# Recap for SEISMIC RISK: SEISMIC SOURCES

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#### **Different Rheologies**





The mechanical properties of rocks deforming in the brittle regime are nearly insensitive to temperature, but very sensitive to strain-rate and **confining pressure**. Indeed, **friction** critically depends on the pressure acting across planes.

The fracture strength of rocks at the Earth's surface is the lowest and is controlled by the failure criteria only, but it increases with depth due to increasing lithostatic pressure.

#### Brittle & Ductile



#### Normal Faulting Stresses



#### **Reverse Faulting Stresses**



### Strike-Slip Faulting Stresses



#### Fault Geometry Terminology: STRIKE

Strike is an angle use to describe the orientation of the fault surface with respect to North.



#### Fault Geometry Terminology: DIP

The orientation of the fault surface with respect to Earth's surface is defined by the fault dip.



#### Fault Geometry Terminology: SLIP

Slip angle is used to describe the orientation of the movement of the hanging wall relative to the foot wall.



#### Hypocenter and Epicenter

The hypocenter (or focus) is the place where the rupture begins, the epicenter is the place directly above the hypocenter.



### Elastic rebound (Reid)



From an examination of the displacement of the ground surface which accompanied the 1906 San Francisco earthquake, Henry Fielding Reid, Professor of Geology at Johns Hopkins University, concluded that the earthquake must have involved an "elastic rebound" of previously stored elastic stress.

Reid, H.F., "The mechanics of the earthquake", v. 2 of "The California earthquake of April 18, 1906". Report of the State Earthquake Investigation Commission, Carnegie Institution of Washington Publication 87, 1910.



http://www.iris.edu/hq/programs/education and outreach/aotm/4

#### Earthquake rupture

Can be described by: a) formation and b) propagation of a crack.

The crack tip acts as a stress concentrator and if the stress exceeds some critical value then sudden slip occurs, and it drops to the dynamic frictional value; when the slip has stopped the stress reaches a final level



**FIGURE 9.2** Stress at a point on a fault surface. As the rupture front approaches the point, stress increases to a value of  $\tau_s$ , after which failure occurs at the point. The point slips to a displacement D, and stress is reduced to some value  $\tau_f$ . The difference between the initial stress and the final stress,  $\Delta \sigma$ , is defined as the stress drop. (After Yamashita, 1976.)

# Stick-slip



Earth, S. Marshak, W.W. Norton

Elastic strain accumulates during the interseismic period and is released during an earthquake. The elastic strain causes the earthquake –in the sense that the elastic energy stored around the fault drives earthquake rupture. There are three basic stages in Reid's hypothesis.

1) Stress accumulation (e.g., due to plate tectonic motion)

2) Stress reaches or exceeds the (frictional) failure strength

3) Failure, seismic energy release (elastic waves), and fault rupture propagation

# Stick-slip





#### http://www.iris.edu/hq/programs/education\_and\_outreach/aotm/1

#### Stress cycle: prediction models

 $\tau_1$  is the shear stress at initiation of slip and reflects fault strength.  $\tau_2$  is the shear stress at which slip ceases and reflects fault friction.

(a) **Characteristic model** of stick-slip faulting. Each earthquake is identical in stress history, recurrence interval and slip.

(b) **Time-predictable model**. If slip is proportional to stress drop, and plate motions are steady, we can predict the time of the next earthquake based on the amount of slip during the previous earthquake.

(c) **Slip-predictable model**. Knowing the time of the last earthquake and assuming steady plate motion, we can predict the size of an earthquake expected at a particular time.



#### Stress cycle

The stress drop causes a time interval during which the stress builds up again to critical value. This type of frictional behaviour is known as **stick-slip**, or unstable sliding (as opposed to continue slip on smooth surfaces: stable sliding).

Earthquakes are generally thought to be **recurring slip episodes on preexisting faults**: the importance is no more on the strength of the rock but on the stress-stability cycle.



#### Maximum Intensity

Maximum Intensity is used to estimate the size of historical earthquakes, but suffers from dependence on depth, population, construction practices, site effects, regional geology, etc.



#### 1906 SF and 1811-12 New Madrid



These earthquakes were roughly the same size, but the intensity patterns in the east are broader than in the west (wait for Q...)





