

Advanced Geometry 3 - Lecture Notes

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Contents

Chapter 1

Regular Functions and Regular Maps on Affine Varieties

1.1 Regular Functions

Definition 1.1. Let $X \subseteq \mathbb{A}^n$ be an affine variety. A function $f: X \rightarrow \mathbb{K}$ is **regular** if it is a polynomial function, that is there exists a polynomial $F \in \mathbb{K}[x_1, \dots, x_n]$ such that

$$f(a_1, \dots, a_n) = F(a_1, \dots, a_n)$$

for any $(a_1, \dots, a_n) \in X$.

Remark 1.2. We already noticed that that the set of polynomial functions on an affine variety form a \mathbb{K} -algebra, which is isomorphic to the **coordinate ring**

$$A(X) := \frac{\mathbb{K}[x_1, \dots, x_n]}{I(X)}.$$

Moreover, since X is irreducible, we have that $I(X)$ is prime, so that $A(X)$ is an integral domain. Furthermore, since $\mathbb{K}[x_1, \dots, x_n]$ is noetherian, so is $A(X)$.

Examples 1.3. Assume \mathbb{K} to be an infinite field.

1. $A(\mathbb{A}^n) = \mathbb{K}[x_1, \dots, x_n]$; indeed, we have $I(\mathbb{A}^n) = (0)$.
2. Let $X = V(y - x^2) \subseteq \mathbb{A}_{\mathbb{C}}^2$ be the parabola. We have $I(V(y - x^2)) = \sqrt{\langle y - x^2 \rangle}$ by the NSS, and since $y - x^2$ is an irreducible polynomial, the ideal $\langle y - x^2 \rangle$ is prime, hence radical and $\sqrt{\langle y - x^2 \rangle} = \langle y - x^2 \rangle$. It can be easily checked that

$$A(X) = \frac{\mathbb{K}[x, y]}{\langle y - x^2 \rangle} \cong \mathbb{K}[x]$$
$$\bar{F}(x, y) \mapsto F(x, x^2).$$

Finally, we observe that X admits the parametrization

$$V(y - x^2) = \{(t, t^2) : t \in \mathbb{A}^1\},$$

so that is the image of the map $\varphi: \mathbb{A}^1 \rightarrow \mathbb{A}^2$, $\varphi(t) = (t, t^2)$.

3. Let $X = V(x^2 - y^3) \subseteq \mathbb{A}_{\mathbb{C}}^2$ be the cuspidal cubic. Such a curve admits the parametrization

$$V(x^2 - y^3) = \{(t^3, t^2) : t \in \mathbb{A}^1\}.$$

We observe that in this case

$$A(X) = \frac{\mathbb{K}[x, y]}{\langle x^2 - y^3 \rangle} \not\cong \mathbb{K}[t].$$

Indeed, we have that $\mathbb{K}[t]$ is an UFD, while $A(X)$ is not; for instance

$$\bar{x}^2 = \bar{x}\bar{x} = \bar{y}\bar{y}\bar{y}$$

with \bar{x}, \bar{y} irreducible elements. Hence \bar{x}^2 admits two different factorizations in irreducible factors.

Definition 1.4. The element $\bar{x}_i \in A(X)$, class of x_i modulo $I(X)$, will be called the **i -th coordinate function**. Under the identification with a polynomial function it corresponds to

$$\bar{x}_i: X \longrightarrow \mathbb{K}; P = (p_1, \dots, p_n) \longmapsto p_i.$$

Remark 1.5. The \mathbb{K} -algebra $A(X)$ is finitely generated by $\bar{1}, \bar{x}_1, \dots, \bar{x}_n$.

Conversely, we can see that any finitely generated \mathbb{K} -algebra A , which is an integral domain, is isomorphic to the coordinate ring of an affine variety. Indeed, we have an exact sequence

$$0 \longrightarrow I \longrightarrow \mathbb{K}[x_1, \dots, x_n] \xrightarrow{\varphi} A \longrightarrow 0$$

where $I := \ker \varphi$, and $A \cong \frac{\mathbb{K}[x_1, \dots, x_n]}{I}$. Since A is an integral domain, the ideal I is prime. By defining $X := V(I) \subseteq \mathbb{A}^n$, we have $A \cong A(X)$.

1.2 Regular Maps

Definition 1.6. Let $X \subseteq \mathbb{A}^n$ and $Y \subseteq \mathbb{A}^m$ be affine varieties. A map $f: X \rightarrow Y$ is a **regular map** or **morphism** if there exist $f_1, \dots, f_m \in A(X)$ such that

$$f = (f_1, \dots, f_m),$$

so that $f(P) = (f_1(P), \dots, f_m(P)) \in Y$, for any $P \in X$.

Moreover, we say that f is an **isomorphism** if it is bijective regular map and its inverse is a regular map.

