

Zurich Open Repository and Archive University of Zurich Main Library Strickhofstrasse 39 CH-8057 Zurich www.zora.uzh.ch

Year: 2018

### Treatment effects of Ginkgo biloba extract EGb 761® on the spectrum of behavioral and psychological symptoms of dementia: meta-analysis of randomized controlled trials

Savaskan, Egemen ; Mueller, Heiko ; Hoerr, Robert ; von Gunten, Armin ; Gauthier, Serge

Abstract: BACKGROUND In randomized controlled trials, Ginkgo biloba extract EGb 761<sup>®</sup> has been found to be effective in the treatment of behavioral and psychological symptoms of dementia (BPSD). METHODS To assess the effects of EGb 761<sup>®</sup> on specific BPSD, we analyzed data from all randomized, placebo-controlled, at least 20-week, trials of EGb 761® enrolling patients with dementia (probable Alzheimer's disease (AD), probable vascular dementia or probable AD with cerebrovascular disease) who had clinically significant BPSD (Neuropsychiatric Inventory (NPI) total score at least 6). Data were pooled and joint analyses of NPI single item composite and caregiver distress scores were performed by meta-analysis with a fixed effects model. RESULTS Four trials involving 1628 patients (EGb 761®, 814; placebo, 814) were identified; treatment duration was 22 or 24 weeks; the daily dose of EGb 761® was 240 mg in all trials. Pooled analyses including data from the full analysis sets of all trials (EGb 761<sup>®</sup>), 796 patients; placebo, 802 patients) revealed significant superiority of EGb 761® over placebo in total scores and 10 single symptom scores. Regarding caregiver distress scores, EGb 761®-treated patients improved significantly more than those receiving placebo in all symptoms except delusions, hallucinations, and elation/euphoria. The benefit of EGb 761® mainly consists of improvement in symptoms present at baseline, but the incidence of some symptoms was also decreased. CONCLUSIONS Twenty two- to twenty four-week treatment with Ginkgo biloba extract EGb 761® improved BPSD (except psychotic-like features) and caregiver distress caused by such symptoms.

DOI: https://doi.org/10.1017/S1041610217001892

Posted at the Zurich Open Repository and Archive, University of Zurich ZORA URL: https://doi.org/10.5167/uzh-146922 Journal Article Published Version



The following work is licensed under a Creative Commons: Attribution 4.0 International (CC BY 4.0) License.

### Originally published at:

Savaskan, Egemen; Mueller, Heiko; Hoerr, Robert; von Gunten, Armin; Gauthier, Serge (2018). Treatment effects of Ginkgo biloba extract EGb 761<sup>®</sup> on the spectrum of behavioral and psychological symptoms of dementia: meta-analysis of randomized controlled trials. International Psychogeriatrics, 30(03):285-293.  ${\rm DOI: \ https://doi.org/10.1017/S1041610217001892}$ 

## 

# Treatment effects of *Ginkgo biloba* extract EGb 761<sup>®</sup> on the spectrum of behavioral and psychological symptoms of dementia: meta-analysis of randomized controlled trials

Egemen Savaskan,<sup>1</sup> Heiko Mueller,<sup>2</sup> Robert Hoerr,<sup>2</sup> Armin von Gunten<sup>3</sup> and Serge Gauthier<sup>4</sup>

<sup>1</sup>Department of Geriatric Psychiatry, University Hospital of Psychiatry, Zurich, Switzerland

<sup>2</sup>Clinical Research Department, Dr. Willmar Schwabe GmbH & Co. KG, Karlsruhe, Germany

<sup>3</sup>Department of Psychiatry, Service Universitaire de Psychiatrie de l'Age Avancé (SUPAA), Centre Hospitalier Universitaire Vaudois, Prilly, Switzerland

<sup>4</sup>Alzheimer Disease Research Unit, Memory Clinic, McGill Centre for Studies in Aging, McGill University, Verdun, Quebec, Canada

#### ABSTRACT

**Background:** In randomized controlled trials, *Ginkgo biloba* extract EGb 761<sup>®</sup> has been found to be effective in the treatment of behavioral and psychological symptoms of dementia (BPSD).

**Methods:** To assess the effects of EGb 761<sup>®</sup> on specific BPSD, we analyzed data from all randomized, placebocontrolled, at least 20-week, trials of EGb 761<sup>®</sup> enrolling patients with dementia (probable Alzheimer's disease (AD), probable vascular dementia or probable AD with cerebrovascular disease) who had clinically significant BPSD (Neuropsychiatric Inventory (NPI) total score at least 6). Data were pooled and joint analyses of NPI single item composite and caregiver distress scores were performed by meta-analysis with a fixed effects model.

**Results:** Four trials involving 1628 patients (EGb 761<sup>®</sup>, 814; placebo, 814) were identified; treatment duration was 22 or 24 weeks; the daily dose of EGb 761<sup>®</sup> was 240 mg in all trials. Pooled analyses including data from the full analysis sets of all trials (EGb 761<sup>®</sup>, 796 patients; placebo, 802 patients) revealed significant superiority of EGb 761<sup>®</sup> over placebo in total scores and 10 single symptom scores. Regarding caregiver distress scores, EGb 761<sup>®</sup>-treated patients improved significantly more than those receiving placebo in all symptoms except delusions, hallucinations, and elation/euphoria. The benefit of EGb 761<sup>®</sup> mainly consists of improvement in symptoms present at baseline, but the incidence of some symptoms was also decreased.

**Conclusions:** Twenty two- to twenty four-week treatment with *Ginkgo biloba* extract EGb 761<sup>®</sup> improved BPSD (except psychotic-like features) and caregiver distress caused by such symptoms.