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### Mercalli Intensity and Richter Magnitude

Magnitude	Intensity	Description			
1.0-3.0	I	I. Not felt except by a very few under especially favorable conditions.			
Micro					
3.0 - 3.9	II - III	II. Felt only by a few persons at rest, especially on upper floors of buildings.			
Minor		III. Felt quite noticeably by persons indoors, especially on upper floors of buildings. Many people do not recognize it as an earthquake. Standing motor cars may rock slightly. Vibrations similar to the passing of a truck. Duration estimated.			
4.0 – 4.9 Light	IV – V	IV. Felt indoors by many, outdoors by few during the day. At night, some awakened. Dishes, windows, doors disturbed; walls make cracking sound. Sensation like heavy truck striking building. Standing motor cars rocked noticeably.			
		V. Felt by nearly everyone; many awakened. Some dishes, windows broken. Unstable objects overturned. Pendulum clocks may stop.			
5.0 - 5.9	VI - VII	<b>VI.</b> Felt by all, many frightened. Some heavy furniture moved; a few instances of fallen plaster. Damage slight.			
Moderate		VII. Damage negligible in buildings of good design and construction; slight to moderate in well-built ordinary structures; considerable damage in poorly built or badly designed structures; some chimneys broken.			
6.0 – 6.9 Strong	VII - IX	VIII. Damage slight in specially designed structures; considerable damage in ordinary substantial buildings with partial collapse. Damage great in poorly built structures. Fall of chimneys, factory stacks, columns, monuments, walls. Heavy furniture overturned.			
		<b>IX.</b> Damage considerable in specially designed structures; well-designed frame structures thrown out of plumb. Damage great in substantial buildings, with partial collapse. Buildings shifted off foundations.			
7.0 and higher Major great	VIII or higher	X. Some well-built wooden structures destroyed; most masonry and frame structures destroyed with foundations. Rails bent.			
		XI. Few, if any (masonry) structures remain standing. Bridges destroyed. Rails bent greatly.			
		XII. Damage total. Lines of sight and level are distorted. Objects thrown into the air.			

#### Intensity scales

MM	RF	JMA	MCS	MSK
I	т		II	I
				II
11	II	I	III	III
III	III		IV	
IV	IV	II	V	IV
V	V	TTT	VT	N/
VI	VI	111	VI	<b>v</b>
	VII	IV	VII	VI
VII	VIII	V	VIII	VII
			IX V	
VIII				VIII
IX	IX	VI	X	IX
			XI	
X	×		XII	×
XI		VII		XI
XII				XII

MM – Modified Mercalli; RF – Rossi-Forel; JMA – Japanese Meteorological Agency; MCS – Mercalli-Cancani-Sieberg; MSK – Medvedev-Sponheuer-Karnik

### Magnitude Scales - Richter

The concept of magnitude was introduced by Richter (1935) to provide an objective instrumental measure of the size of earthquakes. Contrary to seismic intensity, I, which is based on the assessment and classification of shaking damage and human perceptions of shaking, the magnitude M uses instrumental measurements of earth ground motion adjusted for epicentral distance and source depth.



The original Richter scale was based on the observation that the amplitude of seismic waves systematically decreases with epicentral distance.

Data from local earthquakes in California



The relative size of events is calculated by comparison to a reference event, with  $M_L=0$ , such that  $A_0$  was 1  $\mu$ m at an epicentral distance,  $\Delta$ , of 100 km with a Wood-Anderson instrument:

#### M<sub>L</sub>=log(A/A₀)=logA-2.48+2.76△.

#### Magnitude Scales - Richter



"I found a paper by Professor K.Wadati of Japan in which he compared large earthquakes by plotting the maximum ground motion against distance to the epicenter. I tried a similar procedure for our stations, but the range between the largest and smallest magnitudes seemed unmanageably large. Dr. Beno Gutenberg then made the natural suggestion to plot the amplitudes logarithmically. I was lucky because **logarithmic plots are a device of the devil**. I saw that I could now rank the earthquakes one above the other. Also, quite unexpectedly the attenuation curves were roughly parallel on the plot. By moving them vertically, a representative mean curve could be formed, and individual events were then characterized by individual logarithmic differences from the standard curve. This set of logarithmic differences thus became the numbers on a new instrumental scale. Very perceptively, Mr. Wood insisted that this new quantity should be given a distinctive name to contrast it with the intensity scale. My amateur interest in astronomy brought out the term "magnitude," which is used for the brightness of a star."

