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## **INDUSTRIAL PLANTS II**

Chapter two ó part 1 Maintenance of Industrial Plants Reliability Theory

DOUBLE DEGREE MASTER IN **ÕPRODUCTION ENGINEERING AND MANAGEMENT**Ö

> CAMPUS OF PORDENONE UNIVERSITY OF TRIESTE



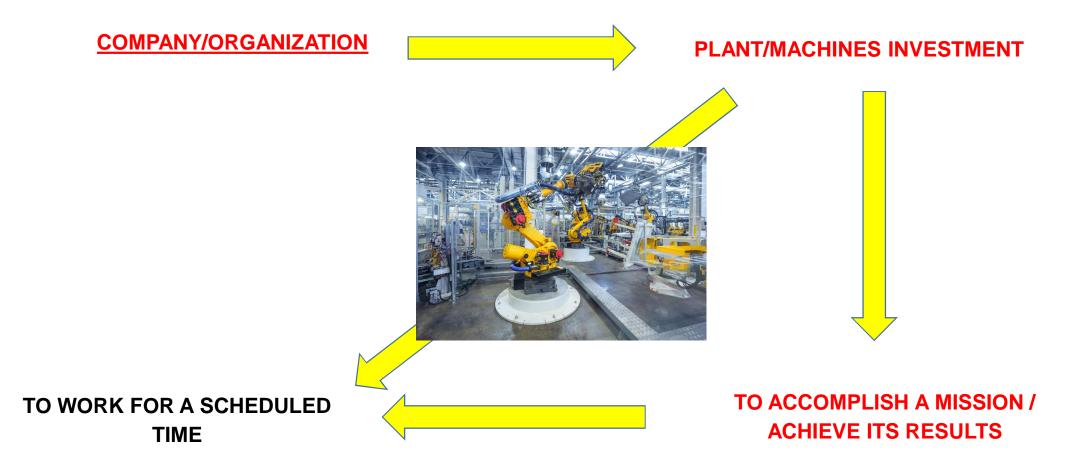
# **INDUSTRIAL PLANTS II**

## **Chapter 2: Maintenance of Industrial Plants**

## **SUMMARY:**

- 2.1 Introduction to Maintenance and Reliability theory
- **2.2 Industrial costs and Maintenance Economy**
- **2.3 Maintenance Policies**
- 2.4 Maintenance Organization
- 2.5 Spare parts Management
- 2.6 Computerised Maintenance Management System (CMMS)
- **2.7 Maintenance General Definitions**







#### TO WORK FOR A SCHEDULED TIME

#### RELIABILITY

%the capability of a device to fulfill a required function, under certain conditions, for a definite period of time1. In other words it is the probability that a system (machine, subsystem, part) can work without stopping for a failure for a certain time t from its start-up and with certain ambient and company conditions

#### **AVAILABILITY**

It can be defined as the percentage of time of good performance in comparison to the total time where the performance is required



## RELIABILITY

Since the performance of any system inevitably tends to degrade along the time, the reliability of a system should be defined as the measure of its ability to provide a satisfying service over time.

The most complete definition is the one that indicates the reliability of a element or system as the probability that the element or system will perform a specific function:

- Under specific operating conditions (C)
- Under specific ambient conditions (A)
- At a given instant and / or for a predetermined time interval. (t,  $\Delta$ t)

Reliability is a probability: it is not a deterministic quantity, which can be determined with analytical formulas, but a random variable, whose value can only be predicted through probabilistic considerations. This measure is nothing more than a numerical value, expressed on a scale of real numbers between 0 and 1.



## RELIABILITY

The definition of reliability is therefore linked to the specific function that the system has to perform and under the operating conditions in which it finds itself. It is therefore necessary to define the design intent of the system

- what is the function that the system must actually perform?
- what are the limit values of the operating and ambient conditions under which should the system work properly?
- <sup>"</sup> at what instant or time interval should the system work?
- <sup>6</sup> how diagnostic and maintenance techniques affect the operation of the system?

(eg for a motor the type of service for which it is requested is important, see S1 = continuous, S2 = of limited duration, S3 = intermittent period, etc.)



## RELIABILITY

1	CHURAEDE	) CTRIC	DC	M	отс	R	(	3
	TYPE 2450-28				NO. 4-19446			
	630	kW	440	V	IP 235		Α	
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	Standard	JB/T	6316-200	6	DATE 2	2019.09		
	SHANGHAI FORTUNE ELECTRIC CO., LTD. Service: sales@cn-electric.com cell:+86-13585754942 Made in China							
			19		and and	N-1		



## RELIABILITY

The definition of reliability assumes that:

1) the performance conditions are clearly defined (C). It means that the criteria for judging whether the element is working or not working are univocally defined. For bistable systems (only 2 states of possible functioning) this criterion is obvious. For other systems it is possible also identify partial operating states that represent various levels of performance: in these cases the failure status can be defined once it is defined an admissible limit below which we consider the event as a fault (eg. efficiency of a motor, intensity of a light source);

2) the environmental conditions (A) of use are established and kept constant in the time period in question;

3) the time interval from instant 0 to instant t is defined mission time during which we expect the component to work.



