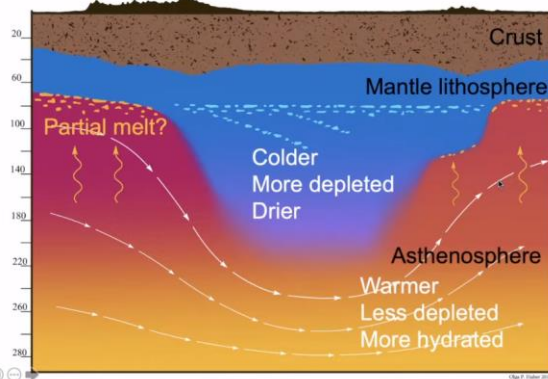


What properties make the lithosphere “strong” and the asthenosphere “weak”?



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Duke University

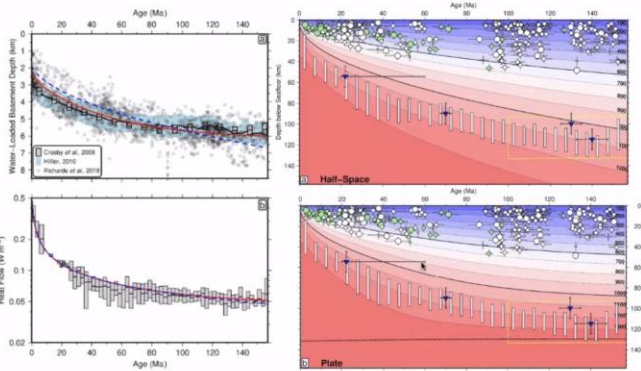


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Temperature key in defining the lithosphere: Oceans



Richards et al. (2019)



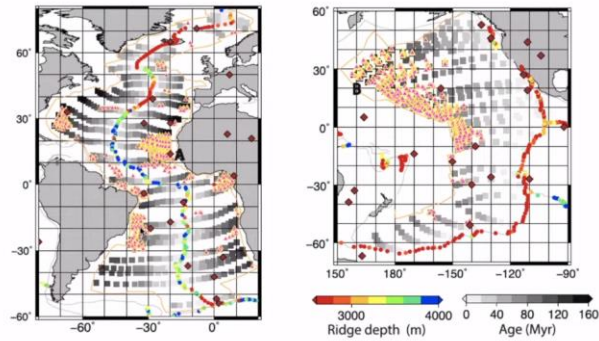
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- Half-space cooling fails where ridge has lower mantle potential temperature
  - Thicker dehydrated, high viscosity oceanic lithosphere delays onset of small-scale convection
- Ma & Dalton (2019)



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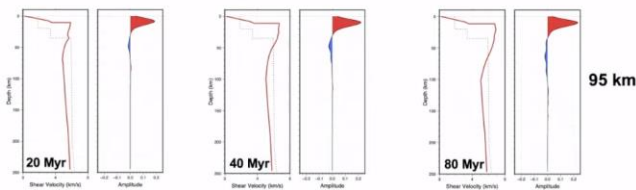
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## How are Plates Made and Preserved?

### Sp receiver functions

Vs model from Pacific Rayleigh wave phase velocities: 85-95 km asymptotic plate thickness



- Temperature alone cannot produce all converted and reflected wave observations
- Indicates zones with melt and/or volatiles in asthenosphere
- Important new constraints from oceanic seismic arrays – Jim Gaherty's talk

Fischer et al. (2020)

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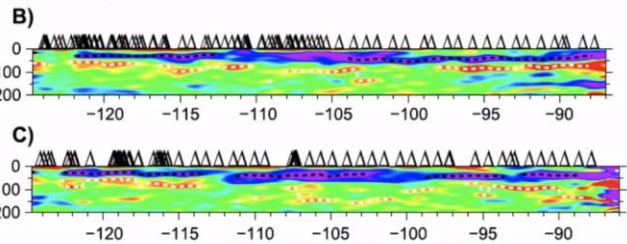
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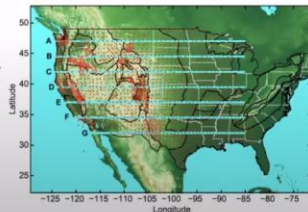
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## Continents



## NSF EarthScope Transportable Array

Hansen et al. (2015)



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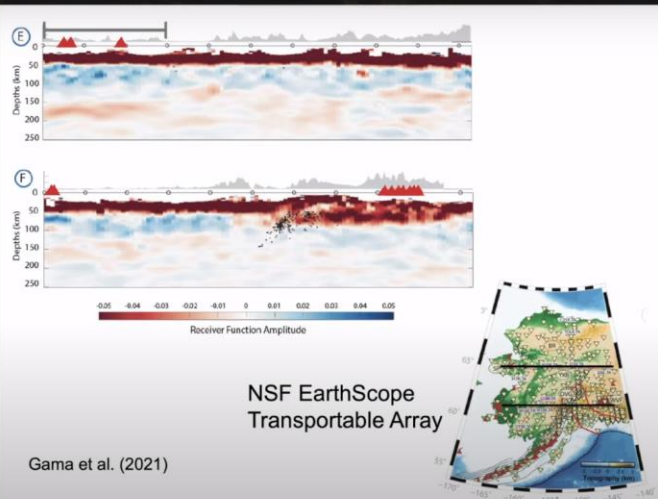
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Gama et al. (2021)

## NSF EarthScope Transportable Array



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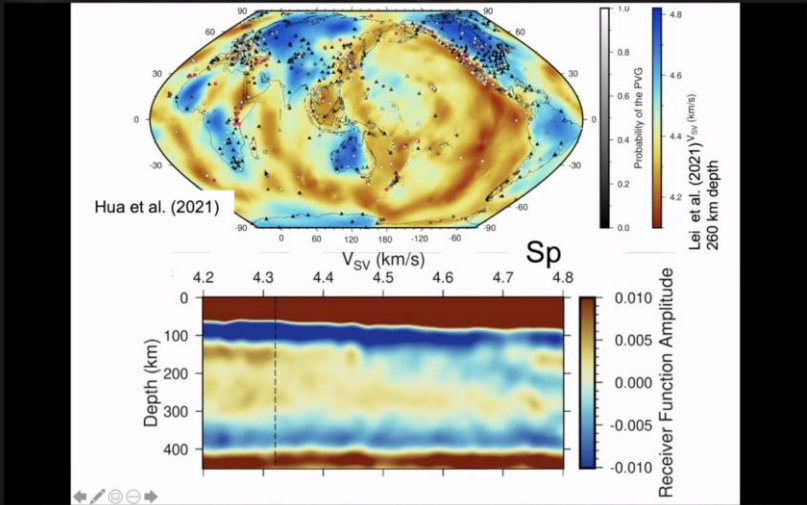
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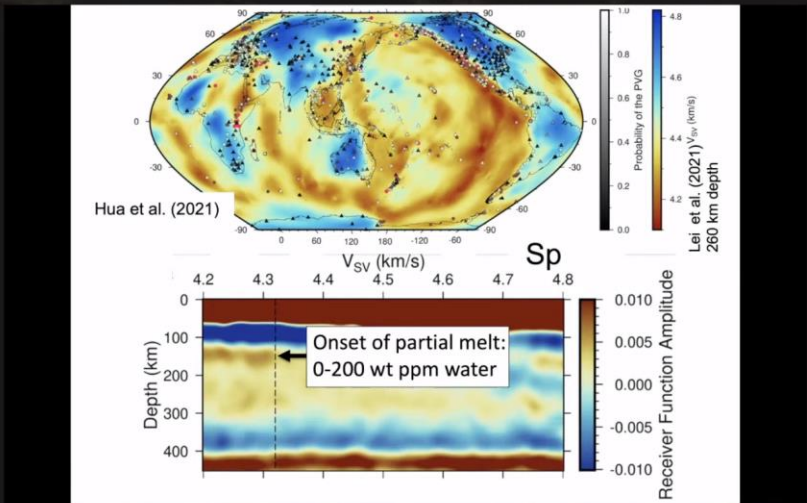