Charles F. Richter - An Interview by Henry Spall, Earthquake Information Bulletin. Vol. 12, No. 1, January - February, 1980



#### Wood-Anderson Seismometer

Richter also tied his formula to a specific seismic instrument.



# Magnitude Scales

The original  $M_L$  is suitable for the classification of local shocks in Southern California only since it used data from the standardized short-period Wood-Anderson seismometer network. The magnitude concept has then been extended so as to be applicable also to ground motion measurements from medium- and long-period seismographic recordings of both surface waves ( $M_s$ ) and different types of body waves ( $m_b$ ) in the teleseismic distance range.

The general form of all magnitude scales based on measurements of ground displacement amplitudes A and periods T is:

$$\mathbf{M} = \log\left(\frac{\mathbf{A}}{\mathbf{T}}\right) + \mathbf{f}(\Delta, \mathbf{h}) + \mathbf{C}_{r} + \mathbf{C}_{s}$$

M seismic magnitude

- A amplitude
- T period
- f correction for distance and depth
- $C_{\rm s}$  correction for site
- $C_r$  correction for source region

M<sub>L</sub> Local magnitude
m<sub>b</sub> body-wave magnitude (1s)
M<sub>s</sub> surface wave magnitude (20s)

#### Teleseismic M<sub>S</sub> and mb

The two most common modern magnitude scales are:

M<sub>s</sub>, Surface-wave magnitude (Rayleigh Wave, 20s)

m<sub>b</sub>, Body-wave magnitude (P-wave)



### Example: m<sub>b</sub> "Saturation"

m<sub>b</sub> seldom gives values above 6.7 - it "saturates".

m<sub>b</sub> must be measured in the first 5 seconds - that's the rule.



#### Saturation



#### **Equivalent Forces**

The observable seismic radiation is through energy release as the fault surface moves: formation and propagation of a crack. This complex dynamical problem can be studied by kinematical equivalent approaches.



The scope is to develop a representation of the displacement generated in an elastic body in terms of the quantities that originated it: body forces and applied tractions and displacements over the surface of the body.

The actual slip process will be described by superposition of equivalent body forces acting in space (over a fault) and time (rise time).

#### Final source representation

$$u_{n}(\mathbf{x},t) = \iint_{\Sigma} [u_{i}]c_{ijpq}v_{j} * \frac{\partial G_{np}}{\partial \xi_{q}}d\Sigma$$
$$m_{pq} = [u_{i}]c_{ijpq}v_{j} \qquad u_{n}(\mathbf{x},t) = \iint_{\Sigma} m_{pq} * \frac{\partial G_{np}}{\partial \xi_{q}}d\Sigma$$

And if the source can be considered a point-source (for distances greater than fault dimensions), the contributions from different surface elements can be considered in phase.

Thus for an effective point source, one can define the moment tensor:

$$M_{pq} = \iint_{\Sigma} m_{pq} d\Sigma$$
$$u_{n}(\mathbf{x}, \mathbf{t}) = M_{pq} * G_{np,q}$$

#### Moment tensor decomposition

The moment tensor is symmetric (thus the roles of  $\mathbf{u}$  and v can be interchanged without affecting the displacement field, leading to the **fault plane-auxiliary plane** ambiguity), and it can be diagonalized and decomposed in an isotropic and deviatoric part:

$$M_{pq} = \begin{pmatrix} M_1 & 0 & 0 \\ 0 & M_2 & 0 \\ 0 & 0 & M_3 \end{pmatrix} = \frac{1}{3} \begin{pmatrix} tr(M) & 0 & 0 \\ 0 & tr(M) & 0 \\ 0 & 0 & tr(M) \end{pmatrix} + \begin{pmatrix} M'_1 & 0 & 0 \\ 0 & M'_2 & 0 \\ 0 & 0 & M'_3 \end{pmatrix}$$

For a shear dislocation, the equivalent point force is a **double-couple**, since internal faulting implies that the total force  $\mathbf{f}^{[u]}$  and its total moment are null. The seismic moment has a **null trace** and **one of the eigenvalues is O**.

$$M_{pq}(doublecouple) = \begin{pmatrix} M_0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & -M_0 \end{pmatrix} \text{ with } M_0 = \mu A[\overline{u}]$$

 $M_0$  is called **seismic moment**, a scalar quantity related to the area of the fault and to the slip, averaged over the fault plane.







