

$$A_K^n$$

$$K[x_1, \dots, x_n]$$

$$\begin{array}{ccc} \underline{I}: & X & \longrightarrow \underline{I}(X) \\ & \cap & \\ & A_K^n & \\ & & K[x_1, \dots, x_n] \end{array}$$

$\underline{I}$  is a map from  $\underline{I}: \mathcal{P}(A_K^n) \longrightarrow \mathcal{P}(K[x_1, \dots, x_n])$

$$S \subseteq K[x_1, \dots, x_n] \rightsquigarrow V(S) \subseteq A_K^n$$

$$\begin{array}{ccc} V: \mathcal{P}(K[x_1, \dots, x_n]) & \longrightarrow & \mathcal{P}(A_K^n) \\ S & \longrightarrow & V(S) \end{array}$$

- $$\left\{ \begin{array}{l} 1) V, \underline{I} \text{ reverse inclusions} \\ 2) Y \subseteq A_K^n \Rightarrow V(\underline{I}(Y)) \supseteq Y \\ \alpha \subseteq K[x_1, \dots, x_n] \Rightarrow \underline{I}(V(\alpha)) \supseteq \alpha \\ 3) V(\underline{I}(Y)) = \overline{Y} \text{ Zariski closure of } Y \end{array} \right.$$

What is  $\underline{I}(V(\alpha))$ ? or  $\underline{I}(V(S))$ ?

$\forall X \subseteq A_K^n$ ,  $\underline{I}(X)$  is a radical ideal

$\forall \alpha \subseteq K[x_1, \dots, x_n]$ ,  $\alpha \subseteq \underline{I}(V(\alpha))$  radical ideal

$$\sqrt{\alpha} \subseteq \sqrt{\underline{I}(V(\alpha))} = \underline{I}(V(\alpha))$$

Theorem of zeros of D. Hilbert - Nullstellensatz  
 Assume that  $K$  is an algebraically closed field  $\Rightarrow$

for any  $\alpha \in K[x_1, \dots, x_n]$ ,  $\overline{I(V(\alpha))} = \sqrt{\alpha}$ .

This is the "strong" form of the Nullstellensatz.

- 1) The assumption " $K$  algebraically closed" is necessary.
- 2) We will give a proof using the Normalization Lemma of Emmy Noether.

1)  $K$  is algebraically closed  $\Leftrightarrow$  every polynomial of positive degree in  $K[x]$  has a zero in  $K$ .

$K$  is not alg. closed  $\Rightarrow \exists F \in K[x]$ ,  $\deg F = d \geq 1$ ,

nr.  $V(F) \subseteq A_K^1$  is empty:  $V(F) = \emptyset$

$$\overline{I(V(F))} = \overline{I(\emptyset)} = K[x]$$

$\langle F \rangle$  Factorize  $F$  in irreducible factors:

$$F = F_1^{m_1} \cdots F_n^{m_n} \Rightarrow \exists \text{ an irreducible polynomial } F \text{ nr.}$$

$V(F) = \emptyset \Rightarrow \langle F \rangle$  is a maximal ideal

$$\Rightarrow \sqrt{\langle F \rangle} = \langle F \rangle \quad \text{because } \langle F \rangle \subseteq \sqrt{\langle F \rangle}$$

$$\alpha \neq 1 \Leftrightarrow \sqrt{\alpha} \neq 1$$

$$\alpha = \langle F \rangle$$

$$\sqrt{\alpha} = \langle F \rangle \subsetneq \overline{I(V(\alpha))} = K[x]$$

$K$  field       $K \subseteq E$        $E$  field,  $K$  subfield of  $E$   
Ex.       $K = \mathbb{Q}$ ,  $E = \mathbb{R}$ ;       $K = \mathbb{R}$ ,  $E = \mathbb{C}$ ;       $K = \mathbb{C}$ ,  $E = \mathbb{C}$   
 $K$ ,  $\bar{E} = \bar{K}$

Consider a family of elements of  $E$   $\{z_i\}_{i \in I}$

Def. The family  $\{z_i\}_{i \in I}$  is algebraically free over  $K$ ,  
 or the elements  $z_i$  are algebraically independent  
 over  $K$ , if in the polynomial ring  $K[x_i]_{i \in I}$  there are  
 no non-zero polynomials vanishing in the family  $\{z_i\}_{i \in I}$ .  
 If  $F \in K[x_i]_{i \in I}$  s.t.  $F(z_i) = 0 \Rightarrow F = 0$

$\{z_i\}_{i \in I}$  can be interpreted as a  $K$ -vector space  
linearly indep.: there is no non-zero polynom.  $F$   
 of degree  $\geq 1$  homogenous in  $K[x_i]_{i \in I}$  s.t.  $F(z_i)_{i \in I} = 0$   
 $\{z_i\}$  algebraically indep.  $\Rightarrow$  linearly indep.

