

With slides from Silvia Onesti

# X-ray biopolymer crystallography: an overview

# Metodi sperimentali per determinare la struttura delle macromolecole

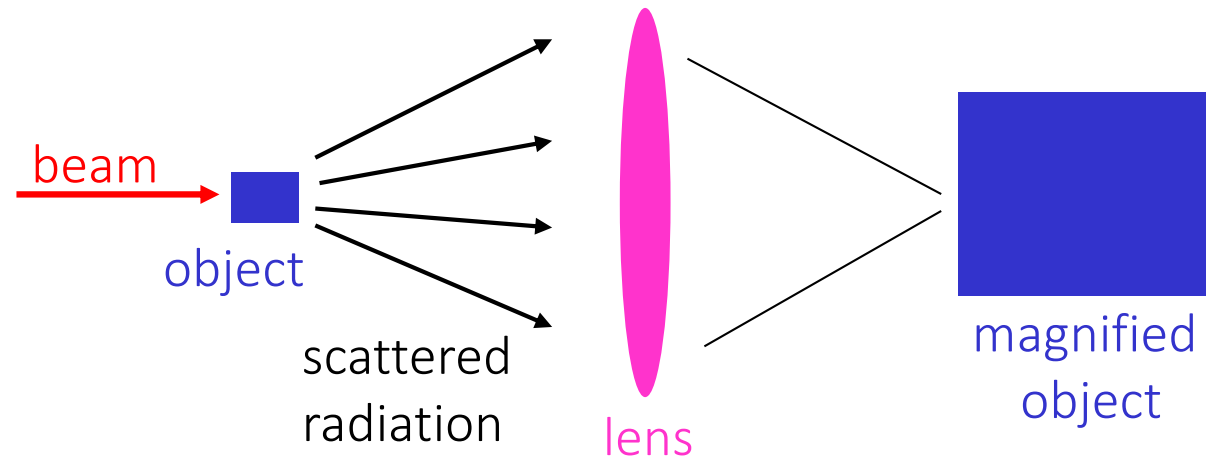
<b>Alta risoluzione</b>	cristallografia a raggiX   NMR   cristallografia elettronica
<b>Media risoluzione</b>	microscopia elettronica   diffrazione di fibre   Spettrometria di massa   SAXS   Microscopia a Forza Atomica
<b>Metodi Spettroscopici</b>	NMR   dicroismo circolare   Assorbanza   Fluorescenza   Fluorescenza anisotropa   diffusione della luce
<b>Metodi Chimici</b>	scambio H-D   mutagenesi sito specifica   modificazioni chimiche   proteomica
<b>Metodi termodinamici</b>	Equilibrio di (un)foldering
<b>Metodi computazionali</b>	predizione della struttura delle Proteine   Docking molecolare

# Structure determination methods

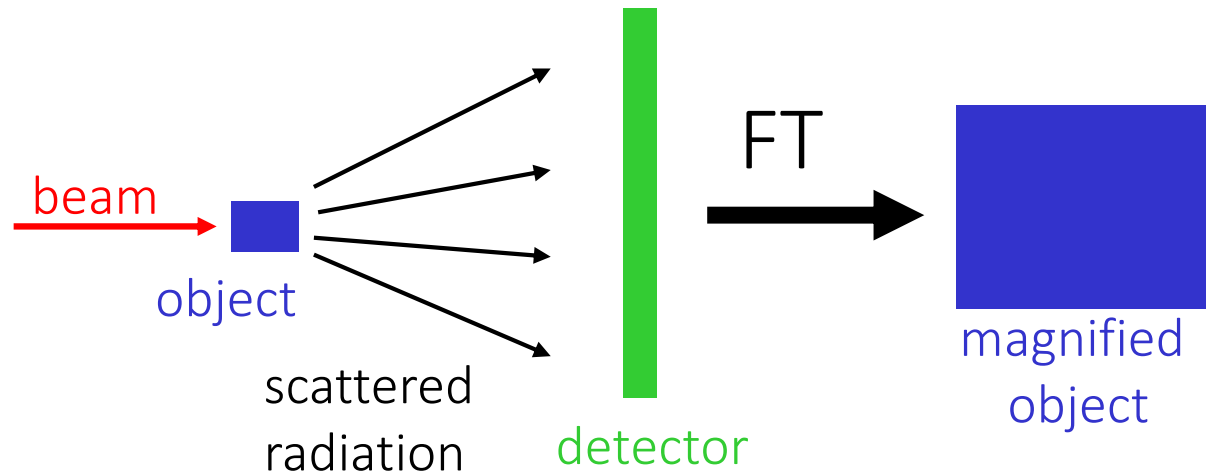
- X-ray crystallography (protein crystallography)
- X-ray fiber diffraction
- Small angle X-ray scattering (SAXS)
- Scanning electron microscopy (SEM)
- Transmission electron microscopy (TEM):
  - 2D crystals (electron diffraction)
  - Fibers/helices/tubular crystals
  - Single particle electron microscopy
- Atomic Force Microscopy (AFM)
- Nuclear magnetic resonance (NMR)

# Microscopy vs diffraction

Microscopy



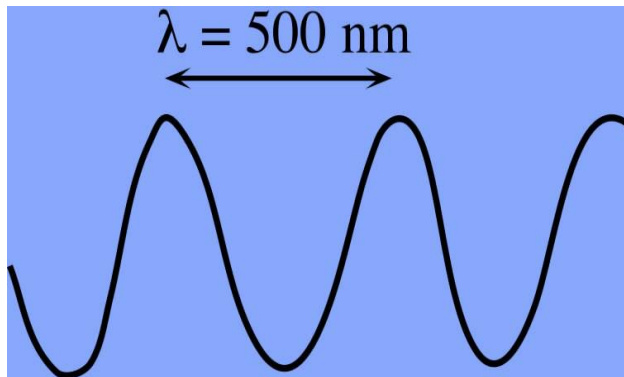
Diffraction



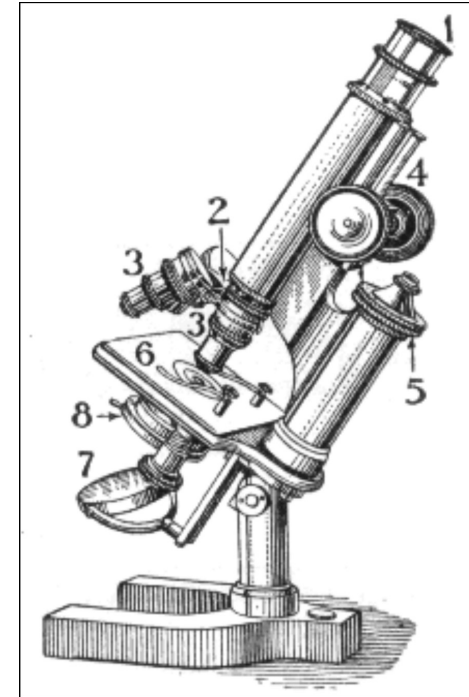
# Why can't we use a microscope?

Normally, to look at small objects we use microscopes...

...but they can only provide images of things larger than the wavelength of light



- Protein (10 nm)
- Atom (0.1 nm = 1 Å)



In theory, we could use X-rays (light of  $\lambda = 0.1 \text{ nm}$ , the right size for looking at atoms)...

# X-rays

X-rays:

$$E = 1-100 \text{ keV}$$

$$\lambda = 10 \text{ nM}-0.01 \text{ nm}$$

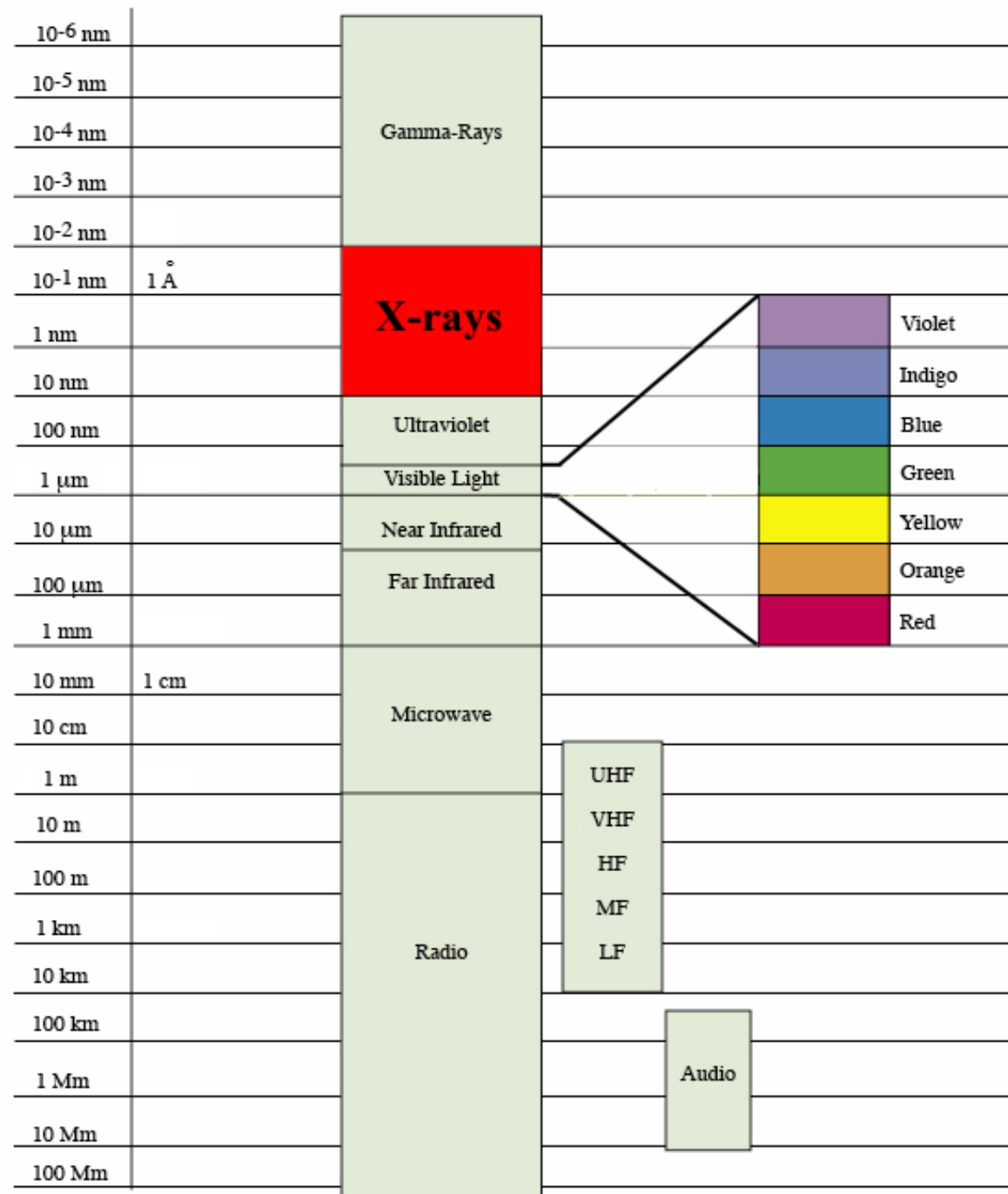
$$E = h\nu$$

$$\lambda = c/\nu$$

Soft X-rays (lower E) are used in medical imaging.

Hard X-rays (higher E) are used in crystallography.

...but we can't make X-ray microscopes as hard X-rays do not reflect or refract easily.



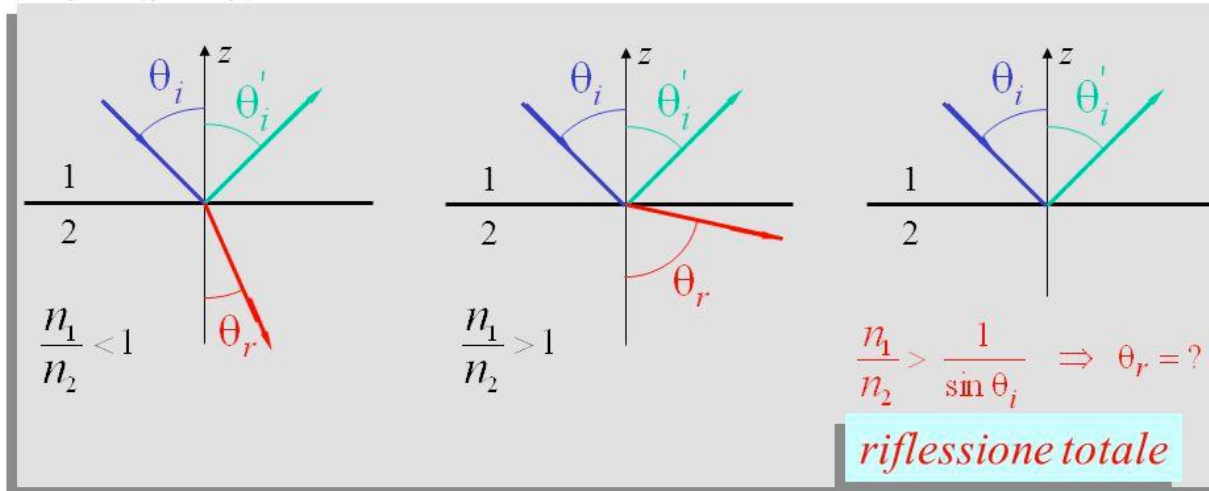
# X-rays

riflessione totale

oppure, a  $\theta_i$  fisso  
cambiare:

legge di Snell

$$\sin\theta_r = \frac{n_1}{n_2} \sin\theta_i = n_{12} \sin\theta_i$$



$$\frac{n_1}{n_2} > \frac{1}{\sin\theta_i} \Rightarrow \sin\theta_i > \frac{n_2}{n_1} \equiv \sin\theta_L \quad \text{angolo limite}$$

X-rays change their speed only little when moving from one medium to another

# Interaction of radiation with matter

$$A(t) = A_0 \cos(\omega t)$$

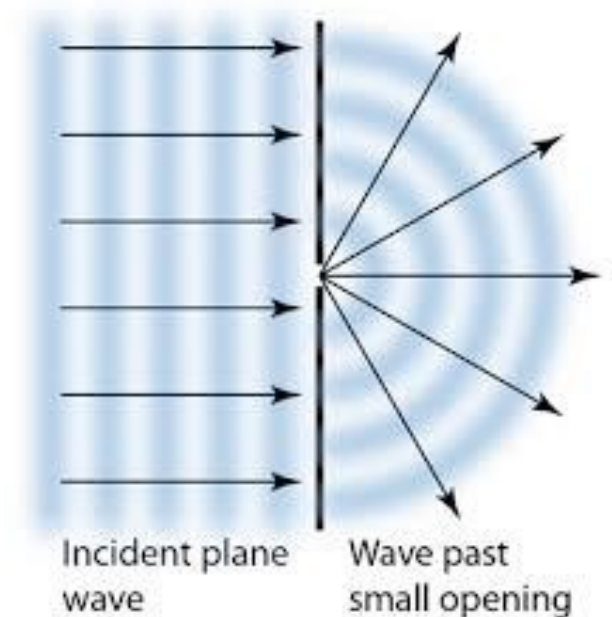
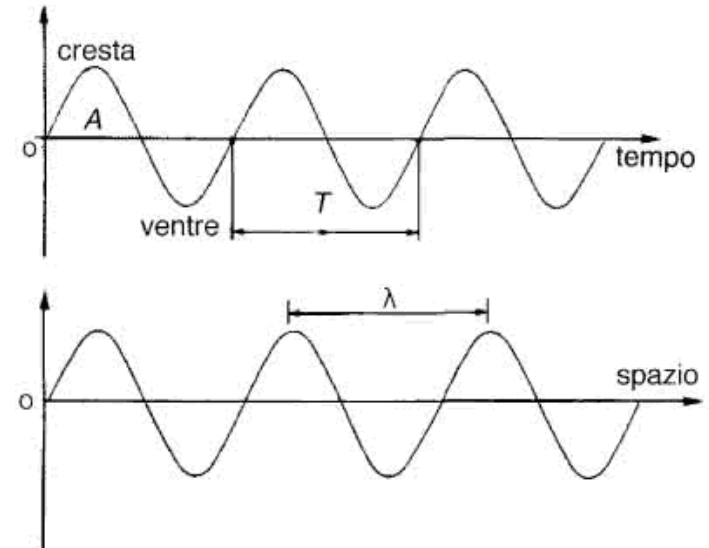
$$B(t) = B_0 \cos(\omega t + \varphi_0)$$

According to  $\varphi = \omega t + \varphi_0$  the two waves can be in phase or not.

Coherence: phase difference maintained, either vs. t or space

Huygens' principle:

When a plane wave hits a slit, each point of the slit is a source of a spherical wave

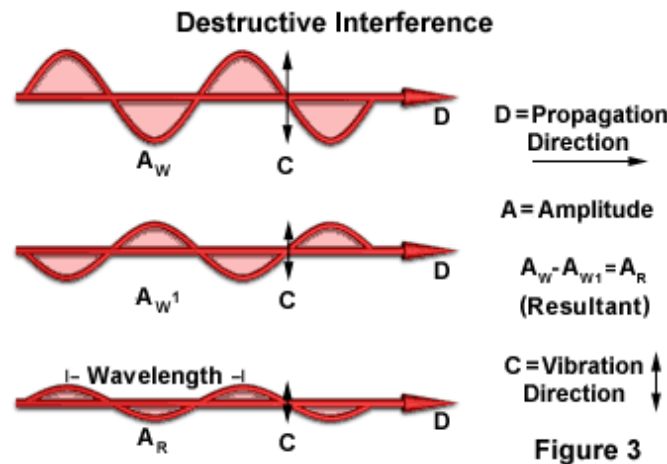
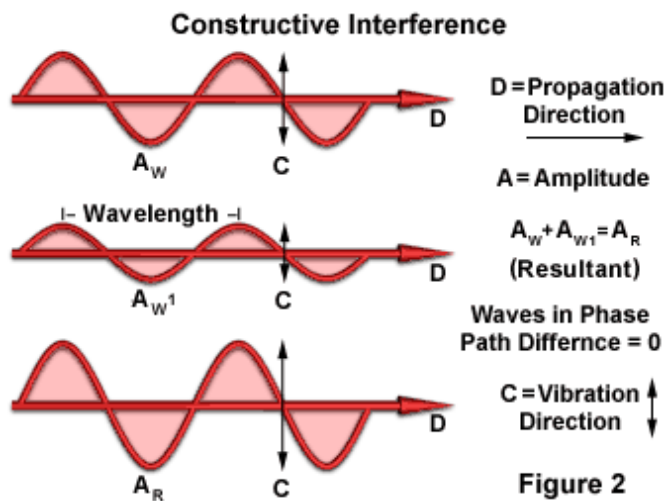
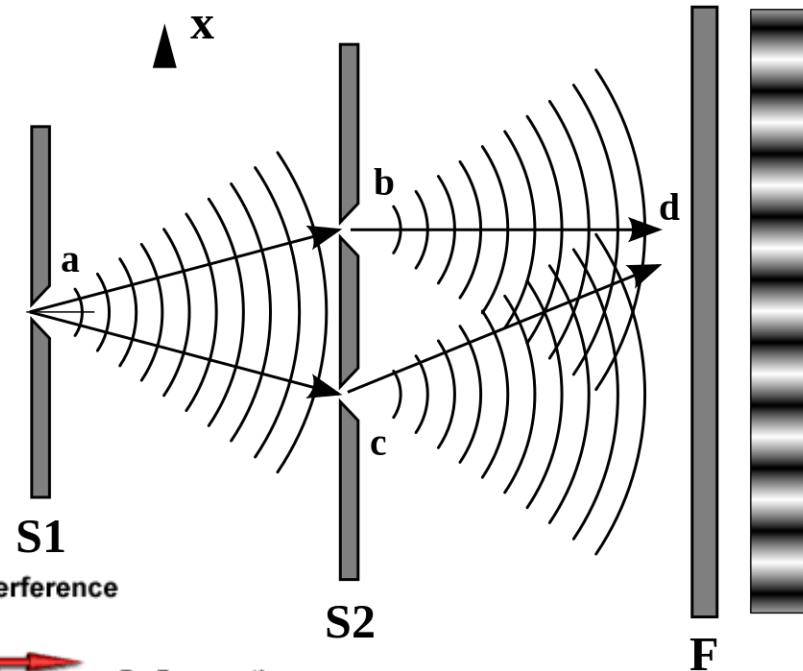




# Interference between coherent waves

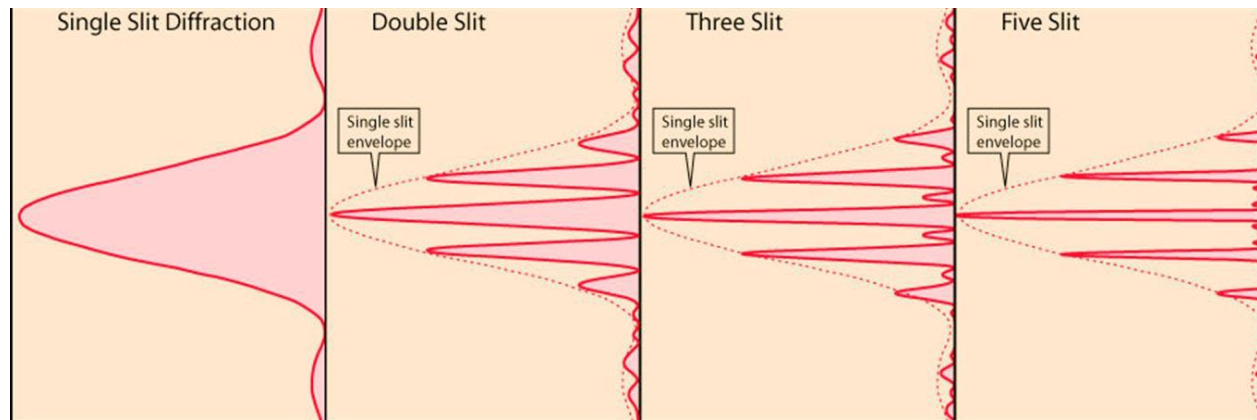
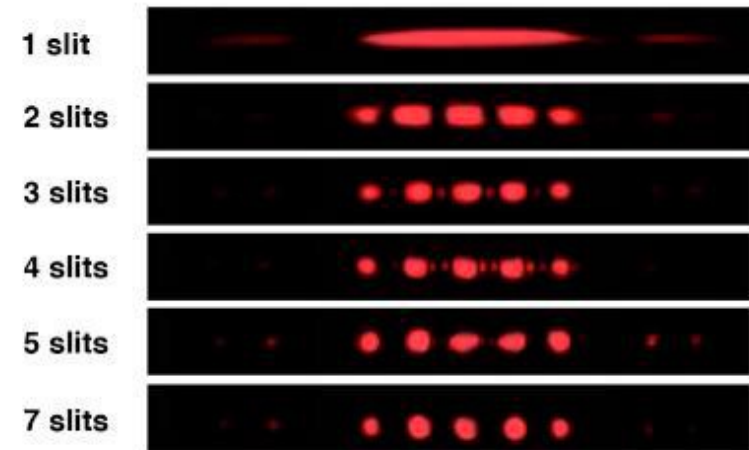
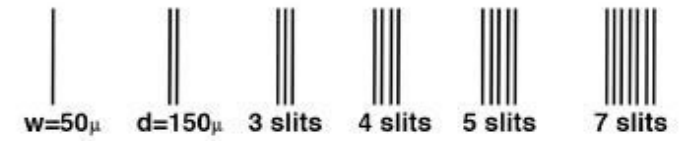
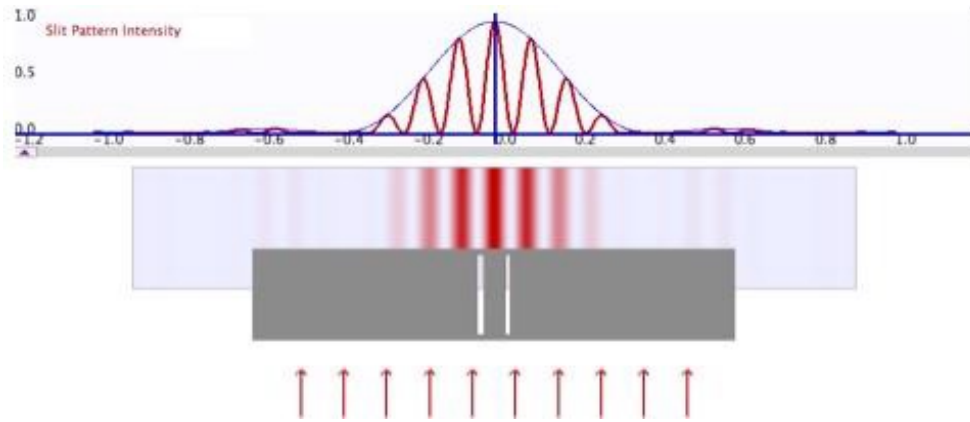
Interference between waves generated by two slits:

It can occur if the distance between the slits is comparable with  $\lambda$ : two spherical waves coherent generated from b and c



Dark and light zones depending on the direction of observation

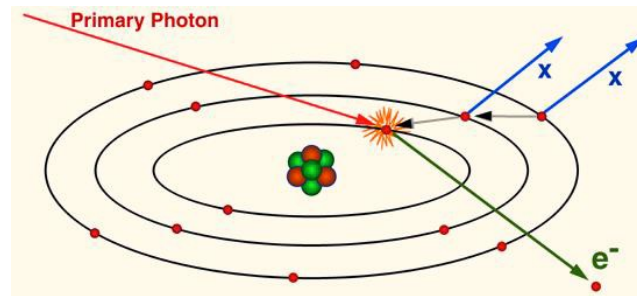
# Interference between coherent waves



More intensity,  
diffraction spots

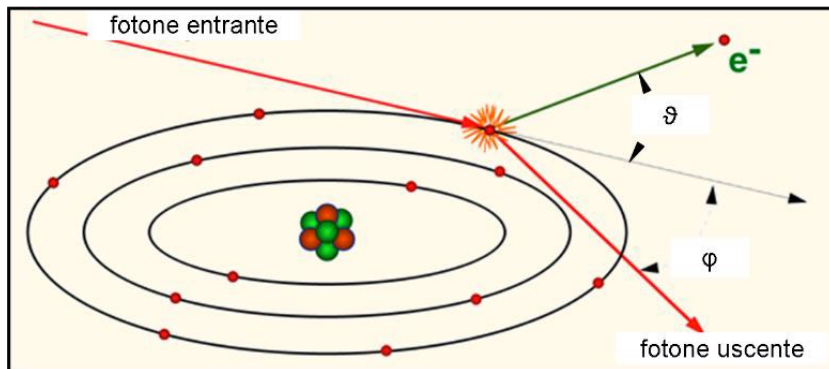
# Interaction of x-rays with matter

## Photoelectric effect



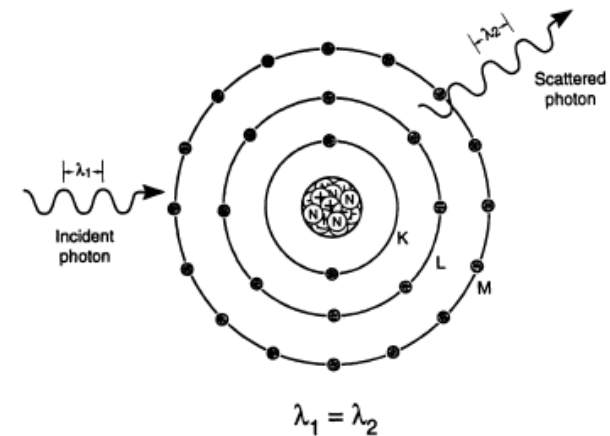
Soft X-rays, E comparable with the energies of deep electrons in the atoms (tens-hundreds eVs)

## Compton Scattering



Inelastic: radiation partially absorbed by a valence electron and re-emitted at lower E

## Elastic Scattering (diffusion)

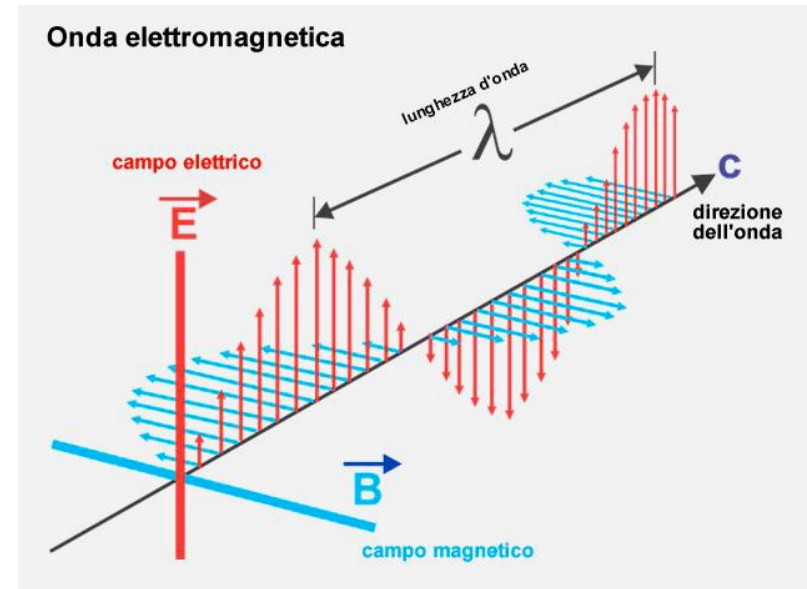


Elastic (Rayleigh): radiation re-emitted with the same E ----DIFFRACTION IN CRYSTALS

# Interaction of x-rays with matter

The solution of Maxwell equation (propagation of EM field) are the electromagnetic waves. For the E field:

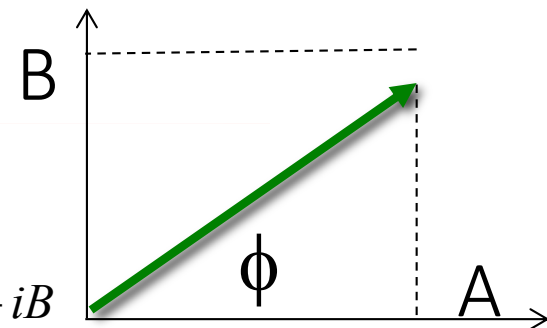
$$E(t,x) = E_0 \cos[2\pi\nu(t - x/c)]$$



Introducing complex numbers (and Euler's formula):

$$E(t, x) = E_0 \exp\left[2\pi\nu i \left(t - \frac{x}{c}\right)\right]$$

$$\mathbf{Z} = |\mathbf{Z}| \exp(i\phi) = |\mathbf{Z}|(\cos\phi + i\sin\phi) = A + iB$$



# X-ray scattering by a single electron (Thompson)

$$\mathbf{F} = e\mathbf{E}_i$$

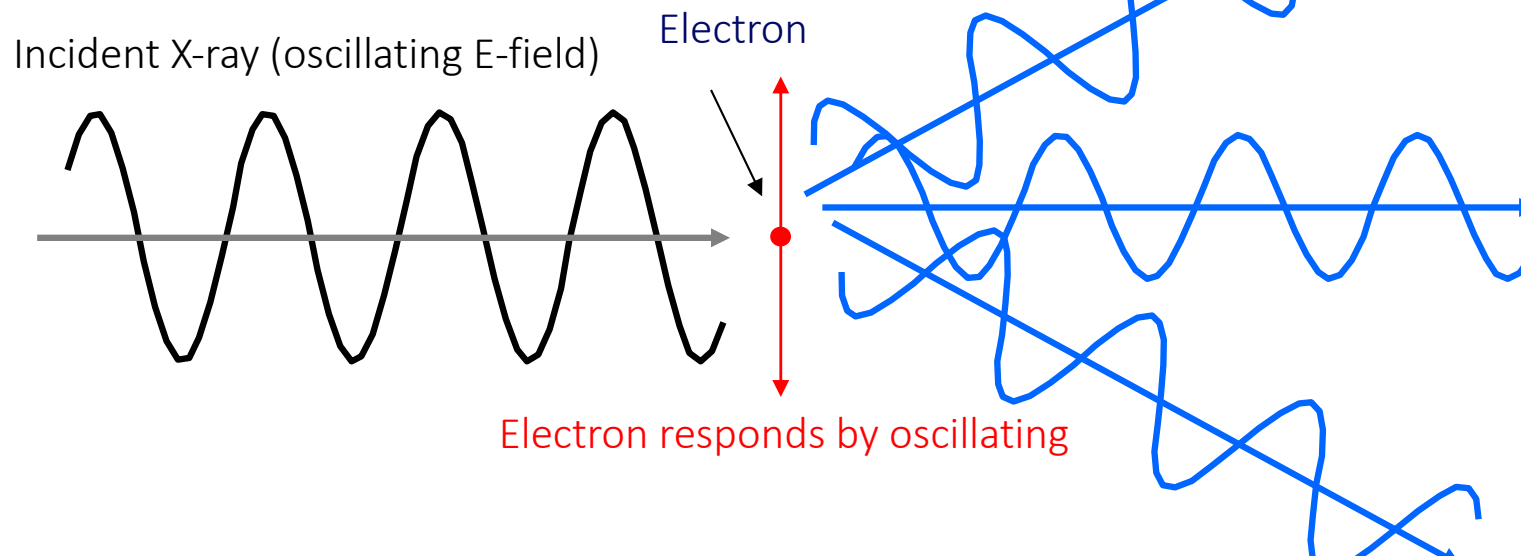
force exerted by the electric field  $\mathbf{E}$  on the charge  $e$ , which cause an oscillatory motion with frequency equal to the incident wave, and acceleration:

$$\vec{a} = \frac{\vec{F}}{m} = e \frac{\vec{E}_i}{m}$$

Oscillating electron emits X-rays over a wide angle

$$\vec{E}_d = E_{d0} \exp\left[2\pi\nu i \left(t - \frac{\vec{r}}{c}\right) - i\alpha\right]$$

$\alpha$  = phase change



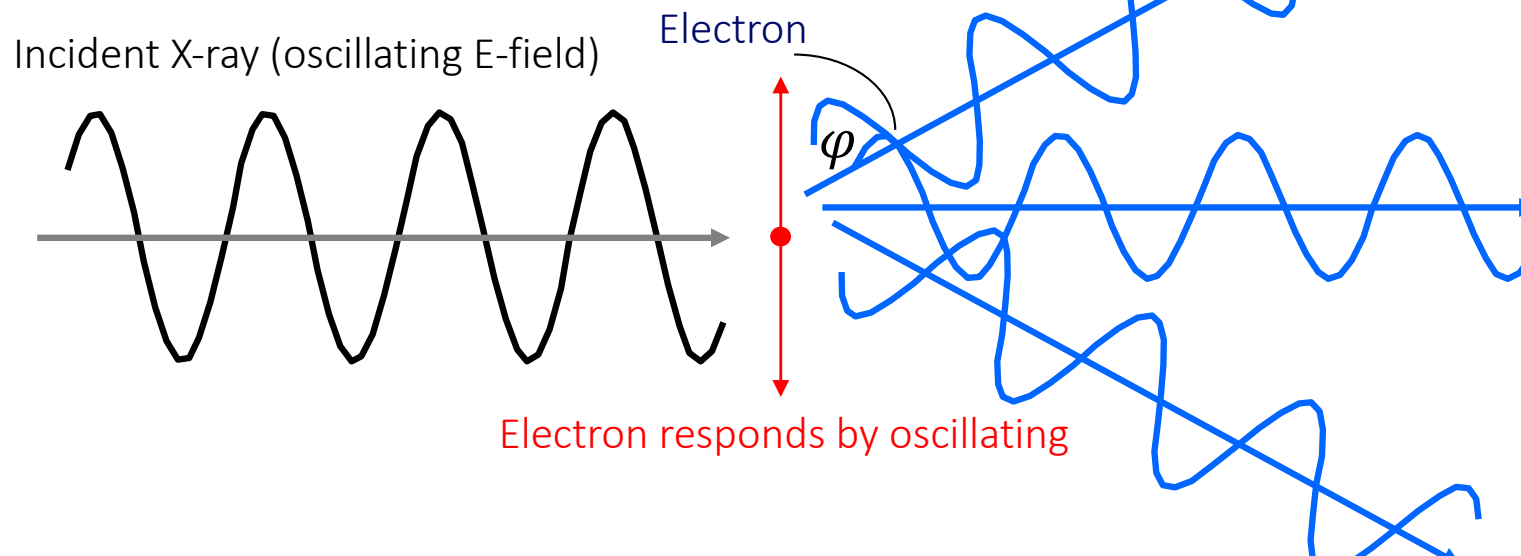
# X-ray scattering by a single electron (Thompson)

$$\vec{E}_d = E_{d0} \exp\left[2\pi\nu i \left(t - \frac{\vec{r}}{c}\right) - i\alpha\right]$$

According to Thompson:

$$E_{d0} = \frac{1}{r} E_{i0} \left(\frac{e^2}{mc^2}\right) \sin \varphi$$

$e$  is the el. charge;  $m$  the mass;  $c$  speed of light;  
 $\varphi$  the angle between the el acceleration and  
the direction of observation  $\mathbf{r}$ .



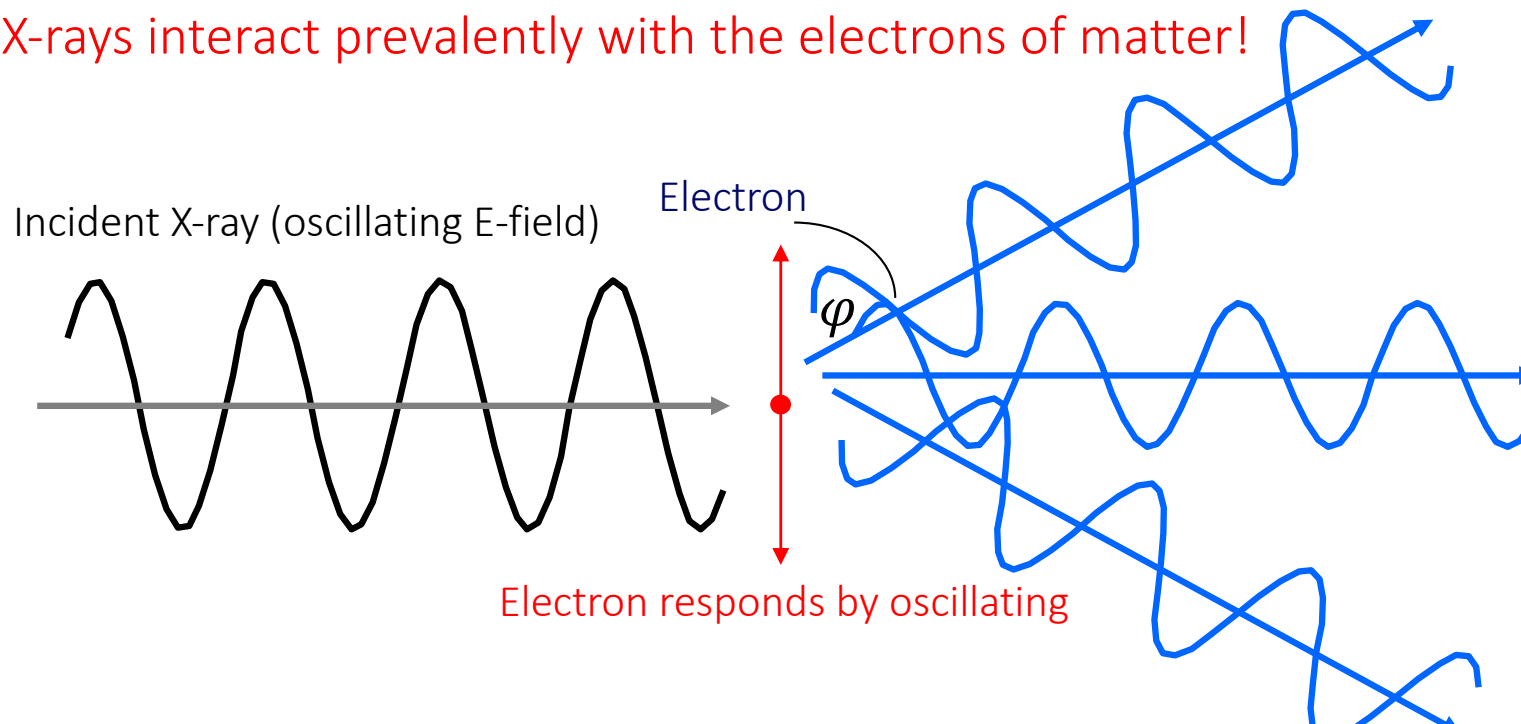
# X-ray scattering by a single electron (Thompson)

$$I = |E|^2$$

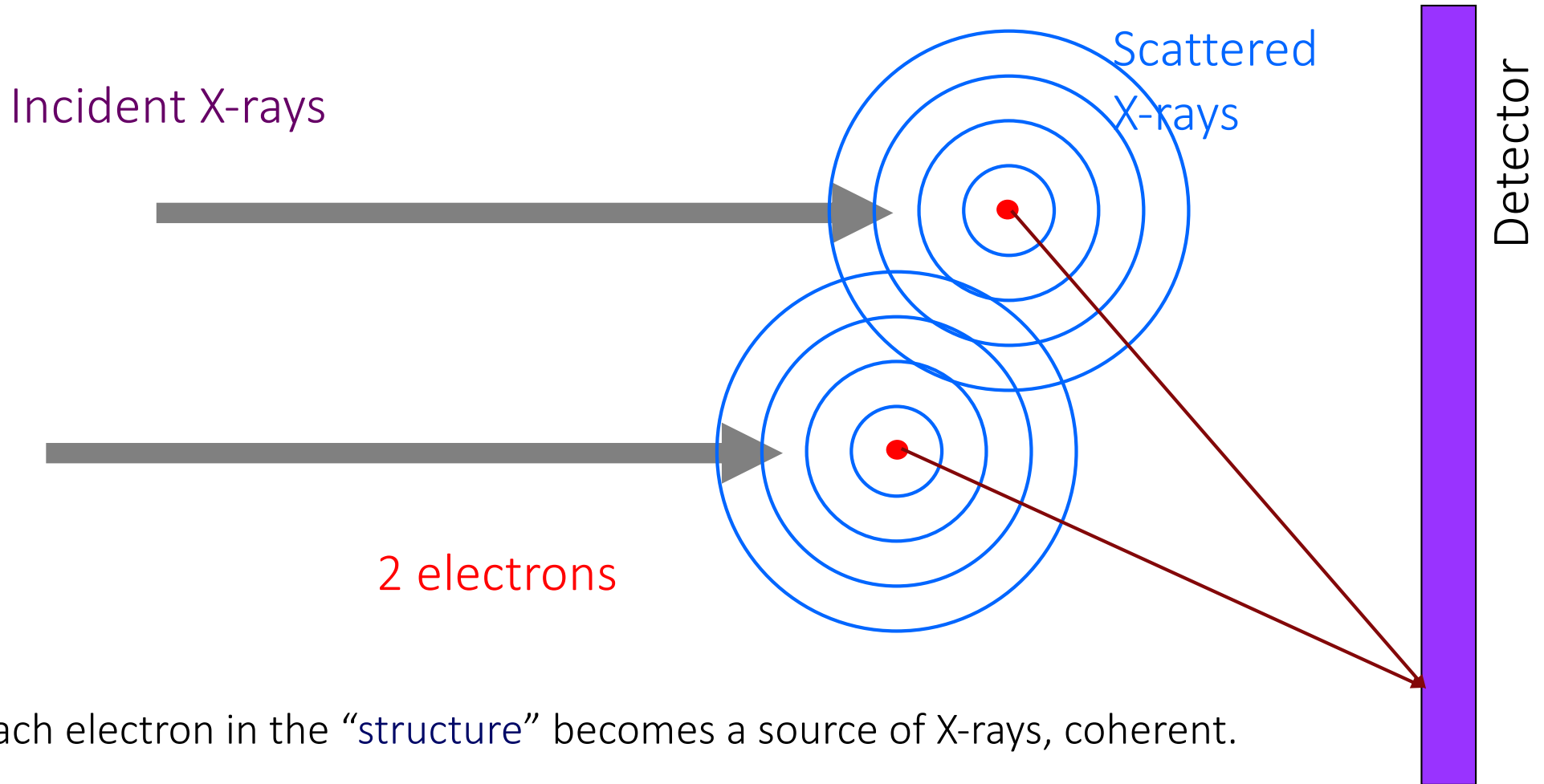
$$I_{eTh} = I_{d0} = |E_{d0}|^2 = \left| \frac{1}{r} E_{i0} \left( \frac{e^2}{mc^2} \right) \sin \varphi \right|^2 = I_{i0} \frac{e^4}{m^2 r^2 c^4} \sin^2 \varphi$$

$I_{eTh}$  depends on  $e/m$ , 1837 times fainter for a proton than an electron!

X-rays interact prevalently with the electrons of matter!



# X-ray scattering by two electrons



Each electron in the “structure” becomes a source of X-rays, coherent.

Diffused, coherent waves from different electrons interfere.

The diffraction pattern (which would be observed on a detector) depends on how these scattering centres are arrayed, i.e. on the structure.