## RELIABILITY

The mathematical definition of reliability is therefore:

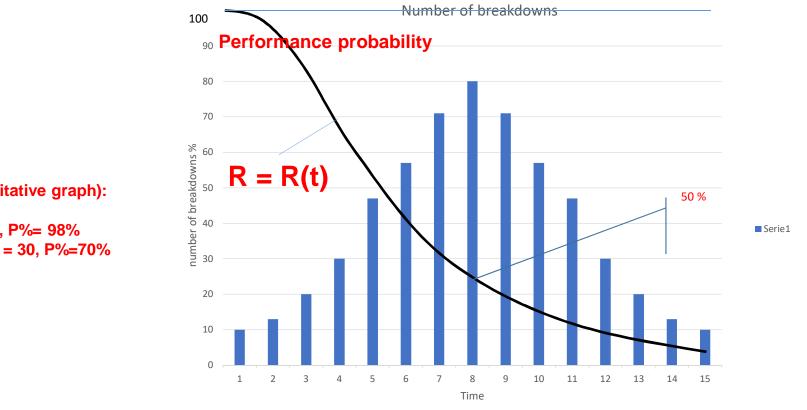
R = R(C, A, t)

And, if A and C are definite:

R = R(t)



#### RELIABILITY



EXAMPLE (qualitative graph): 100 LAMPS T= 1 H, BRD = 2, P%= 98% T= 1000 H, BRD = 30, P%=70%

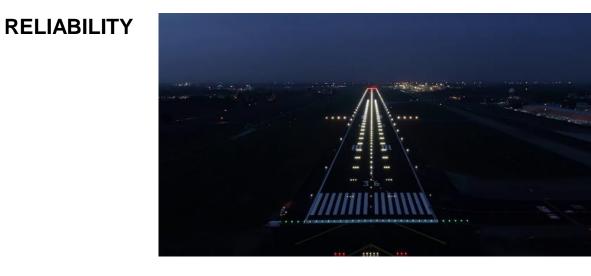


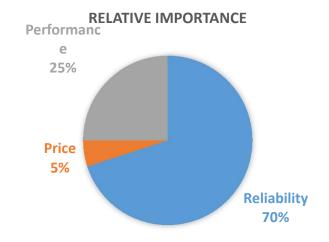


**RELATIVE IMPORTANCE** 

■ Reliability ■ Price ■ Performance









## RELIABILITY

Let us consider a sample of components consisting of a large number  $N_0$  of equal elements, all operating at instant t = 0 under certain operational and environmental conditions.

By measuring the functional parameters of the elements, we can establish, at each instant t, whether they are still functional or not.

If we indicate with:  $N_v$  (t) the number of components operating at instant t;  $N_g$  (t) the number of components fault at instant t. Obviously, we must have for every instant t:

 $Nv(t) + Ng(t) = N_0$ 



## RELIABILITY

We can define:

- 1) Reliability R (t) as the probability for the single component to be still functioning at time t (i.e. after a time interval from 0 to t)
- 2) Unreliability F (t), as the probability for the single component to be failure at time t (i.e. after a time interval from 0 to t):

$$R(t) = \frac{Nv(t)}{N_0}$$

$$R(t) + F(t) = R(t) = 1 - F(t)$$

$$R(t) = \frac{Ng(t)}{N_0}$$

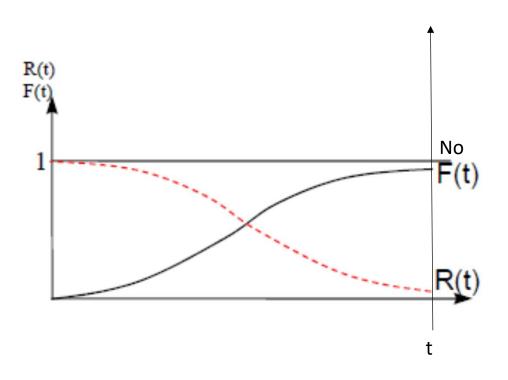


## RELIABILITY AND CUMULATIVE FUNCTION (PROBABILITY) OF FAILURE

**Graphically:** 

 $\mathbf{R}(\mathbf{t}) + \mathbf{F}(\mathbf{t}) =$ 

Note: t represents the working time and F(t) is a cumulative function of failure, expressed as a percentage of faulty components in comparison with the total ones N<sub>0</sub>.

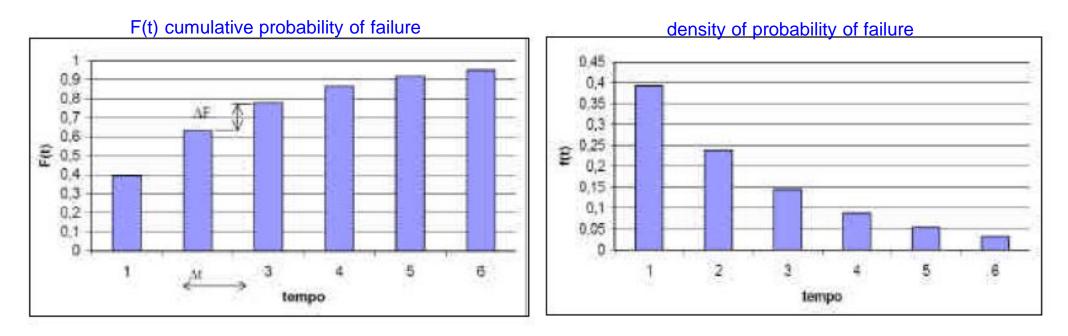




## **DENSITY OF PROBABILITY OF FAILURE**

If we take into account the variations of the function F (t) at discrete intervals of amplitude t, we can also define the function f (t) called density of probability of failure that is the probability f(t)dt that a component activated at t = 0, fails exactly between t and t+dt

