

The Abdus Salam International Centre for Theoretical Physics

ICTP Diploma Programme

#### Earth System Physics

### Seismology Seismic sources - 4 Earthquake size

Fabio ROMANELLI

Dept. Mathematics & Geosciences Università degli studi di Trieste

romanel@units.it



#### Earthquake size



#### Earthquake size

- intensity
- magnitude scales
- saturation
- energy concepts
- moment magnitude





#### (1) Maximum Seismic Intensity

Outdated method Does not use seismometers Many problems

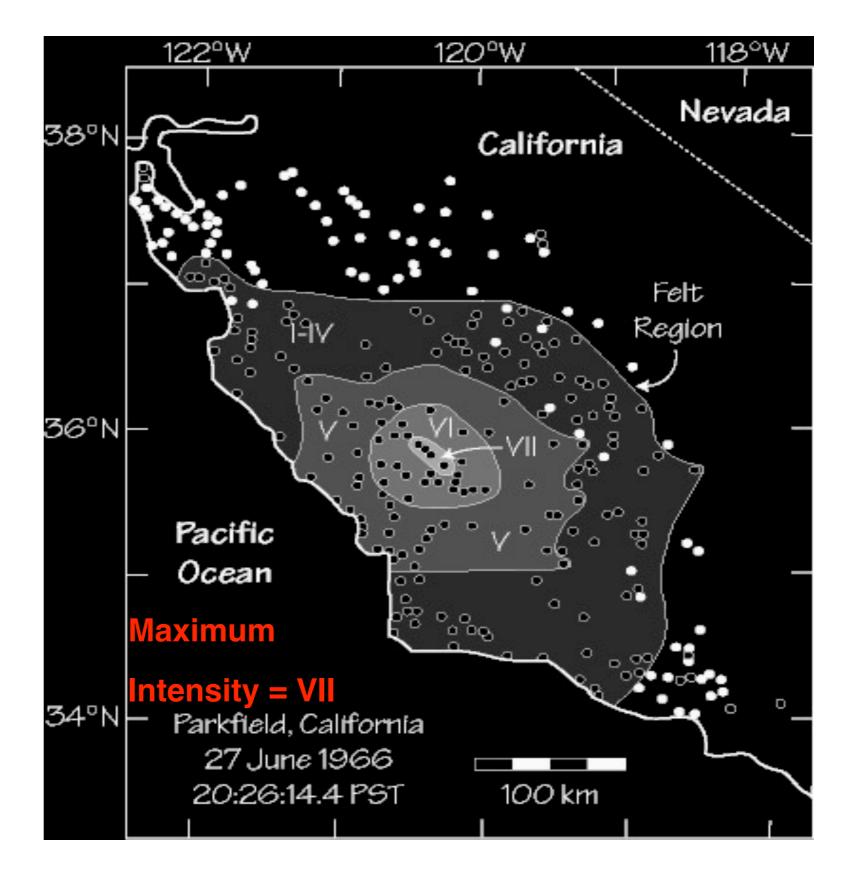
(2) Magnitudes

Modern method Uses seismometers Fewer problems





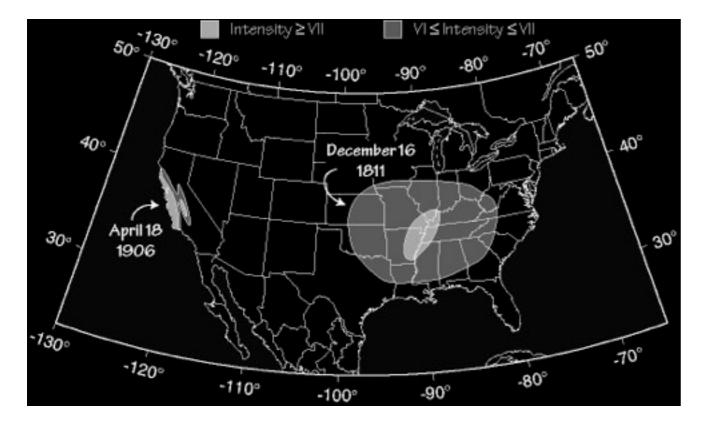
Maximum Intensity is used to estimate the size of historical earthquakes, but suffers from dependence on depth, population, construction practices, site effects, regional geology, etc.



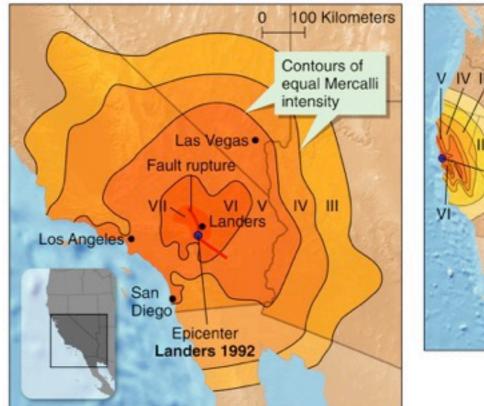


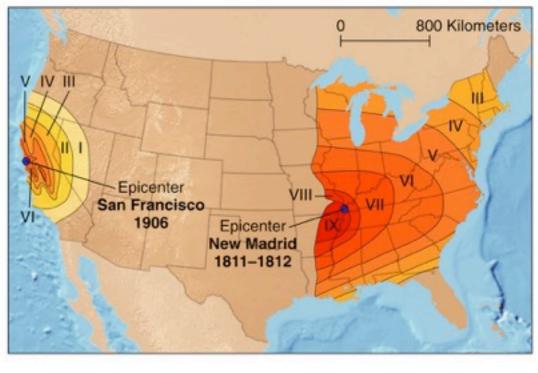
#### 1906 SF and 1811-12 New Madrid





These earthquakes were roughly the same size, but the intensity patterns in the east are broader than in the west (wait for Q...)





SEIS - Sources 4 - Eq. size

Copyright © 2006 Pearson Prentice Hall, Inc.

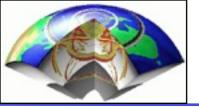


### Intensity scales



MM	RF	JMA	MCS	MSK
I	I		II	I
		-		II
II	II	I	III	TTT
III	III		IV	III
IV	IV	II	V	IV
V	- V		VI	N/
V	VI	III	VI	V
VI	VII	IV	VII	VI
VII	VIII		VIII	VII
	VIII	V	IX	
VIII				VIII
IX	IX	VI	×	IX
X			XI	
			XII	×
XI	X	\/TT		XI
XII		VII		XII

MM - Modified Mercalli; RF - Rossi-Forel; JMA - Japanese Meteorological Agency; MCS - Mercalli-Cancani-Sieberg; MSK - Medvedev-Sponheuer-Karnik

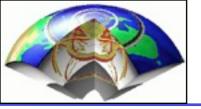




# Earthquake magnitude scales originated because of

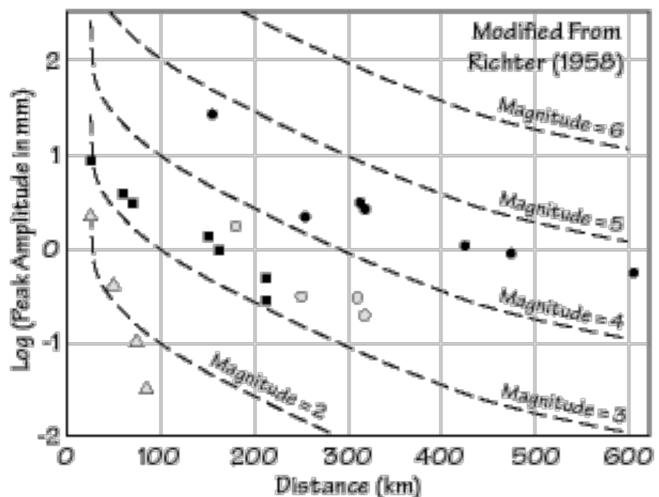
## the desire for an objective measure of earthquake size

Technological advances -> seismometers





The concept of magnitude was introduced by Richter (1935) to provide an objective instrumental measure of the size of earthquakes. Contrary to seismic intensity, I, which is based on the assessment and classification of shaking damage and human perceptions of shaking, the magnitude M uses instrumental measurements of earth ground motion adjusted for epicentral distance and source depth.



