

# Dynamics of EW & Strong Interactions

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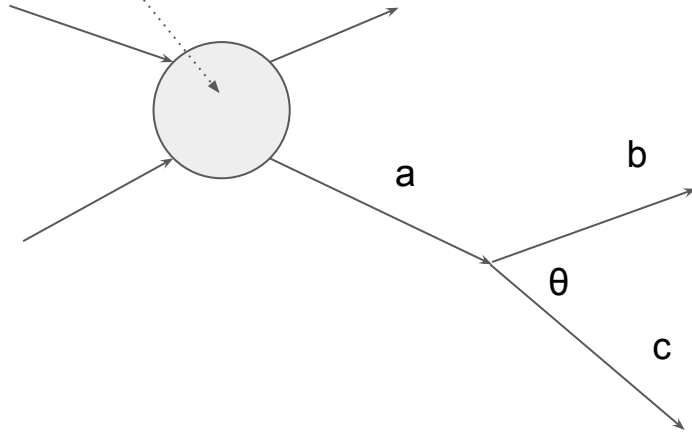
Part 4 - Dr. Michele Pinamonti (INFN Trieste)  
Lecture 2 - Trieste, 13/12/2022

# IR divergences and jets

# IR divergences - generalization to all (FSR) partons

- What if we add mass terms?
- What about other splittings like  $g \rightarrow gg$ ,  $g \rightarrow q\bar{q}$  ?

hard process



- Propagator factor:  $\frac{1}{p_a^2 - m_a^2}$

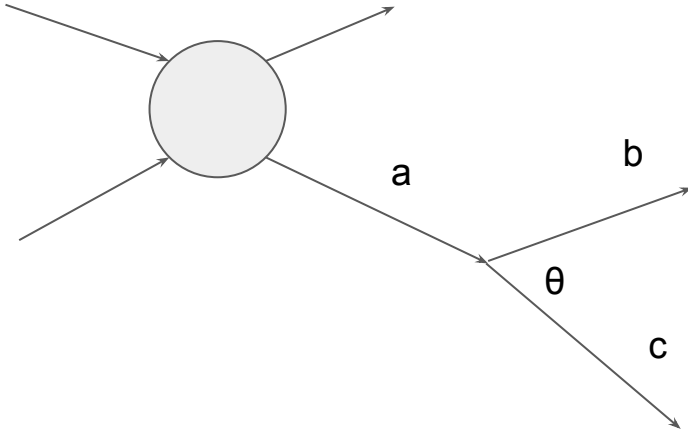
○ and we can write:

$$\begin{aligned} p_a^2 &= (p_b + p_c)^2 \\ &= m_b^2 + m_c^2 + 2(E_b E_c - |\vec{p}_b| |\vec{p}_c| \cos\theta) \\ &= m_b^2 + m_c^2 + 2E_b E_c (1 - v_b v_c \cos\theta) \end{aligned}$$

# IR divergences - generalization to all (FSR) partons

- Final formula is then:

$$\frac{1}{p_a^2 - m_a^2} = \frac{1}{m_b^2 + m_c^2 + 2E_b E_c (1 - v_b v_c \cos\theta) - m_a^2}$$

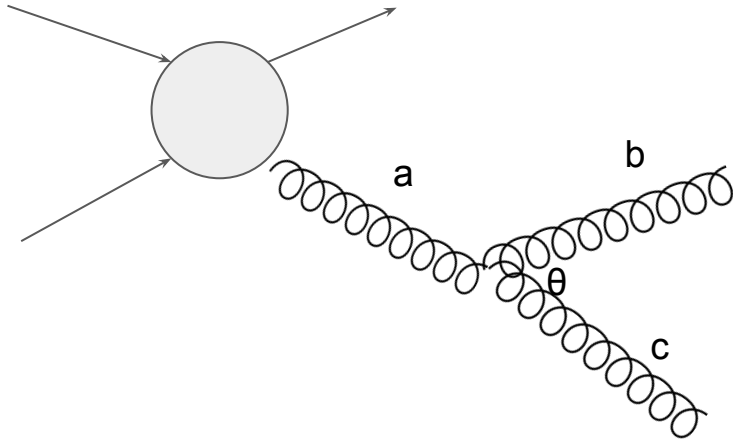


# IR divergences - generalization to all (FSR) partons

- Final formula is then:

$$\frac{1}{p_a^2 - m_a^2} = \frac{1}{\cancel{m_b^2} + \cancel{m_c^2} + 2E_b E_c (1 - \cancel{v_b v_c} \cos\theta) - \cancel{m_a^2}}$$

1 1



- $g \rightarrow gg$  ( $a=g, b=g, c=g$ ):

- $m_a = m_b = m_c = 0$
- $v_a = v_b = v_c = 1$

$$= \frac{1}{2E_b E_c (1 - \cos\theta)}$$

soft divergence

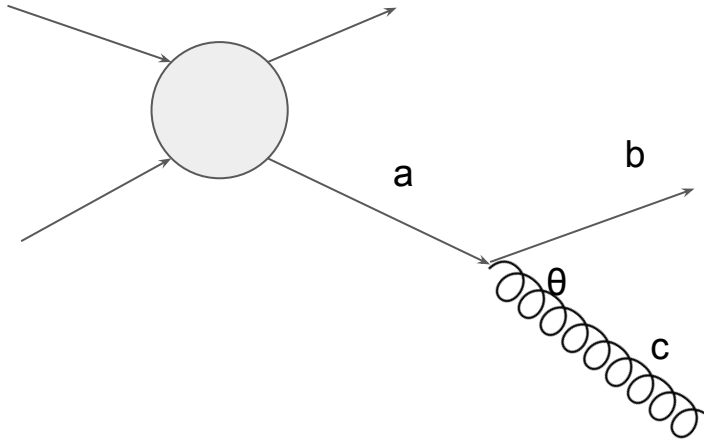
collinear divergence

# IR divergences - generalization to all (FSR) partons

- Final formula is then:

$$\frac{1}{p_a^2 - m_a^2} = \frac{1}{\cancel{m_b^2} + \cancel{m_c^2} + 2E_b E_c (1 - v_b v_c \cos\theta) - \cancel{m_a^2}}$$

1



- $q \rightarrow qg$  ( $a=q$ ,  $b=q$ ,  $c=g$ ):

- $m_a = m_b = m_q$
- $m_c = 0$
- $v_c = 1$

$$= \frac{1}{2E_b E_c (1 - v_b \cos\theta)}$$

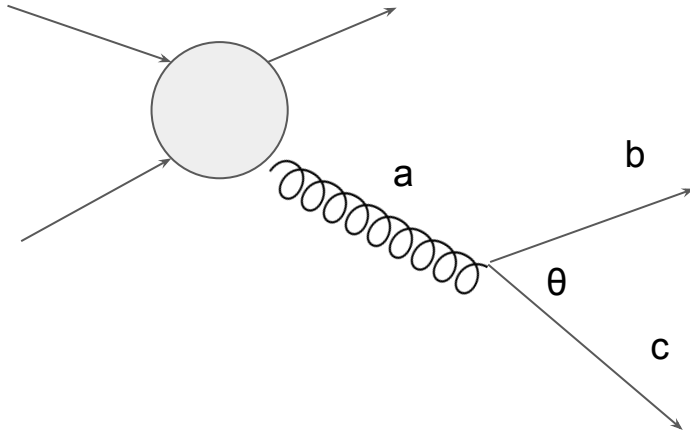
soft divergence

collinear divergence  
only if  $m_q \rightarrow 0$

# IR divergences - generalization to all (FSR) partons

- Final formula is then:

$$\frac{1}{p_a^2 - m_a^2} = \frac{1}{m_b^2 + m_c^2 + 2E_b E_c (1 - v_b v_c \cos\theta) - m_a^2}$$



- $g \rightarrow q\bar{q}$  ( $a=g, b=q, c=q$ ):