$$\mathbf{f}(\mathbf{t}) = \frac{\mathrm{d}\mathbf{F}(\mathbf{t})}{\mathrm{d}\mathbf{t}} = \lim_{\Delta t \neq 0} \frac{\mathbf{N}\mathbf{g}(\mathbf{t} + \Delta \mathbf{t}) - \mathbf{N}\mathbf{g}(\mathbf{t})}{\Delta \mathbf{t}} \frac{1}{\mathbf{N}_0} = \frac{\mathrm{d}\mathbf{N}\mathbf{g}(\mathbf{t})}{\mathrm{d}\mathbf{t}} \frac{1}{\mathbf{N}_0}$$



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## **DENSITY OF PROBABILITY OF FAILURE**

The function f (t) represents a partial probability of failure relating to the interval [t, t + t], in other terms, indicates with what frequency a component fails in the interval [t, t + t]. For t which tends to zero, considering the continuous function F (t), the f (t) is given by its derivative.

The f (t) can be expressed in p.u. ("Per unit"): per unit of time (second, hour, year, etc.).

$$\mathbf{f}(\mathbf{t}) = \frac{d\mathbf{F}(\mathbf{t})}{d\mathbf{t}} = \lim_{\Delta t \to 0} \frac{\mathbf{Ng}(\mathbf{t} + \Delta \mathbf{t}) - \mathbf{Ng}(\mathbf{t})}{\Delta \mathbf{t}} \frac{1}{\mathbf{N}_0} = \frac{d\mathbf{Ng}(\mathbf{t})}{d\mathbf{t}} \frac{1}{\mathbf{N}_0}$$
$$\mathbf{f}(\mathbf{t}) = \frac{d\mathbf{F}(\mathbf{t})}{d\mathbf{t}} = \frac{1}{\mathbf{N}} \frac{d\mathbf{Ng}(\mathbf{t})}{d\mathbf{t}} = -\frac{d\mathbf{R}(\mathbf{t})}{d\mathbf{t}}$$
$$F(t) = \int_0^t f(t)dt$$
$$R(t) = 1 - F(t) = 1 - \int_0^t f(t)dt = \int_t^\infty f(t)dt$$



Given a time unit, small as dt, failure rate is defined as the probability of a system that at time t is working properly, and fails in a time between t and dt.

 $f(t) = \frac{dNg(t)}{dt}$ 

$$\lambda(t) = \frac{f(t)}{N_v(t)/N_0} = f(t) \cdot \frac{N_0}{N_v(t)} = \left(\frac{dF(t)}{dt}\right) \cdot \frac{N_0}{N_v(t)}$$

Considering the discrete functions, we have:

$$\lambda(t) = f(t) \cdot \frac{N_0}{N_v(t)} = \left(\frac{\Delta N_g(t)}{\Delta t} \cdot \frac{1}{N_0}\right) \cdot \frac{N_0}{N_v(t)} = \frac{\Delta N_g(t)}{\Delta t} \cdot \frac{1}{N_v(t)}$$

The function (t) represents the fraction of the population that fails in a interval t related to the number of components still functioning at the instant t.



The failure rate can also be expressed as:

$$\lambda(t) = f(t) \cdot \frac{N_0}{N_v(t)} = \left( -\frac{dN_v(t)}{dt} \cdot \frac{1}{N_0} \right) \cdot \frac{N_0}{N_v(t)} = -\frac{dN_v(t)}{dt} \cdot \frac{1}{N_v(t)} = \frac{f(t)}{R(t)}$$

The failure rate is therefore the ratio, sign changed, between the derivative respect the time of the number of surviving objects at time t and the number of survivors themselves. At the end:

$$\Lambda(t) = \frac{f(t)}{R(t)}$$



In the particular case in which the failure rate (t) remains constant over time (random failures) will simply be denoted by .