Proposition 1.7. Let $X \subseteq \mathbb{A}^n$ and $Y \subseteq \mathbb{A}^m$ be affine varieties and let $f: X \rightarrow Y$ be regular. Then f is continuous in the Zariski topology.

Proof. Let $X = V(g_1, \dots, g_s)$, $f_i \in \mathbb{K}[x_1, \dots, x_n]$ and $Y = V(h_1, \dots, h_r)$, $G_i \in \mathbb{K}[y_1, \dots, y_m]$. A closed subset of Y is of the form $Z = Y \cap V(m_1, \dots, m_k)$ with $m_i \in \mathbb{K}[y_1, \dots, y_m]$. Then we have:

$$\begin{aligned} P \in f^{-1}(Z) &\Leftrightarrow f(P) \in Z \Leftrightarrow m_1(f(P)) = \dots = m_k(f(P)) = 0 \\ &\Leftrightarrow m_1(f_1(P), \dots, f_m(P)) = \dots = m_k(f_1(P), \dots, f_m(P)) = 0 \\ &\Leftrightarrow m_1(f_1, \dots, f_m)(P) = \dots = m_k(f_1, \dots, f_m)(P) = 0. \end{aligned}$$

Since this holds for any $P \in f^{-1}(Z)$, it follows that

$$f^{-1}(Z) = V(m_1(f_1, \dots, f_m), \dots, m_k(f_1, \dots, f_m)) \cap X,$$

which is closed in X . □

Remark 1.8. We observe that the composition of a regular map with a regular function is again a regular function. Indeed, if $f = (f_1, \dots, f_m): X \rightarrow Y$ and $h: Y \rightarrow \mathbb{K}$, we have that

$$h \circ f = h(f_1, \dots, f_m)$$

is a polynomial function.

This allows to define the **pull-back** f^* of a regular map $f: X \rightarrow Y$:

$$f^*: A(Y) \rightarrow A(X), \quad f^*(h) := h \circ f.$$

Note that f^* is a \mathbb{K} -algebra homomorphism.

The pull-back of a map determines a contravariant functor, with the following property.

Proposition 1.9. *The functor associating the coordinate ring $A(X)$ to any affine variety X , and the \mathbb{K} -algebra homomorphism $f^* : A(Y) \rightarrow A(X)$ to any regular map $f : X \rightarrow Y$, determines a category equivalence between the categories $\mathcal{A} = \{X \text{ affine variety, } f \text{ regular map}\}$ and $\mathcal{B} = \{A(X), u\mathbb{K}\text{-algebra homomorphism}\}$.*

Proof. We need to prove that we have a bijection between $\text{Hom}(X, Y)$ and $\text{Hom}(A(Y), A(X))$. To this aim, given $u \in \text{Hom}(A(Y), A(X))$, we define

$$\begin{aligned} u^\# : X &\rightarrow Y \\ P &\rightarrow (u(\overline{y_1})(P), \dots, u(\overline{y_n})(P)) \end{aligned}$$

where $\overline{y_1}, \dots, \overline{y_n}$ are the coordinate functions on Y .

We observe that $u^\#$ is a regular map, since its components are elements of $A(X)$. We need to check now that $u^\#(X) \subseteq Y$. Let $g \in I(Y)$ and let us evaluate it in a generic point of $u^\#(X)$:

$$g(u^\#(P)) = g(u(\overline{y_1})(P), \dots, u(\overline{y_n})(P)) = g(u(\overline{y_1}), \dots, u(\overline{y_n}))(P),$$

since the evaluation in a point is a homomorphism. Next we note that $g(u(\overline{y_1}), \dots, u(\overline{y_n}))$ is a sum of products of images of u , and since u is a \mathbb{K} -algebra homomorphism, we have

$$g(u(\overline{y_1}), \dots, u(\overline{y_n})) = u(g(\overline{y_1}, \dots, \overline{y_n})).$$

Finally, by the definition of sum and product in a quotient ring, we have

$$u(g(\overline{y_1}, \dots, \overline{y_n})) = u(\overline{g(y_1, \dots, y_n)}).$$

By recalling that $g \in I(Y)$ we see that $\overline{g} = 0$ in $A(Y)$, hence

$$g(u^\#(P)) = g(u(\overline{y_1})(P), \dots, u(\overline{y_n})(P)) = u(0)(P) = 0.$$

It follows that $u^\#(X) \subseteq V(I(Y)) = Y$.