- $m_b = m_c = m_q$
- $m_a = 0$

$$= \frac{1}{2m_q^2 + 2E_b E_c (1 - v_b v_c \cos\theta)}$$

soft divergence  
only if  $m_q \rightarrow 0$

collinear divergence  
only if  $m_q \rightarrow 0$

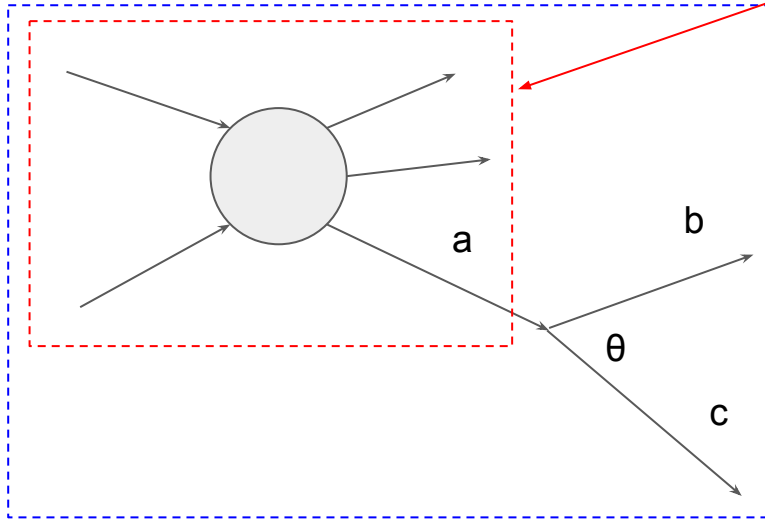
# Branching probabilities & splitting functions

- General formulas for getting
  - (neglecting  $m_q$ )

$d\sigma_{n+1}$  given  $d\sigma_n$

$$z = \frac{E_b}{E_a} = 1 - \frac{E_c}{E_a}$$

already includes  
integral on  $\phi$



$$d\sigma_{n+1} = d\sigma_n \frac{dt}{t} dz \frac{\alpha_s}{2\pi} \hat{P}_{ba}(z)$$

$t = -Q^2$   
momentum  
transfer  
= virtuality  
of a (if b is  
on-shell)

"Unregulated" branching  
probability for  $a \rightarrow bc$

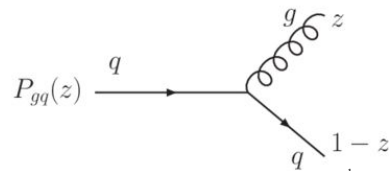
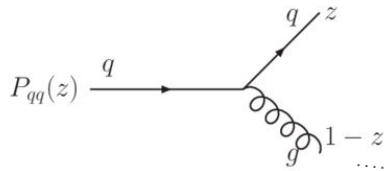


# Branching probabilities & splitting functions

$$z = \frac{E_b}{E_a} = 1 - \frac{E_c}{E_a}$$

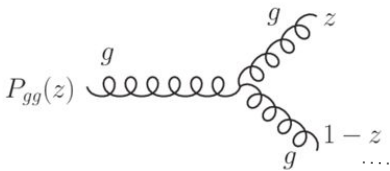
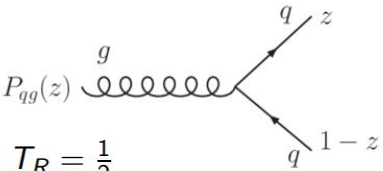
- General formulas for getting  $d\sigma_{n+1}$  given  $d\sigma_n$

$$d\sigma_{n+1} = d\sigma_n \frac{dt}{t} dz \frac{\alpha_s}{2\pi} \hat{P}_{ba}(z)$$



$$\hat{P}_{qq}(z) = \hat{P}_{q \rightarrow qg} = \hat{P}_{\bar{q} \rightarrow \bar{q}g} = C_F \left[ \frac{1+z^2}{1-z} \right]$$

$$\hat{P}_{gq}(z) = \hat{P}_{q \rightarrow gq} = \hat{P}_{\bar{q} \rightarrow g\bar{q}} = C_F \left[ \frac{1+(1-z)^2}{z} \right]$$



$$\hat{P}_{gg}(z) = \hat{P}_{g \rightarrow gg} = C_A \left[ \frac{z}{(1-z)} + \frac{1-z}{z} + z(1-z) \right]$$

$$\hat{P}_{qg}(z) = \hat{P}_{g \rightarrow q\bar{q}} = T_R [z^2 + (1-z)^2]$$

$$T_R = \frac{1}{2}$$

$$C_A = N_c = 3$$

$$C_F = \frac{N_c^2 - 1}{2N_c} = \frac{4}{3}$$

# Evolution of the shower

- Splitting function rules can be combined to allow successive emissions in several steps:

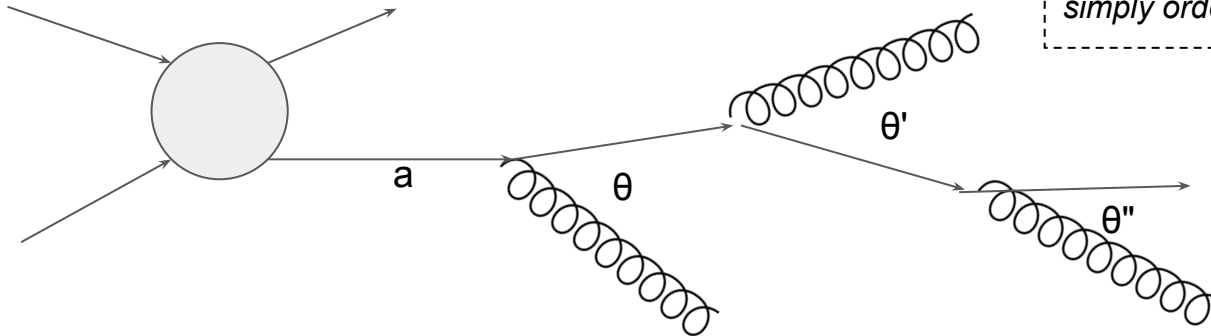
$$d\sigma_{n+1} = d\sigma_n \frac{dt}{t} dz \frac{\alpha_s}{2\pi} \hat{P}_{qq}(z)$$

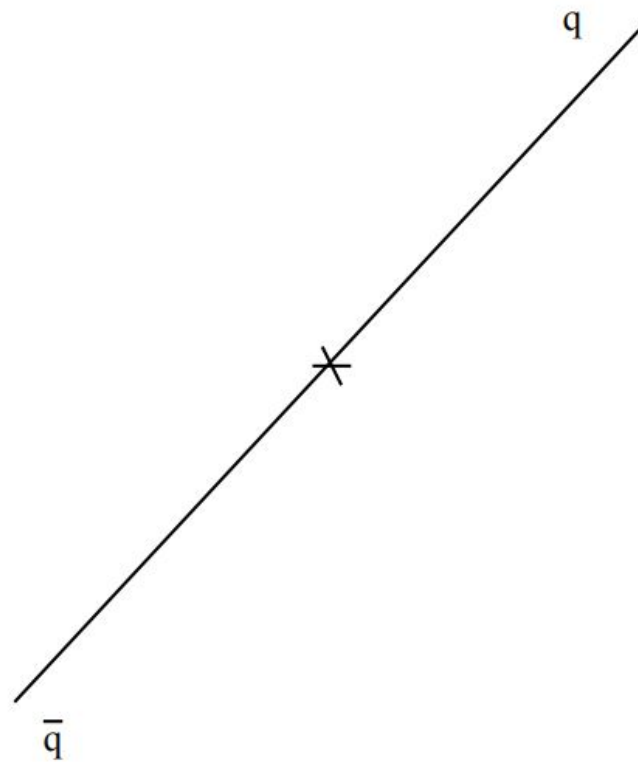
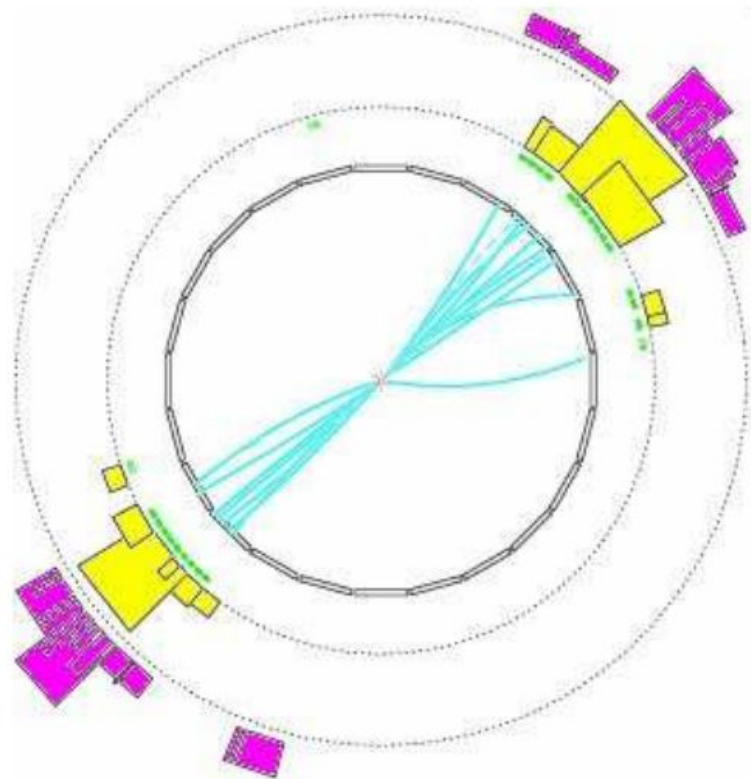
$$d\sigma_{n+2} = d\sigma_{n+1} \frac{dt'}{t'} dz' \frac{\alpha_s}{2\pi} \hat{P}_{qq}(z')$$

...