In this case failure rate can be derived by the following consideration:

The failure frequency is therefore given by:

$$N_{g}(t) + N_{v}(t) = N_{g}(t + \Delta t) + N_{v}(t + \Delta t)$$

$$N_{g}(t + \Delta t) - N_{g}(t) = N_{v}(t) - N_{v}(t + \Delta t)$$

$$(t) = \lim_{\Delta t \to 0} \frac{N_{g}(t + \Delta t) - N_{g}(t)}{\Delta t} \cdot \frac{1}{N_{0}} = \lim_{\Delta t \to 0} \frac{N_{v}(t) - N_{v}(t + \Delta t)}{\Delta t} \cdot \frac{1}{N_{0}} = -\frac{dN_{v}(t)}{dt} \cdot \frac{1}{N_{0}} = -\frac{dR(t)}{dt}$$



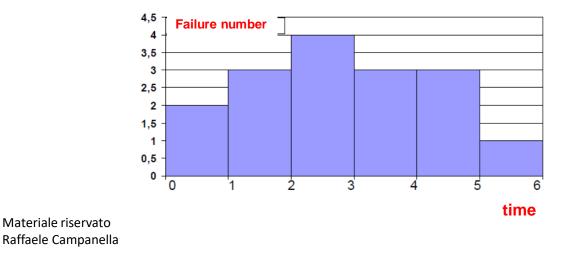
The failure rate can be interpreted as the I number of failures in the unit of time ", or as a measure of speed of occurrence of the fault.

Difference between f (t) and (t)

- f (t) refers to a healthy population at time t = 0

- (t) refers to a healthy population at time t, therefore less numerous than the original population at time t = 0

A reliability test on 16 identical bulbs gave the following results. Calculate (t) and f (t) in the time interval from 2 <t <3



f(2-3) = 4/16 = 0,25(2-3) = 4/ (16-5) = 4/11= 0,36 R(2) = 11/16 = 0,68

 $\Lambda(t) = \frac{f(t)}{R(t)}$ 



The unit of measurement of (t) is the percentage of failures per unit of time and can assume values between:

**0**: when there are no faults around the instant considered;

- $\Lambda(t) = \frac{f(t)}{R(t)}$
- : when all Nv (t) components still functioning fail at the same instant.

For a generic system, the failure rate of each can be determined of the components that constitute it, referring to both considerations of statistical type, and with parameters provided by the manufacturer, paying close attention to the life span of the considered component.



In the simplest case in which can be considered constant, generally assumes:

; reliability:  $R(t) = e^{-\lambda t}$ 

**Consequently we obtain:** 

; probability of failure:  $F(t) = 1 - R(t) = 1 - e^{-\lambda t}$ 

; density of probability of failure:  $f(t) = \frac{dF(t)}{dt} = \lambda e^{-\lambda t}$ 

¡ failure rate:

$$\frac{f(t)}{R(t)} = \frac{\lambda e^{-\lambda t}}{e^{-\lambda t}} = \lambda$$

Materiale riservato Raffaele Campanella  $\Lambda(\mathbf{t}) = \frac{f(\mathbf{t})}{R(\mathbf{t})}$ 



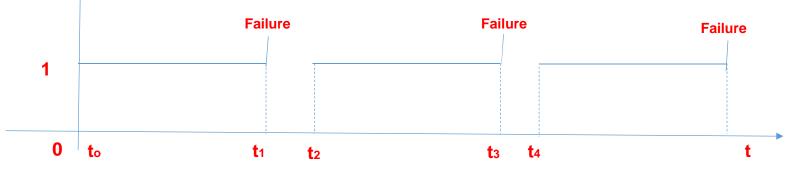
# If the systems or the components are repairable, it is also defined a function called $\hat{I}$ Availability $\hat{I}$ A (t)

Availability can be defined as the percentage of time of good performance in comparison to the total time where the performance is required.

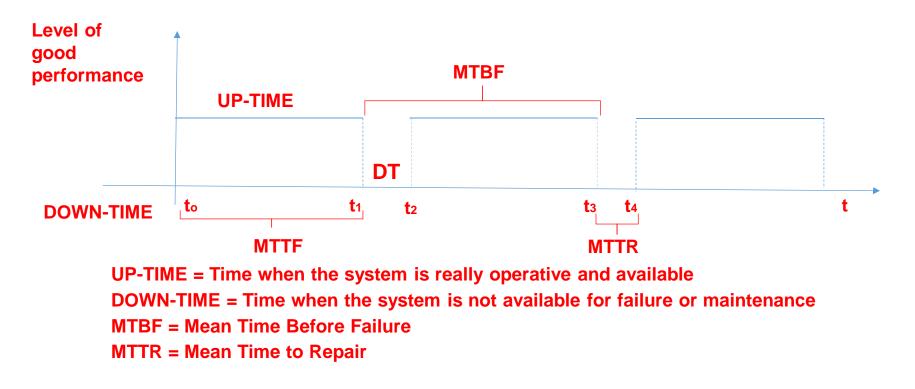
From the definition of reliability it is evident that, in the event scheduled maintenance, this must be performed when the system is idle, therefore when the system is not available.

Then we can say that maintenance makes the system unavailable too for the time necessary to repair it.