Point sources can be described by the seismic moment tensor

Mpq, whose elements have clear physical meaning of forces acting on particular planes.

The nine possible couples that are required to obtain equivalent forces for a generally oriented displacement discontinuity in anisotropic media.





Figure 4.4-1: Equivalent body forces for a single force, single couple, and double couple.



Point sources can be described by the seismic moment tensor  $M_{pq}$ , whose elements have clear physical meaning of forces acting on particular planes.

Т



#### Angle and axis conventions





Convention for naming blocks, fault plane, and slip vector, i.e. strike, dip and rake



Force system or a double couple in the xz-plane

T and P axes are the directions of maximum positive or negative first break.





The orthogonal eigenvectors to the above eigenvalues give the directions of the principal axes: **b**, corresponding to eigenvalue 0, gives the **null-axis**, **t**, corresponding to the positive eigenvalue, gives the **tension axis** (T) and **p** gives the **pressure axis** (P) of the tensor.

They are related to the  ${\bf u}$  and  $\nu$  vector, defining respectively the slip vector and the fault plane:

$$\begin{cases} \mathbf{t} = \frac{1}{\sqrt{2}} (\mathbf{v} + \mathbf{u}) \\ \mathbf{b} = (\mathbf{v} \times \mathbf{u}) \\ \mathbf{p} = \frac{1}{\sqrt{2}} (\mathbf{v} - \mathbf{u}) \end{cases} \begin{cases} \mathbf{u} = \frac{1}{\sqrt{2}} (\mathbf{t} + \mathbf{p}); \frac{1}{\sqrt{2}} (\mathbf{t} - \mathbf{p}) \\ \mathbf{v} = \frac{1}{\sqrt{2}} (\mathbf{t} - \mathbf{p}); \frac{1}{\sqrt{2}} (\mathbf{t} + \mathbf{p}) \end{cases}$$





$$\mathbf{u} = \begin{cases} [\overline{\mathbf{u}}] (\cos \lambda \cos \phi + \cos \delta \sin \lambda \sin \phi) \hat{\mathbf{e}}_{x} \\ [\overline{\mathbf{u}}] (\cos \lambda \sin \phi - \cos \delta \sin \lambda \cos \phi) \hat{\mathbf{e}}_{y} \\ [\overline{\mathbf{u}}] (-\sin \delta \sin \lambda) \hat{\mathbf{e}}_{z} \end{cases} \quad \mathbf{v} = \begin{cases} (-\sin \delta \sin \phi) \hat{\mathbf{e}}_{x} \\ (-\sin \delta \cos \phi) \hat{\mathbf{e}}_{y} \\ (-\cos \delta) \hat{\mathbf{e}}_{z} \end{cases}$$

Figure 4.2-2: Fault geometry used in earthquake studies.







The slip vector and the fault normal can be expresses in terms of strike ( $\varphi$ ), dip ( $\delta$ ) and rake( $\lambda$ ):

$$\mathbf{u} = \begin{cases} [\overline{\mathbf{u}}] (\cos \lambda \cos \phi + \cos \delta \sin \lambda \sin \phi) \hat{\mathbf{e}}_{x} \\ [\overline{\mathbf{u}}] (\cos \lambda \sin \phi - \cos \delta \sin \lambda \cos \phi) \hat{\mathbf{e}}_{y} \\ [\overline{\mathbf{u}}] (-\sin \delta \sin \lambda) \hat{\mathbf{e}}_{z} \end{cases} \quad \mathbf{v} = \begin{cases} (-\sin \delta \sin \phi) \hat{\mathbf{e}}_{x} \\ (-\sin \delta \cos \phi) \hat{\mathbf{e}}_{y} \\ (-\cos \delta) \hat{\mathbf{e}}_{z} \end{cases}$$

