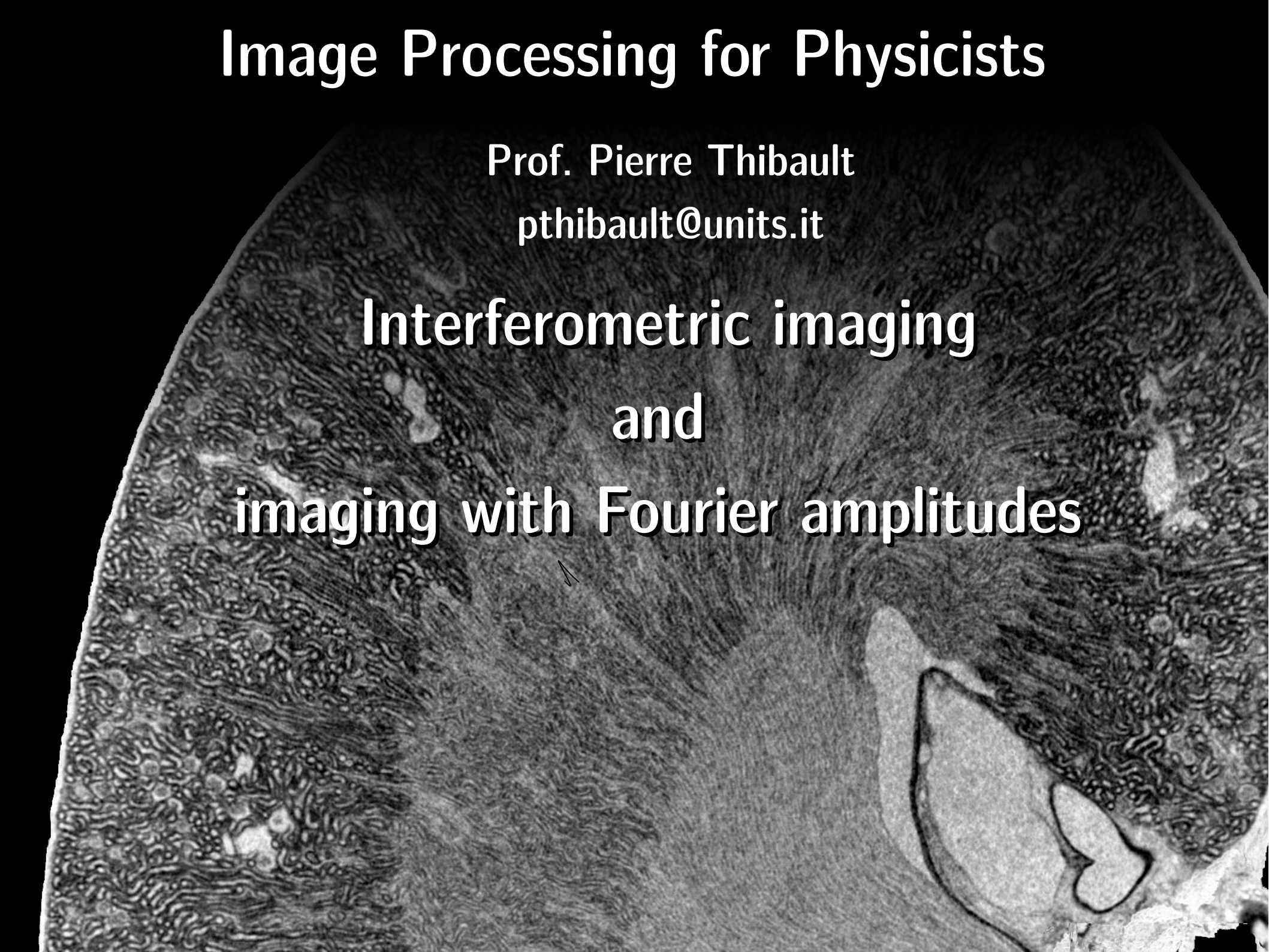


Image Processing for Physicists

Prof. Pierre Thibault

pthibault@units.it

Interferometric imaging
and
imaging with Fourier amplitudes



Overview

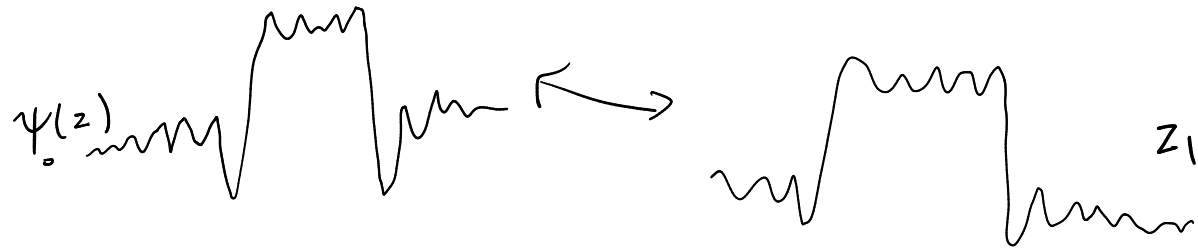
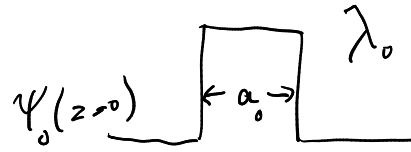
- The phase problem
- Holography: on/off-axis
- Grating interferometric imaging
- Imaging using far-field amplitude measurements
 - Fourier transform holography
 - Coherent diffraction imaging
 - Ptychography

Wave propagation



Near-field / far-field

$$\Psi(\vec{r}; z) = \mathcal{F}^{-1} \left\{ \mathcal{F} \left\{ \Psi(r; z=0) \exp(-i\pi \underbrace{a^2}_{\text{unitless}} \lambda z) \right\} \right\}$$



$\frac{a^2}{\lambda z} := f$
"Fresnel number"

$f \ll 1$: far-field
 $f \gg 1$: near-field

mathematically identical if

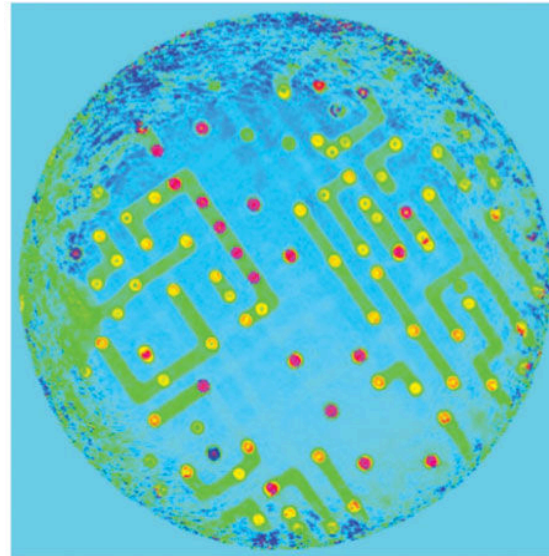
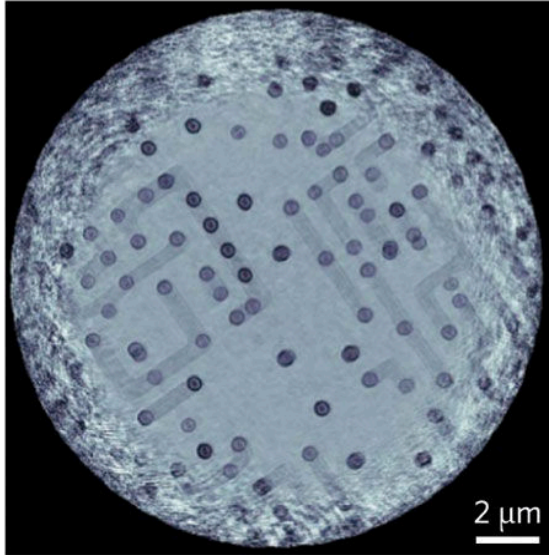
$$\frac{1}{a_0} \sqrt{\lambda_0 z_0} = \frac{1}{a_1} \sqrt{\lambda_1 z_1}$$

$$\frac{\lambda z}{a^2}$$

$\sqrt{\lambda z}$: characteristic length of oscillations caused by propagation
"first Fresnel zone"

Complex-valued images

X-ray transmission function

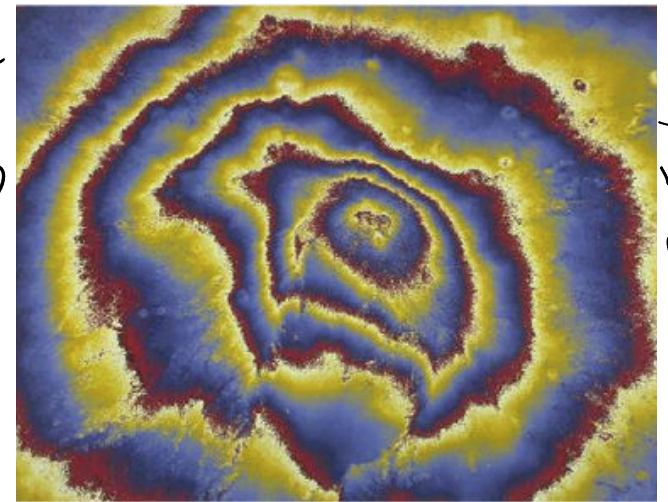


Amplitude
attenuation of the wave as it travels through the object

Phase
"delay" of the wave as it travels through the object
→ refraction



Synthetic aperture radar SAR



unwrapped phase
phase
phase is "wrapped"

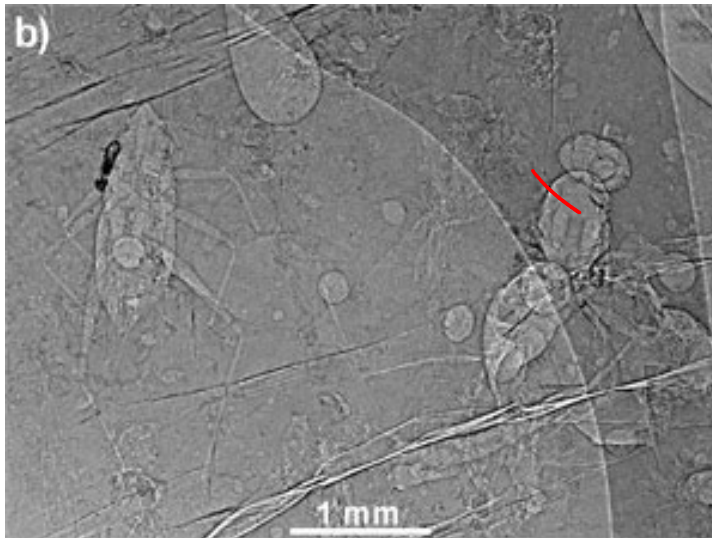
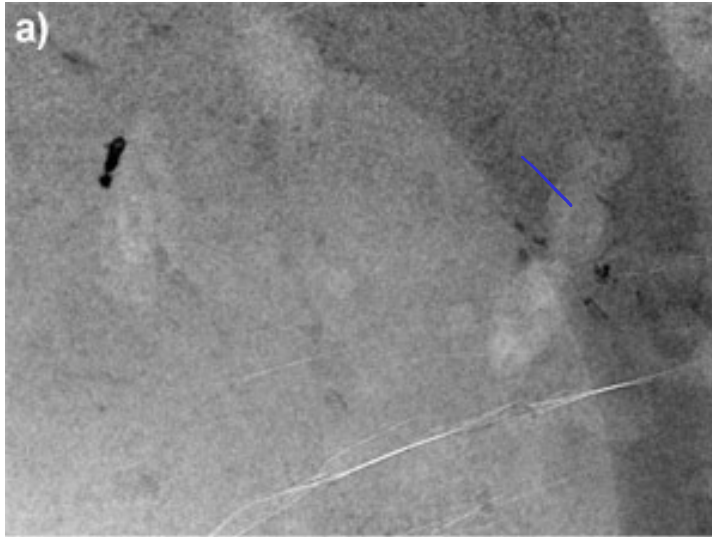
raw data

Etna

"phase unwrapping" requires spatial information

Phase-contrast

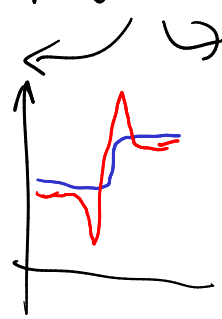
Hard X-ray propagation-based "Very" near-field phase contrast



Source: www.esrf.eu/news/general/amber/amber/

"contact-mode images"

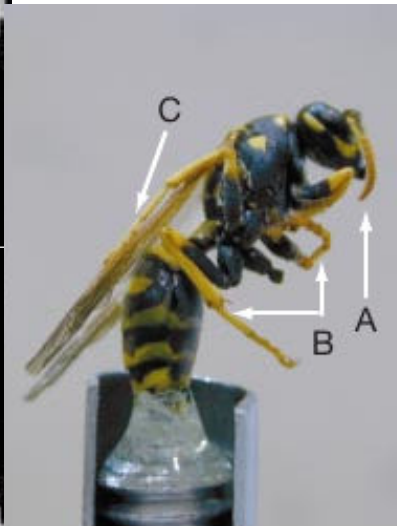
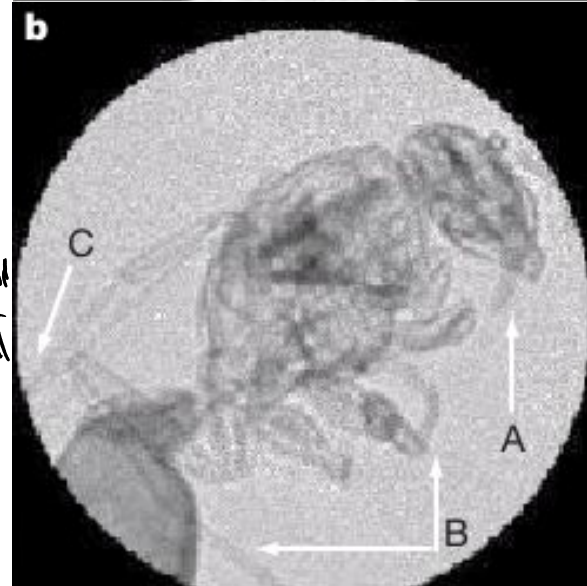
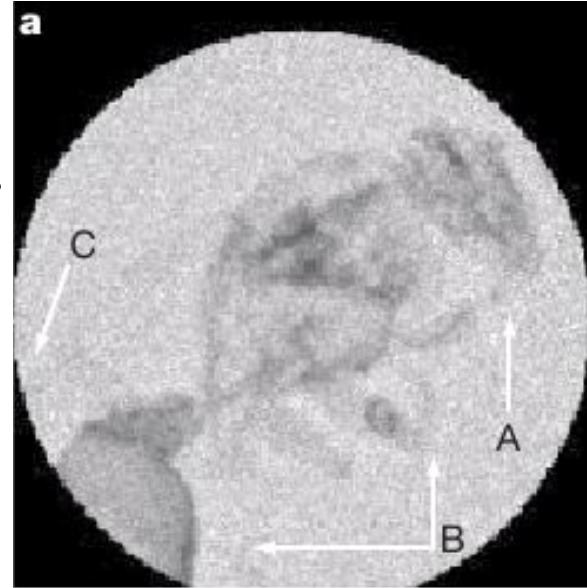
propagation-based phase-contrast



"edge-enhancement" = Laplace filter

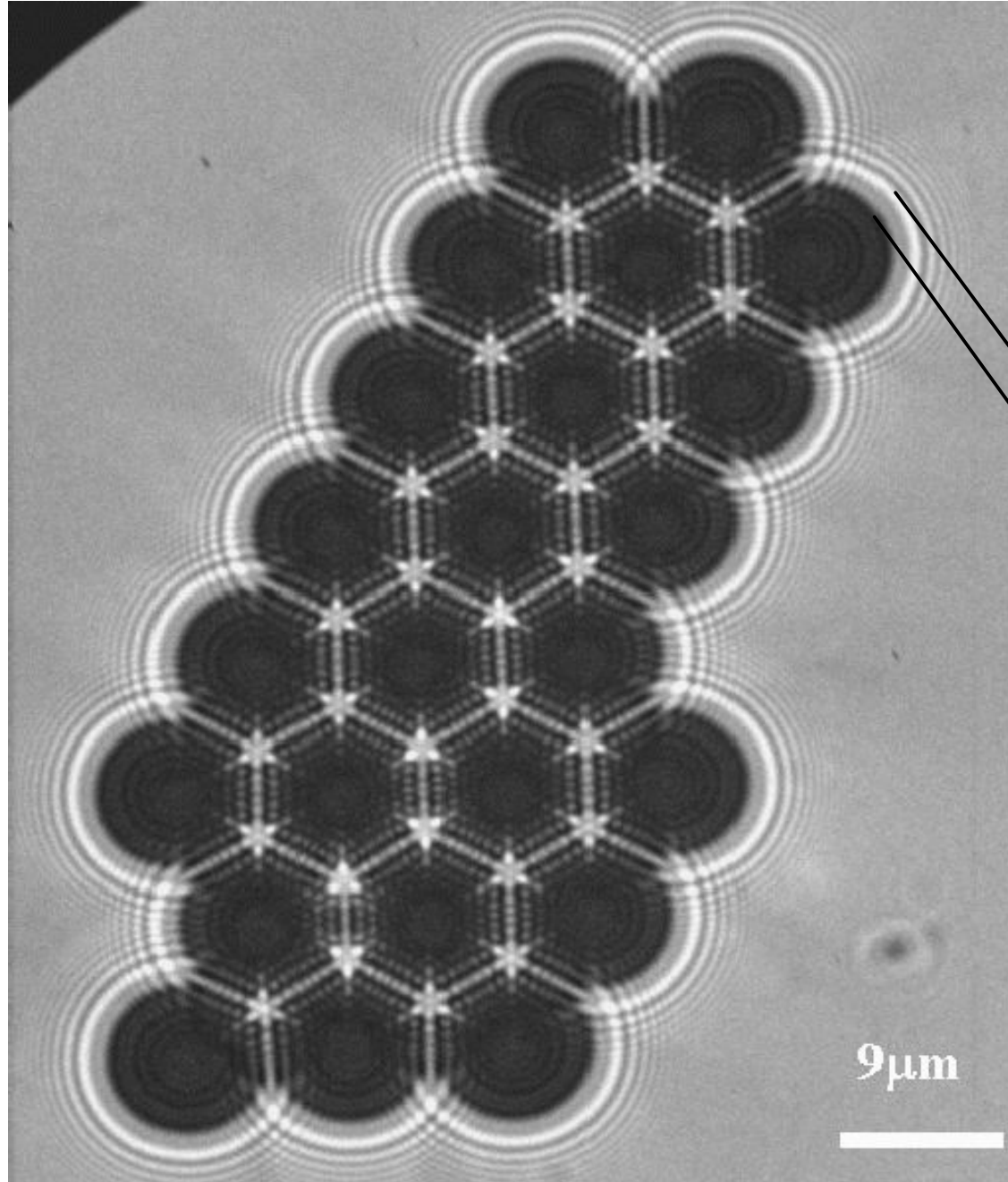
Neutron phase contrast

examples for short-wavelength radiation



Source: Allman et al. Nature **408** (2000).

In-line holography



• Going towards smaller fresnel numbers

$$\sim \sqrt{\lambda z}$$

if a is the diameter of the spheres,

Fresnel number

$$\propto 10^{-20}$$

$$\frac{a^2}{\lambda z} = \left(\frac{a}{\sqrt{\lambda z}} \right)^2$$

Source: Mayo et al. Opt Express 11 (2003).

