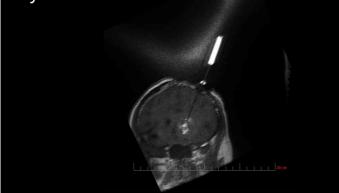
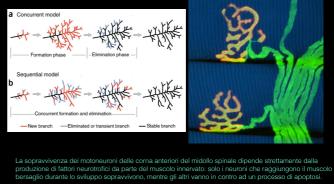
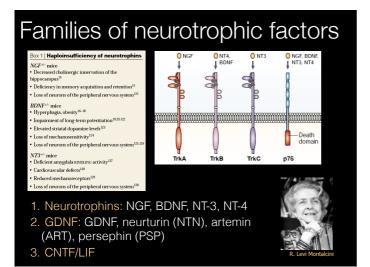
Gene therapy for the Nervous System



I fattori neurotrofici



I fattori neurotrofici nell'adulto controllano la sintesi proteica e la sintesi di neurotrasmettitori.



Alzheimer's Disease 7% of people over 65, 40% over 80 with AD brain

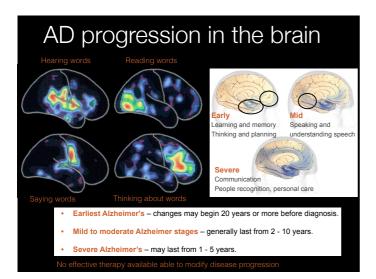


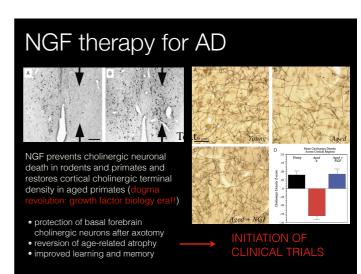
How the two brains compare

Dramatic loss of cholinergic neurons



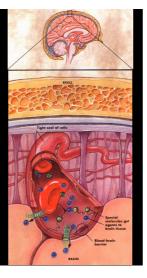
- The cortex shrivels up, damaging areas involved in thinking, planning and remembering.
- Shrinkage is especially severe in the hippocampus, an area of the cortex that plays a key role in formation of new memories
- Ventricles (fluid-filled spaces within the brain) grow larger.

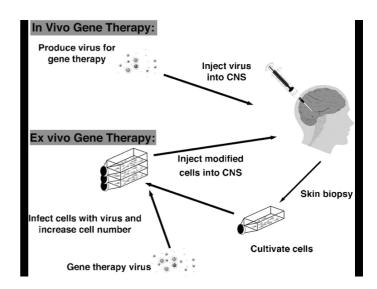




- NGF is relatively large and polar molecule compared with most drugs
- NGF does not cross the BBB: it requires CNS administration
- Adverse effects arising from cells (other than cholinergic neurons) expressing NGF receptors: pain (stimulation of dorsal root ganglion nociceptive neurons), weight loss, sympathetic axon sprouting in the cerebral vasculature, Schwann cell proliferation.

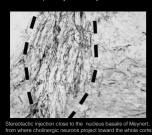






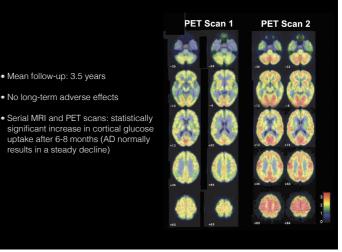
Ex Vivo NGF Gene Therapy for AD

- Skin biopsy to generate primary fibroblast cultures
- MLV-NGF vector to secrete hNGF within a range of 50-75 ng/106 cells/d (3 dose cohorts enrolled)
- Initially subjects were treated in a sedated but wakeful state but 2 subjects moved during injection resulting in intraparenchymal hemorrhage. Subsequently, all subjects underwent general anesthesia.



