### Announcements

- Project 1 is due Thursday, April 6th, 11:59 PM PT
- Please follow the announcements at the Teams chat, the class times will have some changes in the coming weeks

## 272SM: Introduction to Artificial Intelligence

### **Constraint Satisfaction Problems**





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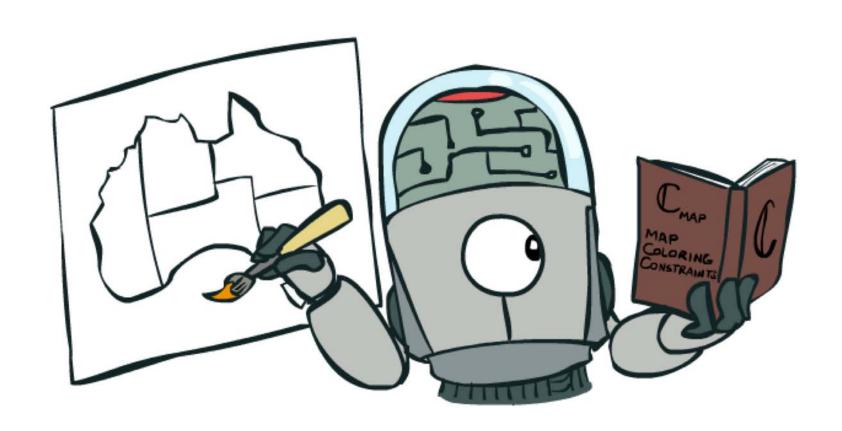
## What is Search For?

 Assumptions about the world: a single agent, deterministic actions, fully observed state, discrete state space

- Planning: sequences of actions
  - The path to the goal is the important thing
  - Paths have various costs, depths
  - Heuristics give problem-specific guidance
- Identification: assignments to variables
  - The goal itself is important, not the path
  - All paths at the same depth (for some formulations)
  - CSPs are a specialized class of identification problems

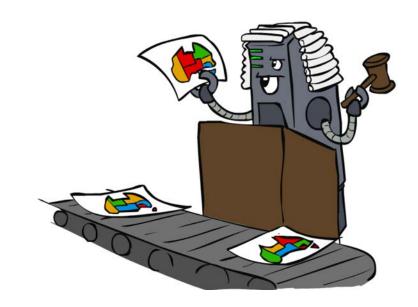


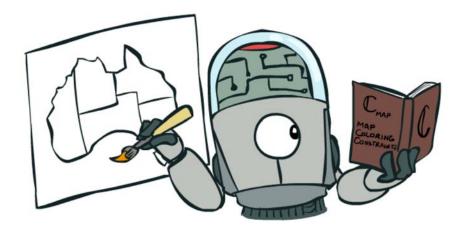
## **Constraint Satisfaction Problems**



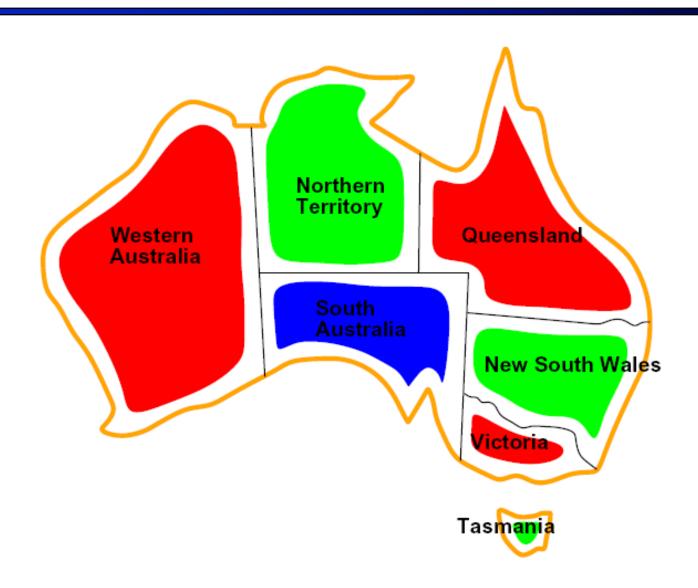
### **Constraint Satisfaction Problems**

