chains. PAs: 6.10; 10.10; 11 and 12 | ✿ Long chain carbon monomers confer flexibility to these polymers, which find application in fuel lines in cars, offshore pipelines, gas distribution piping systems, electronics, sports equipment, furniture and automobile components. |
| Polyvinyl chloride – PVC* | ✿ Not biodegradable and poorly chemically degradable. Same properties as fossil-based PVC. Used in construction profile applications, bottles and non-food packaging. When made more flexible by the addition of plasticizers, it is used in electrical cable insulation, imitation leather, flooring and as rubber replacer. |
| Polyethylene – PE* (from bio-ethanol) | ✿ Polyolefin. Same properties of fossil-based PE. Not biodegradable, recyclable through dedicated infrastructures. Thermoplastic. High Density PE (more crystalline) finds applications in construction sector. Low Density Polyethylene is used in packaging. Ultrahigh Molecular Weight Polyethylene has applications in medical devices and bulletproof vests. |
| Polypropylene - PP* | ✿ Polyolefin. Same properties as the fossil PP. Not biodegradable, non-polar. Partially crystalline thermoplastic with low density. Used in a large variety of applications and in packaging. |
| Poly(methyl methacrylate)–PMMA* | ✿ Not biodegradable. Lightweight material used as glass replacement in automotive for shatterproof and UV resistant properties. |
| Ethylene propylene diene monomer – EPDM (synthetic rubber) | ✿ Not biodegradable. Good resistance to hot water and polar solvents but poorly resistant to aromatic and aliphatic hydrocarbons. Chlorine-free synthetic rubber used for technical clothing, elastomers with shock absorption. Ozone and thermal resistant. Electrical insulation properties. Used also for automotive applications. |
| Polyurethanes -PURs | ✿ Produced through the reaction of a diisocyanate with a polyol. Microbial degradation depends on the chemical structure. Often blended with polyethers to increase flexibility or extensibility. Used as de-halogenated flame retardant foams, paints, powder coatings, medical devices (blood contacting applications). Biodegradable polyurethane scaffolds have been used in tissue regeneration. |
| Poly(furfuryl alcohol) - PFA | ✿ Not biodegradable. Synthesized from bio-based furfuryl alcohol (FA) deriving from sugars. Used in the fabrication of nanoporous carbons structures for molecular sieve adsorbents, membranes and as a component for electrochemical and electronic devices. |
| Acrylonitrile butadiene styrene - ABS | ✿ Obtained from butadiene rubber dispersed in a matrix of styrene-acrylonitrile copolymer. Not biodegradable. Thermoplastic, used to make light, rigid, moulded products such as pipes, automotive parts. Used also for its flame retardant properties. |
| Polyacrylic superabsorbent polymers - PA-SA | ✿ Its high swelling capacity is tuneable by controlling the degree of crosslinking. Its biodegradation in soil can be improved under conditions that maximize solubilisation. Find applications in personal disposable hygiene products, such diapers and sanitary napkins. |
| Poly(itaconic acid) - PIA | ✿ Due to the presence of a vinyl moiety, itaconic acid is structurally similar to acrylic and methacrylic acid, providing a suitable bio-based alternative to poly(meth)acrylates via radical polymerization to yield poly(itaconic acid) (PIA). Applications include fibers, coatings, adhesives, thickeners, binders. As co-monomer itaconic acid gives glass-ionomer dental cement. |



Some bio-based monomers for polymer production



Scheme 1. Most important bio-based dicarboxylic acids and polyols currently available for the enzymatic synthesis of polyesters.

Some bio-based monomers for polymer production

| Monomer | Biotechnological route | Company | Status | Application of the corresponding bio-based polyesters |
|-----------------------------------|---|---|-------------|---|
| Sorbitol | Fermentation + hydrogenation | Roquette, ADM | Market | Functional polyesters; coatings |
| Isosorbide | Sorbitol dehydration | Roquette | Market | Thermosetting resins |
| Ethylene glycol | Ethanol dehydration | India Glycols Ltd, Greencol Taiwan | Market | PET; PEF |
| 1,3-propanediol | Fermentation | Du Pont, Tate & Lyle, Metabolic Explorer | Market | PTT; fibers; elastomers; polyester-urethanes |
| 1,4-butanediol | Fermentation, succinic acid hydrogenation | Novamont, BioAmber, Genomatica, Mitsubishi | Market | PBAT; PBS; PBT |
| Adipic acid | Fermentation + hydrogenation | Celexion LLC, BioAmber, Rennovia, Verdezyme | Market | Resins; polyester-amines; polyester-urethanes |
| Itaconic acid | Fermentation | Qingdao Kehai Biochemistry, Itaconix | Market | Photocurable precursors; plasticizers |
| Lactic acid | Fermentation | Nature Works, BASF, Purac, Cargill, BBCA, Galactic | Market | PLA |
| Succinic acid | Fermentation | BioAmber, Myriant, Reverdia, BASF, Purac, Succinity | Market | Textiles; coatings; PBS; PBT |
| Terephthalic acid | Isobutylene oxidation, fermentation | Virient, Anellotech, Genomatica | Pilot plant | PET; coatings |
| Levulinic acid | Fermentation, acid treatment of C6 sugars | GFBiochemicals, Bio-on, Biofine Renewables | Market | Coatings, hyperbranched dendrimeric polyesters |
| Malic acid | Fermentation | Novozymes | Pilot plant | Functionalized chiral polyesters |
| 2,5-furandicarboxylic acid | Fermentation + dehydration + oxidation | Avantium | Pilot plant | PEF; polyester-urethanes |



Not only Bio-Ethanol: Bio-Based building blocks and monomers from fermentations

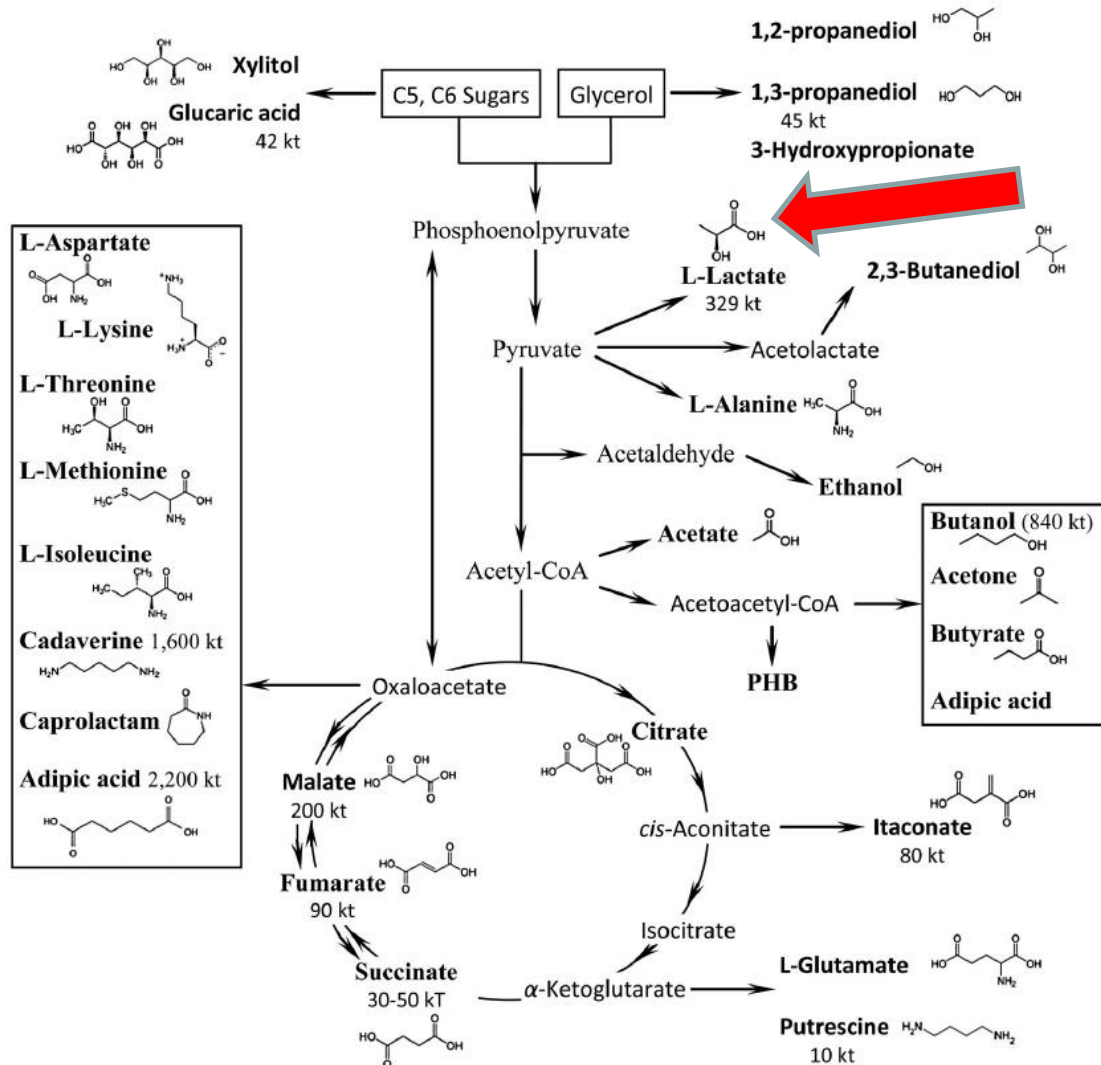


Fig. 2 Building blocks that could be produced via fermentation. Numbers next to biochemicals designate the total annual production in thousands of t.

Lactic acid was first discovered in sour milk by Scheele in 1780, who initially considered it a milk component. In 1789, Lavoisier named this milk component lactic acid. In 1857, however, Pasteur discovered that it was not a milk component, but a fermentation metabolite generated by certain microorganisms¹¹.

It appears as an odorless and colorless to yellowish syrupy liquid (at normal temperature and pressure)¹³. Lactic acid is classified as GRAS (Generally Recognized As Safe) for use as a food additive by the US FDA (Food and Drug Administration)¹⁴.

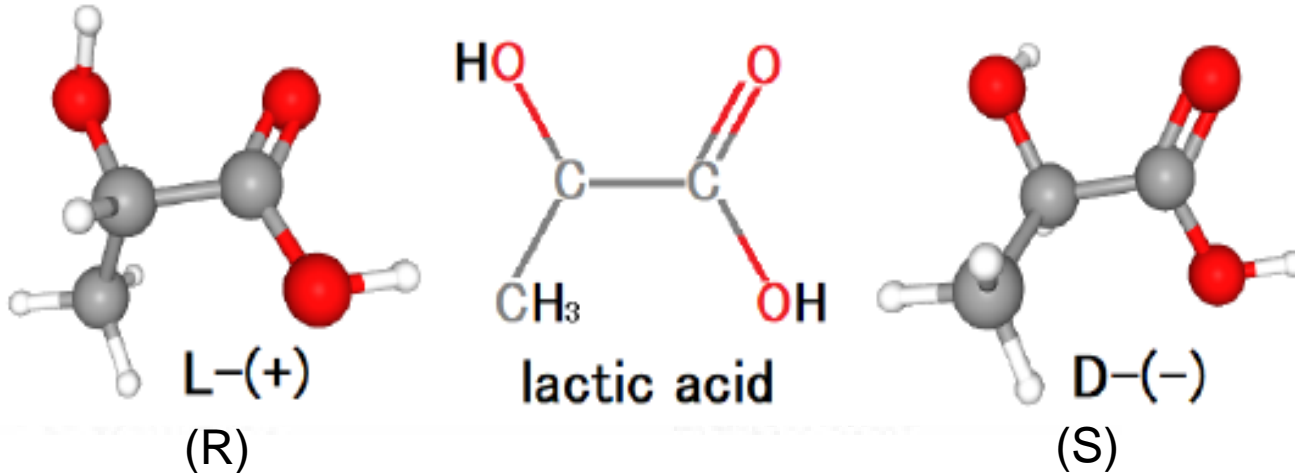
| Properties | Values |
|--------------------------------------|--------|
| Density at 21 °C (g/mL) | 1.206 |
| Melting point (°C) | 16.8 |
| Boiling point (°C) at 15 mmHg | 122.0 |
| Dissociation constant (pKa) at 20 °C | 3.86 |
| Partition Coefficient (LogP) | -0.72 |
| pH (10% w/w aqueous solution) | 1.75 |
| Refractive Index at 20 °C | 1.4392 |

Table 1. Properties of Lactic Acid¹³

Lactic acid is a useful chemical, used in the food industry (as a flavoring, acidulant, and preservative), in the pharmaceutical, cosmetic and textile industries. It can be used in the chemical industry as a raw material for the production of lactate ester, propylene glycol, 2,3-pentanedione, propanoic acid, acrylic acid, acetaldehyde.

Lactic acid

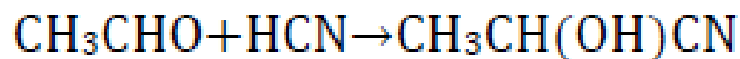
Lactic acid (2-hydroxypropionic acid), $\text{CH}_3\text{-CHOHCOOH}$, is a simple chiral molecule which exists as two enantiomers.



Chemical synthesis of lactic acid is mainly based on the hydrolysis of lactonitrile by strong acids, which provide only the racemic mixture of D- and L-lactic acid.

PLA was discovered in 1932 by Carothers (DuPont) who produced a low molecular weight product by heating lactic acid under vacuum. In 1954 Du Pont produced the polymer with a molecular weight greater and patented.

Lactic acid: chemical synthesis



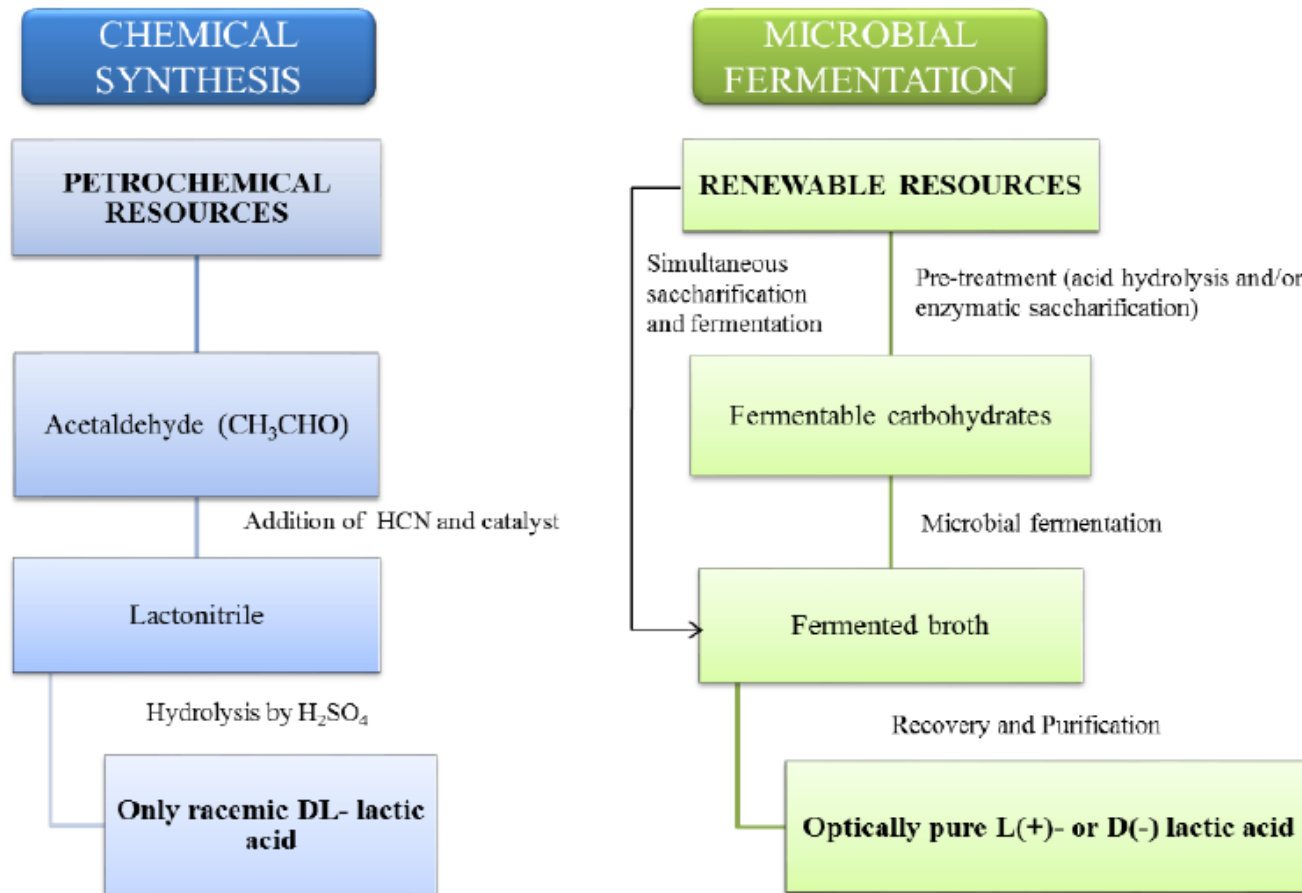


Figure 4. Overview of the two manufacturing methods of lactic acid, chemical synthesis and microbial fermentation¹¹.

During the production of lactic acid by chemical synthesis, the racemic form, which is an equal mixture of L(+)-lactic acid and D(-)-lactic acid, is obtained. An optically pure L(+)-lactic acid (or D(-)-lactic acid) can be obtained by microbial fermentation of renewable resources.

Approximately 90% of the total lactic acid produced worldwide is made by bacterial fermentation and the remaining portion is produced synthetically by the hydrolysis of lactonitrile.

The fermentation processes to obtain lactic acid can be classified according to the type of bacteria used. The carbon source for microbial production of lactic acid can be either **sugar in pure form such as glucose, sucrose, lactose or sugar containing materials such as molasses, whey, sugarcane bagasse, cassava bagasse, and starchy materials from potato, tapioca, wheat and barley.**

Sucrose-containing materials such as molasses are commonly exploited raw materials for lactic acid production because they represent cheaper alternatives.

Sugarcane bagasse is reported to be used as support for lactic acid production by ***Rhizopus oryzae*** and ***Lactobacillus*** in solid-state fermentation by supplementing sugars or starch hydrolysates as carbon source.

The selection of microorganism is based on which raw material it has to ferment, as each microorganism has a different metabolism with different substrates. Microorganisms used in fermentation can be divided into two groups: **bacteria** and **fungi**.

Lactic acid bacteria (LAB) can be classified, according to fermentation end-product, into:

- a) **obligatory homofermentative** LAB, such as *Lactobacillus amylophilus*, *Lactobacillus acidophilus* and *Lactobacillus salivarius*. **They normally metabolize glucose by glycolysis pathway, which results in the production of lactic acid as the sole end product.**
- b) **obligatory heterofermentative** LAB, such as *L. brevis*, *L. reuteri* and *L. fermentum*. They ferment sugar into ethanol, CO₂, and lactic acid by the **pentose phosphate pathway**.
- c) **facultative heterofermentative** LAB, such as *Lactococcus lactis*, *L. alimentarius* and *L. casei* use both pathways for fermentation.