 One individual died 5 weeks post NGF delivery: cholinergic axons robustly extended toward the site of NGF gene transfer

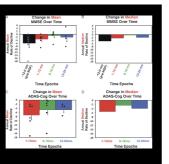
Nat Med. 2005;11:551-555



 Cognitive tests not conclusive but suggestive of possible reduction in rate of decline (Mini-Mental Status Examination and AD Assessment Scale-Cognitive sub-component)

Conclusions

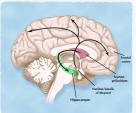
- 1.NGF can be delivered safely to the brain over an extended period using gene delivery but needs general anesthesia or deep sedation
- 2. Degenerating cholinergic neurons of the human brain exhibit trophic response to NG
- 3. Broad cortical regions demonstrate enhance glucose metabolism
- 4. Larger, controlled, blinded clinical trials of NGF delivery are warranted



Stereotactic gene delivery of AAV2-NGF for AD



Sangamo BioSciences announces positive data from the Phase 1 clinical trial of CERE-110 (AAV-NGF), a gene therapy approach designed to deliver NGF for AD treatment. This novel product was developed by Ceregen which was recently acquired by Sangamo.



Results AV2-NGF was safe and well-tolerated for 2 years. Positron emission tomographic imaging and neuropsychological testing showed no evidence of accelerated decline. Brain autopsy tissue confirmed long-term, targeted, gene-mediated NGF expre

Conclusions This trial provides important evidence that bilateral stereotactic administration of AAV2-NGF to the nucleus basalis of Meynert is feasible, well-tolerated, and able to produce long-term, biologically active NGF expression, supporting the initiation of multicenter, double-bild, sham-surgery-controlled trial.

JAMA Neurology | Original Investigation

Adeno-Associated Viral Vector (Serotype 2)-Nerve Growth Factor for Patients With Alzheimer Disease A Randomized Clinical Trial

Michael S. Rafii, MD, PhD; Mark H. Tuszynski, MD, PhD; Ronald G. Thomas, PhD; David Barba, MD; James B. Brewer, MD, PhD Robert A. Rissman, PhD; Joao Siffert, MD; Paul S. Aisen, MD; for The AAV2-NGF Study Team

MPORTANCE Nerve growth factor (NGF) is an endogenous neurotrophic factor that prevents the death and augments the functional state of cholinergic neurons of the basal forekrain, a cell population that undergoes extensive degeneration in Alzheimer disease (AD).
COLUCTIVE To determine whether stareotactically guided intracerebral injections of adeno associated vival vector (serotype 2)-nerve growth factor (ANX2NGF) are well tolerated and orbibit prediminary evidence of impact on cognitive decline in mild to moderate AD-associated dementia.
DECEMB. SETTING. ADDITATE DANCES IN a multicative glada 20 July 48 gardigatars with mil- teriodata A Wave manohomy singuine 11 at 11 at 10 waves man stratesticati ggladi. Instrumenta julgesticani AAKD Xelf a sharm sargery. Petriagatars wave service interflect harbans brownen? 2009 and December 2012. A values bare program performance of the strategiestic conclusted and 10 Si academic medical carones. Eigibility required a diagnosis of mild to motionate dimension. De Ala and Instidual and performance of the strategiestic diagnosis and the moti common suscens was Miris Metral State Examination scows ables used Mill. Advisory and the motion service and the strategiestic and the
INTERVENTIONS Stareotactically guided intracerebral injections of AAV2-NGF into the nucleus basalis of Meynert of each hemisphere or sharn surgery.
MAIN OUTCOMES AND MEASURES. Change from baseline on the Alzheimer Disease Assessment Scale-cognitive subscale at month 24.
BESUETS Among 40 participants, 21(435) were worsen, 42 (855) self-identified as white, and the mean (5D) age was 68 (6.4) years. AM/2-NG ² miss self-and well-identified through A months, ho significant difference was noted between the trainment group and placebo and the printary outcome measure, the Althemme Desean Assessment Scale-cognitive subscale (ment [SD] isome, 152 (656) with [462, 67 – 97).
CONCLUSIONS AND RELEVANCE. This multicenter randomized clinical trial demonstrated the headbilling of sham-surgery-controlled stereotactic gener delowery studies in patients with AQ. AAV2-AVG delowers with objectated built of the nt diffect clinical autocomes or velocited AD biomarkers. Pathological confirmation of accurate gene targeting is needed.

