

$A \subseteq B$

$B$  finitely generated  $A$ -algebra  $B = A[B_{n-1}, b_n]$   
finite  $A$ -algebra :  $B = b_n A + \dots + b_1 A$

$b \in B$  integral over  $A$  if  $b^m + a_m b^{m-1} + \dots + a_1 b + a_0 = 0$ ,  $a_1, \dots, a_m \in A$

Thm.  $A \subseteq B$ ,  $b \in B$   $A \subseteq A[b] \subseteq B$ . The following

are equivalent:

- 1)  $b$  is integral over  $A$ ;
- 2)  $A[b]$  is a finite  $A$ -algebra;
- 3)  $\exists C$  subring of  $B$ , containing  $A[b]$   
 $A \subseteq A[b] \subseteq C \subseteq B$ , or  $C$  is a finite  $A$ -algebra.

Pf. 1  $\Rightarrow$  2) Ans.  $b$  integral over  $A$ : There is a relation

**Claim:**  $A[b]$  is generated by  $1, b, -b, b^{n-1}$

An  $A$ -module  $A[b]$  is generated by all powers of  $b$ : enough to prove that  $b^n, b^{n+1}, \dots, b^m, \dots$  are linear combinations of  $1, b, -b, b^{n-1}$ .

$$b^n \in A + bA + \dots + b^{n-1}A$$

$$b^{n+1} = b b^n = b(-a_1 b^{n-1} - \dots - a_m) = -a_1 b^n - \dots - a_m b$$

$$\Rightarrow b^{n+1} \in A + bA + \dots + b^{n-1}A$$

replace w.l.o.g.  
by combination of  
previous powers

Then: induction to prove that

$$b^{n+m} \in A + bA + \dots + b^{n-1}A$$

Thm.  $A \subseteq B$ ,  $b \in B$  +  $A \subseteq A[b] \subseteq B$ . The following are equivalent:

- 1)  $b$  is integral over  $A$ ;
  - 2)  $A[b]$  is a finite  $A$ -algebra;
  - 3)  $\exists C$  subring of  $B$ , containing  $A[b]$
- $A \subseteq A[b] \subseteq C \subseteq B$  or  $C$  is a finite  $A$ -algebra.

2)  $\Rightarrow$  3) Easy: take  $C = A[b]$

3)  $\Rightarrow$  1)  $C \subseteq B$  finite  $A$ -algebra:  $c_1, \dots, c_r$  generators  
 $C = Ac_1 + \dots + Ac_r$ ,  $A[b] \subseteq C : bc_1, \dots, bc_r \in C$

$\forall i$ :  $bc_i$  is a linear combination of  $c_1, \dots, c_r$

$$\left\{ \begin{array}{l} bc_1 = m_{11}c_1 + \dots + m_{1r}c_r \\ \vdots \\ bc_r = m_{r1}c_1 + \dots + m_{rr}c_r \end{array} \right. \quad m_{ij} \in A$$

$$bc = m_{11}c_1 + \dots + m_{rr}c_r$$

$$M = (m_{ij}) \quad c = \begin{pmatrix} c_1 \\ \vdots \\ c_r \end{pmatrix} \quad (M - bE_r)c = 0$$

$b$  is an eigenvalue of  $M$ ,  $c$  is an eigenvector

If  $A$  is a field, we could deduce  $(M - bE_r) = 0$   
 i.e. the characteristic polyn.  $P_M(b) = 0$

$P_M(x)$  is a monic polynomial w. coeff. in  $A$

$$bc_i = \sum_{j=1}^n m_{ij}c_j$$

$(M - b\bar{E}_R)c = 0$

adj  $(M - b\bar{E}_R)$  adjoint matrix

$$\text{adj}(M - b\bar{E}_R)(M - b\bar{E}_R) = \det(M - b\bar{E}_R)\bar{E}_R$$

$$\Rightarrow \det(M - b\bar{E}_R)c = 0 \Rightarrow \forall i \underbrace{\det(M - b\bar{E}_R)}_{\neq 0} c_i = 0$$

$C$  is a ring containing  $A[b] \supset A$ :

1 is a linear combination of  $c_1, \dots, c_r$ ,  $a_1, \dots, a_r \in A$

$$1 = a_1c_1 + \dots + a_rc_r = c_1a_1 + \dots + c_ra_r \quad / \det(M - b\bar{E}_R)$$