- Standard search problems:
  - State is a "black box": arbitrary data structure
  - Goal test can be any function over states
  - Successor function can also be anything
- Constraint satisfaction problems (CSPs):
  - A special subset of search problems
  - State is defined by variables  $X_i$  with values from a domain D (sometimes D depends on i)
  - Goal test is a set of constraints specifying allowable combinations of values for subsets of variables
- Simple example of a formal representation language
- Allows useful general-purpose algorithms with more power than standard search algorithms





# **CSP Examples**



## **Example: Map Coloring**

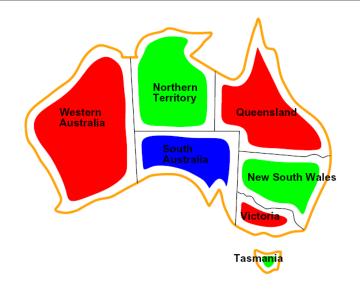
- Variables: WA, NT, Q, NSW, V, SA, T
- Domains: D = {red, green, blue}
- Constraints: adjacent regions must have different colors

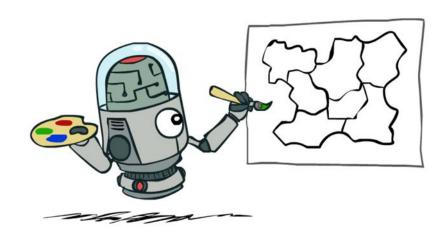
Implicit:  $WA \neq NT$ 

Explicit:  $(WA, NT) \in \{(red, green), (red, blue), \ldots\}$ 

Solutions are assignments satisfying all constraints, e.g.:

{WA=red, NT=green, Q=red, NSW=green, V=red, SA=blue, T=green}





## Example: Map Coloring

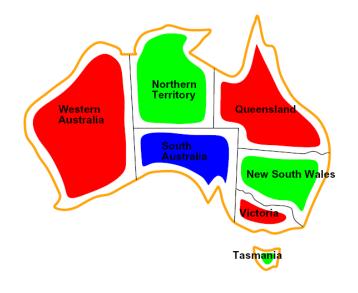
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Solutions are assignments satisfying all constraints, e.g.:

```
{WA=red, NT=green, Q=red, NSW=green, V=red, SA=blue, T=green}
```



How many solutions with 3 colors?

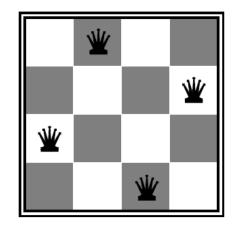
## Example: N-Queens

### • Formulation 1:

• Variables:  $X_{ij}$ 

■ Domains: {0,1}

Constraints





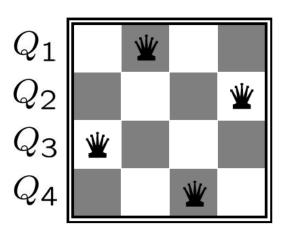
$$\forall i, j, k \ (X_{ij}, X_{ik}) \in \{(0,0), (0,1), (1,0)\}$$
  
 $\forall i, j, k \ (X_{ij}, X_{kj}) \in \{(0,0), (0,1), (1,0)\}$   
 $\forall i, j, k \ (X_{ij}, X_{i+k,j+k}) \in \{(0,0), (0,1), (1,0)\}$   
 $\forall i, j, k \ (X_{ij}, X_{i+k,j-k}) \in \{(0,0), (0,1), (1,0)\}$ 