Examples 1)  $\{z\}$  family of one element:  $z$  alg. indep.

if  $F \in K[x]$  s.t.  $F(z) = 0 \Rightarrow F = 0$ .

$$K \subseteq \underset{z}{E}$$

This means that  $z$  transcendental element over  $K$

For ex:  $\pi \in \mathbb{R}$ : trans. over  $\mathbb{Q}$   
2)  $\pi, \pi^2$  both transcendental over  $\mathbb{Q}$ , but  
 $\{\pi, \pi^2\}$  is not alg. indep. over  $\mathbb{Q}$ :  $\mathbb{Q}[x_1, x_2] \ni F(x_1, x_2)$

s.t.  $F(\pi, \pi^2) = 0$ ?  $F = x_1^2 - x_2 \in \mathbb{Q}[x_1, x_2]$ ,  $F(\pi, \pi^2) = 0$

$\pi, \pi^2$  are alg. dependent over  $\mathbb{Q}$ , but they are  
linearly independent over  $\mathbb{Q}$ :  $\lambda, \mu$   
 $\lambda\pi + \mu\pi^2 = 0 \Rightarrow \lambda = \mu = 0$

3)  $\emptyset$  is a free family over any field.

4)  $z_1, \dots, z_n \in E$   $\varphi: K[x_1, \dots, x_n] \longrightarrow E$   
$$F \longmapsto F(z_1, \dots, z_n)$$

$\varphi$  is a ring homom.  $\text{Ker } \varphi = \{F \mid F(z_1, \dots, z_n) = 0\}$

$z_1, \dots, z_n$  are algebraically indep.  $\iff \text{Ker } \varphi = (0) \iff$

$$K[x_1, \dots, x_n] \xrightarrow{\varphi} \varphi(K[x_1, \dots, x_n])$$

$\varphi(K[x_1, \dots, x_n]) = K[z_1, \dots, z_n] \subseteq E$  subring: the minimal subring of  $E$  containing  $K$  and  $z_1, \dots, z_n$

$z_1, \dots, z_n$  are alg. indep.  $\Leftrightarrow K[z_1, \dots, z_n] \simeq K[x_1, \dots, x_n]$

We can construct a theory of bases and dimension for the notion of algebraically indep elements over  $K$  which is analogous to the theory for linearly indep. elements.

BASES  $K \subseteq E$  Consider  $\mathcal{S} = \{ \text{families of elements of } E \text{ algebraically indep over } K \} \ni \emptyset$

$\Rightarrow \mathcal{S} \neq \emptyset$   $\mathcal{S} \subseteq \mathcal{P}(E)$  non empty

We want to prove that  $\mathcal{S}$  has maximal elements w.r. respect to inclusion: we can apply Zorn lemma:

Zorn's Lemma: if  $S$  is partially ordered and inductive, then  $S$  has maximal elements.

INDUCTIVE: for every chain of elements of  $S$ ,  $\sup$   
 $a_1 \leq a_2 \leq \dots \leq a_n \leq \dots$   $\exists a \in S$   
 $a_n \leq a \quad \forall n \geq 1$

$S$ :  $a_1 \leq a_2 \leq \dots \leq a_n \leq \dots$  chain in  $S$   
look for  $a \in S$  s.t.  $a \geq a_n, \forall n \geq 1$

$a = \bigcup_{i \geq 1} a_i$ : claim:  $a$  belongs to  $S$  i.e. the elements of  $a$  are alg. indep. over  $K$

$\nexists \exists F \in K[x_i]_{i \in I}, F \neq 0, F(z_i) = 0$

for  $\{z_i\}_{i \in I} = a$ :  $F$  involves only a finite number of variables  $\Rightarrow \exists \underline{z_1, \dots, z_n} \in a$  alg. depend.

$\Rightarrow \exists a_i$  in the chain s.t.  $z_1, \dots, z_n \in a_i$ : contradiction

Conclusion:  $S$  has maximal elements.

$S$  has maximal elements : transcendence bases  
of  $E$  over  $K$

$$B \subseteq E$$

Thm. Two transcendence bases of  $E$  over  $K$  have the same cardinality, i.e. are in bijection.  
Transcendence degree of  $E$  over  $K$  :  $\text{tr. d. } E/K$

Def.  $K$  A  $K$ -algebra is a ring  $A$  containing  $K$   
 $K \subseteq A$ . More in general, a  $K$ -algebra is a ring containing a field isomorphic to  $K$ .