Most of LAB produce only one isomer of lactic acid, that depends on the **stereospecificity** of their **lactate dehydrogenase enzyme (LDH)**.

Besides LAB, which are commonly employed, there are filamentous fungi, such as *Rhizopus*, that can produce lactic acid from the aerobic metabolism of glucose. *R. oryzae* fermentation requires a simple medium but vigorous aeration for small production of L(+)-lactic acid.

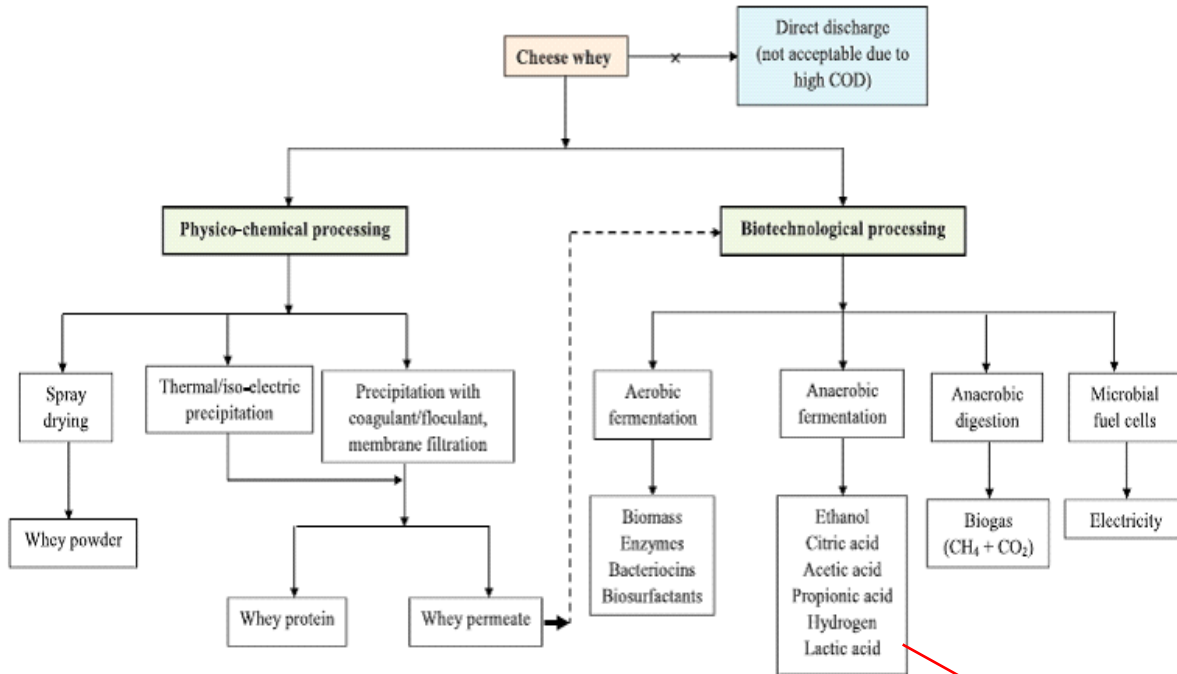
The low production is partially attributed to the formation of byproducts (e.g. fumaric acid and ethanol)

Other feedstock for production of lactic acid are: glycerol, which is a by-product of biodiesel production; microalgae, that have high fermentable sugar contents and they grow almost anywhere with an harvesting cycle of few days.

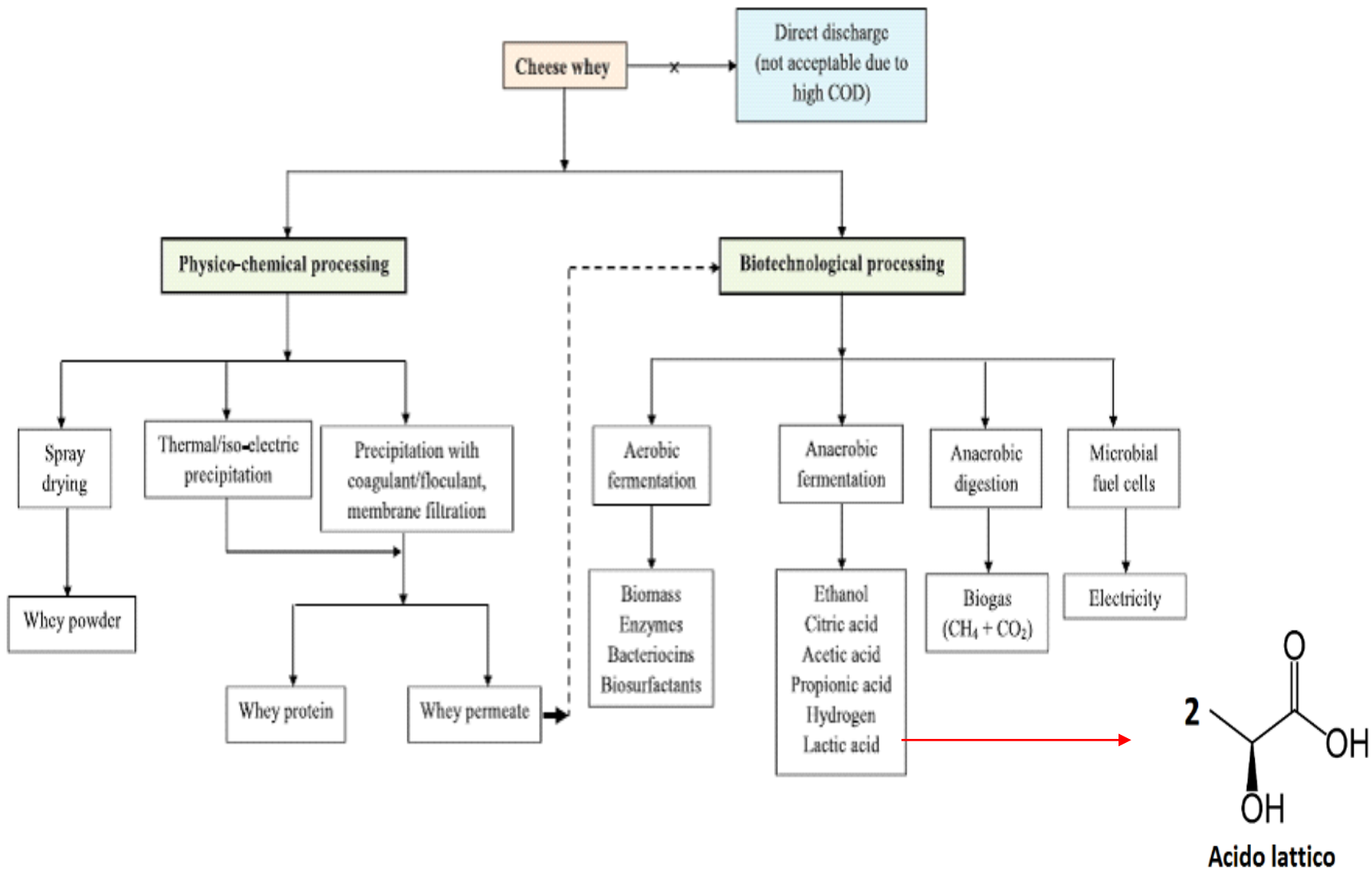
Whey can be a potent and suitable raw material for lactic acid production because it consists of lactose, fats, protein, mineral salts, vitamins, and other essential nutrients for microbial growth.

This process may be environmentally sustainable, because large volumes of whey are produced by the manufacturing of cheese as a byproduct.

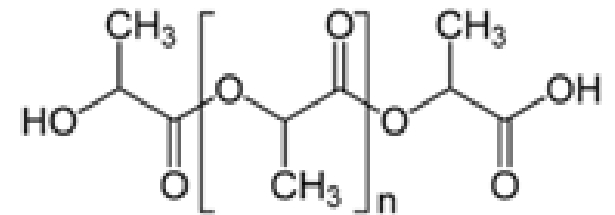
Milk whey: 180-190 M t/year



cellulac U.K.



Bio-based lactic acid for bio-based polylactic acid (PLA): bio-based polyester



Among polymers, polyesters are a widely used class with applications ranging from clothing to food packaging and from the car industry to biomedical applications.

PLA currently is the most important bio-based polyester in terms of volume, with a **capacity of approximately 800 000 tons/y.**

Besides high product specificity, as it produces a desired optically pure L-(+)- or D-(-)-lactic acid, the biotechnological production of lactic acid offers several advantages compared to chemical synthesis like low cost of substrates, low production temperature, and low energy consumption

PLA-based products had already been developed by the 1940s and 1950s, but their production became economically viable only 70 years later. This demonstrates the importance of optimizing the productivity and robustness of bioconversions to achieve cost-effective production.

The success of bio-based polyesters does not rely solely on their capacity to replace fossil-based polymers while being economically competitive. Rather, the next generation of bio-based polyesters should bring entirely new advanced chemical and functional properties to the polymer scenario.

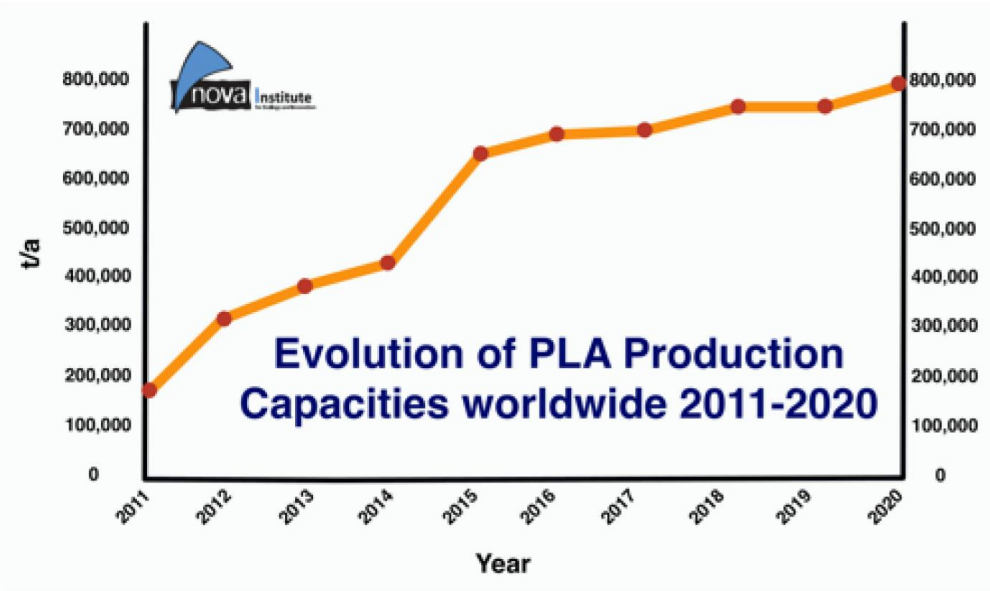


Fig.3–Evolution of PLA production capacities (t/a) from 2011 to 2020

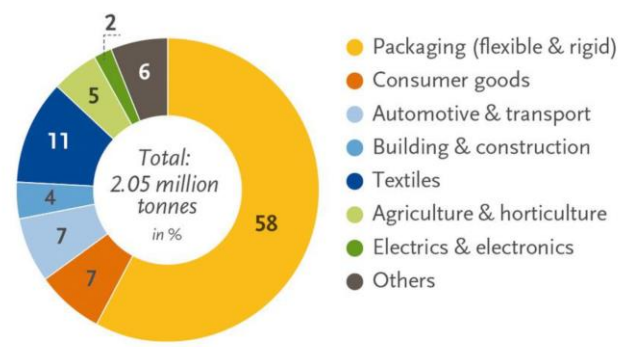
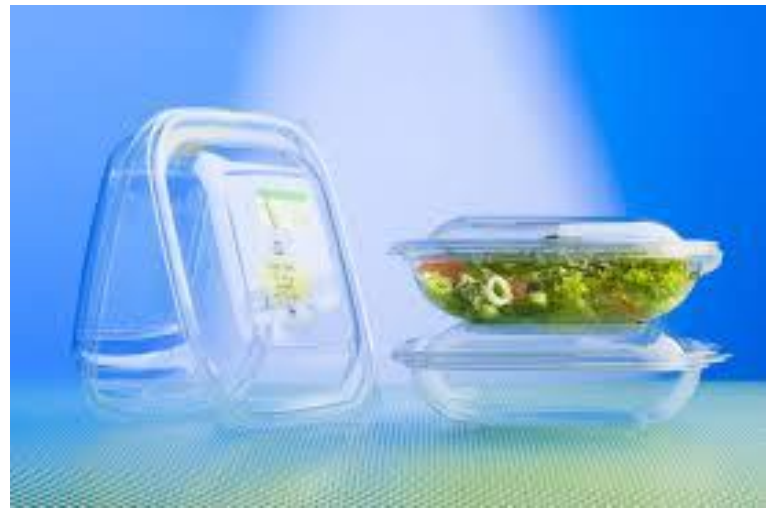
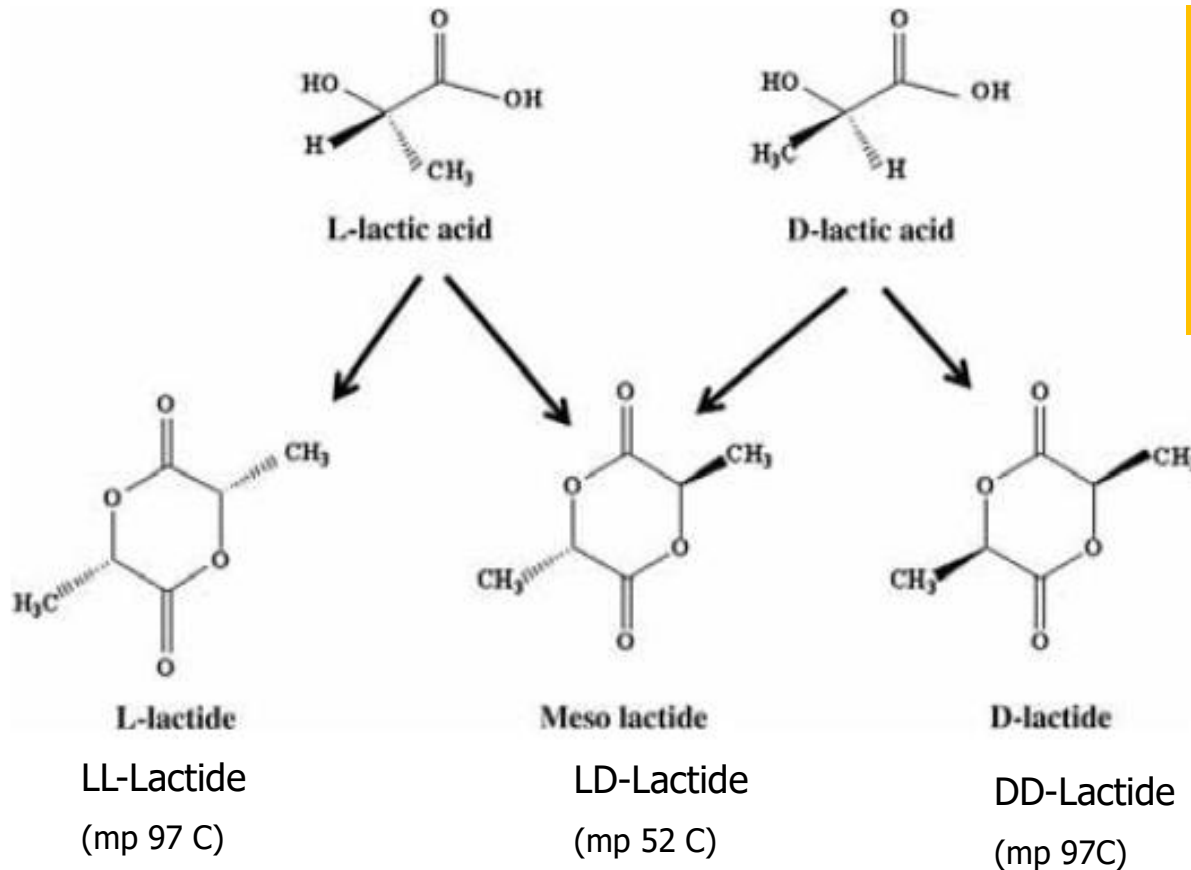


Fig.7–Global production capacities of bioplastics in 2017 (by market segment)
Source: European Bioplastics, nova-Institute (2017)



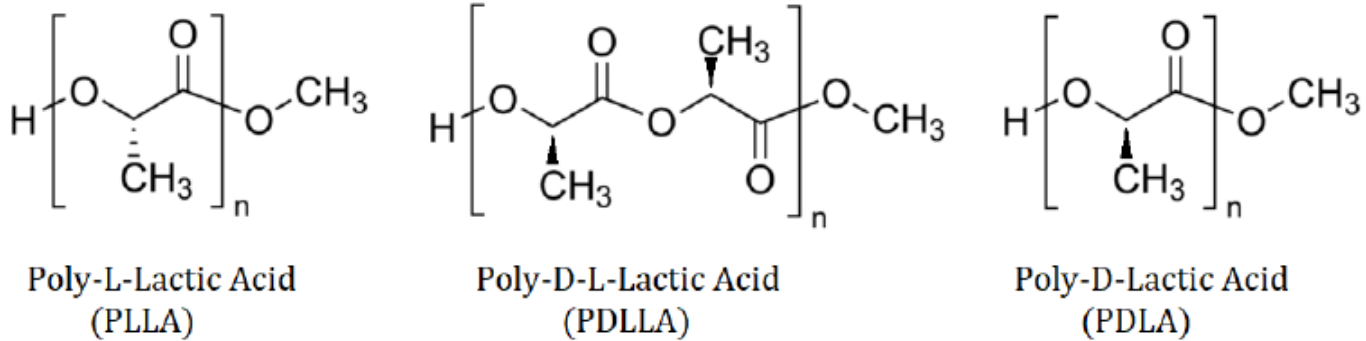
Lactic acid and lactide have stereoisomers



Depending on methods used for synthesis, PLA can have different stereoisomers:

- Poly(L-lactide) (PLLA) and Poly(D-lactide) (PDLA) are **isotactic** forms, in which the configurational repeating unit is essentially an isomer of lactic acid (L-lactic and D-lactic respectively). These are optically pure forms of PLA and have crystalline form.
- Poly(DL-lactide) (PDLLA) is the **syndiotactic** polymer, in which configurational repeating unit consists of two monomers that are enantiomeric.
- If enantiomeric forms of lactic acid are bonded in a random sequence distribution, the polylactide is **atactic**.

Stereoisomers of PLA:



isotactic

Figure 5. Structure of polylactide stereoisomers.

syndiotactic

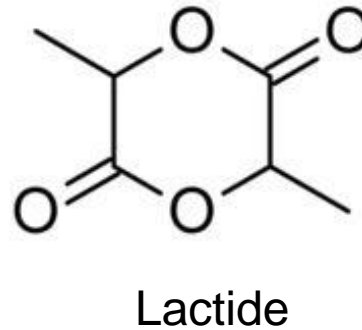
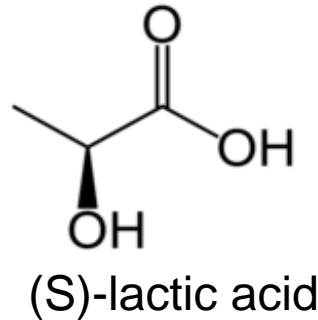
isotactic

A very important property is the rate of crystallinity, which is the degree of structural order respect to amorphous content.