Key words: dementia, behavioral and psychological symptoms, BPSD, Ginkgo biloba, EGb 761<sup>®</sup>, systematic review, meta-analysis

### Introduction

Behavioral and psychological symptoms of dementia (BPSD; also referred to as neuropsychiatric symptoms, NPS) are common manifestations of Alzheimer's disease (AD) as well as vascular dementia (VaD; Lyketsos *et al.*, 2000). They adversely affect the patients' quality of life (Karttunen *et al.*, 2011), cause distress to caregivers (Cummings and McPherson, 2001), increase the risk for nursing home placement (Tun *et al.*, 2007), and increase the cost of care (Jönsson *et al.*, 2006). The presence of BPSD has also been reported to predict the progression to severe dementia in AD. Whereas in older diagnostic systems (McKhann *et al.*, 1984; Román *et al.*, 1993) such symptoms were classified as merely "consistent" with a diagnosis of AD or VaD, they are now listed among the "core clinical criteria" for all-cause dementia (McKhann *et al.*, 2011), reflecting a changing view about the clinical significance of these symptoms. BPSD are therefore a major target for treatment in patients with dementia. Beneficial effects have been reported for some anti-dementia drugs (cholinesterase inhibitors, memantine),

*Correspondence should be addressed to*: Robert Hoerr, MD, PhD, Dr. Willmar Schwabe GmbH & Co. KG Willmar-Schwabe-Str. 4, 76227 Karlsruhe, Germany. Phone: +49 721 4005-492; Fax: +49 721 4005-8492. E-mail: robert.hoerr@schwabe.de. Received 3 Aug 2016; revision requested 25 Oct 2016; revised version received 11 Jan 2017; accepted 20 Aug 2017.

anti-depressants (Gauthier *et al.*, 2010), and some antipsychotics (Tan *et al.*, 2015). Specific effects of citalopram in patients with agitation associated with AD have been reported (Porsteinsson *et al.*, 2014).

A recently published systematic review (von Gunten et al., 2016) identified four large, randomized, placebo-controlled, double-blind, multicenter trials of the defined, quantified Ginkgo biloba extract EGb 761<sup>®</sup> in patients with mild to moderate dementia who had clinically significant BPSD. (EGb 761<sup>®</sup> is a dry extract from Ginkgo biloba leaves (35-67:1), extraction solvent: acetone 60% (w/w). The extract is adjusted to 22.0%-27.0% ginkgo flavonoids calculated as ginkgo flavone glycosides and 5.0%–7.0% terpene lactones consisting of 2.8%-3.4% ginkgolides A, B, C, and 2.6%-3.2% bilobalide and contains less than 5 ppm ginkgolic acids.) In two 22-week trials, the Neuropsychiatric Inventory (NPI; Cummings, 1997) was used as secondary outcome measure, showing significant superiority of EGb 761<sup>®</sup> over placebo in one trial and a tendency favoring active treatment in the other (Napryeyenko et al., 2007; Nikolova et al., 2013). In two 24-week trials, change in the NPI composite score was prospectively defined as co-primary outcome and the caregiver distress score was a secondary outcome. In both trials, EGb 761<sup>®</sup> was significantly superior to placebo in composite scores as well as caregiver distress ratings (Ihl et al., 2011; Herrschaft et al., 2012).

The objective of this meta-analysis was to examine the treatment effects of EGb 761<sup>®</sup> on single behavioral and psychological symptoms with regard to overall reduction of symptoms, reduction of symptoms present at baseline, the prevention of newly emerging symptoms and implications for caregiver burden.

### **Patients and methods**

### Eligibility criteria, data sources, and search

Randomized, placebo-controlled clinical trials of EGb 761<sup>®</sup> with a minimal duration of 20 weeks, including patients with dementia (probable AD, probable VaD or possible AD with cerebrovascular disease) who had clinically significant BPSD (NPI total score at least 6) were identified.

We used a sensitive search strategy including the following electronic databases: PubMed, including MedLine (from beginning to December 2013), EMBASE (from January 2006 to December 2013), and PASCAL (from beginning to December 2013). The following search terms were used (with \* characterizing a wildcard, and the items AND and OR being used as Boolean functions):

(ginkg<sup>\*</sup> OR gingk<sup>\*</sup>) AND clinical trial(pt) for PubMed including MedLine, ((ginkg\* OR gingk\*) NOT medline(sb)) AND (clinical\* OR trial OR randomized) for PubMed excluding Medline, (GINKGO OR GINGKO), AND (HUMAN/CT OR HOMME/CTFR) for PASCAL, and (ginkgo or gingko) AND CT = (CLINICAL TRIAL;CLINICAL STUDY; DOUBLE BLIND PRO-CEDURE) AND py > 2005 for EMBASE. A search update was conducted in June 2016. Furthermore, the reference sections of systematic reviews were screened for primary publications. In addition, the manufacturer of EGb 761<sup>®</sup>, Dr. Willmar Schwabe GmbH & Co. KG, was asked about studies that were not identified by the search or had not been published when the search was performed.

### Selection and critical appraisal of clinical trials

Two persons independently from each other screened the results of the literature search and the retrieved full papers for eligibility. Their judgments were concordant; no discrepancies had to be resolved. Trial and publication quality were assessed in terms of randomization and allocation concealment, blinding of patients and investigators, sample size estimation, proportion of trial discontinuations, application of the intent-totreat principle and whether statistical analyses were reported and judged adequate. All included trials adequately met these quality criteria.