In-line holography

Requirement:
monochromatic &
plane incident wave

Measured $\underline{I}(\vec{r}) = |\Psi(\vec{r}; z)|^2$

Common model: $\Psi(\vec{r}; z=0) = A \underbrace{(1 + \epsilon(\vec{r}))}_{\text{transmission function}}$ weak object

$$\Psi(\vec{r}; z) = A (1 + \epsilon(\vec{r}; z))$$

$$\underline{I}(\vec{r}) = |A|^2 \left(\underbrace{1}_{\text{uniform background}} + \underbrace{\epsilon(\vec{r}; z)}_{\text{propagated image by } z} + \underbrace{\epsilon^*(\vec{r}; z)}_{\text{propagated image by } -z} + \underbrace{\mathcal{O}(\epsilon^2)}_{\text{negligible}} \right)$$

twin image problem

The phase problem

The problem: we can measure only the squared amplitude of a wave (E.N. / matter)

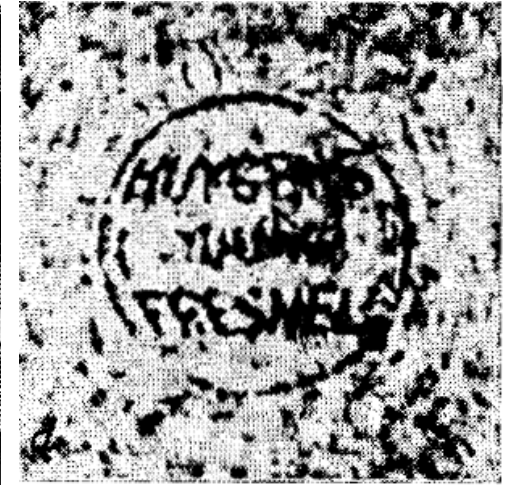
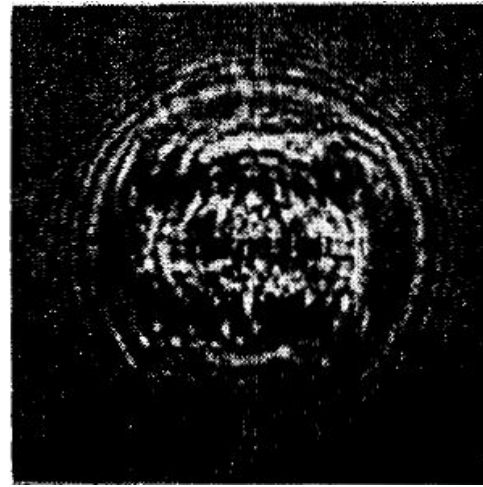
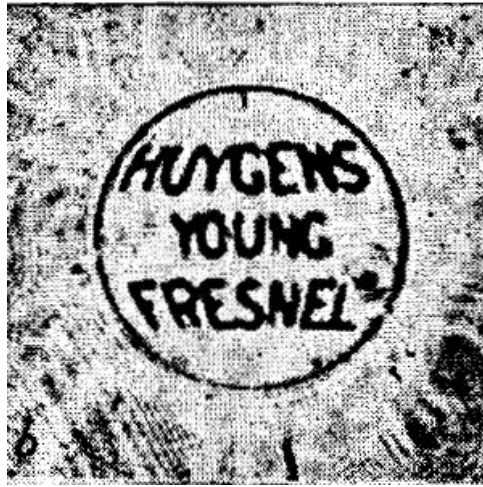
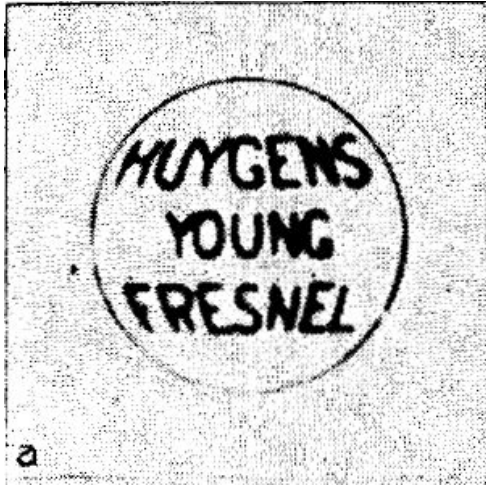
measurement $I = |\psi|^2$

Sometimes: - phase is the quantity of interest

- phase is an auxiliary quantity required to extract the sought information (e.g. through propagation)

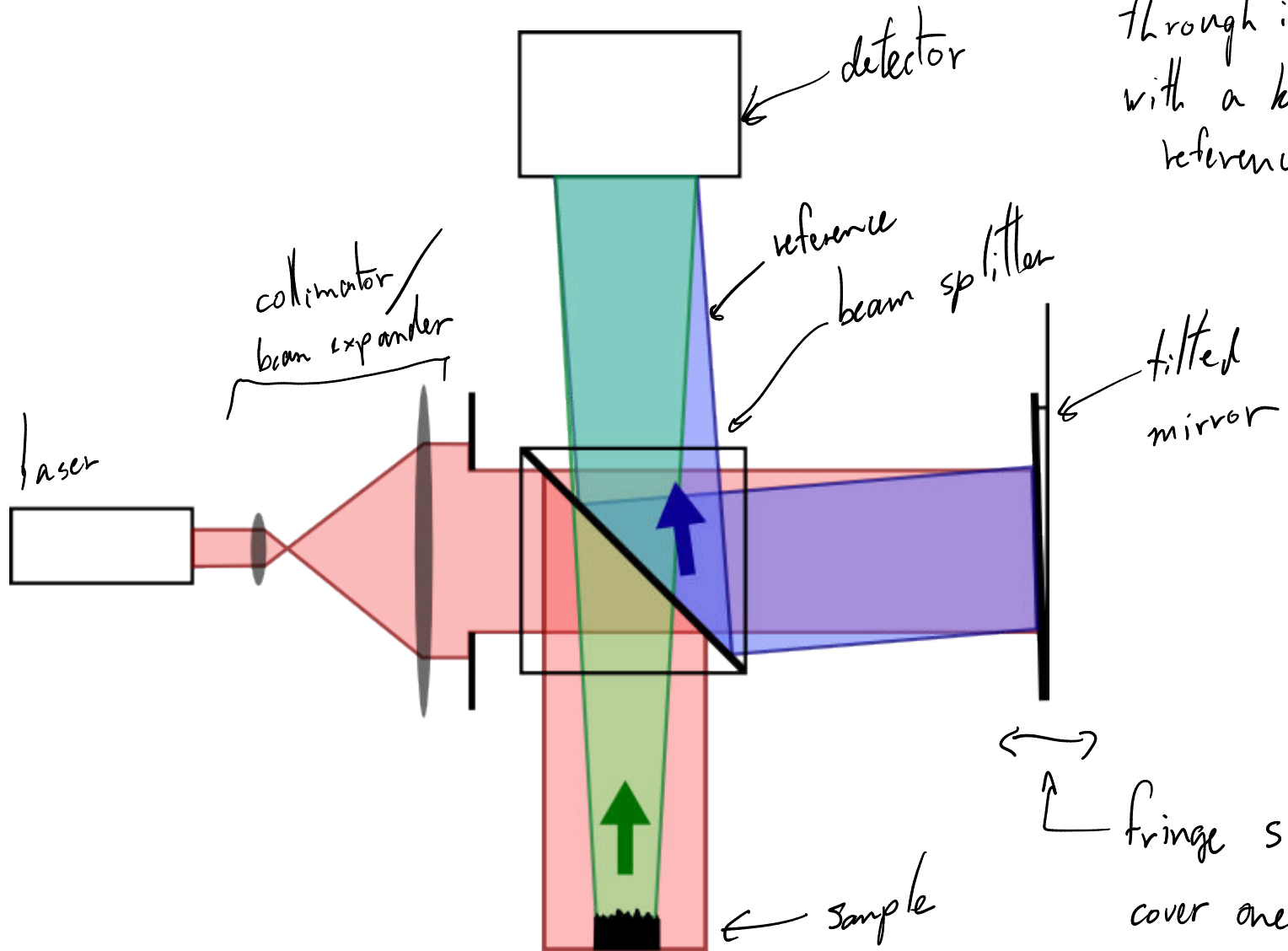
e.g. $\exp(i k \underbrace{(n-1)t}_{\text{thickness}})$

In-line holography



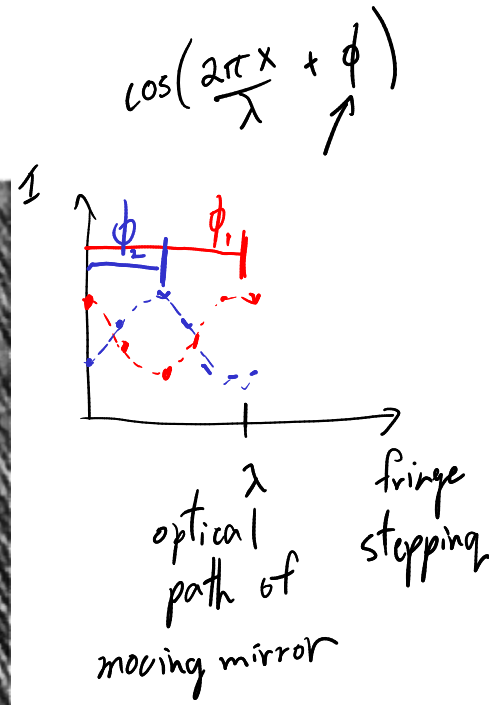
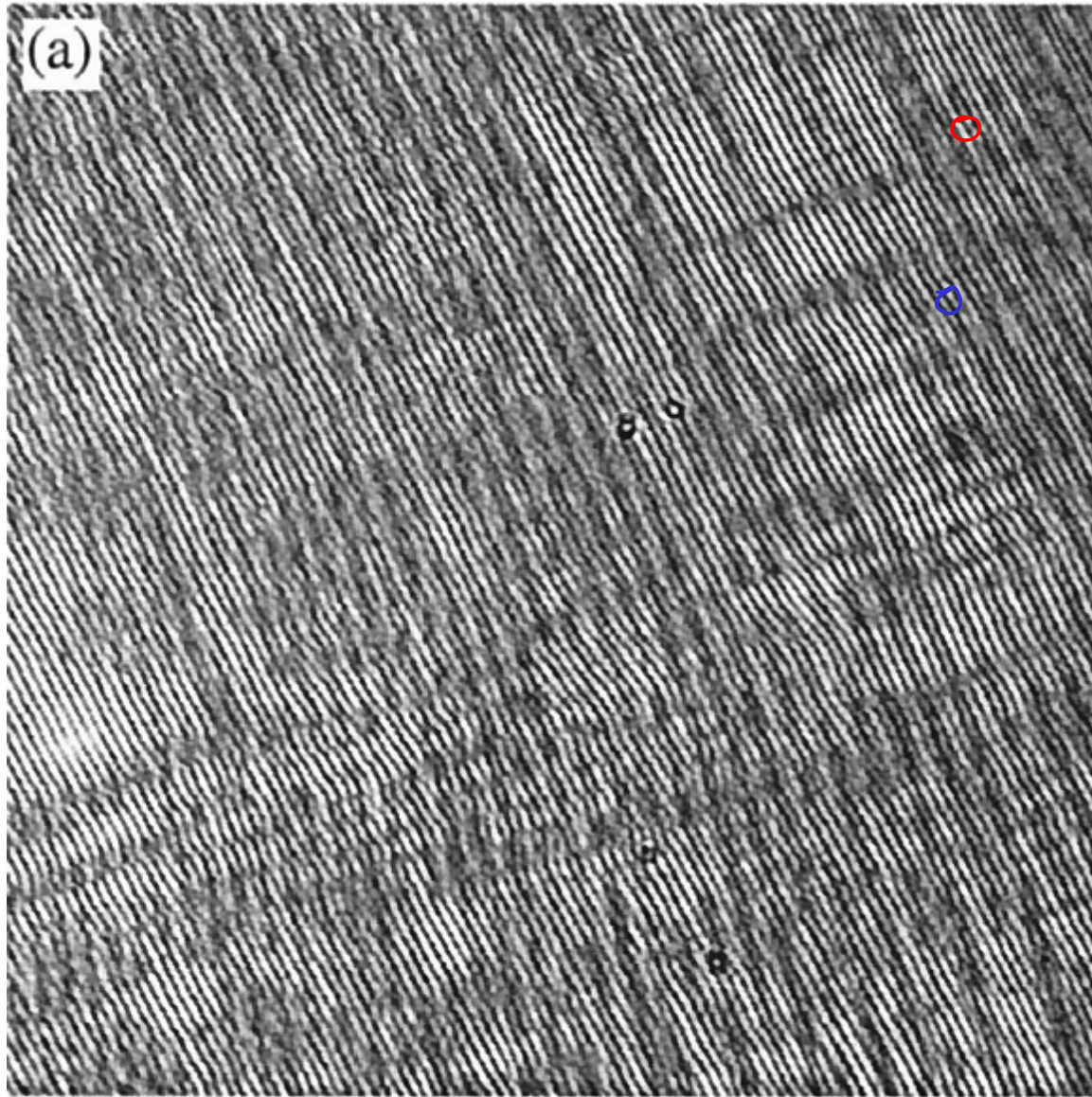
D. Gabor, *Nature* **161**, 777-778 (1948).

Fringe interferometry



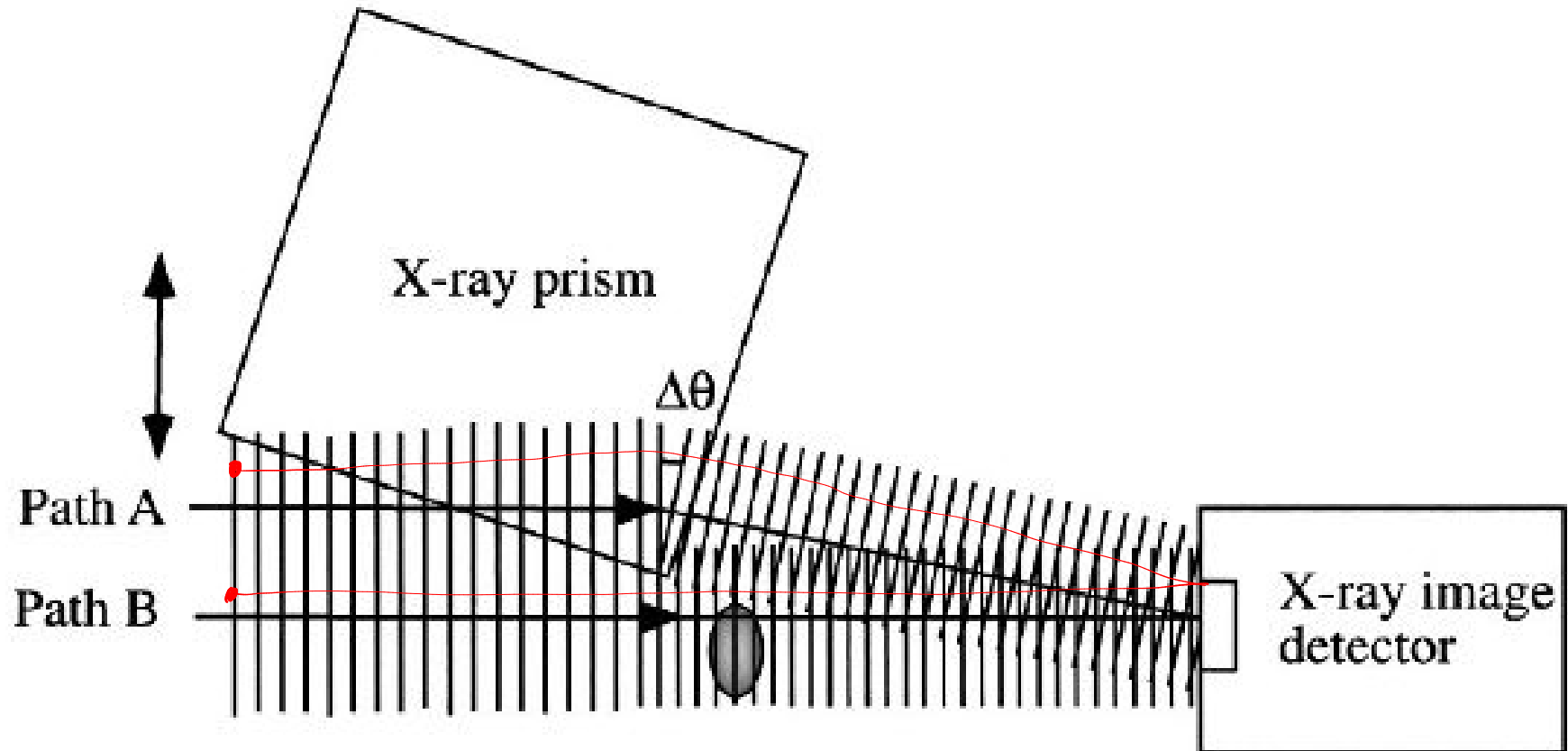
Twyman-Green interferometer

Fringe interferometry



Source: CuChe et al. Appl. Opt. **39**, 4070 (2000)

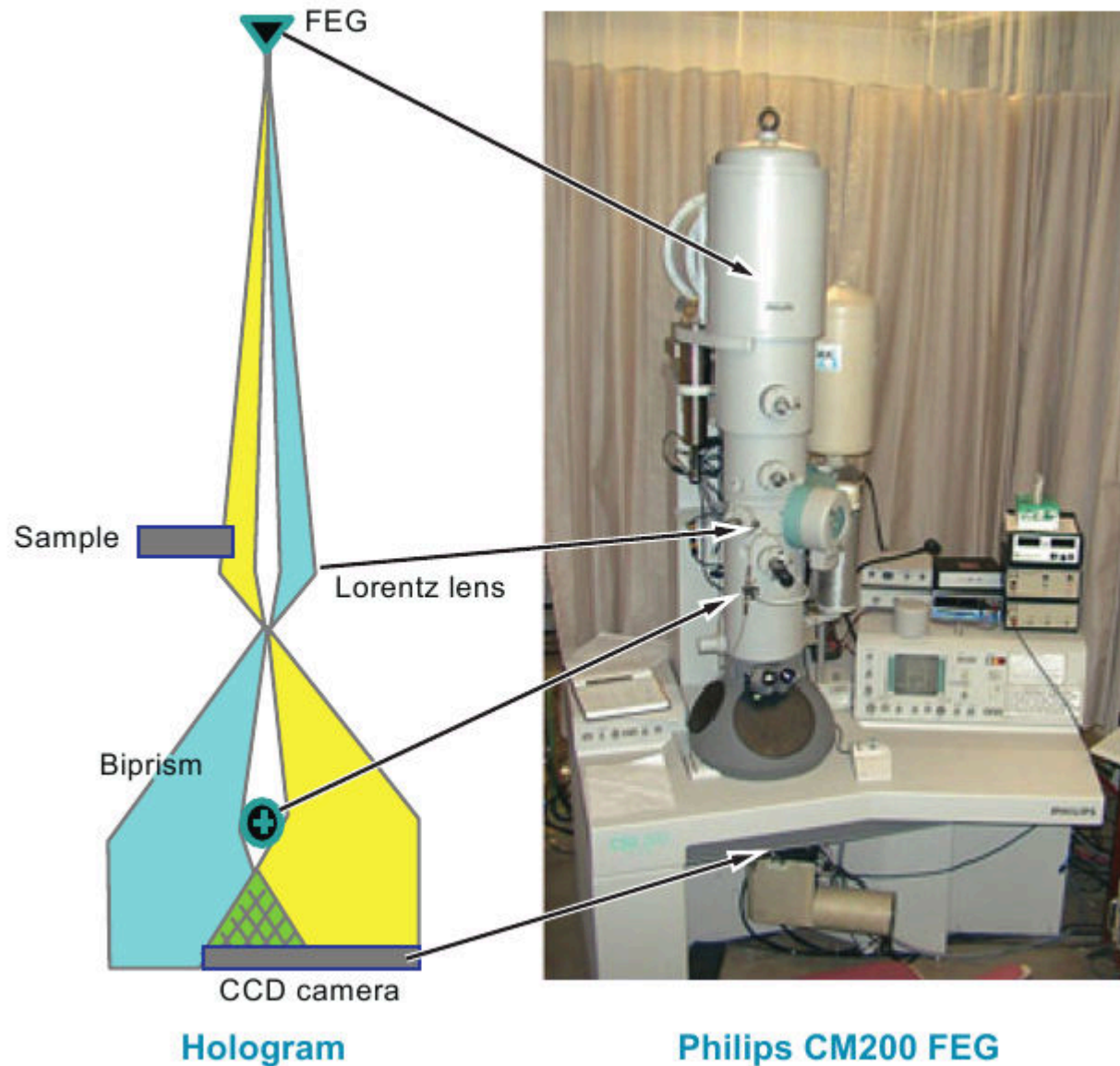
Off-axis X-ray holography



Source: Y. Kohmura, J. Appl. Phys. **96**, 1781-1784 (2004)

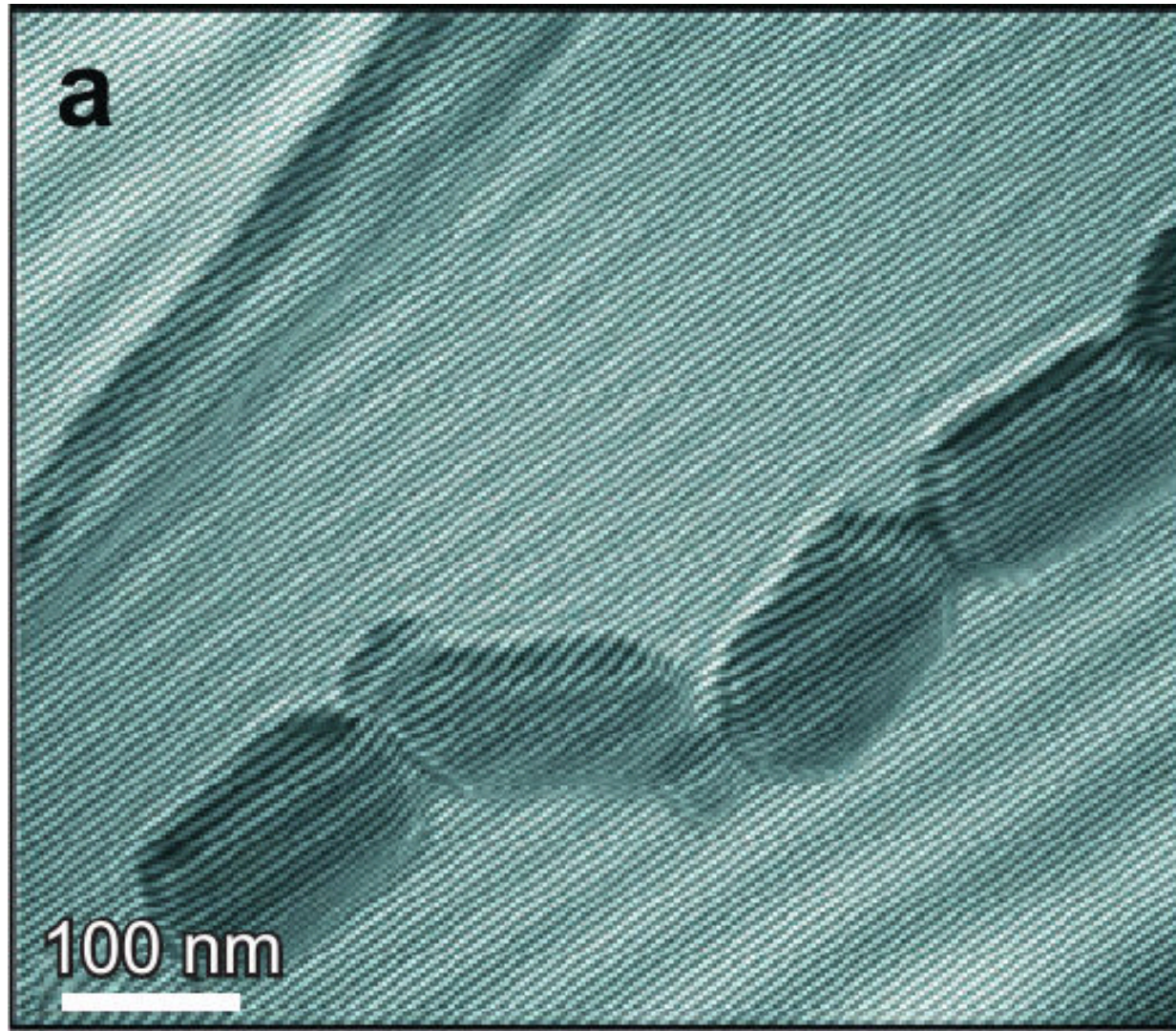
Off-axis electron holography

Electron microscopy



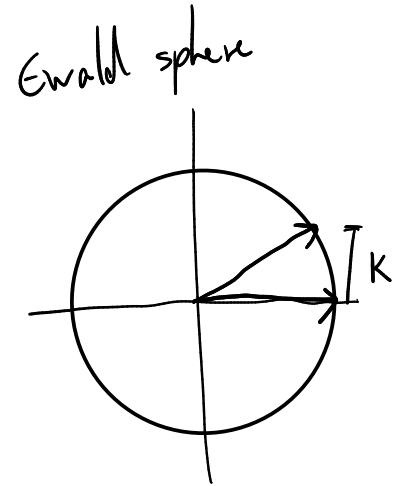
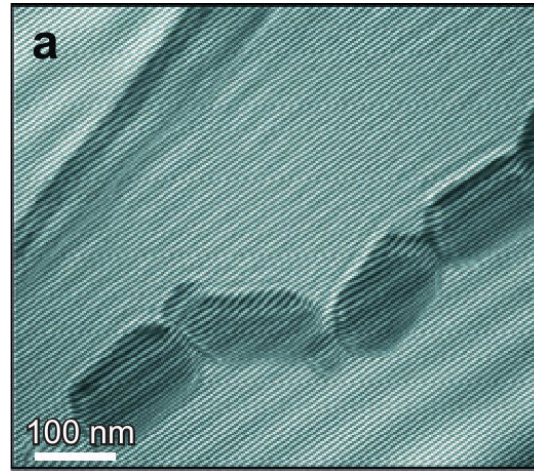
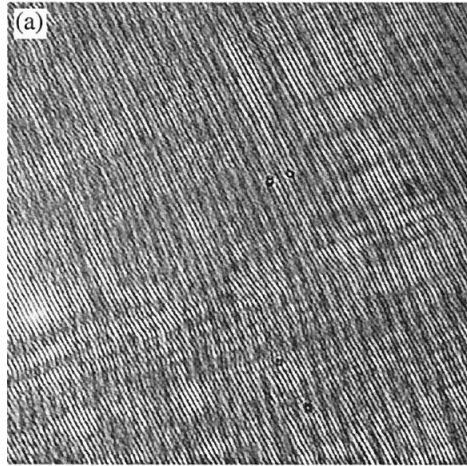
Source: M. R. McCartney, *Ann. Rev. Mat. Sci.* **37** 729-767 (2007)