$K \subseteq E$ ,  $z_1, \dots, z_n \in E$   $A = K[z_1, \dots, z_n]$  is the minimal subring of  $E$  containing  $K$  and  $z_1, \dots, z_n$ : is a  $K$ -algebra: the  $K$ -algebra generated by  $z_1, \dots, z_n$

$K \subseteq A$  :  $A$  is a finitely generated  $K$ -algebra if  
 $\exists z_1, \dots, z_n \in A$   $A = K[z_1, \dots, z_n]$

Proposition  $K \subseteq E = K(z_1, \dots, z_n)$  minimal field containing  
 $K, z_1, \dots, z_n$   
 $Q(K[z_1, \dots, z_n])$   $E$  is generated as  
 a field by  $z_1, \dots, z_n$

Then there is a transcendence basis of  $E$  over  $K$   
 contained in  $\{z_1, \dots, z_n\}$ .

Pf.  $S = \{\text{subsets of } \{z_1, \dots, z_n\} \text{ formed by alg. indep. p. elements over } K\} \neq \emptyset$

$S$  is a finite set  $\Rightarrow$  it has maximal elements

We can assume that  $z_1, \dots, z_r$  are alg. indep. over  $K$   
 and a maximal element in  $S \Rightarrow \forall z_{r+1}, \dots, z_n$   
 $z_1, \dots, z_r, z_i$  are alg. depend.

$\exists f \in K[x_1, \dots, x_{r+1}] \text{ s.t. } f(z_1, \dots, z_r, z_{r+1}) = 0$   
 $\Rightarrow K(z_1, \dots, z_r)[x] \Rightarrow z_i$  is algebraic

over  $K(z_1, \dots, z_r) \Rightarrow K(z_1, \dots, z_r, z_i)$  is an  
 algebraic extension. Repeat  $\Rightarrow K(z_1, \dots, z_n) = E$   
 is an algebraic extension of  $K(z_1, \dots, z_r)$

$\Rightarrow \{z_1, \dots, z_r\}$  is maximal in the set  
 of all alg. indep. subsets of  $E \Rightarrow$  a transc.  
 basis.

The transcendence degree of  $E = K(z_1, \dots, z_n)$  is finite and  $\leq n$ :  $\text{tr.d. } K(z_1, \dots, z_n)/K \leq n$ .

Algebra over a ring:  $A \subseteq B$  rings,  $A$  subring of  $B$ .

We say that  $B$  is an  $A$ -algebra.  
More in general: an  $A$ -algebra is a ring containing a subring isomorphic to  $A$ .

For ex.  $\hookrightarrow \varphi: A \rightarrow B$  ring homom.,  $\varphi$  injective

$\Rightarrow \varphi(A) \subseteq B$ ,  $\varphi(A) \cong A \Rightarrow B$  is an  $A$ -algebra

$A \subseteq B$   $b \in B$

Def.  $b$  is integral over  $A$  if  $b$  is a root of a monic polynomial of  $A[x]$ :  $\exists a_1, \dots, a_n \in A$  st.

$$b^n + a_1 b^{n-1} + \dots + a_n = 0$$

$$(\underline{x^n + a_1 x + \dots + a_n})(b) = 0$$

If  $b$  is integral over  $A \Rightarrow b$  is algebraic over  $A$ . The converse is not always true.

If  $A$  is a field,  $b$  is algebraic over  $A \Rightarrow b$  is integral over  $A$

$$\left( \underset{\substack{\neq 0 \\ 0}}{a_0} x^n + a_1 x^{n-1} + \dots + a_n \right)(b) = 0 \quad \text{polyn. of degree } n$$

$\bar{a}_0^{-1}$  exists in  $A$  if  $A$  is a field

$\Rightarrow \bar{a}_0^{-1}(a_0 x^n + \dots + a_n)$  is monic and vanishes at  $b$

Ex.  $\mathbb{Z} \subseteq \mathbb{Q}$   $b \in \mathbb{Q}$ : when is  $b$  integral over  $\mathbb{Z}$ ?  
 $\underset{A}{\mathbb{Z}} \quad \underset{B}{\mathbb{Q}}$  when is  $b$  algebraic over  $\mathbb{Z}$ ?

$$b = \frac{m}{n} \quad (nx - m)(b) = 0$$

$b$  is integral over  $\mathbb{Z} \Rightarrow b \in \mathbb{Z}$

## Normalization Lemma.

A  $K$ -algebra : finitely generated integral domain  $A = K[y_1, \dots, y_n]$   
 $Q(A) = K(y_1, \dots, y_n)$  tr. d.  $Q(A)/K = r \quad (r \leq n)$

Then there exist  $z_1, \dots, z_r \in A$ , algebraically independent over  $K$ , s.t.  $A$  is integral over  $K[z_1, \dots, z_r]$  i.e. each element of  $A$  is integral over  $K[z_1, \dots, z_r]$ .