# X-ray scattering by two electrons

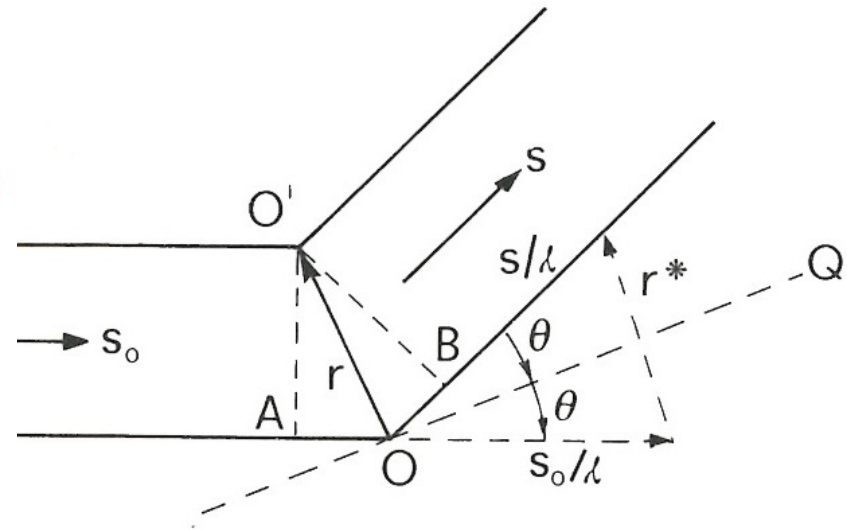
Conditions for interference depend on the difference between the optical path of the two waves:

$\overline{OA} + \overline{OB} = \text{differenza di cammino ottico}$

$$\overline{OA} = -\vec{r} \cdot \vec{s}_0$$

(proiezione di  $\vec{r}$  lungo  $\vec{s}_0$ ; segno  
- perchè opposto alla direzione di  $\vec{s}$ )

$$\overline{OB} = \vec{r} \cdot \vec{s} \text{ (proiezione di } \vec{r} \text{ lungo } \vec{s}\text{)}$$



Esprimendo la differenza di cammino ottico come **differenza di fase**:

$$\delta = 2\pi \frac{\overline{OA} + \overline{OB}}{\lambda} = 2\pi \frac{\vec{r} \cdot (\vec{s} - \vec{s}_0)}{\lambda} \leftarrow \text{è pari al numero di 'periodi'}$$



# X-ray scattering by two electrons

$$\vec{E}_d^1 = E_{d0} \exp\left[2\pi\nu i \left(t - \frac{\vec{r}}{c}\right) - i\alpha\right]$$

$$\vec{E}_d^2 = E_{d0} \exp\left[2\pi\nu i \left(t - \frac{\vec{r}}{c}\right) - i\alpha + i\delta\right]$$

$$\vec{E}_d^2 = E_{d0} \exp\left[2\pi\nu i \left(t - \frac{\vec{r}}{c}\right) - i\alpha\right] \exp(i\delta)$$

$$\vec{E}_d^2 = \vec{E}_d^1 \exp(i\delta)$$

$$\vec{E}_d = \vec{E}_d^1 + \vec{E}_d^2 = \vec{E}_d^1 [1 + \exp(i\delta)]$$

$$\vec{E}_d(\vec{r}^*) = \vec{E}_d^1 [1 + \exp(2\pi i \vec{r} \cdot \vec{r}^*)]$$

An additive term which determines if the waves are summed up or sottracted

# X-ray scattering by N electrons

Se abbiamo N elettroni, tutti uguali nelle posizioni  $r_1, r_2, \dots, r_N$  dal primo elettrone ( $r_1$  sarà 0, origine del sistema di riferimento), e applicando lo stesso procedimento utilizzato per 2 elettroni, otteniamo:

$$\vec{E}_d(r^*) = \vec{E}_d^1 \sum_{j=1}^N \exp(2\pi i \vec{r}_j \cdot \vec{r}^*)$$

Dove  $r^*$  denota la 'direzione di osservazione', mentre  $r_j$  denota la posizione del diffusore j-simo

Più in generale, ammettendo che i diffusori abbiamo una carica generica (non necessariamente quella di 1 elettrone), allora ogni diffusore genererà onde di ampiezza  $E_0$  non necessariamente uguali tra loro (maggiore è la carica maggiore è l'ampiezza dell'onda diffusa, vedi formula di Thomson) e che indicheremo con  $A_j$

Potremo così scrivere:

$$\vec{E}_d(r^*) = \sum_{j=1}^N A_j \exp(2\pi i \vec{r}_j \cdot \vec{r}^*)$$

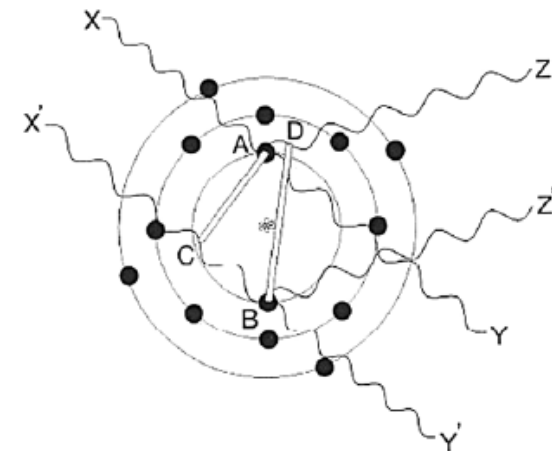


Figure 3.12. The scattering of X-rays from a real atom extended in space.

# X-ray scattering by N electrons

$$\vec{E}_d(r^*) = \sum_{j=1}^N A_j \exp(2\pi i \vec{r}_j \cdot \vec{r}^*)$$

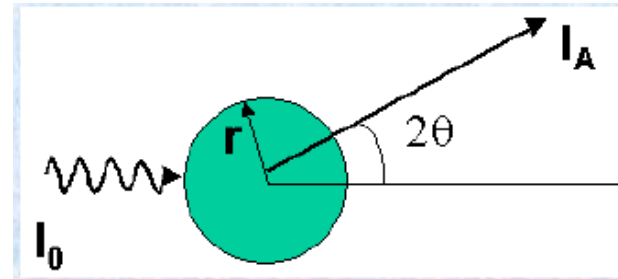
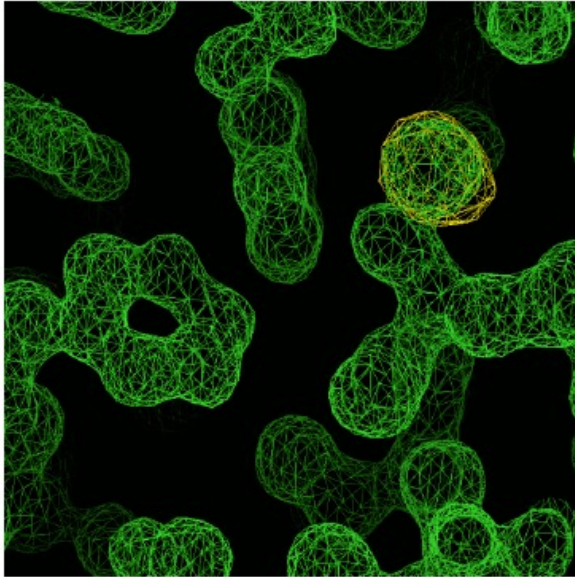
If instead of amplitude A we define the ratio between the intensity of the diffused wave and the one diffused by a single electron (Thomson):

$$\vec{F}(r^*) = \sum_{j=1}^N f_j \exp(2\pi i \vec{r}_j \cdot \vec{r}^*)$$

$$f_j^2 = \frac{I_j}{I_{Th}}$$

$f_j$  is the **Structure Factor** of the diffuser  $j$

# Diffusion from a charge density distribution



$\rho(\mathbf{r})$  charge density distribution

$\rho(\mathbf{r})d\mathbf{r}$  infinitesimal charge element with:

$2\pi i \mathbf{r}_j \cdot \mathbf{r}^*$  phase difference:

$$\rho(\vec{r}) \exp(2\pi i \vec{r}_j \cdot \vec{r}^*)$$

Integrating over the space of the charge density with volume  $V$ :

$$\vec{F}(\vec{r}^*) = \int_V \rho(\vec{r}) \exp(2\pi i \vec{r}_j \cdot \vec{r}^*) d\vec{r} \quad \text{Structure Factor}$$

Which is the Fourier transform of  $\rho(\mathbf{r})$  integrated over  $V$  :  $\mathbf{F}(\mathbf{r}^*) = \text{FT}[\rho(\mathbf{r})]$

# X-ray scattering by N electrons

FT put two spaces in relation:

the **direct space** ( $r$ ) and the **reciprocal space** ( $r^*$ ).

La  $\rho(\mathbf{r})$  is defined in the direct space,  $\mathbf{F}(\mathbf{r}^*)$  in the reciprocal space

The scattered wave result of the interference of x-rays with a charge distribution, along a direction defined by  $r^*$ , is the FT of the charge distribution itself.

FT [FT[ $\rho(\mathbf{r})$ ]] gives  $\rho(\mathbf{r})$  : **from the phase and module of diffused waves we can determine the charge distribution in the real space**

# Summary

- Electrons diffuse x-Rays
- diffuse waves interfere
- the sum of diffuse waves depends on the difference of optical path which is function of charge distribution in the real space
- for a charge density distribution, we introduce infinitesimal volumes, each acting as a unit charge
- the total diffuse wave is the Fourier Transform of the charge distribution



# Atomic form factor

$\rho_{el}(\mathbf{r})$  function of  $|\psi_r|^2$

$$\vec{f}_{el}(\vec{r}^*) = \int_V \rho_{el}(\vec{r}) \exp(2\pi i \vec{r}_j \cdot \vec{r}^*) d\vec{r}$$

is the **electronic scattering function**. For one atom with N electrons we define the **atomic scattering function**:

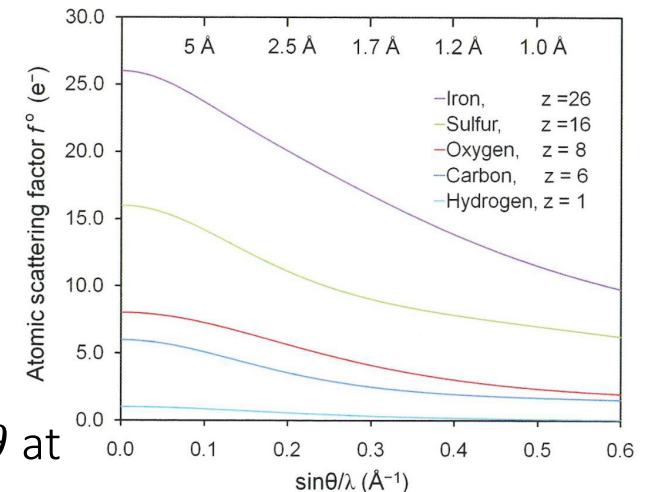
$$f_{at}(\mathbf{r}^*) = \sum_{i=1}^N f_i^{el}$$

and the intensity diffused by an atom is:

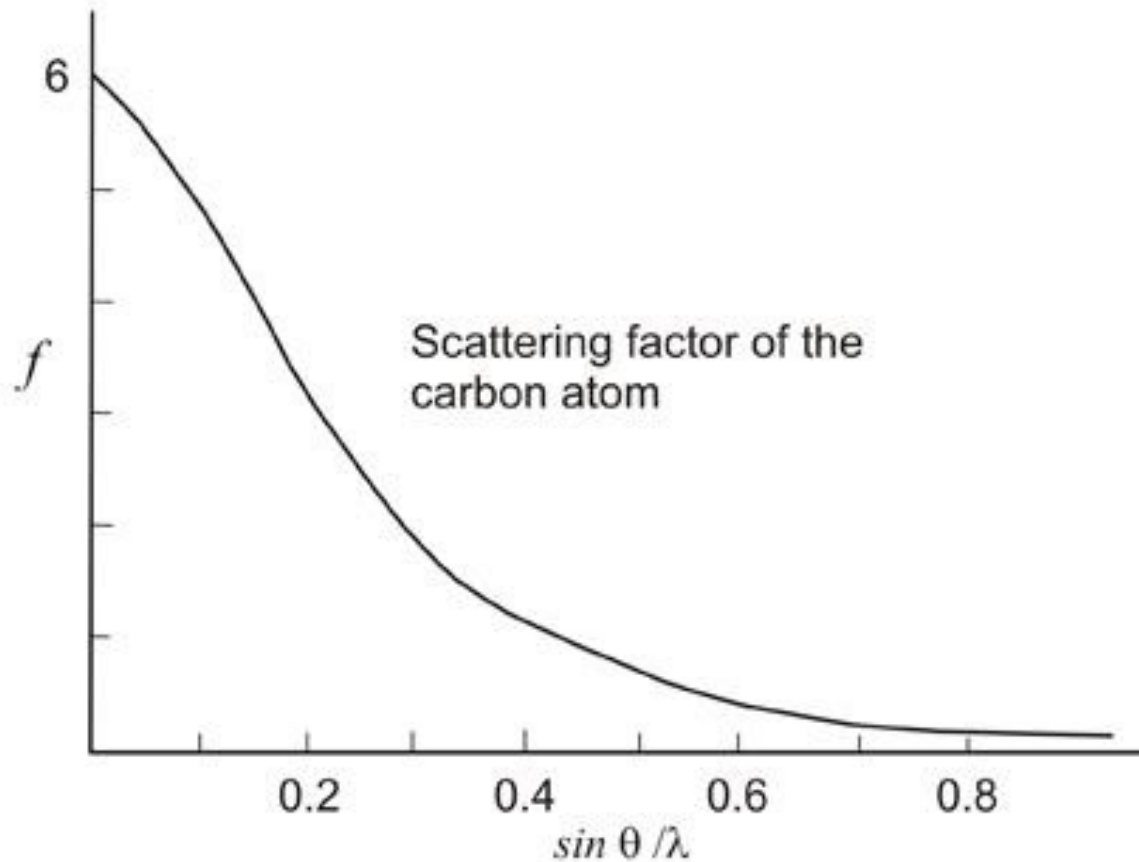
$$I_{at} = f_{at}^2$$

Which depends on  $|\mathbf{r}^*| = 2 \sin \theta / \lambda$

The more efficiently one electron scatters, the higher the  $\theta$  at which diffraction is measured!



# Atomic form factor

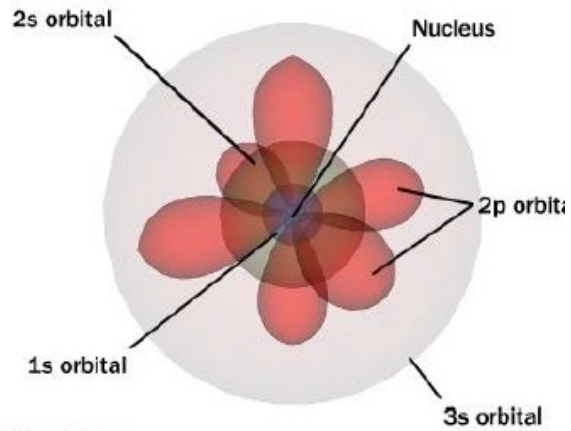


$$f_{at}(r^*) = \sum_{i=1}^N f_i^{el}$$

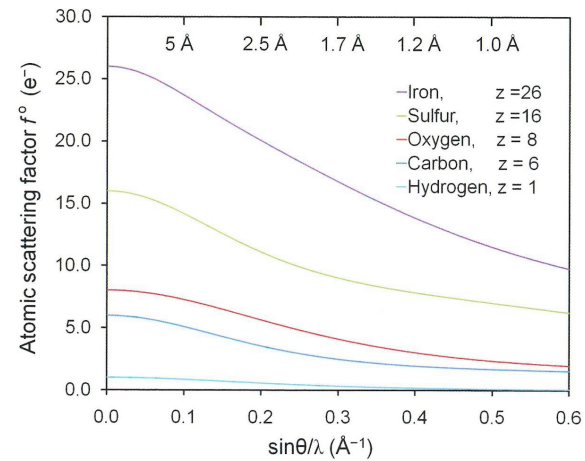
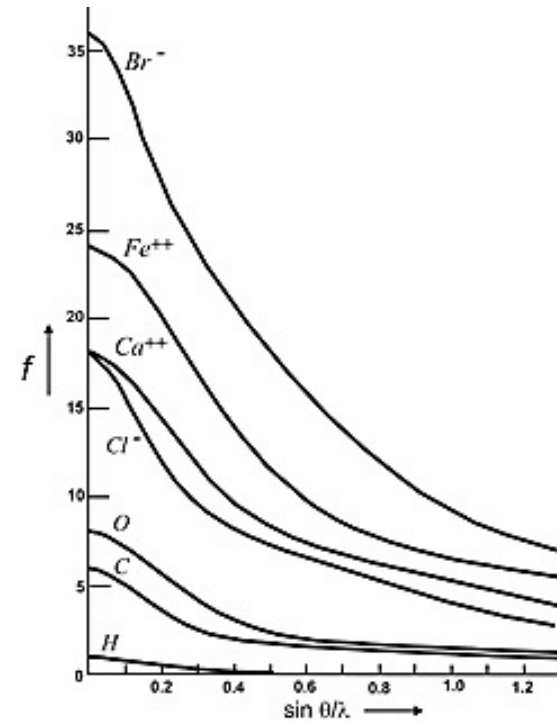
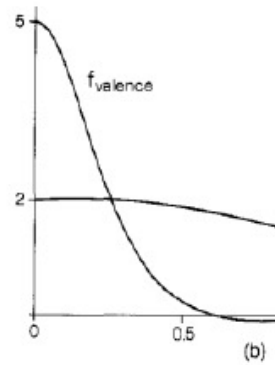
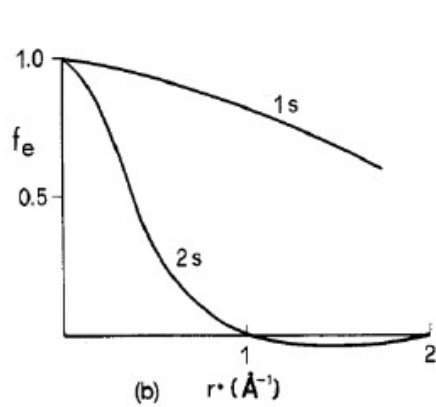
$$f_{at}(\mathbf{0}) = \sum_{i=1}^N 1 = Z$$

At  $r^* = 0$ , or  $\vartheta=0$ , the atomic form (or scattering) factor is  $Z$ , the atomic number!

# Atomic form factor



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The higher Z, the higher the diffraction of X-rays from that atom!

# Molecular scattering factor

$$\rho_{mol}(r) = \sum_{j=1}^N \rho(r - r_j) \quad \rho(r-r_j) \text{ } r_j \text{ is the distance from the origin}$$

$$\rho_{mol}(r) = \sum_{j=1}^N \rho(r - r_j) \longrightarrow \vec{F}_M(\vec{r}^*) = \sum_{j=1}^N \int_V \rho_{el}(\vec{r} - \vec{r}_j) \exp(2\pi i \vec{r} \cdot \vec{r}^*) d\vec{r}$$

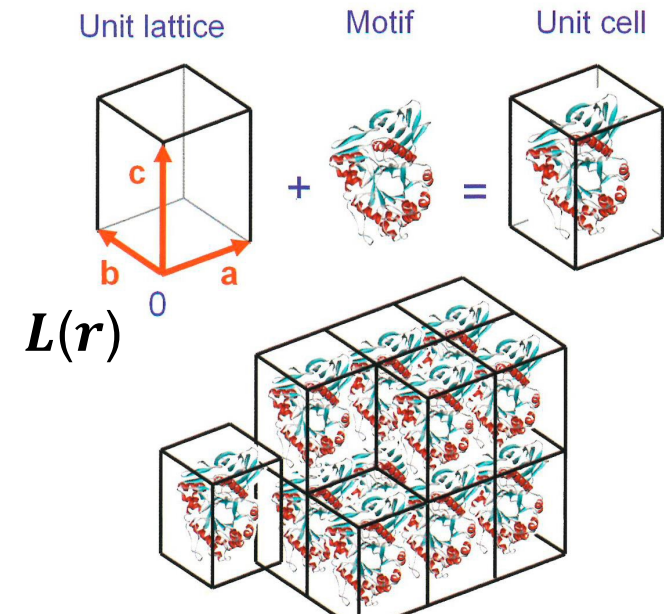
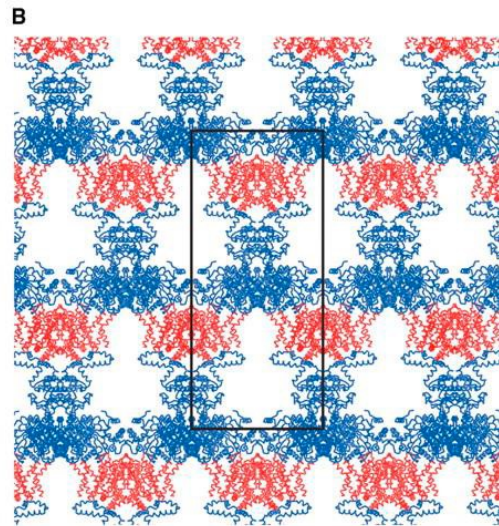
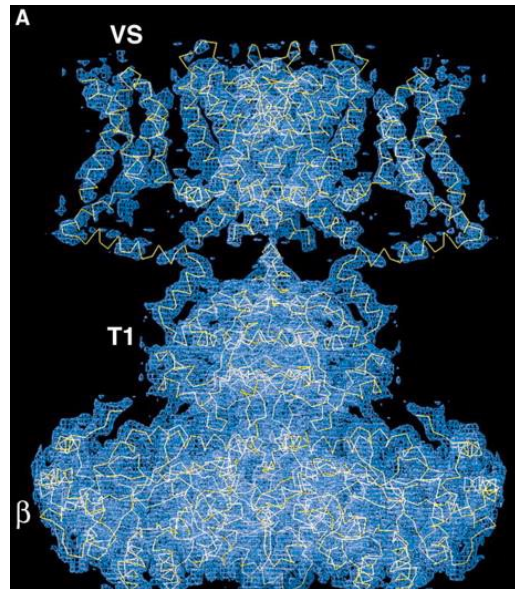
$$r-r_j=R_j \quad \vec{F}_M(\vec{r}^*) = \sum_{j=1}^N \int_V \rho_{el}(\vec{R}_j) \exp[2\pi i (\vec{r}_j + \vec{R}_j) \cdot \vec{r}^*] d\vec{R}_j$$

$$\sum_{j=1}^N \left\{ \int_V \rho_{el}(\vec{R}_j) \exp(2\pi i \vec{R}_j \cdot \vec{r}^*) d\vec{R}_j \right\} \exp(2\pi i \vec{r} \cdot \vec{r}^*)$$

$f_j^{at}$

$$\vec{F}_M(\vec{r}^*) = \sum_{j=1}^N f_j^{at}(\vec{r}^*) \exp(2\pi i \vec{r} \cdot \vec{r}^*)$$

# Diffraction from a crystal



$$\rho_{crys}(\mathbf{r}) = \rho_{mol}(\mathbf{r}) * L(\mathbf{r})$$

$L(\mathbf{r})$  is a mathematical function which describes the crystal lattice

# Diffraction from a crystal

$$\rho_{crys}(\mathbf{r}) = \rho_{mol}(\mathbf{r}) * L(\mathbf{r})$$

$$\overrightarrow{F}_{crys}(\overrightarrow{r}^*) = TF[\rho_{crys}(\mathbf{r})]$$

$$TF[\rho_{crys}(\mathbf{r})] = TF[\rho_{mol}(\mathbf{r}) * L(\mathbf{r})]$$

$$TF[\rho_{mol}(\mathbf{r}) * L(\mathbf{r})] = TF[\rho_{mol}(\mathbf{r})] \cdot TF[L(\mathbf{r})]$$

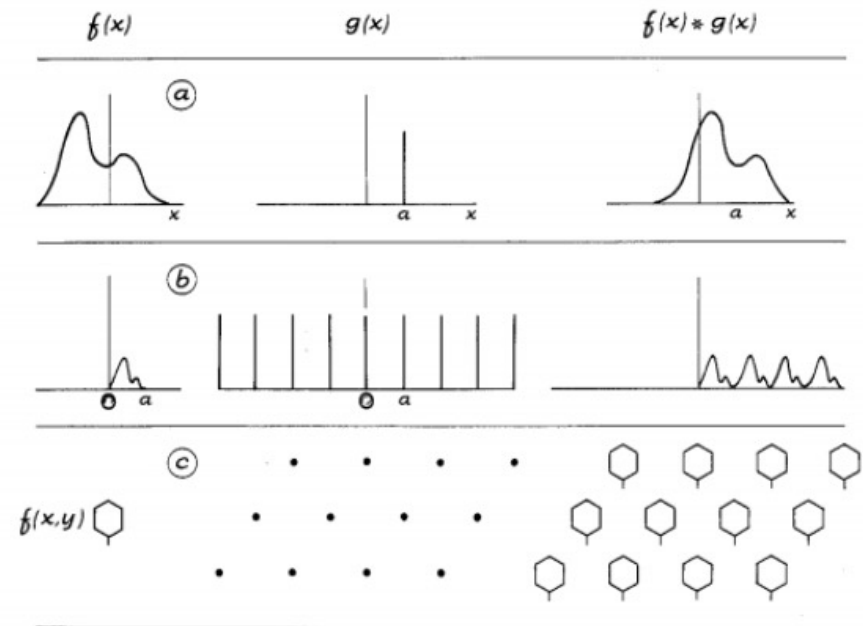
$TF[\rho_{mol}(\mathbf{r})]$  is the structure factor of the unit cell

# Diffraction from a crystal

La convoluzione di una funzione  $f(x)$  con una funzione che è diversa da zero per valori predefiniti, ha come effetto la ripetizione della funzione  $f(x)$  in tutti i punti diversi da zero