Finally, it is not difficult to check that $(f^*)^\# = f$ e $(u^\#)^* = u$. The equivalence of the two categories follows. \square

Corollary 1.10. *Let $X \subseteq \mathbb{A}^n$ and $Y \subseteq \mathbb{A}^m$ be affine varieties. Then X is isomorphic to $Y \iff A(X) \cong A(Y)$.*

Examples 1.11. 1. *The map $\varphi : \mathbb{A}^1 \rightarrow V(y - x^2)$ is an isomorphism between the affine line and the parabola, since it induces an isomorphism of coordinate rings.*

2. *The map $f : \mathbb{A}^1 \rightarrow V(y^2 - x^3); t \mapsto (t^2, t^3)$ is a regular bijective map, but it is not an isomorphism, since the coordinate rings are not isomorphic.*

3. *Let $\Delta = \{(P, P); P \in \mathbb{A}^n\} \subseteq \mathbb{A}^n \times \mathbb{A}^n$ be the diagonal. Then the **diagonal map***

$$\begin{aligned} \delta : \mathbb{A}^n &\longrightarrow \Delta \\ P &\longmapsto (P, P) \\ (p_1, \dots, p_n) &\longmapsto (p_1, \dots, p_n, p_1, \dots, p_n). \end{aligned}$$

is a regular bijective map, since its components are coordinate functions. Moreover, the inverse map $\delta^{-1} : \Delta \rightarrow \mathbb{A}^n$ is the restriction of the first projection, hence it has regular components too, so δ is an isomorphism.

We shall make use of the diagonal isomorphism in the following framework: let $X, Y \subseteq \mathbb{A}^n$ be affine varieties; then

$$\delta|_{X \cap Y} : X \cap Y \rightarrow (X \times Y) \cap \Delta$$

is an isomorphism too.

1.3 Relative NSS

Remark 1.12. We observe that given an affine variety $X = V(g_1, \dots, g_r) \subseteq \mathbb{A}^n$, the induced Zariski topology is determined by ideals in $A(X)$, and precisely: if $\alpha \subseteq A(X)$ is an ideal, by setting

$$V(\alpha) := \{P \in X; h(P) = 0, \forall h \in \alpha\},$$

we obtain all the Zariski closed subsets of X .

Indeed, if $\alpha = \langle h_1, \dots, h_m \rangle$, there exist $H_i \in \mathbb{K}[x_1, \dots, x_n]$ such that $h_i = \overline{H}_i$ and we have

$$V(\alpha) = V(h_1, \dots, h_m) = V(H_1, \dots, H_m) \cap X.$$

Similarly, we can define the ideal of a subset of X : if $S \subseteq X$, we set $I(S) := \{g \in A(X); g|_S \equiv 0\}$.

Proposition 1.13 (Relative NSS). If $\mathbb{K} = \overline{\mathbb{K}}$, then in $A(X)$ it holds

$$I(V(\alpha)) = \sqrt{\alpha} \subseteq A(X).$$

In particular, there is relative version of the Weak NSS:

$$V(\alpha) = \emptyset \Leftrightarrow \alpha = A(X).$$

Proof. The inclusion $I(V(\alpha)) \supseteq \sqrt{\alpha}$ is easy. For the converse, let $X = V(G_1, \dots, G_r)$ and let $\alpha = \langle h_1, \dots, h_m \rangle$ and let $h \in I(V(\alpha))$, with $h = \overline{H}$ for some $H \in \mathbb{K}[x_1, \dots, x_n]$. By Remark ?? we have $V(\alpha) = V(H_1, \dots, H_m) \cap X = V(H_1, \dots, H_m, G_1, \dots, G_r)$. By the NSS we have

$$H \in I(V(H_1, \dots, H_m, G_1, \dots, G_r)) = \sqrt{(H_1, \dots, H_m, G_1, \dots, G_r)},$$

so there exists $k \in \mathbb{N}$ such that $H^k \in (H_1, \dots, H_m, G_1, \dots, G_r)$. This implies that $\overline{H}^k = h^k \in \alpha \subseteq A(X)$, hence $H \in \sqrt{\alpha}$ and the claim follows. \square

1.4 Rational Functions on Affine Varieties

Definition 1.14. Let $X \subseteq \mathbb{A}^n$ be an affine variety. The **field of rational functions** is the quotient field $Q(A(X))$ of $A(X)$, and we will denote it by $\mathbb{K}(X)$. So we have

$$\mathbb{K}(X) = \left\{ \frac{f}{g}; f, g \in A(X), g \neq 0 \right\},$$

where the condition $g \neq 0$ in $A(X)$ means that g is not the zero constant function, and we have

$$\frac{f}{g} = \frac{f'}{g'} \iff f \cdot g' = f' \cdot g \in A(X).$$

In contrast with the regular functions, the rational functions do not define functions on the whole of X .

Definition 1.15. Let X be an affine variety and let $h \in \mathbb{K}(X)$. We say that h is **regular** in $P \in X$ if h admits an expression

$$h = \frac{f}{g}, f, g \in A(X), g(P) \neq 0.$$

In this case we can define the value of h in $P \in X$ as $h(P) := f(P)/g(P) \in \mathbb{K}$.