The original Richter scale was based on the observation that the amplitude of seismic waves systematically decreases with epicentral distance.

Data from local earthquakes in California

The relative size of events is calculated by comparison to a reference event, with  $M_L=0$ , such that  $A_0$  was 1µm at an epicentral distance of 100 km with a Wood-Anderson instrument:  $M_L=log(A/A_0)=logA-2.48+2.76\Delta$ 

#### Magnitude Scales - Richter

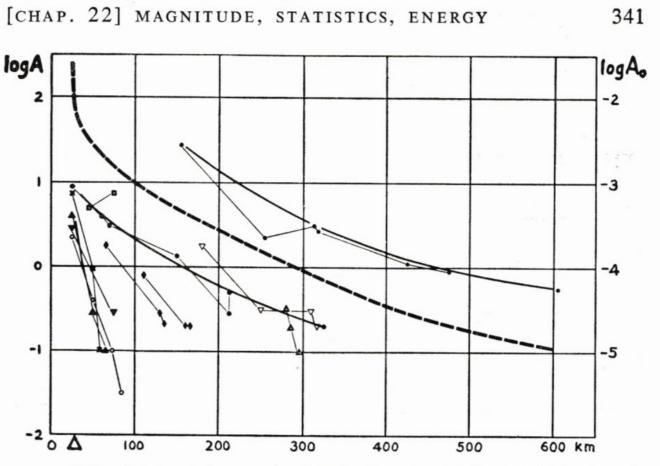




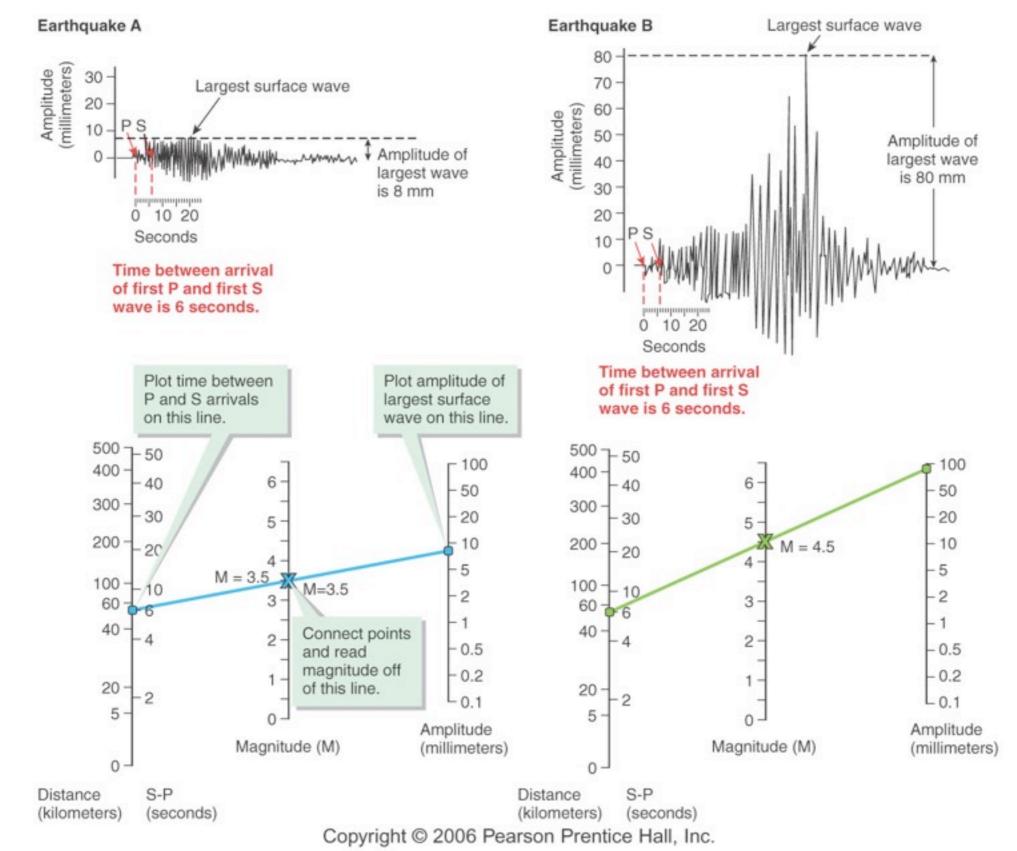
FIGURE 22-2 Origin of the magnitude scale. Data for Southern California earthquakes of January, 1932. [Redrafted from the original notes.]

"I found a paper by Professor K. Wadati of Japan in which he compared large earthquakes by plotting the maximum ground motion against distance to the epicenter. I tried a similar procedure for our stations, but the range between the largest and smallest magnitudes seemed unmanageably large. Dr. Beno Gutenberg then made the natural suggestion to plot the amplitudes logarithmically. I was lucky because **logarithmic plots are a device of the devil**. I saw that I could now rank the earthquakes one above the other. Also, quite unexpectedly the attenuation curves were roughly parallel on the plot. By moving them vertically, a representative mean curve could be formed, and individual events were then characterized by individual logarithmic differences from the standard curve. This set of logarithmic differences thus became the numbers on a new instrumental scale. Very perceptively, Mr. Wood insisted that this new quantity should be given a distinctive name to contrast it with the intensity scale. My amateur interest in astronomy brought out the term "magnitude," which is used for the brightness of a star."

Charles F. Richter - An Interview by Henry Spall, Earthquake Information Bulletin. Vol. 12, No. 1, January - February, 1980 SEIS - Sources 4 - Eq. size





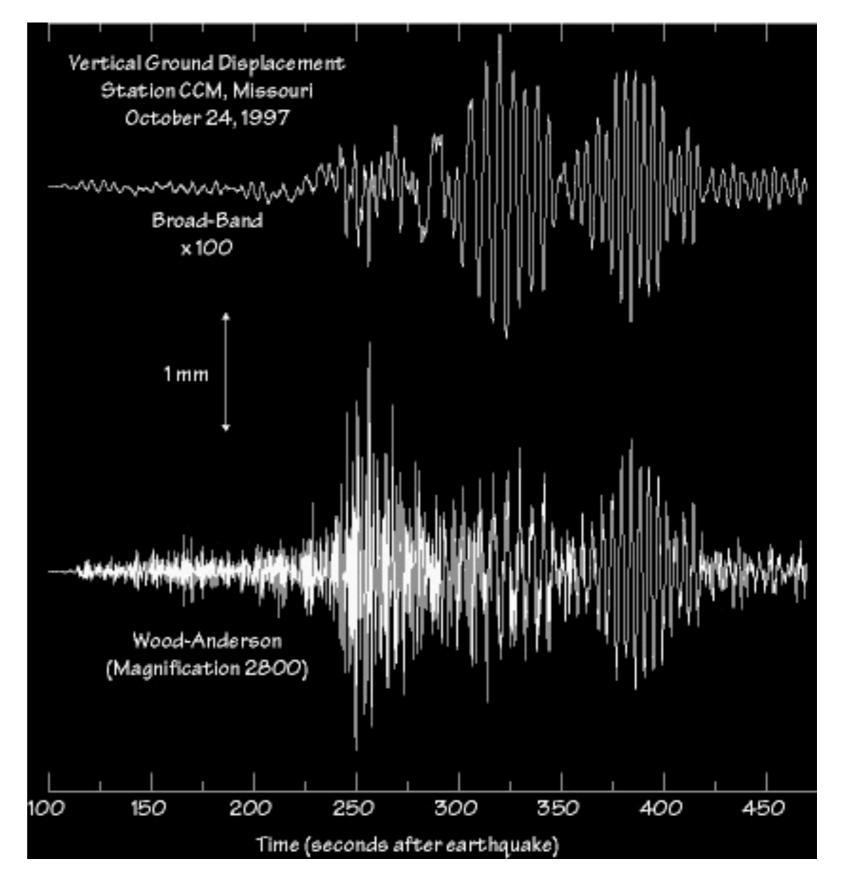


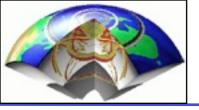


#### Wood-Anderson Seismometer



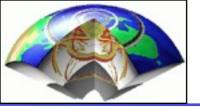
Richter also tied his formula to a specific seismic instrument.