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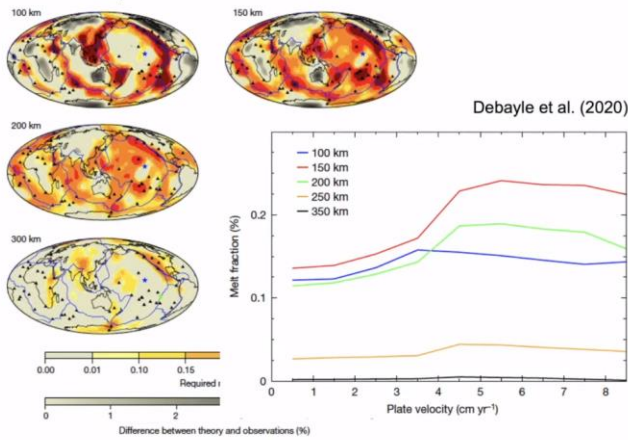


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## Asthenospheric melt from attenuation



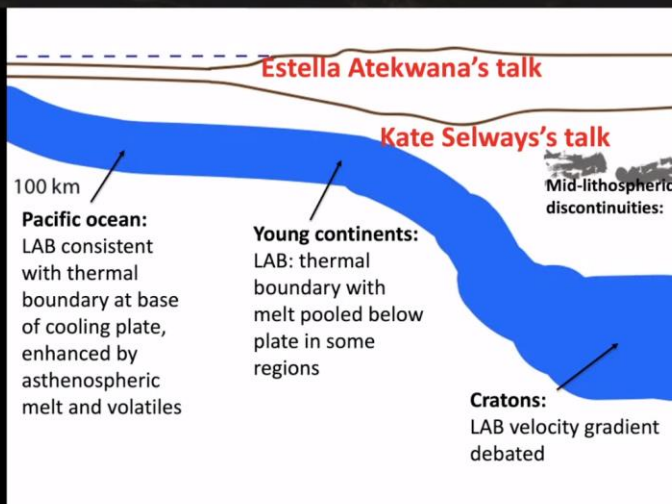
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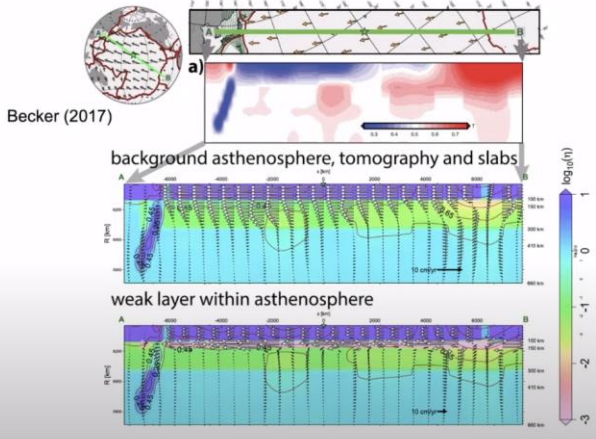


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## How does lithosphere couple to asthenosphere?



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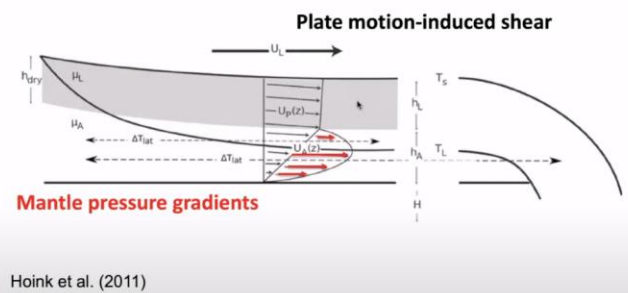


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## What drives flow in the asthenosphere?



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# How are Plates Made and Preserved?

## Key questions

- What properties make the lithosphere “strong” and the asthenosphere “weak”?  
*Temperature fundamental; asthenosphere melt/volatiles in some regions of oceans and young continents*
- How does asthenospheric melt affect viscosity?
- What drives flow in the asthenosphere? How does lithosphere couple to asthenosphere?  
*Plate-shear and pressure-driven flow important; significant coupling despite low asthenosphere viscosity*
- How do localized plate boundaries develop?
- How does the solid Earth modulate changes in the cryosphere and hydrosphere? How will viscosity effect future sea-levels?

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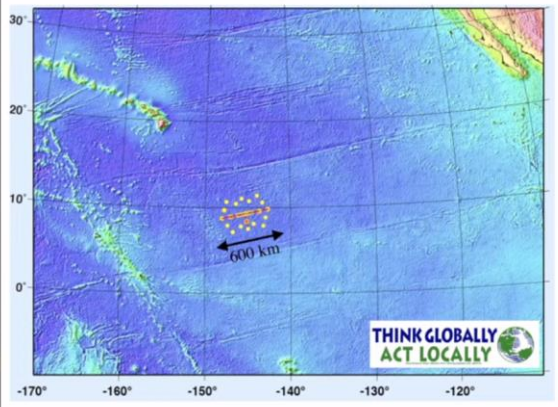
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## Philosophical Framework: using new seafloor observational tools to improve imaging of plate and mantle dynamics



- Ocean basins provide a clear view into the mantle
  - Relatively homogeneous crustal structure
  - Relatively simple geological history
- Localized (high resolution) array analyses characterize structure missed at basin or global scale
  - Surface-wave tomography and anisotropy
  - Body-wave tomography
  - Receiver functions
  - Shear-wave splitting
  - Electrical conductivity



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Northern Arizona University



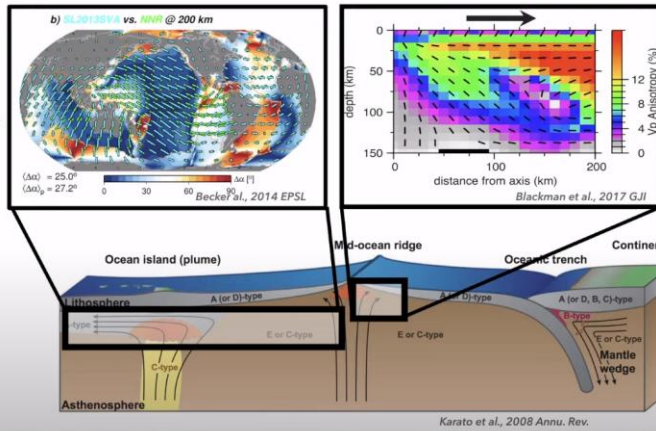
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Advance 1: new constraints on mid-ocean ridge dynamics, asthenosphere flow, and deformation mechanisms from seismic anisotropy