La  $TF[L(r)]$  è diversa da zero solo in corrispondenza dei nodi del reticolo reciproco, identificati da 3 indici  $h k l$  (numeri interi),

Di conseguenza avremo che la funzione  $F(r^*)$  assume valori diversi da zero solo quando  $r^*$  identifica un nodo del reticolo reciproco, definito come  $r^*(hkl)$

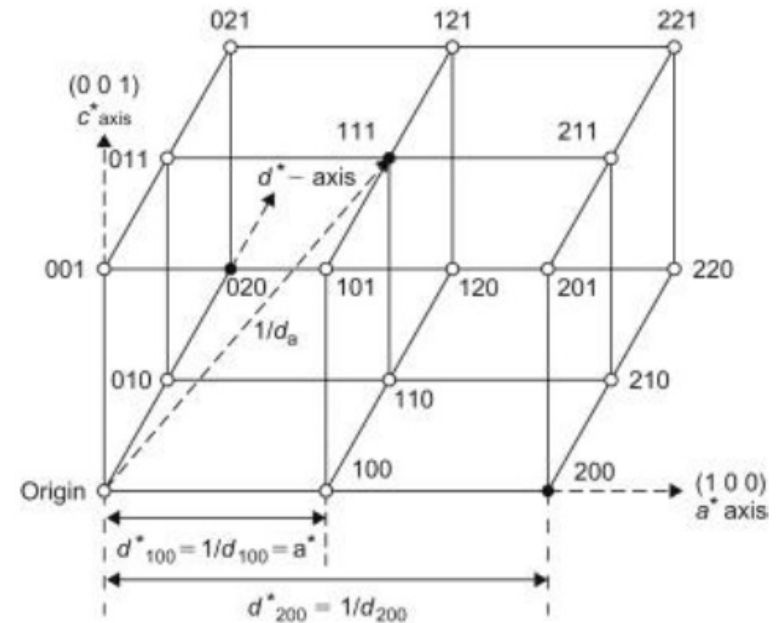


# Diffraction from a crystal

L'esistenza del reticolo e la ripetizione ordinata della densità elettronica secondo la geometria del reticolo ha una conseguenza importante, **le onde diffuse non sono più diffuse in tutte le direzioni, ma solo lungo le direzioni definite dai nodi del reticolo reciproco identificati con la terna di numeri interi (h k l).**

Quindi osserverò ampiezze diffratte diverse da zero, esclusivamente lungo direzioni che obbediscono alla seguente relazione:

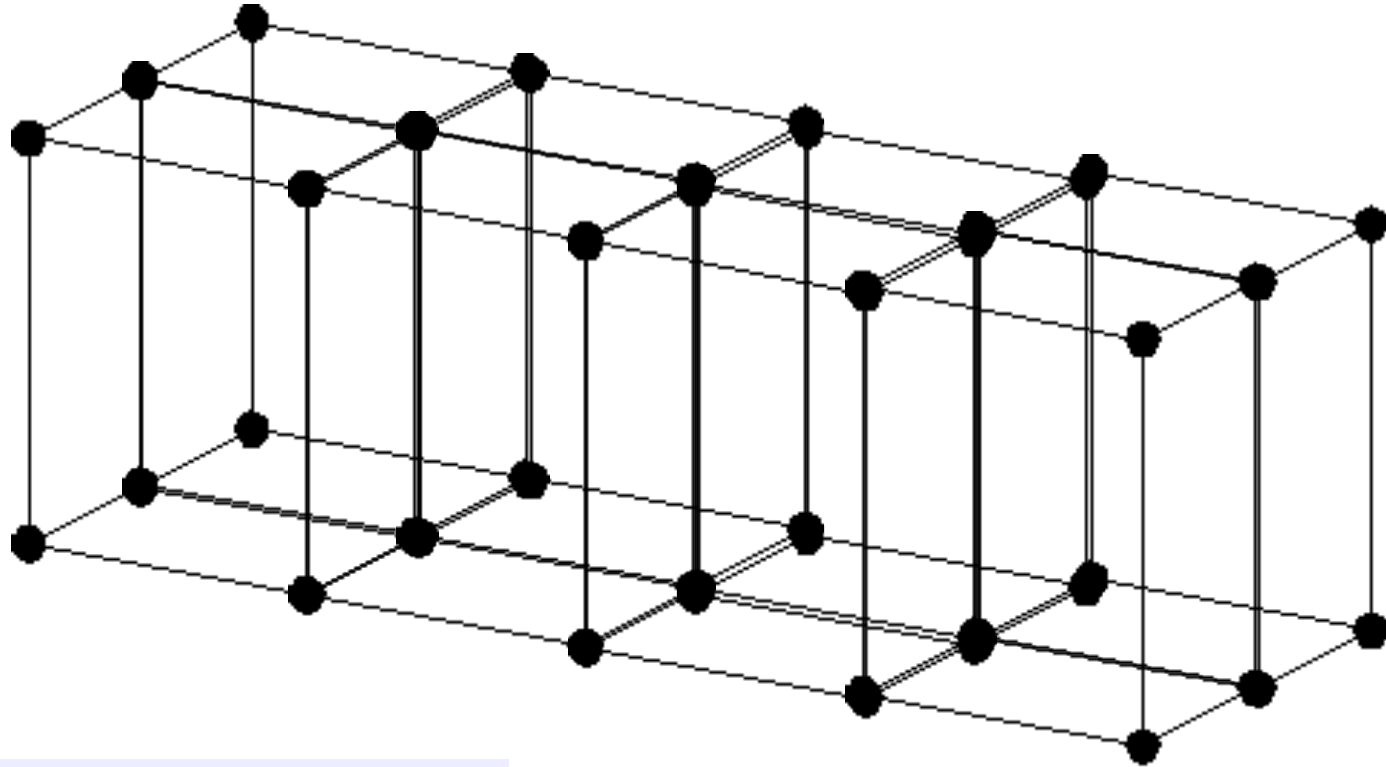
$$\mathbf{r}^* = \mathbf{r}_{h,k,l}^*$$



ovvero **quando il vettore nello spazio reciproco coincide con un vettore che identifica un nodo del reticolo reciproco**, l'onda diffusa dal cristallo sarà dato dalla somma dei termini per i quali vale la relazione:



# Crystals: unit cells

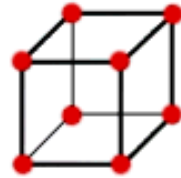


$$\vec{T} = u\vec{a} + v\vec{b} + w\vec{c}$$

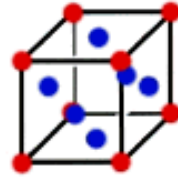
$u, v, w$  interi

$\vec{T}$  is a vector of the Bravais Lattice

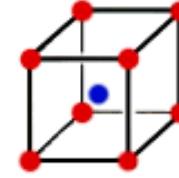
# The 14 Bravais Lattices



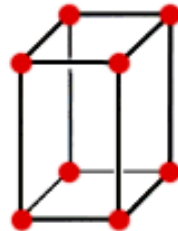
**Simple cubic**



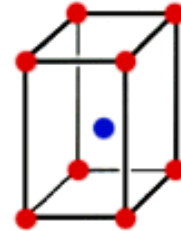
**Face-centered cubic**



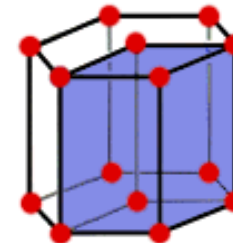
**Body-centered cubic**



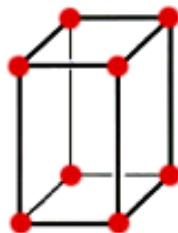
**Simple tetragonal**



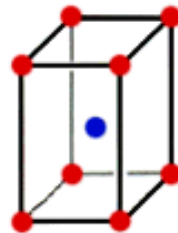
**Body-centered tetragonal**



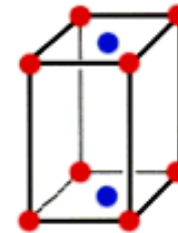
**Hexagonal**



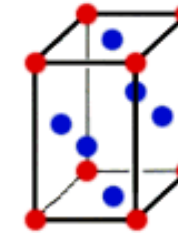
**Simple orthorhombic**



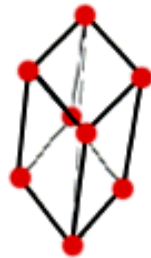
**Body-centered orthorhombic**



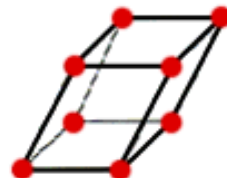
**Base-centered orthorhombic**



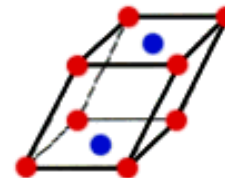
**Face-centered orthorhombic**



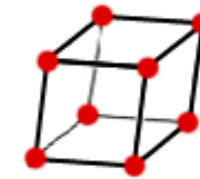
**Rhombohedral**



**Simple Monoclinic**



**Base-centered monoclinic**



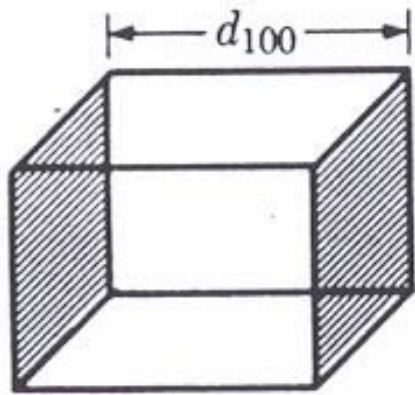
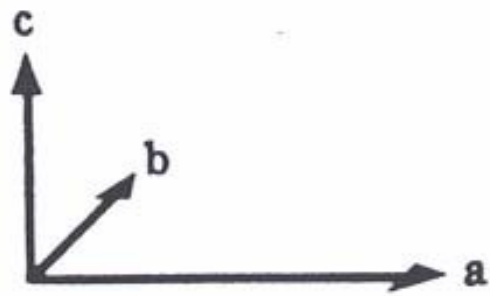
**Triclinic**

# The space groups

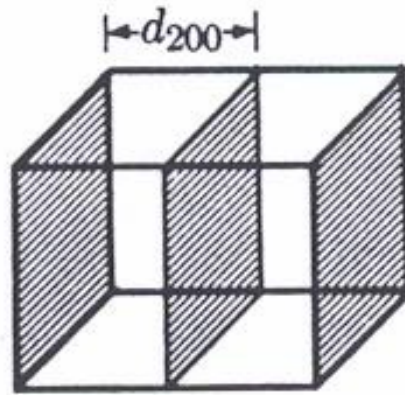
Table 1.2 *Symmetry elements*

Symmetry element		Hermann–Mauguin symbols (crystallography)	Schönflies symbols (spectroscopy)
Point symmetry	Mirror plane	$m$	$\sigma_v, \sigma_h$
	Rotation axis	$n = 2, 3, 4, 6$	$C_n (C_2, C_3, \text{etc.})$
	Inversion axis	$\bar{n} (= 1, 2, \text{etc.})$	—
	Alternating axis*	—	$S_n (S_1, S_2, \text{etc.})$ ,
	Centre of symmetry	$\bar{1}$	$i$
Space symmetry	Glide plane	$a, b, c, d, n$	—
	Screw axis	$2_1, 3_1, \text{etc.}$	—

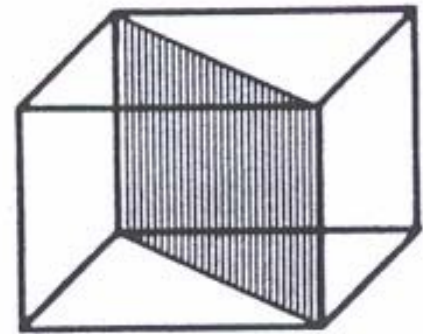
\* The *alternating axis* is a combination of rotation ( $n$ -fold) and reflection perpendicular to the rotation axis. It is little used in crystallography.



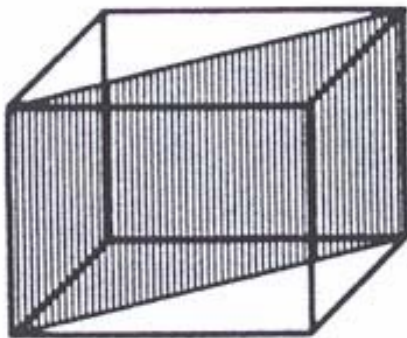
(100)



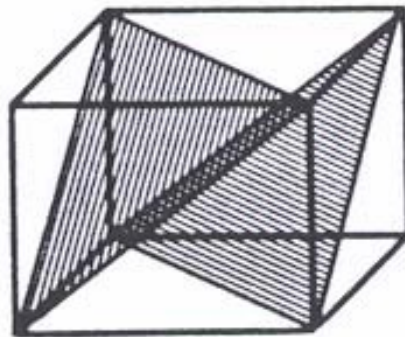
(200)



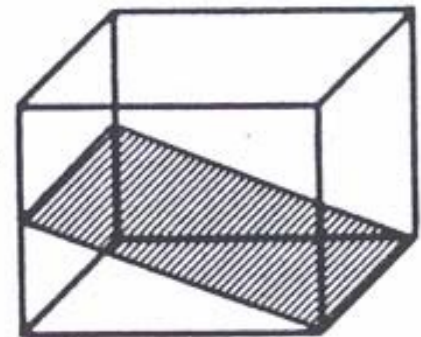
(110)



( $\bar{1}10$ )

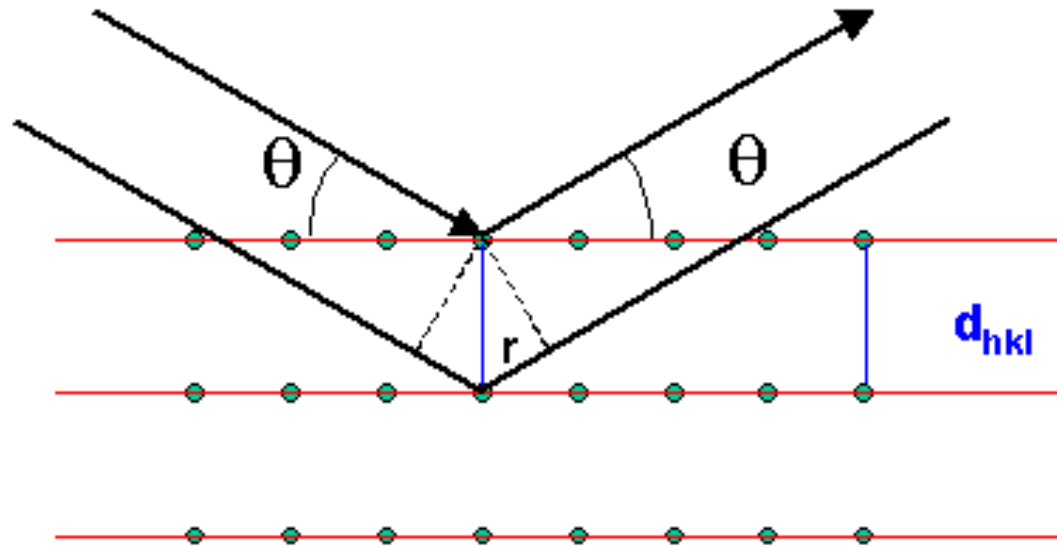


(11 $\bar{1}$ )



(102)

# A practical approach: Bragg diffraction

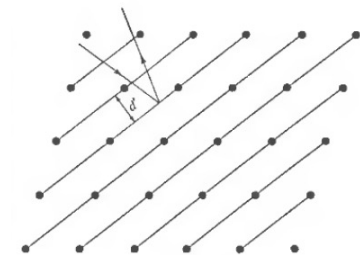


Conditions for a sharp peak:

- 1) X-rays specularly reflected by atoms/ions/molecules in one crystal plane
- 2) reflected waves from successive planes interfere constructively

$$2d_{hkl} \sin(\theta) = n \lambda$$

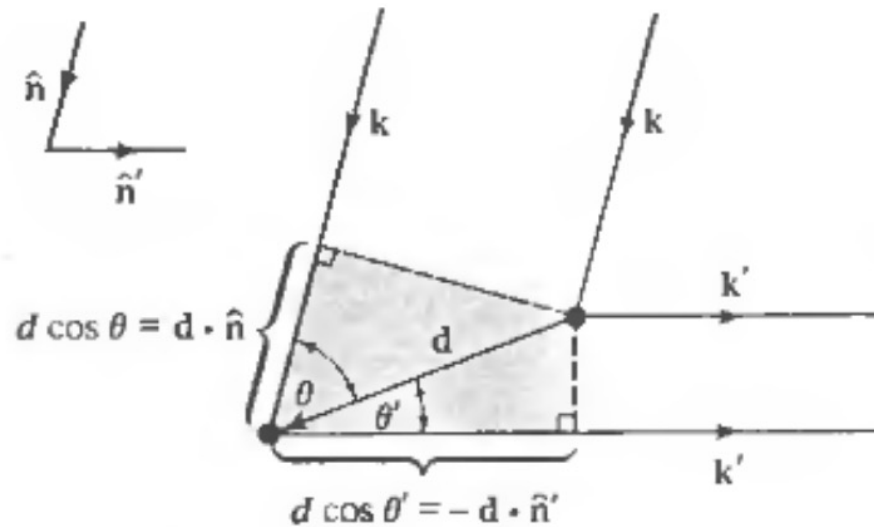
Bragg's law



$$\begin{aligned} 1/d &= (2/\lambda) \sin\theta \\ 1/d &\propto \sin\theta \end{aligned}$$

When  $d$  is large, diffraction pattern is compressed

# von Laue condition for diffraction



No *ad hoc* consideration on specularity  
 No crystal plane  
 Scattering from points of a Bravais Lattice (each reradiate in all directions).

$$d \cos \theta + d \cos \theta' = \mathbf{d} \cdot (\hat{\mathbf{n}} - \hat{\mathbf{n}}'). \quad (6.3)$$

The condition for constructive interference is thus

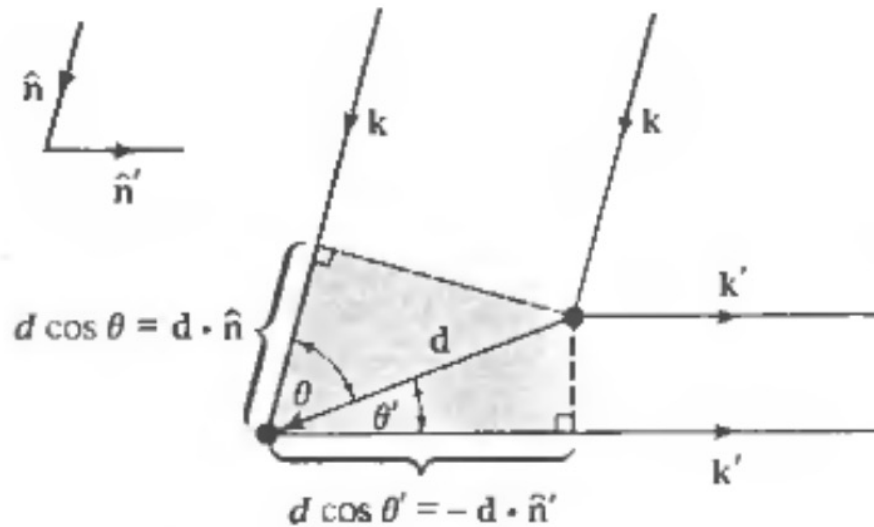
$$\mathbf{d} \cdot (\hat{\mathbf{n}} - \hat{\mathbf{n}}') = m\lambda, \quad (6.4)$$

for integral  $m$ . Multiplying both sides of (6.4) by  $2\pi/\lambda$  yields a condition on the incident and scattered wave vectors:

$$\mathbf{d} \cdot (\mathbf{k} - \mathbf{k}') = 2\pi m, \quad (6.5)$$

for integral  $m$ .

# von Laue condition for diffraction



No *ad hoc* consideration on specularity  
 No crystal plane  
 Scattering from points of a Bravais Lattice (each reradiate in all directions; elastic scattering).

Next, we consider not just two scatterers, but an array of scatterers, at the sites of a Bravais lattice. Since the lattice sites are displaced from one another by the Bravais lattice vectors  $\mathbf{R}$ , the condition that all scattered rays interfere constructively is that condition (6.5) hold simultaneously for all values of  $\mathbf{d}$  that are Bravais lattice vectors:

$$\mathbf{R} \cdot (\mathbf{k} - \mathbf{k}') = 2\pi m, \quad \text{for integral } m \text{ and all Bravais lattice vectors } \mathbf{R}. \quad (6.6)$$

This can be written in the equivalent form

$$e^{i(\mathbf{k}' - \mathbf{k}) \cdot \mathbf{R}} = 1, \quad \text{for all Bravais lattice vectors } \mathbf{R}. \quad (6.7)$$

# The reciprocal space

$\mathbf{R}$  = Points of a Bravais Lattice

$e^{i\mathbf{k}\cdot\mathbf{r}}$  Plane wave. For a set of  $\mathbf{K}$ , it has the periodicity of the BL. These  $\mathbf{K}$  vectors define the Reciprocal Lattice

$$e^{i\mathbf{K}\cdot(\mathbf{r}+\mathbf{R})} = e^{i\mathbf{K}\cdot\mathbf{r}} \quad \text{for each } \mathbf{r}$$

$e^{i\mathbf{K}\cdot\mathbf{R}} = 1$  The RL is a BL in the space of wave vectors.  
The RL of the RL is the original BL

$$\mathbf{K} = m_1 \mathbf{b}_1 + m_2 \mathbf{b}_2 + m_3 \mathbf{b}_3$$

RL vectors have dimension of  $l^{-1}$

$$\mathbf{b}_1 = 2\pi \frac{\mathbf{a}_2 \times \mathbf{a}_3}{\mathbf{a}_1 \cdot (\mathbf{a}_2 \times \mathbf{a}_3)}$$

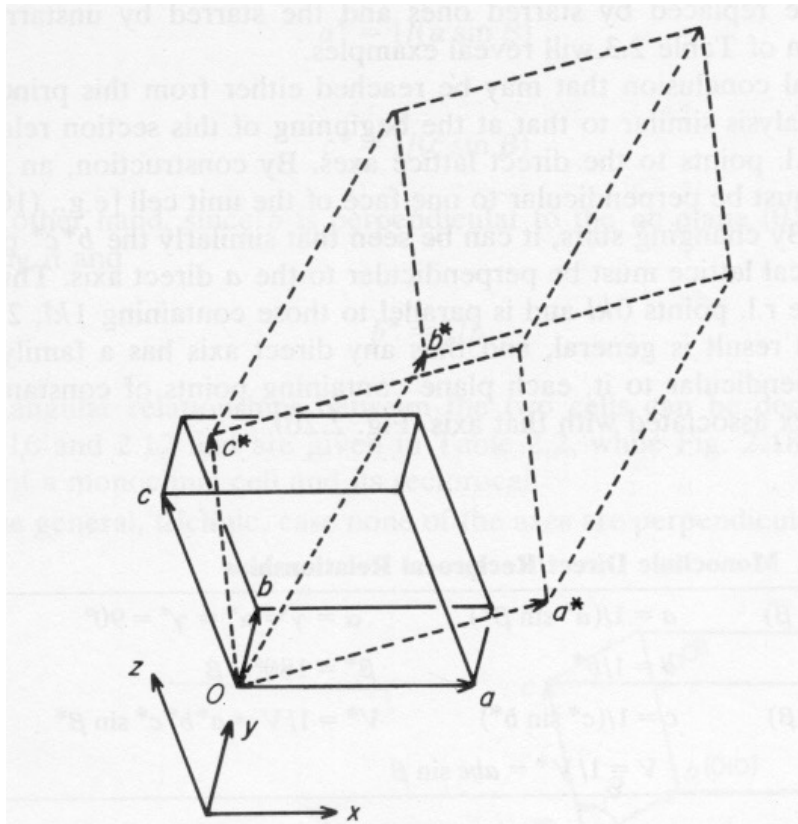
$$\mathbf{b}_2 = 2\pi \frac{\mathbf{a}_3 \times \mathbf{a}_1}{\mathbf{a}_1 \cdot (\mathbf{a}_2 \times \mathbf{a}_3)}$$

$$\mathbf{b}_3 = 2\pi \frac{\mathbf{a}_1 \times \mathbf{a}_2}{\mathbf{a}_1 \cdot (\mathbf{a}_2 \times \mathbf{a}_3)}$$