Magnitude	Intensity	Description
1.0-3.0 Micro	I	I. Not felt except by a very few under especially favorable conditions.
3.0 - 3.9 Minor	II - III	<ul> <li>II. Felt only by a few persons at rest, especially on upper floors of buildings.</li> <li>III. Felt quite noticeably by persons indoors, especially on upper floors of buildings. Many people do not recognize it as an earthquake. Standing motor cars may rock slightly. Vibrations similar to the passing of a truck. Duration estimated.</li> </ul>
4.0 - 4.9 Light	IV - V	<ul> <li>IV. Felt indoors by many, outdoors by few during the day. At night, some awakened. Dishes, windows, doors disturbed; walls make cracking sound. Sensation like heavy truck striking building. Standing motor cars rocked noticeably.</li> <li>V. Felt by nearly everyone; many awakened. Some dishes, windows broken. Unstable objects overturned. Pendulum clocks may stop.</li> </ul>
5.0 - 5.9 Moderate	VI - VII	<ul> <li>VI. Felt by all, many frightened. Some heavy furniture moved; a few instances of fallen plaster. Damage slight.</li> <li>VII. Damage negligible in buildings of good design and construction; slight to moderate in well-built ordinary structures; considerable damage in poorly built or badly designed structures; some chimneys broken.</li> </ul>
6.0 - 6.9 Strong	VII - IX	<ul> <li>VIII. Damage slight in specially designed structures; considerable damage in ordinary substantial buildings with partial collapse. Damage great in poorly built structures. Fall of chimneys, factory stacks, columns, monuments, walls. Heavy furniture overturned.</li> <li>IX. Damage considerable in specially designed structures; well-designed frame structures thrown out of plumb. Damage great in substantial buildings, with partial collapse. Buildings shifted off foundations.</li> </ul>
7.0 and higher Major great	VIII or higher	<ul> <li>X. Some well-built wooden structures destroyed; most masonry and frame structures destroyed with foundations. Rails bent.</li> <li>XI. Few, if any (masonry) structures remain standing. Bridges destroyed. Rails bent greatly.</li> <li>XII. Damage total. Lines of sight and level are distorted. Objects thrown into the air.</li> </ul>

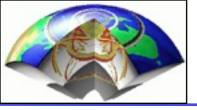




### Only valid for Southern California earthquakes

- Only valid for one specific type of seismometer
- Has not been used by professional seismologists in decades

### Is much abused by the press today





Today seismologists use different seismic waves to compute magnitudes

These waves have lower frequencies than those used by Richter

These waves are generally recorded at distances of 1000s of kilometers instead of the 100s of kilometers for the Richter scale



### Magnitude Scales

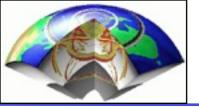


The original  $M_L$  is suitable for the classification of local shocks in Southern California only since it used data from the standardized short-period Wood-Anderson seismometer network. The magnitude concept has then been extended so as to be applicable also to ground motion measurements from medium- and long-period seismographic recordings of both surface waves  $(M_s)$  and different types of body waves  $(m_b)$  in the teleseismic distance range. The general form of all magnitude scales based on measurements of ground displacement amplitudes A and periods T is:

$$\mathbf{M} = \log\left(\frac{\mathbf{A}}{\mathbf{T}}\right) + \mathbf{f}(\Delta, \mathbf{h}) + \mathbf{C}_{r} + \mathbf{C}_{s}$$

M seismic magnitude A amplitude T period f correction for distance  $C_s$  correction for site  $C_r$  correction for source region

M<sub>L</sub> Local magnitude m<sub>b</sub> body-wave magnitude (1s) M<sub>s</sub> surface wave magnitude (20s)

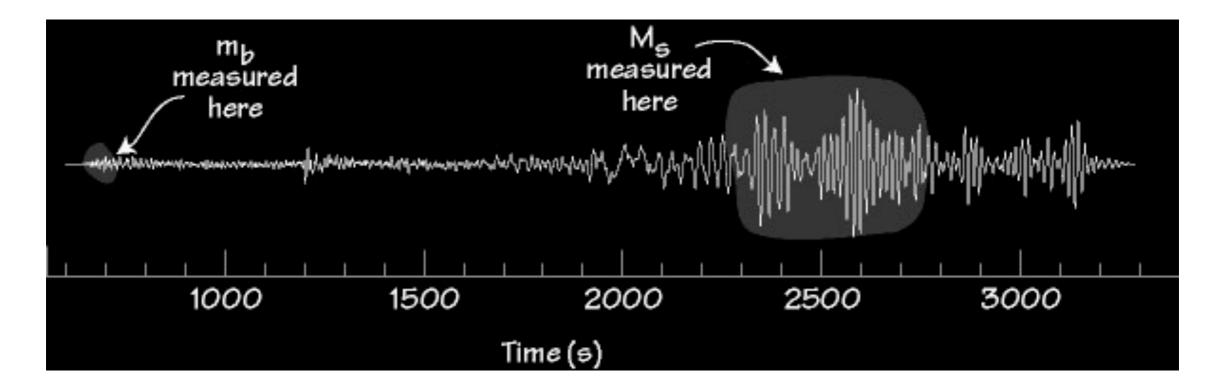




#### The two most common modern magnitude scales are:

M<sub>s</sub>, Surface-wave magnitude (Rayleigh Wave, 20s)

<mark>) m<sub>b</sub>, Body-wave magnitude (P-wave)</mark>







Ideally, you want the same value of magnitude for any one earthquake from each scale you develop, i.e.  $M_s = m_b = M_l$ 

But this does not always happen: Turkey 8/17/99:  $M_s = 7.8$ ,  $m_b = 6.3$ Taiwan 9/20/99:  $M_s = 7.7$ ,  $m_b = 6.6$ 





Simplest Answer:

Earthquakes are complicated physical phenomena that are not well described by a single number.

Can a thunderstorm be well described by one number ? (No. It takes wind speed, rainfall, lightning strikes, spatial area, etc.)



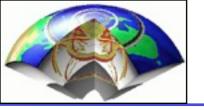


More Complicated Answers:

The distance correction for amplitudes depends on geology.

Deep earthquakes do not generate large surface waves - M<sub>S</sub> is biased low for deep earthquakes.

Some earthquakes last longer than others, even though the peak amplitude is the same.





Most complicated reason:

Magnitude scales saturate

This means there is an upper limit to magnitude no matter how "large" the earthquake is

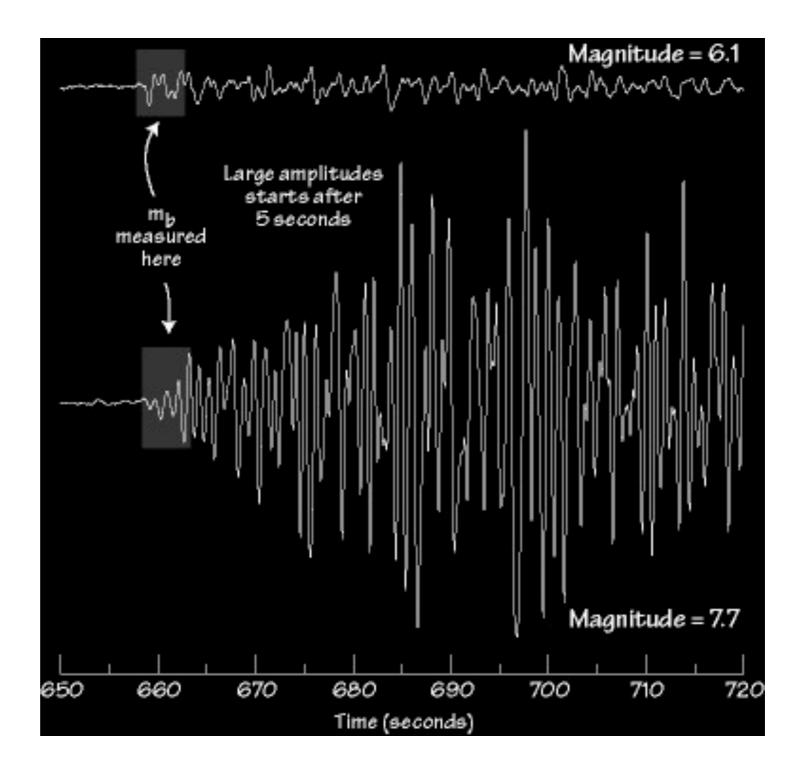
For instance M<sub>s</sub> (surface wave magnitude) never gets above 8.2-8.3