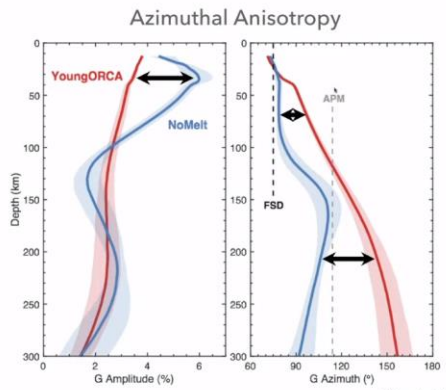
- Working hypotheses:
- 1) MOR dynamics dominated by corner flow
  - 2) Asthenosphere deformation dominated by plate shear
  - 3) Olivine rheology dominated by dislocation creep



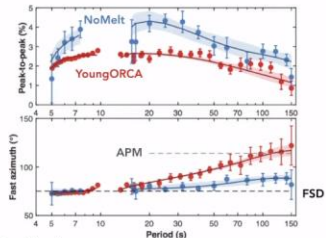
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Advance 1: new constraints on mid-ocean ridge dynamics, asthenosphere flow, and deformation mechanisms from seismic anisotropy



- Lithosphere: NoMelt dominated by corner flow, while yORCA rotates away from FSD
- Asthenosphere: neither dominated by absolute plate motion (APM)
- Both differ significantly over ~2000 km length scale



Russell et al., in preparation

FSD = fossil spreading direction  
APM = absolute plate motion

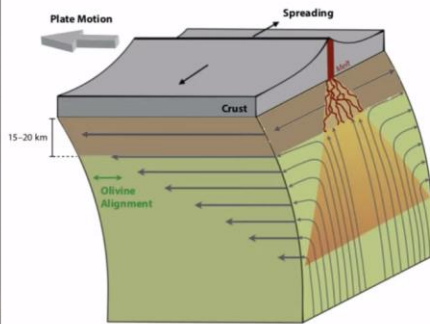


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## Advance 1: new constraints on mid-ocean ridge dynamics



Several ocean-array observations suggests strong non-corner-flow component:  
 NW Pacific (*Takeo et al., 2018*); SW Pacific (*Takeo et al., 2016; Phillips et al., in prep.*); W. Atlantic (*Russell et al., in review*); Juan de Fuca (*Vanderbeek and Toomey, 2017; Eilon and Forsyth, 2020*)

Lithospheric anisotropy suggests MOR deformation combines corner flow with plate and/or mantle driven shear

- Controlled by relative vs. absolute plate velocities?
- Buoyancy or pressure-driven flow beneath ridge?



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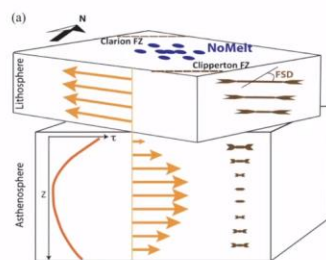


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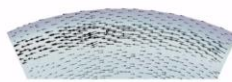
## Advance 1: new constraints on asthenosphere flow



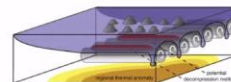
Lin et al., *Nature*, 2016



Nagel et al., *Tectonics*, 2008



Semple and Lenardic, *EPSL*, 2018



Ballmer et al., *EPSL*, 2010

Asthenosphere anisotropy suggests deformation is controlled by local variations in pressure- and/or buoyancy driven flow

- Ridge push on asthenosphere
- Hotspot-driven flow
- Return flow from trenches
- Small-scale convection

Significant variations over ~2200 km horizontal distance suggests relatively small-scale phenomena.



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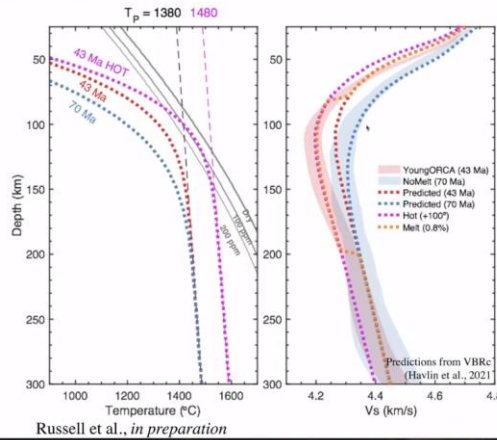
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## Advance 2: unraveling temperature, volatile, and melt contributions to the asthenosphere

- NoMelt and YoungORCA have significant differences in asthenosphere velocity (depth of 80-200 km)
- NoMelt velocities well explained by a "normal" mantle geotherm with potential temperature  $T_p$  of 1380°C.
- YoungORCA requires:
  - Hot mantle with  $T_p=1480^\circ\text{C}$ ; or
  - $\sim 0.8\%$  partial melt at 80-200 km depth; or
  - Some combination. In all cases, volatiles likely required to produce melt. Could volatiles alone also reduce velocity?
- Distinguishing between these processes remains a work in progress.
- Ocean arrays provide a path forward



Russell et al., *in preparation*

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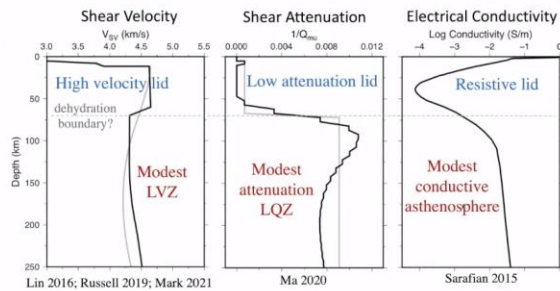
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## Advance 2: unraveling temperature, volatile, and melt contributions to the asthenosphere



Coupled analyses provide a way forward. Consider NoMelt:

- $V_s$  and attenuation should co-vary predictably with temperature
  - For NoMelt,  $V_s$  is too low relative to attenuation
  - Indicator of volatiles (Karato, 2012)
- Conductivity extremely sensitive to melt, can provide upper bound
  - For NoMelt, melt  $< 0.1\%$
- Petrology requires self consistency between temperature, volatiles, and melt
  - For NoMelt, subsolidus unless significant volatile content

NoMelt author's conclusions:  $\text{H}_2\text{O}$ -rich asthenosphere is consistent with the observations (Sarafian et al, *G3*, 2015; Ma et al., *EPSL*, 2020; Mark et al., *JGR*, 2021)

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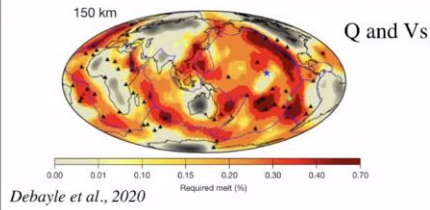
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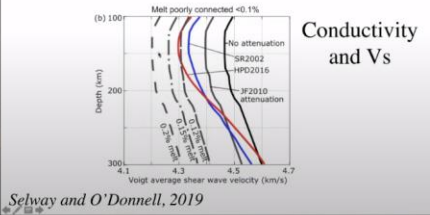
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Advance 2: unraveling temperature, volatile, and melt contributions to the asthenosphere



Alternative: retained melt controls properties

- Make two key lab-based assumptions:
- H<sub>2</sub>O has no impact on seismic properties (Cline et al., 2106).
  - melt has strong effect on Vs and no effect on Q (Chantel et al., 2016)



Conductivity and Vs

Global Q/Vs analysis suggest range of melt throughout the oceanic asthenosphere. At NoMelt, melt < 0.1%

Joint conductivity / Vs analysis at NoMelt also consistent with ~0.1% melt retained at subsolidus temperatures



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Open Questions and Concluding Thoughts