	Mean Change (95%)	CI)	
Outcome Measure ^a	Placebo Group (n = 23)	Treatment Group (n = 26)	P Value
ADAS-Cog 11 ^b	9.11 (4.46 to 13.57)	14.52 (9.86 to 19.18)	.17
CDR-SOB	2.81 (1.34 to 4.28)	4.75 (3.20 to 6.30)	.09
mCGIC	5.33 (5.06 to 5.60)	5.59 (5.26 to 5.92)	.21
MMSE	-4.17 (-6.84 to 1.50)	-6.18 (-8.36 to 4.00)	.16
NPI	9.18 (-0.71 to 19.07)	6.61 (1.85 to 11.37)	.95
ADCS-ADL	-12.94 (-22.13 to 3.75)	-17.65 (-24.49 to 10.81)	.61
ubscale; ADCS-A	AS-Cog 11, Alzheimer's E DL, Alzheimer Disease G Clinical Dementia Rating	ooperative Study-Activ	ties of Dail modified
Iinical Global Imp IPI, Neuropsychia	stric Inventory.		
linical Global Imp IPI, Neuropsychia			

JAMA Neurol. doi:10.100\[jamaneurol.2 Published online March 26, 2018.

EDITORIAL

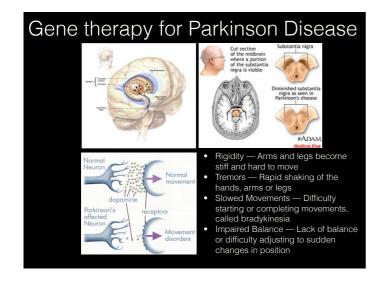
Gene Therapy in Alzheimer Disease—It May Be Feasible, but Will It Be Beneficial?

Lawrence S. Honig, MD, PhD

This study provides a lesson on historical controls because it was performed after an openlabel phase 1 trial on 10 individuals seemed to show stability and decreased cognitive and functional decline compared with historical controls.

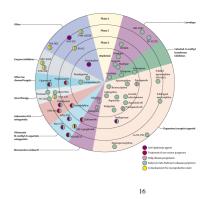
The fact that no benefit was evident in this randomized, double-blind phase 2 study emphasizes the lack of scientific validity for open-label comparisons with historical controls in clinical trials. The reasons why treatments so often appear beneficial in comparisons with untreated historical controls are well-known:

- individuals in a treatment trial are from a different population sample than those in observational studies, are often highly motivated, and receive better symptomatic and general treatments
- (2) historical controls are from an earlier period, and given a secular trend toward earlier diagnosis and ascertainment earlier in the disease course, current trial participants usually appear to have more stable disease status than historical controls did. This may be relevant to other recent restorative therapy trials with controversial analyses in which historical controls were used as evidence of possible efficacy.



Current therapies for PD

- Replacement therapy levo-dopa + carbidopa: long-term complications limiting the dose
- Deep brain stimulation: technically complex
- Human fetal mesencephalic cell transplantation: doubleblind controlled trials disappointing

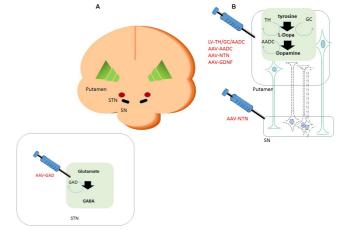


Gene therapy for PD

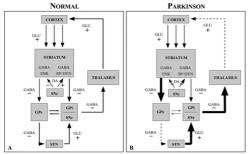
Enhancement of DA synthesis

- Delivery of neurotrophic factors (neurturin)
- Interference with aberrant protein aggregation
- •AAV-GAD: conversion of the subthalamic nucleus in an inhibitory rather than an excitatory structure

Brain targets in PD gene therapy



AAV-GAD Background & Rationale



In PD, loss of DA projections from the SN to the striatum results in overactivity of the subthalamic nucleus