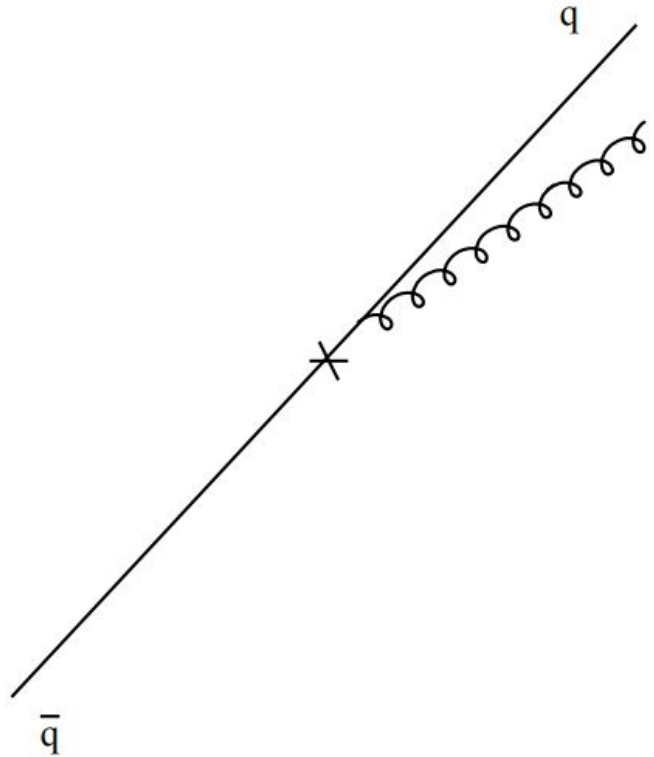
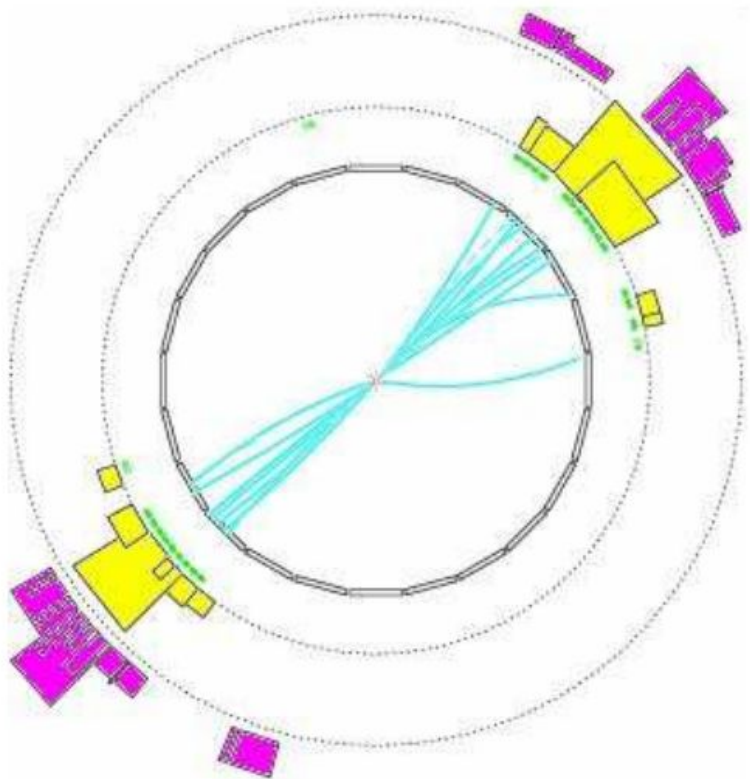
*In the collinear limit the cross section factorizes. The splitting can be iterated.*

**Note:** picture reliable in case of strongly ordered showers  $Q^2_1 \gg Q^2_2 \gg Q^2_3$  but meaningful approximate answer also for simply ordered showers  $Q^2_1 > Q^2_2 > Q^2_3$

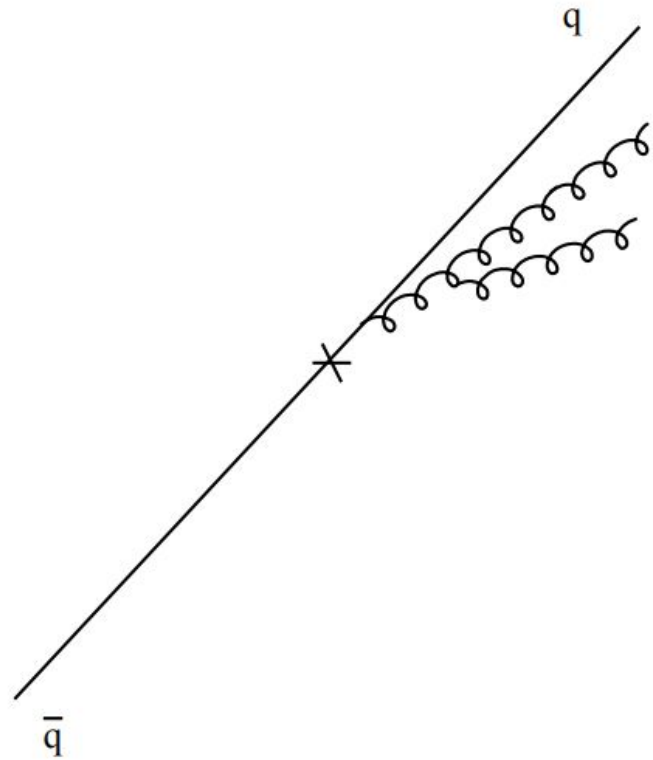
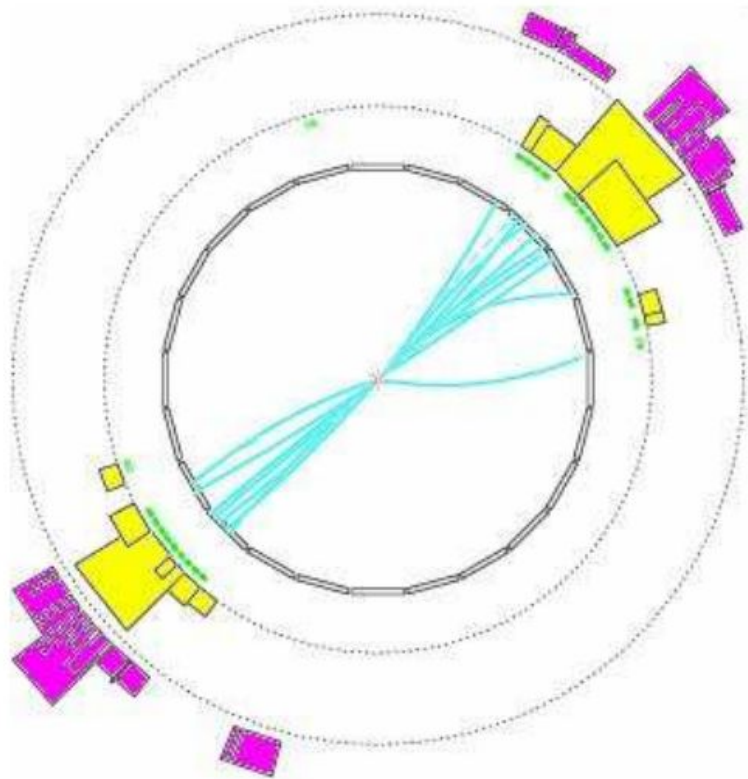