- How do we resolve retained melt versus hydration?
  - Joint Vs / attenuation / conductivity analyses in a wider range of ocean-mantle environments, including regions where more extensive melt is expected.
  - Continued laboratory evaluation of the effect of volatiles (H<sub>2</sub>O, CO<sub>2</sub>) and melt on seismic velocities and attenuation
- Ocean arrays are providing a fundamentally new perspective on critical processes underlying plate and mantle dynamics, at previously unresolved length scales
- Investment in ocean arrays will continue to illuminate the dominant processes controlling plate and mantle dynamics at a variety of scales



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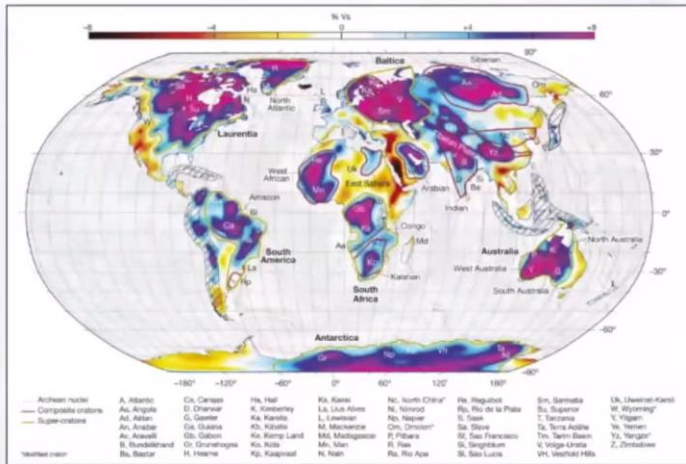


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# Seismic tomography: Fast, cold cratons



Pearson et al., 2021, *Nature*

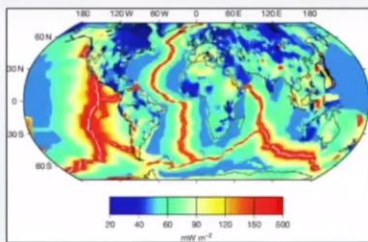
## Strongest controls on seismic velocity:

- Temperature
- Major element chemistry (iron/magnesium content)
- Fluids and melt



# How are Plates Made and Preserved? Seismic tomography: Fast, cold cratons

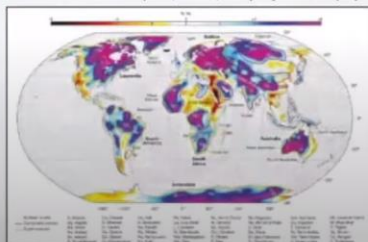
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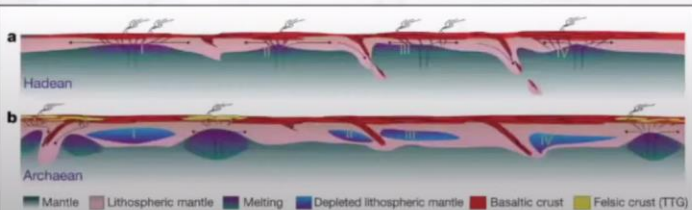
Mareschal and Juapart, 2011, *Ency. of S.E. Geophys.*

## Seismic tomography models have shaped our view of lithospheric composition and evolution:

- Melting and depletion thickens lithosphere
- Thicker lithosphere has cooler geotherms
- Composition and temperature help stabilize lithosphere

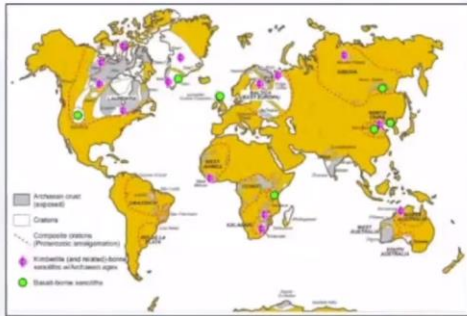


Pearson et al., 2021, *Nature*

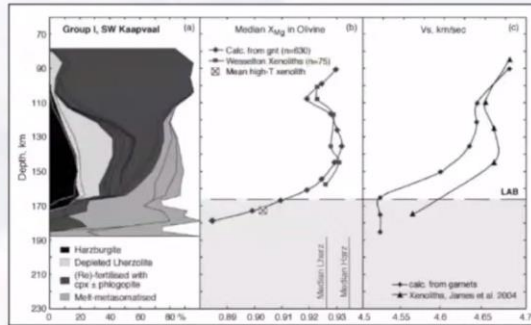


Capitano et al., 2020, *Nature*

# Seismic tomography and mantle xenoliths



Aulbach et al. 2016, *Reviews in Min. & Geochem.*



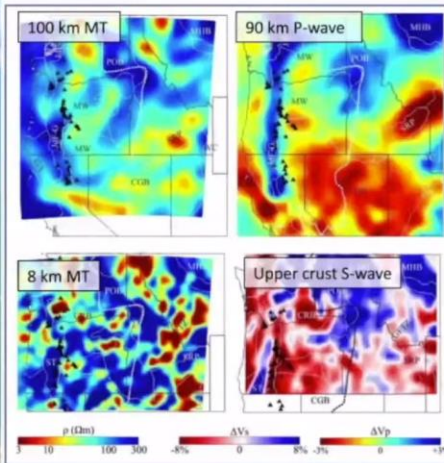
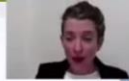
Griffin et al., 2009, *Journal of Petrology*

## Xenolith data:

- Broadly agree with seismic lithospheric thickness and iron depletion estimates.
- Are more heterogeneous and slower than seismic estimates.
- Are xenoliths unrepresentative? Are seismic models?



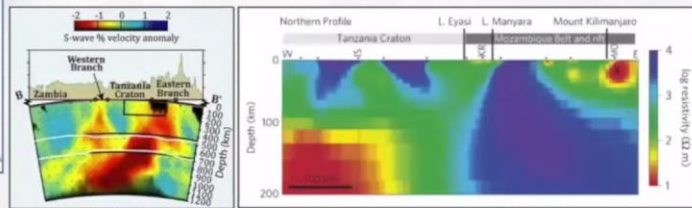
# Seismic tomography and magnetotellurics



Bedrosian and Feucht, 2014, *EPSL*

## Seismic and MT models commonly show different features.

- MT is sensitive to:
  - Temperature
  - Hydrogen content of nominally anhydrous minerals (most of the mantle)
  - Presence and geometry of minor conductive phases, including hydrous minerals like amphibole and phlogopite
- MT informs us about the interaction of fluids with the lithosphere

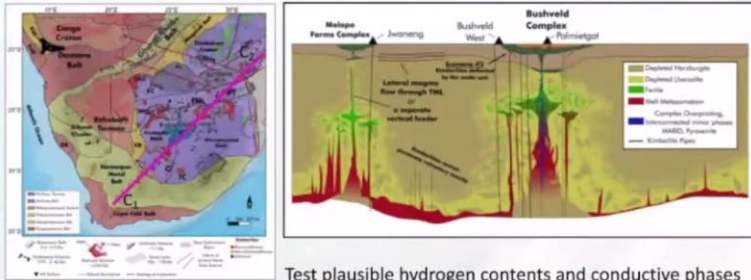


Mulibo and Nyblade, 2013, *GRL*

Selway, 2015, *Nature Geoscience*



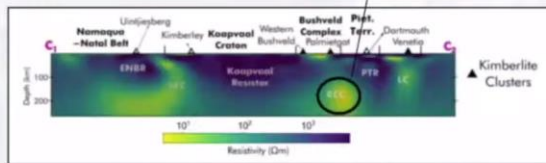
# Seismic tomography and magnetotellurics



Seismic, MT and xenolith data combined give:

- Better geotherm constraints
- Constraints on mantle melting and depletion (Mg#, hydrogen content)
- Constraints on mantle refertilization and metasomatism (hydrogen, hydrous minerals).