Observe that such a value is well-defined: if $h = \frac{f}{g} = \frac{f'}{g'}$, with $g(P) \neq 0, g'(P) \neq 0$, then $f'g - fg' = 0$ in $A(X)$, that is $F'G - FG' \in I(X)$, where $\overline{F} = f, \overline{G} = g, \overline{F}' = f', \overline{G}' = g'$. Hence for any $P \in X$, we have $F(P)G'(P) - F'(P)G(P) = 0$. It follows that

$$h(P) = \frac{f(P)}{g(P)} = \frac{f'(P)}{g'(P)}.$$

Remark 1.16. Observe that $h \in \mathbb{K}(X)$ is regular on an open subset of X . Indeed, we fix an expression $h = f/g$ with $f, g \in A(X)$; by setting $U := X \setminus V(g)$, we get that h is regular in any $P \in U$, so it defines a function $h: U \subseteq X \rightarrow \mathbb{K}$. By choosing some other representation of h , the domain of regularity can change, but it is still open. The union of all the regularity domains of all representations of h is called the **domain of h** .

Example 1.17 (Stereographic projection). Let $X = V(x_1^2 + x_2^2 - 1) \subseteq \mathbb{A}^2$, the unit circle; it is an affine variety. Let

$$h := \frac{\bar{x}_1}{1 - \bar{x}_2} \in \mathbb{K}(X).$$

In this case $g = 1 - \bar{x}_2$, and

$$V(g) = \{(0, 1)\} \subseteq X.$$

Then the domain of h contains the open subset $X \setminus (0, 1)$. Now we try with another representation of h :

$$h = \frac{(1 + \bar{x}_2)}{\bar{x}_1}.$$

Indeed, we have

$$\bar{x}_1 \bar{x}_1 - (1 - \bar{x}_2)(1 + \bar{x}_2) = \bar{x}_1^2 + \bar{x}_2^2 - 1 = 0 \in A(X).$$

In this case

$$V(\bar{x}_1) = \{(0, 1), (0, -1)\} \subset X,$$

so with this representation of h we get a smaller domain. We shall see in the sequel that h can not be extended to the whole of X , and that indeed $X \setminus (0, 1)$ is its domain.

Next we see that the set of rational functions, which are regular in a certain point $P \in X$, and those which are regular on a certain open subset, are given some natural algebraic structures.

Definition 1.18. Let X be an affine variety and $P \in X$. The **local ring of rational functions, regular in P** is the ring

$$\mathcal{O}_{X,P} := \left\{ h \in \mathbb{K}(X); \exists f, g \in A(X), h = \frac{f}{g}, g(P) \neq 0 \right\}.$$

Moreover, given an open subset $U \subseteq X$, the **ring of rational functions, regular on U** , is the ring

$$\mathcal{O}_X(U) := \bigcap_{P \in U} \mathcal{O}_{X,P}.$$

Remark 1.19. The ring $\mathcal{O}_{X,P}$ is indeed a local ring, as it is the localization $\mathcal{O}_{X,P} = S^{-1}A(X)$ where $S = A(X) \setminus I(P)$. The unique maximal ideal is $\mathcal{M}_P = \{h \in \mathcal{O}_{X,P} : h(P) = 0 = f(P)\}$.

Theorem 1.20. Let $\mathbb{K} = \bar{\mathbb{K}}$ and let $X \subseteq \mathbb{A}^n$ be an affine variety. Then

$$\mathcal{O}_X(X) \cong A(X).$$

Proof. We observe that there is an injection $A(X) \hookrightarrow \mathcal{O}_X(X)$, given by $f \mapsto \frac{f}{1}$. Therefore we can consider $A(X) \subseteq \mathcal{O}_X(X)$ as a subring.

Conversely, let $h \in \mathcal{O}_X(X)$; then for any $P \in X$, there exist f_P, g_P such that $h = \frac{f_P}{g_P}$ with $g_P(P) \neq 0$. Hence for any P we can write $h g_P = f_P$. Consider now the ideal

$$J := \langle \{g_P\}_{P \in X} \rangle \subseteq A(X)$$

generated by the denominators of h . We have that $V(J) = \emptyset$, since in any point $Q \in X$ there is a nonvanishing denominator $g_Q(Q) \neq 0$.

Since $\mathbb{K} = \bar{\mathbb{K}}$, by the relative Weak Nullstellensatz $J = A(X)$. So $1 \in J$, hence we can write

$$1 = \sum_{\text{finite}} h_P g_P$$

for some $h_P \in A(X)$. Finally, by multiplying the above equality by h , we obtain

$$h = \sum h_P h g_P = \sum h_P f_P \in A(X),$$

as $h_P, f_P \in A(X)$.

□