Off-axis electron holography



Source: M. R. McCartney, Annu. Rev. Mat. Sci. **37** 729-767 (2007)

Fringe interferometry



$$\psi = \psi_o + \psi_r$$

\uparrow object
 \uparrow reference

$$\psi_r(\vec{r}) = A e^{i\vec{k} \cdot \vec{r}}$$

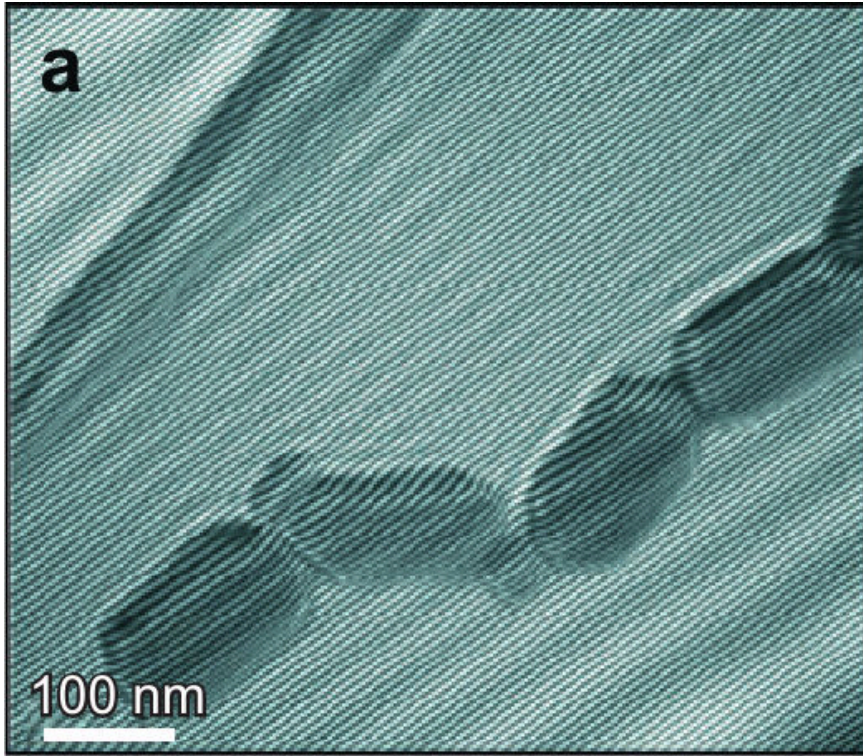
$$\psi_o(\vec{r}) = A a(\vec{r}) e^{i\varphi(\vec{r})}$$

ψ_r : phase shift (refraction)
 ψ_o : absorption
 $a(\vec{r})$: complex-valued transmission function

Measured:

$$\begin{aligned}
 |\psi(\vec{r})|^2 &= |\psi_o(\vec{r}) + \psi_r(\vec{r})|^2 = \left(A e^{i\vec{k} \cdot \vec{r}} + A a(\vec{r}) e^{i\varphi(\vec{r})} \right) \left(A^* e^{-i\vec{k} \cdot \vec{r}} + A^* a(\vec{r}) e^{-i\varphi(\vec{r})} \right) \\
 &= |A|^2 \left(1 + a^2(\vec{r}) + a(\vec{r}) e^{-i(\vec{k} \cdot \vec{r} - \varphi)} + a(\vec{r}) e^{i(\vec{k} \cdot \vec{r} - \varphi)} \right) \\
 &= |A|^2 \left(\underbrace{1 + a^2(\vec{r})}_{\text{smooth}} + \underbrace{2a(\vec{r}) \cos(\vec{k} \cdot \vec{r} - \varphi(\vec{r}))}_{\text{fringes}} \right)
 \end{aligned}$$

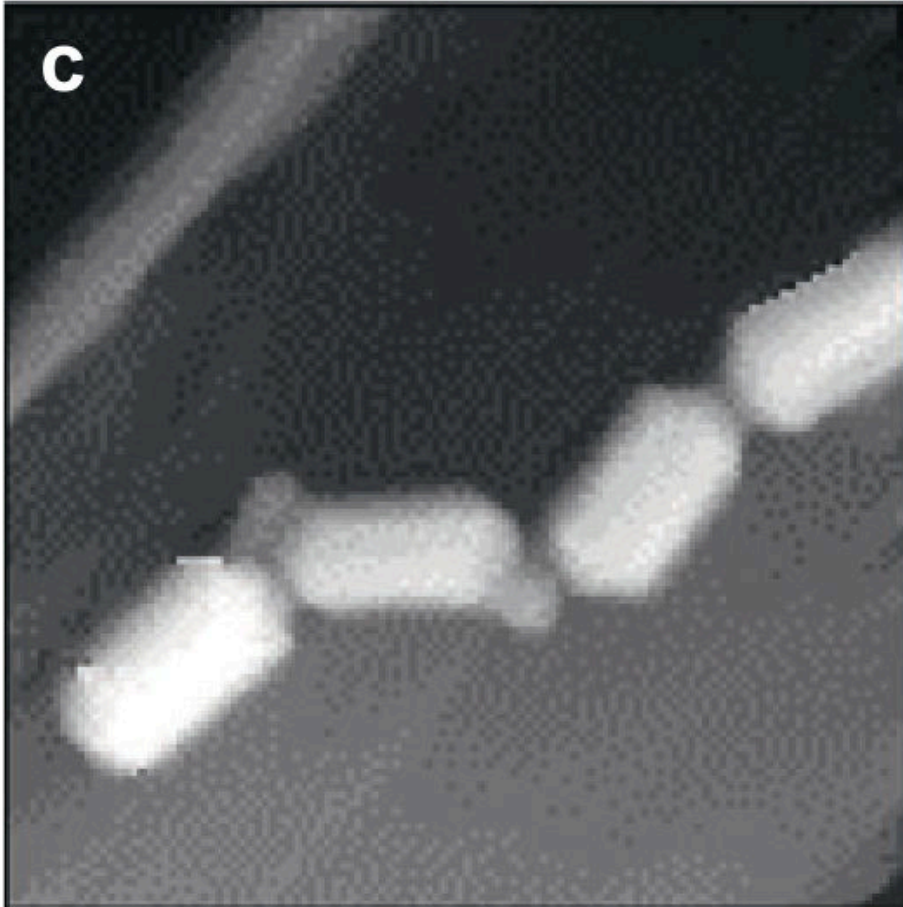
Off-axis holography



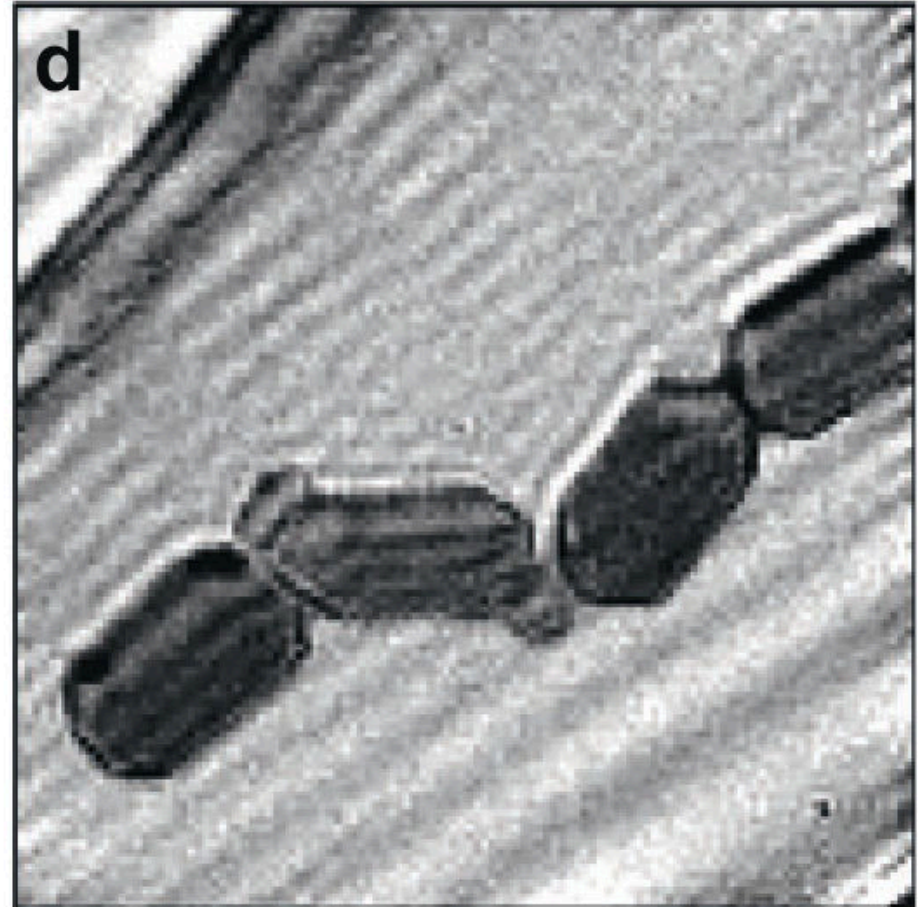
Source: M. R. McCartney, *Annu. Rev. Mat. Sci.* **37** 729-767 (2007)

Off-axis holography

$$\phi(\vec{r})$$



$$a(\vec{r}) \rightarrow$$

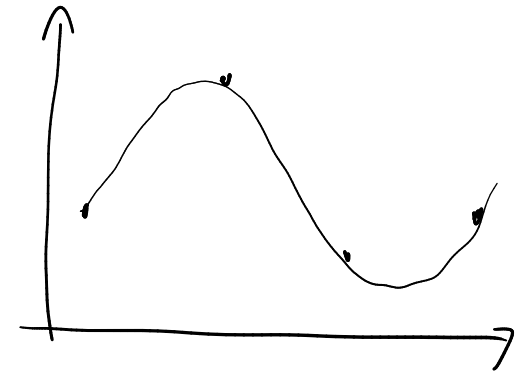
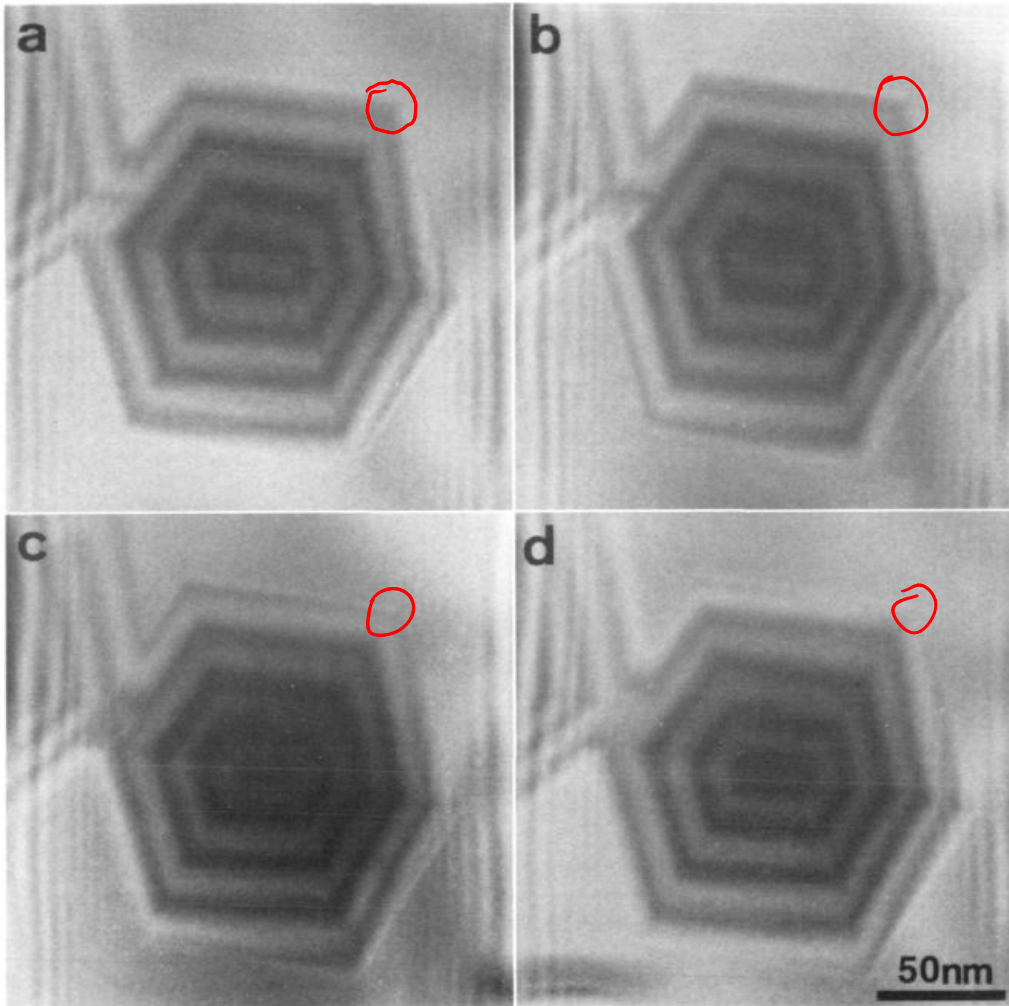


Source: M. R. McCartney, *Annu. Rev. Mat. Sci.* **37** 729-767 (2007)

Phase stepping

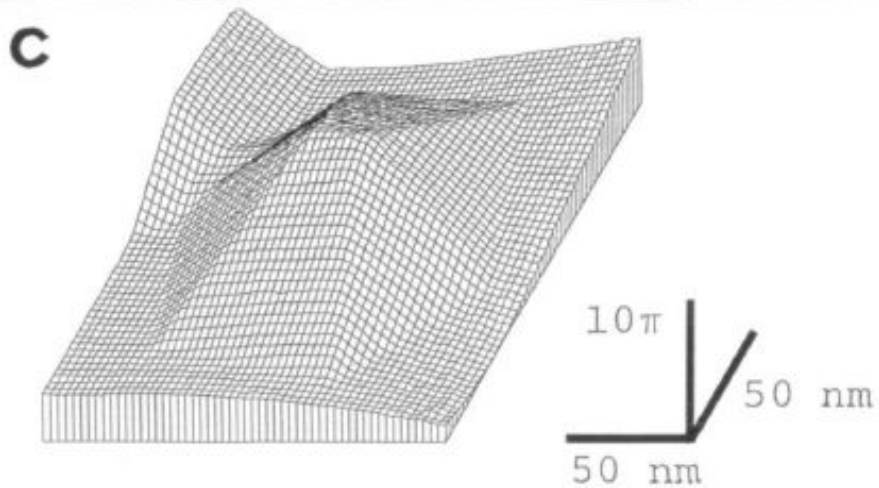
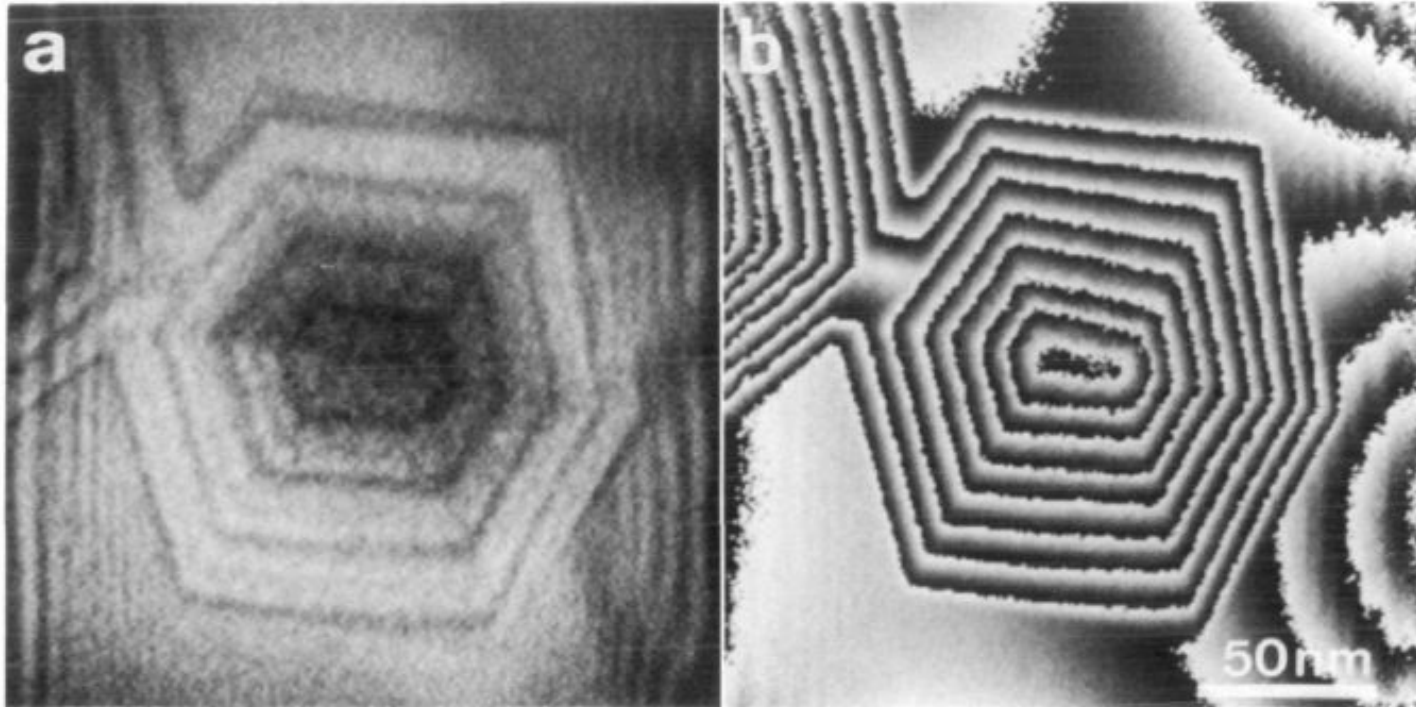
- Encoding phase **and** amplitude in a single image has a price: resolution
→ Take more than one image, changing the reference in each.