$C$  is commutative

$$\det(M - b\bar{E}_R) = \underbrace{\det(M - b\bar{E}_R)c_1a_1}_{=0} + \dots + \underbrace{\det(M - b\bar{E}_R)c_ra_r}_{=0} = 0$$

$$\Rightarrow \det(M - b\bar{E}_R) = 0$$

$P_M(b) = 0$ :  $b$  is integral over  $A$

## Consequences:

1) If  $b$  is integral over  $A$ ,  $A[b]$  is a finite  $A$ -module;  
 If  $y \in A[b]$   $A[y] \subseteq A[b] \subseteq B$

We apply 3)  $\Rightarrow y$  is integral over  $A$ .

Every element of  $A[b]$  is integral over  $A$ :

$A[b]$  is integral extension of  $A$ .

2) Invariance of finiteness:

$A \subseteq B$ ,  $M$  a  $B$ -module  $\Rightarrow M$  is also  
 an  $A$ -module by restriction of the scalars

If  $M$  is a finite  $B$ -module, and  $B$  is  
 a finite algebra over  $A$   $\Rightarrow M$  is also a finite  
 $A$ -module.

Pf: As  $M$  is generated as  $B$ -module  $m_1, \dots, m_r$ ,

$B$  is gen. as  $A$ -module b)  $b_1, \dots, b_s \Rightarrow$

$\forall m \in M \quad m = \beta_1 m_1 + \dots + \beta_r m_r, \beta_1, \dots, \beta_r \in B$

$$\beta_i := \sum_{j=1}^s \alpha_{ij} b_j \quad \Rightarrow m \text{ is a linear combination}$$

of  $\{b_i m_j\}_{\substack{i=1, \dots, r \\ j=1, \dots, s}}$  with coeff. in  $A \Rightarrow M$  is

3) a finite  $A$ -module.

3)  $A \subseteq B$   $b_1, \dots, b_m$  all integral over  $A \Rightarrow$   
 $A[b_1, \dots, b_m]$  is a finite  $A$ -module and  
 integral extension of  $A$ .

Induction on  $m$ :  $m = 1$

Ass.  $m > 1$  and let at the prop. holds for  $m-1$

Notation:  $A_r = A[b_1, \dots, b_r] \quad r \geq 1$

$$A_m = \underbrace{A_{m-1}[b_m]}_{A[b_1, \dots, b_{m-1}]}$$

$b_m$  integral over  $A \Rightarrow b_m$  is also integral over  $A_{m-1}$

$\Rightarrow A_m$  integral and finite  $A_{m-1}$ -module;

Inductive assumption:  $A_{m-1}$  is a finite  $A$ -module

$\Rightarrow A_m$  is a finite  $A$ -module

If  $b \in A_m$   $A[b] \subseteq \underbrace{A_m}_{\text{finite } A\text{-mod.}} \subseteq B \Rightarrow b$  is integral  
 over  $A$ .

4) Transitivity of integral dependence

$A \subseteq B \subseteq C$  A subring of  $B$  subring of  $C$

If  $B$  is integral extension of  $A$ , and  $C$  is integral extension of  $B$   $\Rightarrow C$  is integral extension of  $A$ .

pf  $c \in C$ :  $c^n + b_1 c^{n-1} + \dots + b_n = 0$ ,  $b_1, \dots, b_n \in B$

$A \subseteq B' := A[b_1, \dots, b_n] \subseteq B$   $c$  is integral over  $B'$

$B'[c]$  is a finite  $B'$ -algebra

$A \subset \underline{B}' \subset B'[c] \Rightarrow B'[c]$  is a finite  
finite  $A$ -algebra

$A[c] \subseteq B'[c] \subseteq C \xrightarrow{(3)} c$  is  
finite  $A$ -alg. integral over  $A$

Normalization Lemma  $K$ ,  $A = K[y_1, \dots, y_n]$  integral domain  
 $r = \text{tr.deg. } Q(A)$

$K$ ,  $r \leq n$   
Then  $\exists z_1, \dots, z_r \in A$ , algebraically indep. over  $K$ , such that

$A$  is an integral extension of  $B := K[z_1, \dots, z_r]$

Proof only for  $K$  infinite field.