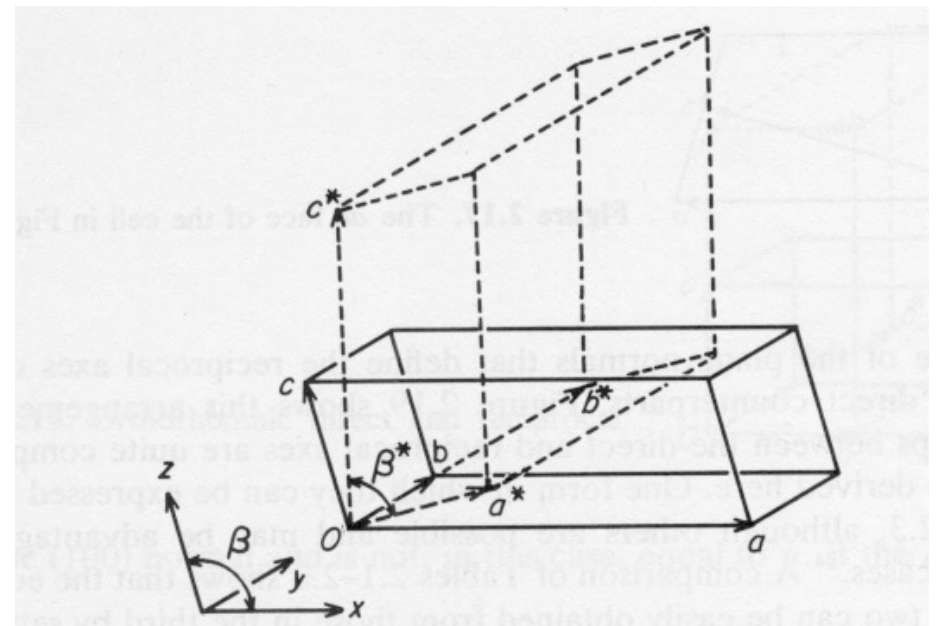


# Examples of direct and reciprocal lattices

(Figures from Jensen and Stout "X-Ray structure determination. A practical guide")

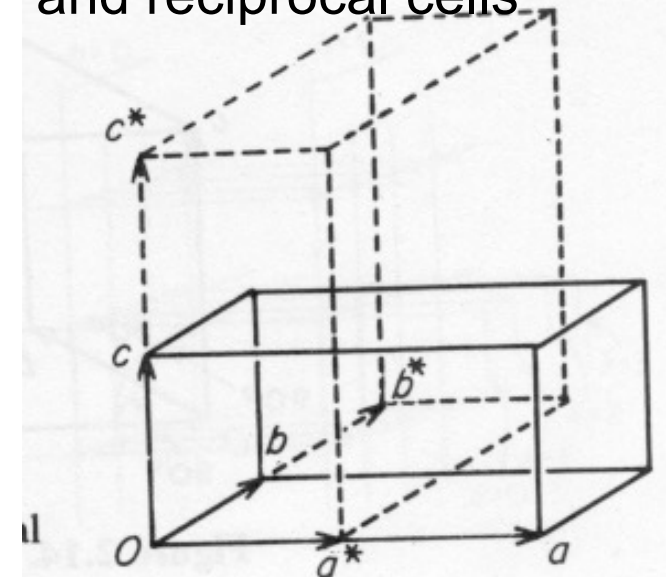


Triclinic direct and reciprocal cells

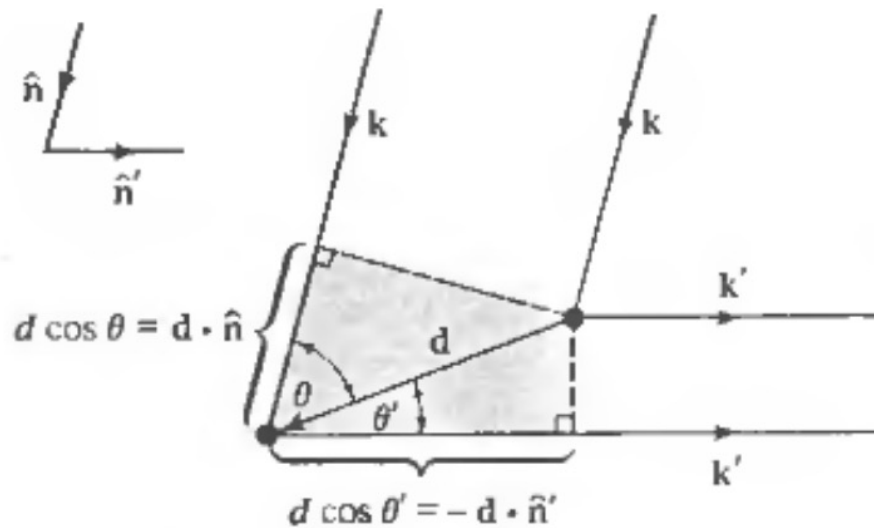


Monoclinic direct and reciprocal cells

Orthorhombic direct and reciprocal cells



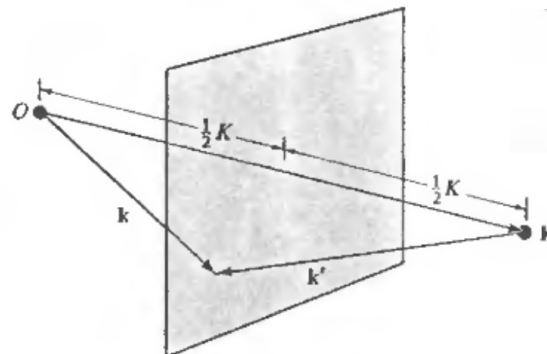
# von Laue condition for diffraction



The Laue condition then states that to have constructive interference, the change in the wave vector  $\mathbf{K} = \mathbf{k}' - \mathbf{k}$  is a vector of the RL. Therefore  $\mathbf{k}' - \mathbf{k} = \mathbf{K}$  and  $|\mathbf{k}'| = |\mathbf{k}|$  or  $|\mathbf{k}| = |\mathbf{K} - \mathbf{k}|$

Figure 6.5

The Laue condition. If the sum of  $\mathbf{k}$  and  $-\mathbf{k}'$  is a vector  $\mathbf{K}$ , and if  $\mathbf{k}$  and  $\mathbf{k}'$  have the same length, then the tip of the vector  $\mathbf{k}$  is equidistant from the origin  $O$  and the tip of the vector  $\mathbf{K}$ , and therefore it lies in the plane bisecting the line joining the origin to the tip of  $\mathbf{K}$ .



$$\mathbf{k} \cdot \hat{\mathbf{K}} = \frac{1}{2}K;$$

# Bragg-von Laue equivalence

For  $\mathbf{K} = \mathbf{k}' - \mathbf{k}$  vector of the RL, given  $|\mathbf{k}| = |\mathbf{k}'|$ , they form the same angle with the plane perpendicular to  $\mathbf{K}$ .

This can be seen as a **Bragg diffraction** from the family of planes perp. to  $\mathbf{K}$

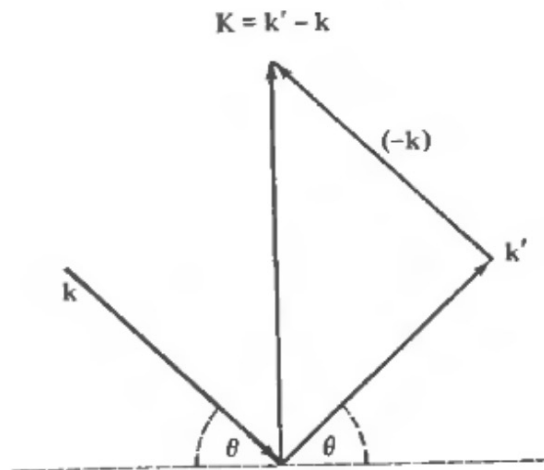


Figure 6.6

The plane of the paper contains the incident wave vector  $\mathbf{k}$ , the reflected wave vector  $\mathbf{k}'$ , and their difference  $\mathbf{K}$  satisfying the Laue condition. Since the scattering is elastic ( $k' = k$ ), the direction of  $\mathbf{K}$  bisects the angle between  $\mathbf{k}$  and  $\mathbf{k}'$ . The dashed line is the intersection of the plane perpendicular to  $\mathbf{K}$  with the plane of the paper.

*A Laue diffraction peak corresponding to a wave vector change  $\mathbf{K}$  (RL vector) corresponds to a Bragg diffraction from the family of BL planes perpendicular to  $\mathbf{K}$ .*

The order  $n$  of the Bragg reflection is  $|\mathbf{K}|$  divided by the shortest  $|\mathbf{k}|$  vector parallel to  $\mathbf{K}$

# Bragg-von Laue equivalence

For  $\mathbf{K} = \mathbf{k}' - \mathbf{k}$  vector of the RL, given  $|\mathbf{k}| = |\mathbf{k}'|$ , they form the same angle with the plane perpendicular to  $\mathbf{K}$ .

This can be seen as a **Bragg diffraction** from the family of planes perp. to  $\mathbf{K}$

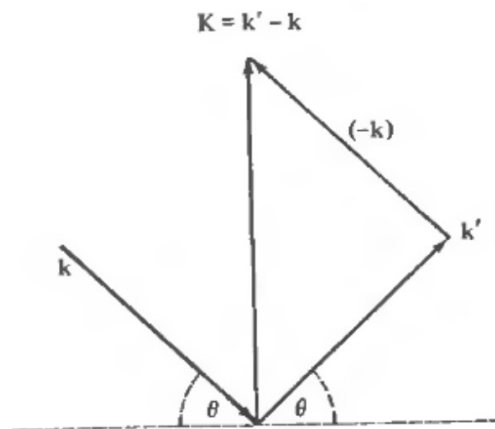


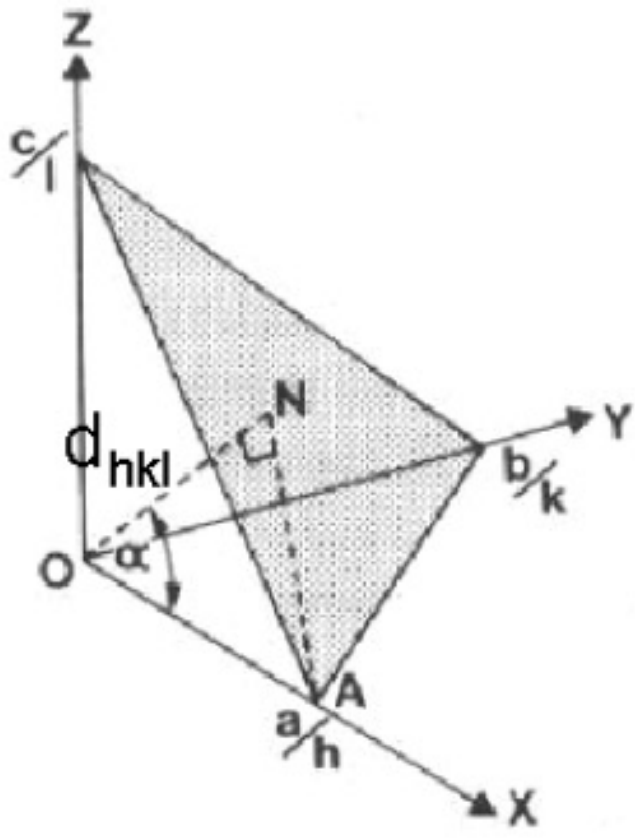
Figure 6.6

The plane of the paper contains the incident wave vector  $\mathbf{k}$ , the reflected wave vector  $\mathbf{k}'$ , and their difference  $\mathbf{K}$  satisfying the Laue condition. Since the scattering is elastic ( $k' = k$ ), the direction of  $\mathbf{K}$  bisects the angle between  $\mathbf{k}$  and  $\mathbf{k}'$ . The dashed line is the intersection of the plane perpendicular to  $\mathbf{K}$  with the plane of the paper.

To design all the possible plane families is in fact too complex. Instead, a family of planes is represented by the  $\mathbf{d}_{hkl}^*$  vector, i.e. a unique point in the reciprocal space!

$$\mathbf{d}_{hkl}^* = \mathbf{K} / d_{hkl}$$

# The reciprocal space



$$(a/h) \cos \alpha = d_{hkl}$$

$$\cos \alpha = (h/a) d_{hkl}$$

$$\cos \beta = (k/b) d_{hkl}$$

$$\cos \gamma = (l/c) d_{hkl}$$

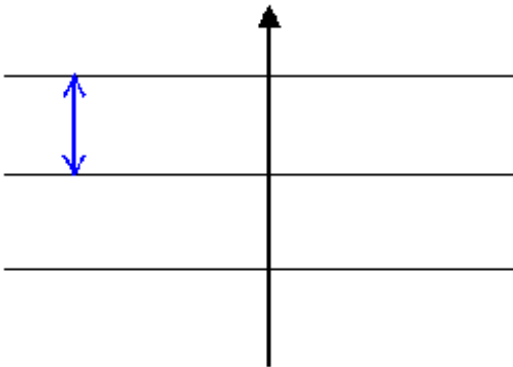
For orthorombic lattice:

$$(\cos \alpha)^2 + (\cos \beta)^2 + (\cos \gamma)^2 = 1$$

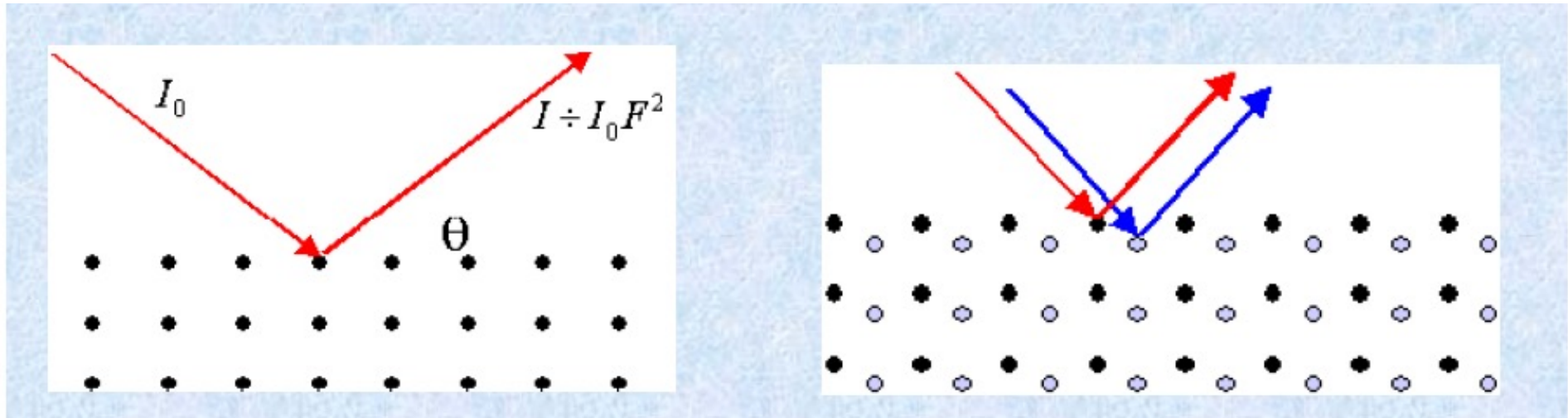
$$(h/a)^2 d_{hkl}^2 + (k/b)^2 d_{hkl}^2 + (l/c)^2 d_{hkl}^2 = 1$$

For a cubic crystal:

$$1/d_{hkl}^2 = 1/a^2 * (h^2 + k^2 + l^2)$$



# Is Bragg's law still valid for two or more atoms in a unit cell?



Two atoms in a unit cell (reflect) waves from their respective planes.

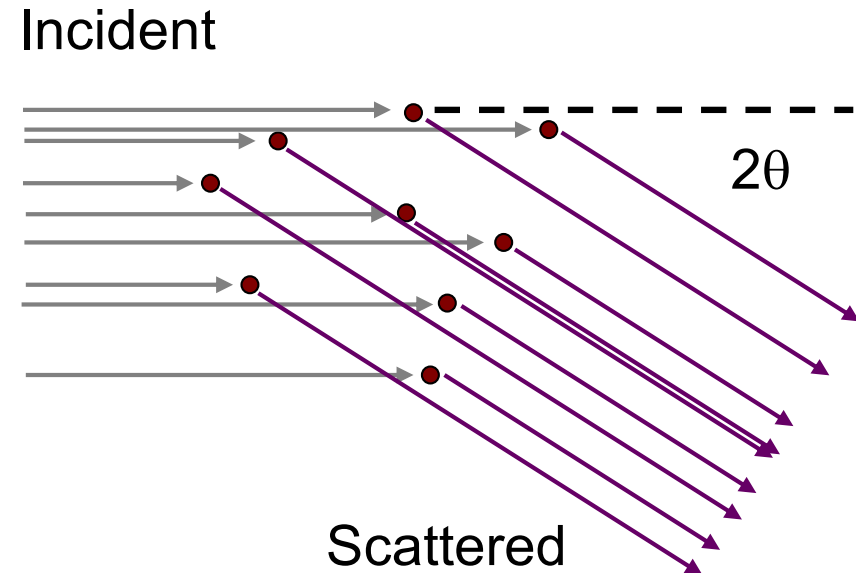
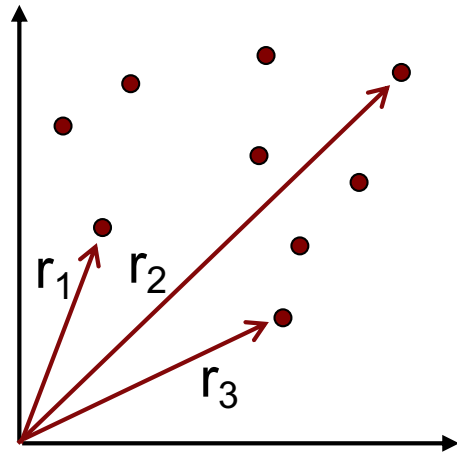
The waves combine and form a resultant wave, that looks like it has been reflected from the original unit cell lattice plane.

**The 2 lattice are the same**, but shifted one with respect to the other

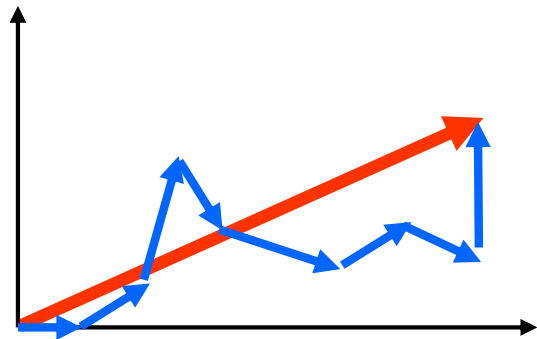
**Diffraction spot is in the same place**, but has different intensity (intensity of resultant wave).

We assumed the electron density is in planes. In reality it is spread throughout the unit cell. Nevertheless, the derivation is still valid, since it can be shown that waves scattered from electron density not lying in a plane P, can be added to give a **resultant as if reflected from the plane**.

# Scattering from many electrons (i.e. a molecule):



$$f(S) = \sum_{j=1}^N A_j e^{i2\pi r_j \cdot s}$$



It is like adding many waves/vectors with magnitude  $A_j$  and phase  $(r_j \cdot s)$  - I can calculate this from the wavelength, angle and the positions of the scatterers.

In practise I can consider all the electrons in one atom as a "block" - I sum together the contributions of the atoms.

# Scattering from a 3D crystal

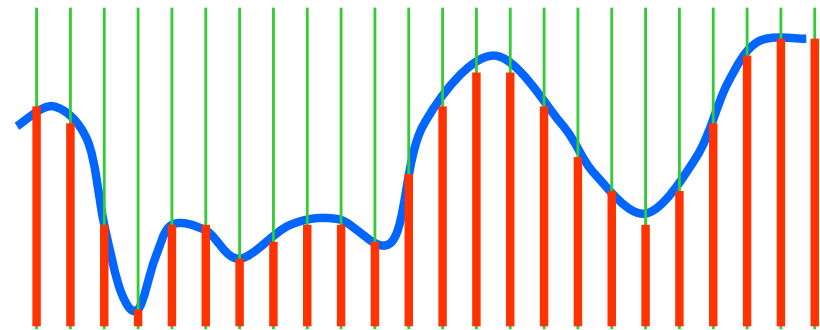
$$F_{3D}(s) = \boxed{F(s)} \cdot \left[ \frac{\sin(2N+1)\pi(a \cdot s)}{\sin \pi(a \cdot s)} \right] \left[ \frac{\sin(2N+1)\pi(b \cdot s)}{\sin \pi(b \cdot s)} \right] \left[ \frac{\sin(2N+1)\pi(c \cdot s)}{\sin \pi(c \cdot s)} \right]$$

scattering  
from one  
molecule

3D fringe function that depends  
on the lattice spacing **a, b, c**

The fringe function has two effects:  
- makes the pattern “discrete”  
- amplifies the signal

The diffraction pattern from a crystal is the diffraction from the molecule **sampled and amplified** according to the fringe function

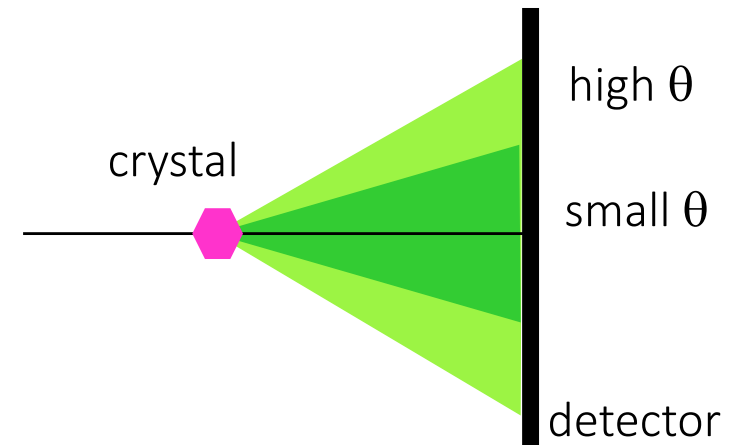




# The electron density equation: problem # 1: resolution limits

## Theoretical limit

There are theoretical limits that depends on the wavelength of the X-ray radiation. Typically one uses a wavelength of roughly 1 Å, which would allow for resolution of 0.5 Å.



## Practical limit

In practice for macromolecules the resolution limit is usually set by the intrinsic degree of order of the crystal typically one sees diffraction to 2.0-3.5 Å – this is even more true of membrane protein crystals which tend to be more disordered due to the less directional nature of hydrophobic interactions.