Four eligible studies were identified by the original database search; no further relevant study was identified by the search update or by the manufacturer. The four trials were randomized, placebocontrolled, double-blind, multi-center, parallelgroup trials performed independently of each other in adherence to the provisions for Good Clinical Practice (GCP) set by the International Conference on Harmonization and the World Medical Association's Declaration of Helsinki. For all trials, approval by regulatory bodies and ethics committees was obtained and patients were enrolled only after written informed consent had been received. The methods and main results have been reported in the primary publications in peer-reviewed journals (GINDEM-NP Study: Napryeyenko et al., 2007; GOTADAY Study: Ihl et al., 2011; GOT-IT! Study: Herrschaft et al., 2012; PLAGIN Study: Nikolova et al., 2013).

All four trials were similarly designed and enrolled patients with mild to moderate dementia who scored 35 or less in the Test for the Early Detection of Dementia with Differentiation from Depression (Mahoney *et al.*, 2005) and 9 to 23 on the SKT short cognitive performance test (Kim et al., 1993) and had clinically significant BPSD, as shown by a composite score of at least 6 on the NPI, with at least one item score (other than delusions or hallucinations) of at least 3. Diagnoses of probable AD, probable VaD or possible AD with cerebrovascular disease were established using the research diagnostic criteria by McKhann et al. (1984) and/or Román et al. (1993), as applicable. In accordance with random allocation, patients received *Ginkgo biloba* extract EGb 761<sup>®</sup> at a daily dose of 240 mg or matching placebo tablets for periods of 22 weeks (GINDEM-NP, PLAGIN) or 24 weeks (GOTADAY, GOT-IT!). The primary outcome measure in all studies was the change in the SKT total score. The change in the NPI composite score was co-primary in the two 24week trials and a secondary outcome in the 22week trials. Scales for activities of daily living, global ratings, and quality-of-life scales (in 24week trials only) were recorded as secondary outcomes.

### Outcomes

Outcomes of interest for this meta-analysis were the single items of the NPI. The NPI is a 12item inventory to assess the presence and severity of behavioral changes in patients with dementia. The ratings of frequency, severity, and related caregiver distress are based on an interview of the clinician with the patient's caregiver. The twelve symptoms/disorders assessed are delusions, hallucinations, agitation/aggression, depression/dysphoria, anxiety, elation/euphoria, apathy/indifference, disinhibition, irritability/lability, aberrant motor behavior, sleep and nighttime behavior disorders, appetite and eating disorders. Each symptom/disorder is rated by frequency (range: 1 to 4) and severity (range: 1 to 3); a composite score is formed by multiplying the scores for frequency and severity (range: 1 to 12). In addition, caregiver distress is rated along a scale ranging from 0 to 5. Higher scores indicate more impairment.

### Statistical analysis

For all selected trials, individual participant data were provided by Dr. Willmar Schwabe GmbH & Co KG. For each of the four clinical trials the effects of EGb 761<sup>®</sup> were compared to placebo with respect to the total composite score, the total caregiver distress score and their respective 12 single items. For the overall reduction of symptoms and the reduction of symptoms present at baseline (including patients with symptoms in the respective item at baseline), an analysis of covariance (ANCOVA) model was used to calculate the changes from baseline to week 22/24. The ANCOVA model included terms for treatment group and baseline value of respective outcome variable as covariates (stage 1). The resulting least square means (LSM) and standard deviations of each study were combined in a fixed effect metaanalysis (summary measure: weighted difference in LSM (LSMD) and 95% confidence limit for the changes from baseline to 22/24 week followup for the EGb 761<sup>®</sup> treatment group compared to the placebo group; negative LSMDs show an advantage of the EGb 761<sup>®</sup> treatment group; stage 2). The fixed effects model using the inverse variance method was chosen due to the similar design, nearly identical inclusion and exclusion criteria, daily doses, and duration of treatment of the four included studies.

Furthermore, for each study, the incidence of newly emerging symptoms (represented by the respective item of the NPI, separately for each item) from baseline to 22/24 week follow-up was calculated under EGb 761<sup>®</sup> and placebo in patients with a baseline value of 0 for the respective symptom (i.e. respective symptom not present at baseline; stage 1). To assess the potential for prevention of newly emerging symptoms by EGb 761<sup>®</sup> compared to placebo, weighted relative risks (RR) and 95% CI were calculated by a metaanalysis for binary data using a fixed effects model to combine results of the four clinical trials (EGb  $761^{\text{(R)}}$  treatment compared to placebo; stage 2). RR below 1 shows an advantage of the EGb 761® treatment group.

To assess the clinical relevance of treatment effects of EGb 761<sup>®</sup> for the twelve single items of the NPI (each for composite and caregiver distress scores), standardized mean differences (SMD) were calculated for the results of the metaanalyses (SMD = LSMD/standard deviation) in those patients in whom the respective symptoms were present at baseline. Cohen (1992) defined an SMD of 0.5 (reflected by the interval from 0.4 to 0.6) as medium effect size, SMDs below 0.4 as small and SMDs above 0.6 as large effects.

All outcomes were assessed in the intention-totreat/full-analysis sets. The last observation during randomized treatment was carried forward (LOCF) for missing values of the outcome variables on a single item basis. The maximum of missing values replaced by LOCF for a single item was 3.2%.