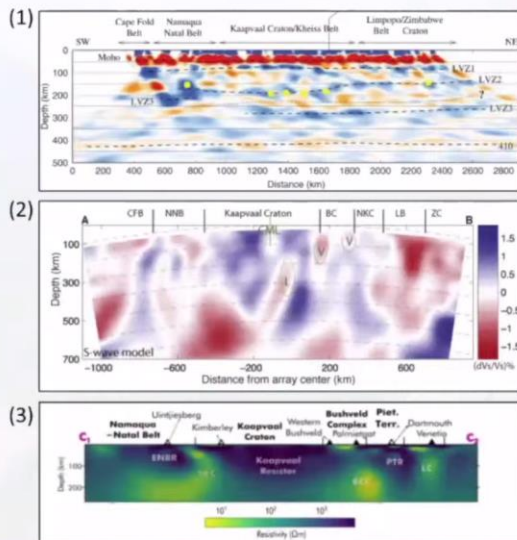
Test plausible hydrogen contents and conductive phases



Özaydin et al., in submission, JGR



# Seismic S-wave receiver functions



S-wave receiver functions give:

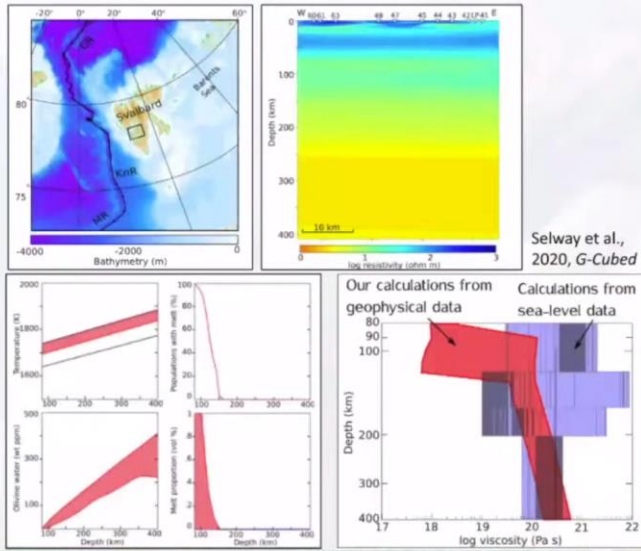
- Finer detail than seismic tomography
- Layers rich in hydrous minerals
- Changes in anisotropy
- Fluids and melt



- (1) Sodoudi et al., 2013, *G-Cubed*
- (2) Youssef et al., 2015, *EPSL*
- (3) Özaydin et al., in submission, *JGR*

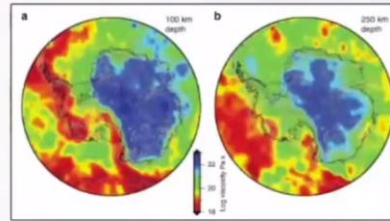


# Application to Glacial Isostatic Adjustment

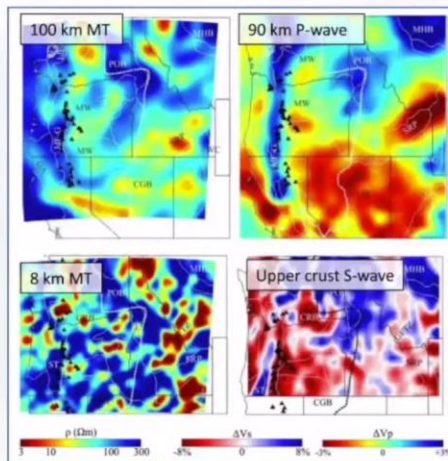


## Mantle viscosity and Glacial Isostatic Adjustment:

- Combined seismic and MT models give better geotherm constraints
- MT constrains hydrogen content
- Future applications to the Greenland and Antarctic ice sheets



# State of play



Bedrosian and Feucht, 2014, *EPSL*

## In the last decade:

- Major co-located seismic and MT surveys have highlighted structural and compositional complexity in continental lithosphere.
- Mineral physics experiments allow us to constrain those heterogeneities, including hydrogen content, metasomatic minerals and anisotropy.

## In the next decade:

- Continued expansion of coverage, especially of MT
- Continued mineral physics experiments
- Improved integration of lithospheric data

## Continental rifts are an integral component of the plate tectonic paradigm

- Initial stages of continental breakup
  - Precursors of passive margins
- Provide 1/3 of global hydrocarbon resources and significant source of geothermal energy
  - Sediments host records of past climate
- Important contributors to warming climate from CO<sub>2</sub> release
- Volcanic and earthquake hazards

L. George Geothermal Field, Uganda



Oil exploration, Albertine Rift, Uganda



Nyamulagira 2012 flank eruption feeding distant lava flows (VolcanoDiscovery)

Picture credit, K. Fontijn

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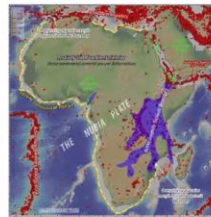
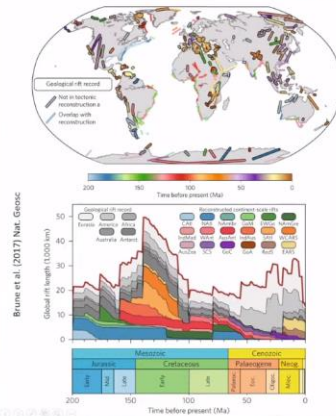
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## Continental rifts have occurred through out geologic history



- A modern tectonic plate appears to commonly have the following domains:
- 1) Active Plate Boundaries
  - 2) Passive Continental Margins
  - 3) Active Continental Margins
  - 4) Intraplate deformation zones: i) Relatively stable continental interior (intra-continental passive deformation zones) and ii) Active Juvenile or Mature Tectonic Boundaries
  - 5) Regions of passive margin overprint by juvenile tectonic boundary.



Modified after Kolawole et al. (2020, BR);  
Mana et al. (2015, JGS)



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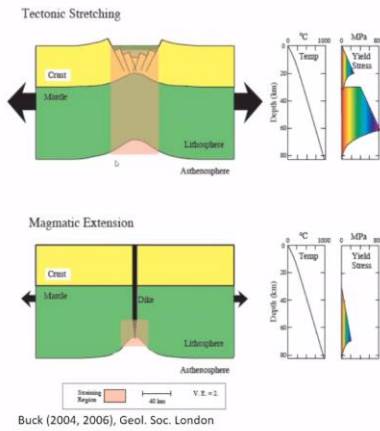


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## Breaking up needs help



- Force required to extend thick lithosphere is greater than is geologically available!!



Breaking up is hard to do!

- Injection of dikes thermally weakens the lithosphere reducing the force required for extension



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## Fundamental Questions

- What are the softening/weakening mechanisms that enable the formation of magma poor rifts?
  - What is the role of pre-existing structures (lithospheric heterogeneity/tectonic memory) in rift initiation?
  - Has the lithosphere beneath magma poor rifts been thermally softened through magmatic processes that are yet to breach the surface?