PLA with a high rate of crystallinity can be obtained with an optically pure PLA, while the lower optically pure is amorphous.

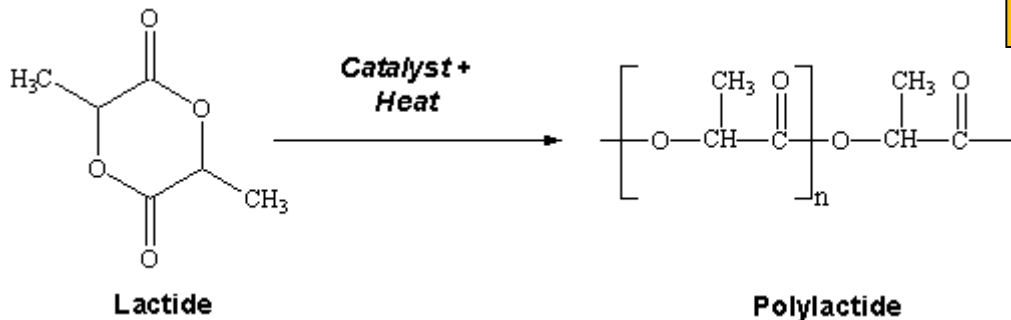
The crystallinity influences many properties, such as the melting temperature (T_m), and the glass transition temperature (T_g) of PLA.

From lactic acid to lactide



Lactide is the cyclic di-ester of lactic acid, i.e., 2-hydroxypropionic acid.

Lactic acid can not form a lactone but first forms a dimer, which contains an hydroxy group at a convenient distance from the carboxylic group for the formation of a lactone. The dimer readily forms a six-membered cyclic diester known as lactide



Ring opening polymerization of lactide to polylactide

- **Monomer produced in fermentation**
- **Chemical polymerization**

Ring Opening Polymerization

Ring opening polymerization (ROP) is the most common route to achieve **high molecular weight polylactide**. This process involves the ring opening of the lactide, that is the cyclic intermediate dimer of lactic acid, in the presence of a transition metal catalyst, such as aluminum, bismuth, lead, tin or zinc.

ROP of lactide can produce a polymer with wider range of molecular weight and specific properties by controlling synthesis conditions, like temperatures in combination with catalyst type and concentration, without chain coupling agent or azeotropic system^{5,25}.

Therefore, ROP has become very significant in PLA synthesis and is applied by some PLA leading producers, such as NatureWorks LLC.

However, the trace residues of the heavy metal catalyst need to be completely removed for medical and food applications. Additional purification steps are drawbacks in this route, because they are relatively complicated and expensive

Poly(lactic acid) synthesis: Other methods

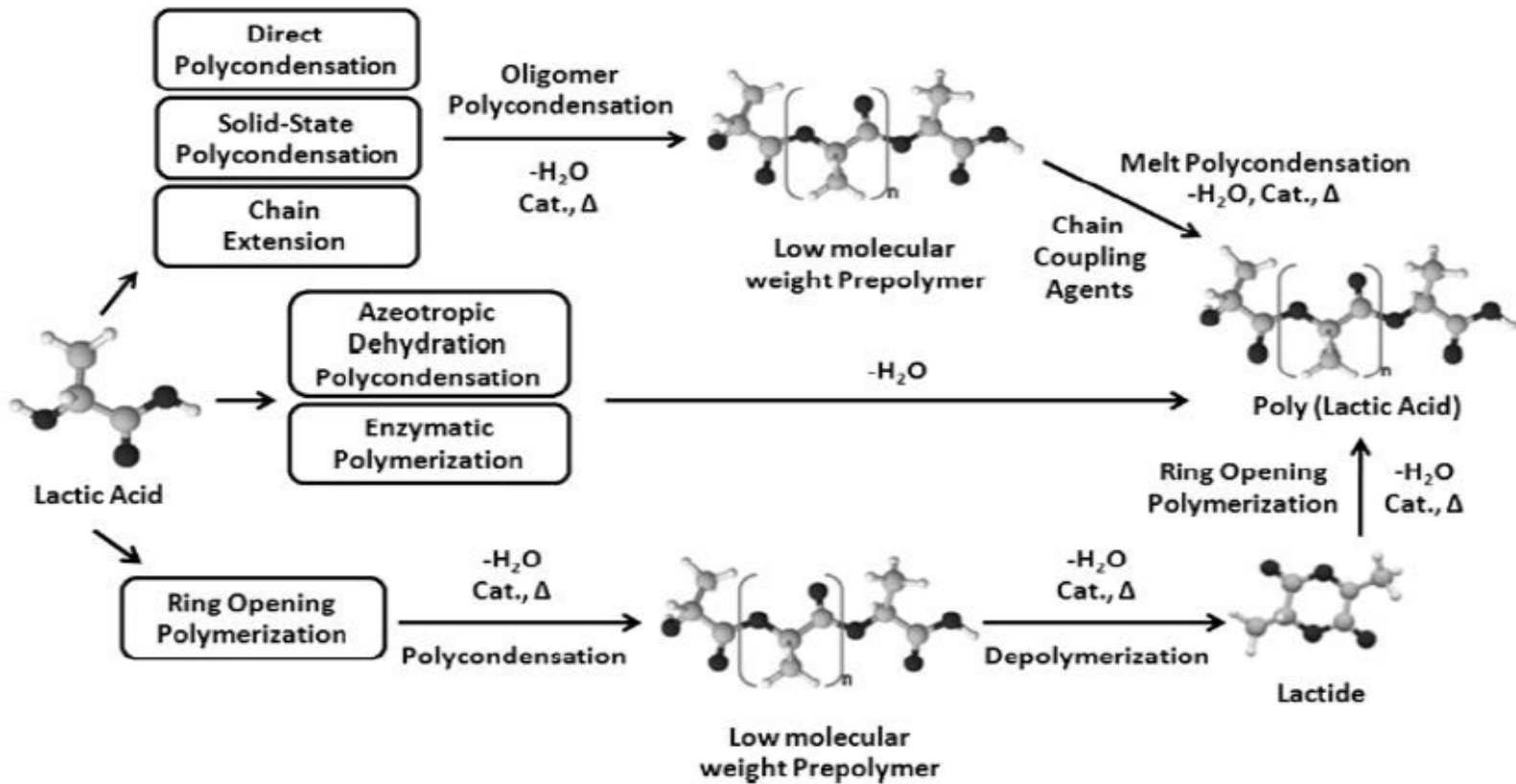


Figure 6. Main methods for PLA synthesis²⁴.

Enantiomerically pure poly(L-lactide) (PLLA) or poly(D-lactide) (PDLA) are semicrystalline polymers, with the **T_g** in the range of **55-65 °C** and the **T_m** of about **180 °C**.

The **glass transition temperature** (T_g) is the temperature where an amorphous polymer changes from a rigid glassy material to a flexible, but not melted, material. The T_g could express a limit above which mechanical properties may change drastically.

Increasing the temperature over the T_g, PLA transitions from glassy to rubbery and behaves as a viscous fluid upon additional heating.

Below the T_g, PLA behaves as a glass with the ability to creep until cooled to approximately -45 °C, below which PLA will only behave as a brittle polymer.

The melting temperature is directly involved in the **processability and stability**. In fact, in order to reduce viscosity and consequently improve processability, the process temperatures are significantly higher than the T_m (from 190 to 250 °C)

The **thermal stability** of polylactides is poor at temperatures above the T_m . Reactions involved in the thermal degradation of lactide polymers include transesterification, thermooxidative degradation, and thermohydrolysis.

The thermal degradation of PLA is accelerated when polymer has high moisture content, catalyst and other impurities.

During extrusion, optimal drying conditions can reduce degradation. PLA can be purified in order to enhance thermal stability²¹.

Radiation stability is important in medical devices because they need to be sterilized, and common sterilization methods use γ -or β -radiation. Radiations can cause crosslinking or chain scission reactions. These reactions might happen in the amorphous regions of the polymer.

The **hydrolytic degradation** of lactide polymers is undesirable during processing but is essential for most applications, such as temporary medical implants and packaging.

The temperature strongly influences the rate of hydrolysis because permeability increases at temperatures above the T_g . Hydrolytic stability can be also influenced by molar mass and purity of the polymer

Companies, e.g. Cargill Dow Polymer LLC, Shimadzu Corp, Mitsui Chemicals, Musashino Co. Are now producing PLA-targeting markets for **packaging materials, films, textile fibers**, along with **pharmaceutical** products. The US Food and Drug Administration (FDA) and European regulatory authorities have approved the PLA resins for all food type applications and some **chirurgical** applications such as **drug releasing systems**

PLLA has gained great attention because of its excellent biocompatibility and mechanical properties. It has extensive applications in **biomedical** fields, including suture, bone fixation material, drug delivery microsphere, and tissue engineering.

However, its **long degradation times coupled with the high crystallinity** of its fragments can cause inflammatory reactions in the body. In order to overcome this, PLLA can be used as a material combination of L-lactic and D, L-lactic acid monomers, being the latter rapidly degraded without formation of crystalline fragments during this process.

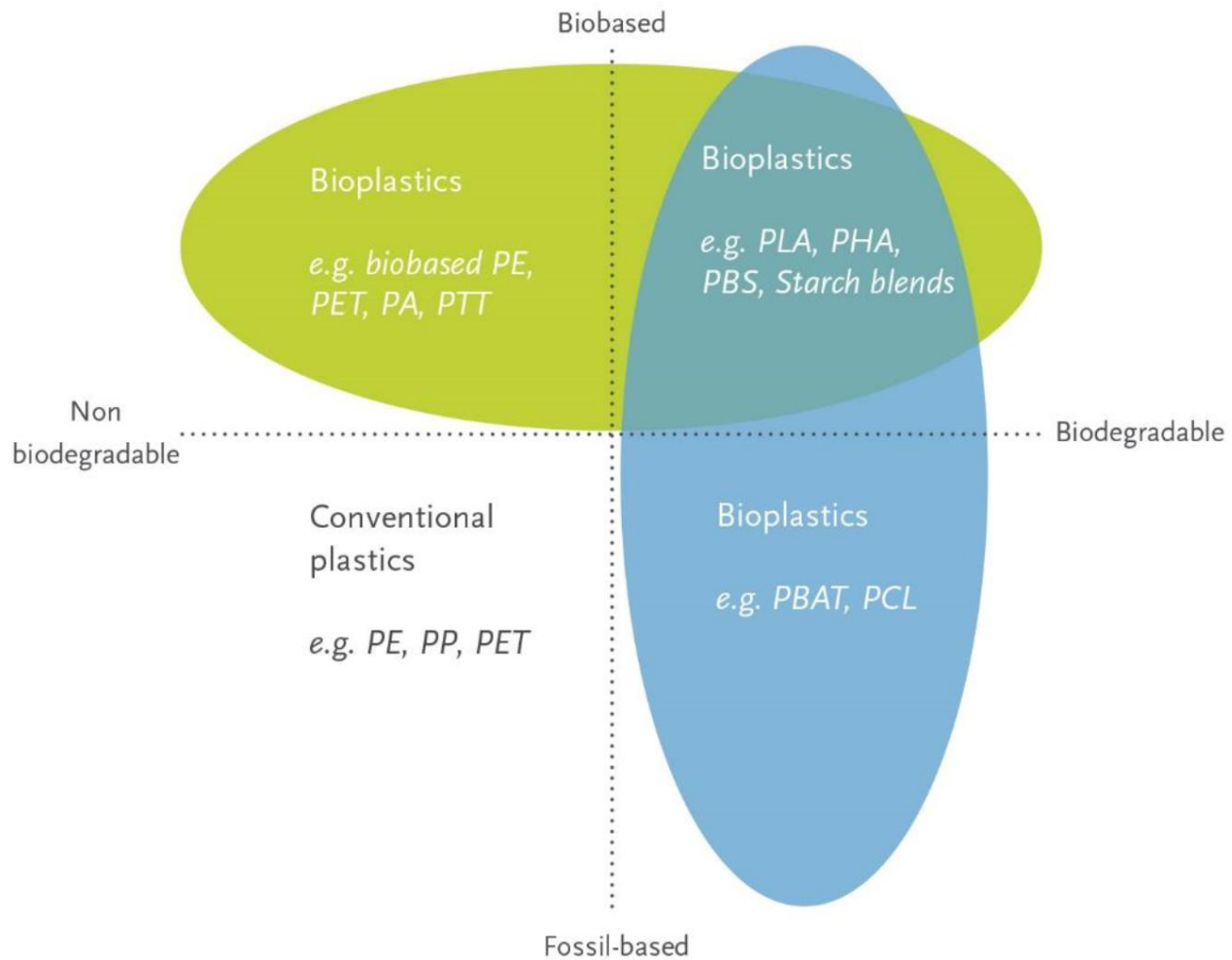
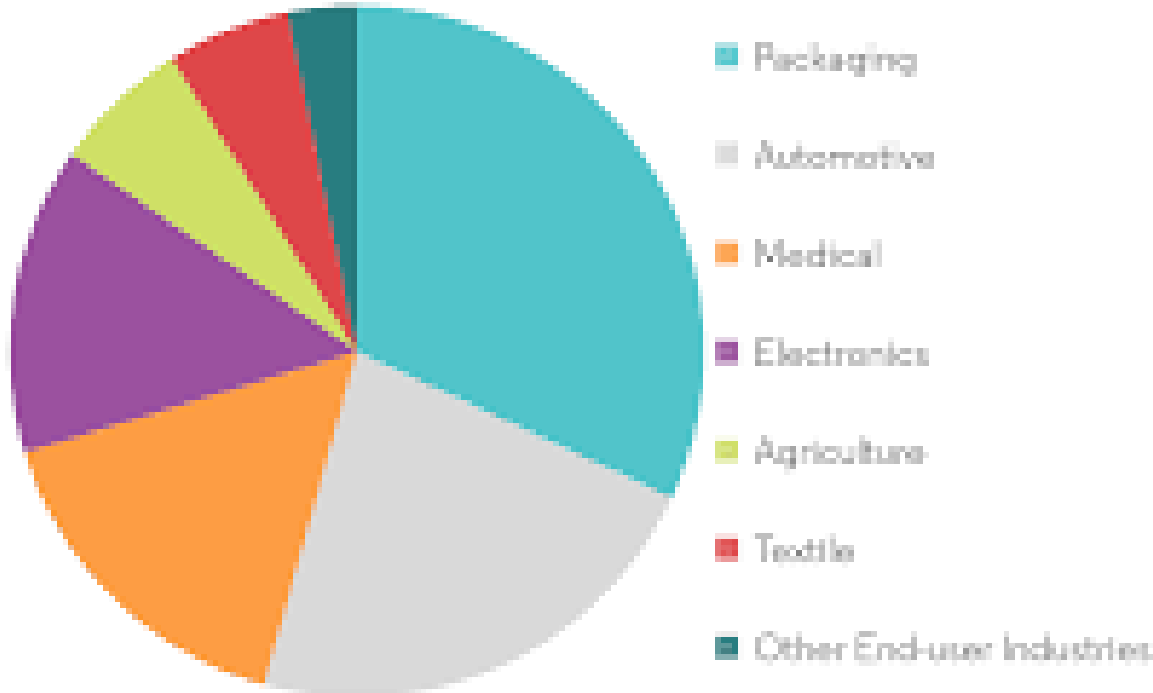


Figure 1. Some examples of bioplastics (biodegradable, biobased or both)²

Bio-poly-lactic Acid (PLA) Market, Volume (%), by End-user Industry, Global, 2018



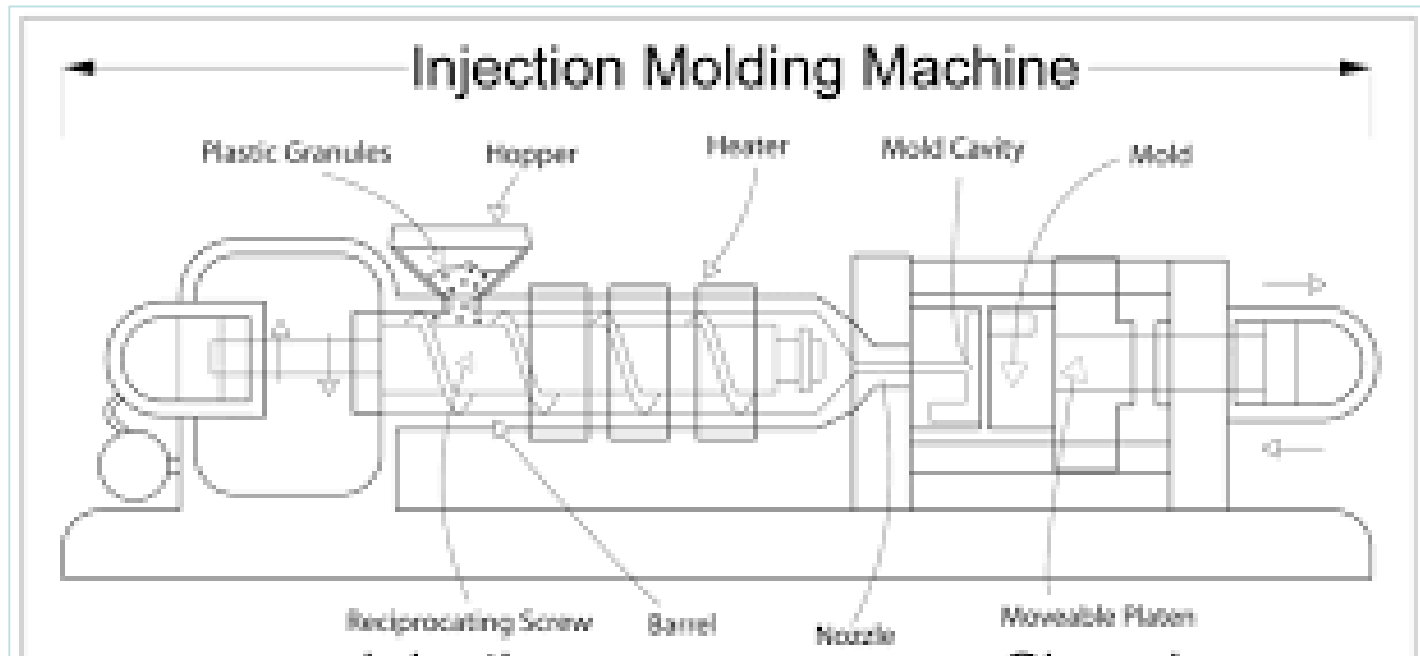
Source : Mordor Intelligence



Processing

Injection molding (stampaggio ad iniezione)

Injection molding is the most widely used converting process for thermoplastic articles, especially for those that are complex in shape and require high dimensional precision. All injection molding machines have an extruder designed so that the screw can provide enough injection pressure to deliver the polymer melt into the mold cavities.