The meta-analyses were performed using the package "meta" in R (Version 3.1.0). As all items have equal weight in the composite and caregiver score, no item was selected as primary outcome. In all analyses, statistical significance was assumed if p < 0.05 as adjustment for multiple testing is not routinely used in meta-analyses.

|                          | EGb $761^{\mbox{\tiny (N)}}$ (N = 796) |                              | placebo $(N = 802)$ |                              |
|--------------------------|--|------------------------------|---------------------|------------------------------|
|                          | COMPOSITE<br>SCORES                    | CAREGIVER DISTRESS<br>SCORES | COMPOSITE<br>SCORES | CAREGIVER DISTRESS<br>SCORES |
| Total scores             | 17.87 (8.78)                           | 10.84 (6.18)                 | 18.06 (8.62)        | 10.74 (5.76)                 |
| Delusions                | 0.16 (0.77)                            | 0.14 (0.60)                  | 0.21 (0.94)         | 0.18 (0.70)                  |
| Hallucinations           | 0.05 (0.35)                            | 0.06 (0.39)                  | 0.05 (0.39)         | 0.05 (0.34)                  |
| Agitation/aggression     | 1.03 (1.69)                            | 0.82 (1.26)                  | 1.01 (1.73)         | 0.78 (1.23)                  |
| Depression/dysphoria     | 1.93 (1.91)                            | 1.34 (1.24)                  | 2.00 (2.02)         | 1.35 (1.27)                  |
| Anxiety                  | 2.81 (2.21)                            | 1.71 (1.26)                  | 2.90 (2.31)         | 1.74 (1.23)                  |
| Elation/euphoria         | 0.34 (1.13)                            | 0.18 (0.64)                  | 0.36 (1.23)         | 0.20 (0.66)                  |
| Apathy/indifference      | 3.45 (2.72)                            | 1.79 (1.31)                  | 3.44 (2.79)         | 1.76 (1.33)                  |
| Disinhibition            | 0.71 (1.53)                            | 0.56 (1.10)                  | 0.73 (1.61)         | 0.54 (1.09)                  |
| Irritability/lability    | 2.74 (2.36)                            | 1.77 (1.33)                  | 2.76 (2.35)         | 1.77 (1.32)                  |
| Aberrant motor behavior  | 0.84 (1.99)                            | 0.49 (1.07)                  | 0.84 (1.98)         | 0.48 (1.04)                  |
| Sleep/nighttime behavior | 3.15 (2.45)                            | 1.65 (1.30)                  | 3.05 (2.37)         | 1.57 (1.27)                  |
| Appetite/eating          | 0.66 (1.75)                            | 0.33 (0.86)                  | 0.71 (1.78)         | 0.32 (0.79)                  |

| Table 1. | Baseline composite and caregiver distress scores of the patients in the pooled data set; means $\pm$ |
|----------|--|
| standard | d deviations   |

### Results

A total of 1628 patients were randomized to the four trials. Of these, 1,598 patients (98%) had efficacy data after baseline (EGb 761<sup>®</sup>, 796; placebo 802). At baseline, there were no conspicuous differences between treatment groups with respect to demographic characteristics (mean age 66 years, standard deviation nine years, in both treatment groups; 67% and 68% females in EGb 761<sup>®</sup> and placebo group, respectively) and severity of BPSD (Table 1). Aberrant sleep/nighttime behavior (n = 1250), apathy (n = 1240), anxiety (n = 1231), irritability (n = 1168) and depression/dysphoria (n = 1015) were the most prevalent symptoms at baseline.

Net changes between baseline and end of treatment for both composite and caregiver distress total scores were significantly larger in the patients treated with EGb 761<sup>®</sup> than in those receiving placebo. Regarding the single item composite scores, most pronounced net effects were found for apathy (LSMD -0.82; 95% CI -1.00 to -0.64), sleep/nighttime behavior (-0.64; -0.80 to -0.47), depression (-0.59; -0.72 to -0.46), anxiety (-0.58; -0.73 to -0.43), and irritability/lability (-0.48; -0.63 to -0.33), p < 0.001 for all in favor of EGb 761<sup>®</sup> compared to placebo (Figure 1). Similarly, net effects on the caregiver distress scale were largest for depression (LSMD -0.40; 95% CI -0.48 to -0.31), sleep/nighttime behavior (-0.38; -0.46 to -0.29), apathy (-0.37; -0.46)to -0.28), anxiety (-0.36; -0.45 to -0.27), and irritability/lability (-0.28; -0.37 to -0.19), p < 0.001 for all in favor of EGb 761<sup>®</sup> compared to placebo (Figure 2). There was little change in

delusions, hallucinations, or elation/euphoria, the items with very low baseline scores (Figures 1 and 2). The  $I^2$  statistic, which quantifies the amount of variation in the results across the studies, ranged from 0% to 97% in the meta-analyses.

Mean improvement of EGb 761® treatment compared to placebo for symptoms present at baseline (respective NPI item score > 0) was most pronounced in aberrant motor behavior (LSMD - 1.05, 95% CI - 1.43 to - 0.67), apathy (-0.96; -1.17 to -0.74), depression (-0.83;-1.03 to -0.64), agitation (-0.82; -1.10 to -0.54), sleep/nighttime behavior (-0.78; -0.98to -0.58), and anxiety (-0.74; -0.92 to -0.56), p < 0.001 for all in favor of EGb 761<sup>®</sup> compared to placebo (Figure 3). Caregiver distress was reduced most distinctly with regard to the same symptoms, although in slightly different sequence: agitation (LSMD -0.62; 95% CI -0.80 to -0.44), depression (-0.57; -0.69 to -0.44), aberrant motor behavior (-0.50; -0.70 to -0.30), sleep/nighttime behavior (-0.49; -0.59 to -0.38), anxiety (-0.48; -0.59 to -0.38), and apathy (-0.42; -0.53 to -0.31), p < 0.001 for all in favor of EGb 761<sup>®</sup> compared to placebo (Figure 4). Effect sizes (in favor of EGb 761® treatment) for these six items were classified as medium according to Cohen's concept, with SMDs between 0.44 and 0.59 in composite scores and caregiver distress scores.