$$\sum_{i,j} X_{ij} = N$$

## Example: N-Queens

### Formulation 2:

- Variables:  $Q_k$
- Domains:  $\{1, 2, 3, ... N\}$



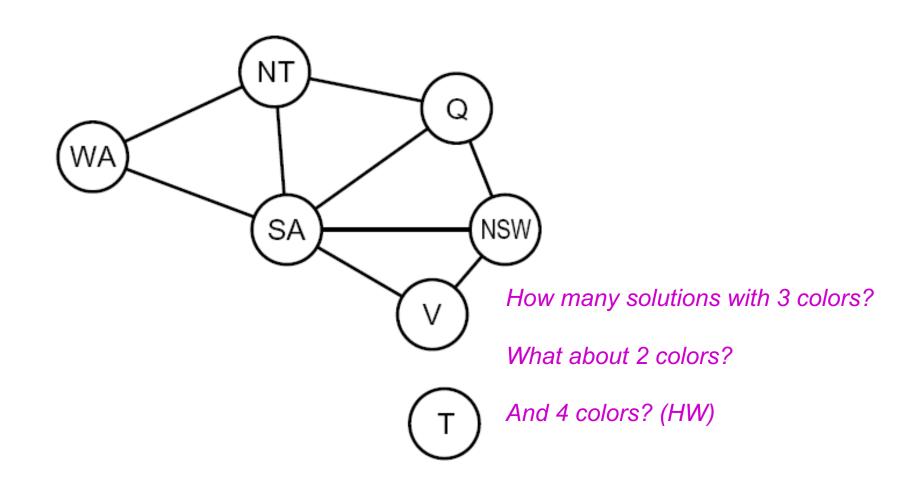
### Constraints:

Implicit:  $\forall i, j$  non-threatening $(Q_i, Q_j)$ 

Explicit:  $(Q_1, Q_2) \in \{(1,3), (1,4), \ldots\}$ 

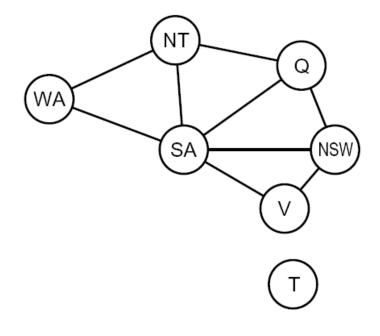
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## **Constraint Graphs**



## **Constraint Graphs**

- Binary CSP: each constraint relates (at most) two variables
- Binary constraint graph: nodes are variables, arcs show constraints
- General-purpose CSP algorithms use the graph structure to speed up search. E.g., Tasmania is an independent subproblem!



## Example: Cryptarithmetic

### Variables:

$$F T U W R O X_1 X_2 X_3$$

Domains:

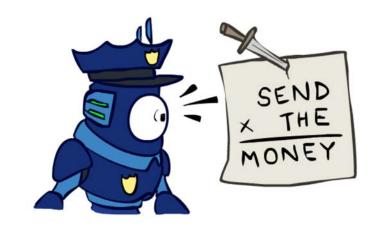
$$\{0, 1, 2, 3, 4, 5, 6, 7, 8, 9\}$$

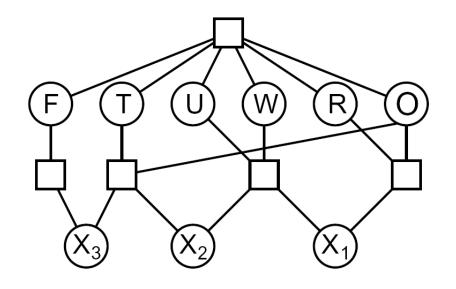
Constraints:

$$\operatorname{alldiff}(F, T, U, W, R, O)$$

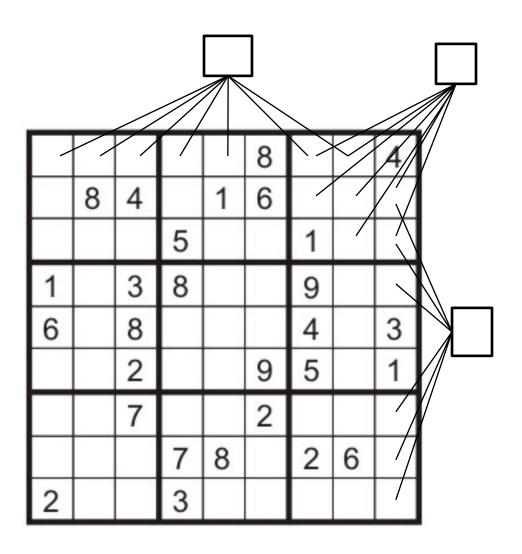
$$O + O = R + 10 \cdot X_1$$

• • •





## Example: Sudoku



- Variables:
  - Each (open) square
- Domains:
  - **•** {1,2,...,9}
- Constraints:

9-way alldiff for each column

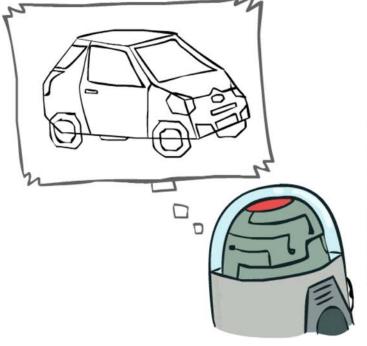
9-way alldiff for each row

9-way alldiff for each region

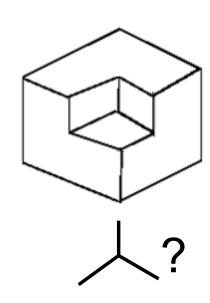
(or can have a bunch of pairwise inequality constraints)

## Example: The Waltz Algorithm

- The Waltz algorithm is for interpreting line drawings of solid polyhedra as 3D objects
- An early example of an Al computation posed as a CSP







#### Approach:

- Each intersection is a variable
- Adjacent intersections impose constraints on each other
- Solutions are physically realizable 3D interpretations

## Varieties of CSPs and Constraints



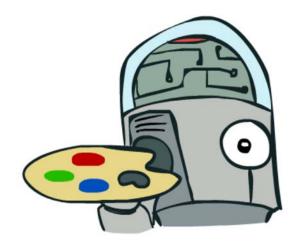
### Varieties of CSPs

#### Discrete Variables

- Finite domains
  - Size d means  $O(d^n)$  complete assignments
  - E.g., Boolean CSPs, including Boolean satisfiability (NP-complete)
- Infinite domains (integers, strings, etc.)
  - E.g., job scheduling, variables are start/end times for each job
  - Linear constraints solvable, nonlinear undecidable

#### Continuous variables

- E.g., start/end times for Hubble Telescope observations
- Linear constraints solvable in polynomial time by LP methods (a whole other course to take)





## **Varieties of Constraints**

#### Varieties of Constraints

Unary constraints involve a single variable (equivalent to reducing domains), e.g.:

$$SA \neq green$$

Binary constraints involve pairs of variables, e.g.:

$$SA \neq WA$$

Higher-order constraints involve 3 or more variables:
 e.g., cryptarithmetic column constraints

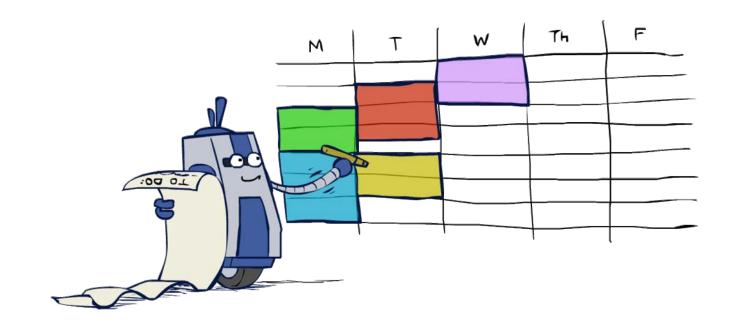


- E.g., red is better than green
- Often representable by a cost for each variable assignment
- Gives constrained optimization problems
- (also relevant in Bayes' nets)



## Real-World CSPs

- Scheduling problems: e.g., when can we go hiking on Sunday?
- Timetabling problems: e.g., which class is offered when and where?
- Assignment problems: e.g., who teaches what class
- Hardware configuration
- Transportation scheduling
- Factory scheduling
- Circuit layout
- Fault diagnosis
- ... lots more!