5



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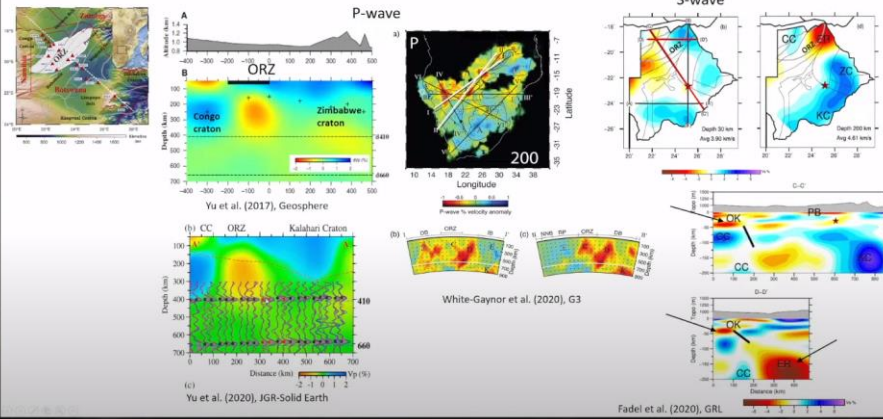






# How are Plates Made and Preserved?

## New insights from the Okavango Rift Zone: Upper mantle structure



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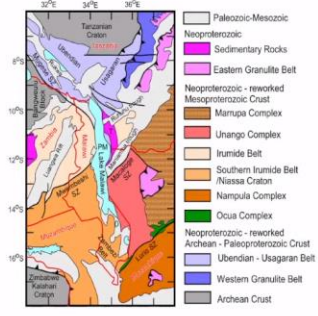
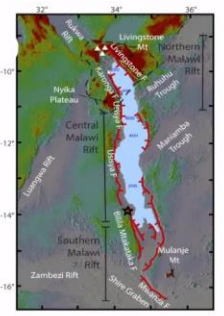
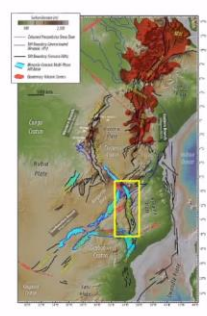
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## Malawi Rift



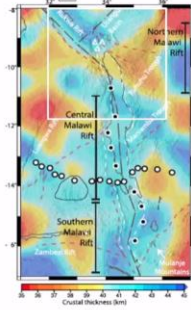
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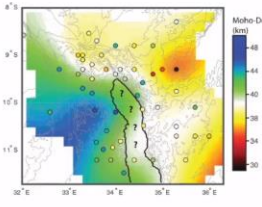
## New insights from the Malawi Rift Zone: crustal structure

Moho depth – gravity (PRIDE)



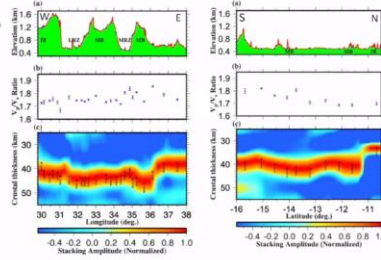
Njinju et al. (2019), Tectonics

Moho depth – seismic (SEGMENT)



Accardo et al. (2020), G3

Moho depth – seismic (PRIDE/SAFARI)



Sun et al. (2021) Gond Res.



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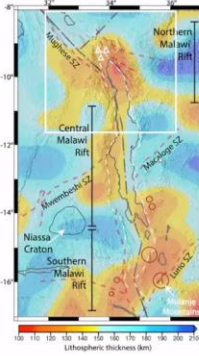
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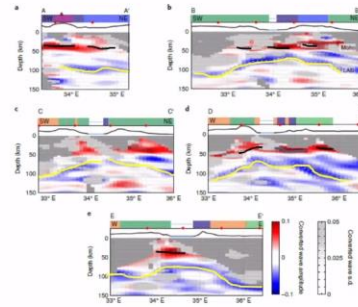
## New insights from the Malawi Rift Zone: upper mantle structure

Lithospheric thickness – gravity



Njinju et al. (2019), Tectonics

Lithospheric structure – seismic



Hopper et al. (2020) Nat. Geosc.



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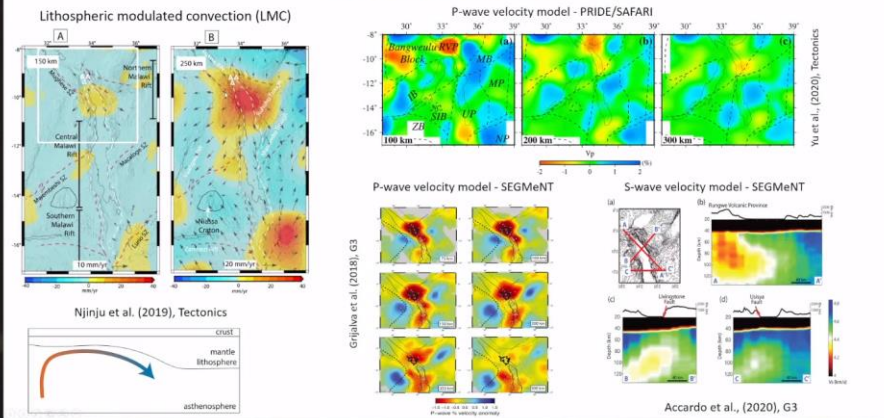
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# New insights from the Malawi Rift Zone: Mantle structure and flow



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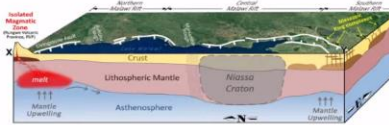
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## How are Plates Made and Preserved?

### What did we learn?

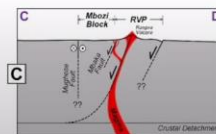
- Coupled Rifting in the North:**
  - Thinned crust & mantle lithosphere
  - Strong alignment of rift with basement structures
  - Magmatic centers align with faults that exploited pre-rift shear zones
  - Magma-assisted rifting
- Decoupled Rifting in Central Malawi:**
  - Thick crust, but moderately-thinned lithosphere
  - Rift cuts across basement structures
- Southward flow of melt from the north may facilitate rifting of magma-poor segments further south



possible decompression melting from upwelling due to edge-driven convection



Njinju et al. (2019), Tectonics



Heilman et al. (2019), Tectonics

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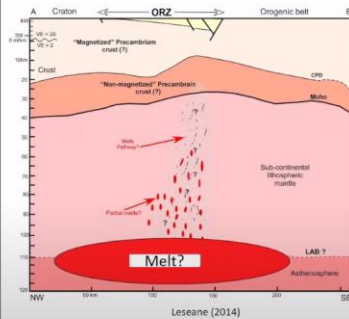


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## Summary

During early-stage continental rifting,



1. The presence of magma/melt is essential for pronounced lithospheric weakening
2. **Even in magma-poor rifts, melt is present at depth, but is yet to arrive at the surface**
3. Crustal-scale basement structures (shear zones) facilitate fault localization and may serve as conduit for magma/melt ascent
4. Moving forward:
  - joint inversion schemes that integrate newly available geophysical data sets
  - new numerical models that incorporate the insights from geophysical imaging
  - More observations e.g., New DRIAR Project in Uganda

## Mechanisms for Shear Localization in Earth's Materials

- Shear heating
- Presence of fluids
- Viscous anisotropy
- Phase rearrangement
  - Macroscale: interconnected layers of a weaker phase
  - Grain-scale: mineral mixing and pinning
- Dynamic recrystallization
  - Formation of new, smaller grains
  - Deformation-induced grain boundary migration



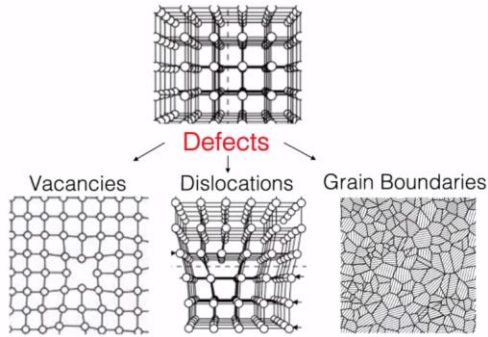
**Elvira Mulyukova**  
Northwestern University



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# What is a Rock and How Does it Deform?