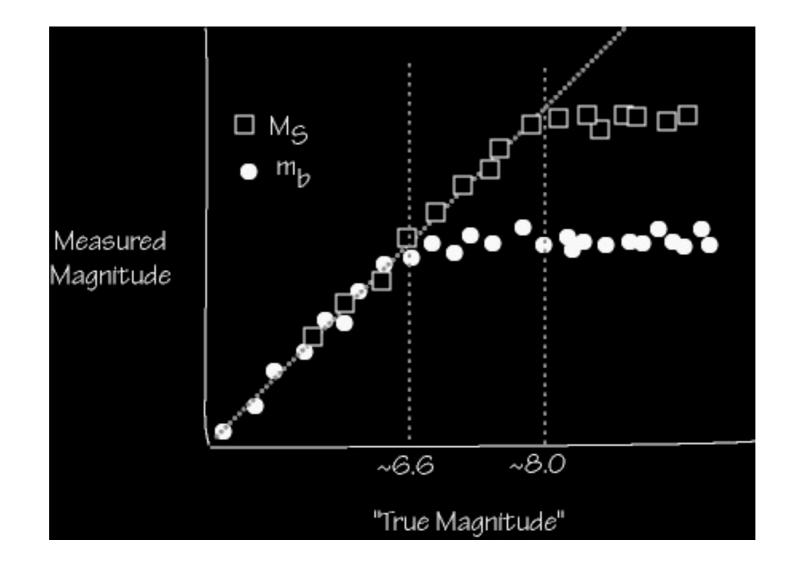
m<sub>b</sub> seldom gives values above 6.7 it "saturates".

m<sub>b</sub> must be measured in the first 5 seconds that's the rule.









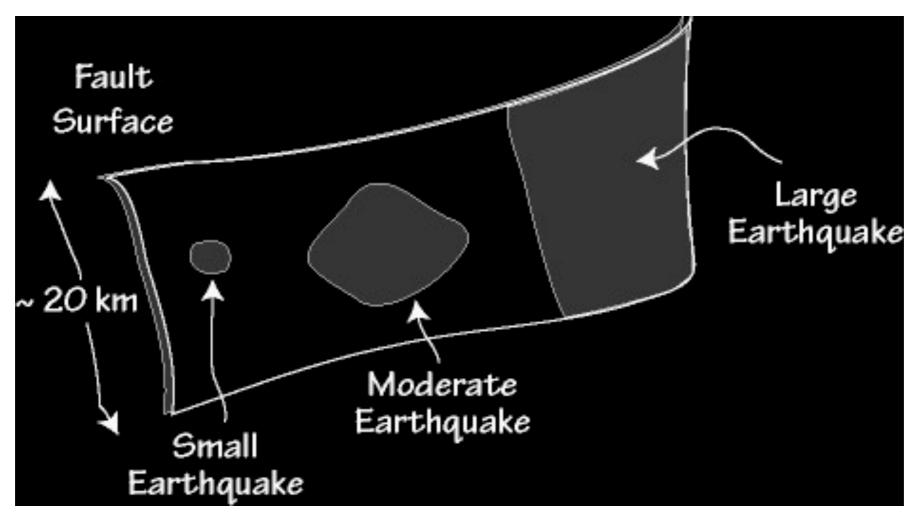




The rupture process.

Small earthquakes rupture small areas and are relatively enriched in short period signals.

Large earthquakes rupture large areas and are relatively depleted in high frequencies.







### YES!

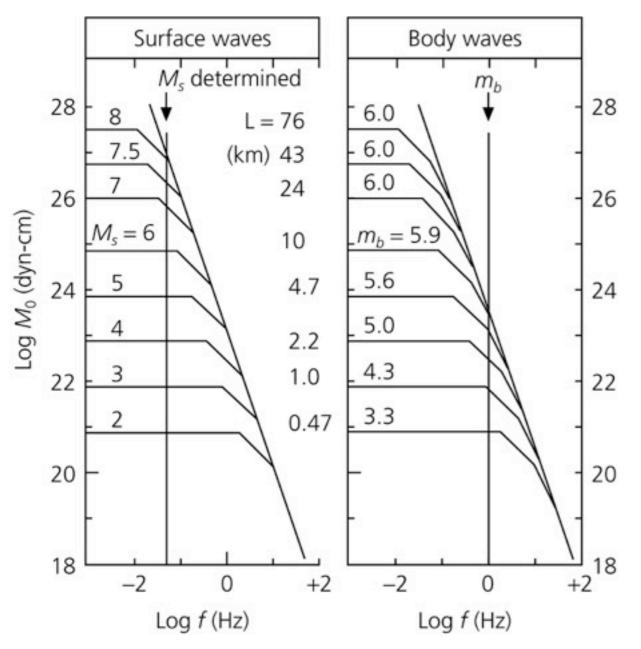
- Many (most) earthquakes are small enough that saturation does not occur
- $\bigcirc$  Empirical relations between energy release and  $m_{\rm b}$  and  $M_{\rm s}$  exist
- The ratio of  $m_b$  to  $M_s$  can indicate whether a given seismogram is from an earthquake or a nuclear explosion (verification seismology)



#### Magnitude saturation

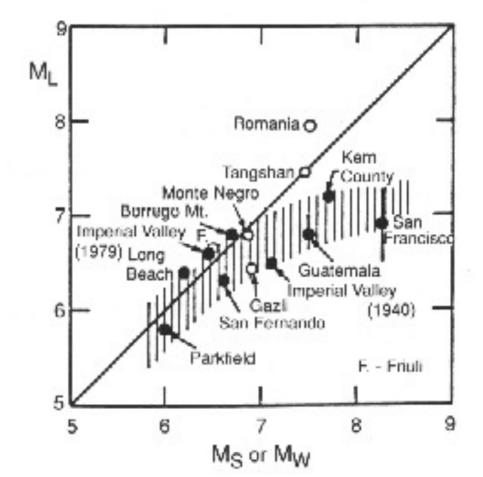


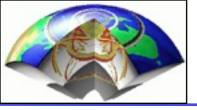
There is no a-priory scale limitation or classification of magnitudes as for macroseismic intensities. In fact, nature limits the maximum size of tectonic earthquakes which is controlled by the maximum size of a brittle fracture in the lithosphere. A simple seismic shear source with linear rupture propagation has a typical "source spectrum".



SEIS - Sources 4 - Eq. size

Ms is not linearly scaled with Mo for Ms > 6 due to the beginning of the so-called saturation effect for spectral amplitudes with frequencies f > fc. This saturation occurs already much earlier for mb which are determined from amplitude measurements around 1 Hz.







### $\bigcirc$ Yes, the seismic moment - $M_o$

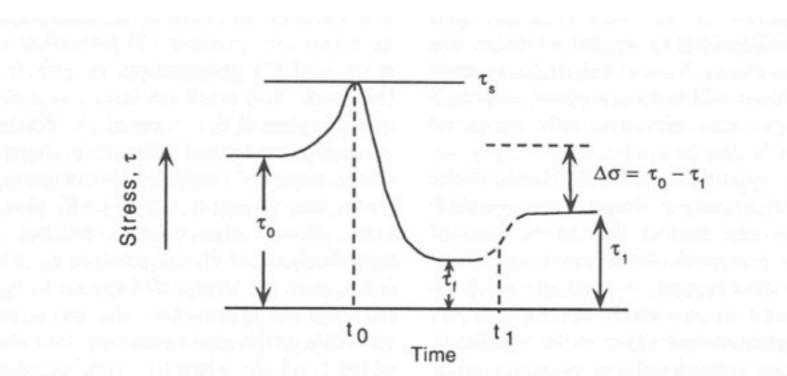
- Invented in the 1960s to circumvent magnitude limitations
- Has physical units of energy (Nm, cal, J)
- Is the product of three factors that indicate the size of the earthquake:
- M<sub>o</sub> = (shear modulus) x (rupture area) x (slip offset)





Can be described by: formation and b) propagation of a crack.