Fringe scanning



Source: K. Harada, J. Electron Microsc. **39** 470-476 (1990)

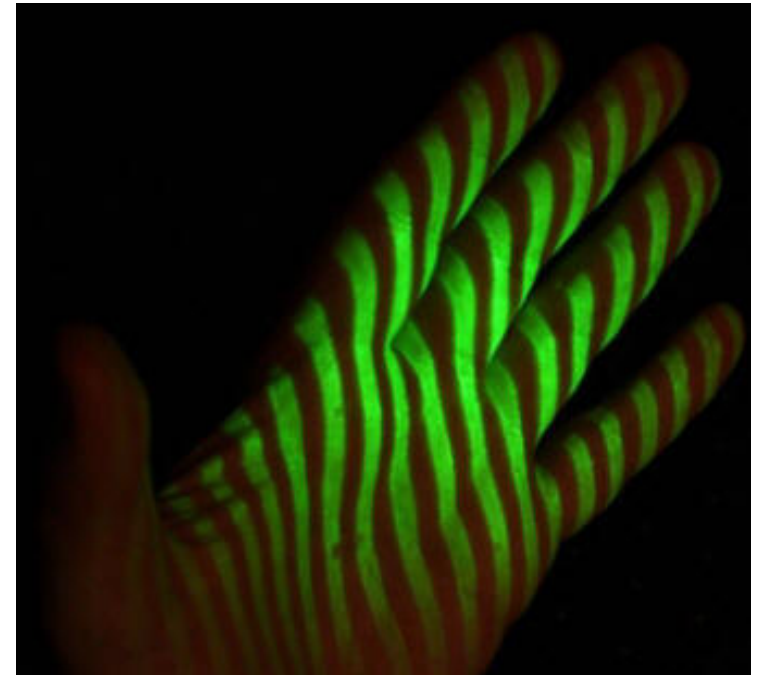
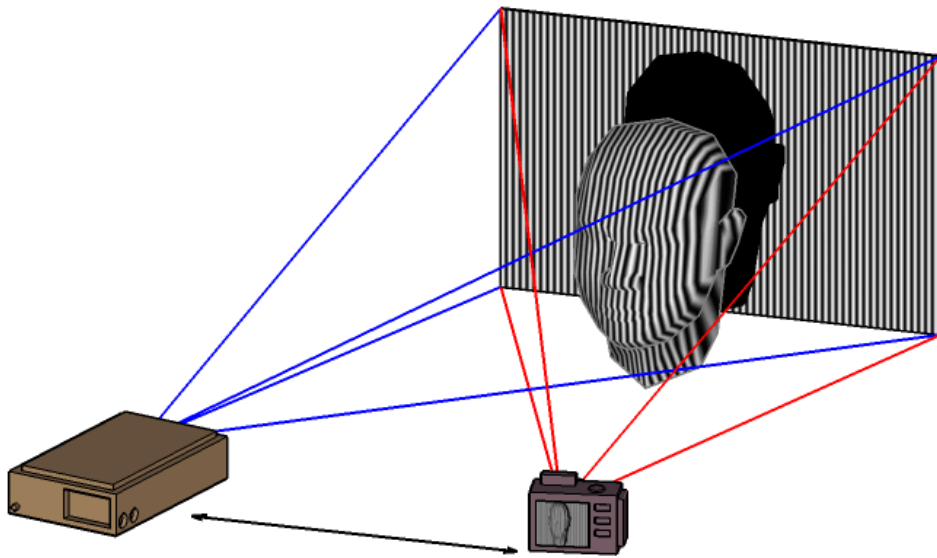
Fringe scanning



Source: K. Harada, J. Electron Microsc. **39** 470-476 (1990)

Structured light sensing

- Project a structured light pattern onto sample
- Distortions of light pattern allow reconstruction of sample shape

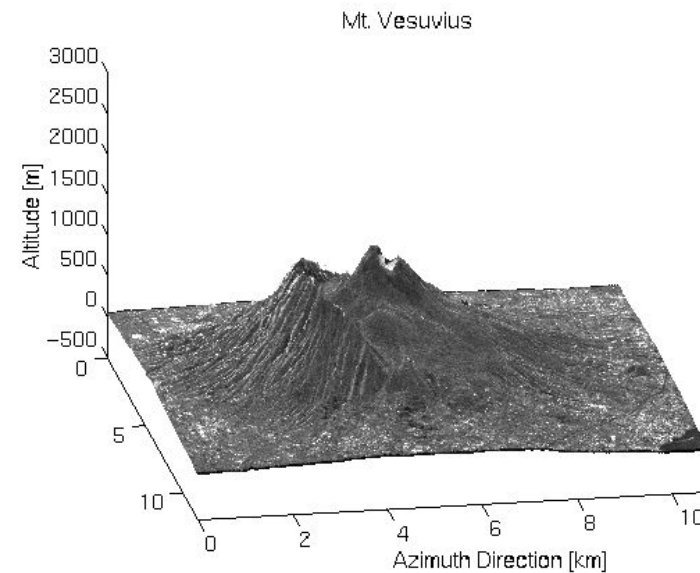
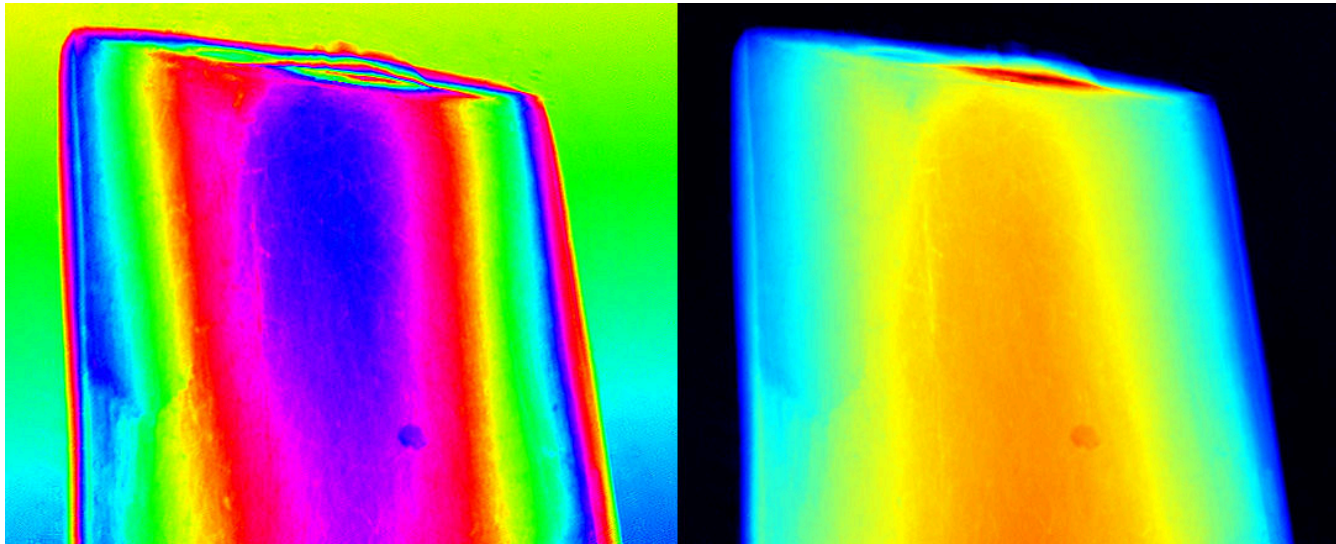


Phase unwrapping

- Phase is measured only in the interval $[0, 2\pi)$
- Physical phase shifts (which can be larger) are wrapped on this interval
 - Any multiple of 2π is possible
- Unwrapping: use correlations in the image to guess the total phase shift.
- Main difficulties:
 - aliasing: phase shifts are too rapid for the image sampling
 - noise: produces local singularities (vortices)
- Many strategies exist

Complex-valued images

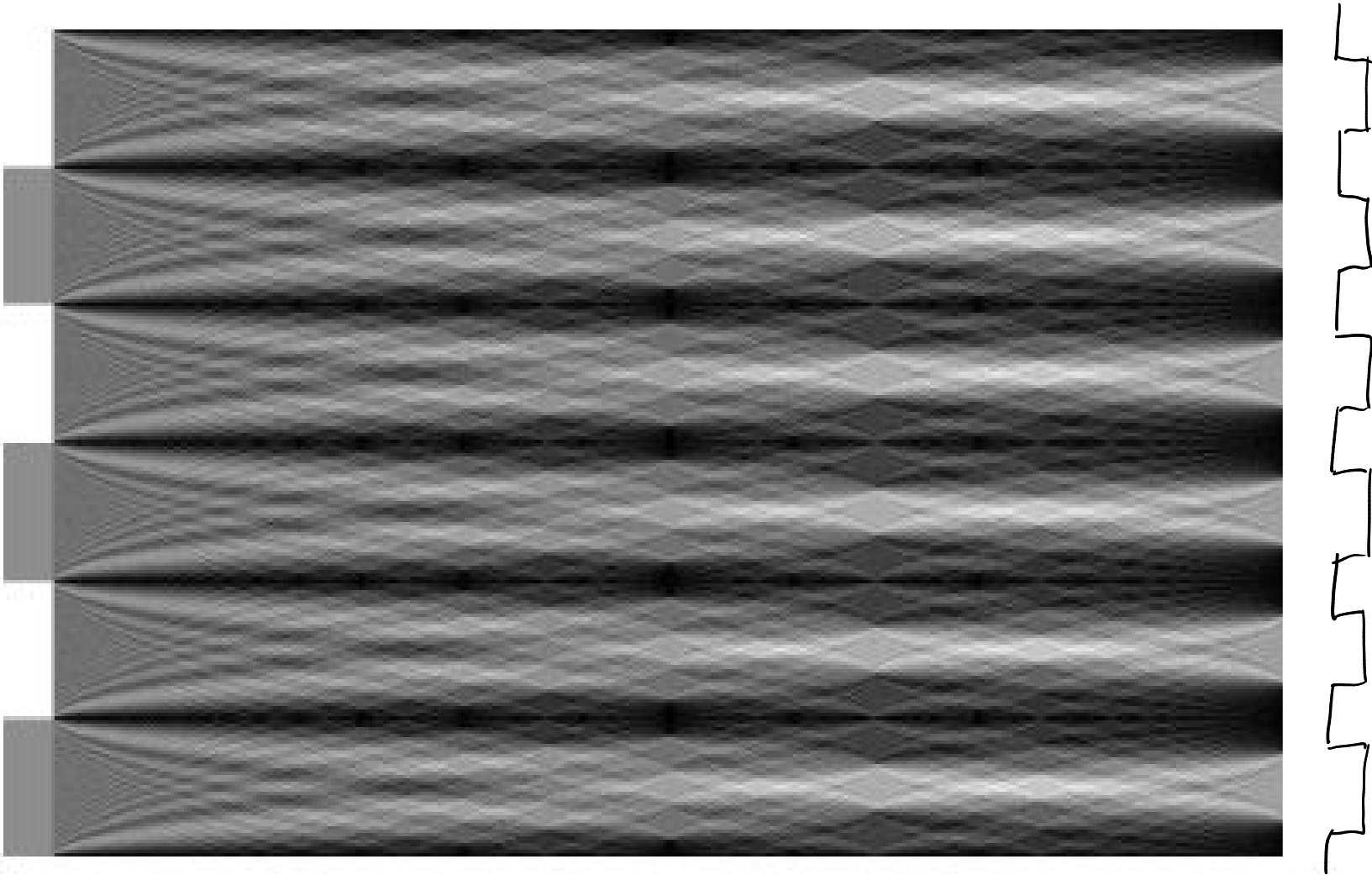
Phase unwrapping



Source: <http://earth.esa.int/workshops/ers97/program-details/speeches/rocca-et-al/>

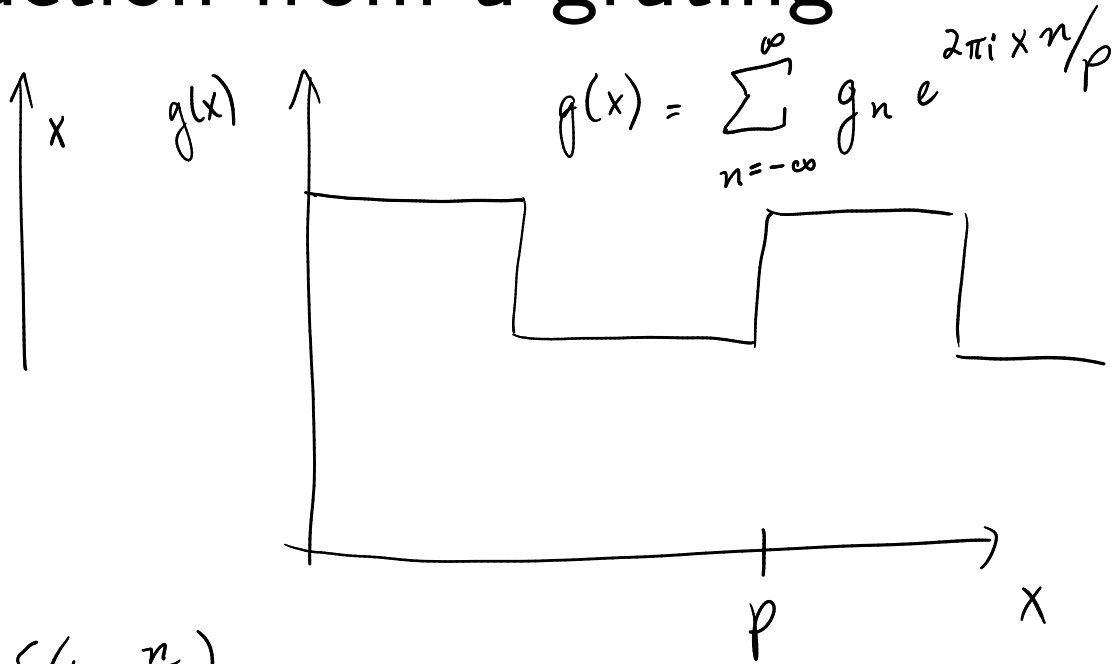
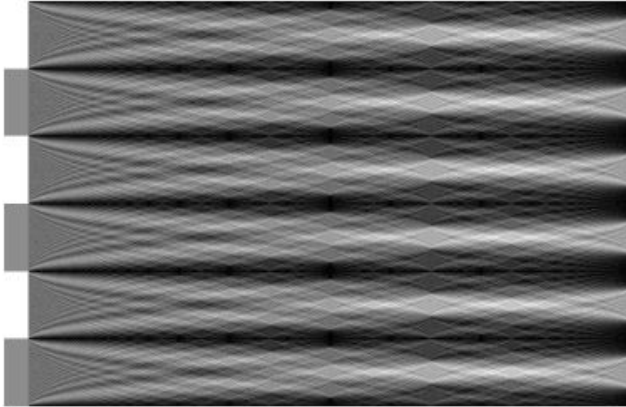
Grating interferometry

Diffraction from a grating

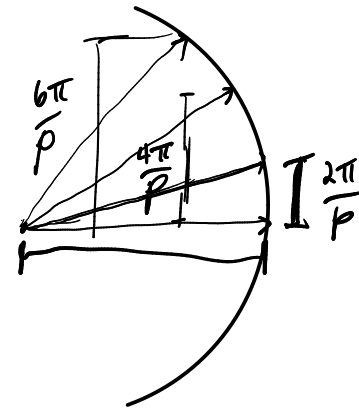
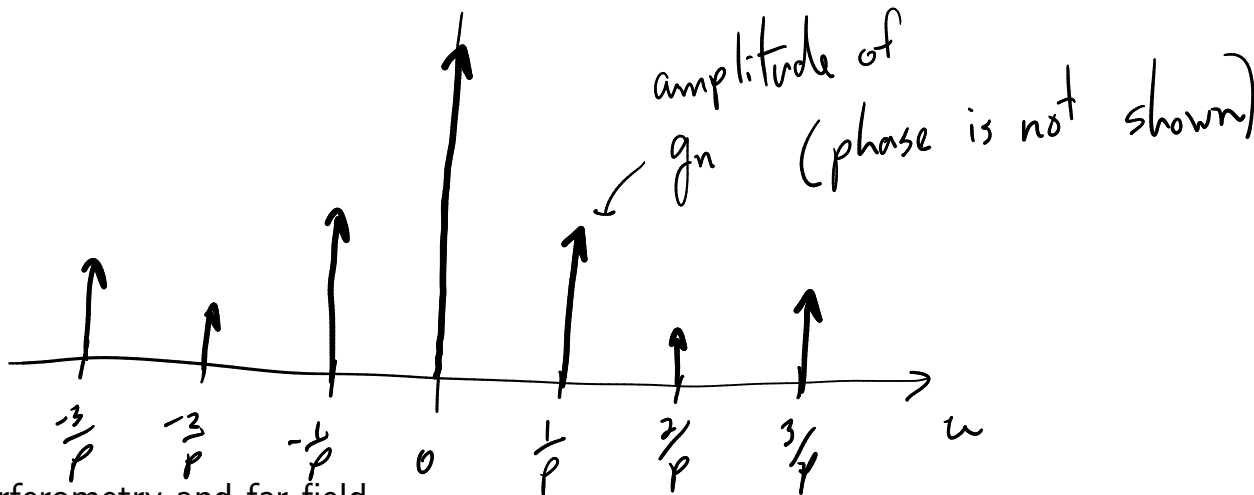


Grating interferometry

Diffraction from a grating

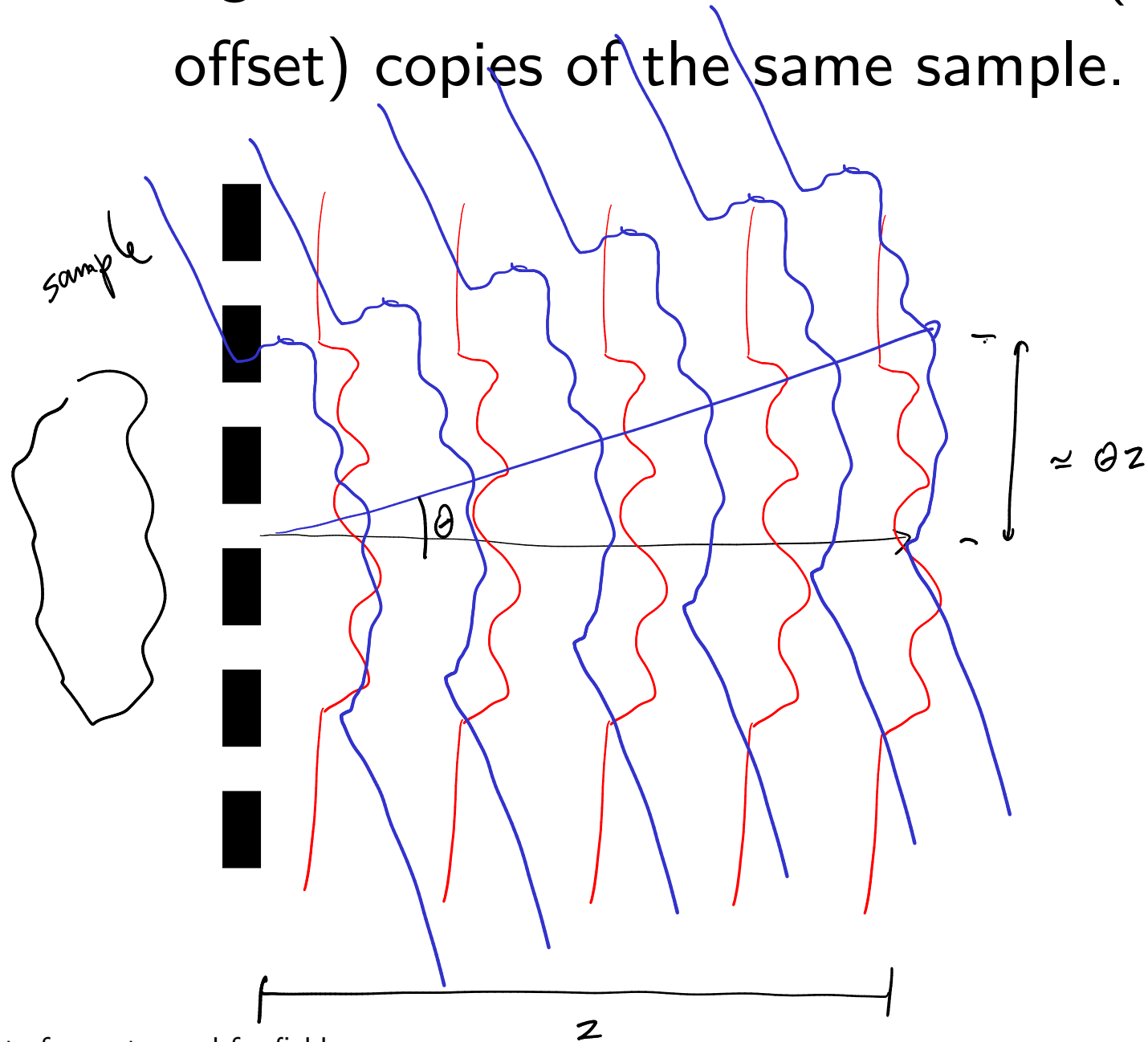


$$G(u) = \sum_{n=-\infty}^{\infty} g_n \delta(u - \frac{n}{p})$$



Grating interferometry

Observing the interference between two (slightly offset) copies of the same sample.



$$\theta = \frac{2\pi/p}{2\pi/\lambda} = \frac{\lambda}{p}$$

Grating interferometry

Observing the interference between two (slightly offset) copies of the same sample.

e.g. if only orders ± 1 are relevant,

$$\psi(\vec{r}; z) = \psi_0\left(\vec{r} + \frac{z\lambda}{p}\hat{x}\right) e^{2\pi i x/p} + \psi_0\left(\vec{r} - \frac{z\lambda}{p}\hat{x}\right) e^{-2\pi i x/p}$$

⋮

"differential phase contrast"

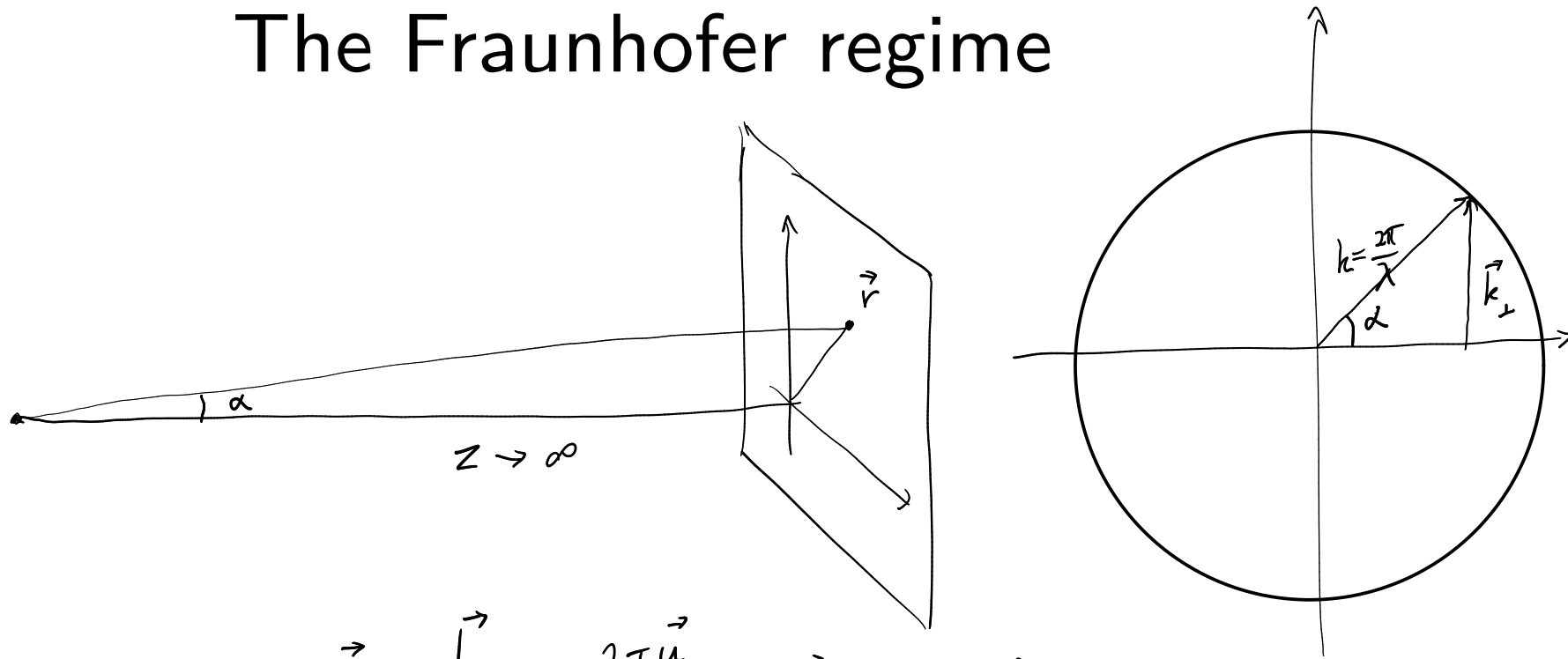
$$\psi_0 \sim a e^{i\varphi}$$

$$\approx \frac{2z\lambda}{p} \left[\frac{\partial \varphi}{\partial x} \right]$$

$$\underline{I}(\vec{r}; z) = \underbrace{2a^2(\vec{r}) + 2a\left(\vec{r} + \frac{z\lambda}{p}\hat{x}\right)a\left(\vec{r} - \frac{z\lambda}{p}\hat{x}\right)}_{\sim a^2(\vec{r})} \cos\left[\varphi\left(\vec{r} + \frac{z\lambda}{p}\hat{x}\right) - \varphi\left(\vec{r} - \frac{z\lambda}{p}\hat{x}\right) + 4\pi x/p\right]$$