The subthalamic nucleus sends excitatory projections to the internal part of globus pallidus and the pars reticulata of the SN, which in turn inhibits motor output

AAV-GAD Background & Rationale

- Adeno-associated virus (AAV) vectors can yield safe, stable gene transfer in the adult brain (Kaplitt, et. al. Nat. Gen. 8:148-154,1994)
- GAD is the rate-limiting enzyme in synthesis of GABA
- GABA infusion in STN reduces firing and improves symptoms transiently (Levy, et. al., Brain 124:2105-2118, 2001)
- AAV-GAD improves motor function and normalizes motor circuits in rodent and primate PD models (Luo, et. al., Science 298:425-429,2002; Emborg, et. al., J Cereb Blood Flow Metab 27:501-509, 2007)

Safety and tolerability of gene therapy with an adeno-associated virus (AAV) borne GAD gene for Parkinson's disease: an open label, phase I trial

- Michael G Kapiliti, Andrew Feigin, Chengke Tang, Helen L Filzstmons, Paul Mattis, Patricia A Lawlor, Ross J Bland, Deborah Young, Kristin Strybing David E lideberg, Matthew J During
- 9 12 patients

Lanat 2007; 369: 2097-105

• 5x10^9-5x10^10 AAV2-GAD particles infused unilaterally

Results

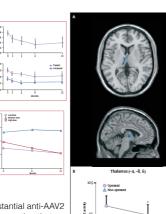
No adverse events related to the gene therapy

Clinical improvement in motor rating

Changes of daily dose of dopaminergic medication

Reduction in glucose metabolism of the thalamus in the operated hemisphere

Two patients showed evidence of substantial anti-AAV2 immunity but no changes over time, suggesting that vector infusion did not induce immunity against AAV2



Surprising findings:

- bilateral improvement after unilateral therapy
- improvement in best on-medication function

Concerns:

- absence of sham-operated control group

- the excitatory role of the subthalamic nucleus suggests its role in learning: what might be the long-term effect of converting this nucleus from an excitatory to an inhibitory structure?



- The primary objective of the Phase 2 study is to evaluate the clinical antiparkinsonian efficacy of rAAV-GAD, administered bilaterally into the subthalamic nucleus of 20 subjects with advanced PD, for comparison to 20 sham-operated PD controls at 6 months after the procedure
- · The secondary objectives are
 - To evaluate the safety of rAAV-GAD administered to bilateral subthalamic nuclei through 12 months after the procedure
 - To assess the outcomes of rAAV-GAD administration on PD disability, activities of daily living, motor fluctuations, dyskinesias, and quality of life assessments through 12 months after the procedure
 - To evaluate metabolic activity related to PD measured by FDG-PET through 12 months after the procedure

- · With the patient under local anesthesia, the neurosurgeon will drill burr holes on both sides of the skull
- · A stereotactic frame will be used to place small catheters in the subthalamic nucleus, after targeting based on presurgical CT scan or MRI; the planning procedure is comparable to DBS
- · Once the catheters are in place, the burr holes will be covered with a special capping system and the patient will be transferred to the recovery room for infusion of the study agent or saline
 - The infusion system was codeveloped by Neurologix and Medtronic and is approved for use in this procedure - It should be noted that this system is investigational and is not approved for other uses
 - Infusion takes place in the recovery room for 150 minutes
 - Imaging is used to verify placement of the catheter
 - CT and MRI scans are used for safety measurements at 24 and 48 hours, respectively, before the patient is released from the hospital

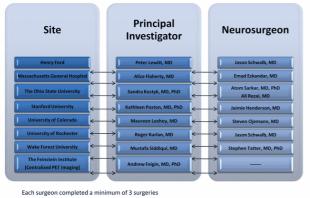
ded Catheter Tip Localization





7 participating centers in the United States

Phase 2 Trial Sites



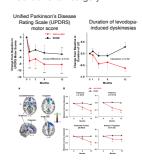
AAV2-GAD into STN produces motor improvements for at least a year

Long-term follow-up of a randomized AAV2-GAD gene therapy trial for Parkinson's disease