Improvements in symptoms that were present at baseline were more frequently observed in patients treated with EGb  $761^{\ensuremath{\mathbb{R}}}$  than in those receiving placebo (Table 2).

The incidence of symptoms not present at baseline was significantly lower in EGb

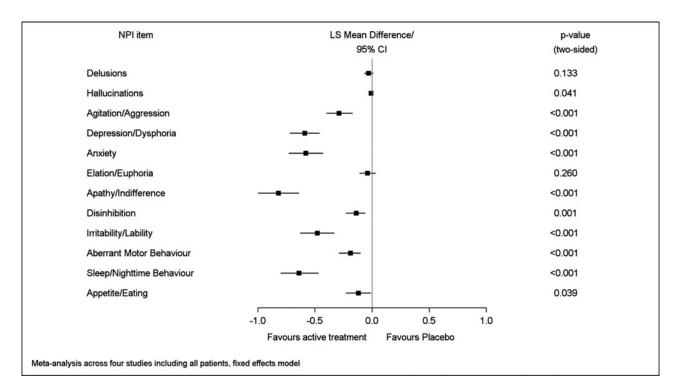
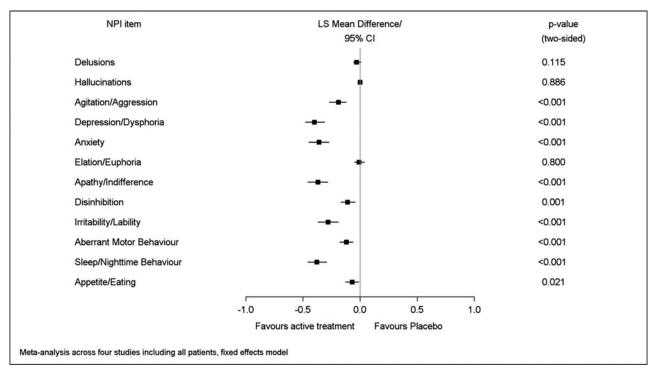


Figure 1. Overall effects of EGb 761<sup>®</sup> on symptom frequency/severity.





761<sup>®</sup>-treated patients than in those receiving placebo for depression (risk ratio 0.36, (95% CI 0.22 to 0.60); p < 0.001), apathy (0.46 (0.28 to 0.75); p = 0.002), disinhibition (0.37 (0.21 to 0.67); p = 0.001) and disturbance of sleep/nighttime behavior (0.56 (0.34 to 0.93); p = 0.258). This was associated with lower rates of in-

cident caregiver distress related to these symptoms. The risk ratios and 95% confidence intervals were 0.33 (0.20 to 0.55) for depression (p < 0.001), 0.63 (0.43 to 0.94) for apathy (p = 0.022), 0.43 (0.25 to 0.76) for disinhibition (p = 0.004), and 0.48 (0.30 to 0.79) for sleep/nighttime behavior (p = 0.004) in favor of EGb 761<sup>®</sup> compared to placebo.

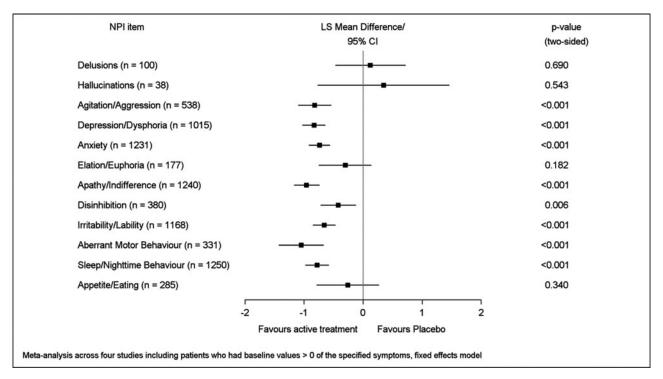


Figure 3. Improvement of symptom frequency/severity.

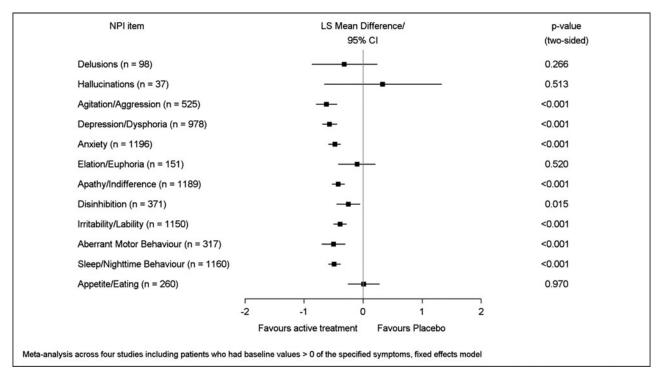


Figure 4. Improvement of caregiver distress.