Then the Cartesian components of the simmetric moment tensor can be written as:

$$\begin{split} M_{xx} &= -M_{o} \left( \sin \delta \cos \lambda \sin 2\phi + \sin 2\delta \sin \lambda \sin^{2} \phi \right) & M_{xy} &= M_{o} \left( \sin \delta \cos \lambda \sin 2\phi + 0.5 \sin 2\delta \sin \lambda \sin 2\phi \right) \\ M_{yy} &= M_{o} \left( \sin \delta \cos \lambda \sin 2\phi - \sin 2\delta \sin \lambda \cos^{2} \phi \right) & M_{xz} &= -M_{o} \left( \cos \delta \cos \lambda \cos \phi + \cos 2\delta \sin \lambda \sin \phi \right) \\ M_{zz} &= M_{o} \left( \sin 2\delta \sin \lambda \right) & M_{yz} &= -M_{o} \left( \cos \delta \cos \lambda \sin \phi - \cos 2\delta \sin \lambda \cos \phi \right) \end{split}$$

#### GF for double couple

 $\mathsf{A}_{\mathsf{P}}^{\mathsf{IF}}$ 

 $\mathsf{A}^{\mathsf{IF}}_{\mathsf{S}}$ 

 $\mathsf{A}_{\mathsf{P}}^{\mathsf{FF}}$ 

A<sup>FF</sup>

An important case to consider in detail is the radiation pattern expected when the source is a double-couple. The result for a moment time function  $M_0(t)$  is:

$$\begin{split} u &= \frac{A^{NF}}{4\pi\rho|\mathbf{x}|^4} |_{\mathbf{x}/\alpha}^{|\mathbf{x}/\beta} \tau M_0(t-\tau)d\tau + \\ &+ \frac{A_p^{1F}}{4\pi\rho\alpha^2|\mathbf{x}|^2} M_0(t-\frac{|\mathbf{x}|}{\alpha}) - \frac{A_s^{1F}}{4\pi\rho\beta^2|\mathbf{x}|^2} M_0(t-\frac{|\mathbf{x}|}{\beta}) + \\ &+ \frac{A_p^{FF}}{4\pi\rho\alpha^3|\mathbf{x}|} M_0(t-\frac{|\mathbf{x}|}{\alpha}) - \frac{A_s^{FF}}{4\pi\rho\beta^3|\mathbf{x}|} M_0(t-\frac{|\mathbf{x}|}{\beta}) \end{split}$$

$$\begin{aligned} A^{NF} &= 9 sin 2\theta cos\phi\hat{\mathbf{r}} - 6 \left( cos 2\theta cos\phi\hat{\theta} - cos\theta sin\phi\hat{\phi} \right) \\ A_p^{1F} &= 4 sin 2\theta cos\phi\hat{\mathbf{r}} - 2 \left( cos 2\theta cos\phi\hat{\theta} - cos\theta sin\phi\hat{\phi} \right) \\ A_s^{1F} &= -3 sin 2\theta cos\phi\hat{\mathbf{r}} + 3 \left( cos 2\theta cos\phi\hat{\theta} - cos\theta sin\phi\hat{\phi} \right) \\ A_p^{FF} &= sin 2\theta cos\phi\hat{\mathbf{r}} \\ A_s^{FF} &= cos 2\theta cos\phi\hat{\theta} - cos\theta sin\phi\hat{\phi} \end{aligned}$$

$$\begin{aligned} Far field term \\ Far field$$

#### NF DC (static) Radiation pattern

The static final displacement for a shear dislocation of strength  $M_0$  is:

$$\mathbf{u} = \frac{\mathbf{M}_{o}\left(\infty\right)}{4\pi\rho\left|\mathbf{x}\right|^{2}} \left[ \mathbf{A}^{NF}\left(\frac{1}{2\beta^{2}} - \frac{1}{2\alpha^{2}}\right) + \frac{\mathbf{A}_{p}^{IF}}{\alpha^{2}} + \frac{\mathbf{A}_{s}^{IF}}{\beta^{2}} \right] = \\ = \frac{\mathbf{M}_{o}\left(\infty\right)}{4\pi\rho\left|\mathbf{x}\right|^{2}} \left[ \left(\frac{3}{2\beta^{2}} - \frac{1}{2\alpha^{2}}\right) \sin 2\theta \cos \varphi \hat{\mathbf{r}} + \frac{1}{\alpha^{2}} \left(\cos 2\theta \cos \varphi \hat{\theta} - \cos \theta \sin \varphi \hat{\varphi}\right) \right] \\ \xrightarrow{x_{s}} \left( \frac{\varphi}{\varphi} \right) = \frac{\varphi}{\varphi} \left[ \frac{\varphi}{\varphi} \right] \left[ \frac{$$

Figure 7: Near-field Static Displacement Field From a Point Double Couple Source ( $\phi = 0$  plane);  $\alpha = 3^{1/2}$ ,  $\beta = 1$ , r = 0.1, 0.15, 0.20, 0.25,  $\rho = 1/4\pi$ ,  $M_{\infty} = 1$ ; self-scaled displacements

#### **Coseismic deformation**

L'Aquila (Italy) earthquake, Mw 6.3. Horizontal and Vertical surface displacement from InSAR Data (assuming horizontal displacement is perpendicular to the fault strike ~N48W).



-73.5

20 cm •

-73\*

GONAVE PLATE

SIMULATED COSEISMIC GROUND DEFORMATION HAITI - Mw=7.1 - January 12, 2010

-72.5

-72

#### Co- & Post- seismic: Tohoku-oki



a, Coseismic displacements for 10–11 March 2011, relative to the Fukue site. The black arrows indicate the horizontal coseismic movements of the GPS sites. The colour shading indicates vertical displacement. The star marks the location of the earthquake epicentre. The dotted lines indicate the isodepth contours of the plate boundary at 20-km intervals28. The solid contours show the coseismic slip distribution in metres. b, Postseismic displacements for 12–25 March 2011, relative to the Fukue site. The red contours show the afterslip distribution in metres. All other markings represent the same as in a.

#### Far field for a point d-c point source

From the representation theorem we have:

$$u_n(x,t) = M_{pq} * G_{np,q}$$

that, in the far field and in a spherical coordinate system becomes:

$$\mathbf{u}(\mathbf{x},\mathbf{t}) = \frac{1}{4\pi\rho\alpha^{3}} \left(\sin 2\theta \cos \phi \hat{\mathbf{r}}\right) \frac{\dot{M}\left(\mathbf{t}-\mathbf{r}/\alpha\right)}{\mathbf{r}} + \frac{1}{4\pi\rho\beta^{3}} \left(\cos 2\theta \cos \phi \hat{\mathbf{\theta}} - \cos \theta \sin \phi \hat{\mathbf{\phi}}\right) \frac{\dot{M}\left(\mathbf{t}-\mathbf{r}/\beta\right)}{\mathbf{r}}$$

and both P and S radiation fields are proportional to the time derivative of the moment function (moment rate). If the moment function is a ramp of duration T (**rise time**), the propagating disturbance in the far-field will be a **boxcar**, with the same duration, and whose amplitude is varying depending on the radiation pattern.



**FIGURE 8.21** Far-field *P*- and *S*-wave displacements are proportional to  $\dot{M}(t)$ , the time derivative of the moment function  $M(t) = \mu A(t)D(t)$ . Simple step and ramp moment functions generate far-field impulses or boxcar ground motions.

#### FF DC Radiation pattern

#### FIGURE 4.5

Diagrams for the radiation pattern of the radial component of displacement due to a double couple, i.e.,  $\sin 2\theta \cos \phi \hat{\mathbf{r}}$ . (a) The lobes are a locus of points having a distance from the origin that is proportional to sin  $2\theta$ . The diagram is for a plane of constant azimuth, and the pair of arrows at the center denotes the shear dislocation. Note the alternating quadrants of inward and outward directions. In terms of far-field *P*-wave displacement, plus signs denote outward displacement (if  $\dot{M}_0(t - r/\alpha)$ ) is positive), and minus signs denote inward displacement. (b) View of the radiation pattern over a sphere centered on the origin. Plus and minus signs of various sizes denote variation (with  $\theta, \phi$ ) of outward and inward motions. The fault plane and the auxiliary plane are nodal lines (on which  $\sin 2\theta \cos \phi = 0$ ). An equal-area projection has been used (see Fig. 4.17). Point P marks the pressure axis, and T the tension axis.