The crack tip acts as a stress concentrator and if the stress exceeds some critical value then sudden slip occurs, and it drops to the dynamic frictional value; when the slip has stopped the stress reaches a final level



**FIGURE 9.2** Stress at a point on a fault surface. As the rupture front approaches the point, stress increases to a value of  $\tau_s$ , after which failure occurs at the point. The point slips to a displacement D, and stress is reduced to some value  $\tau_f$ . The difference between the initial stress and the final stress,  $\Delta \sigma$ , is defined as the stress drop. (After Yamashita, 1976.)

### Stress-drop and similarity conditions



Static stress-drop can be defined as the stress drop integrated over the fault area, S, divided by S; it can be expressed in terms of the strain drop, defining a characteristic rupture dimension:  $\frac{\overline{D}}{\overline{L}} \longrightarrow \Delta \sigma = C \mu \left( \frac{\overline{D}}{\overline{L}} \right)$ 

Under certain assumptions there exist several conditions of static (geometric) and dynamic similarity. With the assumption of a constant stress drop one gets:

Result valid for source dimensions smaller than the thickness of the seismogenic layer.

Besides this there is a dynamic similarity:





Write the fault area in terms of a shape factor f and the square of a dimension L:  $M_0 = \mu \overline{D}S = \mu \overline{D}fL^2$ 

For large earthquakes, faults are often approximated as rectangles, so L is the length and f is the ratio of width to length.

For circular faults, L is the radius and  $f = \pi$ .

The rupture time can be approximated as  $T_R = L/V_R = L/(0.7\beta)$ 

The rise time can be approximated as  $T_D = \mu \bar{D} / (\beta \Delta \sigma) = 16L f^{1/2} / (7\beta \pi^{1.5})$ 

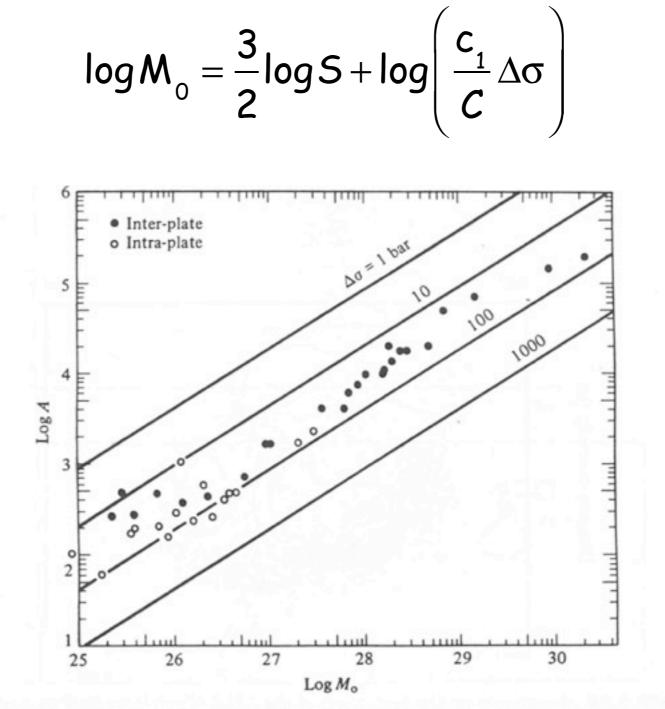
 $(\Delta \sigma \text{ is the stress drop during the earthquake})$ 

Assuming a shear velocity of 4 km/s gives  $T_R = 0.35 L$   $T_D = 0.1 Lf^{1/2}$ 

Because stress drops are approximately independent of seismic moment, slip is roughly proportional to fault length, allowing for theoretical scaling laws.







**FIGURE 9.25** Area versus moment for inter- and intraplate earthquakes. Note that the interplate earthquakes show little scatter about a stress drop of 30 bars. The intraplate earthquakes have stress drops of  $\sim 100$  bars. (Modified from Kanamori and Anderson, 1975.)



### Magnitude and source spectra

The source spectra can be written as:  

$$U(\omega) = M_{0}F(\omega) = M_{0}\left|\frac{\sin\left(\frac{\omega\tau}{2}\right)}{\left(\frac{\omega\tau}{2}\right)}\right| \frac{\sin\left(\frac{\omega L}{v_{r}^{2}}\right)}{\left(\frac{\omega L}{v_{r}^{2}}\right)}\right| \approx \begin{cases} M_{0} \propto L^{3} & \tau < \frac{T}{\pi}, \frac{L}{v_{r}} < \frac{T}{\pi} \\ M_{0} \frac{T}{\pi\tau} \propto L^{2} & \tau > \frac{T}{\pi}, \frac{L}{v_{r}} < \frac{T}{\pi} \\ M_{0} \frac{v_{r}T}{L\pi} \propto L^{2} & \tau < \frac{T}{\pi}, \frac{L}{v_{r}} < \frac{T}{\pi} \\ M_{0} \frac{v_{r}T^{2}}{L\pi} \propto L^{2} & \tau < \frac{T}{\pi}, \frac{L}{v_{r}} > \frac{T}{\pi} \end{cases}$$

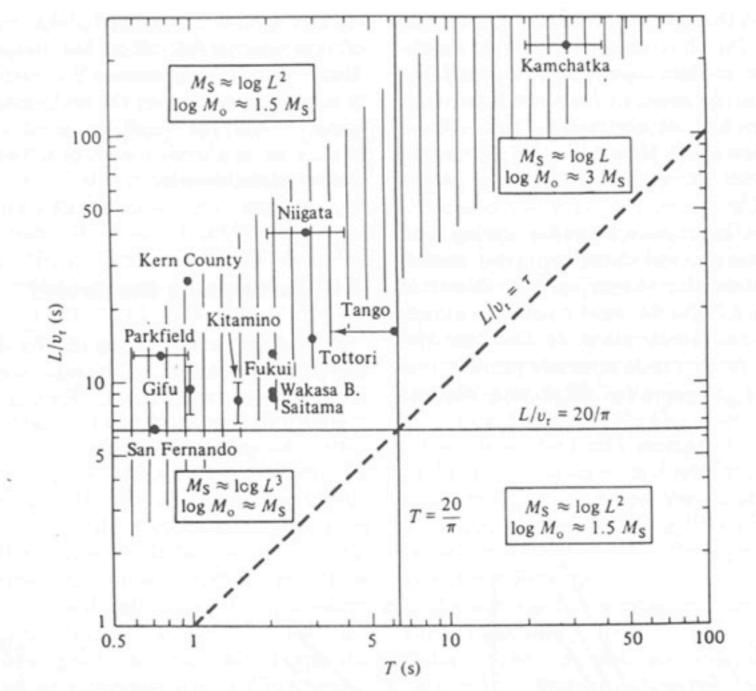
And the amplitude of a seismic phase will scale with fault dimension in several ways; if we make our observations at T=20s we can use the relations to scale  $M_s$  with L

$$M_{s} \approx \log\left(\frac{A}{20}\right) \approx \begin{cases} 3\log L \approx \log M_{0} \approx \frac{3}{2}\log S & \tau < \frac{T}{\pi}, \frac{L}{v_{r}} < \frac{T}{\pi} \\ 2\log L \approx \frac{2}{3}\log M_{0} \approx \log S & \tau > \frac{T}{\pi}, \frac{L}{v_{r}} < \frac{T}{\pi} \\ 2\log L \approx \frac{2}{3}\log M_{0} \approx \log S & \tau < \frac{T}{\pi}, \frac{L}{v_{r}} > \frac{T}{\pi} \\ \log L \approx \frac{1}{3}\log M_{0} \approx \frac{1}{2}\log S & \tau > \frac{T}{\pi}, \frac{L}{v_{r}} > \frac{T}{\pi} \end{cases}$$