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Northwestern University

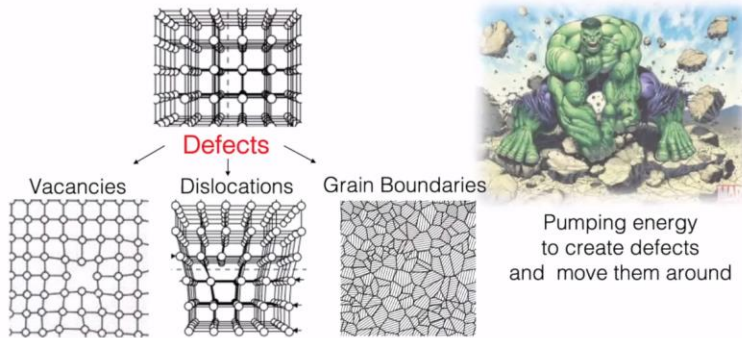


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# What is a Rock and How Does it Deform?



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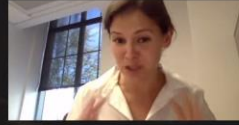
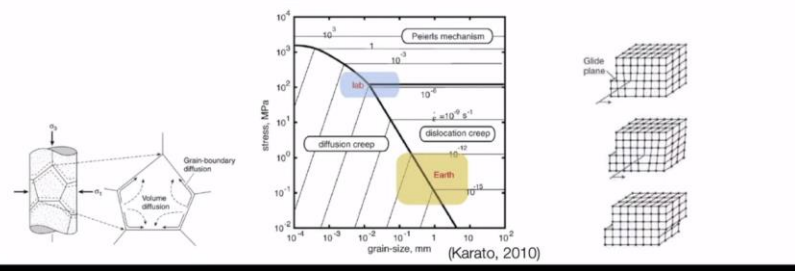
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# Micromechanisms of Rock Creep

$$\text{Defect abundance} * \text{Defect velocity} * \text{Quantum of strain} = \text{Strain rate}$$



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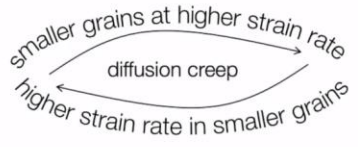


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# Weakening by Grain Damage

$$\text{Defect abundance} * \text{Defect velocity} * \text{Quantum of strain} = \text{Strain rate}$$



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# Microphysics of Rock Deformation

How is energy partitioned between forming/moving different defects?



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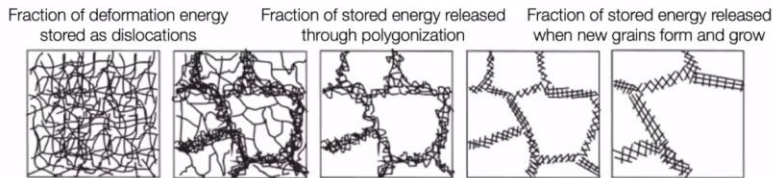
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# Microphysics of Rock Deformation

How is energy partitioned between forming/moving different defects?



(Humphrey & Hatherly, 2004)



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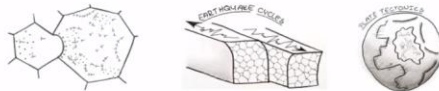
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## Take Home Messages

- Lithosphere/mantle deformation is prone to localization due to different micromechanisms
  - Defects and microstructure play a key role in strain localization
- Microstructural damage sustains significant weakening across tectonic plate boundaries
  - Observed in the field, laboratory, founded in physical theory
- Coupled evolution of dislocations and grain boundaries impose a unique signature on transient rheological behavior



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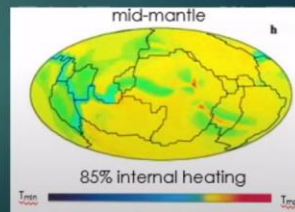
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### Feedback between plates and the interior

Overlaying the black line weak zone map emphasizes **both dynamically determined and imposed features** that agree with observations

- 1) Mantle downwellings are generated at convergent plate boundaries.
- 2) Plate boundaries associated with divergence are not underpinned by active upwellings (plumes), but intra-plate plumes are present (the red).

It is important to note the appearance of active dynamic plumes. They can play an influential role in a model if trying to obtain self-generated plates.



Monnereau & Quere, 2001, EPSL



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University of Toronto Scarborough

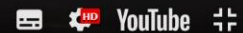


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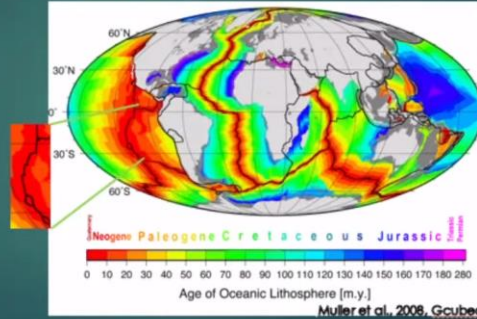
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## Divergent and convergent boundaries differ in both form and thermal structure

- ▶ Recognition that there is a dichotomy in the structure of the boundaries where plates are **generated** and **consumed** suggests that exhibiting this difference should be one requirement of convection models that are truly **generating** plates.
- ▶ The former boundaries typically have an arcuate form while the latter are constructed from linear spreading segments offset by shear dominated bands (i.e., transform faults).



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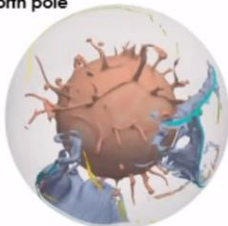


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Over North pole



Over South pole



## Summary of model characteristics

- ▶ Stress induced weakening can produce narrow and focused plate boundaries in the stiff lithosphere generated in spherical mantle convection models.
- ▶ As Earth-like convective vigor is approached, transform-like offsets can appear along divergent segments of the plate boundaries.
- ▶ In contrast, convergent plate boundaries remain arcuate and distinct in structure.
- ▶ Plumes are present but show no clear correlation with the location of divergent boundaries.