**(b)** 





#### FIGURE 4.6

Diagrams for the radiation pattern of the transverse component of displacement due to a double couple, i.e.,  $\cos 2\theta \cos \phi \hat{\theta} - \cos \theta \sin \phi \hat{\phi}$ . (a) The four-lobed pattern in plane  $\{\phi = 0, \phi = \pi\}$ . The central pair of arrows shows the sense of shear dislocation, and arrows imposed on each lobe show the direction of particle displacement associated with the lobe. If applied to the far-field *S*-wave displacement, it is assumed that  $\dot{M}_0(t - r/\beta)$  is positive. (b) Off the two planes  $\theta = \pi/2$  and  $\{\phi = 0, \phi = \pi\}$ , the  $\hat{\phi}$  component is nonzero, hence (a) is of limited use. This diagram is a view of the radiation pattern over a whole sphere centered on the origin, and arrows (with varying size and direction) in the spherical surface denote the variation (with  $\theta, \phi$ ) of the transverse motions. There are no nodal lines (where there is zero motion), but nodal points do occur. Note that the nodal point for transverse motion at  $(\theta, \phi) = (45^\circ, 0)$  is a maximum in the radiation pattern for longitudinal motion (Fig. 4.5b). But the maximum transverse motion (e.g., at  $\theta = 0$ ) occurs on a nodal line for the longitudinal motion. The stereographic projection has been used (see Fig. 4.16). It is a conformal projection, meaning that it preserves the angles at which curves intersect and the shapes of small regions, but it does not preserve relative areas.





#### Fault types and focal mechanisms





Normal Faulting





Thrust Faulting



**Oblique Normal** 





Basis fault types and their appearance in the focal mechanisms. Dark regions indicate compressional P-wave motion.

#### The Principal Mechanisms



#### FM & stress axes

Figure 4.2-16: Relation between fault planes and stress axes.



#### Double couple RP & surface waves



Figure 4.3-12: Surface wave amplitude radiation patterns for several focal

#### Haskell dislocation model

Haskell N. A. (1964). Total energy spectral density of elastic wave radiation from propagating faults, Bull. Seism. Soc. Am. **54**, 1811-1841





NORMAN A. HASKELL

#### Sumatra earthquake, Dec 28, 2004



Ishii et al., Nature 2005 doi:10.1038/nature03675

#### Haskell source model: far field

#### For a single segment (point source)



**FIGURE 9.5** Geometry of a one-dimensional fault of width w and length L. The individual segments of the fault are of length dx, and the moment of a segment is m dx. The fault ruptures with velocity  $v_r$ .

 $\mathbf{u}_{r}(\mathbf{r},\mathbf{t}) = \sum_{i=1}^{N} \mathbf{u}_{i}(\mathbf{r}_{i},\mathbf{t}-\mathbf{r}_{i}/\alpha - \Delta \mathbf{t}_{i}) =$  $=\frac{\mathsf{R}_{i}^{\mathsf{P}}\mu}{4\pi\rho\alpha^{3}}W\sum_{i=1}^{\mathsf{N}}\frac{\dot{\mathsf{D}}_{i}}{\mathsf{r}_{i}}(\mathsf{t}-\Delta\mathsf{t}_{i})d\mathsf{x}\approx$  $\approx \frac{\mathsf{R}_{i}^{\mathsf{P}}\mu}{4\pi\rho\alpha^{3}} \frac{\mathsf{W}}{\mathsf{r}} \sum_{i=1}^{\mathsf{N}} \dot{\mathsf{D}}(\mathsf{t}) \ast \delta\left(\mathsf{t} - \frac{\mathsf{x}}{\mathsf{v}}\right) d\mathsf{x} \approx$  $\approx \frac{\mathsf{R}_{i}^{\mathsf{P}}\mu}{4\pi\rho\alpha^{3}} \frac{\mathsf{W}}{\mathsf{r}} \dot{\mathsf{D}}(\mathsf{t}) * \int_{0}^{\mathsf{L}} \delta\left(\mathsf{t} - \frac{\mathsf{x}}{\mathsf{v}}\right) \mathsf{d}\mathsf{x} =$  $=\frac{R_{i}^{r}\mu}{4\pi\Omega\alpha^{3}}\frac{W}{r}v_{r}\dot{D}(t)*B(t;T_{r})$ 