Far-field diffraction

The Fraunhofer regime

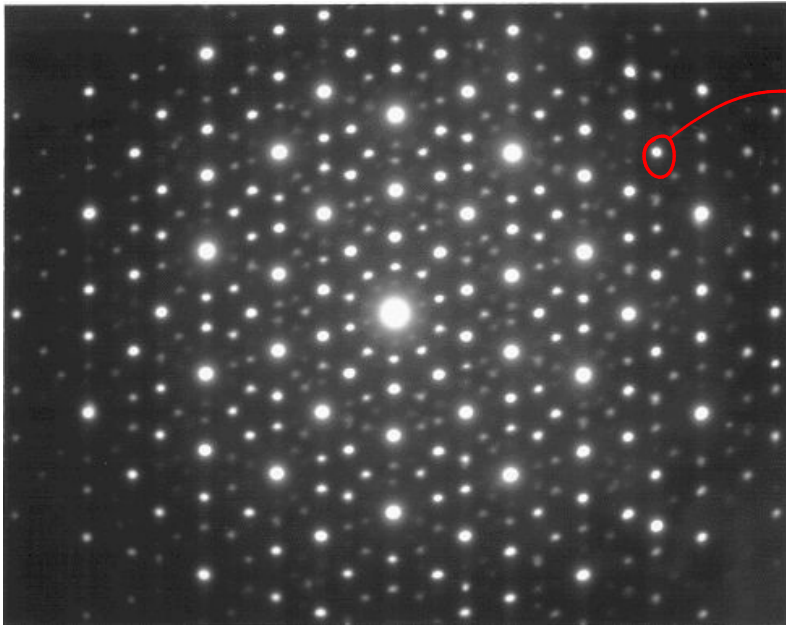
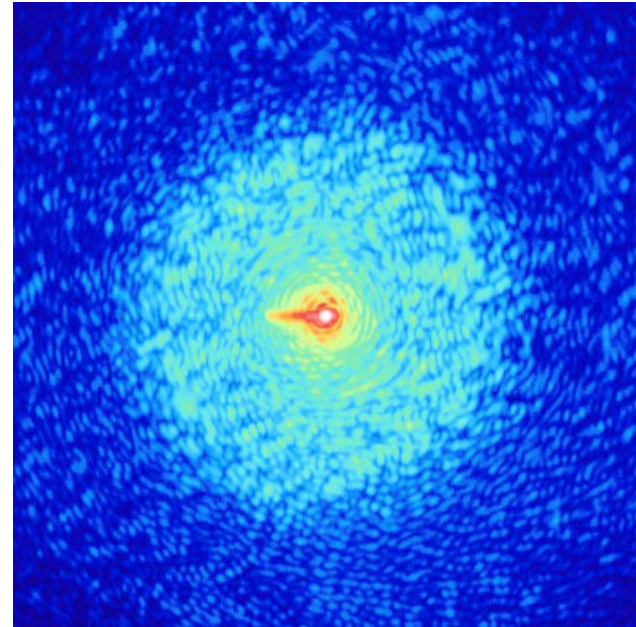
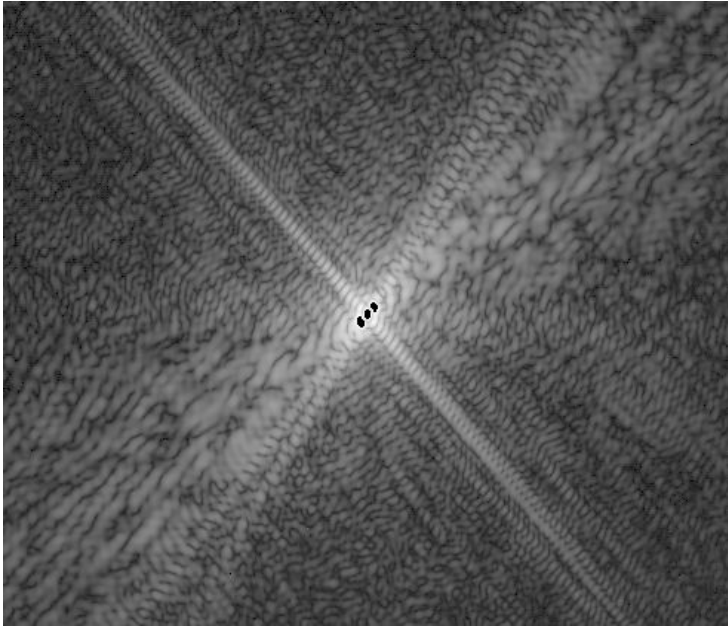


$$\frac{\vec{r}}{z} = \frac{k_{\perp}}{k} = \frac{2\pi \vec{u}}{2\pi/\lambda} = \lambda \vec{u} = \sin \alpha$$

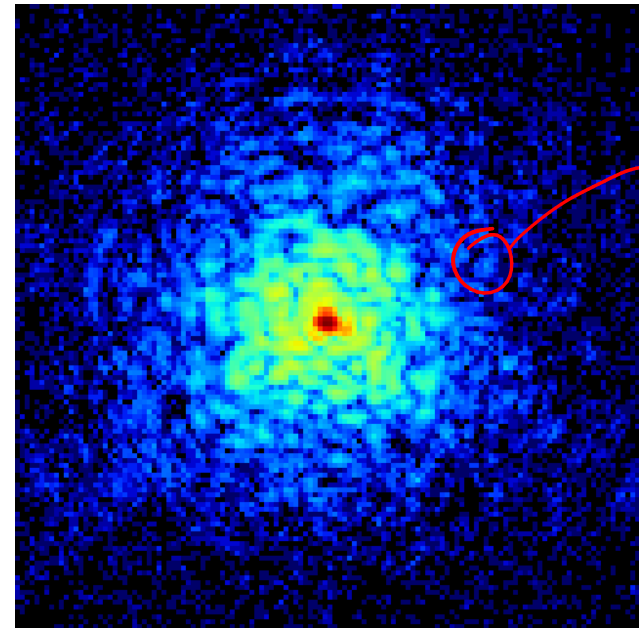
$$\psi(\vec{r}) = \frac{-2\pi i}{\lambda z} \exp\left(\frac{i\pi r^2}{\lambda z}\right) \int d^2 r' \psi(\vec{r}') \exp\left(-2\pi i \vec{r}' \cdot \underbrace{\frac{\vec{r}}{\lambda z}}_{\vec{u}}\right)$$

$$|\psi(r)|^2 \propto |\mathcal{F}\psi|^2 = \mathcal{I}(\vec{u})$$

Diffraction patterns



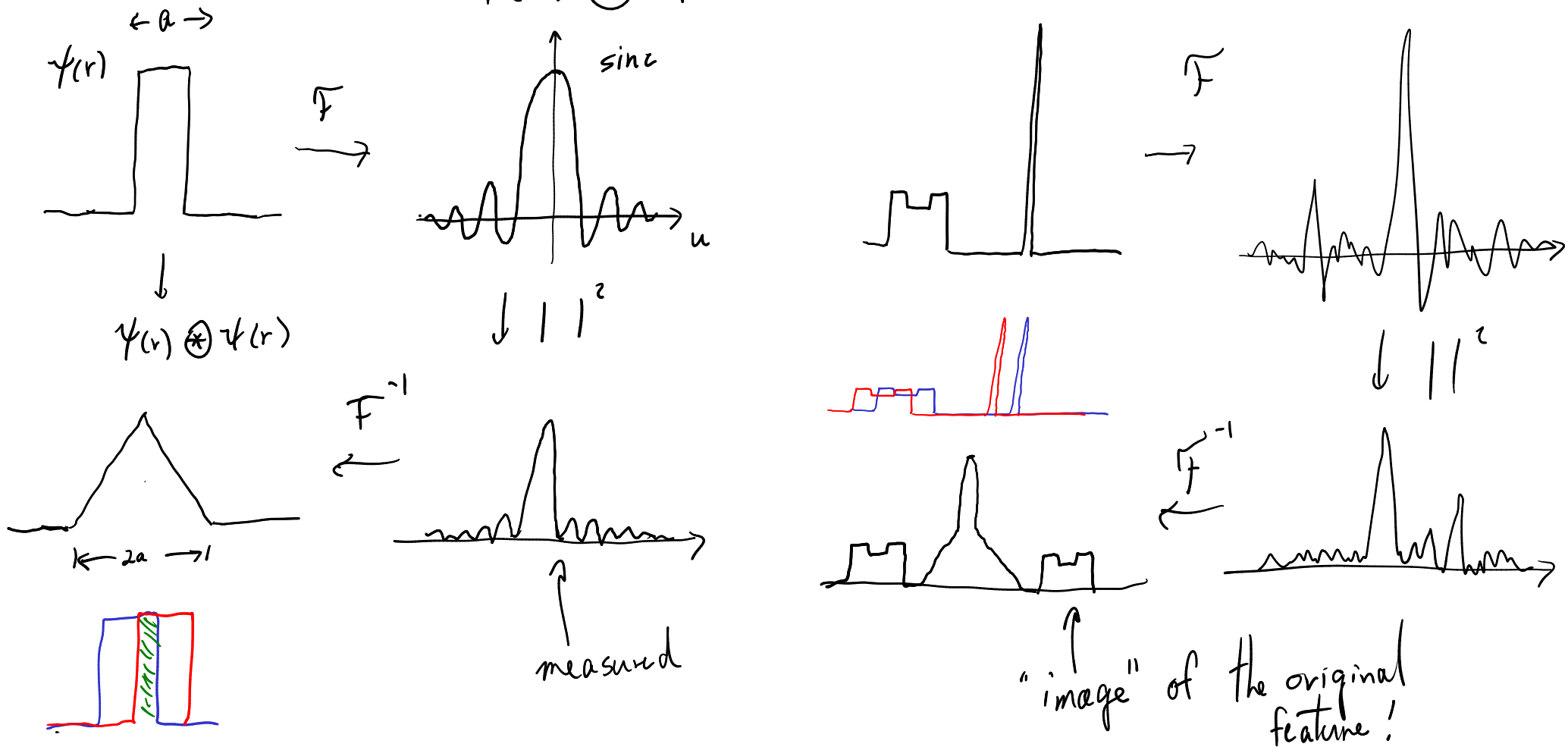
Bragg peaks



speckles

Diffraction and autocorrelation

$$\begin{aligned}
 \mathcal{F}^{-1}\{I(\vec{u})\} &\leftarrow \text{not } \psi(\vec{r})! \\
 &= \mathcal{F}^{-1}\{\psi(\vec{u}) \cdot \psi^*(\vec{u})\} & \mathcal{F}^{-1}\{F \cdot G^*\} &= \text{correlation} \\
 &= \psi(\vec{r}) \otimes \psi(\vec{r}) & \text{autocorrelation} &
 \end{aligned}$$



"image" of the original feature!

Fourier transform holography

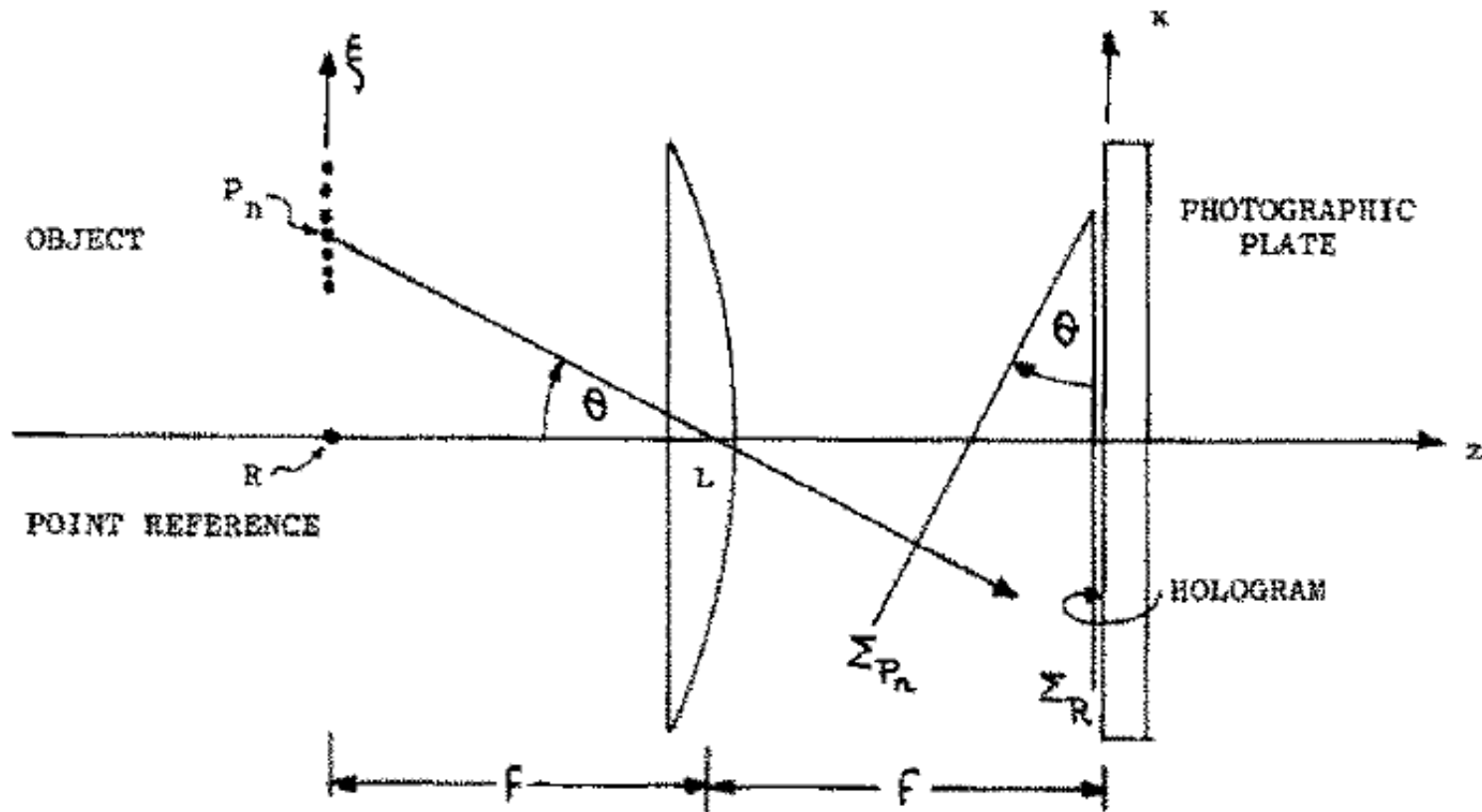
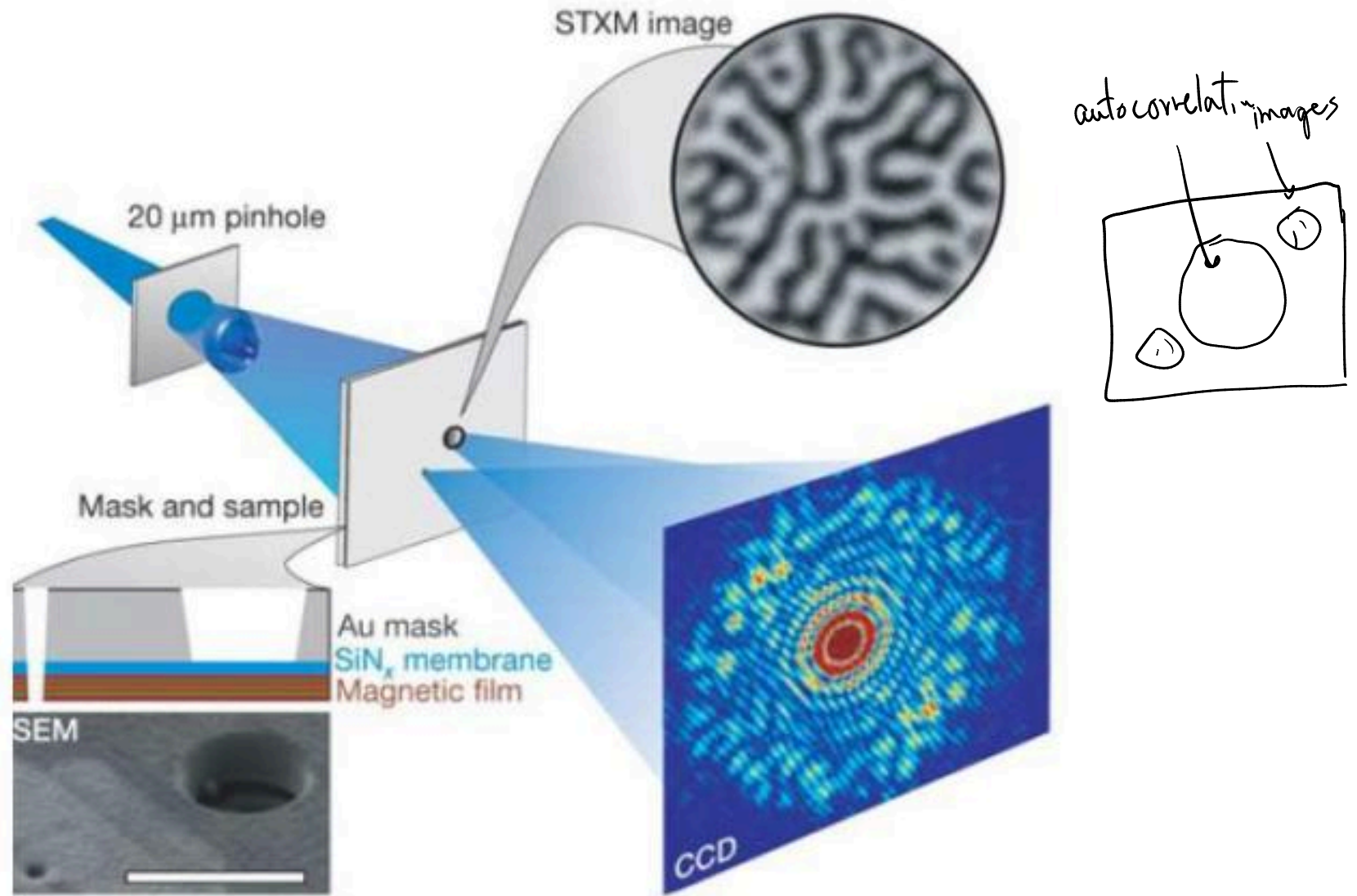


Fig. 1. Recording of a Fourier-transform hologram with a lens L . Σ_R = reference wavefront.

Source: G. Stroke, Appl. Phys. Lett. **6**, 201-203 (1965).

Fourier transform holography



Source: S. Eisebitt et al., Nature **432**, 885-888 (2004).