Processing

Drying and extrusion

Prior to processing of PLA, the first step is to dry polylactide to avoid hydrolysis and, consequently, Mw reduction. During industrial production, PLA is mostly dried to values below 0.025% w/w.

The extruder melts the resins fractioning them between the screw and the barrel, and also by an heater around the barrel.

In order to achieve an optimal melt viscosity for processing, temperature is set at **40-50 °C above the melting temperature**. However, extruding PLA at high temperatures can cause thermal degradation, so the process should be tightly controlled³².



Vite singola



Bivite

Processing

Cast film and sheet

https://www.youtube.com/watch?v=jcLfQkkkf_g

Cast is the main method to produce films with thickness $\leq 0.076\text{mm}$ and sheets with thickness typically $\geq 0.25\text{mm}$. During the process, **molten PLA is extruded** through a lip die and quenched on polished chrome **rollers** refrigerated with cooled water.

Cast films usually have transparent appearance and low crystallinity due to the rapid cooling provided by the chilled rolls. Cast film extrusion has the advantages of providing good control of film thickness and good optical properties.

Roller temperature between 25 and 50 °C is recommended to avoid lactide condensation.



Thermoforming

Thermoforming is a process in which a flexible plastic is **pressed into a final shape by vacuum or air pressure**. It is a standard method to produce PLA containers used for short shelf-life product packaging applications.

<https://www.youtube.com/watch?v=alq3RDZN4jo>

<https://www.youtube.com/watch?v=1huDKqVY5nc>

Foaming

PLA foam parts are **lightweight materials** with improved structural performance. They were extensively used for medical applications (sutures, implants, and screws), but also for packaging (cushioning and insulation).

During the process, a foaming agent (e.g. CO₂, N₂) is saturated into the PLA matrix at a pressure below 800 MPa at room temperature in a chamber. Then, the solubility of the blowing agents is reduced so bubbles can nucleate and create the foam structure. Finally, PLA matrix is cooled below T_g in order to vitrify

https://www.youtube.com/watch?v=22VC_8xcyrs

Spinning

Spinning of polylactide has been used to produce **PLA fibers** for **medical** (e.g. surgical suture) and **textiles** application (e.g. breathable garments).

Conventional technologies can be used for processing PLA fabrics, but they require modified dyeing and finishing techniques due to its low affinity to water-soluble dyes.

In spinning of PLA fibers, a molten polymer or solution is extruded through a spinneret and is elongated by applying an external force. Then, the polymer filament is cooled until the temperature is below the T_g . During polylactide fibers production, it is important to control moisture content in order to avoid any possible hydrolytic degradation, and to achieve optimal parameters to obtain strong PLA fibers

Drug Delivery System

With its excellent properties, PLA can be used to produce different dosage forms such as **nanoparticles, microparticles, microcapsules and pellets**. The microparticles and nanoparticles of polylactide and derivatives may be used for sustained release and targeted delivery applications because of their small size, that allows permeation through biological barriers.

Microspheres and microcapsules have been widely applied in drug delivery systems (DDS) for the prolonged administration of numerous drugs such as contraceptives, local anesthetics, narcotic antagonists, and vaccines. They can also effectively deliver peptides and proteins effective with comparatively low doses.

Injectable microspheres of PLLA have been used as an embolic material in transcatheter arterial embolization, which is an effective method to manage arteriovenous fistula and massive hemorrhage. They can also be applied in temporary fillings in facial reconstructive surgery.

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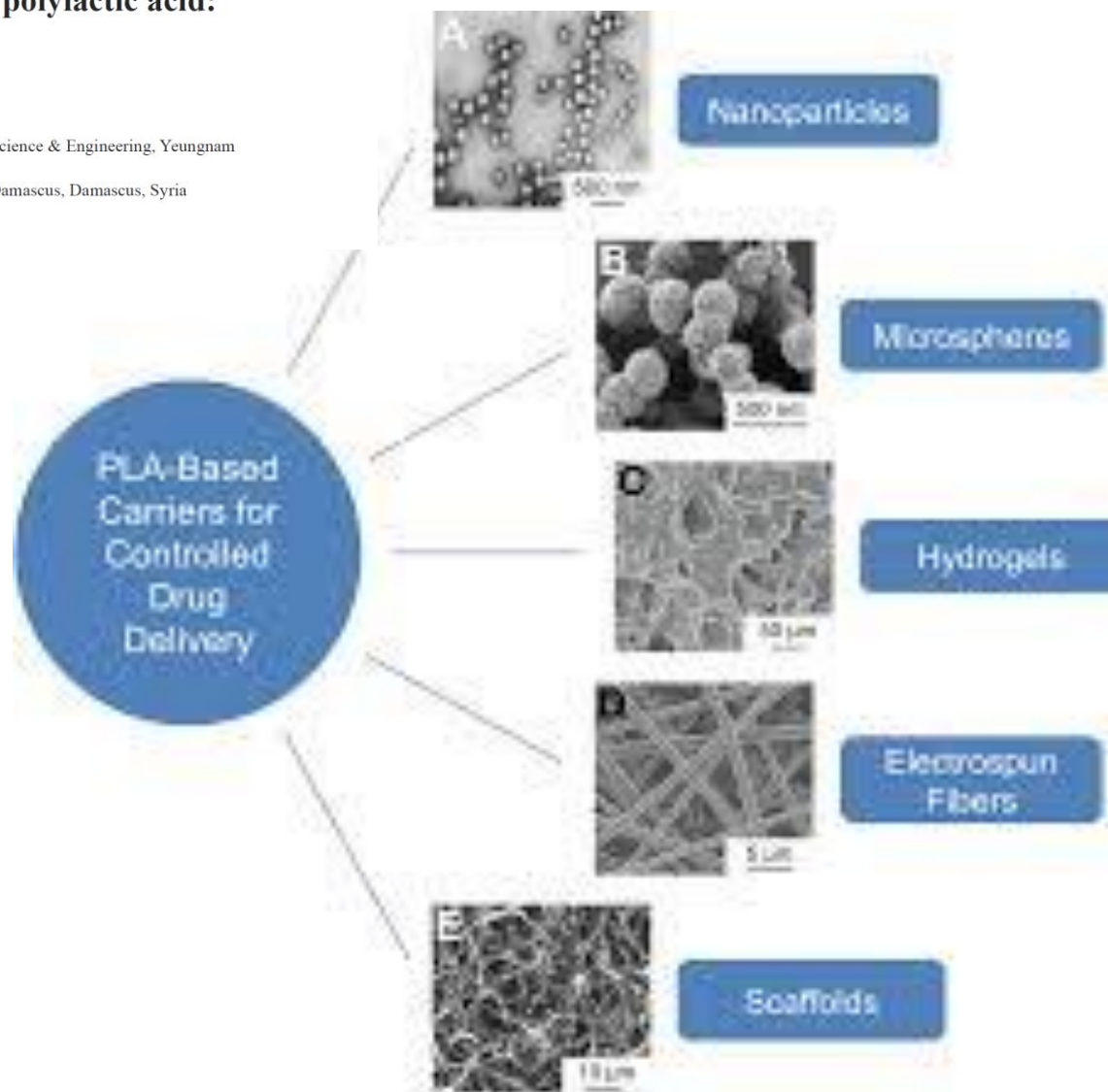
Properties and medical applications of polylactic acid: A review

K. Hamad¹, M. Kaseem¹, H.W. Yang¹, F. Deri², Y. G. Ko^{1*}

¹Plasticity Control & Mechanical Modeling Laboratory, School of Materials Science & Engineering, Yeungnam University, Gyeongsan 712–749, South Korea

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Biomedical Applications

The successful implementation of PLA in biomedical applications relies on better mechanical and surface properties than conventional plastics (e.g., hydrophilicity, roughness, and reactive functionalities). Moreover, thanks to its **bioresorption** and **biocompatible** properties, PLA resins are approved by FDA and EFSA for food and medical applications.

Implants

PLA is widely used for medical implants because it degrades over time; therefore, the removal step of an implant is not required³². PLA implants are able to maintain mechanical properties for a period of time usually required for bone fracture healing.

PLA is commonly used in combination with other macromolecules, such as polyglycolic acid (PGA), collagen, and hydroxyapatite (HA) ceramic, to improve its functionality and mechanical properties. In addition, **PLA composite devices may stimulate** the natural cells growth around the implant.

PLA can replace metal in device implants, and avoid problems such as corrosion and distortion of MRI. Various applications include tissue growth, bone grafting, and fracture fixation devices (miniplates, screws, rods, and suture anchors).

Blends of biodegradable PLA with surfactants (ethylene oxide and propylene oxide) can have a potential use in **dental or orthopedic implants**, thanks to enhanced toughness. **Drug-eluting stents** made of PLA and PLA blends, in which the drug is either incorporated into the matrix or is adsorbed on the composite, are already present on the market.

Tissue engineering

Poly(lactic acid) is found to be one of the most favorable matrix materials for tissue engineering, because of its excellent biocompatibility and mechanical properties.

PLLA fibers are the preferred material in applications that require **long retention** of the strength, such as in ligament and tendon reconstruction, and for the production of **stents for vascular and urological surgery**.

Three-dimensional **porous scaffolds** of polylactide have been created for **culturing different cell types**. These scaffolds are used in **cell-based gene therapy** for cardiovascular diseases, in muscle tissues, bone and cartilage regeneration, and other treatments.

PLA structures may take from 10 months to 4 years to degrade depending on the composition, porosity and crystallinity. Blending of PLA with other polymers with better wettability and faster degradation provides to tune its biodegradability to be compatible with the time taken for tissue growth and/or recovery.

3D printed PLA, PLA/hydroxyapatite and PLA/hydroxyapatite/silk composites have been developed as bone clip materials. Compared to other types of bone clips, these showed similar mechanical properties and superior biocompatibility¹⁹.

Future developments

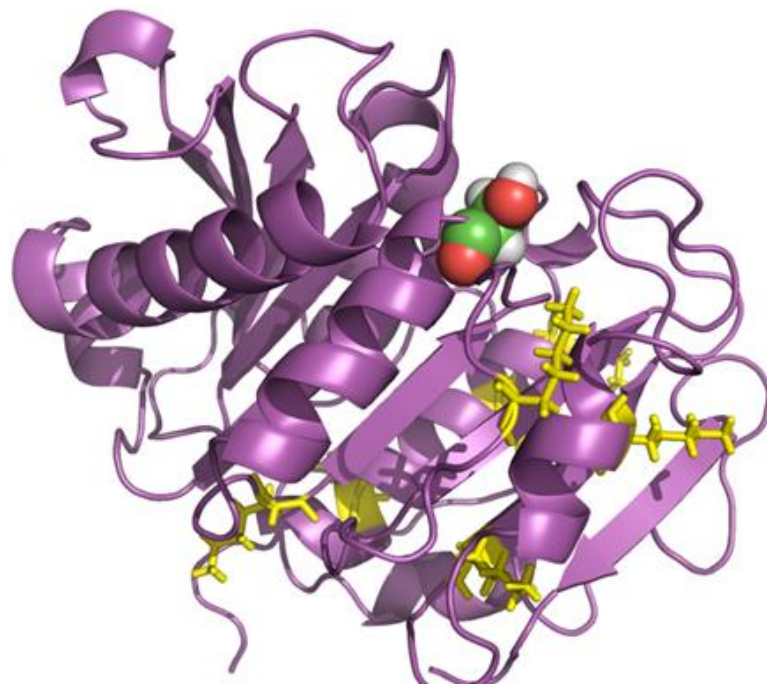
An engineered polyhydroxyalkanoate (PHA) synthase was used as a base for the developing of one-step process PLA-producing bacteria. PHA synthase was chosen because the 3-hydroxybutyrate (3HB) monomer is structurally similar to LA. The polymerizing activity occur through continuous transesterification of lactate-coenzymeA (LA-CoA).

Adding the engineered PHA synthase to a LA-CoA producing *Escherichia coli*, it was able to convert LA into PLA without extraction and purification.

Enzymes for the modification/degradation of polyesters

Thc_cut1

Thermobifida cellulosilytica Cutinase 1

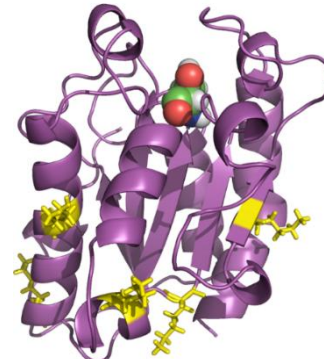
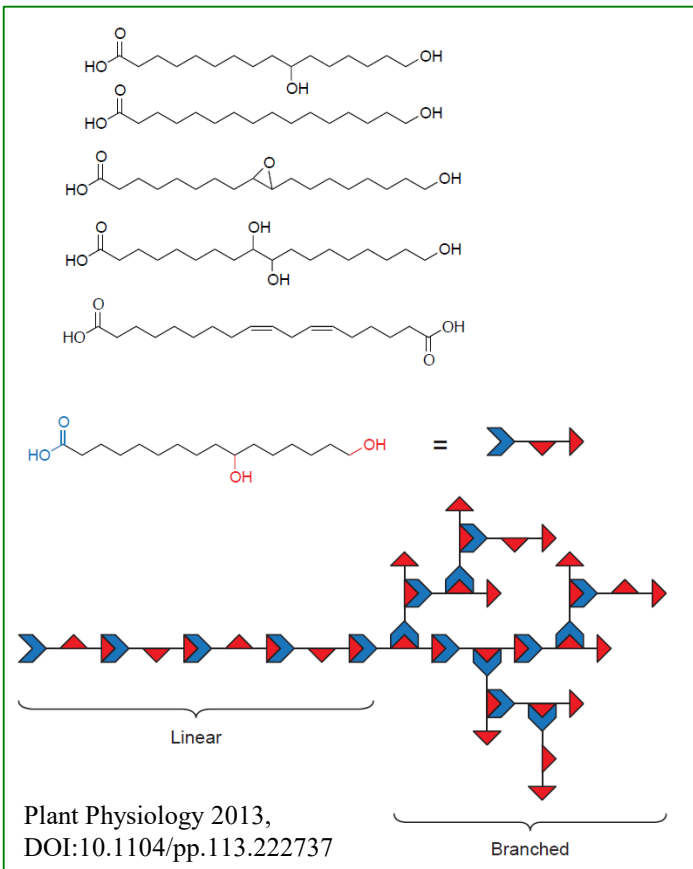


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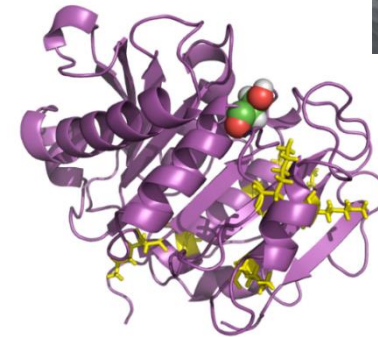
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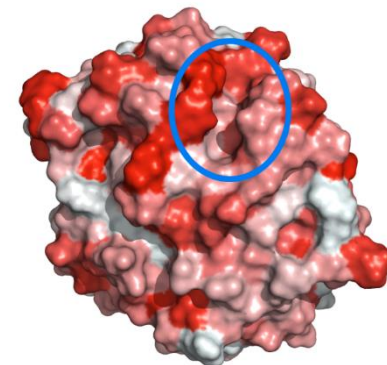
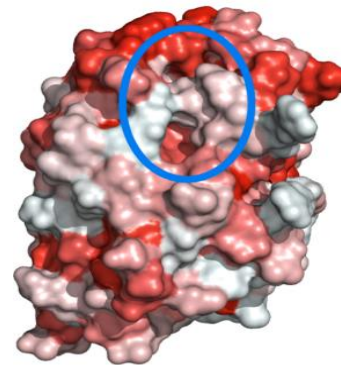
Cutinases are biosynthesized by pathogenic fungi to hydrolyze plant cutin



Cutinase
Humicola insolens



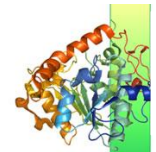
Cutinase
Thermob. cellulosilytica



Enzyme-catalyzed functionalization of poly(L-lactic acid)

180 000 tons/y

PLA



Cutinase from
Humicola insolens

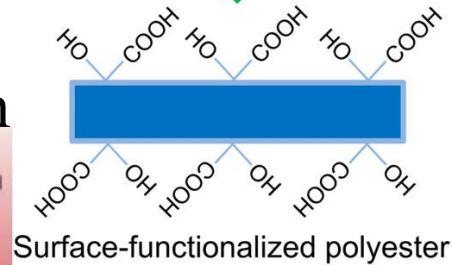
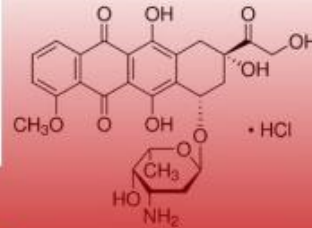


Alessandro
Pellis

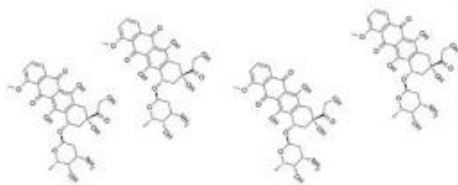


PLA

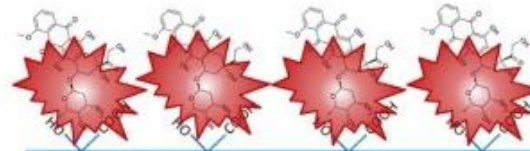
Doxorubicin



Surface-functionalized polyester



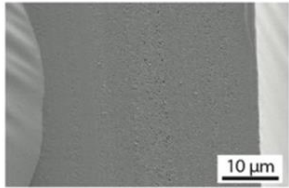
No adsorption



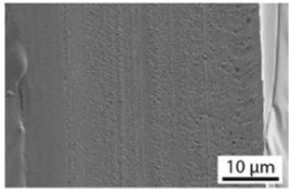
Adsorption



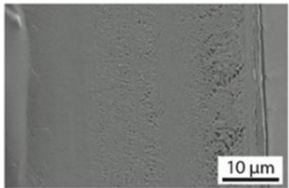
Cutinase hydrolysis of PLA preserves bulk properties