# Why is resolution important?

“Resolution” is related to the level of details that can be visualised: a high resolution map gives a far more detailed picture of the atomic structure:

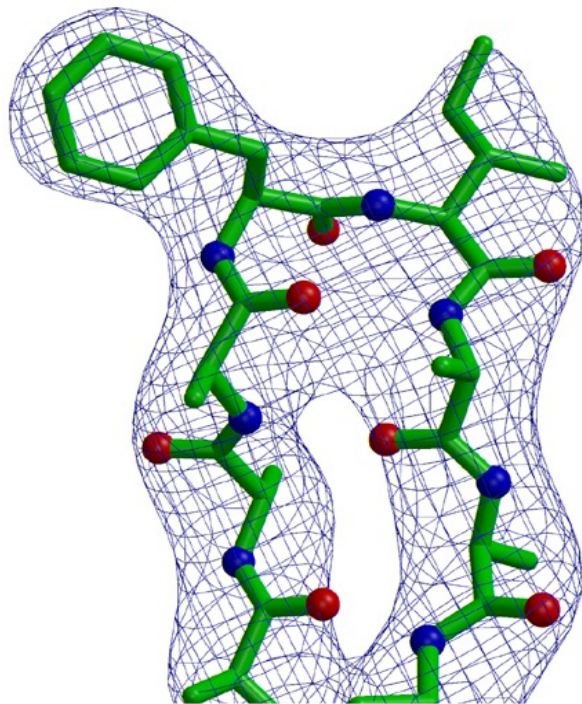
low resolution

low  $s$ , small  $\theta$ , large  $d$



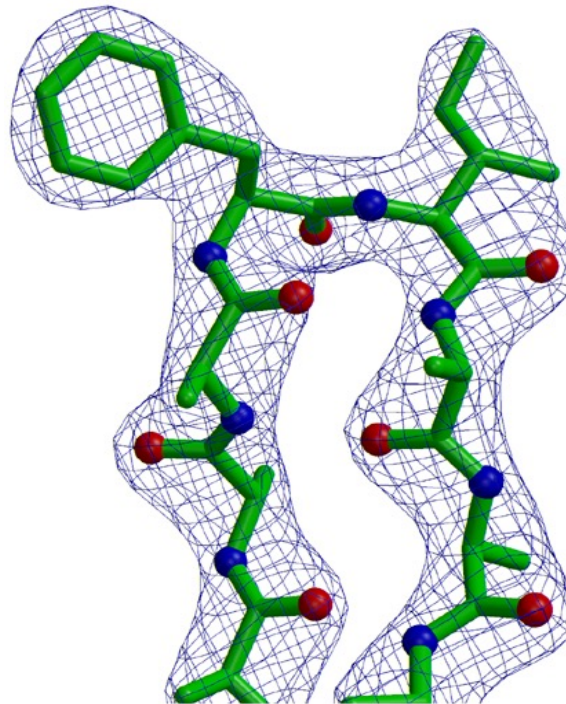
high resolution

high  $s$ , large  $\theta$ , small  $d$

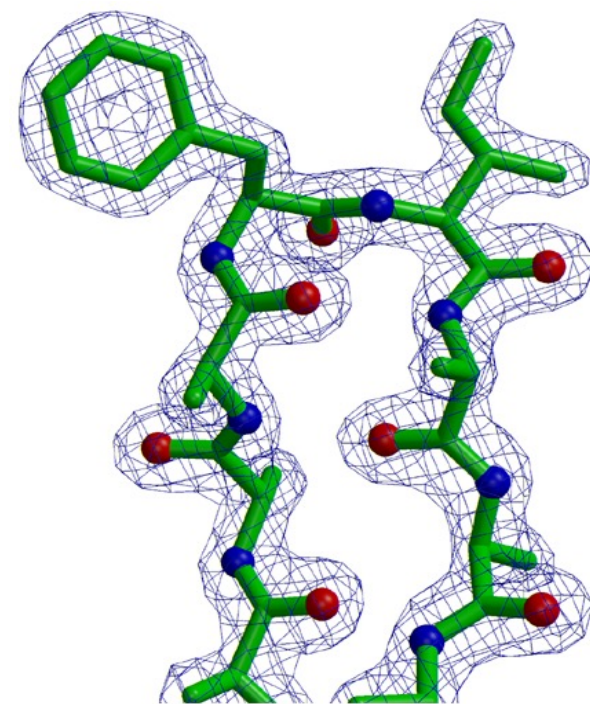


Here is a 4 Å map

things which are less than 4Å apart tend to be blurred



Here is a 2.9 Å map

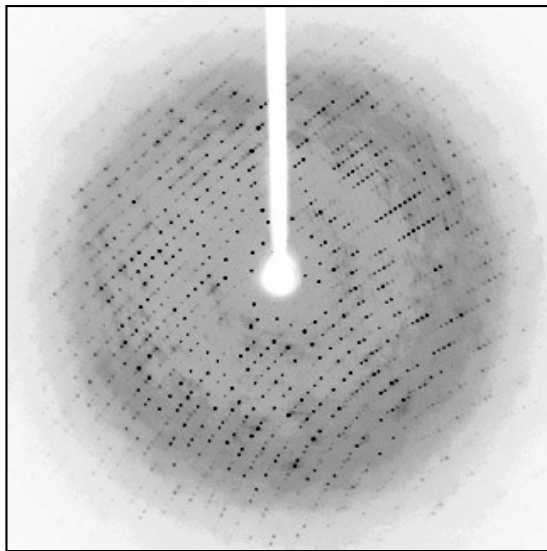
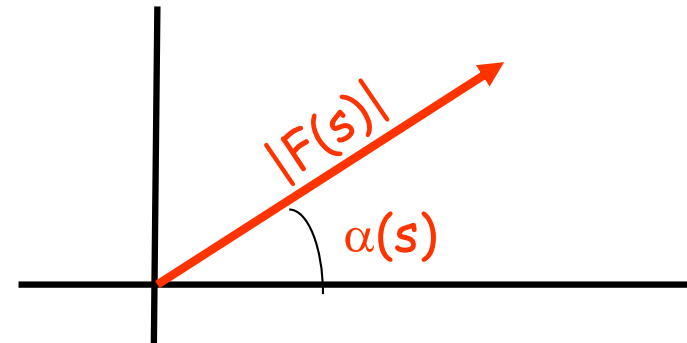


Here is a 2 Å map

almost atomic detail

# The electron density equation: problem # 2: the phase problem

◆  $F(s)$  is a complex number with modulus  $|F(s)|$  and phase  $\alpha$



In a diffraction experiment we measure the intensity of each spot  $I(s)$

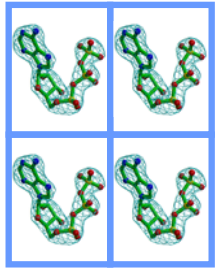
$$I(s) = |F(s)|^2$$

We can derive the amplitude  $F(s)$  but we have lost the information about the relative phase

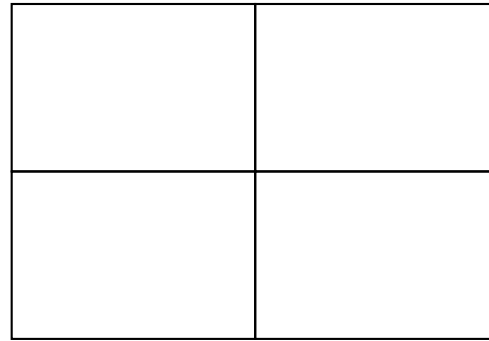
➡ ***the phase problem!!***

Universal problem in crystallography – also for small molecules.

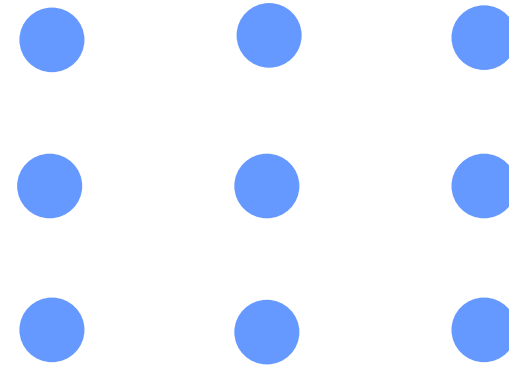
# Small molecule crystal



small unit cell

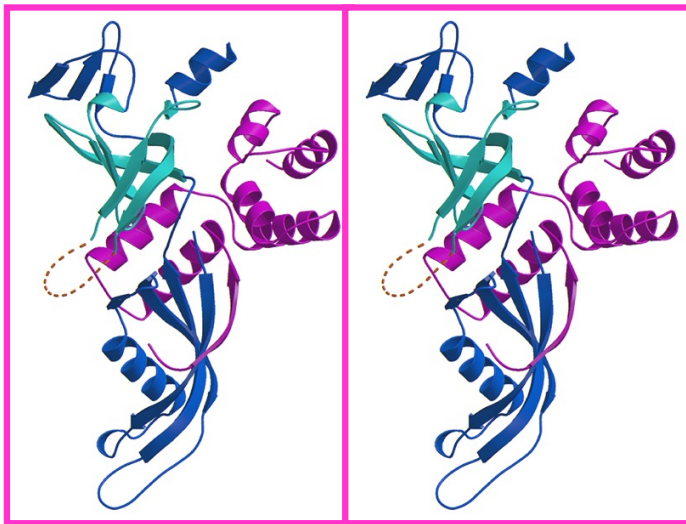


large “reciprocal lattice”  
(coarse fringe function)

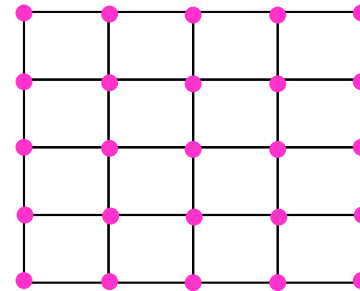


a few, very strong reflections;  
diffract to high resolution

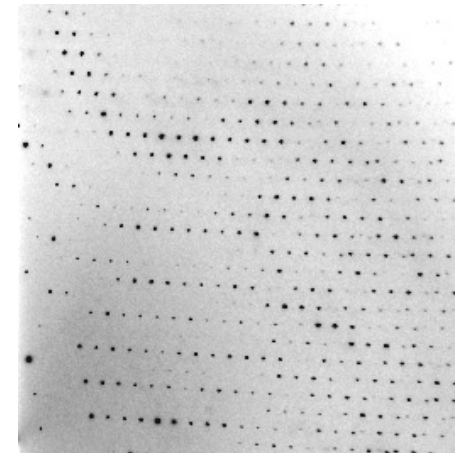
# Protein crystal



large unit cell

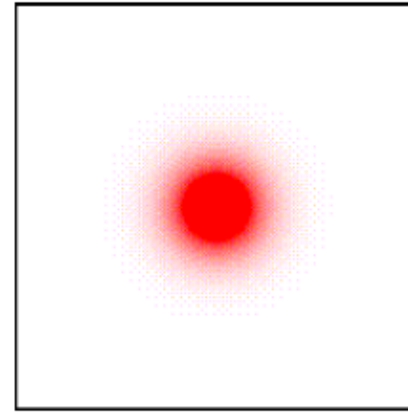
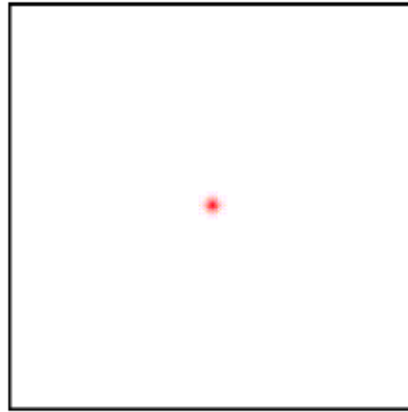


small “reciprocal lattice”  
(fine fringe function)

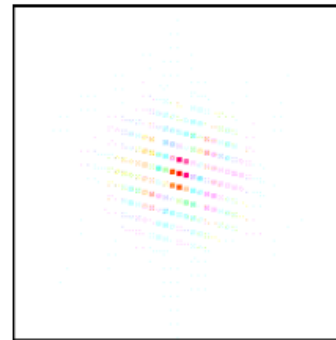
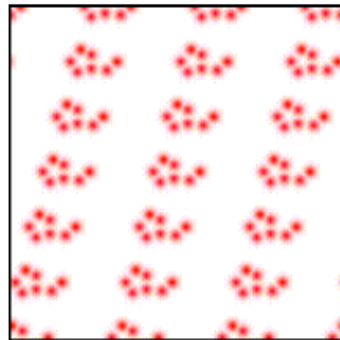


many, very weak reflections;  
diffract to low resolution

- An atom, and its Fourier Transform:

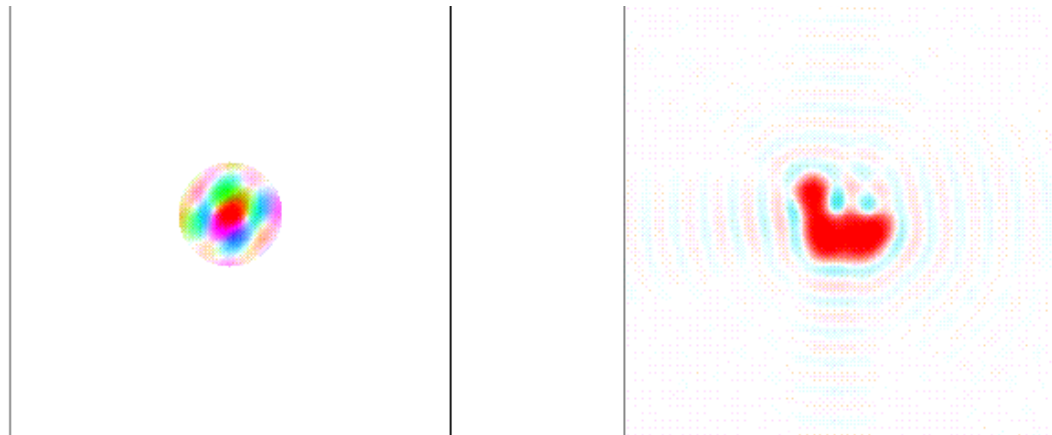
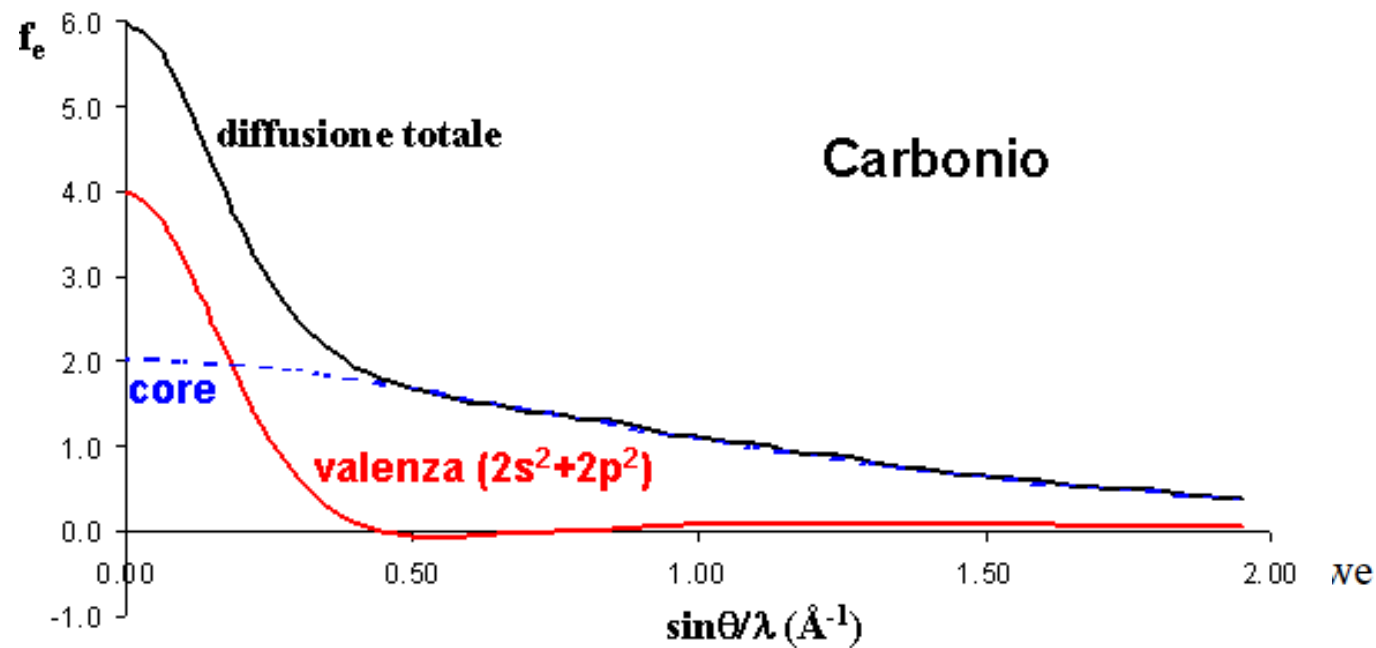


- Note the both functions have circular symmetry. The atom is a sharp feature, whereas its transform is a broad smooth function. This illustrates the reciprocal relationship between a function and its Fourier transform.
- A crystal, and its Fourier Transform:



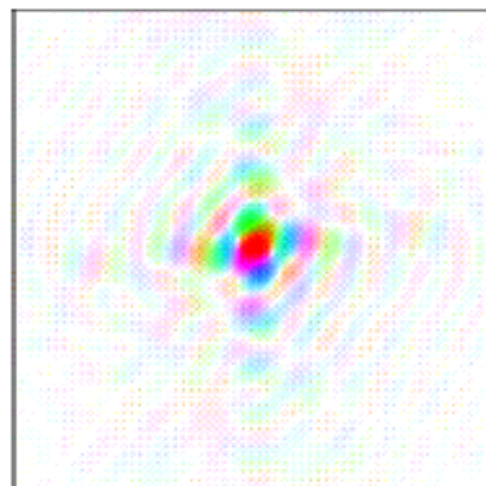
- Finally, we build up a crystal by convoluting the *molecule* with the *grid*. The result is a crystal structure. The Fourier transform of the crystal is thus the product of the *molecular transform* and the *reciprocal lattice*. This is the *diffraction pattern*.

- A duck and its Fourier Transform

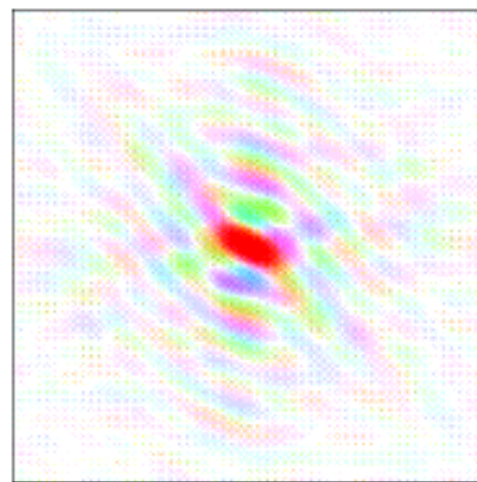


- **Crystallographic Interpretation:**
- There is considerable loss of detail. At low resolution, your atomic model may reflect more what you expect to see than what is actually there.

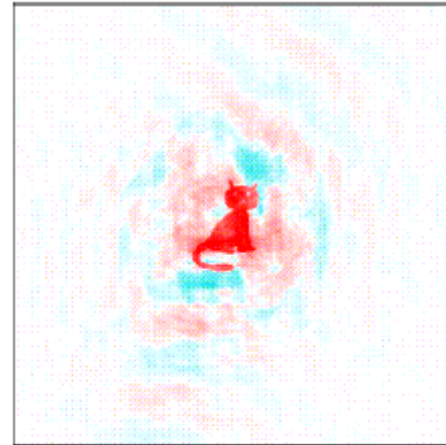
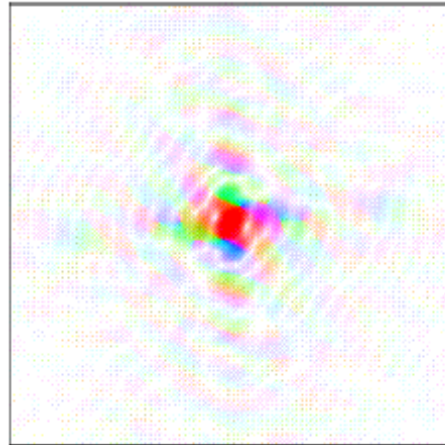
- Here is our old friend; the Fourier Duck, and his Fourier transform:



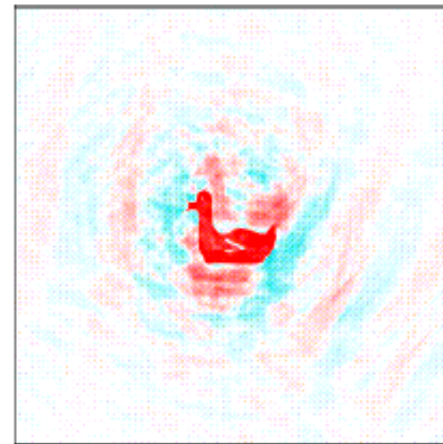
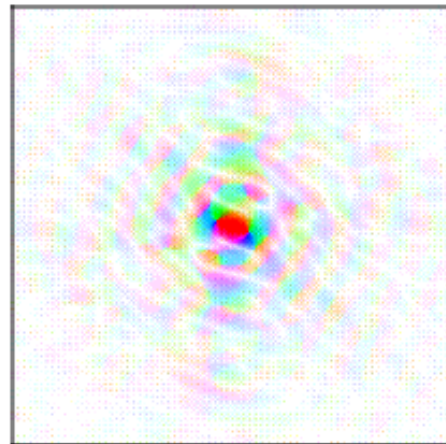
- And here is a new friend; the Fourier Cat and *his* Fourier transform:



- Now we will mix them up. Let us combine the the magnitudes from the Duck transform with the phases from the Cat transform. (You can see the brightness from the duck and the colours from the cat). If we then transform the mixture, we get the following:



- We can do the same thing the other way round. Using the magnitudes from the Cat transform and the phases from the Duck transform, we get:





# How to solve macromolecular structures:

## MIR (multiple isomorphous replacement)

Older method (Cambridge, 60') – relies on binding “heavy” atoms to the crystal and compare the diffraction pattern to the native. Trial and error search for good heavy atoms, it may take longer to get it right

## MR (molecular replacement)

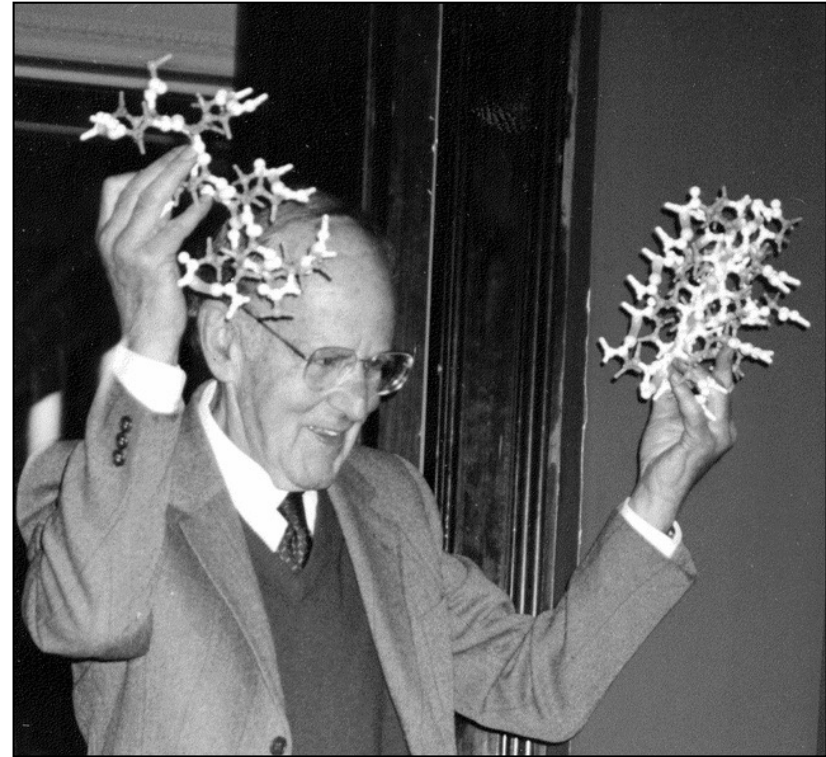
Older method (Cambridge, 70'-80') – relies on the expected similarity between the protein and another whose structure is known. Cannot solve de novo structures. Requires high homology (30% sequence identity?)