Fourier transform holography

$$\psi(\vec{r}) = \psi_R(\vec{r}) + \psi_0(\vec{r})$$

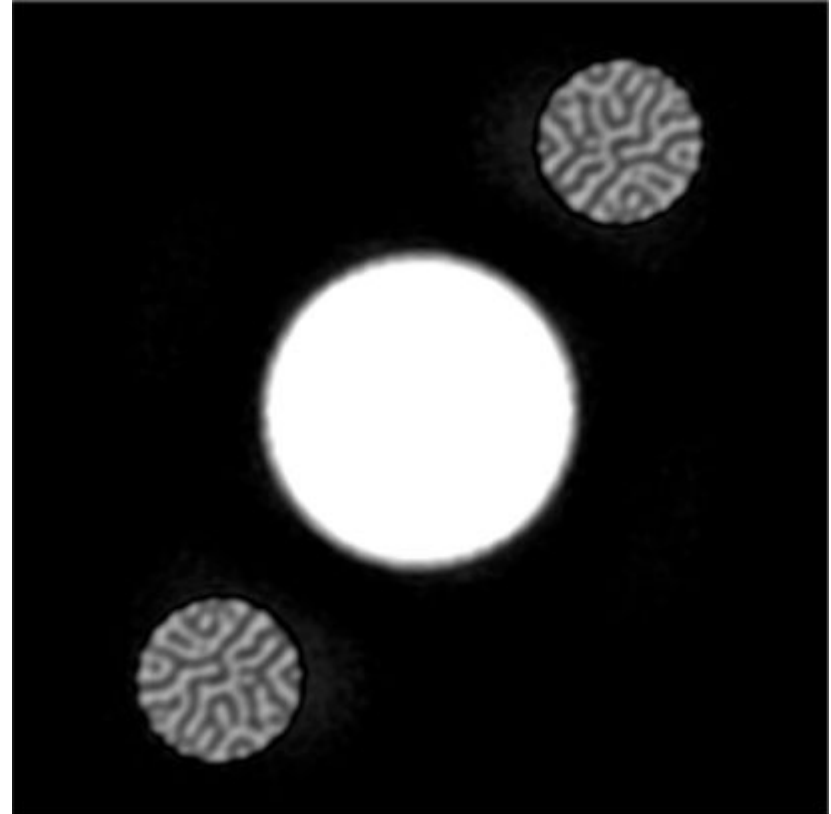
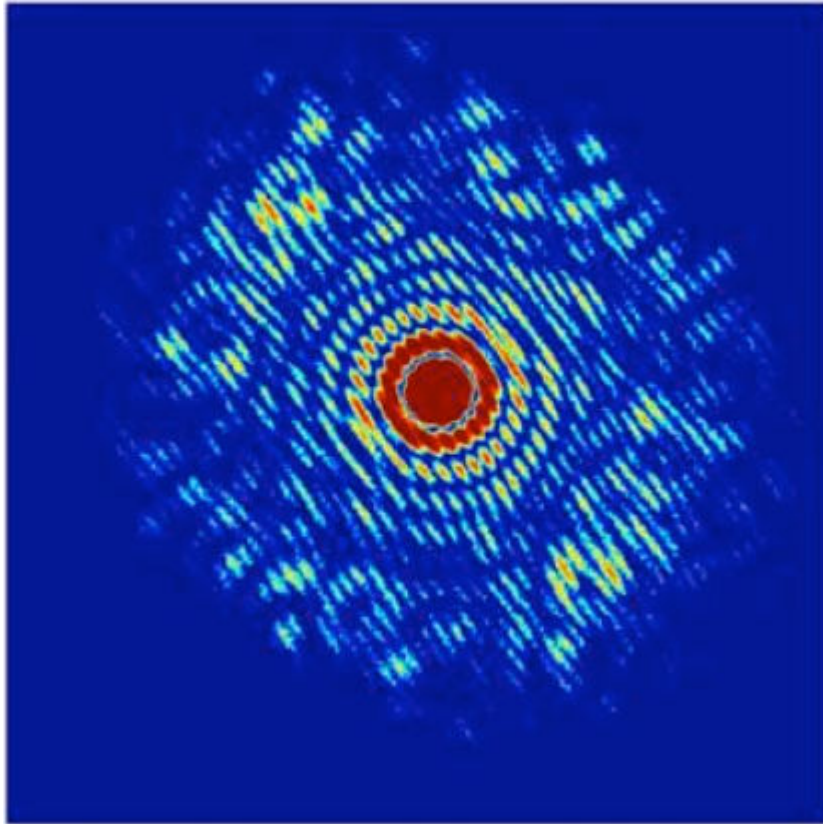
$$\tilde{\psi}(\vec{u}) = \tilde{\psi}_R(\vec{u}) + \tilde{\psi}_0(\vec{u}) \quad \leftarrow \text{Fourier transform (far-field or back focal plane of a lens)}$$

$$\mathcal{I}(\vec{u}) = |\psi_R(\vec{u})|^2 + |\psi_0(\vec{u})|^2 + \tilde{\psi}_R(\vec{u}) \psi_0^*(\vec{u}) + \text{c.c.}$$

$$\mathcal{F}^{-1}\{\mathcal{I}(\vec{u})\} = \underbrace{\psi_R \otimes \psi_R + \psi_0 \otimes \psi_0}_{\text{autocorrelations superimposed at the origin}} + \underbrace{\psi_R \otimes \psi_0 + \psi_0 \otimes \psi_R}_{\text{cross-correlations}}$$

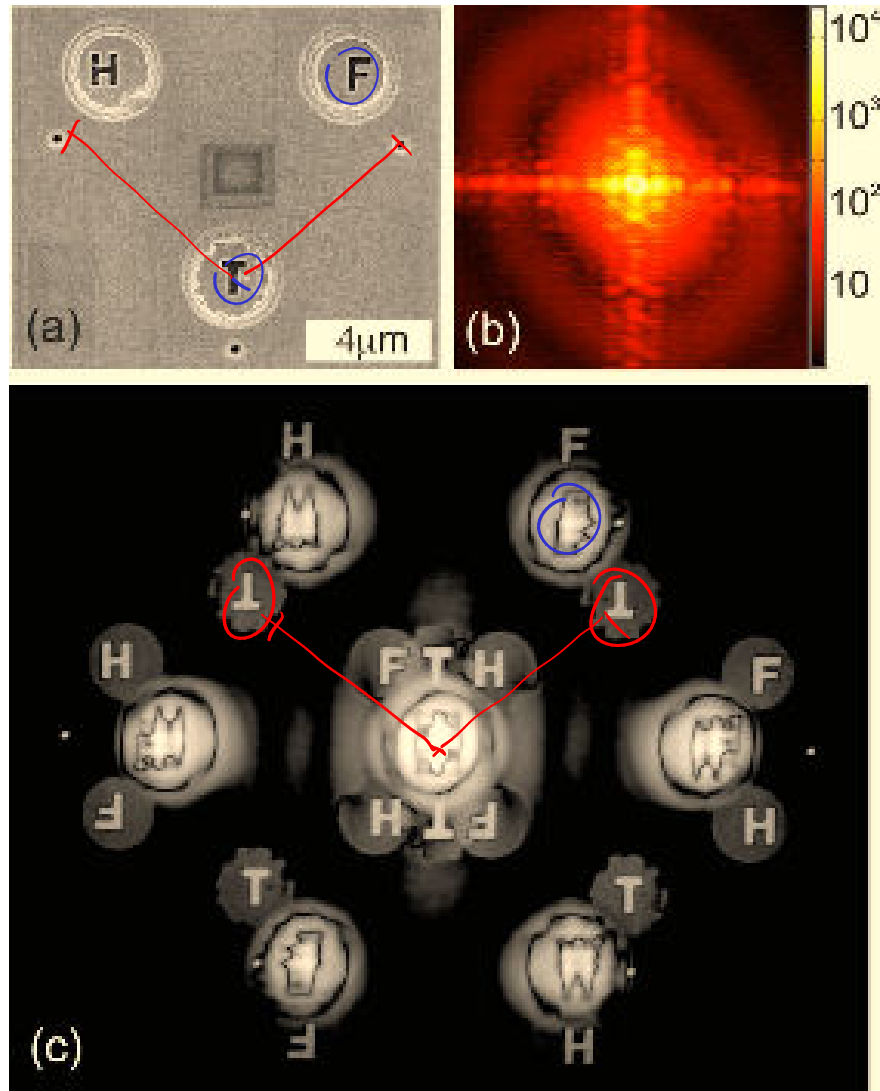
Fourier transform holography

*autocorrelation is always centrosymmetric
because F.T. of a real quantity*



Fourier transform holography

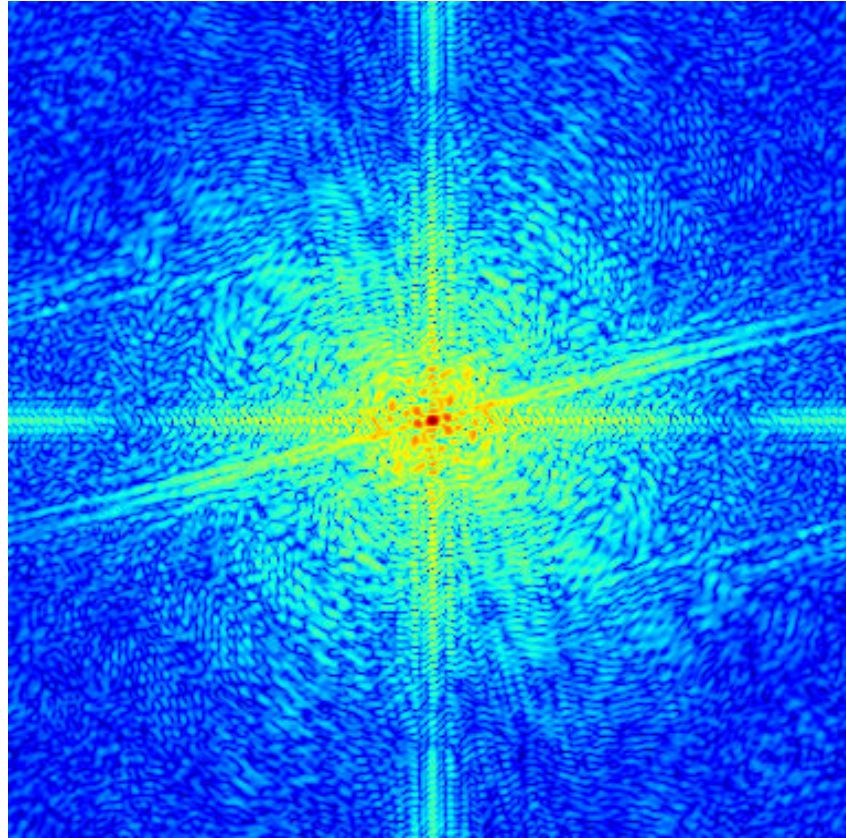
Multiple references



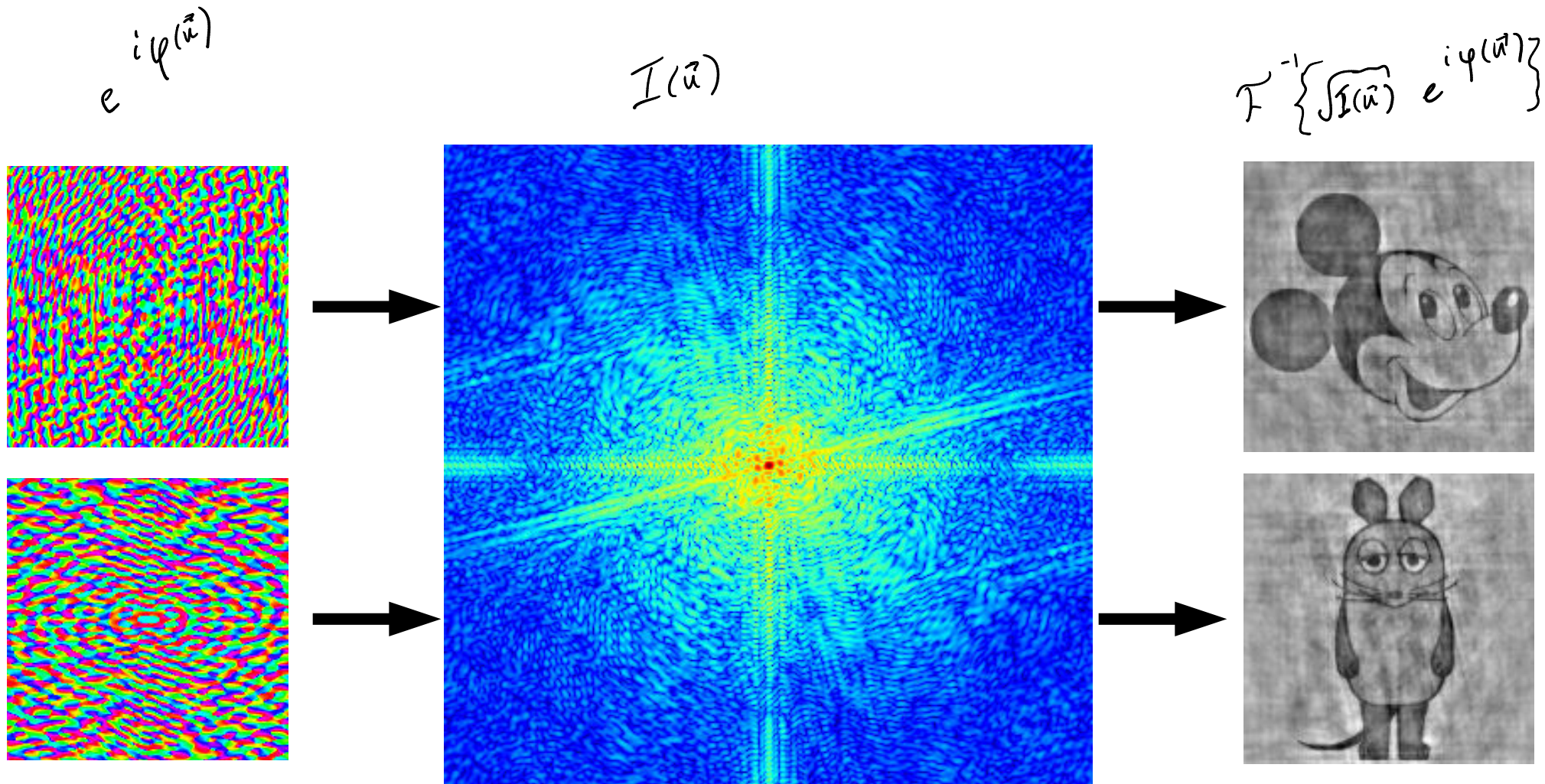
Source: W. Schlotter et al., Opt. Lett. **21**, 3110-3112 (2006).

Coherent diffractive imaging

Diffractive pattern of an isolated sample

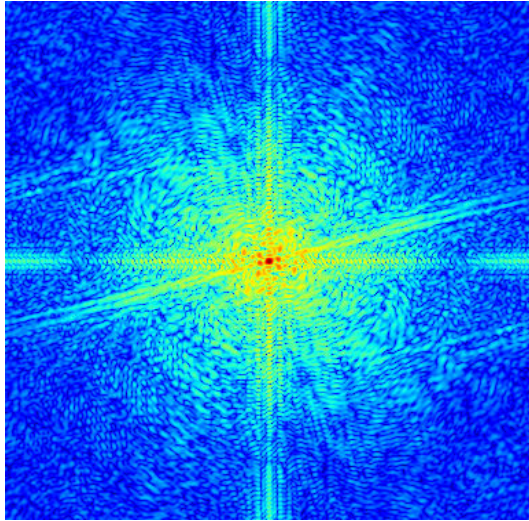


The phase problem

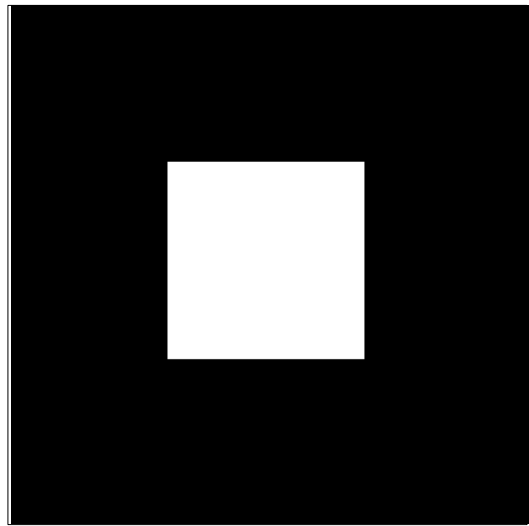


Finding the true $\varphi(\vec{u})$ is a difficult problem

Coherent diffractive imaging

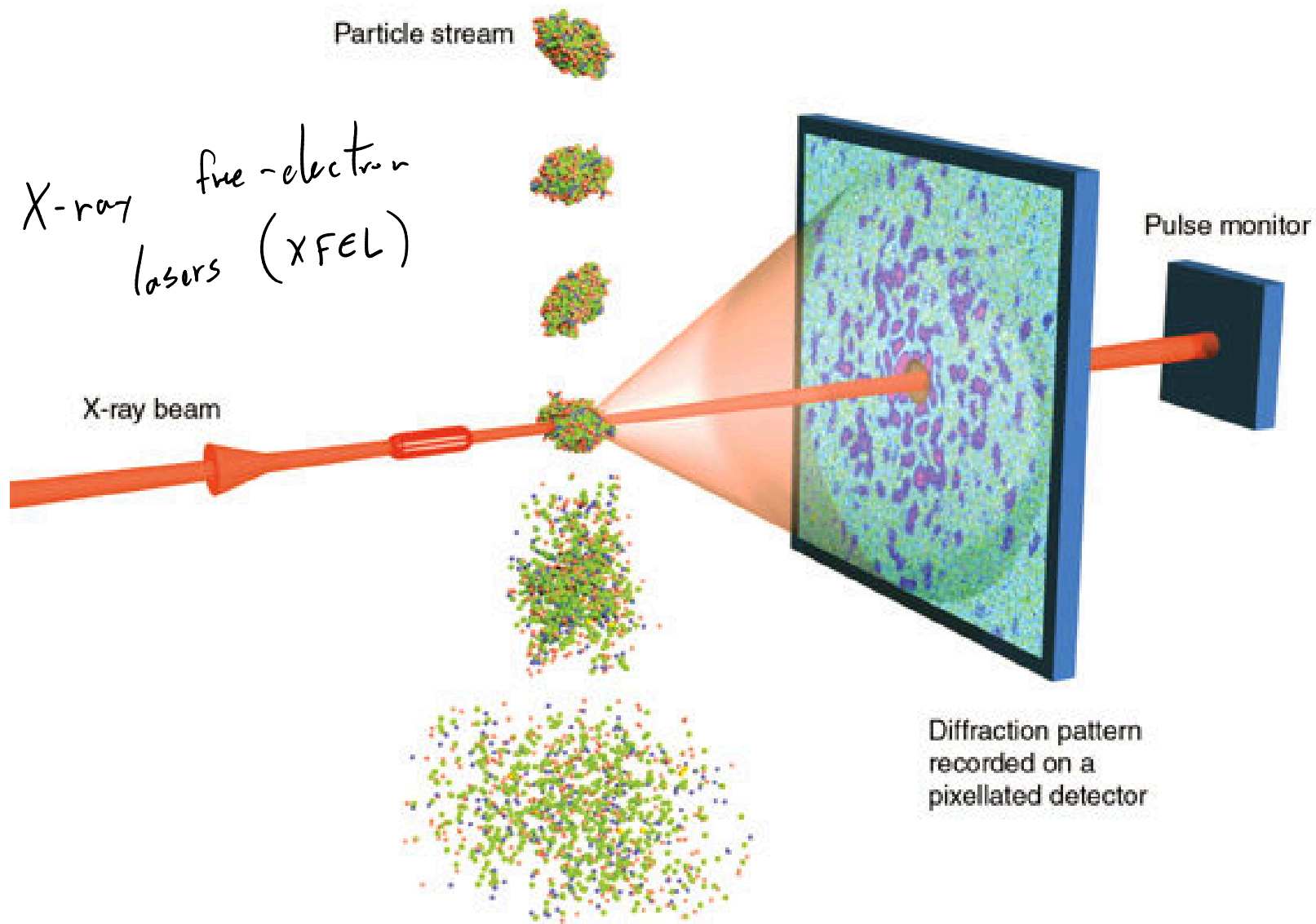


1. Solution has to be consistent with the measured Fourier amplitudes



2. Solution is isolated

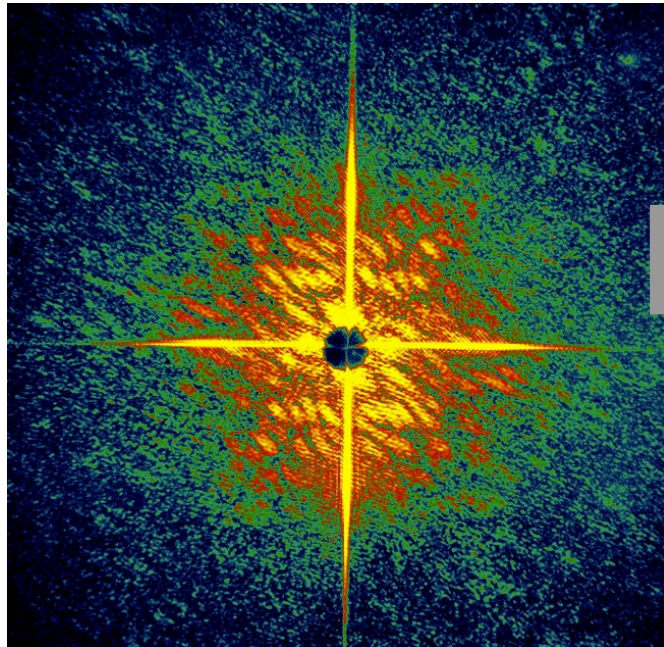
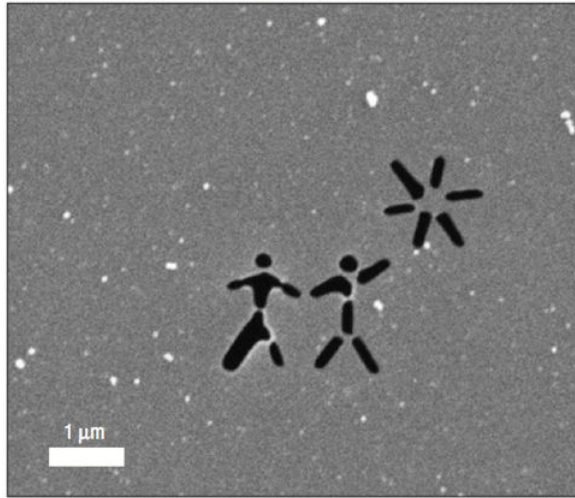
Radiation damage limits on radiation