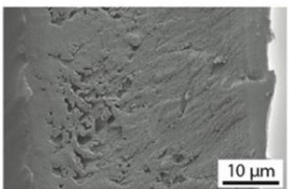
Start PLA



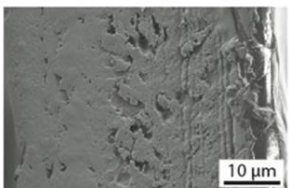
CTRL 48h



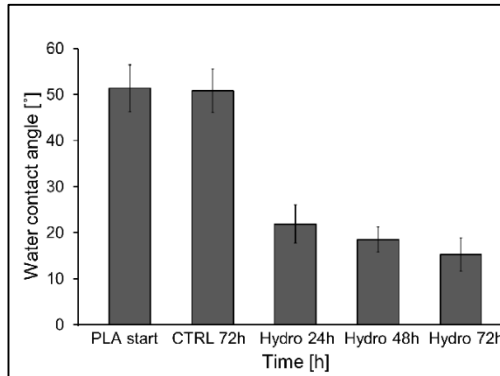
Hydro 24h



Hydro 48h



Hydro 72h



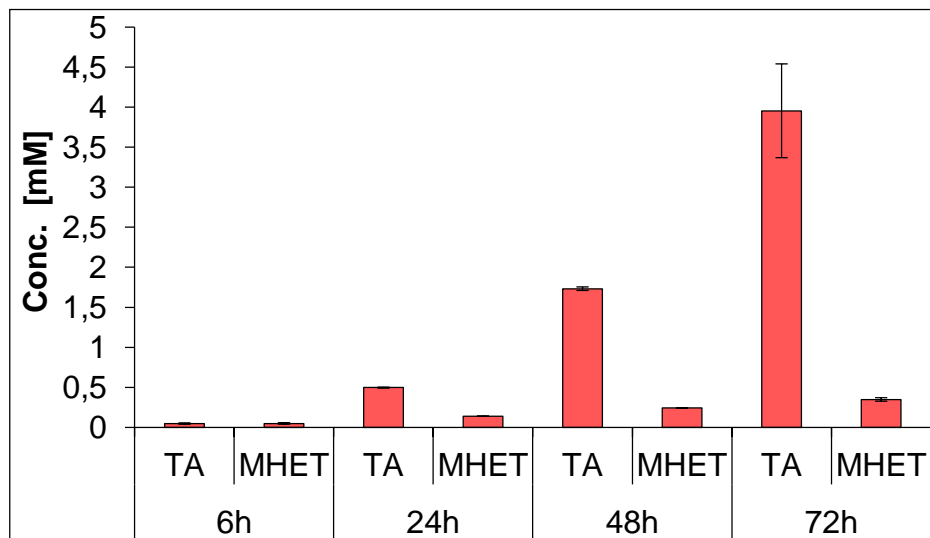
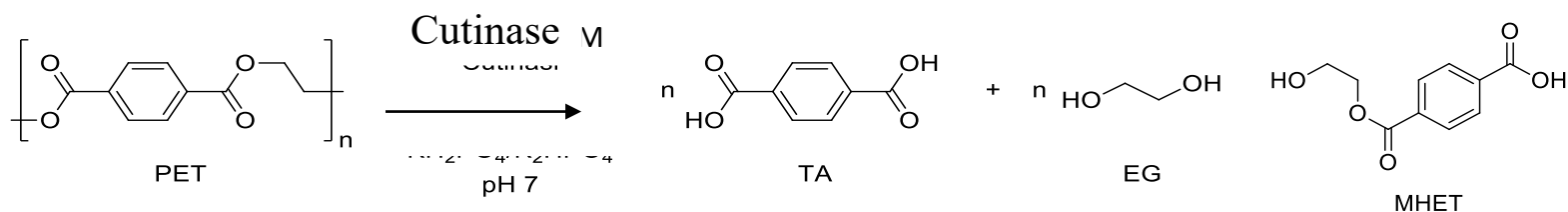
GPC

| Treatment | M _n | M _w |
|--------------------------|----------------|----------------|
| Starting PLA | 26493 | 188104 |
| 72h Enzymatic Hydrolysis | 25874 | 181826 |
| 10min | 18662 | 128248 |
| 20min | 9466 | 51007 |
| 30min | 6738 | 37827 |
| 60min | 3984 | 18178 |

5 μM
Humicola insolens
 cutinase

0.1 M
 NaOH

Hydrolysis of PET catalyzed by Cutinase 1 from *Thermobifida cellulolytica*



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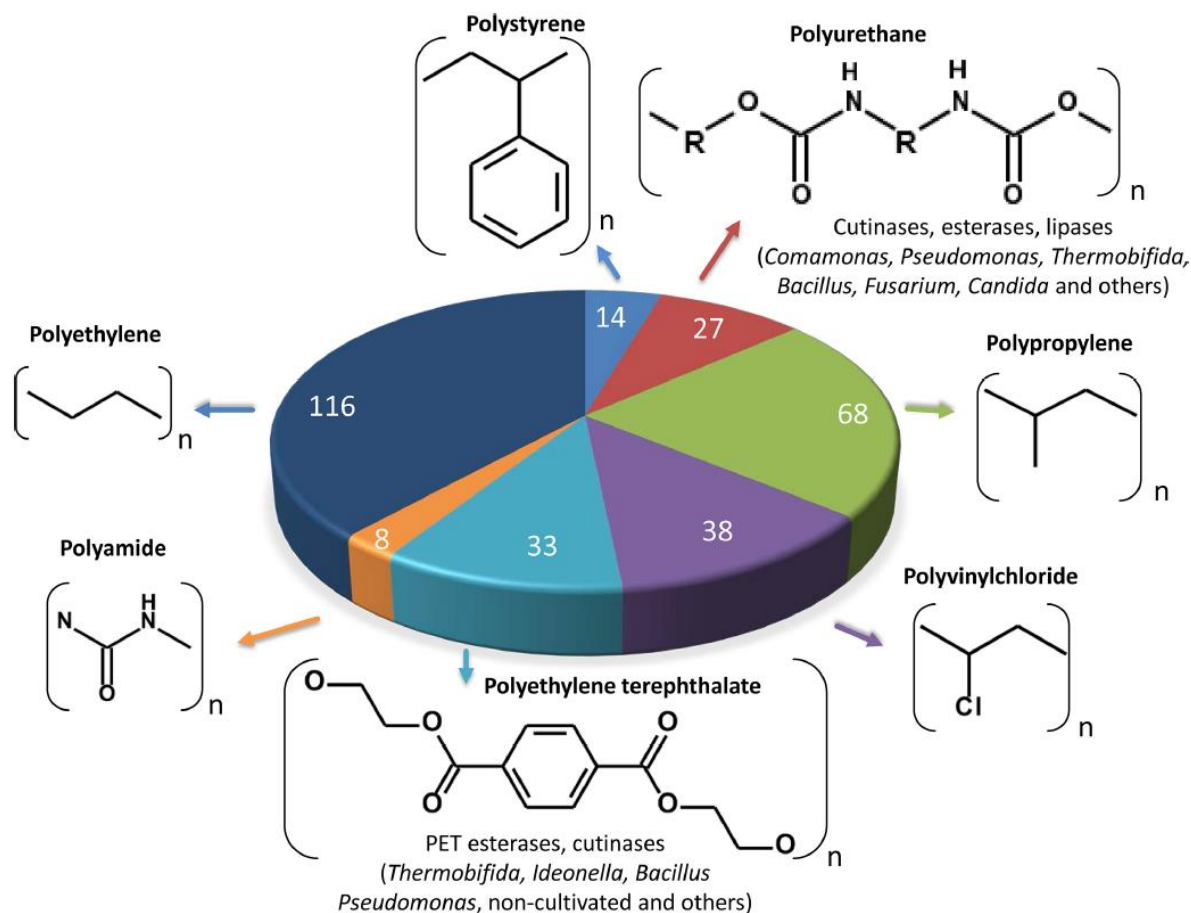
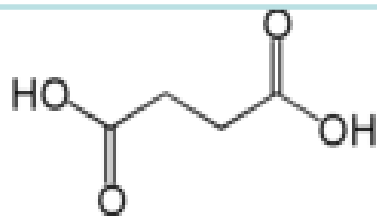
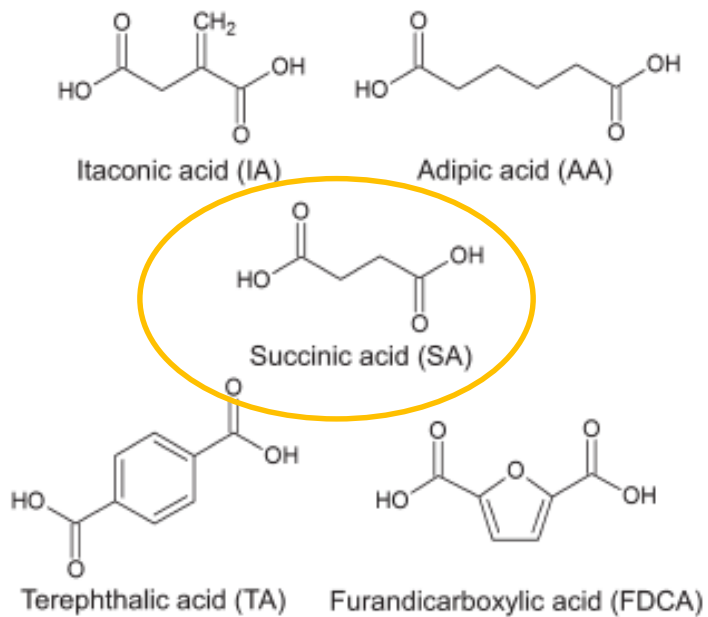


FIG 1 Main synthetic polymers globally produced in 2016. Numbers in the chart indicate the global annual production (millions of tons) of the specified synthetic polymer. Global annual plastic production was extracted from references 1–4, and <https://www.plasticsinsight.com/global-pet-resin-production-capacity>, <https://www.plasticsinsight.com/resin-intelligence/resin-prices/polyamide/>, and <https://www.plasticsinsight.com/world-plastics-production/>. Monomers are depicted above the chart. Indicated are the names of bacterial genera producing verified enzymes with available protein sequences that are known to be involved in the breakdown of the high-molecular-weight polymers (not the additives, plasticizers, etc.). For detailed references on the individual enzymes, refer to the main text. For PA, PE, PS, PVC, and PP, no defined enzymes that act on the polymer have been identified at the level of amino acid or DNA sequences. For enzymes acting on dimers or oligomers and feeding them into the different metabolic pathways, see the main text. For additional structural information on the polymers we refer to ChEBI (<https://www.ebi.ac.uk/chebi/init.do>).

Biotechnological production of other di-carboxylic acids for polyesters



Microbial strain able to convert raw hydrolysates from biomass to succinate (US Patent 6,743,610).

The high interest in SA is because of the fact that this dicarboxylic acid is a key component/intermediate in the production of several solvents, adhesives, printing inks, magnetic tapes, coating resins, plasticizers, emulsifiers, deicing compounds and chemical and pharmaceutical intermediates.

Review

Received: 14 December 2015 Revised: 27 January 2016 Accepted article published: 4 February 2016 Published online in Wiley Online Library:
(wileyonlinelibrary.com) DOI 10.1002/pi.5087

Renewable building blocks for sustainable polyesters: new biotechnological routes for greener plastics

Alessandro Pellis,^a Enrique Herrero Acero,^b Lucia Gardossi,^c Valerio Ferrario^{c*} and Georg M Guebitz^{a,b}

Abstract

The next generation of plastics are expected to contribute to a massive reduction in the carbon footprint by the exploitation, in industrial productive processes, of renewable monomers such as polyols and dicarboxylic acids obtainable via biotechnological production. More specifically, there is a rising demand for advanced polyesters displaying new functional properties while meeting higher sustainability criteria. Polyesters are part of everyday life with applications in clothing, food packaging, car manufacturing and biomedical devices. This review is intended to provide an overview of the array of renewable building blocks already available for synthetic purposes and exploitable in the production of polyesters. Moreover, new greener routes for more environmentally friendly polyester production and processing are discussed, pointing out the major technological challenges.
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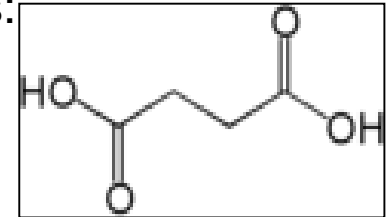
Keywords: renewable plastics; green chemistry; polyesters; biotechnological production of building blocks; industrial biotechnology

Succinic acid (SA)

Since 2008, various companies (such as DSM, BASF and Purac) have shown an interest in the production of bio-based SA at an industrial scale.

For SA the most important production process from renewable feedstock is microbial fermentation of various glucose sources by a variety of microorganisms such as genetically engineered microorganisms:

Escherichia coli,
Actinobacillus succiniproducens and
Anaerobiospirillum succiniproducens



The processes are in use by two companies: the **Myriant** SA biorefinery in Lake Providence (Louisiana, USA) that employs grain sorghum grits as its saccharifiable starting material³² and the Reverdia process (used by **DSM+Roquette**) where ethanol and SA are co-produced through glucose fermentation.

Both processes run with genetically modified anaerobic bacteria, in such a way that alcoholic fermentation sustains the SA production. Theoretical calculations performed by Pinazo *et al.* concluded that, despite having a lower material efficiency, fermentative SA production is attracting attention due to its very competitive cost and market position close to competitiveness with an important petrochemical feedstock such as **maleic anhydride**.



From succinic acid (SA) to 1,4-butandiol and to adipic acid (AA)

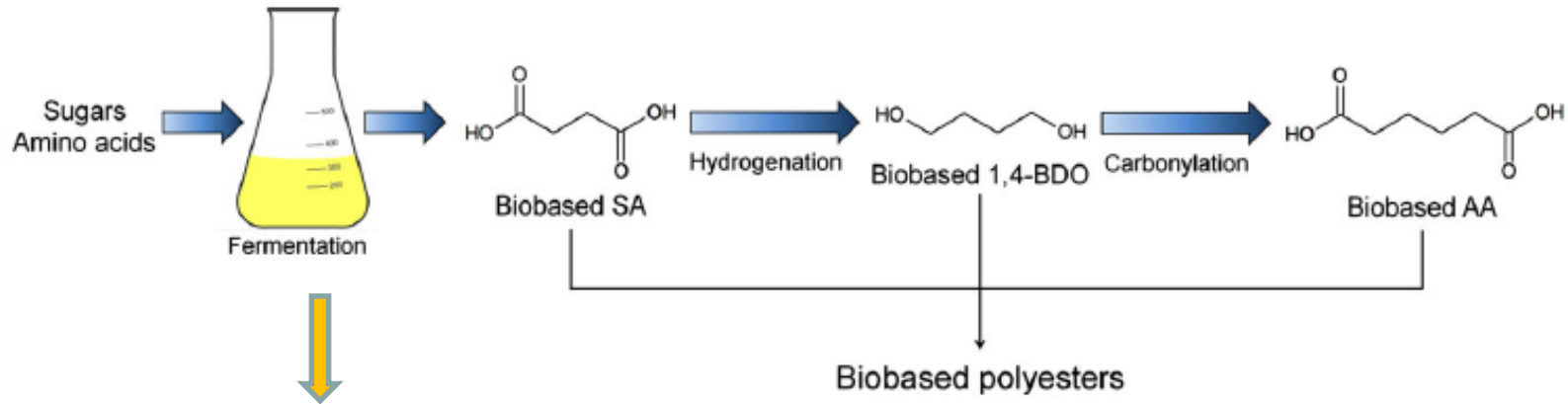
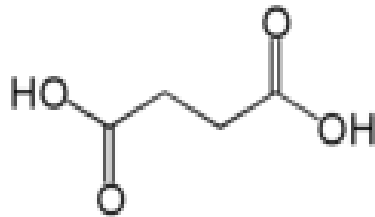
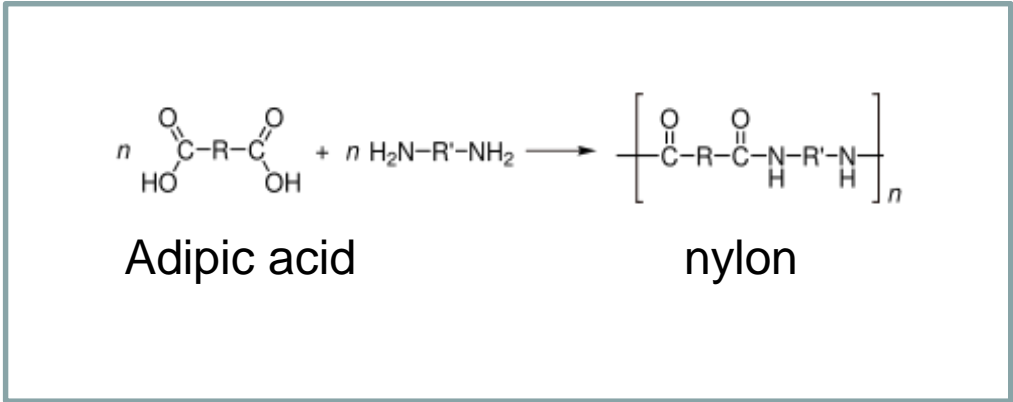


Figure 3. Biotechnological process for the production of bio-based succinic acid (SA) and its derivatives 1,4-butanediol (1,4-BDO) and adipic acid (AA).



Succinic acid

Microbial strain able to convert raw hydrolysates from biomass to succinate (US Patent 6,743,610).



Biotechnological production of monomers:

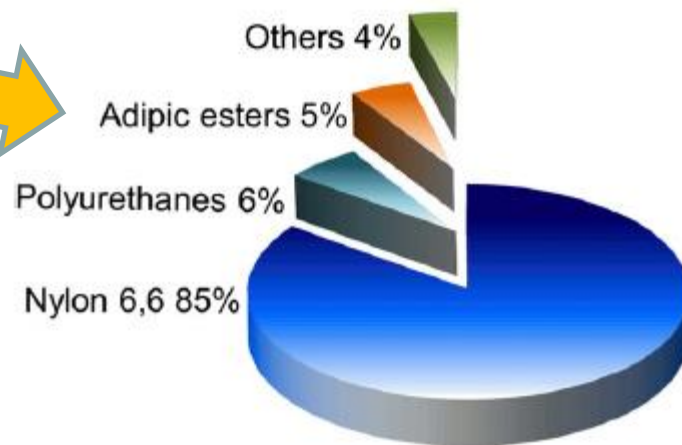
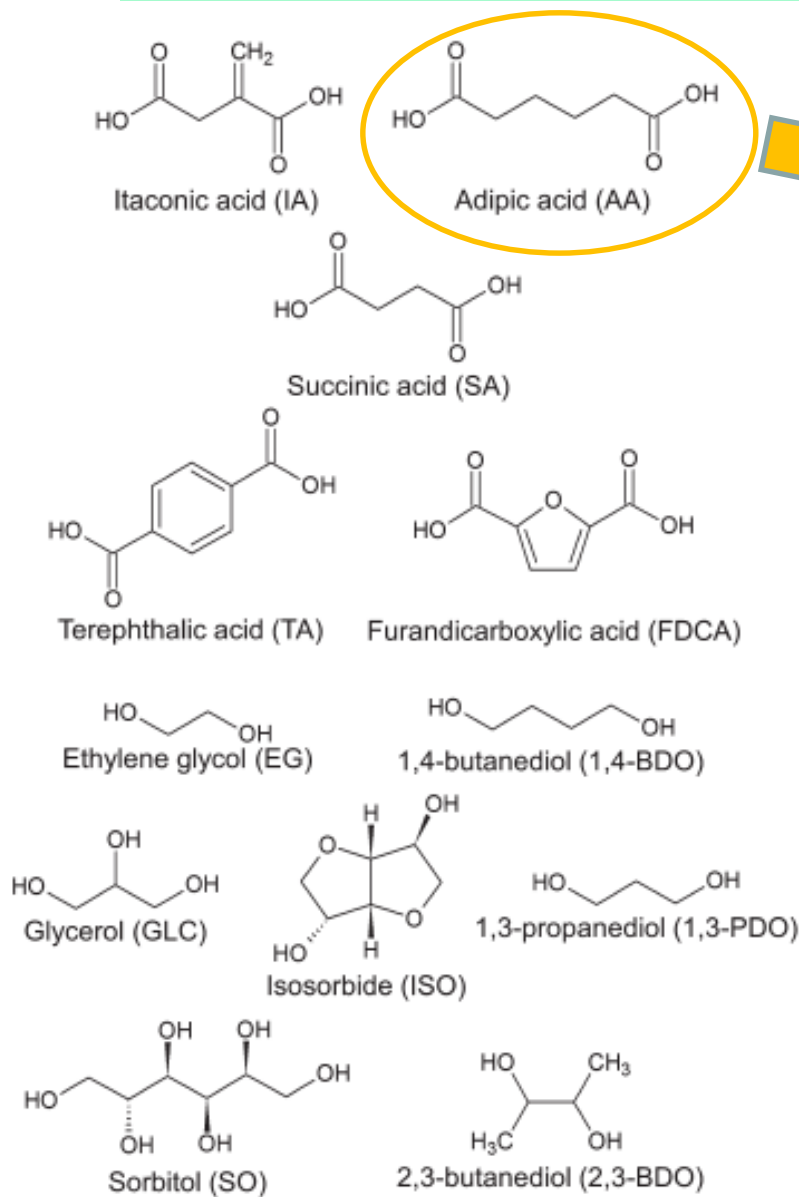


Figure 2. Most common commercial polymeric products derived from the bio-based monomer adipic acid.