#### Haskell source model: far field

$$u_{r}(r,t) \propto \dot{D}(t) * v_{r}H(z)\Big|_{t-x/v_{r}}^{t} = v_{r}\dot{D}(t) * B(t;T_{r})$$

resulting in the convolution of two boxcars: the first with duration equal to the rise time and the second with duration equal to the **rupture time**  $(L/v_r)$ 



**FIGURE 9.6** The convolution of two boxcars, one of length  $\tau_r$  and the other of length  $\tau_c$  ( $\tau_c > \tau_r$ ). The result is a trapezoid with a rise time of  $\tau_r$ , a top of length  $\tau_c - \tau_r$ , and a fall of width  $\tau_r$ .



**FIGURE 9.7** A recording of the ground motion near the epicenter of an earthquake at Parkfield, California. The station is located on a node for *P* waves and a maximum for *SH*. The displacement pulse is the *SH* wave. Note the trapezoidal shape. (From Aki, *J. Geophys. Res.* 73, 5359–5375, 1968; © copyright by the American Geophysical Union.)

#### Haskell source model: directivity

The body waves generated from a breaking segment will arrive at a receiver before than those that are radiated by a segment that ruptures later.

If the path to the station is not perpendicular, the waves generated by different





**FIGURE 9.8** Geometry of a rupturing fault and the path to a remote recording station. (From Kasahara, 1981.)



**FIGURE 9.9** Azimuthal variability of the source time function for a unilaterally rupturing fault. The duration changes, but the area of the source time function is the seismic moment and is independent of azimuth.

#### Directivity example



**FIGURE 9.10** The variability of *P*- and *SH*-wave amplitude for a propagating fault (from left to right). For the column on the left  $v_r/v_s = 0.5$ , while for the column on the right  $v_r/v_s = 0.9$ . Note that the effects are amplified as rupture velocity approaches the propagation velocity. (From Kasahara, 1981.)

#### Ground motion scenarios



The two views in this movie show the cumulative velocities for a San Andreas earthquake TeraShake simulation, rupturing south to north and north to south. The crosshairs pinpoint the peak velocity magnitude as the simulation progresses.

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#### Source spectrum

The displacement pulse, corrected for the geometrical spreading and the radiation pattern can be written as:

$$u(t) = M_{o} \left[ B(t; \tau) * B(t; T_{R}) \right]$$

and in the frequency domain:

$$\left| U(\omega) \right| = M_{o} \left| F(\omega) \right| = M_{o} \left| \frac{\sin\left(\frac{\omega\tau}{2}\right)}{\left(\frac{\omega\tau}{2}\right)} \right| \frac{\sin\left(\frac{\omega L}{v_{r}^{2}}\right)}{\left(\frac{\omega L}{v_{r}^{2}}\right)} \right| \approx \begin{cases} M_{o} & \omega < \frac{2}{T_{r}} \\ \frac{2M_{o}}{\omega T_{R}} & \frac{2}{T_{r}} < \omega < \frac{2}{\tau} \\ \frac{4M_{o}}{\omega^{2}\tau T_{R}} & \omega > \frac{2}{\tau} \end{cases}$$

#### Source spectrum

Figure 4.6-4: Approximation of the  $(\sin x)/x$  function, and derivation of corner frequencies.



#### Magnitude saturation

Nature limits the maximum size of tectonic earthquakes which is controlled by the maximum size of a brittle fracture in the lithosphere. A simple seismic shear source with linear rupture propagation has a typical "source spectrum".



Ms is not linearly scaled with  $M_0$  for  $M_s > 6$  due to the beginning of the socalled saturation effect for spectral amplitudes with frequencies  $f > f_{c.}$  This saturation occurs already much earlier for  $m_b$  which are determined from amplitude measurements around I Hz.