## MAD (multiwavelength anomalous dispersion)

Relies on the absorption of specific wavelengths due to electronic transitions within the atom core. Similar to MIR but generally far quicker and more accurate. Requires high specification synchrotron radiation.

## Some of the players...

Max Perutz  
(1914-2002),  
the inventor  
of MIR



In 1953, Perutz showed that the diffracted X-rays from protein crystals could be phased by comparing the patterns from crystals of the protein with and without heavy atoms attached.

In 1959, he employed this method to determine the molecular structure of hemoglobin. This work resulted in his sharing with John Kendrew the 1962 Nobel Prize for Chemistry.

# Error treatment in MIR

The real breakthrough in using MIR for the determination of protein structures came when people learned how to deal with errors.

Blow D.M. & Crick F.H.C. ( 1959) “The treatment of errors in the isomorphous replacement method”. *Acta Crystallogr.* 12, 794-802

David Blow  
(1931-2004)



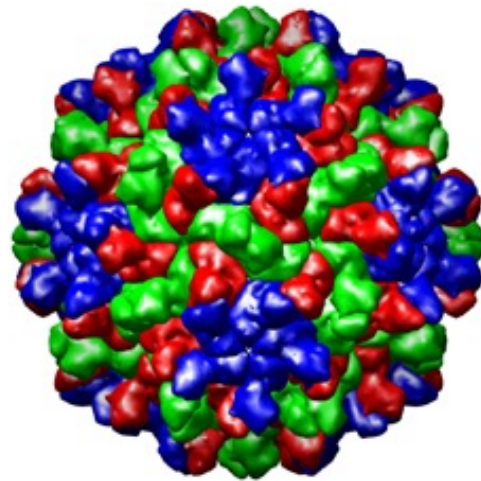
Francis Crick  
(1916-2004)



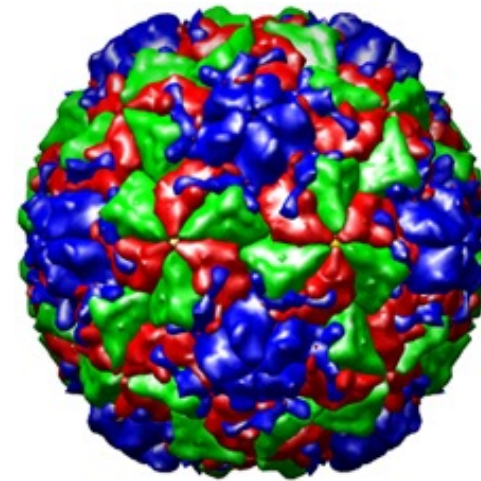
Error treatment is very complex – but it was an essential step in solving protein structures.

# Molecular Replacement

The Molecular replacement method was mostly developed by **Michael Rossmann**. He used the structure of Tomato Bushy Stunt Virus, a plant virus, to determine the crystal structure of the Human Rhinovirus 14 (the common cold virus) in the early 80' s.



Tomato Bushy  
Stunt Virus



Human Rhinovirus 14

However the theoretical basis were developed much earlier:

Rossmann, M. G. and Blow, D. M. (1962). ActaCryst. 15:24-31.

# Phase problem solved?

## Calculating an electron density map

Once we have decent experimental estimates of the phases (from MIR, MR or MAD) we can obtain an electron density map by calculating a **weighted** Fourier transform with **coefficients**  $F_{\text{obs}}$  (i.e. the amplitudes of the diffracted rays) and **phases**  $\alpha_{\text{MIR}}/\alpha_{\text{MR}}/\alpha_{\text{MAD}}$ .

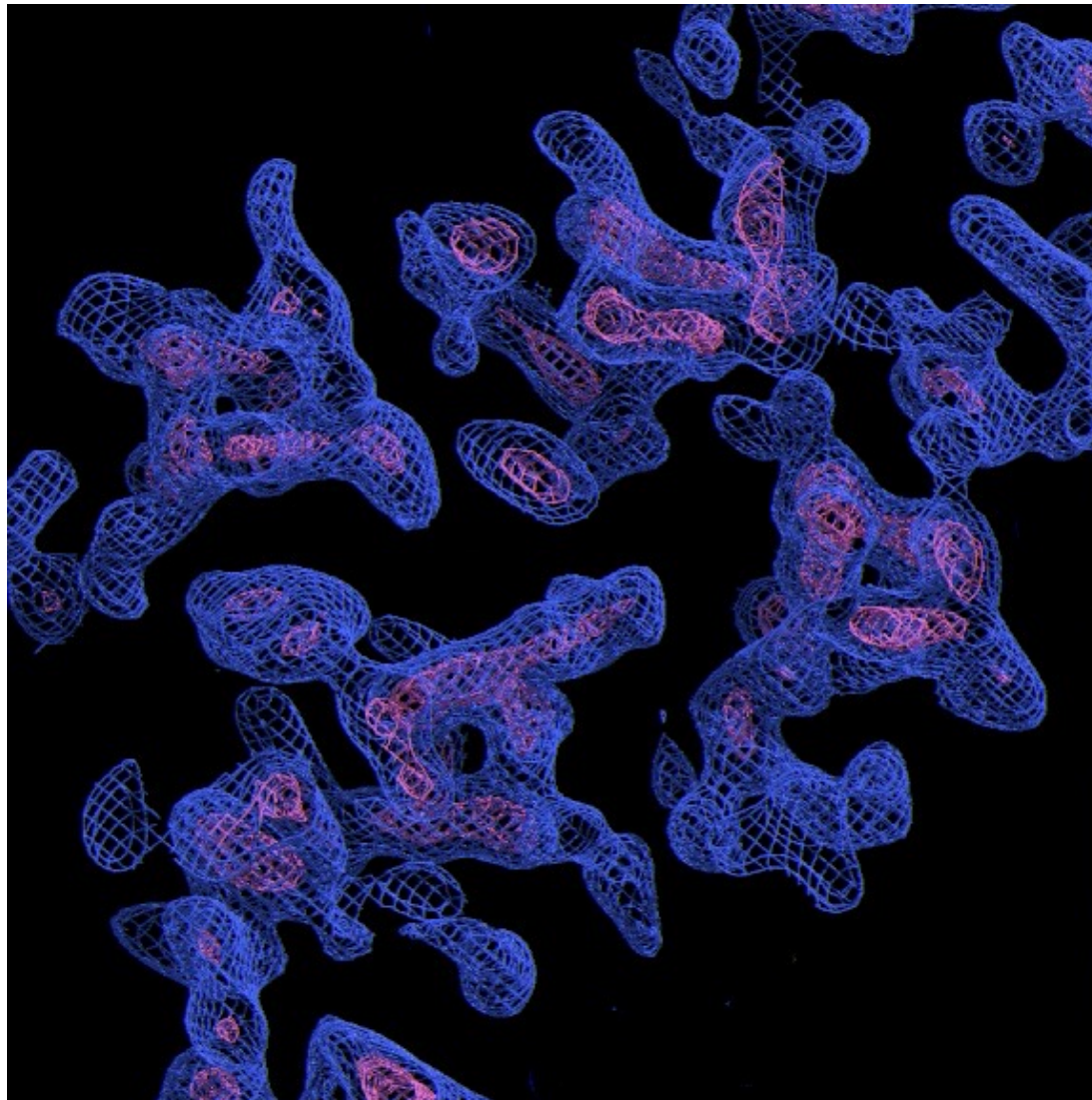
$$\rho(xyz) = \sum_{hkl} W(hkl) * |F_P(hkl)| \exp[i \alpha(hkl)] \exp[-2\pi i(hx + ky + lz)]$$

At the end of the day, the only criterion for determining how good is your MIR/MR/MAD solution is whether the map is interpretable.

Can you build a polypeptide chain?

# Electron density maps

After all this effort, we have a 3D map showing the shape of the protein:



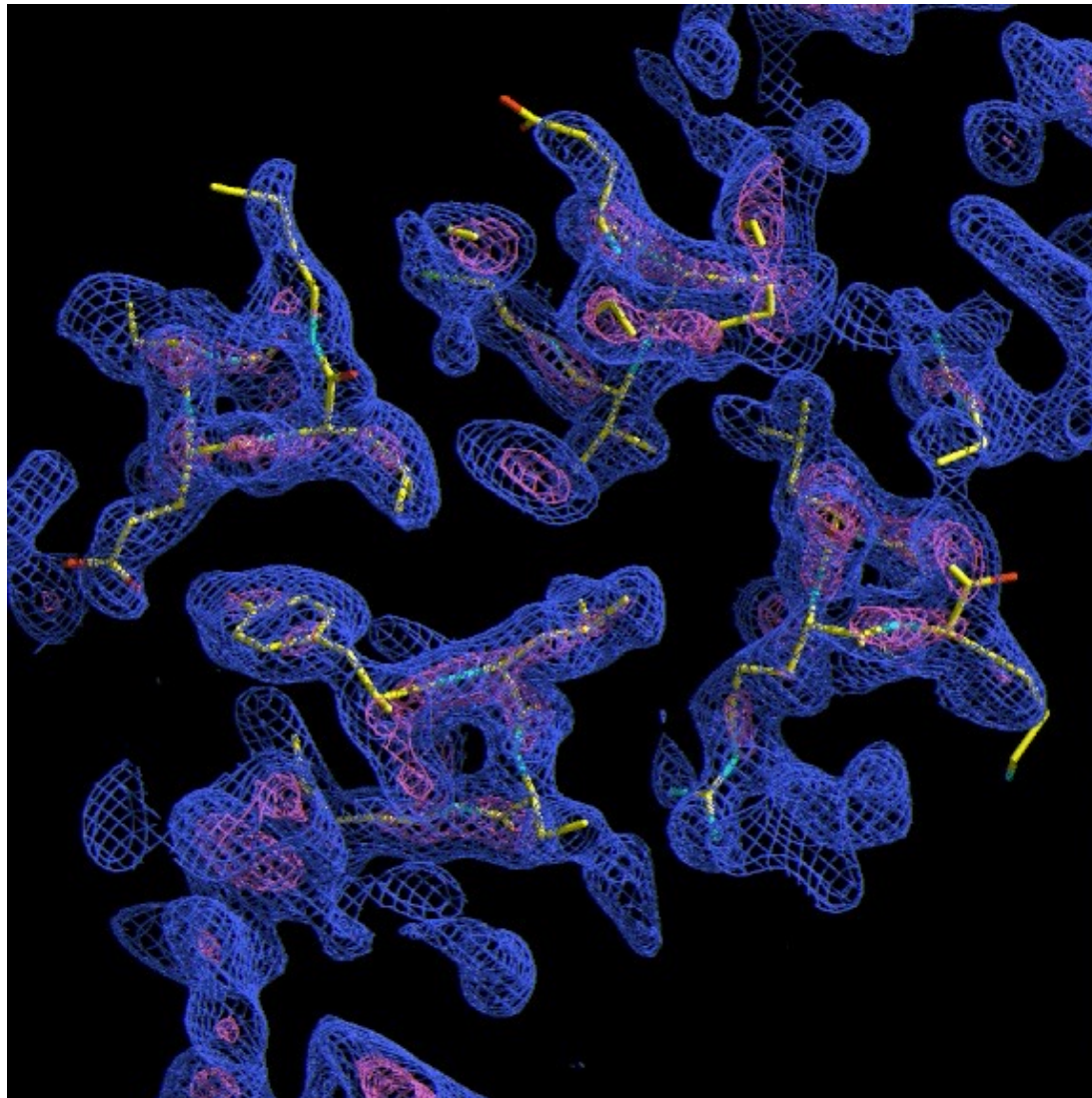
Electron density map  
displayed at two  
contour levels:

blue = 1 r.m.s ( $1\sigma$ );

magenta = 3 r.m.s ( $3\sigma$ )

# Electron density maps

The task now is to try to fit an atomic model of the protein to the map...



Electron density map  
displayed at two  
contour levels:

blue = 1 r.m.s ( $1\sigma$ );

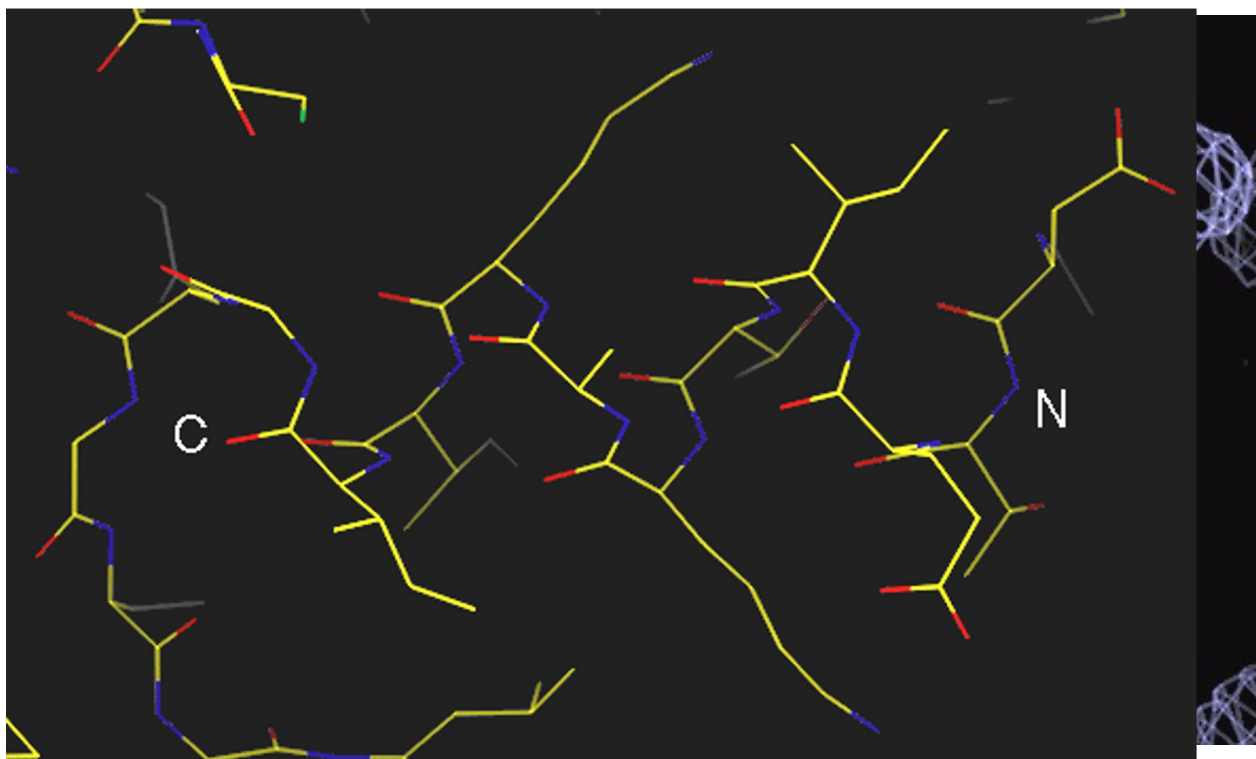
magenta = 3 r.m.s ( $3\sigma$ )

Atomic model fitted  
to the map (in yellow)

# Displaying the electron density map

Visualising a 3D electron density map over the entire unit cell can be daunting.

To visualise electron density maps we use **high resolution interactive graphics**, which display the map as a chicken wire. A map is a 4-dimensional object (each point  $x,y,z$  has an associated value) and to display it in 3D we have to choose a **contour level** that allows us to see about 70 % of the van der Waals radius of the atoms (typically one contours at the  **$1\sigma$  level**)



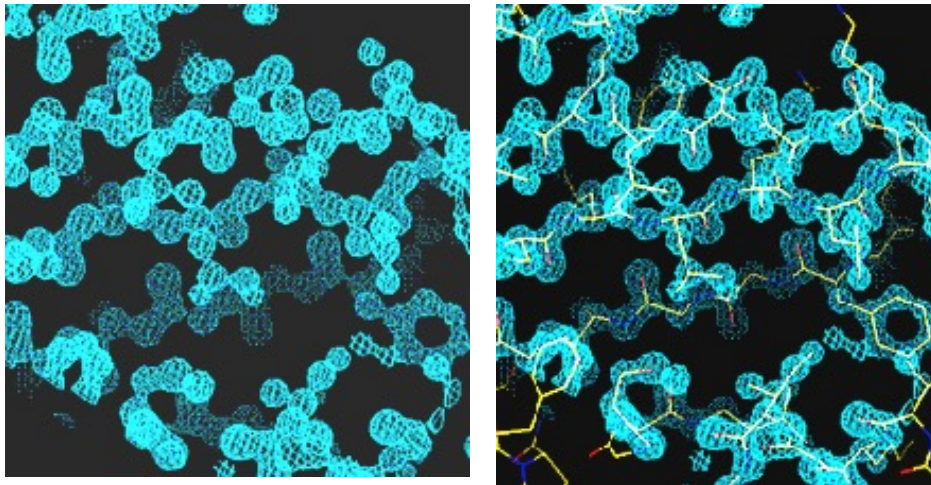
Here is a rather good bit of density (much better than the density you are likely to obtain from the initial phases) and still it is not easy for the “untrained eye” to see what is going on...



# Maps and resolution

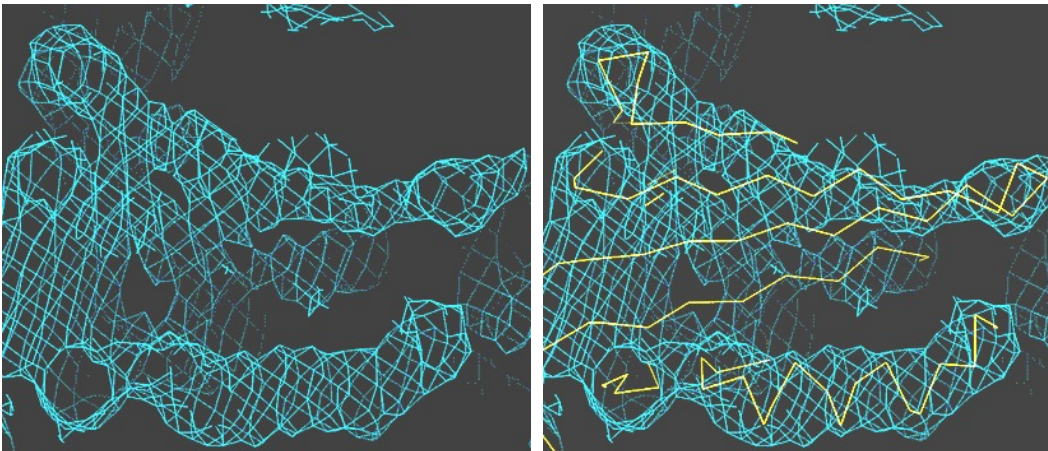
The task of model building is to interpret the electron density maps in light of chemical knowledge, basic stereochemistry, chemical sequence, etc...

The level of interpretation depends on the **resolution** of the map:



Here is a 1 Å map

At very high resolution, individual atoms can be seen and fitted in the electron density blobs: the problem therefore is reduced to 'join-the-dots'



Here is a 6 Å map

At very low resolution only large features can be seen - for example helices look like rods and  $\beta$ -sheets can barely be detected.

# Refinement

Manual model building is not sufficient to build a completely accurate model but is required to get to the starting point for refinement.

Refinement is a process of optimisation of the atomic model to match the observed data and to conform to ideal stereochemistry

Successful structure determination usually requires several alternated rounds of model-building and refinement.

During refinement one calculate the expected diffraction pattern from the current model, compare it to the experimental diffraction data, and minimise the square of the differences.

Two problems:

- ◆ non linearity and presence of multiple minima
- ◆ low ratio observation parameters (especially at low res.); compensate by using stereochemical constraints.

# The crystallographic R-factor

During the cycles of refinement, we calculate “R factors” to assess the progress. These are similar to the Q values used in minimisation.

$$Q = \sum [F_{obs} - F_{calc}]^2$$

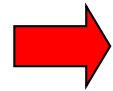
$$R_{factor} = \sum \frac{||F_{obs}| - |F_{calc}||}{|F_{obs}|}$$

R = “residual” = fractional difference between observed and calculated diffraction - a sort of “fractional error”

To monitor the refinement, we calculate R after each cycle (the summation is over all reflections). If things are going well, R reduces.

However, with complicated refinements like these, it is possible to “over-fit” the data – for **cross-validation** we take away 5% of the data (which we do not use in the refinement, to monitor the agreement. This is known as **R<sub>free</sub>**

# Practical considerations



## Protein production for crystallography

- Natural sources
- Recombinant technology

## Crystallisation

- The theory
- Techniques
- Crystallisation robots

## X-rays generation and data collection

How to read a PX paper

How to use a PDB

# Natural vs recombinant sources

Recombinant DNA technology makes it easier to produce large quantities of purified protein.

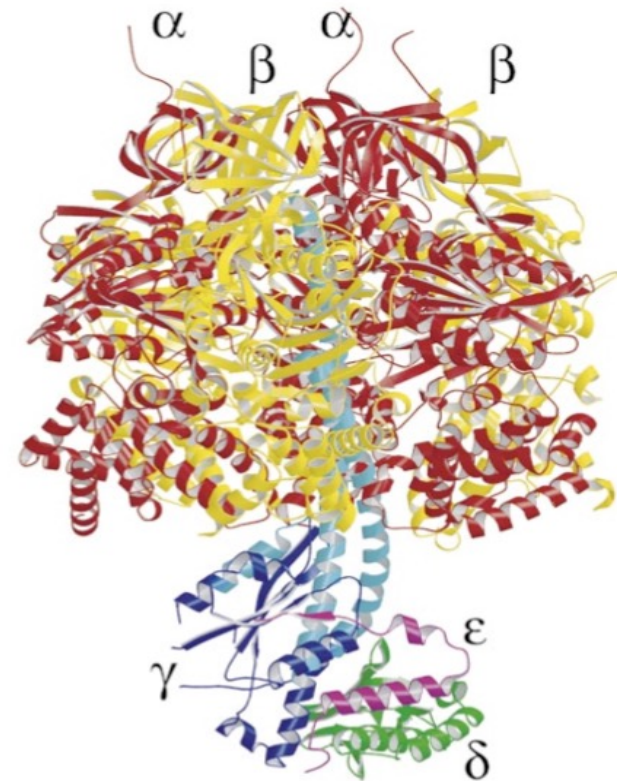
However “natural” sources still useful especially for big complexes, since these cannot be easily re-constituted by recombinant expression.

Examples:

$F_0F_1$  ATP synthase - purified from bovine heart muscle/yeast cells

ribosomes - purified from archaea

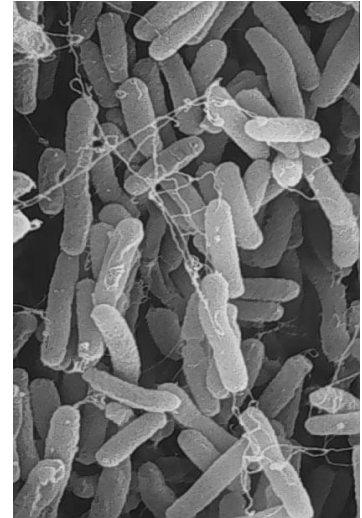
RNAPII - purified from yeast cells



# Expression in Escherichia coli

First choice organism:

- cloning and handling is easy
- grows rapidly in liquid cultures (doubling time < 30 min)
- 1-6 L of culture can yield 5-50 mg of purified protein
- well characterised organism



Not foolproof...