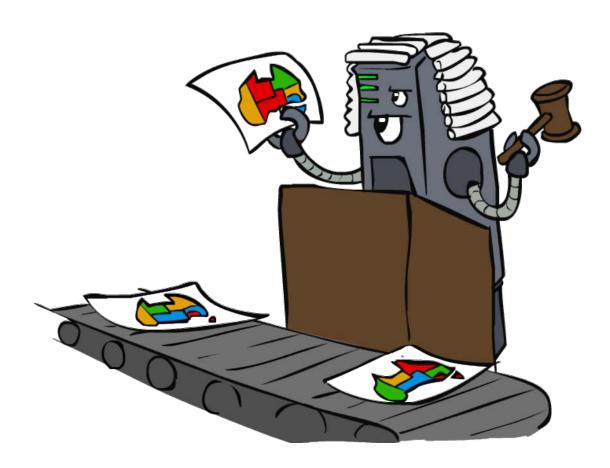
Many real-world problems involve real-valued variables...

# Solving CSPs



## Standard Search Formulation

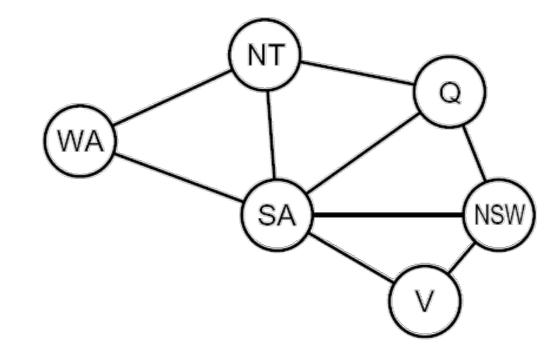
- Standard search formulation of CSPs
- States defined by the values assigned so far (partial assignments)
  - Initial state: the empty assignment, {}
  - Successor function: assign a value to an unassigned variable
  - Goal test: the current assignment is complete and satisfies all constraints
- We'll start with the straightforward, naïve approach, then improve it



## Search Methods

What would BFS do?

What would DFS do?

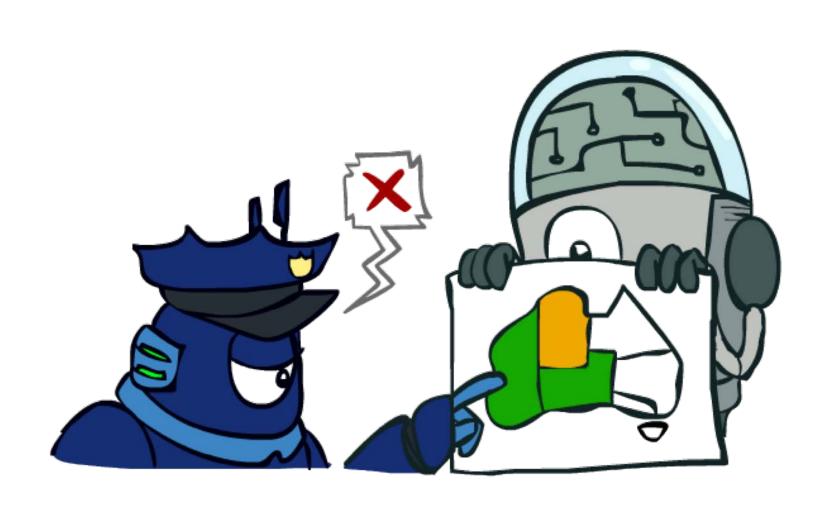


What problems does naïve search have?