#### Moment and times





**FIGURE 9.27** Scaling for  $\tau_c$ ,  $\tau_r$ , and the seismic moment. (Modified from Kanamori and Anderson, 1975.)





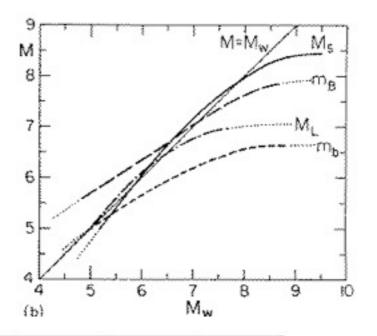
Empirical studies (Gutenberg & Richter, 1956; Kanamori & Anderson, 1975) lead to a formula for the released seismic energy (in Joule), and for moment, with magnitude: logE=4.8+1.5M<sub>s</sub> logM<sub>0</sub>=9.1+1.5M<sub>s</sub>

resulting in  $M_w = 2/3 \log M_0 - 6.07$ 

when the Moment is measured in N·m (otherwise the intercept becomes 10.73); it is related to the final static displacement after an earthquake and consequently to the tectonic effects of an earthquake.

$$u(x,t) = A\cos\left(\frac{2\pi t}{T}\right) \Rightarrow v(x,t) \propto \frac{A}{T}u$$
$$\Rightarrow e \propto v^{2} \propto \left(\frac{A}{T}\right)^{2} \Rightarrow \log E = C + 2\log\left(\frac{A}{T}\right)$$

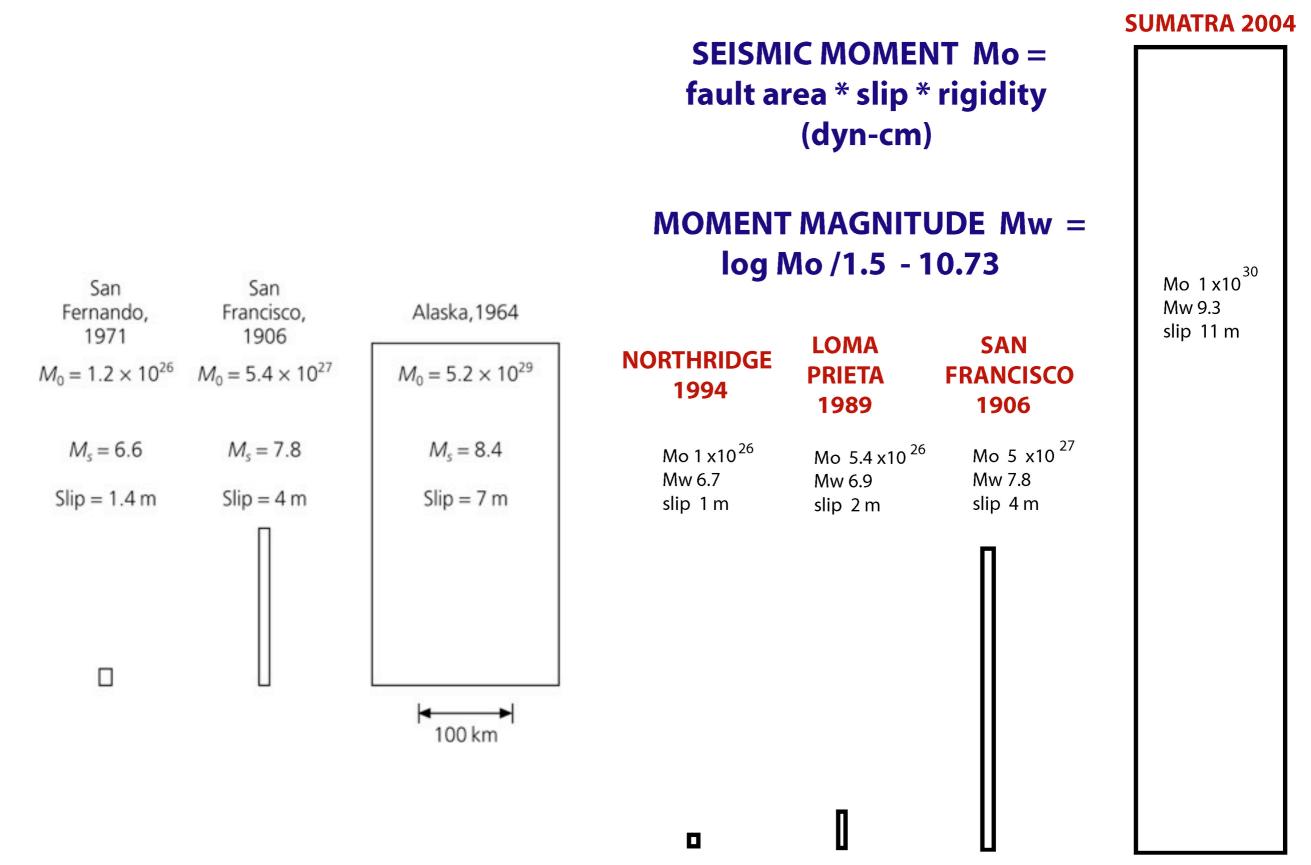
1



	Body wave	Surface wave	Fault	Average	Moment	Moment
	magnitude	magnitude	area (km <sup>2</sup> )	dislocation	(dyn-cm)	magnitude
Earthquake	$m_b$	$M_s$	$length \times width$	(m)	$M_0$	$M_w$
Truckee, 1966	5.4	5.9	$10 \times 10$	0.3	$8.3 \times 10^{24}$	5.8
San Fernando, 1971	6.2	6.6	$20 \times 14$	1.4	$1.2 \times 10^{26}$	6.7
Loma Prieta, 1989	6.2	7.1	$40 \times 15$	1.7	$3.0 \times 10^{26}$	
San Francisco, 1906		8.2	$320 \times 15$	4	$6.0 \times 10^{27}$	7.8
Alaska, 1964	6.2	8.4	$500 \times 300$	7	$5.2 \times 10^{29}$	9.1
Chile, 1960		8.3	$800 \times 200$	21	$2.4 \times 10^{30}$	9.5



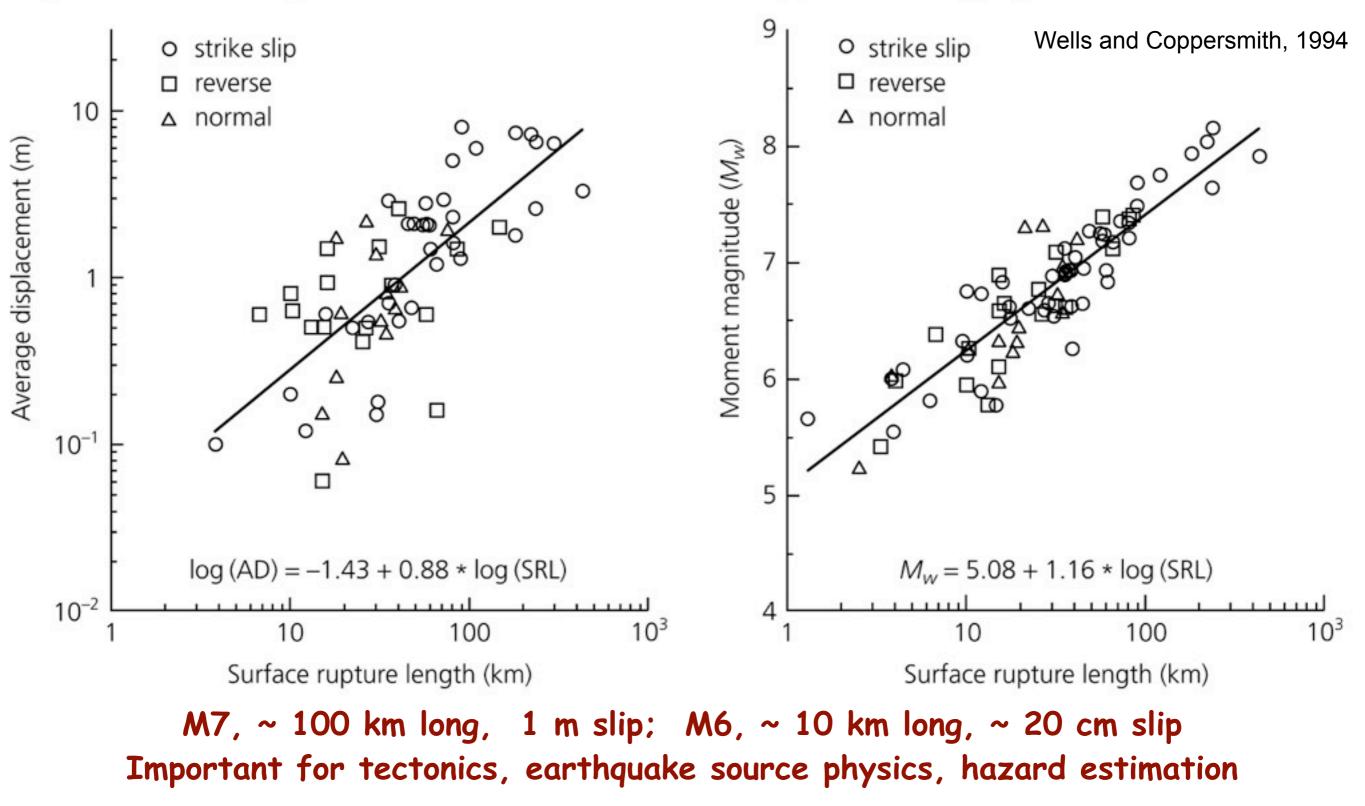








#### Figure 4.6-7: Empirical relations between slip, fault length, and moment.



Wells D.L. e Coppersmith K.J.; 1994: New empirical relationships among magnitude, rupture length, rupture width, rupture area, and surface displacement. Bull. Seism. Soc. Am., 84, 974-1002.