- Difficult to make proteins with disulphide bridges
- Protein may over-express well but be unfolded
- Control folding with temperature and/or chaperones
- May be able to “refold” proteins by controlled denaturation/renaturation during purification - but tricky!
- Proteins may be toxic for bacteria - try to get around this by tight control of expression

# Easy/difficult to crystallise?

A lot of interesting proteins are difficult to crystallise.

Best cases:

- single proteins
- rigid domain structure
- one dominant conformation



Worst cases:

- multi-domain proteins with flexible unstructured linkers
- proteins with flexible N- and/or C-termini
- proteins that are part of large macromolecular complexes
- presence of posttranslational modifications



# “Optimise” proteins for crystallisation

Use bioinformatics (database searches, sequence alignments) to identify “core domains” that can be expressed in a soluble form.

Use limited proteolysis to identify compact domains.



beware:  
you can  
cut flexible  
loops).



Co-express proteins that are part of the same complex.

Add ligands/inhibitors/cofactors/metals  
to stabilise one conformation.

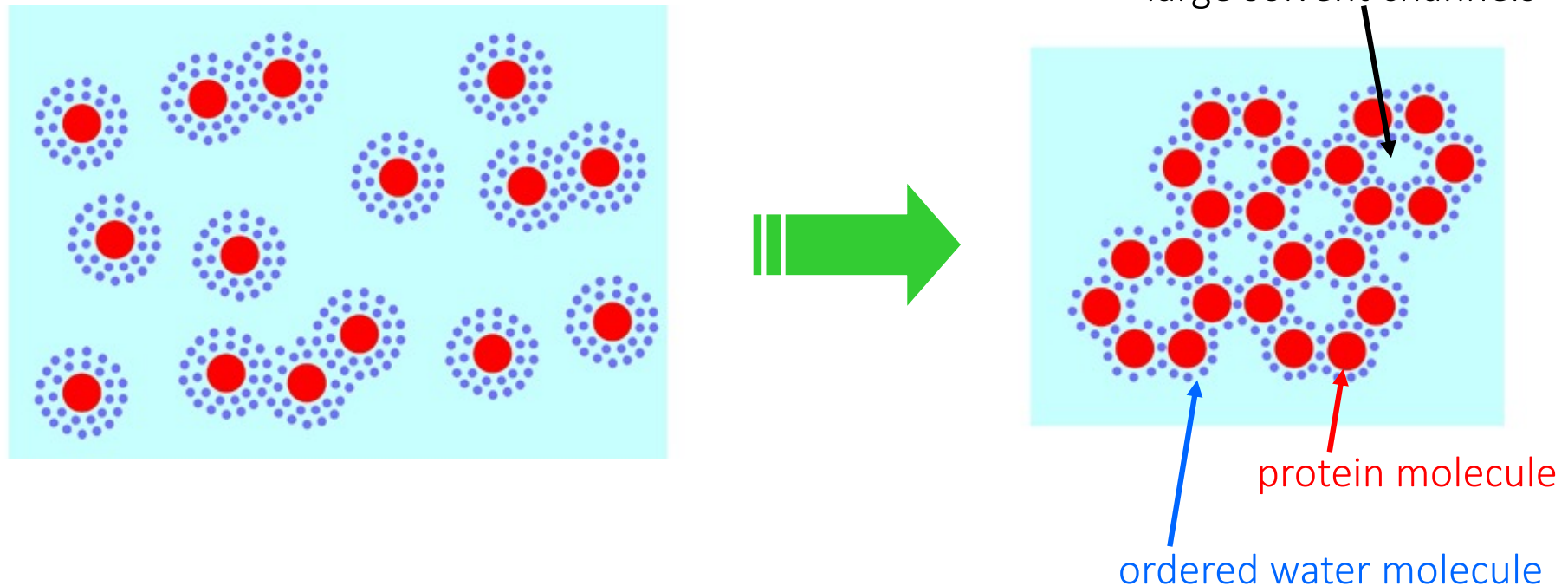


Avoid/encourage post-translational modifications (mutagenesis of target residues, mimic modifications by mutagenesis, change expression system/cell lines, so that the process does/does not occur).



# Crystallisation

Protein crystallisation is the transfer of protein molecules from an aqueous solution to an ordered solid phase.



Protein crystallisation is controlled by:

- thermodynamic factors governing the solubility
- kinetic factors governing nucleation and growth

# Solubility and crystals

To coax a protein into forming crystals, one has to decrease its solubility to the point where the solution becomes **saturated**. This is done by changing pH, ionic strength or temperature, or by adding organic solvents.

In a saturated solution the protein in solution is in thermodynamic equilibrium with one or more solid states:

amorphous precipitate

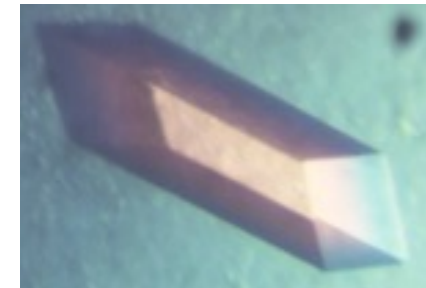
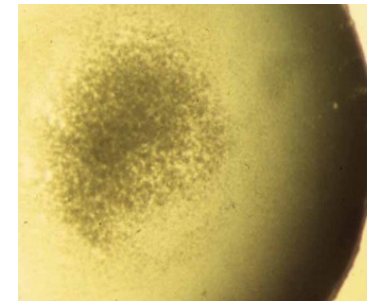
crystalline state

micro-crystals

needles

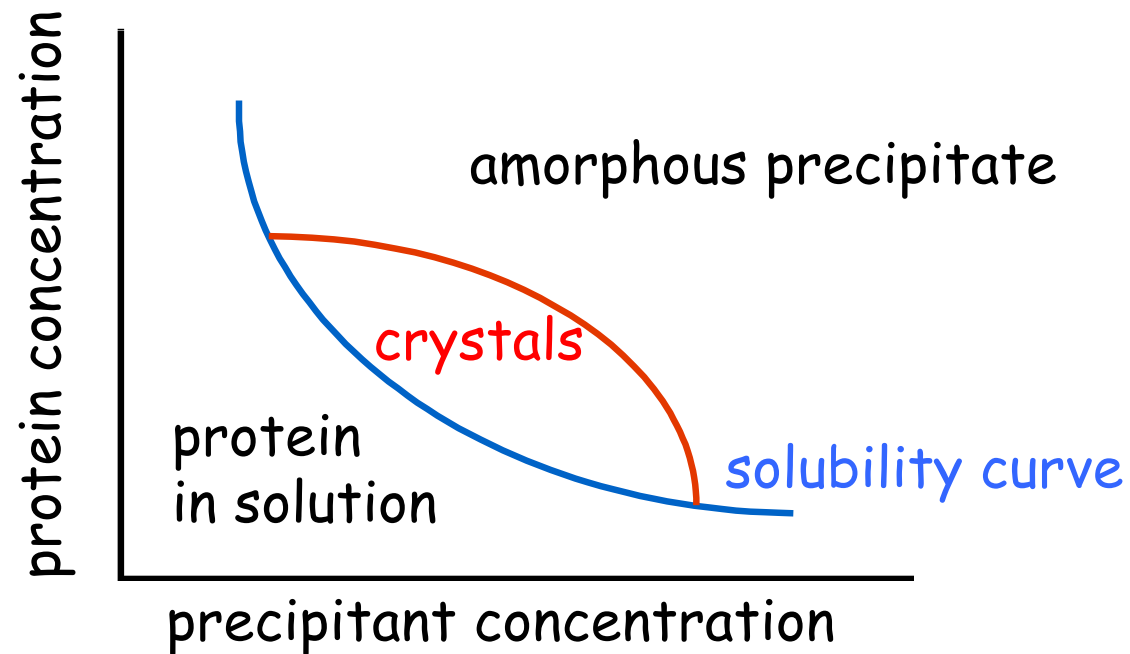
plates

**large single crystals**



# Phase diagram

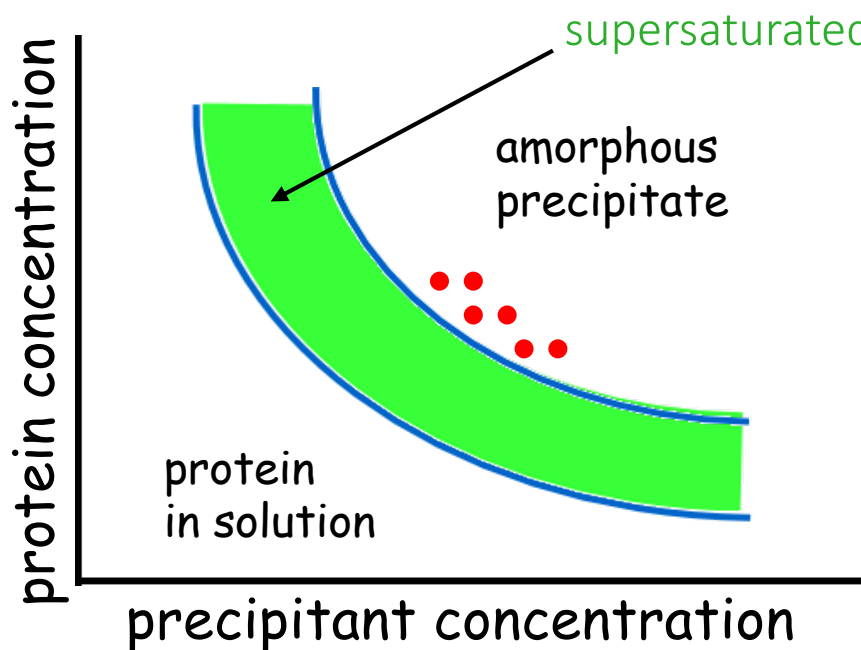
The process of crystal formation can be understood by using a phase diagram. Because several factors influence protein solubility, the phase diagram has many dimensions. A very important factor is the precipitant concentration, and a two-dimensional diagram is shown here:



# Supersaturation

Above the solubility curve the solid phase is thermodynamically favoured; yet, because of kinetic barriers to the formation of aggregates, the protein may remain in solution, forming a **supersaturated solution**.

A supersaturated solution is thermodynamically metastable: its conversion to a stable solid state is kinetically controlled and can be triggered by the presence of **nucleation centres**.



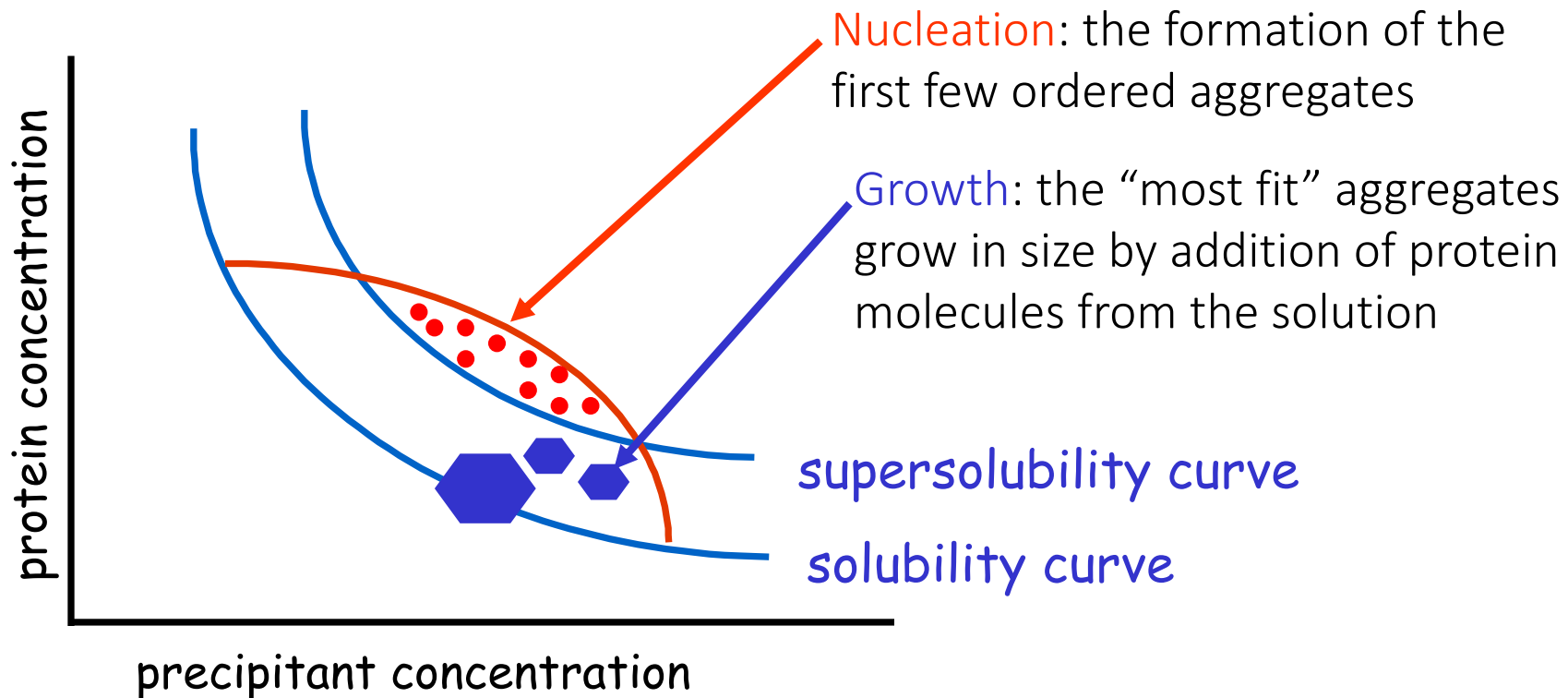
Above a certain level a supersaturated solution will spontaneously give rise to the formation of **nuclei**, without any need of 'external' nucleation centres.

**supersolubility curve**  
**solubility curve**

# Nucleation and growth

The formation of crystals requires aggregates of a critical size (**nuclei**). Aggregates smaller than the critical size are unstable and will dissolve, while aggregates larger than the critical size will grow.

The process of crystallisation can be divided into two distinct stages:



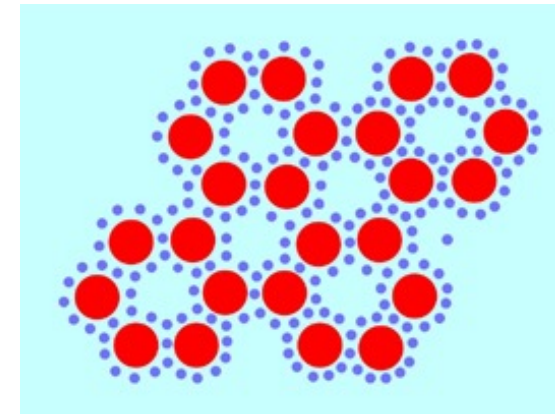
# Crystallising proteins

## Why proteins are difficult to crystallise:

- most proteins are labile and easily denatured
- large proteins often exist in multiple conformations
- complex behaviour -> polymorphism
- many proteins are difficult to obtain in large amounts
- proteins needs to be highly purified for crystallisation

## Why protein crystals are difficult to handle:

- high solvent content (30-80%)
- mechanically fragile
- not well ordered -> resolution limits
- sensitive to radiation damage



**Crystallisation of membrane proteins** may presents additional problems such as homogeneity of the purified samples, choice of detergents, presence of micelles, tendency to form hydrophobic interactions which are less directional and ordered, etc..

# Crystallising proteins

Purity is not an absolute requirement for crystallisation, but often crystals obtained from impure solutions are small or of poor quality.

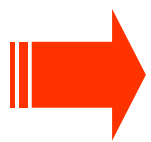
The protein solution has to be homogeneous not only in terms of composition, but also in terms of structure and conformation.



There are many factors to consider. Some of the more important ones are:

- presence of ligands (substrates, cofactors, metal ions etc)
- flexible domains and loops
- post-translational modifications (glycosylation, phosphorylation etc)
- aggregation

Highly homologous proteins will typically crystallise in very different conditions.

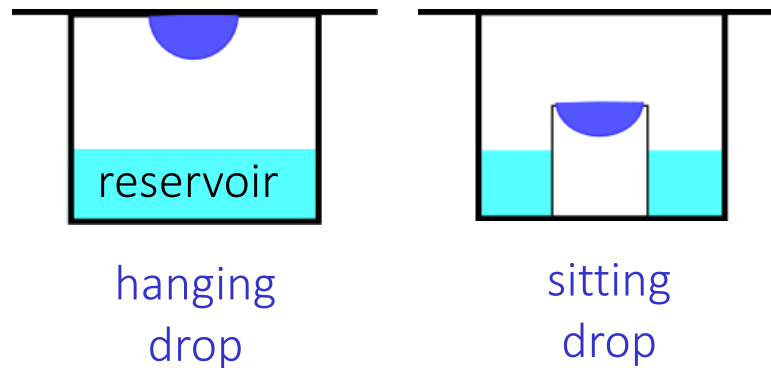


One needs large amounts of very pure protein that can be concentrated to several mg/ml without aggregation.

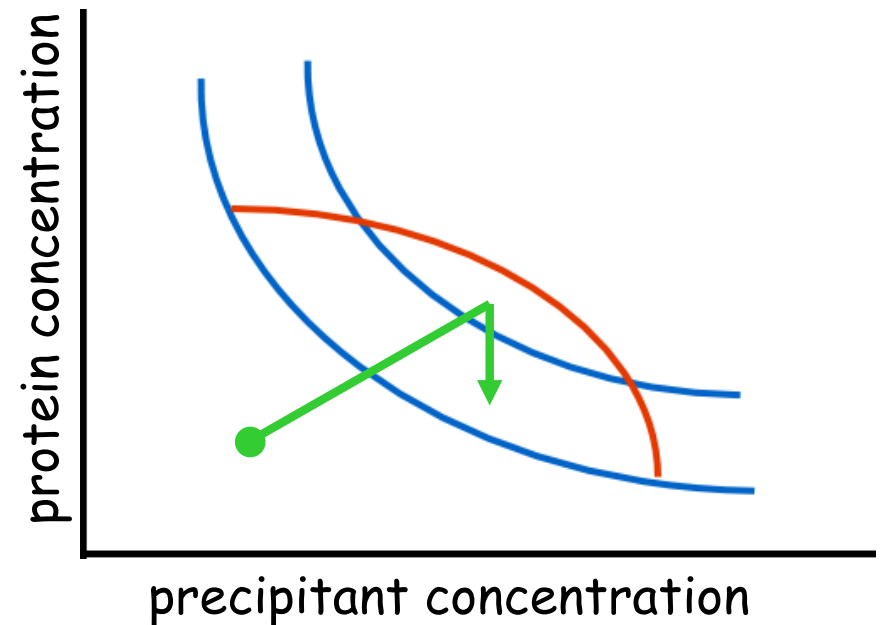
Robotic screening allows testing of ~1000 conditions/mg of protein.

# Vapour diffusion

A **droplet** containing the protein and the precipitating agent is equilibrated against a **reservoir** containing the precipitant at higher concentration. Equilibrium is reached through the vapour phase, usually by loss of water from the droplet.



Typically the drop is made by mixing equal volumes of protein and reservoir solution.



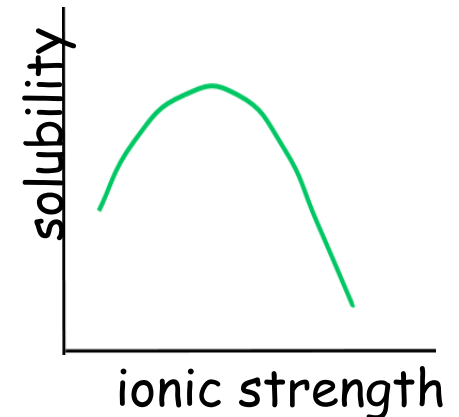
Vapour diffusion is best suited for robotic nanolitre crystallisation.



# Precipitants

## SALTS

Solubility changes with the ionic strength of the solution, causing salting in/salting out effects. Common ionic precipitants include  $(\text{NH}_4)_2\text{SO}_4$ , various phosphates, NaCl, LiCl, and many others.



## ORGANIC SOLVENTS

Reduce the dielectric constant of the medium, thereby enhancing the electrostatic interactions between the protein molecules and lowering the solubility. May denature proteins.

Commonly used organic solvents include [ethanol](#) and [isopropanol](#).

## POLYETHYLENE GLYCOLS (PEGs)

Believed to act by a combination of effects: volume exclusion, modification of the dielectric properties of the solution, electrostatic interaction with proteins, depletion of the hydration sphere of the protein. Very effective

Salts and PEGs are the most common precipitants

# High throughput crystallisation

Automated methods for crystallisation (and crystal visualization) are now routinely used by most labs. These development are driven by the needs of pharmaceutical companies and structural genomics projects.

Robotic crystallization systems relies on the same principles described so far, but carry out each step of the procedure quickly, accurately, in smaller volumes.

## Manual crystallisation:

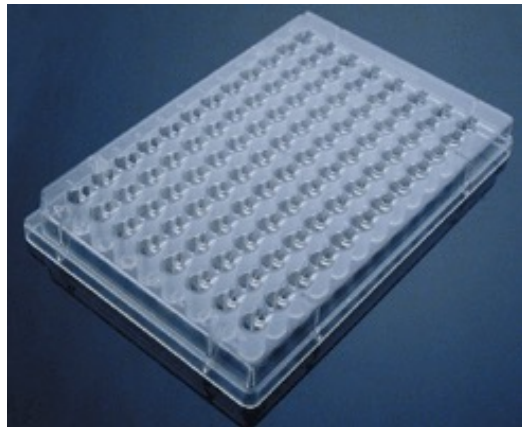
- slow and time consuming
- error prone and not always reproducible
- expensive in terms of amount of purified protein (drops: 1-2  $\mu\text{l}$ )

## Robotic crystallisation:

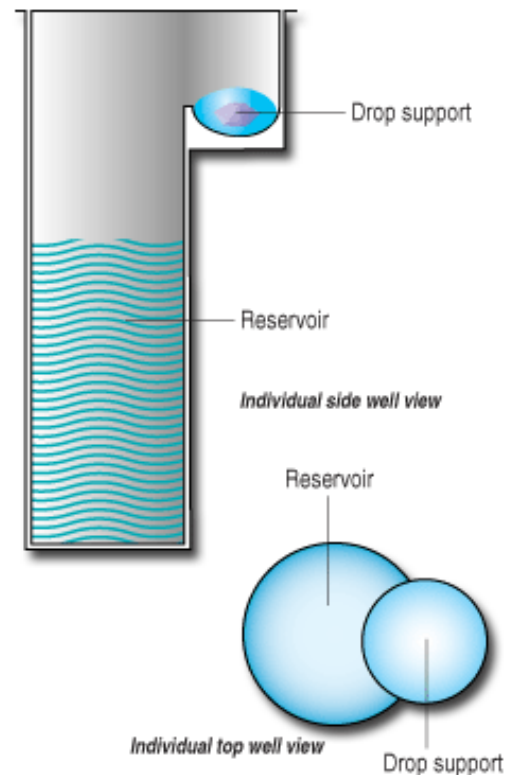
- faster and more efficient
- more accurate and reproducible
- smaller sample sizes (down to 100 nl drops) cut down on expenditure of purified protein

# 96-wells plates

The standard format for automated crystallisation are plates with 96 reservoir wells. These plates are designed for the sitting drop vapor diffusion method, and are sealed with clear tape.



8 x 12 matrix



# Mosquito crystallisation robot

To set up the crystallisation drops containing nanolitre volumes of protein and well solution.

Employs disposable tips and pipettes 100-200 nl drops.

Takes only 2 min to set up 96 drops.



Use of specialised 96-well plates