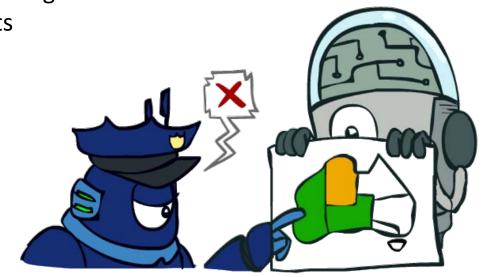
[Demo: coloring -- dfs]

# **Backtracking Search**

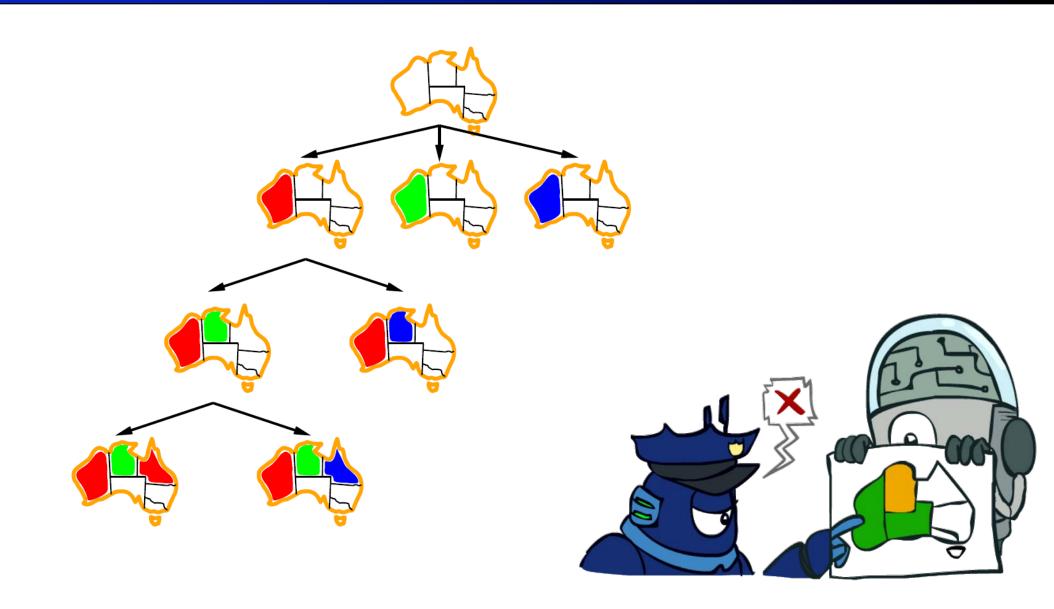


## **Backtracking Search**

- Backtracking search is the basic uninformed algorithm for solving CSPs
- Idea 1: One variable at a time
  - Variable assignments are commutative, so fix ordering
  - I.e., [WA = red then NT = green] same as [NT = green then WA = red]
  - Only need to consider assignments to a single variable at each step
- Idea 2: Check constraints as you go
  - I.e. consider only values which do not conflict with previous assignments
  - Might have to do some computation to check the constraints
  - "Incremental goal test"
- Depth-first search with these two improvements is called backtracking search (not the best name)
- Can solve n-queens for n ≈ 25



# **Backtracking Example**



## **Backtracking Search**

```
function Backtracking-Search(csp) returns solution/failure
  return Recursive-Backtracking({ }, csp)
function Recursive-Backtracking (assignment, csp) returns soln/failure
   if assignment is complete then return assignment
   var \leftarrow \text{Select-Unassigned-Variable}(\text{Variables}[csp], assignment, csp)
  for each value in Order-Domain-Values (var, assignment, csp) do
       if value is consistent with assignment given Constraints[csp] then
           add \{var = value\} to assignment
           result \leftarrow \text{Recursive-Backtracking}(assignment, csp)
           if result \neq failure then return result
           remove \{var = value\} from assignment
  return failure
```

Backtracking = DFS + variable-ordering + fail-on-violation

[Demo: coloring -- backtracking]

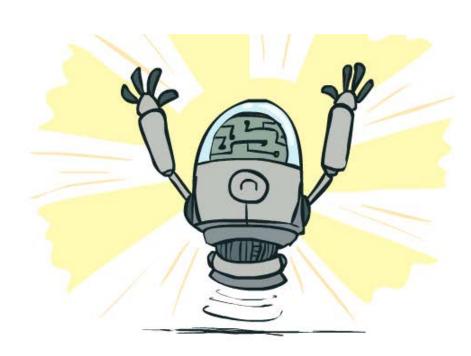
What are the choice points?