By induction on  $m$

$$m=1 \quad A = K[y], \quad Q(A) = K(y)$$

$y$  transc.  $\Rightarrow r=1$ : take  $B = A$

$y$  algebraic  $\Rightarrow r=0$ :  $A = K[y] = K(y)$

thus an algebraic extension of  $K$  of finite degree:  
 $K = B$        $A$  is an integral extension of  $K$

$n \geq 2$ : an. the thm. is true for algebras with  
 $n-1$  generators over  $K$

a polynomial ring  
in  $r$  variables

$y$  transcendental over  $K$   
or  
 $y$  algebraic over  $K$

$$A = K[y_1, \dots, y_n] \quad \varphi: K[x_1, \dots, x_n] \rightarrow A \text{ surjective}$$

$$F(x_1, \dots, x_n) \mapsto F(y_1, \dots, y_n)$$

$\Rightarrow n=r \Rightarrow y_1, \dots, y_n$  are alg. indep.,  $\varphi$  is biom.

$$A \cong K[x_1, \dots, x_n], \quad B = A$$

2)  $r < n$ :  $y_1, \dots, y_n$  are alg. dependent,  $\text{Ker } \varphi \neq \{0\}$ .

$$\exists F \neq 0, \quad F \in \text{Ker } \varphi \quad F(y_1, \dots, y_n) = 0, \deg F > 0$$

At least one variable occurs in  $F$ : an  $x_m$  occurs wif  $d$

If  $F(x_1, \dots, x_n)$  is "monic" wif  $x_m$ , then  $y_m$  is integral over  $K[y_1, \dots, y_{m-1}]$ .

$$F = F_0 + F_1 t + \dots + \underbrace{F_d}_{\times} t^d$$

" $F$  monic wif  $x_m$ " means " $x_m^d$  appears explicitly wif  $F_d$ "

$$F(x_1, \dots, x_n) = c x_m^d + \underbrace{\dots}_{\text{pol. in } x_1, \dots, x_{m-1}, \text{ s.t. the degree}} + \dots$$

$\text{wif } x_m \text{ is } < d$

$$0 = F(y_1, \dots, y_n) = (\underbrace{c y_m^d}_{\text{not invertible in } K} + \text{pol. in } y_1, \dots, y_{m-1}) x_m$$

$\xrightarrow{\text{multiply by } c'}$  invertible wif  $K$ : multiply  $c'$

$\Rightarrow$  get a relation of integral dep. for  $y_m$

$$\text{over } \underbrace{K[y_1, \dots, y_{m-1}]}$$

By induction assumpt.:  $\exists z_1, \dots, z_r \in K[y_1, \dots, y_{m-1}]$

alg. indep. o.t.  $K[y_1, \dots, y_{m-1}]$  is integral over  $K[z_1, \dots, z_r]$   $\xrightarrow{\text{transitivity}}$   $A = K[y_1, \dots, y_n]$  is integral over  $K[z_1, \dots, z_r]$  of integral dep.

So if  $\text{Ker } \varphi$  contains some polym. monic in one the variables  $\Rightarrow$  conclude.

Ass. that  $\ker \varphi \neq$  monic polynomial: "change variables" or "change coordinates".

We look for new generators for  $A[t[y_1, \dots, y_n]]$   
s.t.  $F(x_1, \dots, x_n) \in \ker \varphi$ , is monic w.r.t. respect  
of degree d

To the new variables. We look for a very simple change of coordinates and precisely:

$$x_1 \rightarrow x_1 + a_1 x_m$$

$$\vdots$$

$$x_{m-1} \rightarrow x_{m-1} + a_{m-1} x_m$$

$$x_m \rightarrow x_m$$

We look for elements  $a_1, \dots, a_{m-1} \in A$  s.t.

$F(x_1, \dots, x_n)$  is monic

is  $x_1 + a_1 x_m, \dots, x_{m-1} + a_{m-1} x_m, x_m$

$$F(x_1 + a_1 x_m, \dots, x_{m-1} + a_{m-1} x_m, x_m) = F_0(\dots) + \dots + \boxed{F_d(x_1 + a_1 x_m)}$$

The new variables contain linearly  $x_m$

$F_d(x_1 + a_1 x_m, \dots, x_{m-1}, a_{m-1}, x_m, x_m)$  is of deg d in  $x_m$ ,  
the other have lowest degree