#### Seismic efficiency

(25)



This discussion leads naturally to the question of how the seismic wave rig energy radiated by an earthquake is related to its moment and magnitude. To address this, recall that work equals force times distance, so the strain energy  $\sigma_0$  released is the product of the average stress during faulting,  $\bar{\sigma}$ , the average slip, and the fault area,  $\bar{\sigma}$ 

$$W = \bar{\sigma} \bar{D} S.$$

If the stresses before and after faulting are  $\sigma_0$  and  $\sigma_1$ , then  $\Delta \sigma = \sigma_0 - \sigma_1$  and  $\sigma_f - \overline{\sigma} = \sigma_1 + (\Delta \sigma)/2$ . Some of this energy, *H*, is lost to friction, so the radiated seismic energy is

$$E = W - H = \bar{\sigma}\bar{D}S - \sigma_f\bar{D}S. \tag{26}$$

where  $\sigma_f$  is the frictional stress, or

$$E = (\Delta \sigma / 2) \overline{D}S + (\sigma_1 - \sigma_f)\overline{D}S = E_0 + (\sigma_1 - \sigma_f)\overline{D}S.$$
(27)

Thus the quantity

$$E_0 = (\Delta \sigma / 2) \,\overline{D}S = (\Delta \sigma / 2\mu) \,M_0 \tag{28}$$

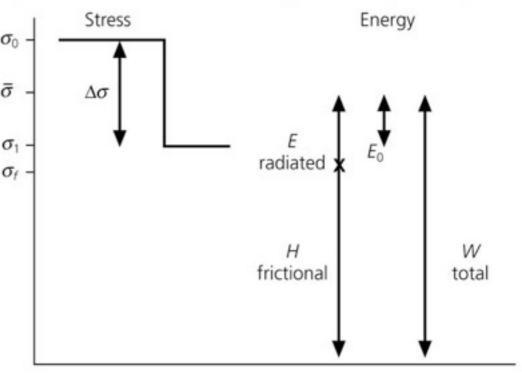
is a lower bound on the radiated seismic energy. If faulting stops once the final stress equals the frictional stress,  $\sigma_1 = \sigma_f$ , then  $E_0 = E$  is the radiated energy. Note that the radiated energy is proportional t o the stress drop.

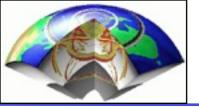
The ratio of the radiated energy to the total strain energy release is called the *seismic efficiency* 

$$\eta = E/W = \Delta \sigma / (2\bar{\sigma}), \tag{29}$$

where the last form assumes that  $E_0 = E$ . The efficiency depends on the final stress or equivalently the ratio of stress drop to the average stress. The case  $\Delta \sigma \ll \bar{\sigma}$  is called partial stress drop, whereas  $\Delta \sigma \approx \bar{\sigma}$  corresponds to near-total stress drop. It is still unresolved which of these cases is appropriate for earth-quakes, because of all the parameters in this model, only the stress drop can be directly estimated from seismological data.









The energy radiated seismically from an earthquake is a small portion of the total energy associated with the rupture:

 $E_0 = (\Delta \sigma / 2) \ \bar{D}S = (\Delta \sigma / 2\mu) \ M_0$ 

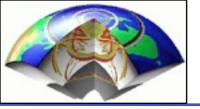
Assuming a stress drop of 50 bars and  $\mu = 5 \times 10^{11} \text{ dyn/cm}^2$ ,

$$E_0 = M_0 / 2 \times 10^4 \quad \log E = \log M_0 - 4.3$$

The radiated energy is only  $1/2 \times 10^4$  or 0.00005 of the seismic moment released.

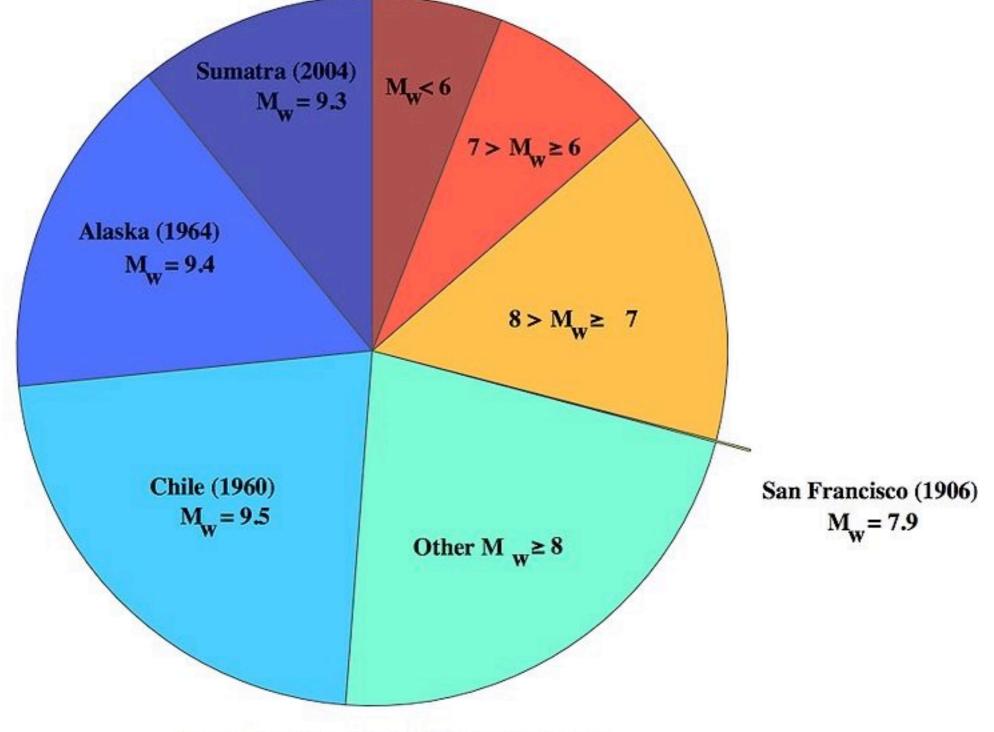
 $\log M_0 = 1.5M_w + 16.1$   $\log E = 1.5M_w + 11.8$ 

An increase in earthquake magnitude of one unit, for example from 5 to 6, increases the radiated energy by a factor of  $10^{1.5}$  or about 32. So a magnitude 7 earthquake releases  $10^3$ , or, 1000 times more energy than a magnitude 5 event. This ratio is strictly only valid for earthquakes with the same stress drop, but is a good general approximation.