R. Neutze *et al*, Nature **406**, 752 (2000)

K. J. Gaffney *et al*, Science **316**, 1444 (2007)

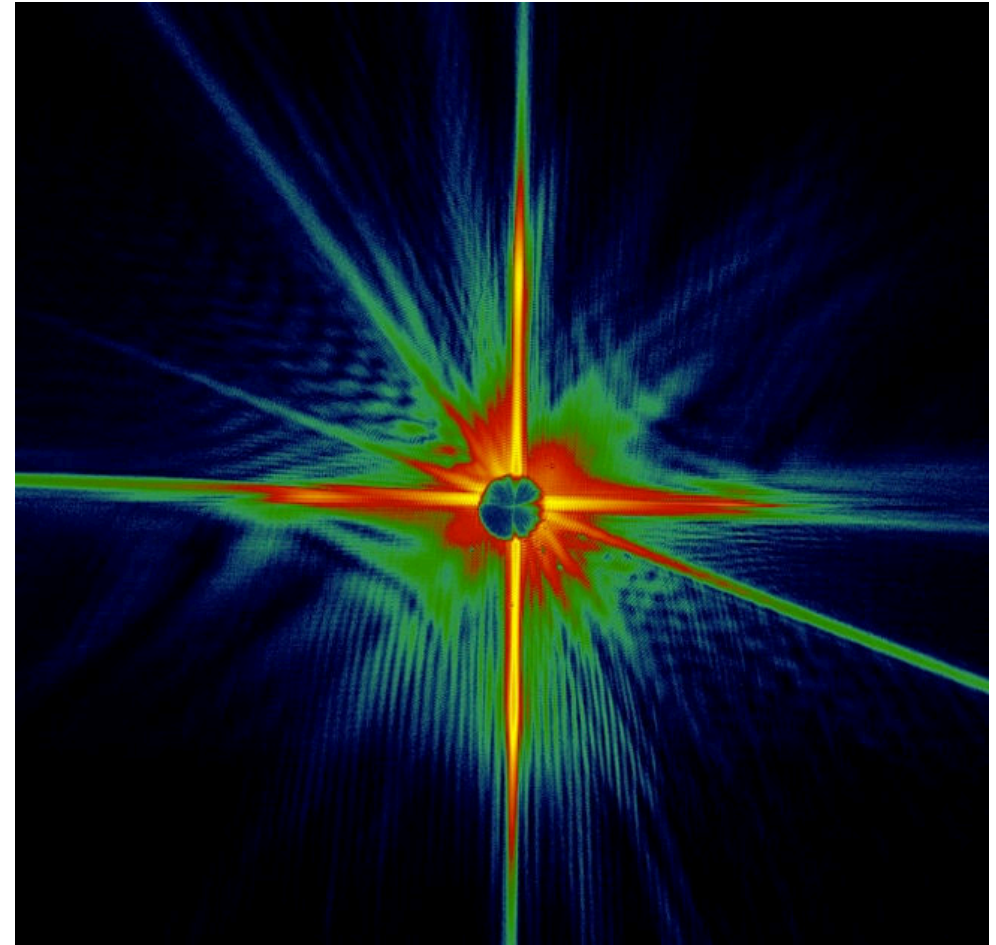
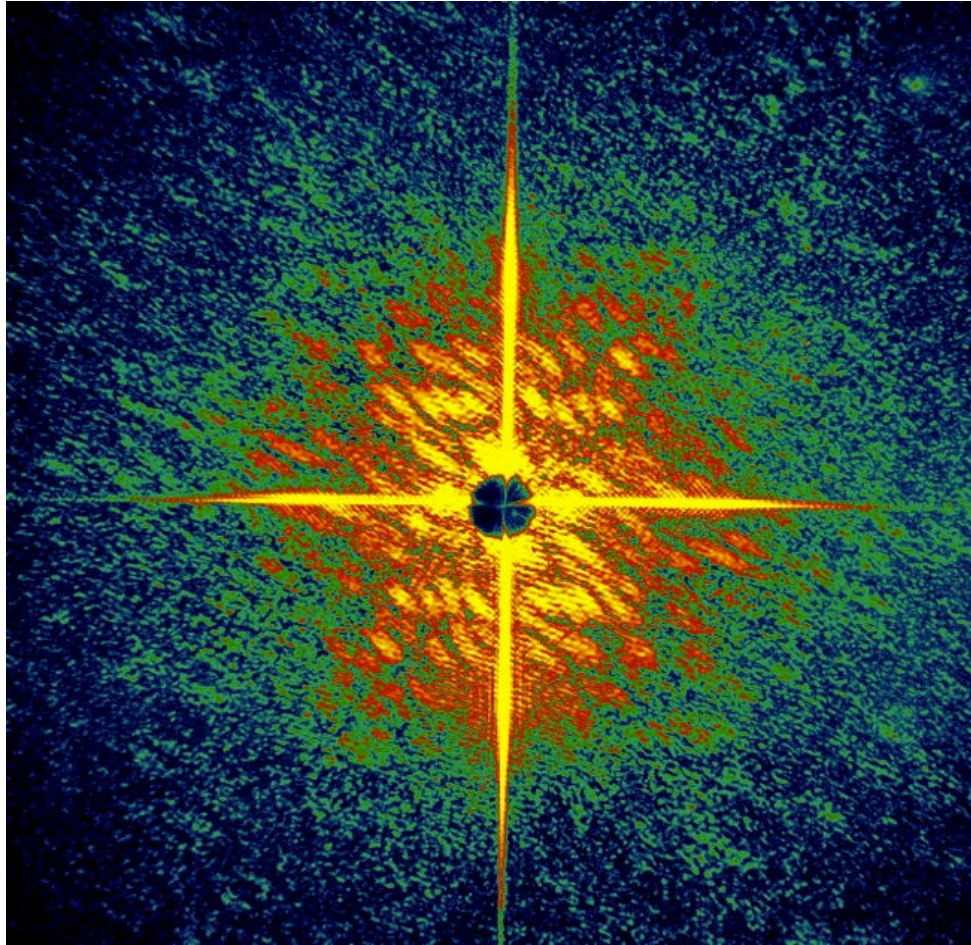
“Diffraction before destruction”



H. N. Chapman *et al*, Nat. Phys. **2**, 839 (2006)

“Diffraction before destruction”

The imaging pulse vaporized the sample



Ptychography

- Scanning an isolated illumination on an extended specimen
- Measure full coherent diffraction pattern at each scan point
- Combine everything to get a reconstruction

Dynamische Theorie der Kristallstrukturanalyse durch Elektronenbeugung im inhomogenen Primärstrahlwellenfeld

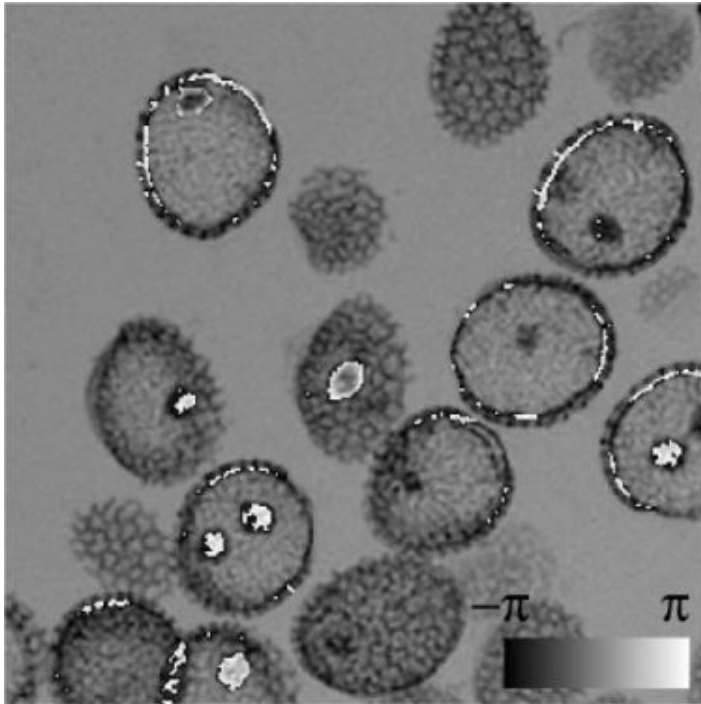
Von R. Hegerl und W. Hoppe

Some time ago a new principle was proposed for the registration of the complete information (amplitudes and phases) in a diffraction diagram, which does not – as does Holography – require the interference of the scattered waves with a single reference wave. The basis of the principle lies in the interference of neighbouring scattered waves which result when the object function $g(x, y)$ is multiplied by a generalized primary wave function $p(x, y)$ in Fourier space (diffraction diagram) this is a convolution of the Fourier transforms of these functions. The above mentioned interferences necessary for the phase determination can be obtained by suitable choice of the shape of $p(x, y)$. To distinguish it from holography this procedure is designated "ptychography" ($\pi\tau v\zeta = \text{fold}$). The procedure is applicable to periodic and aperiodic structures. The relationships are simplest for plane lattices. In this paper the theory is extended to space lattices both with and without consideration of the dynamic theory. The resulting effects are demonstrated using a practical example.

Ptychography

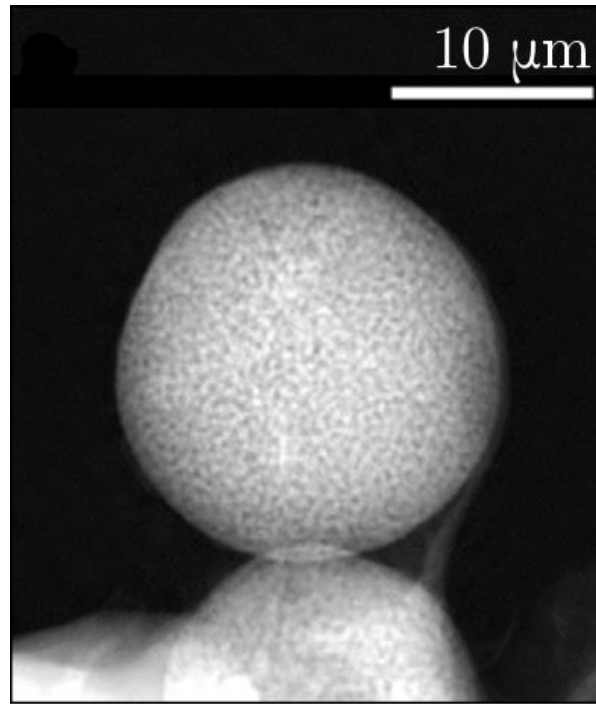
A few examples

Visible light



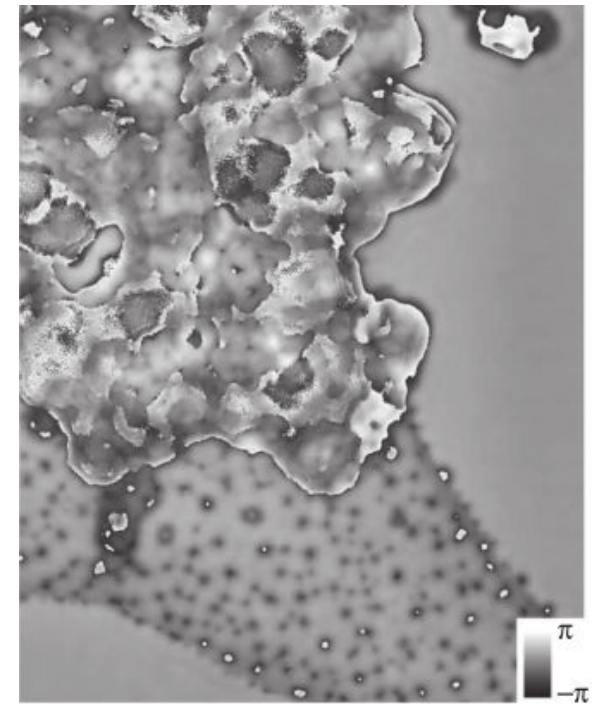
A. Maiden *et al.*, *Opt. Lett.* **35**, 2585-2587 (2010).

X-rays



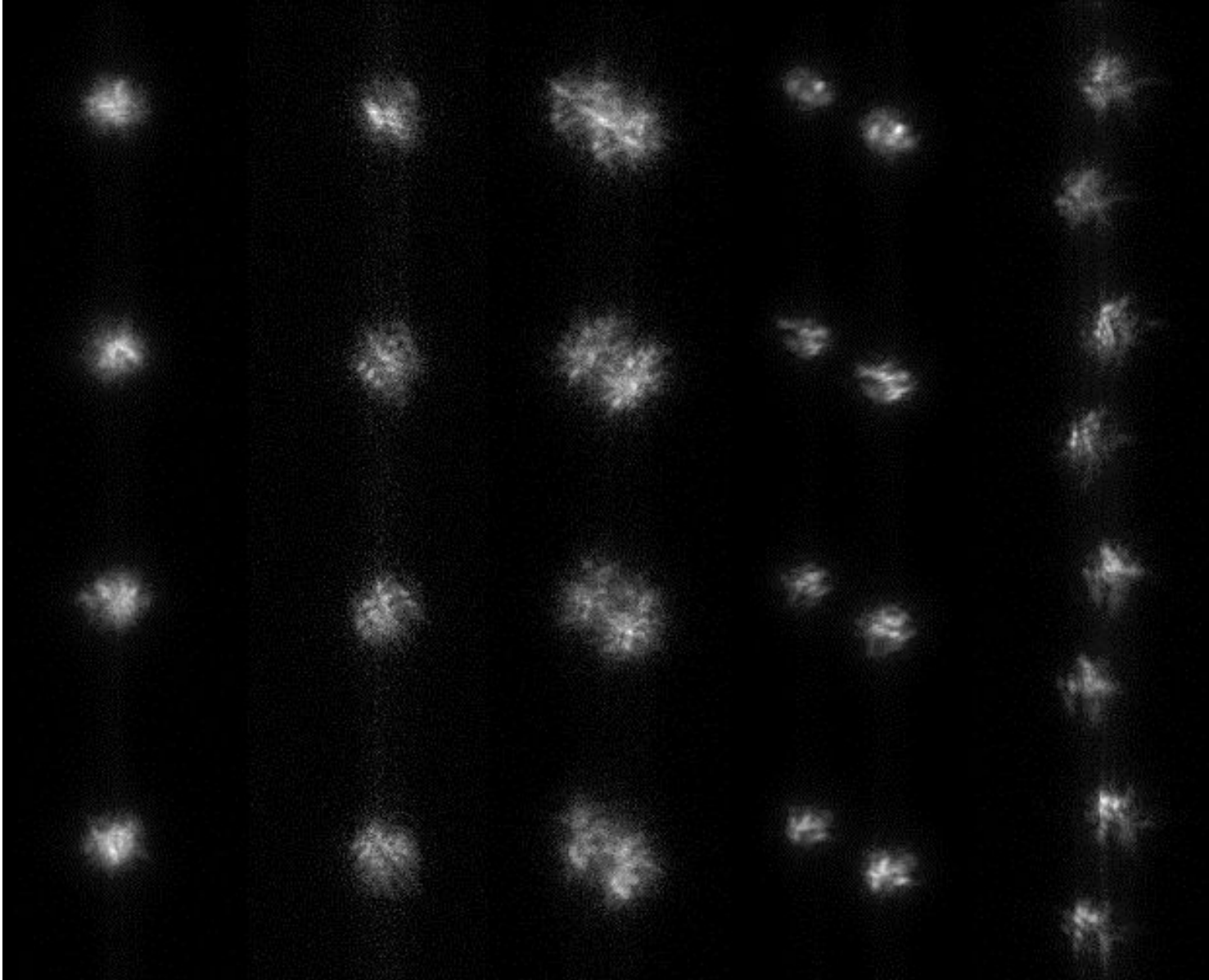
P. Thibault *et al.*, *New J. Phys* **14**, 063004 (2012).

electrons



M. Humphry *et al.*, *Nat. Comm.* **3**, 730 (2012).

Speckle imaging in astronomy



Source:<http://www.cis.rit.edu/research/thesis/bs/2000/hoffmann/thesis.html>

Speckle imaging in astronomy

Model

$$I(\vec{r}) = \overset{\text{object}}{O} * |P|^2 \quad \text{"instantaneous PSF"}$$

$$\tilde{I} = \tilde{O} \cdot P_A \quad \text{autocorrelation of PSF}$$

$$|\tilde{I}|^2 = |\tilde{O}|^2 \cdot |P_A|^2$$

known quantity

(from understanding of turbulence in atmosphere)

average over multiple independent measurements

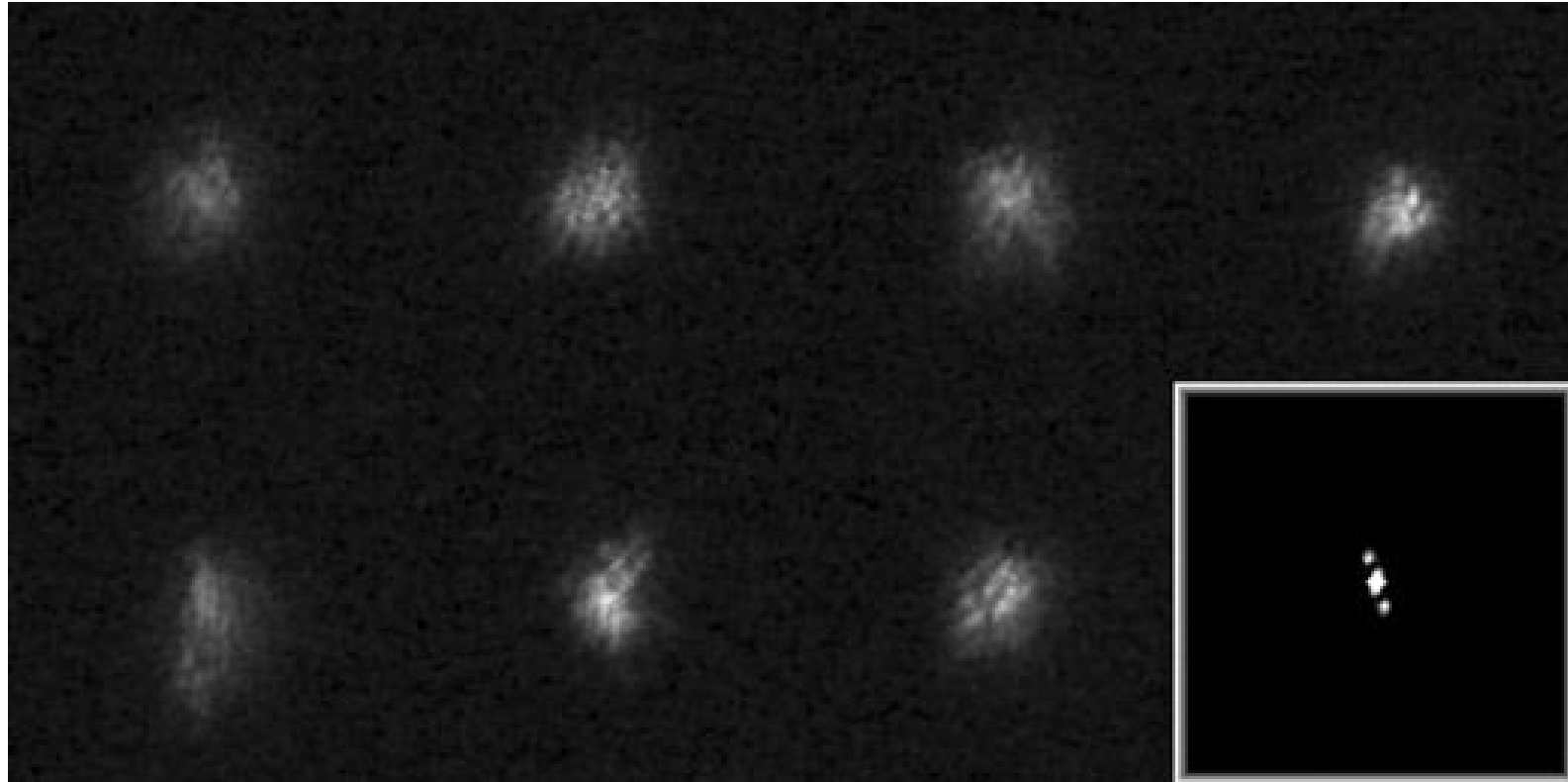
$$\langle |\tilde{I}|^2 \rangle = |\tilde{O}|^2 \langle |P_A|^2 \rangle$$

$$|\tilde{O}|^2 = \frac{\langle |I|^2 \rangle}{\langle |P_A|^2 \rangle} \quad \text{known}$$

reconstruct O from $|\tilde{O}|^2$ same problem as before!