Hence Availability of a system is influenced by reliability of that system and by the maintenance criteria

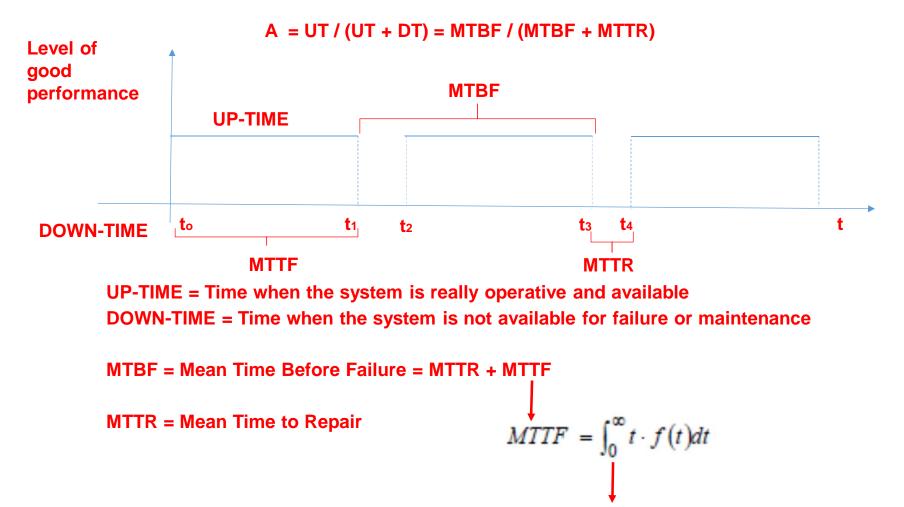






A = UT / (UT + DT) = MTBF / (MTBF + MTTR)







#### A = UT / (UT + DT) = MTBF / (MTBF + MTTR)

MTTF = Mean Time to Failure It represent the medium time between the instant 0 in which the component works and the failure instant. This is the medium functioning time of a component, that is the expected value of failure rate.

$$MTTF = \int_0^\infty t \cdot f(t) dt$$

$$MTTF = \int_0^\infty t \cdot \lambda e^{-\lambda t} dt = \lambda \int_0^\infty t \cdot e^{-\lambda t} dt$$

In case is constant:

$$\int x \cdot e^{ax} dx = \frac{e^{ax}}{a} \left( x - \frac{1}{a} \right)$$

As we know:

We get: MTTF = 
$$\lambda \left[ \frac{e^{-\lambda t}}{-\lambda} \left( t - \frac{1}{-\lambda} \right) \right]_{0}^{\infty} = \lambda \left[ 0 - \left( -\frac{1}{\lambda} \left( 0 + \frac{1}{\lambda} \right) \right) \right] = \lambda \frac{1}{\lambda^{2}} = \frac{1}{\lambda}$$



MTTF = Mean Time to Failure It represent the medium time between the instant 0 in which the component works and the failure instant . This is the main parameter to define the reliability

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$$MTTF = \int_{0}^{\infty} t \cdot f(t)dt \qquad \text{MTTF} = \lambda \left[ \frac{e^{-\lambda t}}{-\lambda} \left( t - \frac{1}{-\lambda} \right) \right]_{0}^{\infty} = \lambda \left[ 0 - \left( -\frac{1}{\lambda} \left( 0 + \frac{1}{\lambda} \right) \right) \right] = \lambda \frac{1}{\lambda^{2}} = \frac{1}{\lambda}$$
$$MTTF = \int_{0}^{\infty} R(t)dt \qquad R(t)$$

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## AVAILABILITY/RELIABILITY

If we need to have an high reliability, what the MTTF value should be? Let suppose that = constant, therefore we know that:

$$R(t) = e^{-\lambda t} \qquad MTTF = \frac{1}{\lambda}$$

Therefore, if t is the mission time:

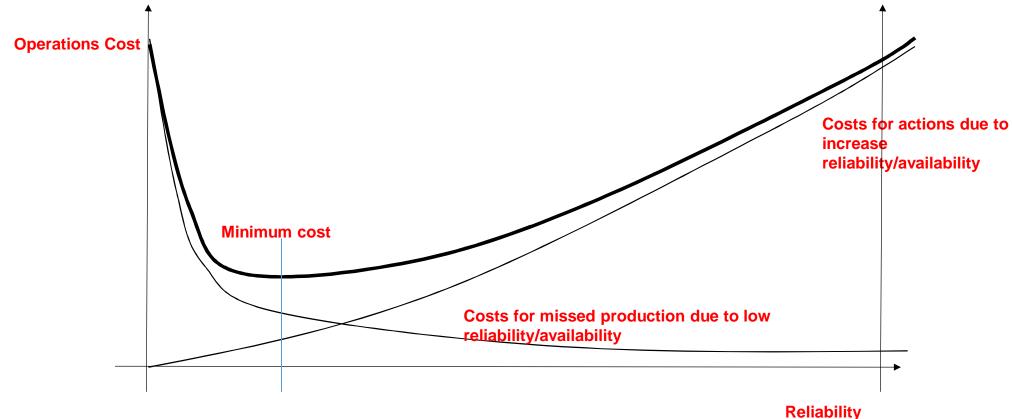
$$t = MTTF = \frac{1}{\lambda} \qquad R(t) = e^{-1} \approx 0.368$$
$$t = \frac{MTTF}{10} = \frac{1}{10\lambda} \qquad R(t) = e^{-1/10} \approx 0.905$$
$$t = \frac{MTTF}{100} = \frac{1}{100\lambda} \qquad R(t) = e^{-1/100} \approx 0.990$$

The conclusion is that, in order to have an high value of reliability, MTTF has to be at least 100 times longer that the mission time



#### INTRODUCTION TO MAINTENANCE TO WORK FOR A FORECASTED TIME CORRECTLY

Total cost vs. Reliability/ Availability



Availability



#### THE CONCEPT OF Í FAILUREÎ

As already mentioned, the Italian Norm CEI 56-60 standard defines the term Í failurel as the end of an object's ability to perform the required function, that is, a change in the performance of a device that makes it unusable for the use for which it is intended. Here are some fault classification criteria:

	failure criteria				
	Entity	Impact	part's life		
	total	critical	casual		
failure type	partial	primary	infantile		
	discontinous	secondary	wear		



#### THE CONCEPT OF Í FAILUREÎ ENTITY

A device fails even when it does not perform correctly the function for which it was designed.