#### Global Seismic Moment Release January 1906 - December 2005



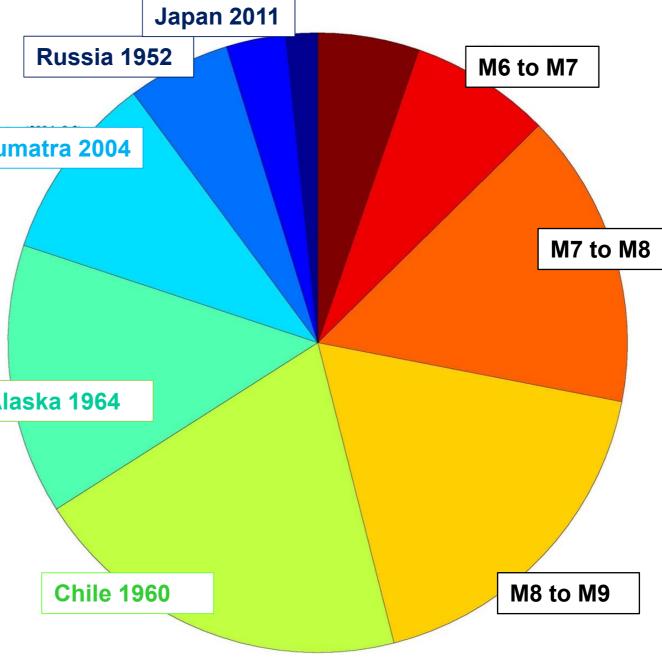
Total Moment: 1.0 × 10<sup>24</sup> Newton-meters





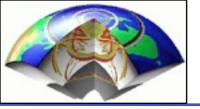
#### The largest 10 earthquakes in the past century

Due	М	Country	Year
Russ	9.5	China	1960
	9.2	Alaska	1964
Sumatra 2	9.1	Sumatra	2004
	9.0	Japan	2011
	9.0	Kamchatka	1952
	8.8	Chile	2010
	8.8	Equador	1906
	8.7	Alaska	1965
Alaska 19	8.6	Sumatra	2005
	8.6	Asam	1950



The five M9 earthquakes from the past century account for more than 50% of the total energy release by all earthquakes

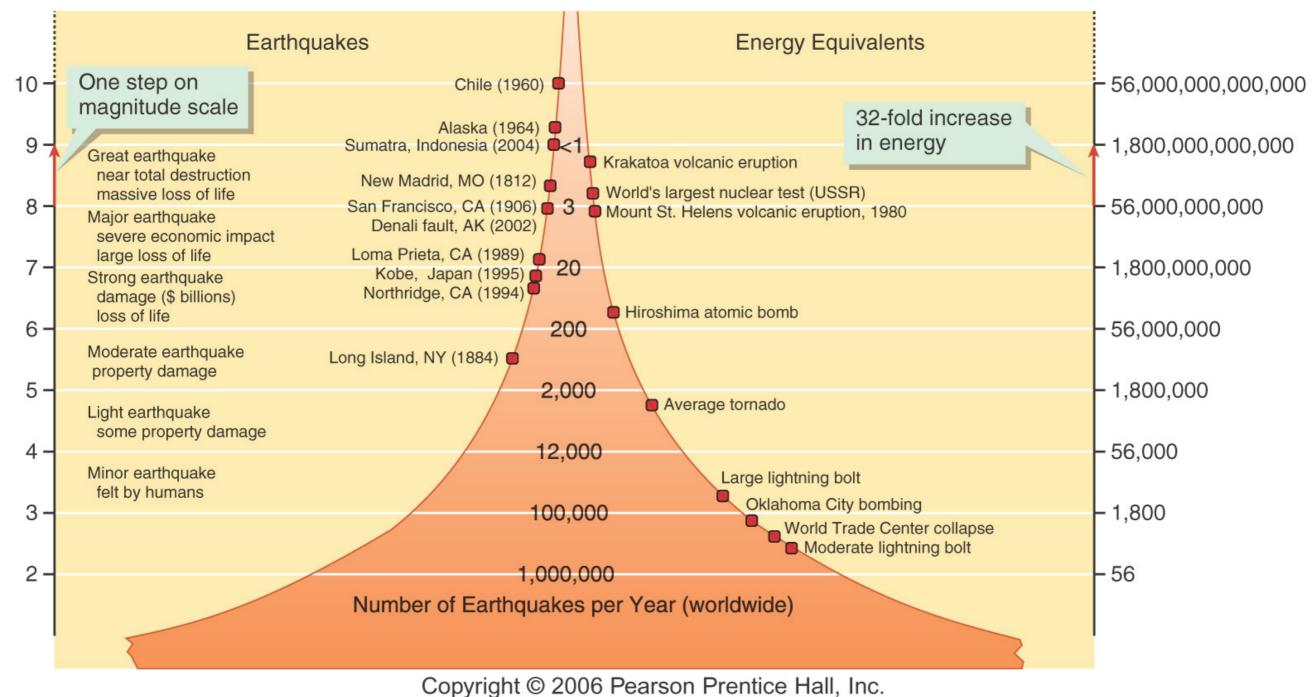
Each step in magnitude means a factor of 30 increase in energy





#### Moment Magnitude

#### Energy Release (equivalent kilograms of explosive)



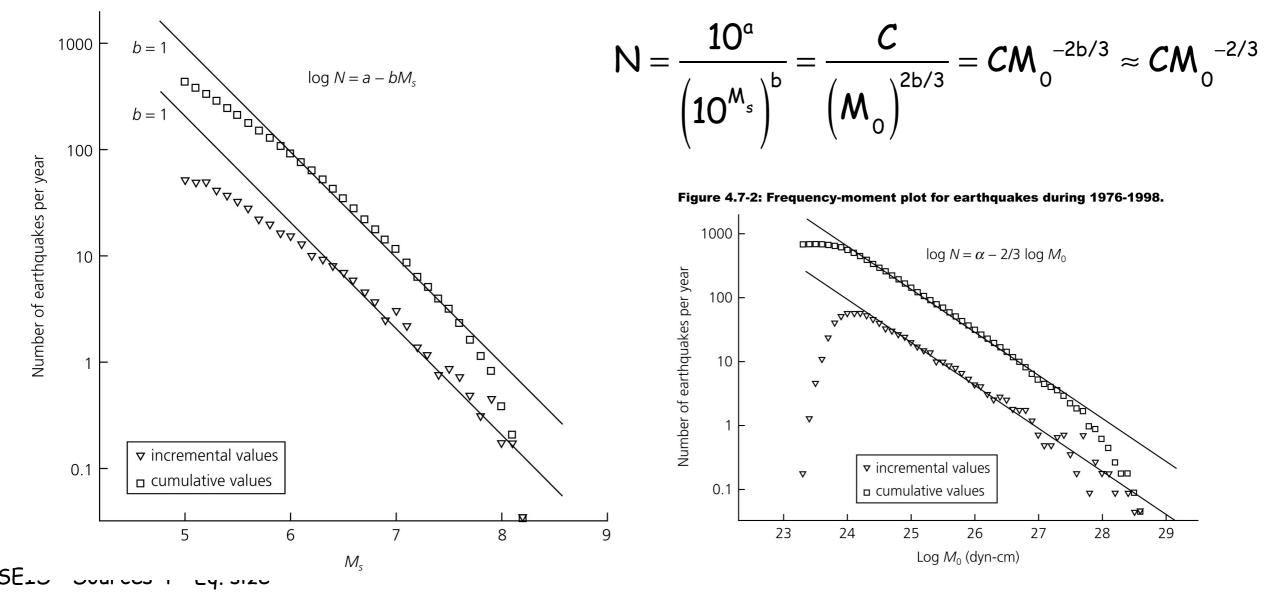


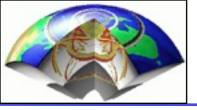
#### Gutenberg-Richter law



They proposed that in a given region and for a given period of time, the frequency of occurrence can be represented by:  $logN=a-bM_s$ , where N is the number of earthquakes with magnitudes in a fixed range around  $M_s$ . It can be written also as a power-law for moment, distribution that arises from the self-similarity of earthquakes. While the a-value is a measure of earthquake productivity, the b-value is indicative of the ratio between large and small quakes. Both a and b are, therefore, important parameters in hazard analysis. Usually b is close to a unity.

Figure 4.7-1: Frequency-magnitude plot for earthquakes during 1968-1997.







- Magnitude is a measure of ground shaking amplitude.
- More than one magnitude scales are used to study earthquakes.
- All magnitude scales have the same logarithmic form.
- Since different scales use different waves and different period vibrations, they do not always give the same value.

Magnitude Symbol	Wave	Period
Local (Richter) M <sub>L</sub>	S or Surface Wave*	0.8s
Body-Wave m <sub>b</sub>	Ρ	1s
Surface-Wave M <sub>s</sub>	Rayleigh	20s
Moment M <sub>w</sub>	Rupture Area, Slip	100s-?s