Speckle imaging in astronomy

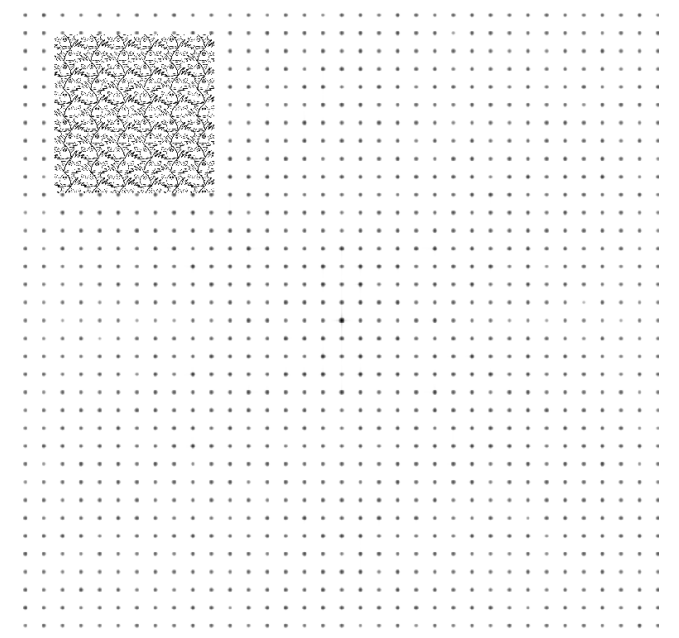
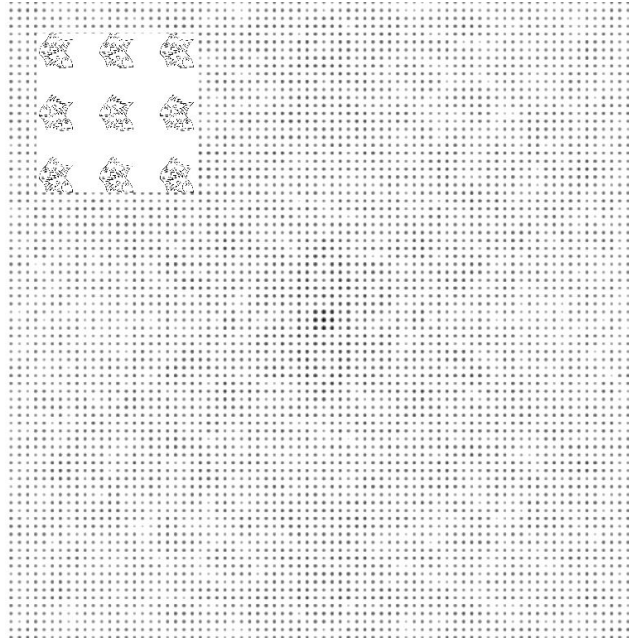
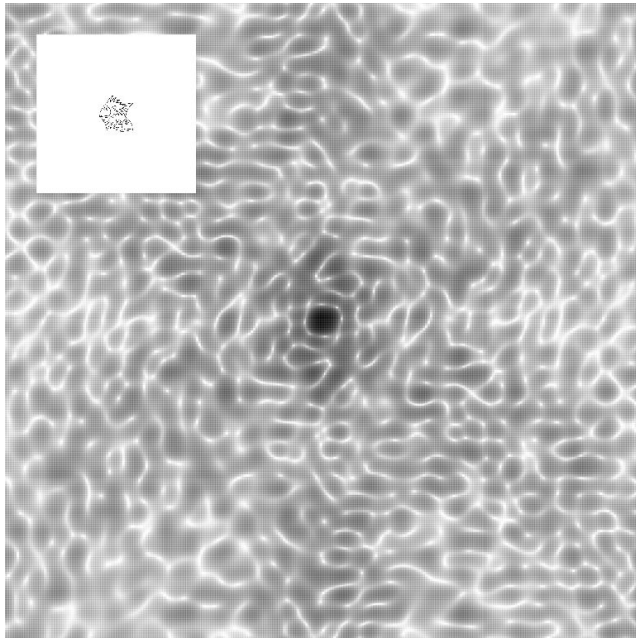
Retrieval of the autocorrelation



Source: <http://www.astrosurf.com/hfosaf/uk/speckle10.htm>

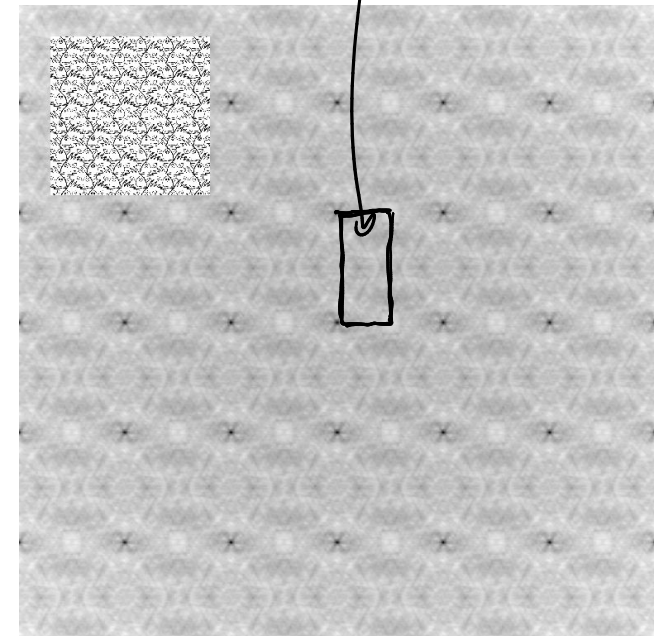
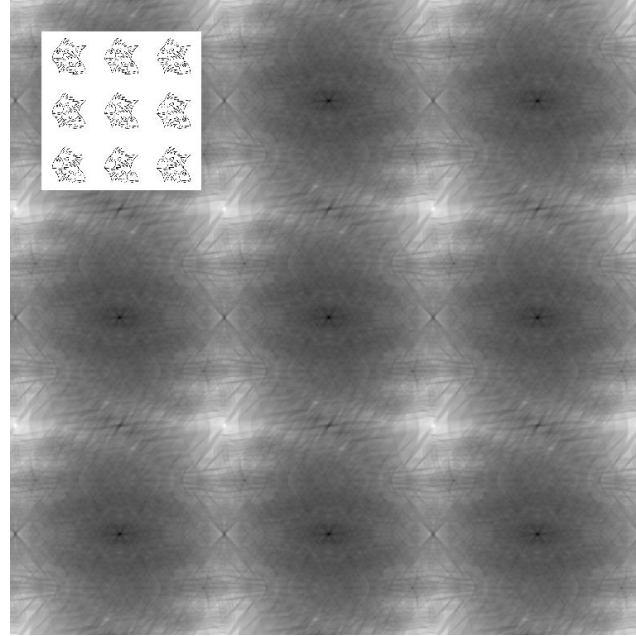
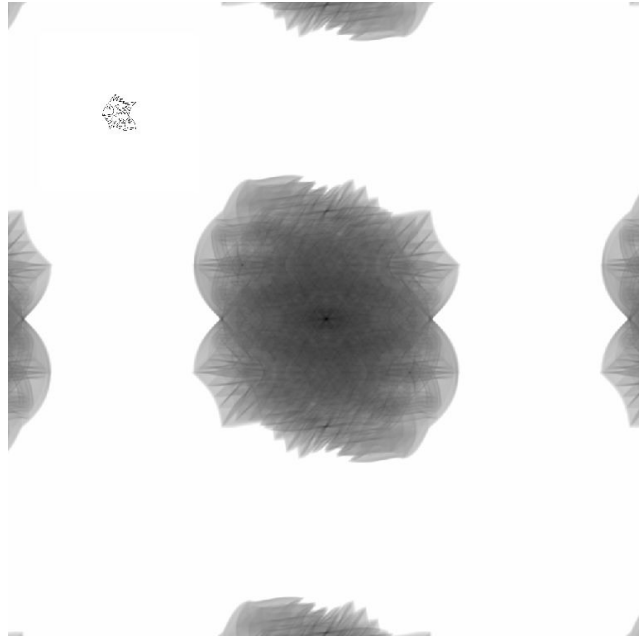
Crystallography

Diffraction by a crystal: Bragg peaks



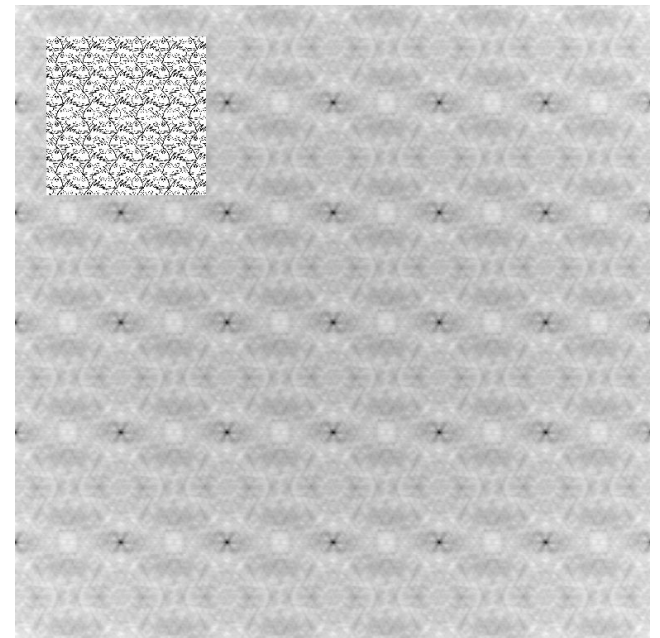
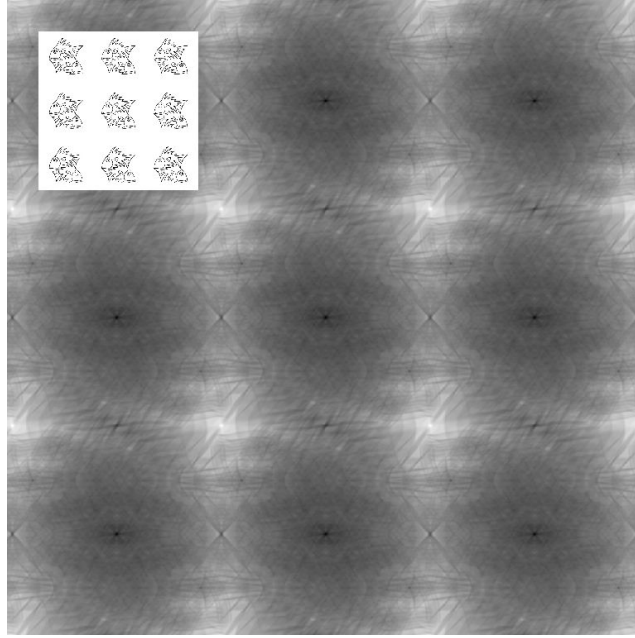
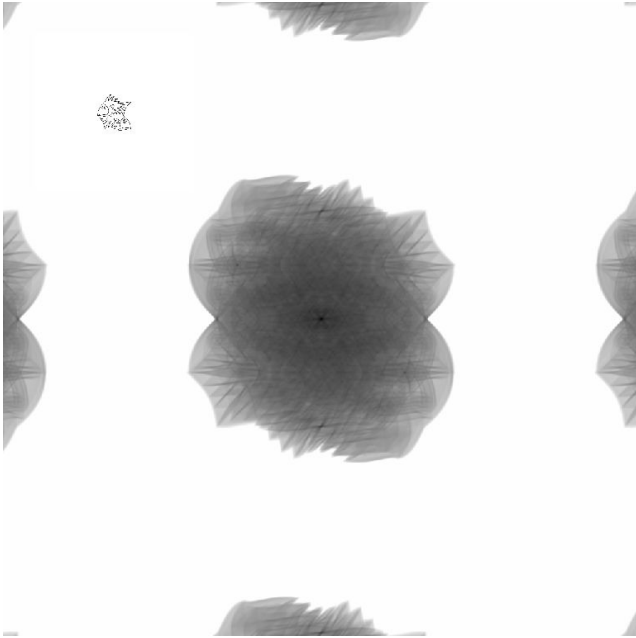
Crystallography

Fourier transform of intensity: autocorrelation



*only this information
is encoded in the
measurements*

Crystallography

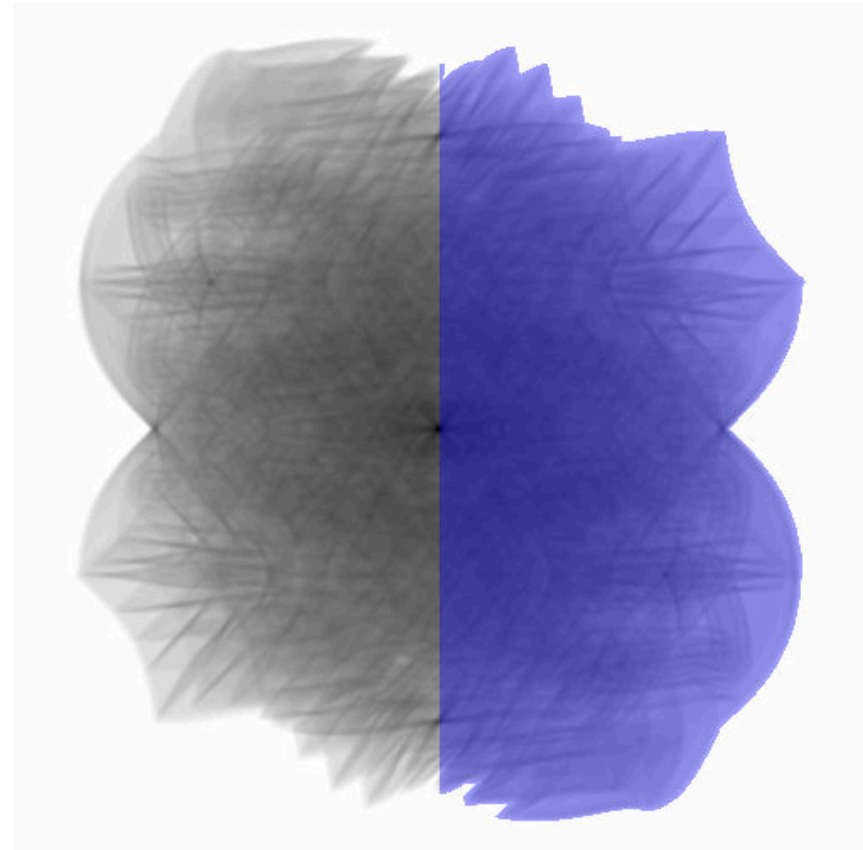


Crystallography

Problem is overconstrained with an isolated sample



unknowns = N

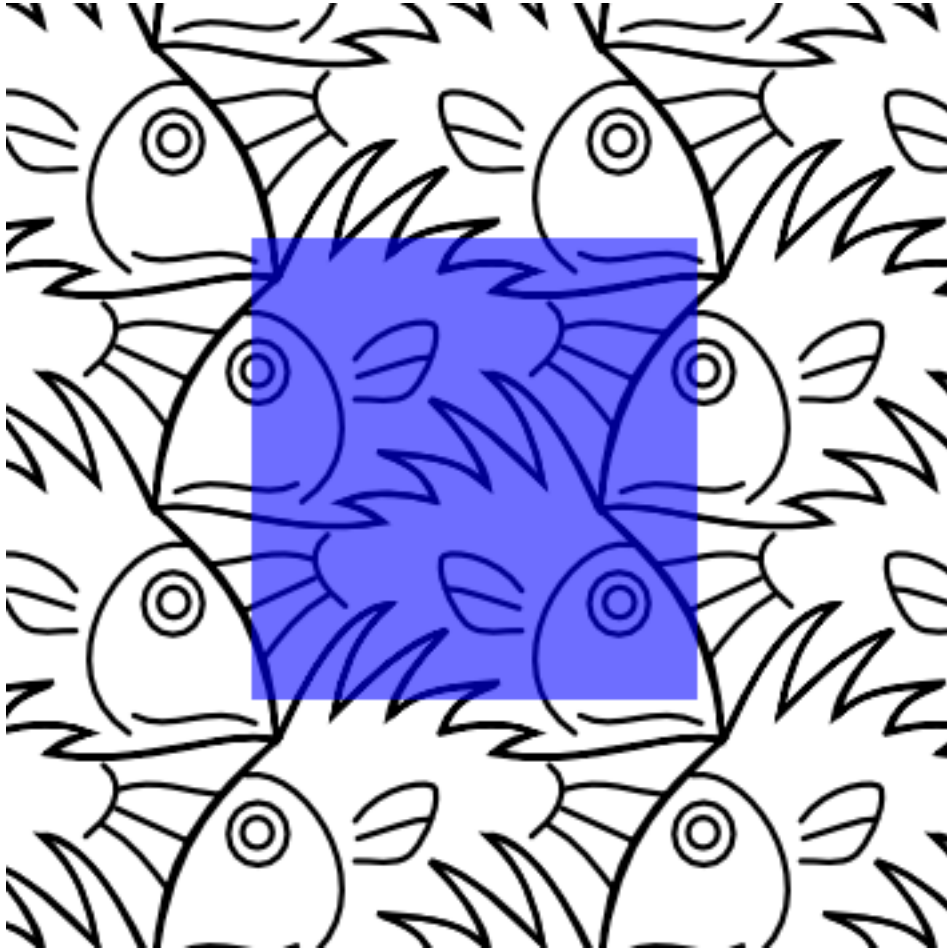


constraints $\geq 2N$

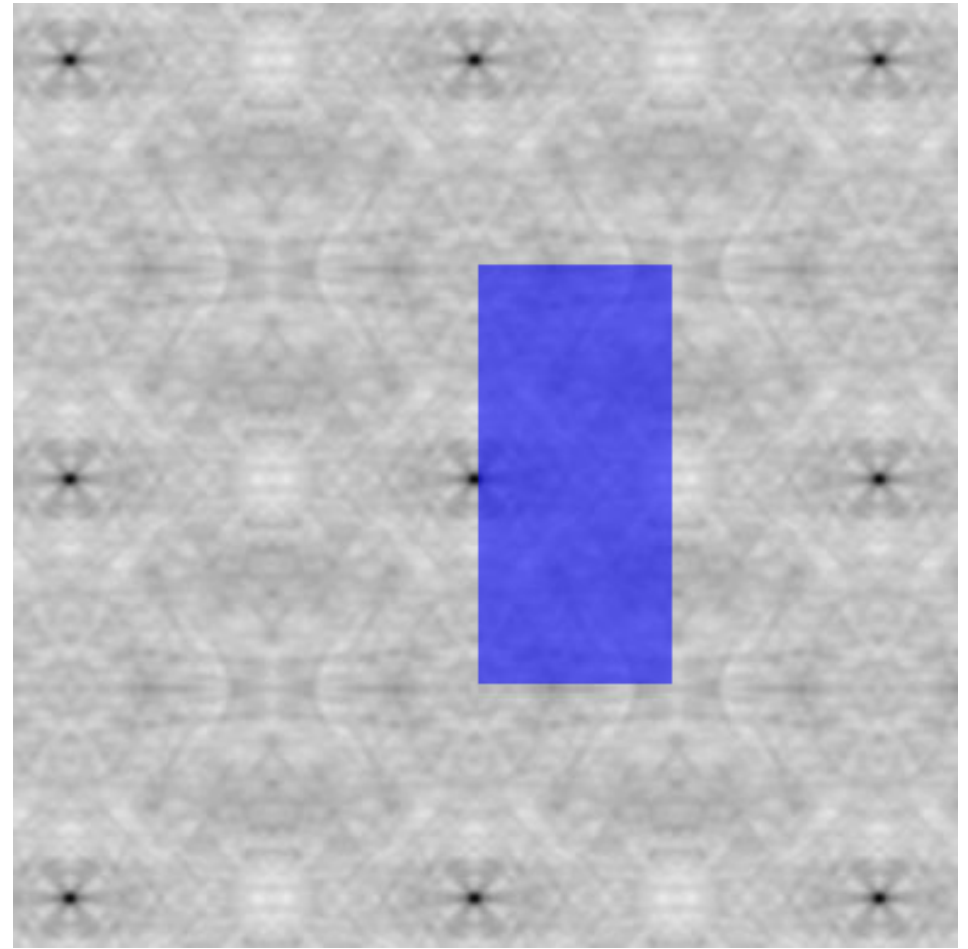
Overconstrained

Crystallography

Problem is **underconstrained** with a crystal



unknowns = N



constraints = $N/2$

Underconstrained

Crystallography

Structure determination

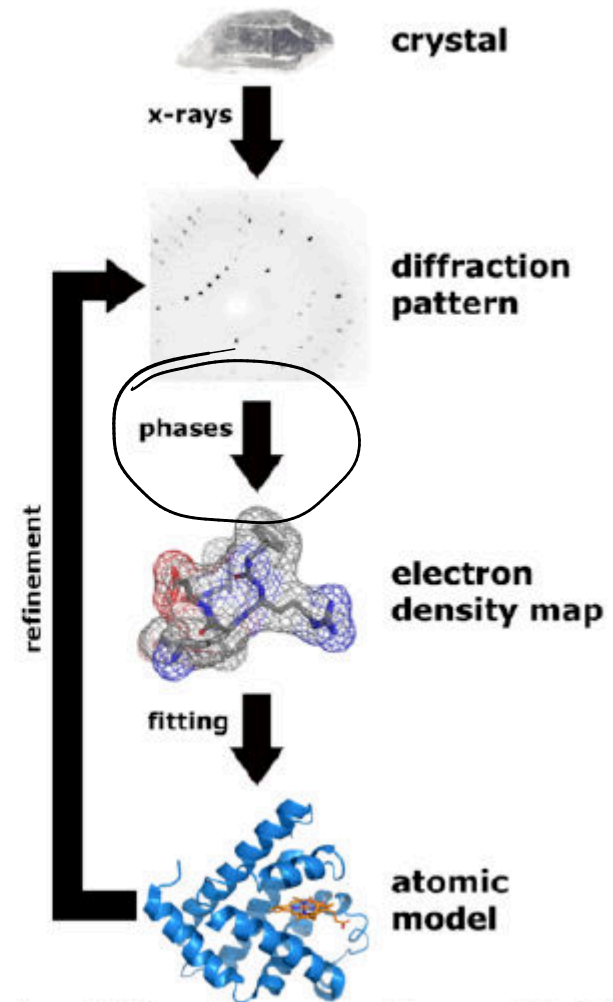
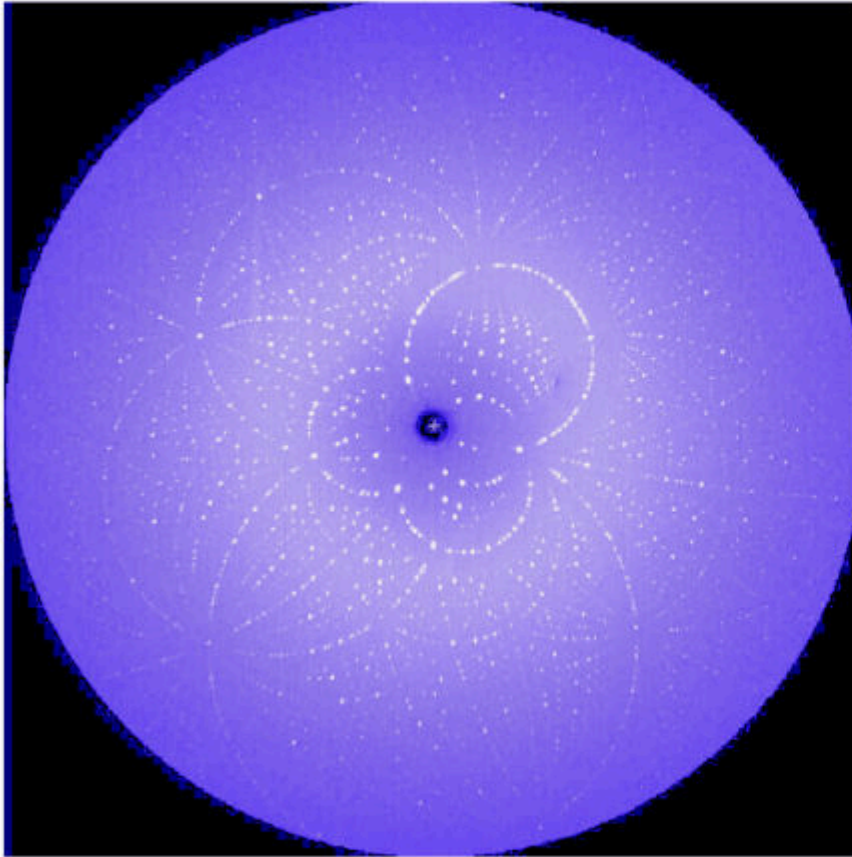


Image from Wikimedia courtesy Thomas Splettstoesser

Crystallography

Structure determination

- Hard problem: few measurements for the number of unknowns
- Luckily: crystals are made of atoms → strong constraint
- Also common: combining additional measurements (SAD, MAD, isomorphous replacement, ...)

Summary

Imaging from far-field amplitudes

- Used when image-forming lenses are unavailable (or unreliable) or to obtain more quantitative images.
- In general difficult because of the phase problem
- Solved with the help of additional information:
 - Strong *a priori* knowledge (e.g. CDI: support)
 - Multiple measurements (e.g. ptychography)