### Discussion

In four randomized, placebo-controlled trials, the efficacy of the quantified *Ginkgo biloba* extract EGb 761<sup>®</sup> was tested in patients who were suffering from dementia with clinically significant BPSD. Overall,

in 9 out of 12 symptoms covered by the NPI significant benefits of EGb 761<sup>®</sup> in comparison to placebo could be demonstrated simultaneously for composite and caregiver distress over a period of 22 to 24 weeks. Although there was some heterogeneity with regard to effect sizes, the pattern

|                          | EGb 761 <sup>®</sup> |                              | PLACEBO             |                              |
|--------------------------|----------------------|------------------------------|---------------------|------------------------------|
|                          | COMPOSITE<br>SCORES  | CAREGIVER DISTRESS<br>SCORES | COMPOSITE<br>SCORES | CAREGIVER DISTRESS<br>SCORES |
| Delusions                | 30/46 (65.2%)        | 29/45 (64.4%)                | 24/54 (44.4%)       | 28/53 (52.8%)                |
| Hallucinations           | 12/19 (63.2%)        | 11/19 (57.9%)                | 10/19 (52.6%)       | 11/18 (61.1%)                |
| Agitation/aggression     | 149/273 (54.6%)      | 152/266 (57.1%)              | 98/265 (37.0%)      | 90/259 (34.8%)               |
| Depression/dysphoria     | 302/503 (60.0%)      | 277/487 (56.9%)              | 195/512 (38.1%)     | 177/491 (36.1%)              |
| Anxiety                  | 359/611 (58.8%)      | 327/592 (55.2%)              | 247/620 (39.8%)     | 204/604 (33.8%)              |
| Elation/euphoria         | 44/86 (51.2%)        | 33/73 (45.2%)                | 37/91 (40.7%)       | 35/78 (44.9%)                |
| Apathy/indifference      | 315/624 (50.5%)      | 270/601 (44.9%)              | 168/616 (27.3%)     | 153/588 (26.0%)              |
| Disinhibition            | 96/192 (50.0%)       | 90/187 (48.1%)               | 73/188 (38.8%)      | 69/184 (37.5%)               |
| Irritability/lability    | 306/575 (53.2%)      | 277/569 (48.7%)              | 192/593 (32.4%)     | 178/581 (30.6%)              |
| Aberrant motor Behavior  | 87/162 (53.7%)       | 73/157 (46.5%)               | 50/169 (29.6%)      | 44/160 (27.5%)               |
| Sleep/nighttime behavior | 337/630 (53.5%)      | 313/586 (53.4%)              | 213/620 (34.4%)     | 194/574 (33.8%)              |
| Appetite/eating          | 83/133 (62.4%)       | 81/122 (66.4)                | 92/152 (60.5%)      | 87/138 (63.0%)               |

**Table 2.** Numbers and percentages of patients who improved in symptoms that were present at baseline (number improved/number with symptom present at baseline (% improved))

of effects was remarkably consistent across the studies (cf. Scripnikov *et al.*, 2007; Bachinskaya *et al.*, 2011; Nacu and Hoerr, 2016).

To assess the clinical relevance of treatment effects, the same analyses were repeated in those patients in whom the respective symptoms were present at baseline. Composite score and caregiver distress score effect sizes were in the moderate range for apathy/indifference, disturbance of sleep/nighttime behavior, depression/dysphoria, anxiety, agitation, and aberrant motor behavior. For two symptoms that were less prevalent, agitation/aggression (n = 538) and aberrant motor behavior (n = 331), these analyses brought to light considerable effects on composite and caregiver distress scores, which were hidden in the overall analyses due to rather low average baseline values of these single items in the total population. For the symptoms that were most prevalent at baseline improvements were observed in 50% to 60% of the patients treated with EGb 761<sup>®</sup> and in 30% to 40% of those receiving placebo. Moreover, the risk of developing symptoms not present at baseline was reduced by more than 60% for depression and disinhibition, and approximately halved for apathy and disturbance of sleep/nighttime behavior in EGb 761<sup>®</sup>-treated patients compared to placebo-treated patients.

Our findings are in line with those reported from former studies of EGb 761<sup>®</sup> in dementia (summarized by Hoerr, 2003) and vascular cognitive impairment (Halama *et al.*, 1988) that did not specifically enroll patients with BPSD, but accepted those with mild BPSD rather than excluding them. In these earlier studies, like in those reported here, depression, anxiety, irritability, emotional lability, and motivation were improved by EGb 761<sup>®</sup>, but the profile of effects was less pronounced. This may be due to the milder average severity of the disease and the different properties of the rating scales used. An earlier meta-analysis of EGb 761<sup>®</sup> trials found no significant effect on neuropsychiatric and behavioral symptoms overall, but the authors acknowledge that they included trials in "non-depressed and non-behaviorally disturbed patients" and that there were significant differences in favor of EGb 761<sup>®</sup> in the two studies included in the present meta-analysis and which enrolled patients with clinically significant BPSD (Weinmann *et al.*, 2010).

The broad spectrum of effects of EGb 761<sup>®</sup> on BPSD probably reflects its complex pattern of pharmacodynamic activity, involving neuroprotection, enhancement of neurogenesis, and synaptogenesis, as well as modulation of neurotransmitter systems (Hoerr, 2003; Tchantchou et al., 2009). The precise neurobiological mechanisms underlying each single BPSD remain to be elucidated. Basically, degeneration of neurons and synaptic connections result in a weakening of neuronal circuits and an imbalance of neurotransmitters, which entail both cognitive and emotional dysfunction (Geda et al., 2013). Serotonergic effects of EGb 761<sup>®</sup> may play a role in the improvement of depression; inhibition of the stress axis may have an influence on anxiety (Hoerr, 2003). Aberrant behaviors, such as agitation, aberrant motor behavior, and disturbed nighttime behavior may be due, to some extent, to cognitive impairment which results in misinterpretation of the environment and misunderstanding of other persons' behavior or verbal and facial expressions (Geda et al., 2013).

Improvement in cognitive domains involved in the recognition and interpretation of surroundings may therefore entail an improvement in aberrant behaviors.