According to this criterion we can divide the faults into 3 categories:

partial failures: they determine a change in the device performance such as not to completely compromise the functioning (e.g. performance degradation of an engine);

total failures: they cause a change in the device performance such as to completely prevent its operation;

intermittent failures: due to a random succession of failure periods and periods of operation, without any intervention of maintenance (typical example is a computer stop that resumes operation after resetting)



#### THE CONCEPT OF Í FAILUREÎ IMPACT

The fault condition generally refers only to the considered device: if this component is a part of a complex system, its failure may not even cause the entire system to fail, although it has negative effects on its reliability.

Eg. a mechanical failure of the engine makes a car useless, while a failure of the speedmeter the car continues to work, even if we don't know how fast we are driving.

We can then distinguish:

failures of secondary importance: those that do not reduce functionality of the entire system of which they are part; failures of primary importance: those that reduce severly the functionality of the whole system of which they are part; critical failures: even more serious than primary failures, represent a risk to the safety of people.



#### THE CONCEPT OF Í FAILUREÎ COMPONENTES LIFE

There are hree types of failures based on their distribution during the life of a group of identical components (in the same operating and environmental conditions):

infantile failures: they occur in the first period of the components Dlife (First operations period) The nature of these failures is linked to intrinsic defects of the components that did not emerge during testing; if the design is correct, they are essentially due to construction errors and, mainly, assembly; the period during which faults occur of this type can vary from a few tens to several hundreds of hours operation. They can be minimized (but not zero) with an adequate quality control system.

Random failures: those that occur during the entire life of the components and have a probability of occurrence that is independent of the time; are due to rundom factors that not even a good project and

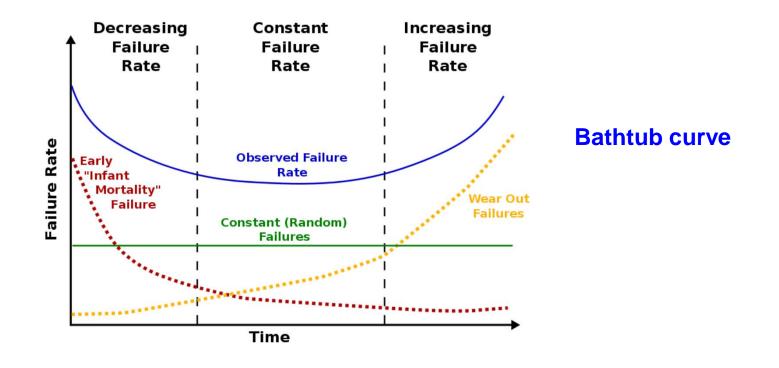
good execution can eliminate.

**Failures due to wear**: they happen only in the last period of life components and are due to aging and deterioration phenomena; therefore their probability of occurrence increases with the time of use. They can be reduced with an appropriate maintenance strategy.



#### THE CONCEPT OF Í FAILUREÎ COMPONENTES LIFE

If we consider a population of new components, all the same, and we do them operate under the same operating and environmental conditions starting from same instant t = 0, it is possible to draw the diagram shown in the figure, which reports the trend of the failure rate according to the age of the components instantaneous of the same.





#### THE CONCEPT OF Í FAILUREÎ COMPONENTES LIFE WEIBULLES FUNCTION

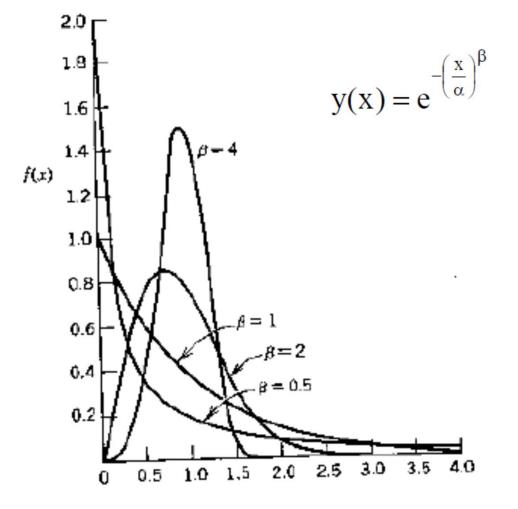
The Weibull function is a two parameters function which, thanks to its flexibility, can be used to express the reliability function both during the stage of infantile breakdowns and during its useful life.