Review

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Keywords: renewable plastics; green chemistry; polyesters; biotechnological production of building blocks; industrial biotechnology

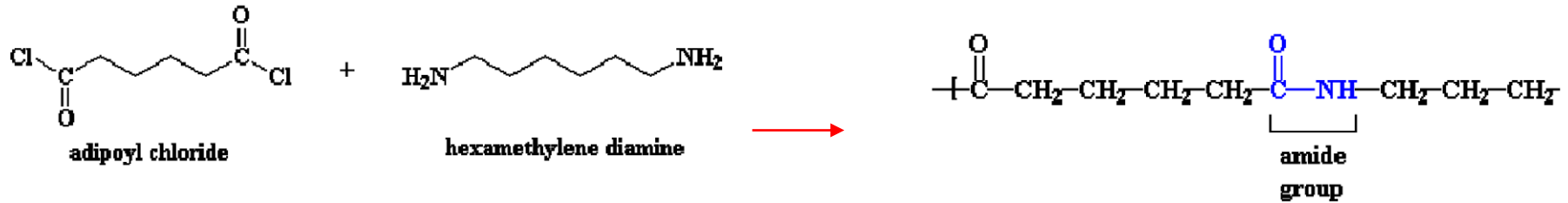
Scheme 1. Most important bio-based dicarboxylic acids and polyols currently available for the enzymatic synthesis of polyesters.

✿ Not biodegradable

Table 4. Bio-based synthetic polymers obtained from bio-based monomers or a combination of bio- and fossil-based monomers.

| Polymer | Properties and applications |
|---|---|
| Poly(trimethylene terephthalate) - PTT* | ✿ Polyester. Same properties as fossil-based PTT. Scarcely biodegradable. Semi crystalline thermoplastic, easily molded or thermoformed and spun into fibres. Good tensile and flexural strength, excellent flow and surface finish. Used in textiles and engineering applications (automotive parts, mobile phone housings). |
| Poly(ethylene terephthalate)-PET* | ✿ Polyester. Same properties as the fossil-based PET. High-performance plastic used for engineering applications, fibres, films, bottles. |
| Poly(1,4-butylene succinate) - PBS | ✿ Polyester. Biodegradable in soil and biocompostable. Its T_m of 115 °C and tensile strength of 30–35 MPa make PBS suitable for applications in packaging as an alternative to polyolefins. |
| Poly(ethylene succinate) - PES | ✿ Moderately biodegradable. Good oxygen barrier and elongation properties. Used for film applications. |
| Poly(ethylene furanoate) - PEF | ✿ Polyester. Durable, good oxygen barrier. T_m of 211 °C and T_g of 86 °C. Suitable for packaging, in the food and beverage industry. |
| Poly(trimethylene furanoate) - PTF | ✿ Polyester. Not biodegradable. T_m of 172 °C, T_g of 57 °C, good oxygen barrier properties. Employed in light weighting packaging. |
| Poly(butylene furanoate) - PBF | ✿ Polyester. T_m of 172 °C, T_g of 44 °C. Potential replacer of PET and PBT. |
| Poly(1,4-butylene adipate-co-1,4-butylene terephthalate) - PBAT | ✿ Polyester. Biodegradable. Used in blends with PLA and fibers due to low thermo-mechanical properties. Obtained from fossil feedstock or bio-terephthalic acid |
| Unsaturated polyester resins - PUR | ✿ Properties varies according the percentage of unsaturated diacid (e.g. itaconic acid) and the curing procedure. Applied in waterborne UV-curable coatings for wood and flooring industry. |
| Poly(L-lactide) PLLA | ✿ Polyester. Thermoplastic. Processable by extrusion, injection molding, blow molding. Degradable by hydrolysis rather than microbial attack. Industrially compostable. Crystallinity can be controlled by co-polymerization of selected ratios of L- to D-stereoisomers of lactic acid or lactide. Mechanical, thermal and barrier properties justify applications in food packaging. Used for medical applications and drug delivery because of its biocompatibility. |
| Polyamides containing four carbons - 4C PAs: 4; 4.6 and 4.10 | ✿ Not biodegradable. 4C PAs match properties of fossil-based PAs 6 and 6.6, such as thermal durability and mechanical strength, with a T_m above 250°C. All 4C PAs have higher dielectric strength and higher retention of tensile properties as compared to PA 6.6. PA 4.10 has low moisture uptake. Applications range from water management to cable coating, food contact products and automotive. |
| Polyamides with longer chains. PAs: 6.10; 10.10; 11 and 12 | ✿ Long chain carbon monomers confer flexibility to these polymers, which find application in fuel lines in cars, offshore pipelines, gas distribution piping systems, electronics, sports equipment, furniture and automobile components. |
| Polyvinyl chloride – PVC* | ✿ Not biodegradable and poorly chemically degradable. Same properties as fossil-based PVC. Used in construction profile applications, bottles and non-food packaging. When made more flexible by the addition of plasticizers, it is used in electrical cable insulation, imitation leather, flooring and as rubber replacer. |
| Polyethylene – PE* (from bio-ethanol) | ✿ Polyolefin. Same properties of fossil-based PE. Not biodegradable, recyclable through dedicated infrastructures. Thermoplastic. High Density PE (more crystalline) finds applications in construction sector. Low Density Polyethylene is used in packaging. Ultrahigh Molecular Weight Polyethylene has applications in medical devices and bulletproof vests. |
| Polypropylene - PP* | ✿ Polyolefin. Same properties as the fossil PP. Not biodegradable, non-polar. Partially crystalline thermoplastic with low density. Used in a large variety of applications and in packaging. |
| Poly(methyl methacrylate)–PMMA* | ✿ Not biodegradable. Lightweight material used as glass replacement in automotive for shatterproof and UV resistant properties. |
| Ethylene propylene diene monomer – EPDM (synthetic rubber) | ✿ Not biodegradable. Good resistance to hot water and polar solvents but poorly resistant to aromatic and aliphatic hydrocarbons. Chlorine-free synthetic rubber used for technical clothing, elastomers with shock absorption. Ozone and thermal resistant. Electrical insulation properties. Used also for automotive applications. |
| Polyurethanes -PURs | ✿ Produced through the reaction of a diisocyanate with a polyol. Microbial degradation depends on the chemical structure. Often blended with polyethers to increase flexibility or extensibility. Used as de-halogenated flame retardant foams, paints, powder coatings, medical devices (blood contacting applications). Biodegradable polyurethane scaffolds have been used in tissue regeneration. |
| Poly(furfuryl alcohol) - PFA | ✿ Not biodegradable. Synthesized from bio-based furfuryl alcohol (FA) deriving from sugars. Used in the fabrication of nanoporous carbons structures for molecular sieve adsorbents, membranes and as a component for electrochemical and electronic devices. |
| Acrylonitrile butadiene styrene - ABS | ✿ Obtained from butadiene rubber dispersed in a matrix of styrene-acrylonitrile copolymer. Not biodegradable. Thermoplastic, used to make light, rigid, moulded products such as pipes, automotive parts. Used also for its flame retardant properties. |
| Polyacrylic superabsorbent polymers - PA-SA | ✿ Its high swelling capacity is tuneable by controlling the degree of crosslinking. Its biodegradation in soil can be improved under conditions that maximize solubilisation. Find applications in personal disposable hygiene products, such diapers and sanitary napkins. |
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Dal punto di vista chimico i nylon sono poliammidi, poiché contengono il caratteristico gruppo ammidico (che congiunge i monomeri da cui vengono sintetizzati), analogo a quello che lega gli amminoacidi nelle proteine.



Poiché i gruppi ammidici sono molto polari, le poliammidi sono caratterizzate da numerosi legami a idrogeno intra- e inter-molecolari che danno origine ad intense forze di coesione le quali, insieme alla regolarità delle catene, fanno sì che i nylon siano spesso cristallini e formino delle fibre caratterizzate da:

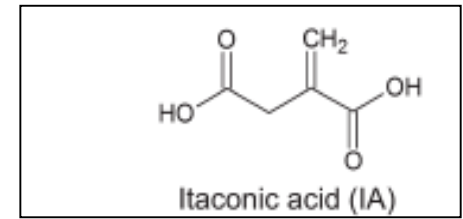


- ottima resistenza all'usura;
- elevato recupero elastico;
- facilità di tintura;
- buona solidità al colore;
- facilità di manutenzione.

✿ Not biodegradable

Table 4. Bio-based synthetic polymers obtained from bio-based monomers or a combination of bio- and fossil-based monomers.

| Polymer | Properties and applications |
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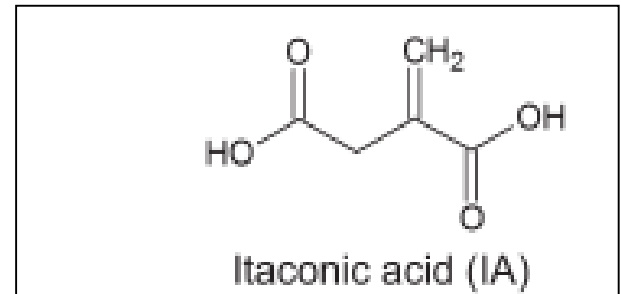
Itaconic acid (IA)

IA has been known since 1837 when Baup first described the thermal decomposition of citric acid, leading to IA.

Neither thermal decomposition nor alternative chemical methods are used for commercial production since fermentation by fungi is economically more profitable.

Biosynthesis of IA was first described by Kinoshita in 1932 who isolated the product from cultivation media of the osmophile eukaryotic *Aspergillus itaconicus*.

Various *Aspergillus terreus* strains were found more suitable for the fermentation process.



IA is currently used in paper-coating and carpet-backing, which are the primary consumers at the industrial scale. Some IA derivatives are used in medicines, cosmetics, lubricants and herbicides.

Polyols obtained by fermentations

Some bio-based monomers for polymer production

| Monomer | Biotechnological route | Company | Status | Application of the corresponding bio-based polyesters |
|----------------------------|---|---|-------------|---|
| Sorbitol | Fermentation + hydrogenation | Roquette, ADM | Market | Functional polyesters; coatings |
| Isosorbide | Sorbitol dehydration | Roquette | Market | Thermosetting resins |
| Ethylene glycol | Ethanol dehydration | India Glycols Ltd, Grencol Taiwan | Market | PET; PEF |
| 1,3-propanediol | Fermentation | Du Pont, Tate & Lyle, Metabolic Explorer | Market | PTT; fibers; elastomers; polyester-urethanes |
| 1,4-butanediol | Fermentation, succinic acid hydrogenation | Novamont, BioAmber, Genomatica, Mitsubishi | Market | PBAT; PBS; PBT |
| Adipic acid | Fermentation + hydrogenation | Celexion LLC, BioAmber, Rennovia, Verdezyme | Market | Resins; polyester-amines; polyester-urethanes |
| Itaconic acid | Fermentation | Qingdao Kehai Biochemistry, Itaconix | Market | Photocurable precursors; plasticizers |
| Lactic acid | Fermentation | Nature Works, BASF, Purac, Cargill, BBCA, Galactic | Market | PLA |
| Succinic acid | Fermentation | BioAmber, Myriant, Reverdia, BASF, Purac, Succinity | Market | Textiles; coatings; PBS; PBT |
| Terephthalic acid | Isobutylene oxidation, fermentation | Virient, Anellotech, Genomatica | Pilot plant | PET; coatings |
| Levulinic acid | Fermentation, acid treatment of C6 sugars | GFBiochemicals, Bio-on, Biofine Renewables | Market | Coatings, hyperbranched dendrimeric polyesters |
| Malic acid | Fermentation | Novozymes | Pilot plant | Functionalized chiral polyesters |
| 2,5-furandicarboxylic acid | Fermentation + dehydration + oxidation | Avantium | Pilot plant | PEF; polyester-urethanes |



1,4-Butanediol (1,4-BDO)

1,4-BDO is an important chemical that is used for the manufacture of over 2.5 million tons of polymers annually. Nowadays its production is almost entirely based on fossil carbon resources (production via the Reppe process in which acetylene is reacted with formaldehyde) with the exception of BASF and Bioamber that started production via hydrogenation of SA which is accessible from biogenic sources as described below.

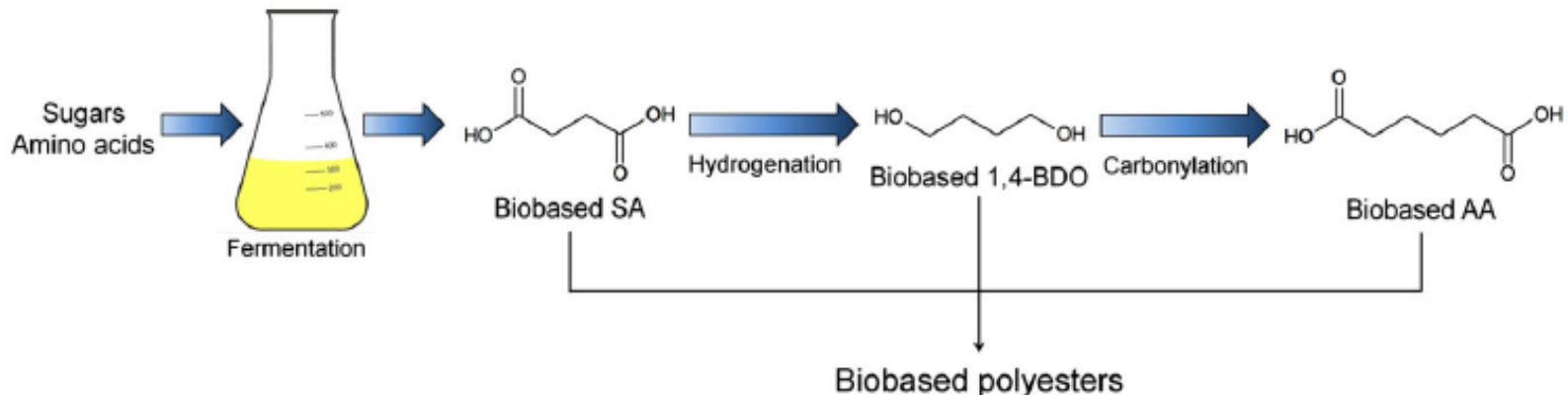


Figure 3. Biotechnological process for the production of bio-based succinic acid (SA) and its derivatives 1,4-butanediol (1,4-BDO) and adipic acid (AA).

In September 2016 Novamont opened the first plant at commercial scale in the world for the direct fermentation of sugar to produce 1,4-butanediol.

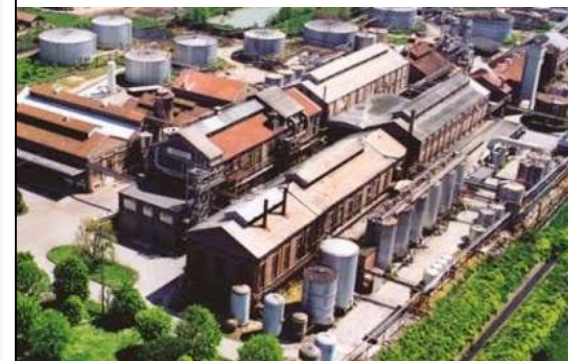
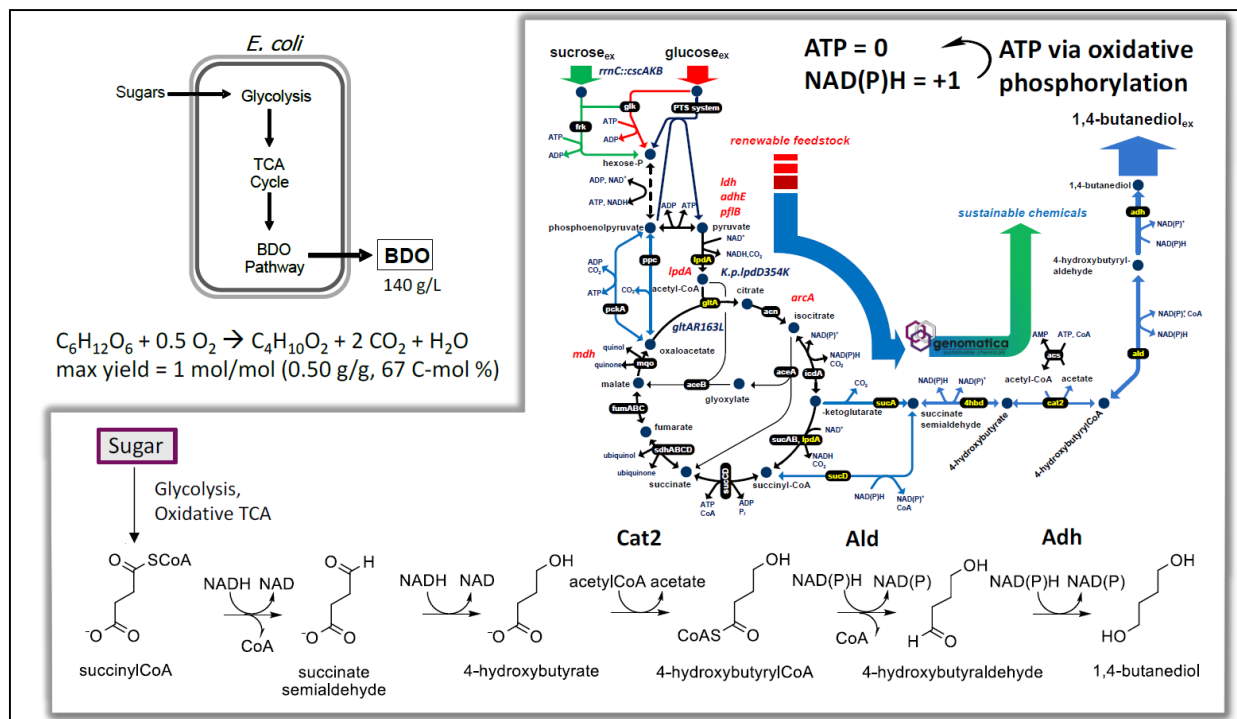