Martin Mithammed, Chris C., Tangy Teher A., LAWINE J. Alle, Recal. Y Mauree A., Lenhey A., Marcin M., Sherkin W., Taharahy T, Smark N., Schankun Y., Shonkun X., Shonkun X.,



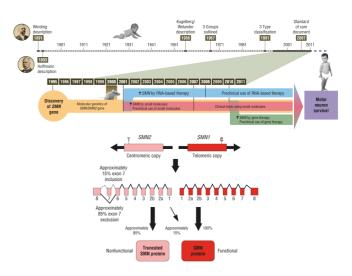
45 patients bilateral injection control: sham surgery



MEIRAGTx pipeline

PRODUCT CANDIDATE	INDICATION	PRECLINICAL	PHASE 1/2	PHASE 3	
Ocular Programs					
AAV-RPGR ~	X-linked RP (RPGR)*				jansse
AAV-RPE65 ~	RPE65-Deficiency (RPE65)				
AAV-CNGB3 ~	Achromatopsia (CNGB3)*				jansse
AAV-CNGA3 ~	Achromatopsia (CNGA3)*				jansse
Neurodegenerative Disease	s Program				
AAV-GAD ~	Parkinson's (GAD)				
AAV-UPF1 ~	ALS (UPFI)				
Salivary Gland Programs					
AAV-AQP1 ~	Xerostomia (hAQPI)				
AAV-AQP1 ~	Sjogren's (hAQPI)				
Co. development progra	im with Janssen Pharmaceutic	all surgest to a collabo	untion announcest		

MEIRAGT_X Phase 3 trial for PD to be started in 2021

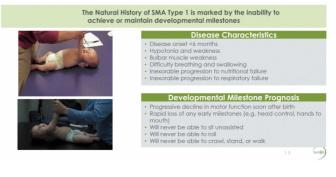


SMA severity depends on SMN2 copy number

SMA Types: A Devastating Disease

SMN2 Copy Number	Two	Three or Four	Three or Four	Four to Eight
Onset	Before 6 Months	6-18 Months	Early childhood to early adulthood (juvenile)	Adulthood (20s-30s) usually after 30
Incidence per Live Birth	Approximately 60%	Approximately 27%	Approximately 13%	Uncommon; limited information available
Developmental Milestones	 Will never be able to sit without support 	 Will never be able to walk or stand without support 	 Stand alone and walk but may lose ability to walk in 30s-40s 	 Stand alone and walk by may lose ability to walk in 30s-40s (Same as Type 3)
Survival	 <10% Event free* by two years of age 	• 68% alive at age 25	• Normal	• Normal

Children with SMA Type 1 Never Sit Unassisted



GT for SMA: AAV9-SMN1

Our Solution: AVXS-101

An Innovative Treatment Approach for SMA

Recombinant AAV9 Capsid Shell	\rightarrow	
scAAV ITR Continuous Promoter	Human SMN Transgene	SCAAV ITR
KEY COMPONENTS	PURPOSE	
Recombinant AAV9 Capsid Shell	 Ability to deliver across the blood brain barrier (BBB) and into the spinal cord Avoids the need for intrathecal delivery when treating infants Non-replicating vitus dees not modify the existing DNA of the patient. 	
scAAV ITR (Self-complementary DNA technology)	Enables rapid onset of effect which is key in a quickly deteriorating population	
Continuous Promoter	Activates the transgene to allow for continuous and sustained SMN expression	
	Full copy of a stable, functioning SMN gene that is introduced into the cell's nucleus	



RESULTS months of age, as compared with a rate of survival of 8% in a historical cohort. In the high dose cohort, a rapid increase from baseline in the score on the CHOP INTEND scale followed gene delivery, with an increase of 9.8 points at 1 month and 15.4 points at 3 months, as compared with a decline in this score in a historical cohort. Of the 12 patients who had received the high dose, <u>11 sat</u> unassisted, 9 rolled over, <u>11 fed orally and could speak</u>, and <u>2 walked independently</u>. Elevated serum aminotransferase levels occurred in 4 patients and were attenuated by prednisolone.