It is characterized by two parameters and with positive value:

shows the characteristic life (time)

is pure number that is a shape parameter, generally between 0.5 and 5. If <1, the function is monotone decreasing,

if> 1, first it grows and then it decreases





#### THE CONCEPT OF Í FAILUREÎ COMPONENTES LIFE WEIBULLES FUNCTION

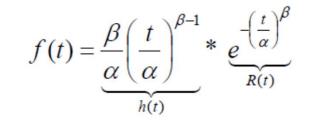
The machine initial life is described by a Weibull distribution of the function R(t):

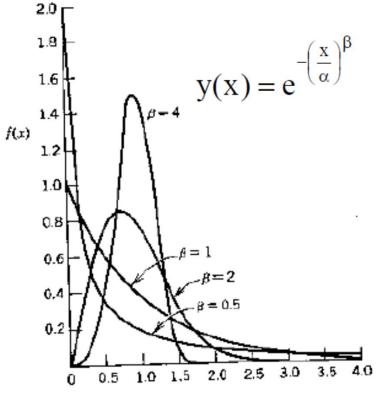
$$R(t) = e^{-\left(\frac{t}{\alpha}\right)^{\beta}}$$

The percentage of parts population that fail at time t is:

$$F(t) = 1 - e^{-\left(\frac{t}{\alpha}\right)^{\beta}}$$



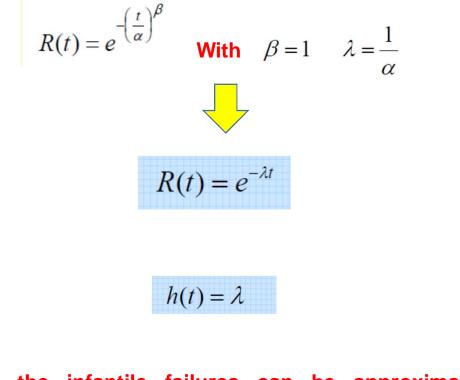




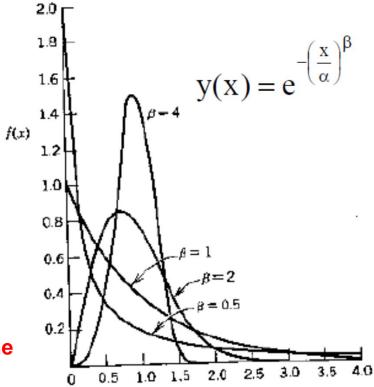
=h(t)



If the failure rate (t) is constant (the component fails casually)



Therefore the infantile failures can be approximate with the exponential curve





R(t) = e

F(t) = 1 - e

If we consider a condensation unit, its life can be represented by a Weibull distribution with = 100.000 and = 0,5. After a year operation (8760 h):  $(8760)^{0,5}$ 

The probability of good performance is :

The probability of failures is:

After two years:

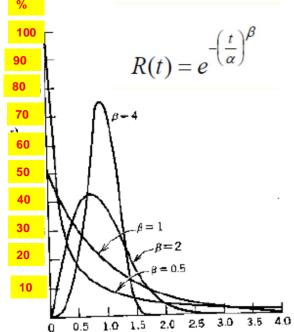
$$R(t) = e^{-\left(\frac{17520}{100000}\right)^{0.5}} = 66\%$$

$$F(t) = 1 - e^{-\left(\frac{17520}{100000}\right)^{0.5}} = 34\%$$

8760 )<sup>0,5</sup>

= 74%

= 26%

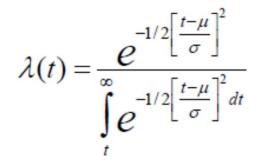




The last part of the curve can be approximate with a normal distribution of the function f(t)

$$f(t) = \frac{1}{\sigma\sqrt{2\pi}} e^{-\frac{1}{2}\left(\frac{t-\mu}{\sigma}\right)^2}$$

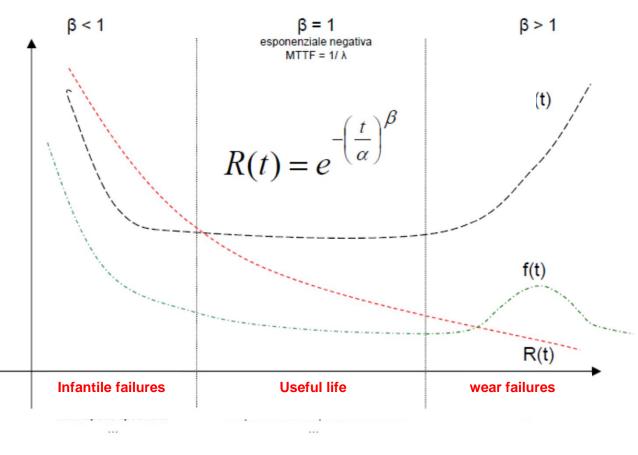
With:



#### And: MTBF = $\mu$



#### Finally, summarizing:





# THE CONCEPT OF Í FAILUREÎ OTHER CLASSIFICATION CRITERIA

**Progressive failures:** They could be predicted (and therefore avoided) with a suitable equipment monitoring. Furthermore, the failures can be distinguished in slowly or rapidly progressive, according to the speed of failure.

Sudden failures: they cannot be foreseen and avoided.