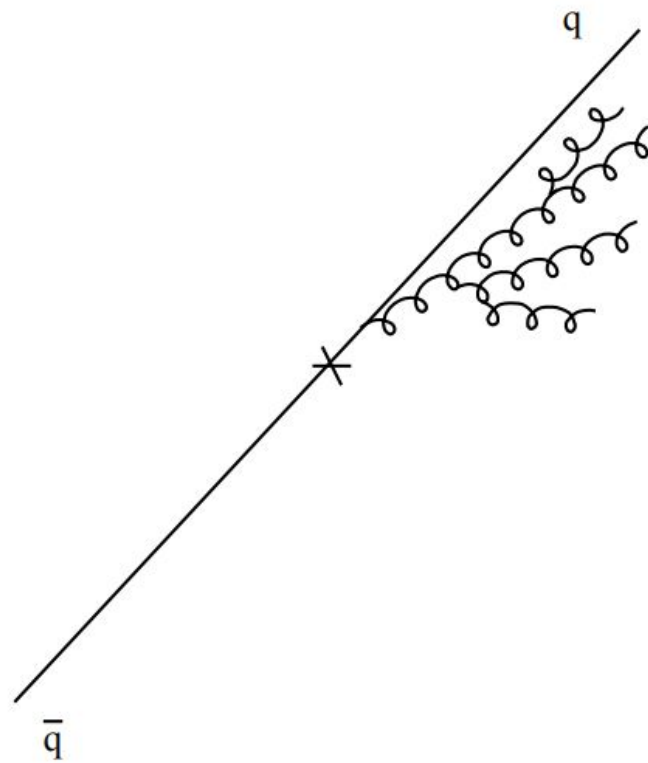
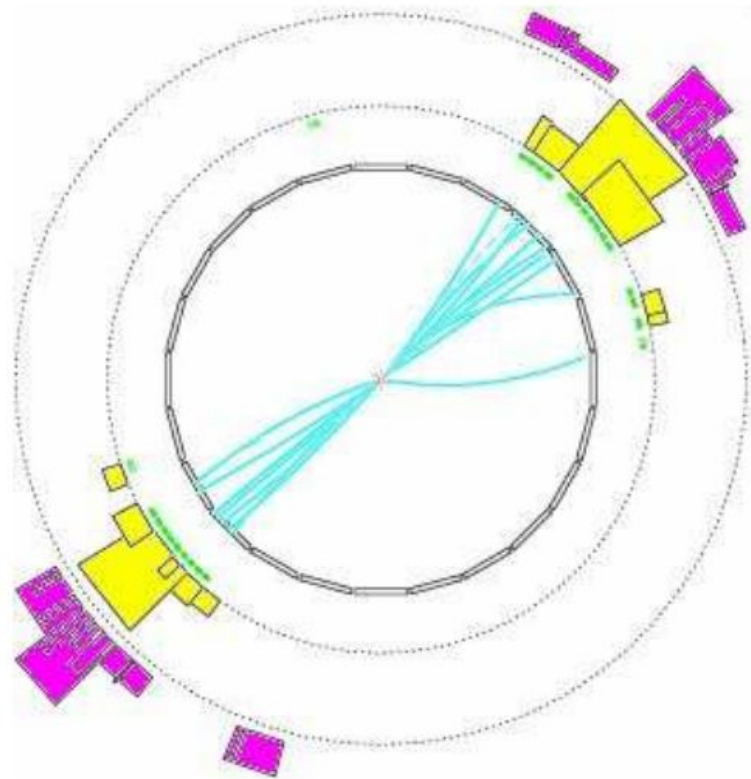
**Start of with  $q\bar{q}$**



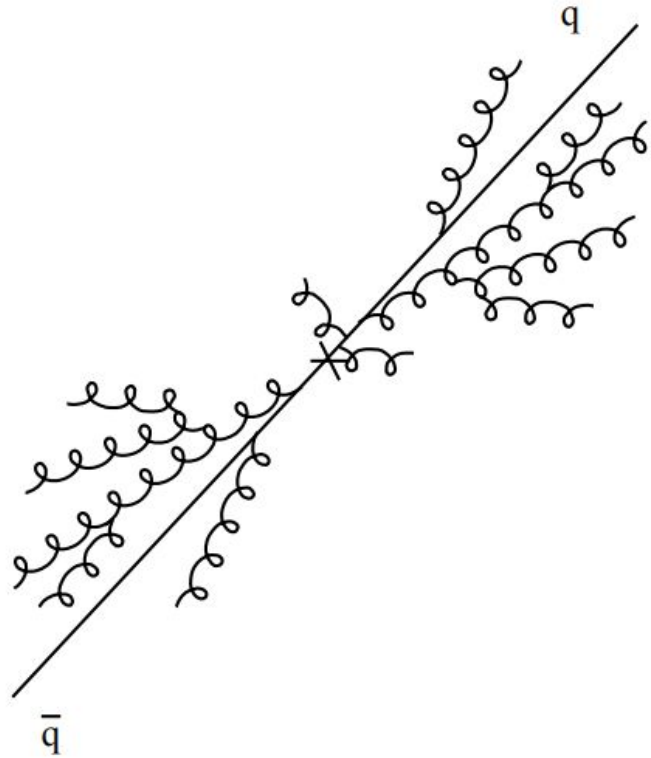
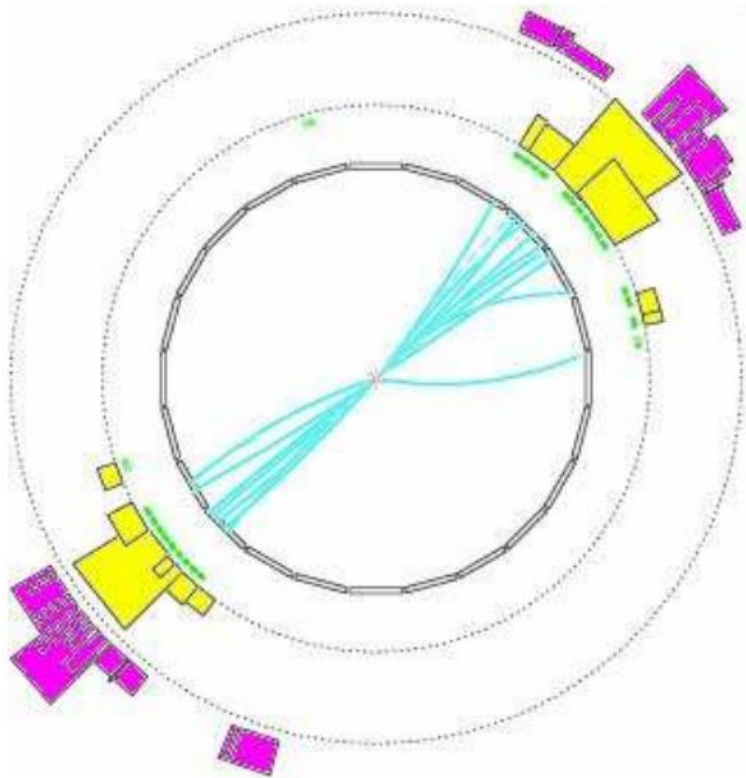
**A gluon gets emitted at small angles**