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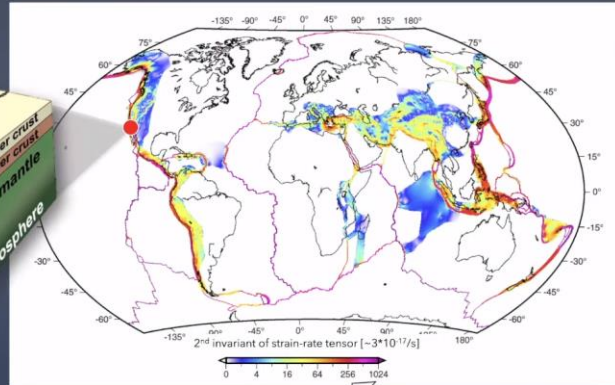
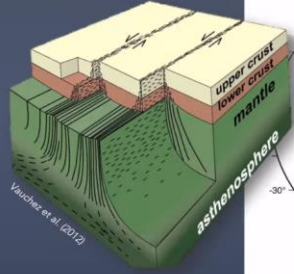


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Plate Boundary Ductile Shear Zones



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Washington University in St. Louis



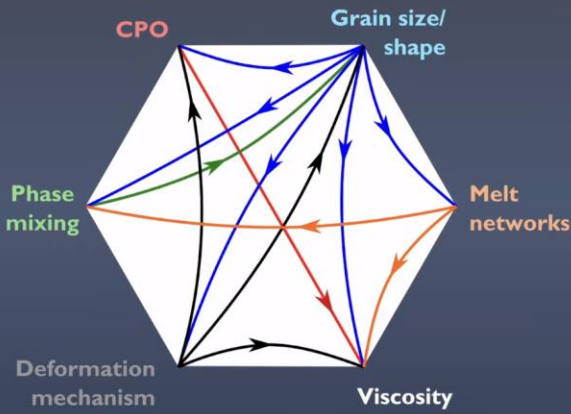
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Deformation microstructures:

- 1) Influence evolution of other microstructures
- 2) Affect rheology
- 3) Evolve with strain



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Washington University in St. Louis

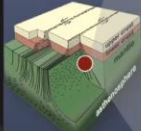


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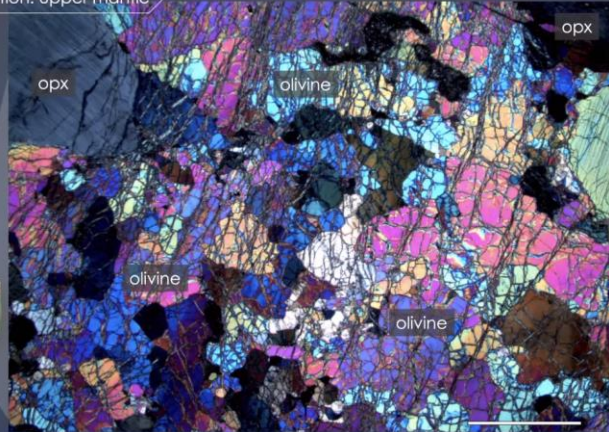
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Mylonite formation: upper mantle



Vauchez et al. (2012)

Lanzo Massif



scale = 2 mm

Linckens et al. (2011, 2015)



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Washington University in St. Louis



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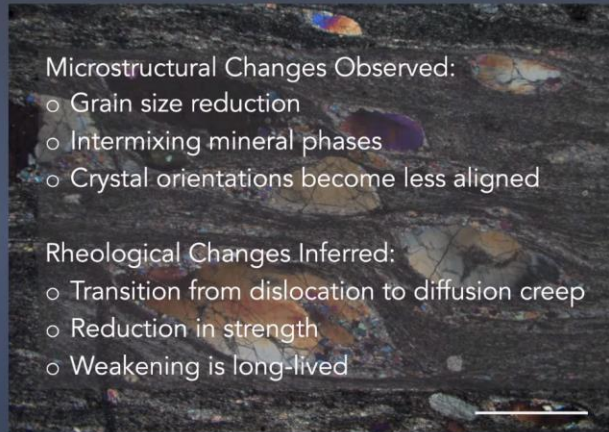
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Microstructural Changes Observed:

- o Grain size reduction
- o Intermixing mineral phases
- o Crystal orientations become less aligned

Rheological Changes Inferred:

- o Transition from dislocation to diffusion creep
- o Reduction in strength
- o Weakening is long-lived



scale = 2 mm

Lanzo Massif

Linckens et al. (2011, 2015)



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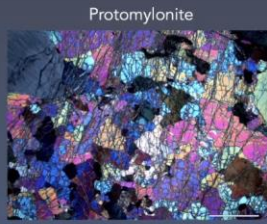
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To reproduce evolution from protomylonite to mylonite/ultramylonite (microstructural "damage"):

1. Grain size must be reduced (by reaction, phase transformation, or dynamic recrystallization)
2. Phases must become intermixed (mutually pinning grain-size and suppressing grain-growth)

Linckens et al 2011; 2014  
 Bercovici and Ricard (2012; 2014)  
 Mulyukova and Bercovici (2017; 2019; etc.)  
 Cross and Skemer (2017); Cross et al., (2020)



Protomylonite



Ultramylonite

microstructural "damage"



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Washington University in St. Louis



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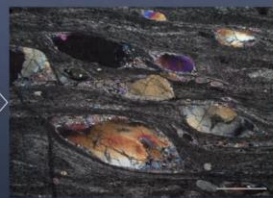
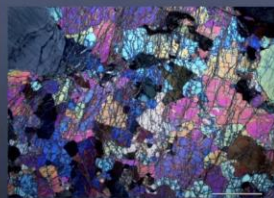
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### Conclusions:

The formation of mylonites and the associated rheological weakening requires the protracted evolution of deformation microstructures.

Plate boundary shear zones evolve slowly towards steady state, and exhibit transient rheologies over long geologic intervals.

The weakening required for Earth-like plate tectonics likely requires more than one set of physical processes – one to initiate and one to maintain.



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### Strain localized in gabbro dike in harzburgite (Oman Ophiolite)

Grain size 10 – 50 microns

Grain size 500 – 1000 microns

$T \sim 600^{\circ}\text{C}$ , stress 100-200 MPa

Homburg, Hirth & Kelemen, 2010



Greg Hirth  
Brown University



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### To reproduce evolution from protomylonite to mylonite/ultramylonite (microstructural "damage")

Phil Skemer & Elvira Mulyukova's talks

Surface tension induces grain boundary motion and grain growth

High surface energy → Low surface energy

Dislocation energy induces grain boundary motion

phase 1  
phase 2

microstructural "damage"

grain boundary  
phase boundary

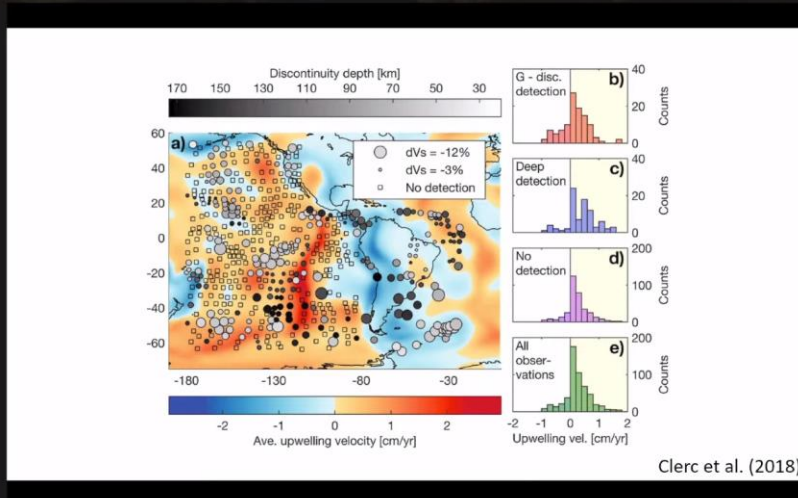


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### Perspectives

- Integrative analysis supports applicability of viscous flow laws over wide range of conditions in the lithosphere.
- Points to solutions to discrepancies that emphasize multi-scale modeling approach.
- Continued progress through integration of new lab data, field observations and geophysical observations.
- Leverage new technology and techniques to continue improving lab-constraints.



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