Veneto
Adria

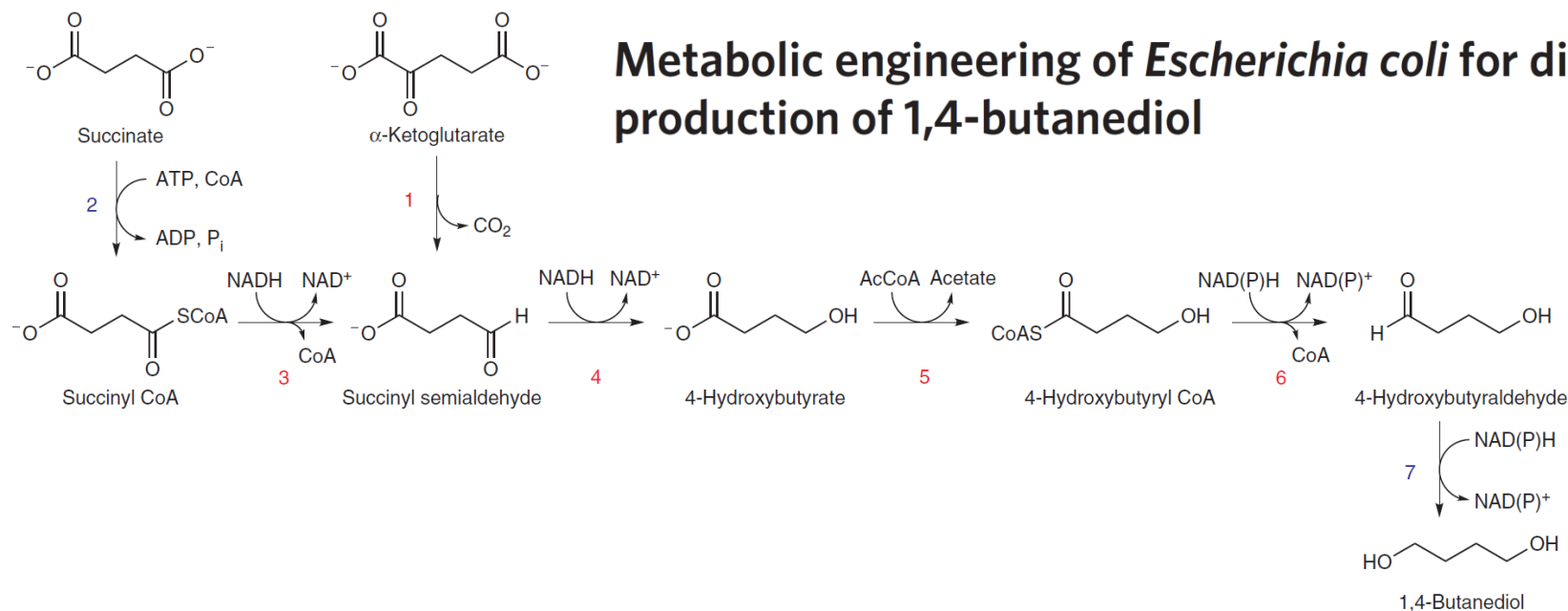
Metabolic engineering of *Escherichia coli* for direct production of 1,4-butanediol

Harry Yim^{1,3}, Robert Haselbeck^{1,3}, Wei Niu^{1,3}, Catherine Pujol-Baxley^{1,3}, Anthony Burgard^{1,3}, Jeff Boldt¹, Julia Khandurina¹, John D Trawick¹, Robin E Osterhout¹, Rosary Stephen¹, Jazell Estadilla¹, Sy Teisan¹,

¹Genomatica, Inc., San Diego, California, USA. ²Department of Chemical and Biomolecular Engineering (BK21 program), Center for Systems and Synthetic Biotechnology, Institute for the BioCentury, Korea Advanced Institute of Science and Technology, Daejeon, South Korea. ³These authors contributed equally to this work. *e-mail: svandien@genomatica.com



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Scheme 1 | BDO biosynthetic pathways introduced into *E. coli*. Enzymes for each numbered step are as follows: (1) 2-oxoglutarate decarboxylase; (2) succinyl-CoA synthetase; (3) CoA-dependent succinate semialdehyde dehydrogenase; (4) 4-hydroxybutyrate dehydrogenase; (5) 4-hydroxybutyryl-CoA transferase; (6) 4-hydroxybutyryl-CoA reductase; (7) alcohol dehydrogenase. Steps 2 and 7 occur naturally in *E. coli*, whereas the others are encoded by heterologous genes introduced in this work.

2

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1,4-BDO derivatives:

- tetrahydrofuran
- γ -butyrolactone
- *N*-methylpyrrolidone
- 2-pyrrolidone

1,4-BDO is an important chemical that is used for the manufacture of over 2.5 million tons of polymers annually. 1,4-BDO and its derivatives represent a market ripe for the introduction of a competitive bio-based route.

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1,4-Butanediol (BDO) is an important commodity chemical used to manufacture over 2.5 million tons annually of valuable polymers, and it is currently produced exclusively through feedstocks derived from oil and natural gas. Herein we report what are to our knowledge the first direct biocatalytic routes to BDO from renewable carbohydrate feedstocks, leading to a strain of *Escherichia coli* capable of producing 18 g l⁻¹ of this highly reduced, non-natural chemical. A pathway-identification algorithm elucidated multiple pathways for the biosynthesis of BDO from common metabolic intermediates. Guided by a genome-scale metabolic model, we engineered the *E. coli* host to enhance anaerobic operation of the oxidative tricarboxylic acid cycle, thereby generating reducing power to drive the BDO pathway. The organism produced BDO from glucose, xylose, sucrose and biomass-derived mixed sugar streams. This work demonstrates a systems-based metabolic engineering approach to strain design and development that can enable new bioprocesses for commodity chemicals that are not naturally produced by living cells.

Thanks to an investment of 100 million euro, Novamont has managed to revive an abandoned manufactory site of Bioitalia, former Ajinomoto, who was acquired in 2012 by Novamont, safeguarding 27 jobs, which later became 51 at the end of 2015.

The plant of Bottrighe di Adria is the first facility in the world capable of producing butanediol (BDO) directly from sugars (30 thousand tons yearly).

BDO produced by the plant enables Novamont to deliver its fourth-generation of Mater-Bi bioplastics with greater sustainability (e.g. renewable components).

The products made with this new BDO will save an estimated 56 percent of greenhouse gas emissions compared to the use of conventional BDO.



<https://www.youtube.com/watch?v=cWPcKil4z4M>

<https://www.youtube.com/watch?v=awxsW2nzsN8>



Other monomers for bio-based plastics

Chemical platform for 3-hydroxypropionic acid

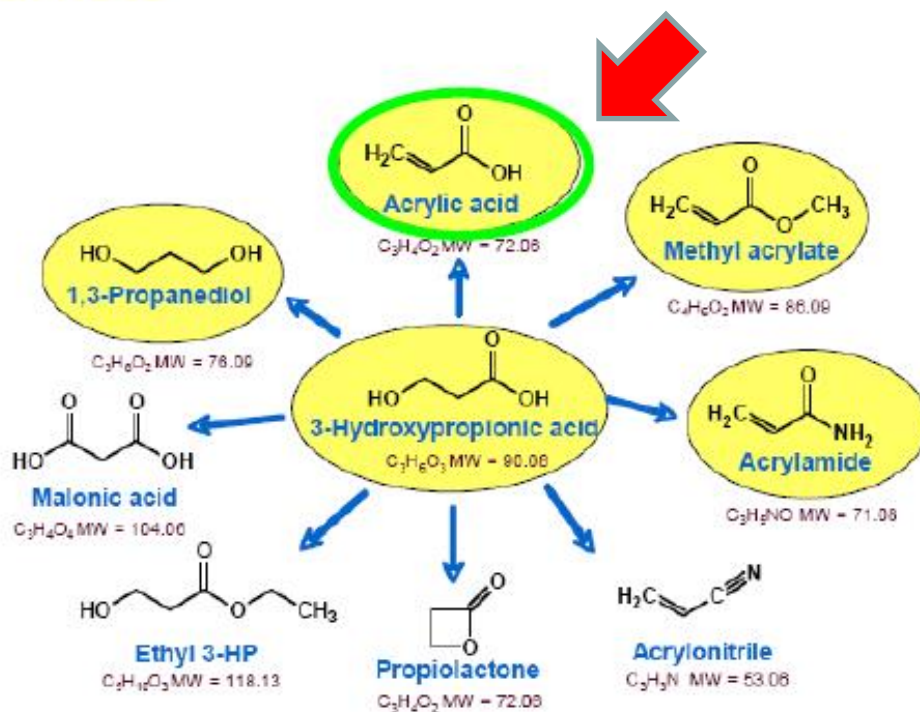
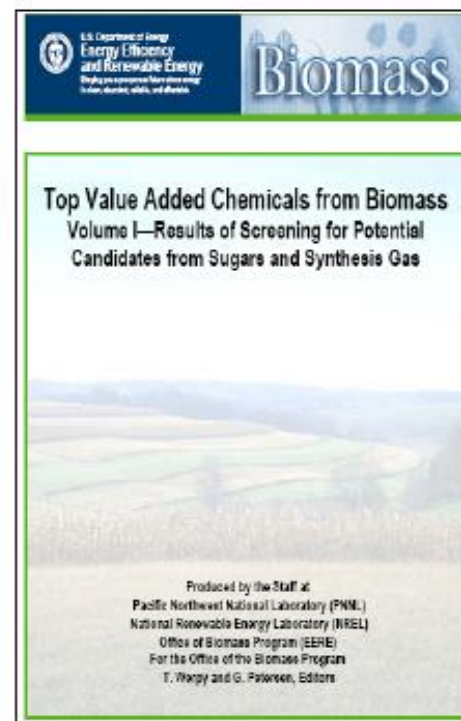


Figure 8 – Derivatives of 3-HPA



✿ Not biodegradable

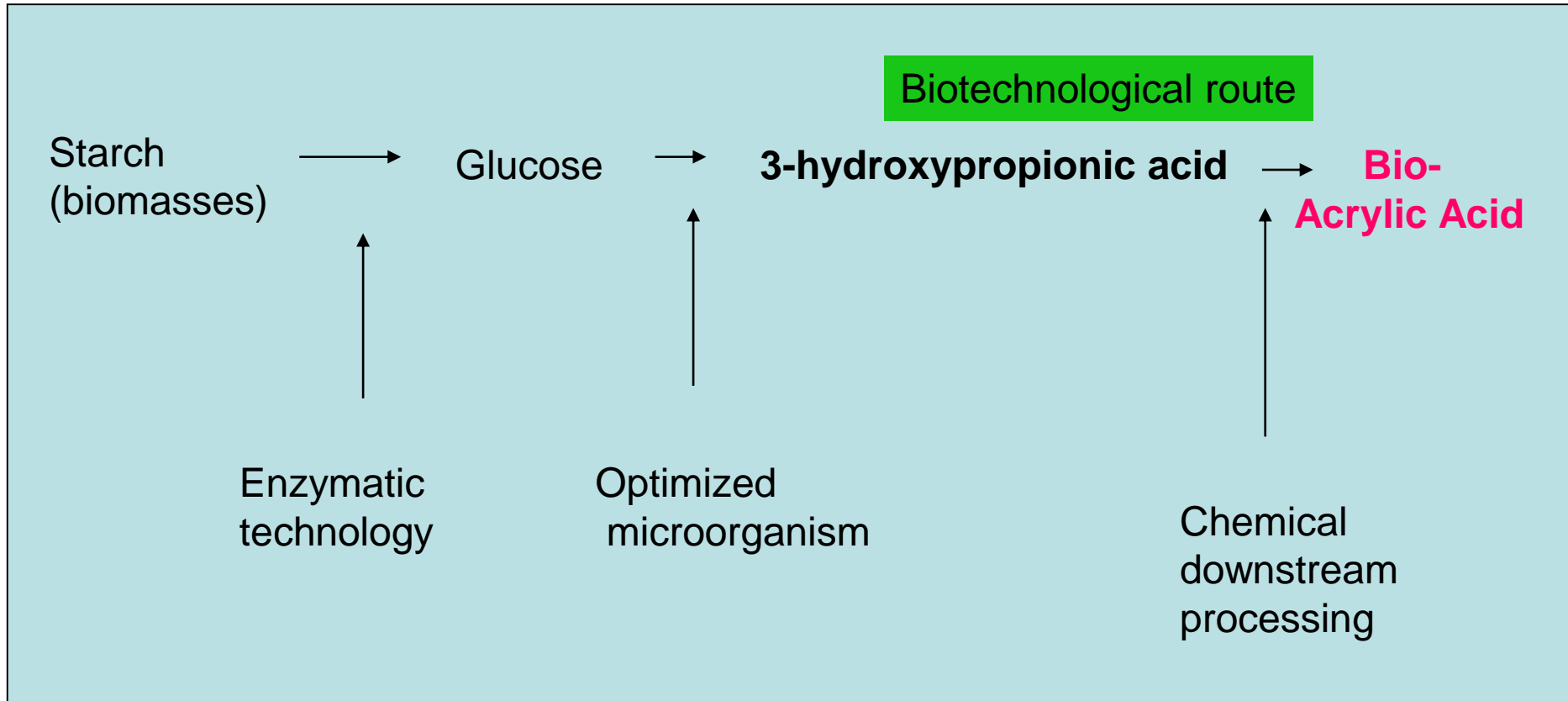
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Production of Bio-acrylic acid

Propylene \longrightarrow Acrylic acid

Chemical route



(source Novozymes communication)

Bio-Polyethylene



- Equivalent to fossil based PE
- 100 % biobased (ASTM 6866)
- Not biodegradable
- Braskem 2009, 200.000 t/a
- Dow 2011, 350,000 t/a
- Solvay PVC
- Ethanol fermentation carbon efficiency?

Sugar Cane

↓ fermentation, distillation

Ethanol

↓ **chemical** dehydration

Ethylene

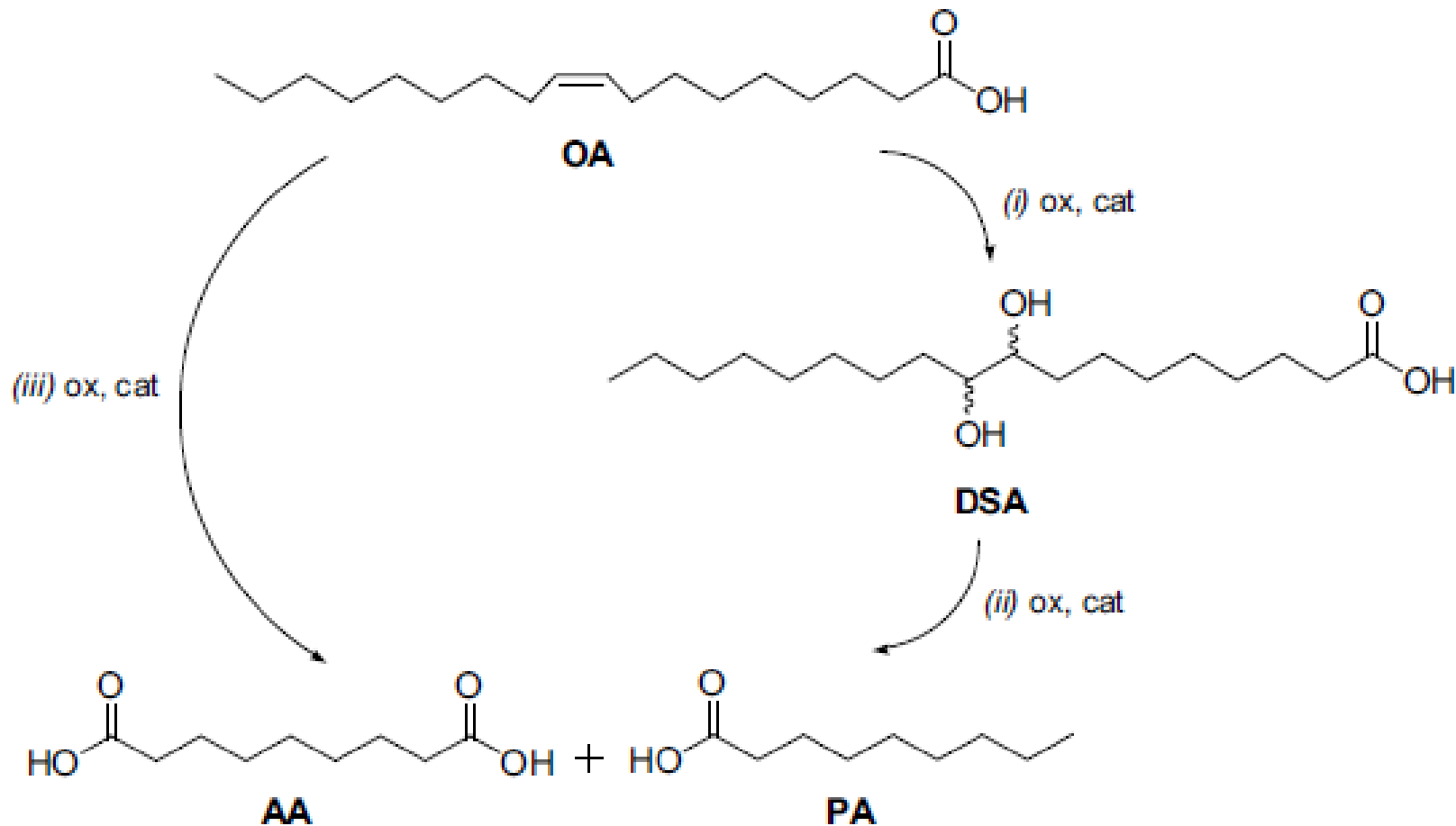
↓ polymerization

PE



**Bio-based monomers and
building blocks obtained by
chemical routes**

Synthesis of azelaic acid and pelargonic acid from oleic acid



Crops from marginal lands

SARDEGNA



Porto Torres: From a traditional petrochemical site to a biorefinery



What crops for Sardinia? : An example : Thistle (Cynara Cardunculus)

- ✓ It is a spontaneous polyennial plant
- ✓ It needs amount of water compatible with winter rain regime (400 mm)
- ✓ It can be grown in marginal areas become a source of extra income for farmers and sheperds
- ✓ It produces oil usable as feedstock for the monomers plant
- ✓ Proteic meals can be used in feed
- ✓ It produces big amount of biomass usable immediately to produce all the energy needed by the plant and in the mid term for the manufacturing of strategic monomers



Some images from thistle harvesting in Matrìca experimental fields (August 2014)