One strength of the present analyses is the underlying (pooled) sample of approximately 1,600 patients with mild to moderate dementia who were selected using nearly identical eligibility criteria and who had NPI ratings at onset and end of 22- to 24-week treatment. Another strength of the data pool is that it comes from multi-center trials that involved sites in five European countries. It therefore seems reassuring that the profile of effects of EGb 761<sup>®</sup> is rather consistent across the studies.

One limitation of our study is the restriction to databases in English language when searching for relevant studies, although further studies may have been conducted in Asian countries. A search of Chinese and Japanese databases run by others for a different type of systematic review did, however, not bring to light any additional studies eligible for the present meta-analysis (Hyde et al., 2016). Another limitation is the relatively small number of patients with psychotic symptoms, i.e. delusions and hallucinations. This may be related to the fact that only outpatients with mild to moderate dementia were included in the studies and these symptoms are primarily observed in more severe forms of dementia. Although such symptoms may be very burdensome to caregivers, a treatment effect could not be shown. Elation is generally rare in patients with dementia, and so it was in the included trials.

Some improvement of BPSD has been reported for other anti-dementia drugs (Gauthier *et al.*, 2005; Rodda *et al.*, 2009), yet the overall effect sizes found in these meta-analyses appear smaller than those found for EGb 761<sup>®</sup>. However, in a study that directly compared EGb 761<sup>®</sup> and donepezil in patients with mild to moderate AD, essentially similar improvements were observed (Yancheva *et al.*, 2009). In the absence of direct comparisons with other drugs, any conclusion with regard to superiority would therefore seem premature.

In summary, analyses of pooled data from four large studies indicate that *Ginkgo biloba* extract EGb 761<sup>®</sup> is an effective treatment for BPSD in patients with mild to moderate dementia. Moderate benefits in a broad spectrum of symptoms, namely depression/dysphoria, agitation/aggression, aberrant motor behavior, apathy, sleep/nighttime behavior, anxiety, and irritability/lability were observed in more than 50% of patients over treatment periods of 22 to 24 weeks. In addition to improving the patients' symptoms, EGb 761<sup>®</sup> lowers the BPSD-related distress of their caregivers.

### **Conflict of interest**

E. Savaskan, A. von Gunten, and S. Gauthier have not received any financial support for their participation in the present work; they have received speaker honoraria from Dr. Willmar Schwabe GmbH & Co. KG; Heiko Mueller and Robert Hoerr are full-time employees of Dr. Willmar Schwabe GmbH & Co. KG receiving fixed salaries.

### **Description of authors' roles**

S. Gauthier and R. Hoerr developed the concept of the paper. H. Mueller performed the statistical analyses. All authors participated in the interpretation of the data and the writing of the paper. R. Hoerr and E. Savaskan coordinated the writing. All authors have approved the final paper.

### References

- Bachinskaya, N., Hoerr, R. and Ihl, R., for the GOTADAY Study Group. (2011). Alleviating neuropsychiatric symptoms in dementia: the effects of Ginkgo biloba extract EGb 761<sup>®</sup>. Findings from a randomized controlled trial. *Neuropsychiatric Disease and Treatment*, 7, 209–215.
- **Cummings, J. L.** (1997). The Neuropsychiatric Inventory: assessing psychopathology in dementia patients. *Neurology*, 48, S10–S16.
- Cummings, J. L. and McPherson, S. (2001). Neuropsychiatric assessment of Alzheimer's disease and related dementias. *Aging Clinical and Experimental Research*, 13, 240–246.
- **Gauthier, S.** *et al.* (2010). Management of behavioral problems in Alzheimer's disease. *International Psychogeriatrics*, 22, 346–372.
- **Gauthier, S., Wirth, Y. and Möbius, H. J.** (2005). Effects of memantine on behavioral symptoms in Alzheimer's disease patients: an analysis of the Neuropsychiatric Inventory (NPI) data of two randomized, controlled studies. *International Journal of Geriatric Psychiatry*, 20, 459–464.
- Geda, Y. E. *et al.* (2013). Neuropsychiatric symptoms in Alzheimer's disease: past progress and anticipation of the future. *Alzheimer's and Dementia*, 9, 602–608.
- Halama, P., Bartsch, G. and Meng, G. (1988).
  Hirnleistungsstörungen vaskulärer Genese. Randomisierte Doppelblindstudie zur Wirksamkeit von Ginkgo-biloba-Extrakt [Cerebrovascular insufficiency – Placebo-controlled, randomised, doubleblind trial on the effect of Ginkgo biloba extract]. *Fortschritte der Medizin*, 106, 408–412.
- Herrschaft, H., Nacu, A., Likhachev, S., Sholomov, I., Hoerr, R. and Schlaefke, S. (2012). Ginkgo biloba extract EGb 761<sup>®</sup> in dementia with neuropsychiatric features: a randomised, placebo-controlled trial to confirm

the efficacy and safety of a daily dose of 240 mg. *Journal of Psychiatric Research*, 46, 716–723.

Hoerr, R. (2003). Behavioural and psychological symptoms of dementia (BPSD): effects of EGb 761<sup>®</sup>. *Pharmacopsychiatry*, 36, S56–S61.

Hyde, A. J. et al. (2016). Herbal medicine for management of the behavioral and psychological symptoms of dementia (BPSD): a systematic review and meta-analysis. *Journal of Psychopharmacology*, online ahead of print. doi: 10.1177/0269881116675515.

Ihl, R. et al. (2011). Efficacy and safety of a once-daily formulation of *Ginkgo biloba* extract EGb 761<sup>®</sup> in dementia with neuropsychiatric features: a randomized controlled trial. *International Journal of Geriatric Psychiatry*, 26, 1186–1194. doi: 10.1002/gps.2662.