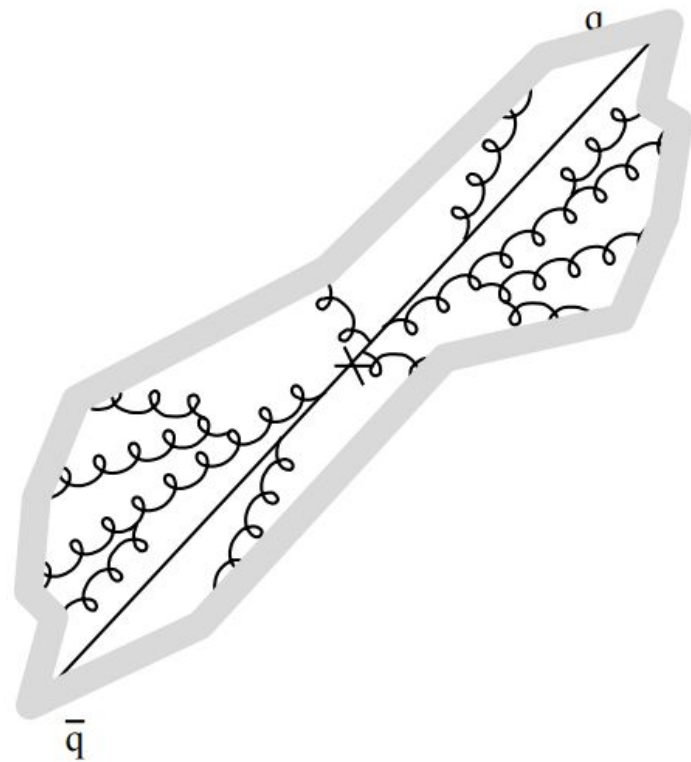
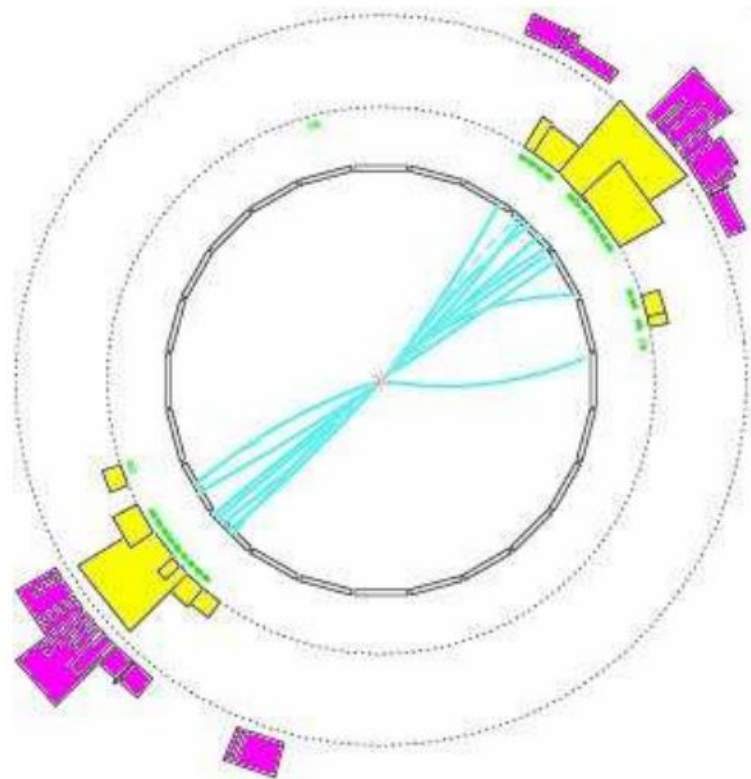
**It radiates a further gluon**



**And so forth**

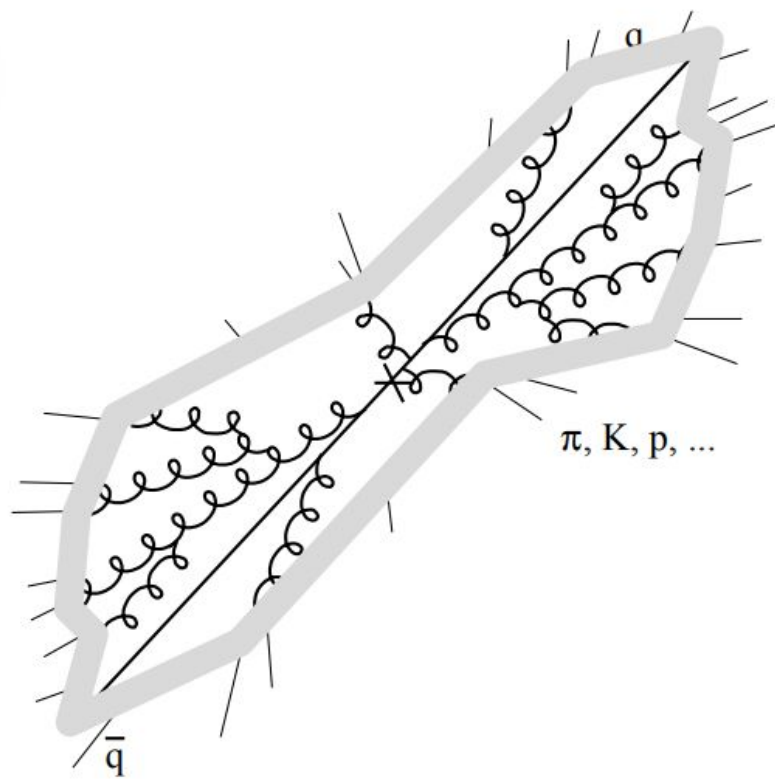
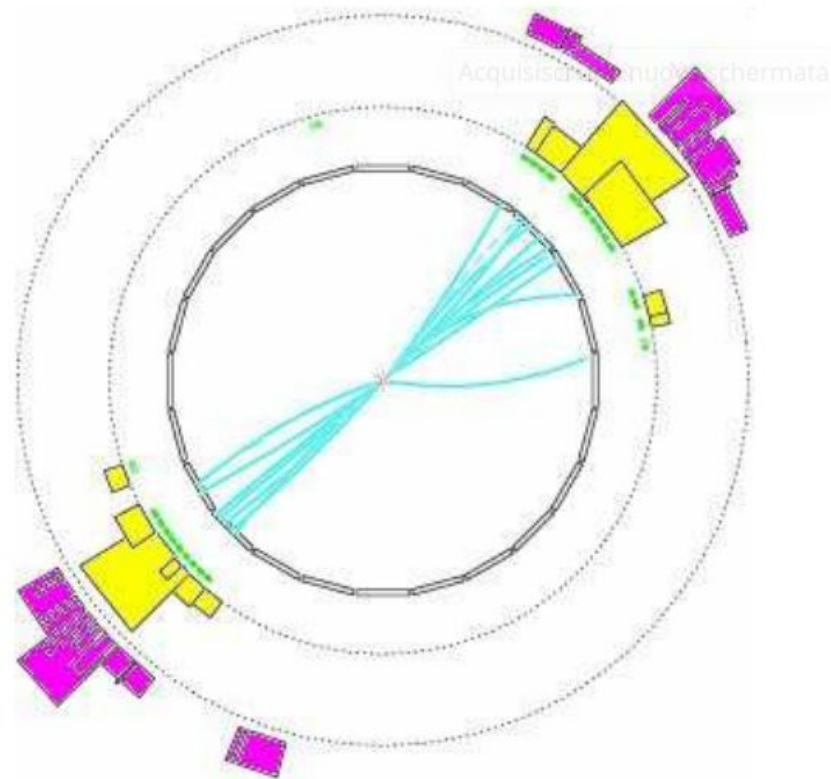


**Meanwhile the same happened on other side of event**



**And then a non-perturbative transition occurs**





**Giving a pattern of hadrons that “remembers” the gluon branching**  
 Hadrons mostly produced at small angle wrt  $q\bar{q}$  directions or with low energy

# Cut-off

- Consider  $\sigma(q\bar{q}g)$  expression obtained before
  - can add a cut-off into integral to avoid divergence:

$$\frac{2\alpha_s C_F}{\pi} \int^Q \frac{dE}{E} \int^{\pi/2} \frac{d\theta}{\theta} \Theta(E\theta > Q_0)$$

step function:  
0 if  $E\theta < Q_0$   
1 otherwise

- Interpreted as cross-section of  $q\bar{q}$  production plus gluon with  $E\theta > Q_0$ 
  - natural choice  $Q_0 = \Lambda_{\text{QCD}}$  (below this value language of quarks and gluons becomes meaningless)
- In this way can perform integration and get expression for mean number of gluons emitted:

$$\langle N_g \rangle \simeq \frac{\alpha_s C_F}{\pi} \ln^2 \frac{Q}{Q_0} + \mathcal{O}(\alpha_s \ln Q)$$

# Cut-off and mean gluon multiplicity

- Setting  $Q_0 = \Lambda_{\text{QCD}}$  and  $\alpha_S(Q) = \frac{1}{2b \cdot \ln \frac{Q}{\Lambda_{\text{QCD}}}}$

$$\langle N_g \rangle \simeq \frac{\alpha_s C_F}{\pi} \ln^2 \frac{Q}{\Lambda_{\text{QCD}}} \rightarrow \frac{C_F}{2b\pi} \ln \frac{Q}{\Lambda_{\text{QCD}}}$$