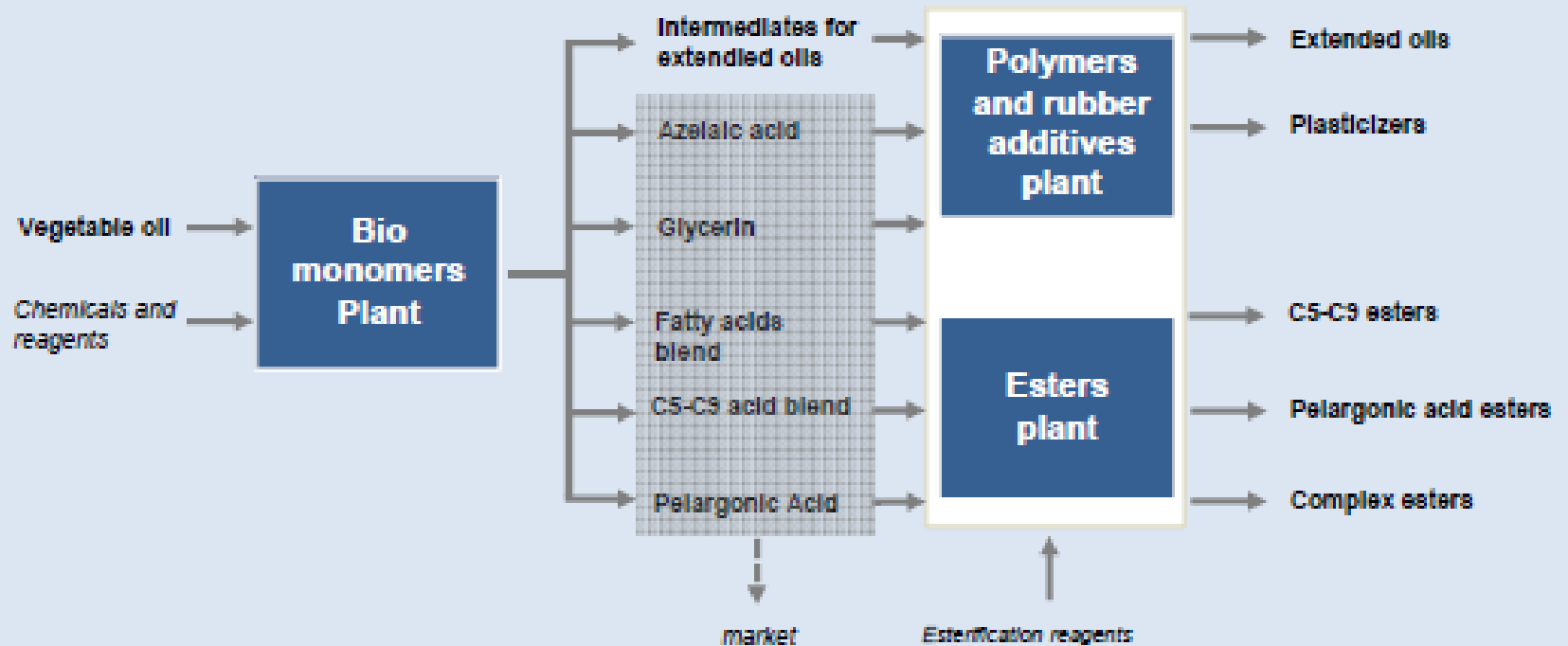
AFTER 3 CONSECUTIVE YEARS OF EXPERIMENTATION:

- BIOMASS PRODUCTION > 15 TON/HA (17 TON/HA IN 2014)
- SEEDS PRODUCTION ~ 1,5 TON/HA (1,74 TON/HA IN 2014)
- ENGINEERING OF SPECIFIC FARMING MACHINES SUITABLE FOR SARDINIAN STONY GROUNDS



Luigi Capuzzi

luigi.capuzzi@novamont.com



Note: simplified scheme

Sectors where Matrica Products will Contribute to the Quality of Environment



PLASTICIZERS FOR PVC AND OTHER POLYMERS AS REPLACEMENT OF PHTALATES

WORLDWIDE PRODUCTION OF PHTALATES: 5,5 MIO TON



BIO-LUBRICANTS FOR AGRICULTURE, MARINE AND INDUSTRIAL APPLICATIONS: high lubricity, biodegradability, low flammability

**EU PRODUCTION OF LUBRICANTS: 5,2 MIO TON
HYDRAULIC FLUIDS: 0,7 MIO TON**



PALM OIL FREE COMPONENTS FOR COSMETICS



OIL EXTENDERS FOR RUBBER

EUROPEAN PRODUCTION: > 0,5 MIO TON



BIO-HERBICIDES FOR INTEGRATED AGRICULTURE



Luigi Capuzzi

luigi.capuzzi@novamont.com

ACIDO AZELAICO

Uso terapeutico (applicazione topica) nelle patologie:

Acne Vulgaris

Rosacea Papulopustolare

AIFA:

Finacea - 15% (p/p) gel. Tubo da 5, 30, 50 g. Bayer,
S.p.A.

Skinoren - 20% (p/p) crema. Tubo da 30 g. Bayer,
S.p.A.

Effetti finali:

- Azione sbiancante su aree iperpigmentate
- Azione antiproliferativa tumorale
- Azione citotossica su cellule tumorali
- (a dosi maggiori)
- Azione antiproliferativa virale e micotica *in vitro*
- Azione batteriostatica e battericida
- dose-dipendente
- Azione anticheratinizzante - anticomedonica
- Azione antinfiammatoria
- Riduzione dell'attività serin-proteasica (SPA)



The construction of the European bioeconomy by designing and developing an integrated process to produce innovative biomaterials, through the valorization of renewable raw materials.



UNIVERSITÀ DEGLI STUDI DI TRIESTE
 Dipartimento di
 Scienze Chimiche e Farmaceutiche

Laboratory of Computational and
 Applied Biocatalysis



UNIVERSITÀ DEGLI STUDI
 DI NAPOLI FEDERICO II



Consiglio Nazionale
 delle Ricerche



CARDOON

- ▶ ***Cynara cardunculus* L., from Asteraceae family**
- ▶ **Wild robust perennial plant**
- ▶ **Habitat conditions: high temperature, salinity and drought**
- ▶ **High biomass productivities (in the range of 1524 t/ha)**

P. Valentão et al. J. Agric. Food Chem. 50(17), (2012), 4989-4993;
C.M. Torres et al. Fuel 111, (2013), 535-542;



1. Collection of Cardoons

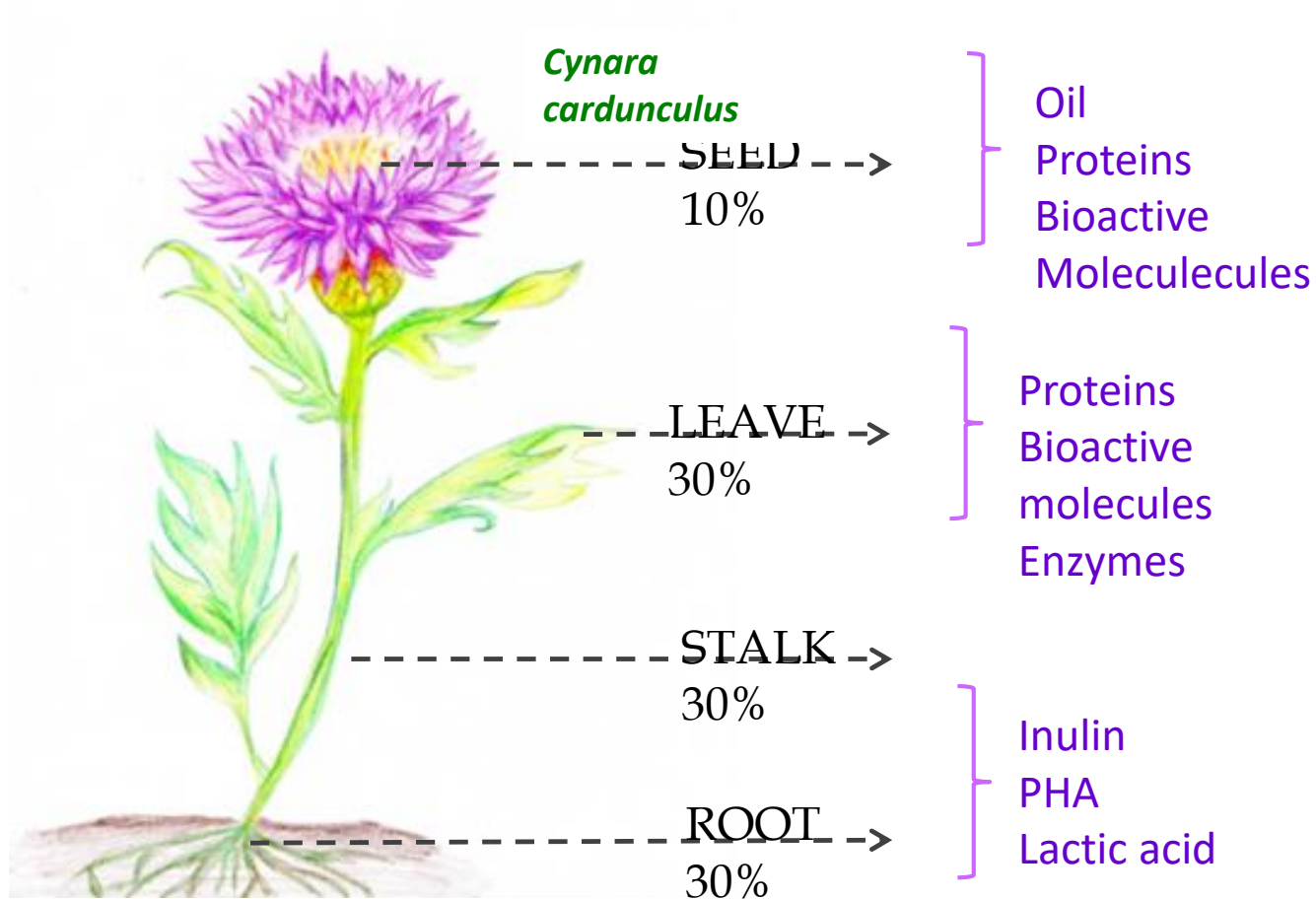
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▶ **WHEN:** November

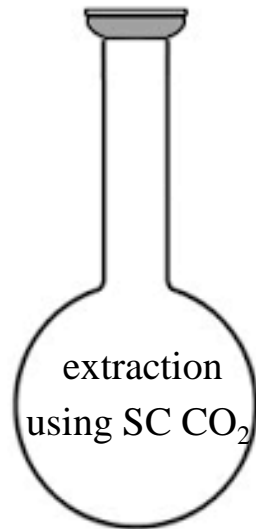
May-June

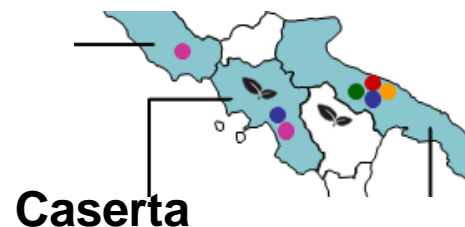
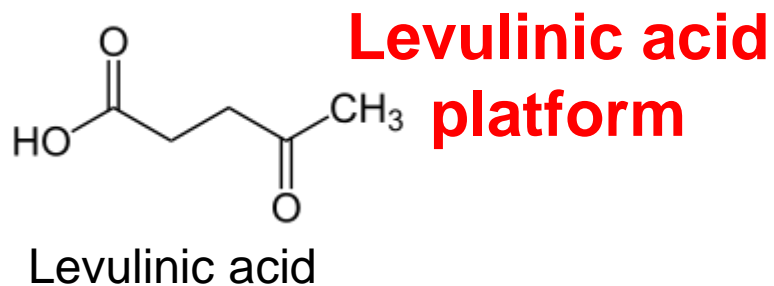
▶ **CONSERVATION:** -20°C



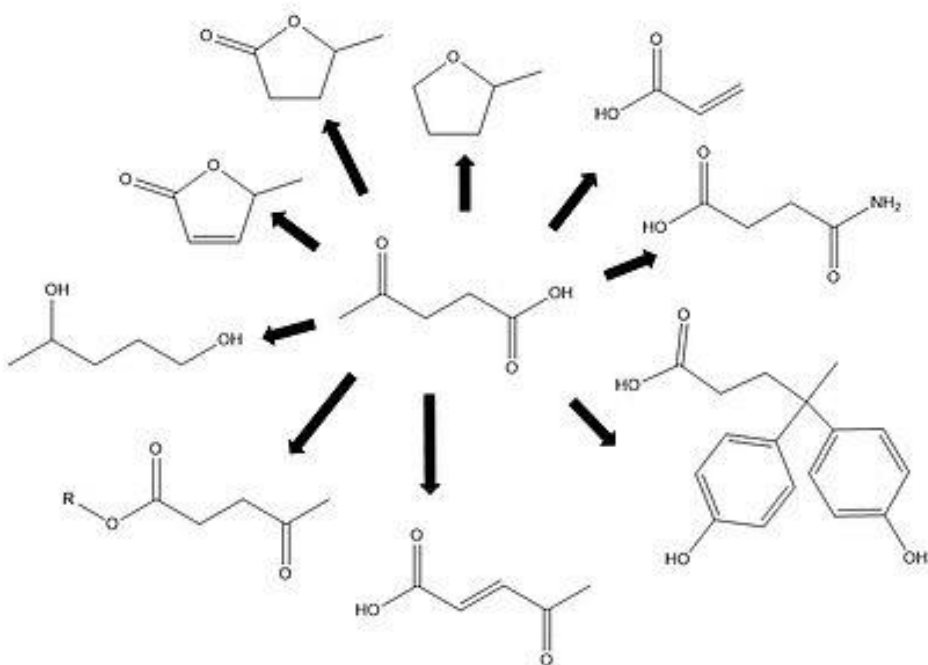


Extraction of bioactive compounds





Process developed with the Univ. of Pisa: thermochemical conversion of carbohydrates. Biomass pre-treatment includes acid hydrolysis for the conversion into C5 and C6 sugars.



pharmaceuticals
agrochemicals
flavours
fragrances
food additives
resins

coatings,
plasticisers
solvents,
fuel additives
biofuels

Table 1
The main physical properties of LA.

| Physical properties items | Values |
|---|---------------------------|
| Color | White |
| pKa | 4.59 |
| Melting point | 37 °C |
| Boiling point | 246 °C |
| Density | 1.14 |
| Solubility (water and polar organic solvents) | Soluble |
| Refractive index (20 °C) | 1.447 |
| Surface tension (25 °C) | 39.7 dyn cm ⁻¹ |
| Heat of vaporisation (150 °C) | 0.58 kJ mol ⁻¹ |
| Heat of fusion | 79.8 kJ mol ⁻¹ |

GF Biochemicals

FIRST COMPANY TO PRODUCE LEVULINIC ACID DIRECTLY FROM BIOMASS AT COMMERCIAL SCALE

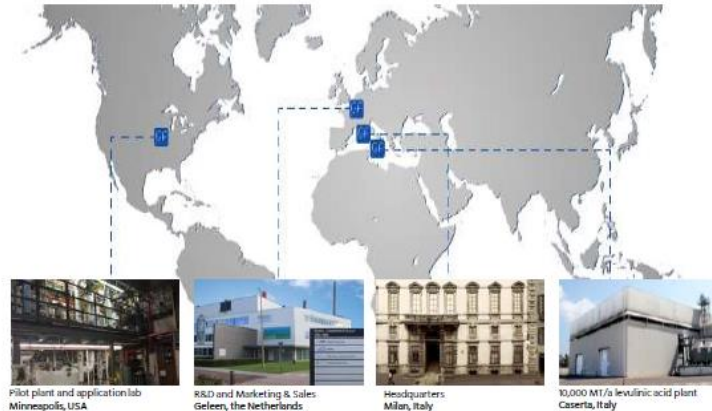
Basics:

- > Proprietary technology portfolio
 - > Production assets - Start-up phase
 - > Experienced R&D, Engineering & Commercial team
 - > Pilot plant and application laboratories
-
- > Established : 2008
 - > Employees : 50

Our Mission:

Bringing levulinic acid to the market by technology innovation

Our locations:



In 2016 GF Biochemicals acquired the American company Segetis

07.04.2017

Italian levulinic acid producer GF Biochemicals and American Process Inc. (API), a **bioprocess** technology firm, have announced plans to jointly build a **cellulosic** biorefinery in the U.S.



Levulinic acid esters can replace solvents of concern like dimethylformamide (DMF), dimethylacetamide (DMA) and N-methylpyrrolidone (NMP) in coatings.

4,4'-azobis (4-cyanovaleric acid) is a common initiator for RAFT (Radical Addition Fragmentation chain Transfer) polymerization of free radical reactions for the production of controlled polymers.

Levulinic acid is a versatile building block for chemicals and materials derived directly from biomass.

ABOUT GFBIOCHEMICALS

Founded in 2008, GFBiochemicals uses breakthrough technology to commercialize levulinic acid – a valuable biobased building block for specialty chemicals and materials. With offices in Milan, Italy and Geleen, the Netherlands, its 10,000 MT/a commercial-scale production plant in Caserta, Italy came online in July 2015.



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GF Biochemicals has formed a joint venture with Oman-based Towell Engineering Group called [NXTLEVVEL Biochem](#) headquartered in Geleen, the Netherlands. The JV plans to build a levulinate bio-solvent manufacturing plant scheduled to start operations in 2024. Target markets are industrial cleaning, home and personal care, coatings and agriculture.

Aris de Rijke, who joined GFBiochemicals in 2014 after a career at Shell and DSM, will act as Chief Executive Officer of NXTLEVVEL Biochem. Steve Block, former executive of Elevance Renewable Sciences, will be the Vice President of Business Development, Sales & Marketing. Rudy Parton, who has devoted most of his professional life to the development of biomass to levulinic acid and its derivatives technologies, will be the Chief Scientific Officer.

I have reached out to NXTLEVVEL Biochem hoping to learn more about the company, GF Biochemical's manufacturing status, and revisit the market of levulinic acid and derivatives including other players in this field. All of this information will be coming out on Tecnon OrbiChem's March Biomaterials newsletter.

In the meantime, check out Nova Institute's latest [report on levulinic acid](#) released late last year. The report indicated that the market is still underdeveloped in terms of production technology and market demand. It is expected that new market segments will open due to the potential of its derivatives, especially and among others: levulinic esters, methyltetrahydrofuran (MTHF), γ -valerolactone (GVL), diphenolic acid (DPA), oligomers for transport fuels and levulinic acid derived ketals. For those markets, a driver for change will be a combination of additional performance and price. Product availability and security of supply are also important decision factors for change.

Table 1
Selected levulinic acid applications

| Application | Reference |
|-------------------------------|-----------|
| Chiral reagents | [3] |
| Biologically active materials | [4] |
| Polyhydroxyalkanoates | [5] |
| Polymers | [6,7] |
| Polymerization initiators | [8] |
| Antifouling compounds | [9] |
| Personal care products | [10] |
| Lubricants | [11] |
| Adsorbents | [12] |
| Printing/inks | [13] |
| Coatings | [14] |
| Electronics | [15] |
| Photography | [16] |
| Batteries | [17] |
| Drug delivery | [18] |
| Corrosion inhibitors | [19] |

¹ The applications cited in Table 1 and subsequent tables are illustrative but not exhaustive. Many additional examples exist in the patent and open literature. In each case, LA or its derivatives are listed as useable in the given application, but may not be the primary focus of the citation.