Phase I, sc-AAV9



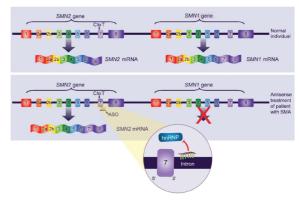
27 March 2020 EMA/163207/2020 Media and Public Relation:

Press release

New gene therapy to treat spinal muscular atrophy

EMA has recommended granting a conditional marketing authorisation in the European Union for the gene therapy Zolgensma (onasemnogene abeparvovec) to treat babies and young children with spinal muscular atrophy (SMA), a rare and often fatal genetic disease that causes muscle weakness and progressive loss of movement.





Nusinersen versus Sham Control in Infantile-Onset Spinal Muscular Atrophy

Richard S. Finkel, M.D., Eugenio Mercuri, M.D., Ph.D., Basil T. Darras, M.D., Anne M. Connolly, M.D., Nancy L. Kuntz, M.D., Janbernd Kirschner, M.D., Claudia A. Chiriboga, M.D., M.P.H., Kayoko Saito, M.D., Ph.D., Laurent Servais, M.D., Ph.D., Eduardo Tizzano, M.D., Ph.D., Haluk Topaloglu, M.D., Már Tulinius, M.D., Ph.D., Jacqueline Montes, P.T., Ed.D., N.C.S., Allan M. Glanzman, P.T., D.P.T., P.C.S., Kathie Bishop, Ph.D., Z. John Zhong, Ph.D., Sarah Gheuens, M.D., Ph.D., C. Frank Bennett, Ph.D., Eugene Schneider, M.D., Wildon Farwell, M.D., M.P.H., and Darryl C. De Vivo, M.D. <u>et al.</u>, for the ENDEAR Study Group^{*}

The NEW ENGLAND JOURNAL of MEDICINE

RESULTS In the interim analysis, a significantly higher percentage of infants in the nonsinersen group than in the control group had a metor milestone response (21 of 51 infants [41%] vs. 0 of 27 (0%), (\sim 0.001), and the result prompted early termination of the trial. In the final analysis, a significantly higher percentage of infants in the misinersen group than in the control group had a motor milestone response (37 of 27 infants) (5%) vs. 0 of 37 (0%), and the likelihood of event free survival was higher in the musinersen group than in the control group (hazard ratio for death or the use of permanent assister devinition), or 53, P=0.0005. The likelihood of vent survival was higher in the musinersen group than in the control group (hazard ratio for death, 0.37, P=0.004), and infants with a shorter disease duration at screening were more likely than those with a longer disease duration to benefit from musinersen. The incidence and severity of adverse vents were similar in the two groups. The NEW ENGLAND JOURNAL of MEDICIN

EDITORIAL

- Ans T. van der Ploeg, M.D., Ph.D.
- Different study designs, hard to compare results
- scAAV9 gene therapy may require a single i.v. infusion (difficult to repeat), whereas nusinersen requires lifelong repetitive intrathecal treatment
- As the children grow, the phenotype may expand to affect other organs and tissues (do scAAV9 and antisense oligonucleotides target other cell types?).
- Neither therapy currently provides a cure. One option may be to start treatment earlier; the NURTURE study (ClinicalTrials.gov number, NCT02386553) is currently investigating the effect of nusinersen in presymptomatic patients. Another option is to combine the two treatments.
- An important constraint is the high anticipated cost of \$750,000 for a course of nusinersen during the first year of therapy

N ENGLJ MED 377;18 NEJM.ORG NOVEMBER 2, 201

scAAV9 gene therapy: 15 patients (3 ow dose, 12 high dose)

In the high-dose group 9 patients were able to sit without support for at least 30 seconds, and 2 were able to crawl, pull to stand, and walk independently and 7 patients did not require ventilatory support.

Nusinersen: 122 infants with onset of symptoms at 6 months of age or younger.