Jönsson, L. et al. (2006). Determinants of costs of care for patients with Alzheimer's disease. International Journal of Geriatric Psychiatry, 21, 449–459.

Karttunen, K. *et al.* (2011). Neuropsychiatric symptoms and quality of life in patients with very mild and mild Alzheimer's disease. *International Journal of Geriatric Psychiatry*, 26, 473–482.

Kim, Y. S., Nibbelink, D. W. and Overall, J. E. (1993). Factor structure and scoring of the SKT test battery. *Journal of Clinical Psychology*, 49, 61–71.

Lyketsos, C. G., Steinberg, M., Tschanz, J. T., Norton, M. C., Steffens, D. C. and Breitner, J. C. S. (2000). Mental and behavioral disturbances in dementia: findings from the cache county study on memory in aging. *American Journal of Psychiatry*, 157, 708–714.

Mahoney, R., Johnston, K., Katona, C., Maxmin, K. and Livingston, G. (2005). The TE4D-Cog: a new test for detecting early dementia in english-speaking populations. *International Journal of Geriatric Psychiatry*, 20, 1172–1179.

McKhann, G. M. et al. (2011). The diagnosis of dementia due to Alzheimer's disease: recommendations from the national institute on aging-Alzheimer's association workgroups on diagnostic guidelines for Alzheimer's disease. *Alzheimer's and Dementia*, 7, 263–269.

McKhann, G., Drachman, D., Folstein, M., Katzman,
R., Price, D. and Stadlan, E. M. (1984). Clinical diagnosis of Alzheimer's disease: report of the NINCDS-ADRDA work group under the auspices of department of health and human services task force on Alzheimer's disease. *Neurology*, 34, 939–944.

McShane, R., Areosa Sastre, A. and Minakaran, N. (2006). Memantine for dementia. Cochrane Database of Systematic Reviews, Issue 2. Art. No.: CD003154. doi: 10.1002/14651858.CD003154.pub5.

Nacu, A. and Hoerr, R. (2016). Neuropsychiatric symptoms in dementia and the effects of Ginkgo biloba extract EGb 761<sup>®</sup> treatment: additional results from a 24-week randomized, placebo-controlled trial. *Open Access Journal of Clinical Trials*, 8, 1–6.

Napryeyenko, O., Borzenko, I. and the GINDEM-NP Study Group. (2007). Ginkgo biloba special extract in dementia with neuropsychiatric features. A randomised, placebo-controlled, double-blind clinical trial. *Arzneimittelforschung*, 57, 4–11.

Nikolova, G., Yancheva, S., Raychev, I. and Hoerr, R., for the PLAGIN Study Group. (2013). Ginkgo biloba extract in dementia: a 22-week randomised, placebo-controlled, double-blind trial. *Bulgarian Neurology*, 14, 139–143.

**Porsteinsson, A. P. et al.** (2014). Effect of citalopram on agitation in Alzheimer disease. The CitAD randomized clinical trial. *Journal of the American Medical Association*, 311, 682–691.

Rodda, J., Morgan, S. and Walker, Z. (2009). Are cholinesterase inhibitors effective in the management of the behavioral and psychological symptoms of dementia in Alzheimer's disease? A systematic review of randomized, placebo-controlled trials of donepezil, rivastigmine and galantamine. *International Psychogeriatrics*, 21, 813–824.

Román, G. C. *et al.* (1993). Vascular dementia: diagnostic criteria for research studies. Report of the NINDS-AIREN International Workshop. *Neurology*, 43, 250–260.

Scripnikov, A., Khomenko, A. and Napryeyenko, O., for the GINDEM-NP Study Group. (2007). Effects of Ginkgo biloba extract EGb 761<sup>®</sup> on neuropsychiatric symptoms of dementia: findings from a randomised controlled trial. *Wiener Medizinische Wochenschrift*, 157, 295–300.

Tan, L. et al. (2015). Efficacy and safety of atypical antipsychotic drug treatment for dementia: a systematic review and meta-analysis. *Alzheimer's Research and Therapy*, 7, 20.

**Tchantchou, F.** *et al.* (2009). Stimulation of neurogenesis and synaptogenesis by bilobalide and quercetin via common final pathway in hippocampal neurons. *Journal of Alzheimer's Disease*, 18, 787–798.

Tun, S. M., Murman, D. L., Long, H. L., Colenda, C. C. and von Eye, A. (2007). Predictive validity of neuropsychiatric subgroups on nursing home placement and survival in patients with Alzheimer disease. *American Journal of Geriatric Psychiatry*, 15, 314–327.

von Gunten, A., Schlaefke, S. and Überla, K. (2016). Efficacy of Ginkgo biloba Extract EGb 761<sup>®</sup> in dementia with behavioral and psychological symptoms: a systematic review. *The World Journal of Biological Psychiatry*, 18, 622–633.

Weinmann, S., Roll, S., Schwarzbach, C., Vauth, C. and Willich, S. N. (2010). Effects of Ginkgo biloba in dementia: systematic review and meta-analysis. *BMC Geriatrics*, 10, 14.

Yancheva, S., Ihl, R., Nikolova, G., Panayotov, P., Schlaefke, S. and Hoerr, R. for the GINDON Study Group. (2009). Ginkgo biloba extract EGb 761<sup>®</sup>, donepezil or both combined in the treatment of Alzheimer's disease with neuropsychiatric features: a randomised, double-blind, exploratory trial. *Aging and Mental Health*, 13, 183–190.