**Intrinsic failures:** probably due to inherent weaknesses in the device;

**Extrinsic failures:** probably due to overstresses beyond the possibilities of the device (e.g. ambient temperatures higher than the ones reported in the design specifications like overloads, overvoltages,



### **RELIABILITY OF THE COMPLEX SYSTEMS**

- Non Redundant Systems (series systems): Failures result as soon as a component fails
- <sup>"</sup> Redundant systems (parallel systems): They do not fail if one of their components fails
- Non-Repairable Systems : They are no longer repairable when one of their components fails
- <sup>"</sup> Repairable Systems (Maintainability): They can be repaired when one of their components fails



#### RELIABILITY OF THE COMPLEX SYSTEMS SERIES CONNECTION

The reliability of a system in series is the probability that at instant t all the connected components are functioning.



If we assume that the possible faults are each other statistically independent, and if we define Ri (t) the reliability of the i-th element, the overall reliability of the entire Rs (t) system will be:

$$Rs(t) = R_1(t) \cdot R_2(t) \cdot \dots \cdot R_n(t)$$

In the case of a constant failure rate, there will be:

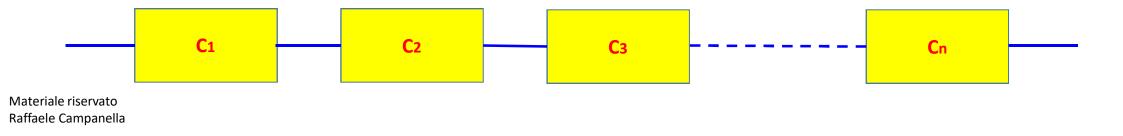
$$R_{s}(t) = e^{-(\lambda_{1} + \lambda_{2} + \dots + \lambda_{n})t}$$

- HYPOTHESIS Non-repairable systems They work if and only if all components work (Non Redundant)
- There are only two states: good or bad
- The components are statistically independent



### RELIABILITY OF THE COMPLEX SYSTEMS SERIES CONNECTION

- From the previous expression, it can be deduced that the reliability of a complex system with series connection decreases as the number of its components increases.
- <sup>7</sup> The overall failure rate is equal to the sum of the individual failure rates elements.
- The overall reliability is numerically less than the smallest value of reliability present among the various components.
- There is a greater percentage increase of total reliability if we do actions to increase the reliability of the less reliable component.





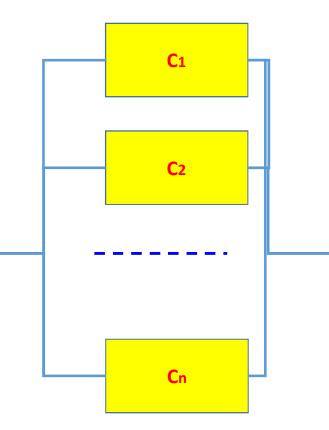
### RELIABILITY OF THE COMPLEX SYSTEMS PARALLEL CONNECTION

A complex system has a parallel (redundant) structure when the fault of a single component does not compromise the function of the whole system is the probability that at instant t all the connected components are functioning.

It means that, in case of an n component system, all the components should fail in the same time, to provoke the stop of the whole system

**HYPOTHESIS - Non-repairable systems** 

- They work if only one component works (Redundant)
- There are only two states: good or bad
- The components are statistically independent





#### RELIABILITY OF THE COMPLEX SYSTEMS PARALLEL CONNECTION

In case of a complex system with parallel connection, the failure probability (unreliability) is:

1

$$F_{p}(t) = F_{1}(t) \cdot F_{2}(t) \cdot \dots \cdot F_{n}(t)$$

$$I - R_{p}(t) = (1 - R_{1}(t)) \cdot (1 - R_{2}(t)) \cdot \dots \cdot (1 - R_{n}(t))$$

$$R_{p}(t) = 1 - (1 - R_{1}(t)) \cdot (1 - R_{2}(t)) \cdot \dots \cdot (1 - R_{n}(t))$$

$$R_{p}(t) = 1 - (1 - e^{-\lambda_{1}t}) \cdot (1 - e^{-\lambda_{2}t}) \cdot \dots \cdot (1 - e^{-\lambda_{n}t})$$



#### RELIABILITY OF THE COMPLEX SYSTEMS PARALLEL CONNECTION

In case of a complex system with parallel structure with only two components, the total reliability is:

$$R_{p12}(t) = 1 - (1 - e^{-\lambda_1 t}) \cdot (1 - e^{-\lambda_2 t}) = 1 - (1 - e^{-\lambda_1 t} - e^{-\lambda_2 t} + e^{-\lambda_1 \lambda_2 t})$$

$$R_{p12}(t) = e^{-\lambda_1 t} + e^{-\lambda_2 t} - e^{-\lambda_1 \lambda_2 t}$$

In a complex system with parallel structure reliability increases with the number of components and, from a numerical point of view, total reliability is higher than the most reliable component.



# **KEY MESSAGES**

There are many math functions related to the performances of a system:

- Reliability
- Cumulative probability of failure
- **Function of distribution of probability**
- **Failure rate**
- <sup>7</sup> The curve of Weibull can represent convenientely the reliability curves
- **Availability, MTTR, MTBF**
- "Series and parallel systems