Of the infants who achieved motor milestones (51%), only 8% could sit independently and 1% could stand. 39% of the infants in the nusinersen group and 68% in the control group had died or received permanent assisted ventilation.

Best results in patients who started treatment within 13 weeks after disease onset.

November 2, 2017

Nusinersen versus Sham Control in Later-Onset Spinal Muscular Atrophy

Eugenio Mercuri, M.D., Ph.D., Basil T. Darras, M.D., Claudia A. Chiriboga, M.D., M.P.H., John W. Day, M.D., Ph.D., Craig Campbell, M.D., Anne M. Connolly, M.D., Susan T. Iannaccone, M.D., Janbernd Kirschner, M.D., Nancy L. Kuntz, M.D., Kayoko Saito, M.D., Ph.D., Perry B. Shieh, M.D., Ph.D., Már Tulinius, M.D., Ph.D., Elena S. Mazzone, D.P.T., Jacqueline Montes, P.T., Ed.D., Kathie M Bishop, Ph.D., Qingqing Yang, M.S., Richard Foster, M.Sc., Sarah Gheuens, M.D., Ph.D., C. Frank Bennett, Ph.D., Wildon Farwell, M.D., M.P.H., Eugene Schneider, M.D., Darryl C. De Vivo, M.D., and Richard S. Finkel, M.D.<u>et al.</u>, for the CHERISH Study Group

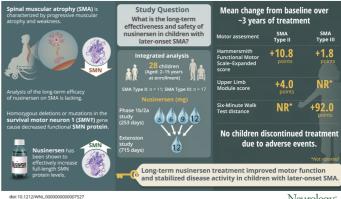
RESULTS In the prespecified interim analysis, there was a least-squares mean increase from baseli to month 15 in the HEMSE score in the using reservous (by 4.0 points) and a least-squares mean decrease in the control group (by -1.9 points), with a significant between group difference favoring nusinersen (least-squares mean difference in change, 5,9 points; 95% confidence interval, 3.7 to 8.1; P<0.001). This result prompted early termination of the trial. Results of the final analysis were consistent with results of the interim analysis. In the final analysis, 57% of the children in the nusinersen group as compared with 26% in the control group had an increase from baseline to month 15 in the HFMSE score of at least 3 points (P<0.001), and the overall incidence of adverse events was similar in the nusinersen group and the control group (93% and 100%, respectively).

CONCLUSIONS Among children with later-onset SMA, those who received nusinersen had significant and clinically meaningful improvement in motor function as compared with those in the source on the second s NCT02292537.)

Phase III, repeated intrathecal administration

Nusinersen in later-onset spinal muscular atrophy Long-term results from the phase 1/2 studies Neurology[®] 20 2-e2506. doi:10.1212/WN

Is long-term nusinersen effective for later-onset SMA?



Neurology



Not intrathecal administration but oral treatment at home

Tested in > 450 people with Type 1, 2, or 3 SMA from 2 months to 25 years old (also with severe scoliosis)

1) FIREFISH study (62 infants, 2-7 months of age with Type 1 SMA)

2) SUNFISH study (children and adults with type 2 or 3 SMA)

3) JEWELFISH study (people previously treated for SMA)

The NEW ENGLAND JOURNAL of MEDICINE

Risdiplam in Type 1 Spinal Muscular Atrophy

M.D., Ph.D., Basil T. Darras, M.D., John W. Day, M.D., Ph.D. , M.D., Ph.D., Andrea Klein, M.D., Riccardo Masson, M.D., nck, M.D., Ph.D., Andrea Klein, M.D., Riccardo Masson, M.D., uri, M.D., Ph.D., Kristy Rose, Ph. N., Muna Ek-Khain, Ph.D., Ph.D., Ksenija Gorni, M.D., Ph.D., Omar Kinwaja, M.D., Ph.D., J. H.D., Renata S. Scaleo, M.D., Ph.D., Timothy Seabrook, Ph.D., Intourus, M.D., Ph.D., and Laurent Servais, M.D., Ph.D., for the FIREFISH Working Group*

N ENGLJ MED 384;10 NEJM.ORG MARCH 11, 202