- putting in some numbers:

$$Q = 100 \text{ GeV}, \Lambda_{\text{QCD}} \approx 0.2 \text{ GeV}, C_F = 4/3, b \approx 0.6$$

$$\langle N_g \rangle \simeq 2.2$$

# Cut-off and mean gluon multiplicity

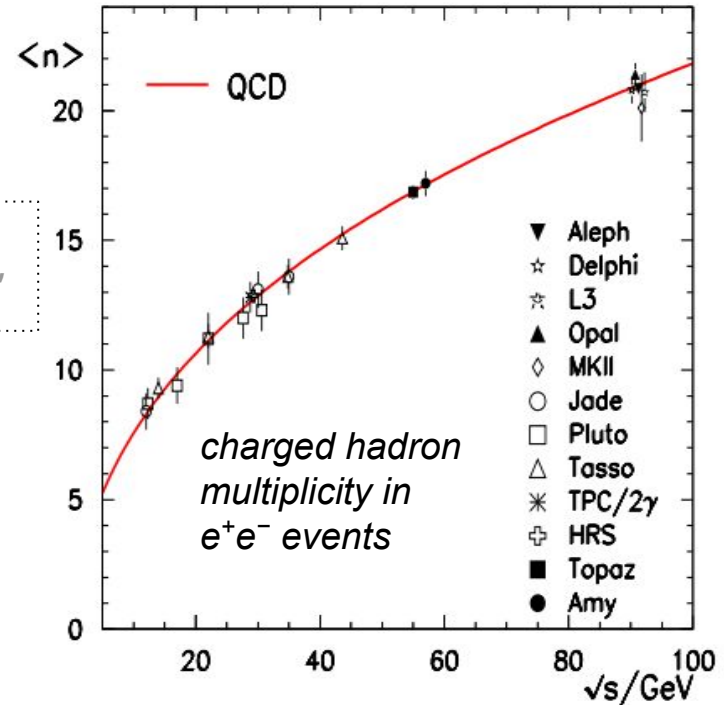
- It turns out you can calculate the gluon multiplicity analytically, by summing all orders ( $n$ ) of perturbation theory:

$$\langle N_g \rangle \sim \sum_n \frac{1}{(n!)^2} \left( \frac{C_A}{\pi b} \ln \frac{Q}{\Lambda} \right)^n$$

$$\sim \exp \sqrt{\frac{4C_A}{\pi b} \ln \frac{Q}{\Lambda}}$$

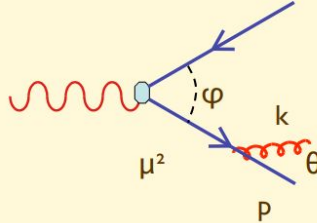
example of  
"resummation"

- compare with hadron multiplicity (assuming hadron pattern remembers gluon one)
- $Q = \sqrt{s}$



# Angular ordering

- Essentially tells that:
  - $\theta > \theta' > \theta'' \dots$
- Intuitive explanation



Lifetime of the virtual intermediate state:

$$\tau < \gamma/\mu = E/\mu^2 = 1 / (k_0 \theta^2) = 1 / (k_{\perp} \theta)$$

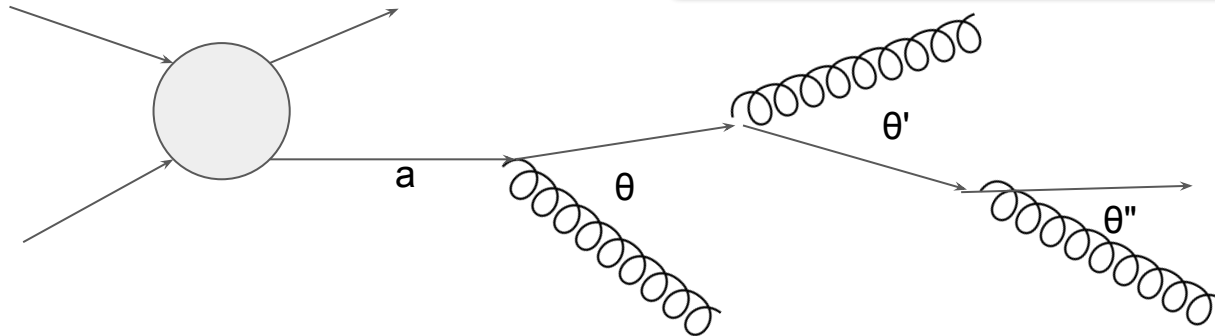
Distance between  $q$  and  $qbar$  after  $\tau$ :

$$d = \varphi \tau = (\varphi/\theta) 1/k_{\perp}$$

$\mu^2 = (p+k)^2 = 2E k_0 (1-\cos\theta)$   
 $\sim E k_0 \theta^2 \sim E k_{\perp} \theta$

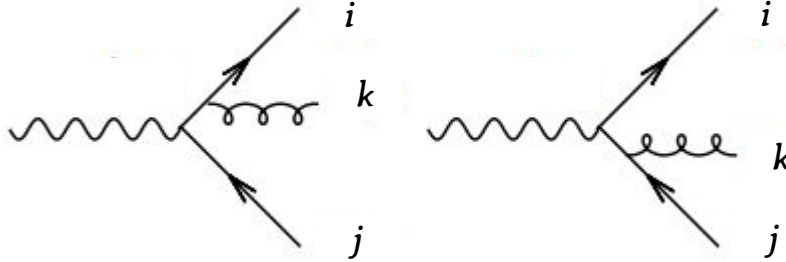
If the transverse wavelength of the emitted gluon is longer than the separation between  $q$  and  $qbar$ , the gluon emission is suppressed, because the  $q$   $qbar$  system will appear as colour neutral ( $\Rightarrow$  dipole-like emission, suppressed)

Therefore  $d > 1/k_{\perp}$ , which implies  $\theta < \varphi$



# Angular ordering - (part of) the formal proof

- Consider:



(see backup)

each containing only collider divergence along i or j



$$dS \simeq E dE \frac{d\phi}{2\pi} d\cos\theta \cdot \frac{2\alpha_S C_F}{\pi} \frac{2p_i \cdot p_j}{(2p_i \cdot p_k)(2p_j \cdot p_k)}$$

$$= \frac{dE}{E} \frac{d\phi}{2\pi} d\cos\theta \cdot \frac{\alpha_S C_F}{\pi} \frac{(1 - \cos\theta_{ij})}{(1 - \cos\theta_{ik})(1 - \cos\theta_{jk})}$$

$$\begin{aligned} p_i \cdot p_j &= E_i E_j - \vec{p}_i \cdot \vec{p}_j \\ &= E_i E_j (1 - \cos\theta_{ij}) \end{aligned}$$

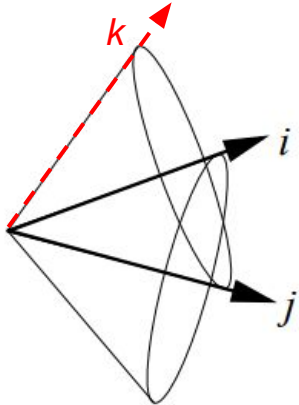
$$W_{ij}^i + W_{ij}^j \quad \text{with:}$$

$$\begin{aligned} W_{ij}^i &= \frac{1}{2} \left[ \frac{(\cos\theta_{ik} - \cos\theta_{ij})}{(1 - \cos\theta_{ik})(1 - \cos\theta_{jk})} + \frac{1}{1 - \cos\theta_{ik}} \right] \\ W_{ij}^j &= \frac{1}{2} \left[ \frac{(\cos\theta_{jk} - \cos\theta_{ij})}{(1 - \cos\theta_{ik})(1 - \cos\theta_{jk})} + \frac{1}{1 - \cos\theta_{jk}} \right] \end{aligned}$$

(see backup)

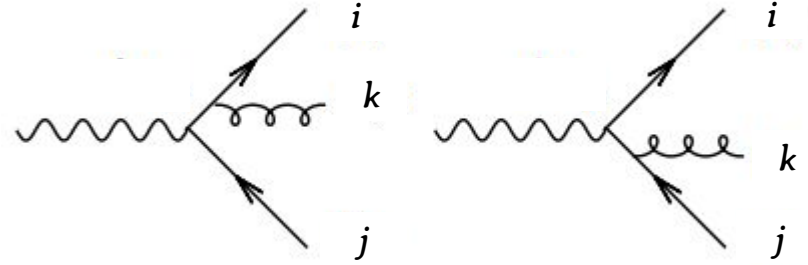
# Angular ordering

- Can consider that each  $W_{ij}^i$  or  $W_{ij}^j$  describes emission from leg  $i$  or  $j$
- Consider  $W_{ij}^i$ :
  - can proof that integrating over  $\phi_k$  gives:



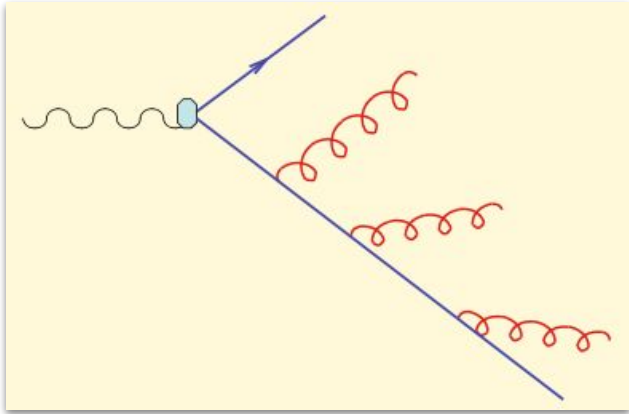
$$\int_0^{2\pi} \frac{d\phi_{ik}}{2\pi} W_{ij}^i = \begin{cases} \frac{1}{1 - \cos \theta_{ik}} & \text{if } \theta_{ik} < \theta_{ij} \\ 0 & \text{otherwise} \end{cases}$$

Further branchings will obey angular ordering relative to the new angles

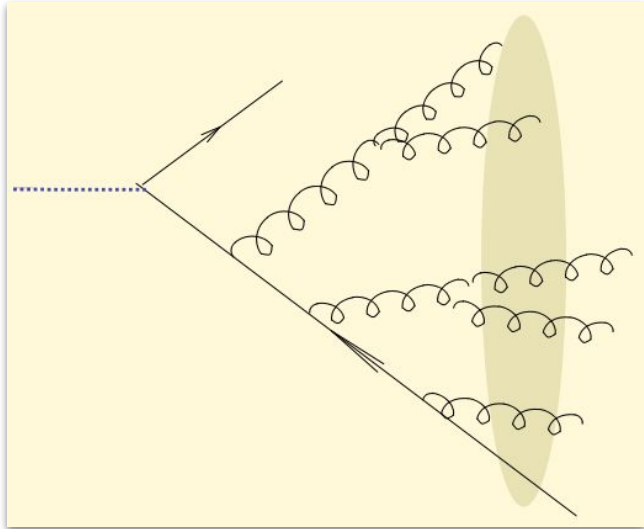


$$W_{ij}^i = \frac{1}{2} \left[ \frac{(\cos \theta_{ik} - \cos \theta_{ij})}{(1 - \cos \theta_{ik})(1 - \cos \theta_{jk})} + \frac{1}{1 - \cos \theta_{ik}} \right]$$

$$W_{ij}^j = \frac{1}{2} \left[ \frac{(\cos \theta_{jk} - \cos \theta_{ij})}{(1 - \cos \theta_{ik})(1 - \cos \theta_{jk})} + \frac{1}{1 - \cos \theta_{jk}} \right]$$



- Construction can be iterated to next emission:
  - angles keep getting smaller and smaller
  - jet structure



- Total colour charge of the system  
= quark colour charge

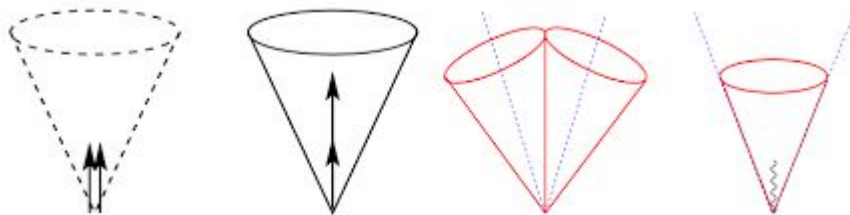


# IR safety and factorization

- Complications:
  1. didn't consider yet  $\alpha_s$  running: at low enough energy  $Q^2 < \Lambda_{\text{QCD}}^2$  (soft emission) perturbation theory not valid anymore  $\Rightarrow$  even stronger divergence, non perturbative effects (hadronization)
  2. total cross-section not affected by soft gluon emission, ok
    - but what if one wants to calculate explicitly  $\sigma(e^+e^- \rightarrow q\bar{q}g)$ ?
- Distinguish between:
  1. infrared-safe quantities: insensitive to soft or collinear branching (e.g.  $\sigma(e^+e^- \rightarrow q\bar{q}+X)$ )
    - determined by hard / short-distance physics
    - long-distance effects  $\rightarrow$  corrections suppressed by inverse powers of energy scale
  2. factorisable quantities: infrared divergent part absorbed into overall non-perturbative factor(s)

# IR-safe jet algorithms

- Things like  $\sigma(qq+g)$  are not IR-safe
  - but can define "jets" instead of partons, in a IR-safe way
- Jet algorithms should:
  - allow to use common language between theory and experiments
  - be applicable to partons, particles and signals in particle detectors (energy deposits in calorimeters, charged particle tracks...)
  - be IR-safe:



# IR-safe jet algorithms

- Idea: follow in "reverse order" the development of a jet via sequential parton branchings:
  - angular ordering, soft / collinear branching more and more likely

$$[dk_j] |M_{g \rightarrow g_i g_j}|^2 = \frac{2\alpha_s C_A}{\pi} \frac{dE_i}{E_i E_j} \frac{d\theta_{ij}}{\theta_{ij}} \quad E_j \ll E_i, \theta_{ij} \ll 1$$

- to invert branching process, take pair "closest" in metric defined by the divergence structure

**Example:**  
 **$k_T$ /Durham**  
**algorithm**

1. Calculate (or update) distances between all (pseudo-)particles  $i$  and  $j$ , (related to the relative  $k_T$  between the particles)

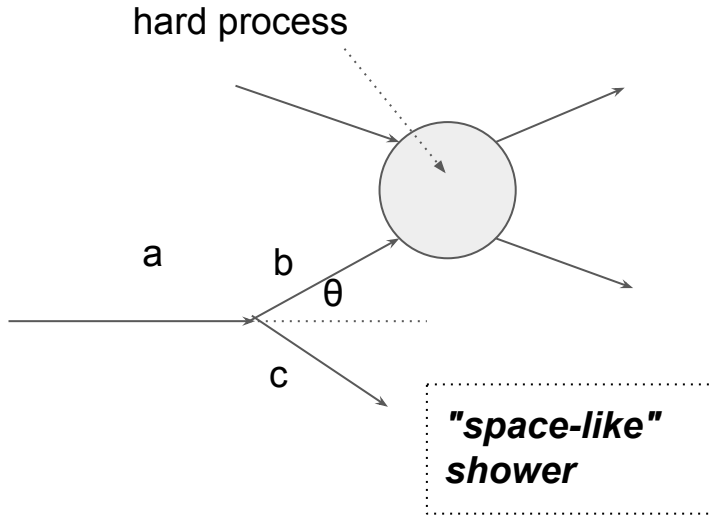
$$y_{ij} = 2\min(E_i^2, E_j^2)(1 - \cos \theta_{ij})$$

2. Find smallest of  $y_{ij}$ 
  - ★ If  $y > y_{cut}$  stop clustering
  - ★ else recombine  $i$  and  $j$  and repeat from step 1

# Initial State Radiation

# Initial-state radiation

- Consider now hadron collisions (or DIS)
- Incoming parton can radiate in the same way as outgoing parton



- Propagator factor

(massless partons):  $\frac{1}{p_b^2}$

- and we can write:

$$p_b^2 = (p_a - p_c)^2 = -2E_a E_c (1 - \cos \theta) \leq 0$$

soft collinear  
divergence divergence

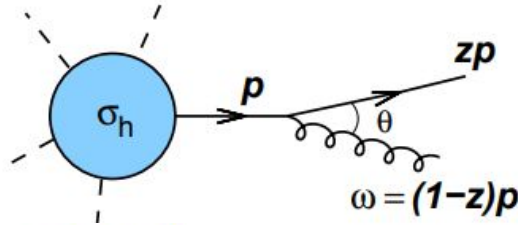
# Initial vs. final state radiation

$$* : \left( dS = \frac{2\alpha_s C_F}{\pi} \frac{dE}{E} \frac{d\theta}{\sin\theta} \frac{d\phi}{2\pi} \rightarrow 1 \text{ integrating over } \phi \right)$$

$\sim d\theta/\theta = \frac{1}{2} d\theta^2/\theta^2$

- Final-state radiation:

Real emission

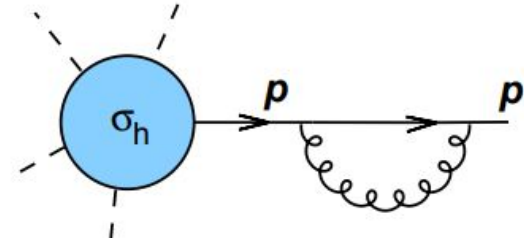


$$* \sigma_{h+g} \simeq \sigma_h \frac{\alpha_s C_F}{\pi} \frac{dE}{E} \frac{d\theta^2}{\theta^2}$$

$$E = (1-z)p$$

$$k_t = E \sin\theta \simeq E\theta$$

$$\sigma_{h+g} \simeq \sigma_h \frac{\alpha_s C_F}{\pi} \frac{dz}{1-z} \frac{dk_t^2}{k_t^2}$$



Virtual correction

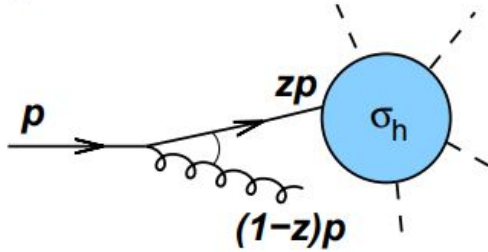
$$\sigma_{h+V} \simeq -\sigma_h \frac{\alpha_s C_F}{\pi} \frac{dz}{1-z} \frac{dk_t^2}{k_t^2}$$

*perfect cancellation in both  
soft and collinear limits*

# Initial vs. final state radiation

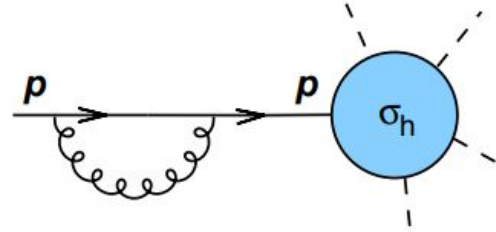
- Initial-state radiation:

Real emission



*incoming parton  
with reduced  
momentum*

$$\sigma_{g+h}(p) \simeq \sigma_h(zp) \frac{\alpha_s C_F}{\pi} \frac{dz}{1-z} \frac{dk_t^2}{k_t^2}$$



Virtual correction

$$\sigma_{V+h}(p) \simeq -\sigma_h(p) \frac{\alpha_s C_F}{\pi} \frac{dz}{1-z} \frac{dk_t^2}{k_t^2}$$

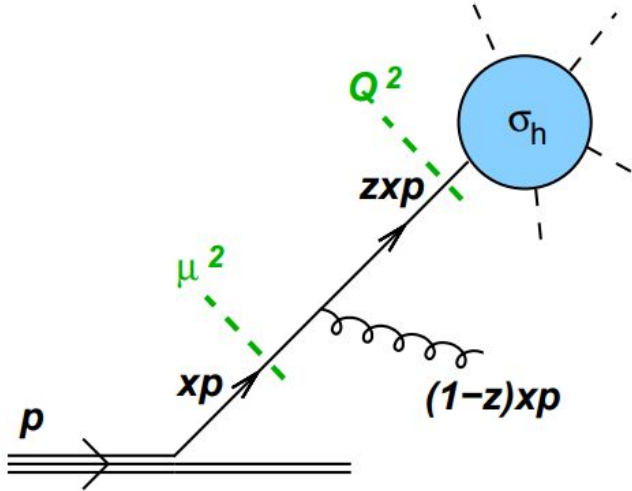
# Initial vs. final state radiation

$$\sigma_{g+h} + \sigma_{V+h} \simeq \frac{\alpha_s C_F}{\pi} \underbrace{\int_0^{Q^2} \frac{dk_t^2}{k_t^2}}_{\text{infinite}} \underbrace{\int \frac{dz}{1-z} [\sigma_h(zp) - \sigma_h(p)]}_{\text{finite}}$$

- soft limit ( $z \rightarrow 1$ ),  $\sigma_h(zp) - \sigma_h(p) \rightarrow 0 \Rightarrow$  soft divergence cancels
- for  $1 - z \neq 0$ ,  $\sigma_h(zp) - \sigma_h(p) \neq 0 \Rightarrow$   $z$  integral is non-zero but finite
  - $k_t$  integral is infinite
- This is a collinear ( $k_t \rightarrow 0$ ) divergence.
  - i.e. cross section with incoming parton is not collinear safe...



# Collinear cutoff and PDFs



- For  $kt \rightarrow 0$  QCD becomes non-perturbative
- Cut out divergent region and add non-perturbative quark distribution is proton (PDF)

$$\sigma_0 = \int dx \sigma_h(xp) q(x, \mu^2)$$

proton momentum fraction carried by quark

factorisation scale

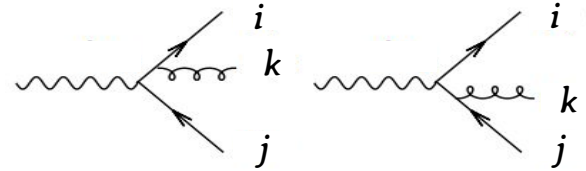
$$\sigma_1 \simeq \frac{\alpha_s C_F}{\pi} \underbrace{\int_{\mu^2}^{Q^2} \frac{dk_t^2}{k_t^2}}_{\text{finite (large)}} \underbrace{\int \frac{dx dz}{1-z} [\sigma_h(zxp) - \sigma_h(xp)] q(x, \mu^2)}_{\text{finite}}$$

# Backup

# $W_{ij}^{i/j}$ function properties

$$W_{ij}^i = \frac{1}{2} \left[ \frac{(\cos \theta_{ik} - \cos \theta_{ij})}{(1 - \cos \theta_{ik})(1 - \cos \theta_{jk})} + \frac{1}{1 - \cos \theta_{ik}} \right]$$

$$W_{ij}^j = \frac{1}{2} \left[ \frac{(\cos \theta_{jk} - \cos \theta_{ij})}{(1 - \cos \theta_{ik})(1 - \cos \theta_{jk})} + \frac{1}{1 - \cos \theta_{jk}} \right]$$



$$W_{ij}^i + W_{ij}^j = \frac{1}{2} \left[ \frac{(\cancel{\cos \theta_{ik}} - \cos \theta_{ij}) + (\cancel{\cos \theta_{jk}} - \cos \theta_{ij}) + (1 - \cancel{\cos \theta_{jk}}) + (1 - \cancel{\cos \theta_{ik}})}{(1 - \cos \theta_{ik})(1 - \cos \theta_{jk})} \right]$$

$$= \frac{1 - \cos \theta_{ij}}{(1 - \cos \theta_{ik})(1 - \cos \theta_{jk})}$$

$$\lim_{\theta_{ik} \rightarrow 0} W_{ij}^i = \frac{1}{2} \left[ \frac{1 - \cos \theta_{ij}}{\underset{\rightarrow 0}{(1-1)}(1 - \cos \theta_{ij})} + \frac{1}{\underset{\rightarrow 0}{1-1}} \right] = \infty$$

$$\lim_{\theta_{ik} \rightarrow 0} W_{ij}^j = \frac{1}{2} \left[ \frac{\cancel{\cos \theta_{ij}} - \cancel{\cos \theta_{ij}}}{\underset{\rightarrow 1}{(1-1)}(1 - \cos \theta_{ij})} + \frac{1}{1 - \cos \theta_{ij}} \right] \neq \infty$$

$$\lim_{\theta_{jk} \rightarrow 0} W_{ij}^i = \frac{1}{2} \left[ \frac{\cancel{\cos \theta_{ij}} - \cos \theta_{ij}}{(1 - \cos \theta_{ij})(\underset{\rightarrow 1}{1-1})} + \frac{1}{1 - \cos \theta_{ij}} \right] \neq \infty$$

$$\lim_{\theta_{jk} \rightarrow 0} W_{ij}^j = \frac{1}{2} \left[ \frac{1 - \cos \theta_{ij}}{(1 - \cos \theta_{ij})(\underset{\rightarrow 0}{1-1})} + \frac{1}{\underset{\rightarrow 0}{1-1}} \right] = \infty$$

# References

- Gavin Salam's lectures:  
<https://gsalam.web.cern.ch/gsalam/teaching/PhD-courses.html>
- LHC Physics - lectures at SUSSP65 Summer School  
<https://www.taylorfrancis.com/books/edit/10.1201/b11865/lhc-physics-buttar-clark-binoth-glover>
  - Perturbative QCD and the parton model - Keith Ellis
  - Monte Carlo tools - Torbjörn Sjöstrand
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