## Exercise: Backtracking

In a general constraint satisfaction problem with N binary-valued variables, what is the minimum, and the maximum number of times that backtracking search will backtrack, expressed in O() notation (i.e. O(1),O(n^2), etc.).

## Improving Backtracking

- General-purpose ideas give huge gains in speed
- Ordering:
  - Which variable should be assigned next?
  - In what order should its values be tried?
- Filtering: Can we detect inevitable failure early?
- Structure: Can we exploit the problem structure?

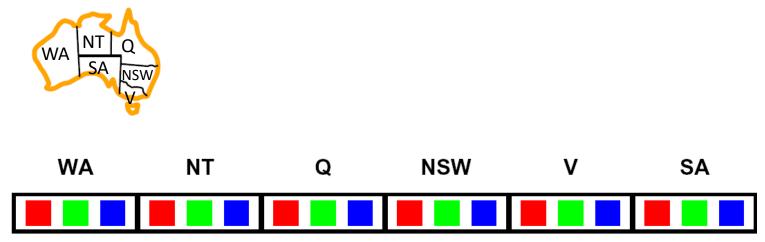


# Filtering



## Filtering: Forward Checking

- Filtering: Keep track of domains for unassigned variables and cross off bad options
- Forward checking: Cross off values that violate a constraint when added to the existing assignment



[Demo: coloring -- forward checking]

## Filtering: Constraint Propagation

 Forward checking propagates information from assigned to unassigned variables, but doesn't provide early detection for all failures:

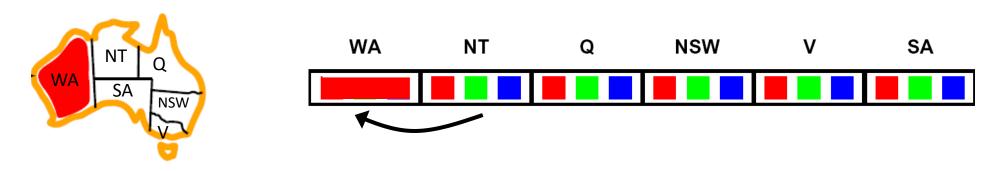




- NT and SA cannot both be blue!
- Why didn't we detect this yet?
- Constraint propagation: reason from constraint to constraint

## Consistency of A Single Arc

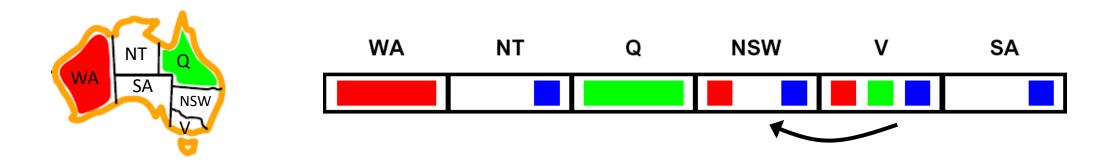
An arc X → Y is consistent iff for every x in the tail there is some y in the head which could be assigned without violating a constraint



- Tail = NT, head = WA
  - If NT = blue: we could assign WA = red
  - If NT = green: we could assign WA = red
  - If NT = red: there is no remaining assignment to WA that we can use
  - Deleting NT = red from the tail makes this arc consistent
- Forward checking: Enforcing consistency of arcs pointing to each new assignment

# Arc Consistency of an Entire CSP (1/6)

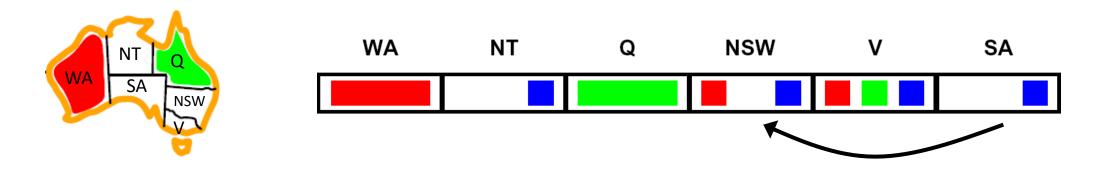
A simple form of propagation makes sure all arcs are consistent:



 Arc V to NSW is consistent: for every x in the tail there is some y in the head which could be assigned without violating a constraint

# Arc Consistency of an Entire CSP (2/6)

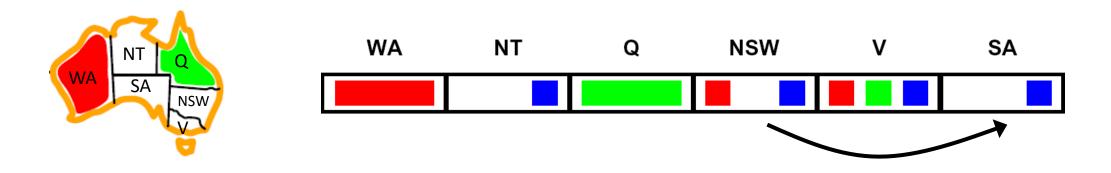
A simple form of propagation makes sure all arcs are consistent:



 Arc SA to NSW is consistent: for every x in the tail there is some y in the head which could be assigned without violating a constraint

# Arc Consistency of an Entire CSP (3/6)

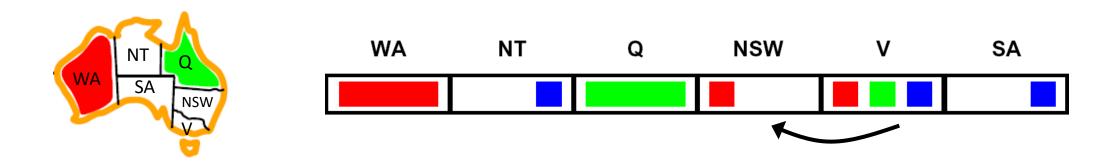
A simple form of propagation makes sure all arcs are consistent:



- Arc NSW to SA is not consistent: if we assign NSW = blue, there is no valid assignment left for SA
- To make this arc consistent, we delete NSW = blue from the tail

## Arc Consistency of an Entire CSP (4/6)

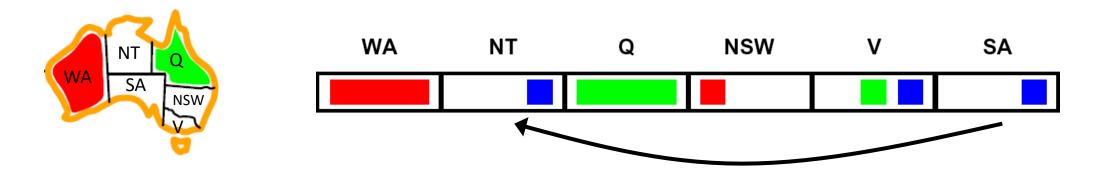
A simple form of propagation makes sure all arcs are consistent:



- Remember that arc V to NSW was consistent, when NSW had red and blue in its domain
- After removing blue from NSW, this arc might not be consistent anymore! We need to recheck this arc.
- Important: If X loses a value, neighbors of X need to be rechecked!

# Arc Consistency of an Entire CSP (5/6)

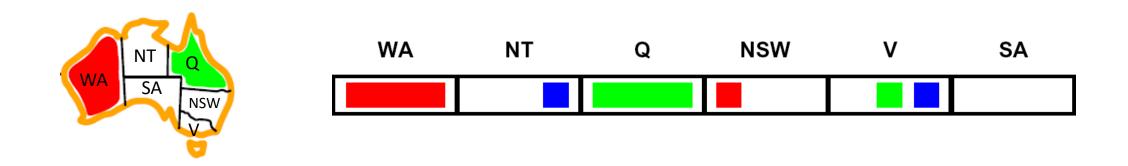
A simple form of propagation makes sure all arcs are consistent:



Arc SA to NT is inconsistent. We make it consistent by deleting from the tail (SA = blue).

# Arc Consistency of an Entire CSP (6/6)

A simple form of propagation makes sure all arcs are consistent:



- SA has an empty domain, so we detect failure. There is no way to solve this CSP with WA = red and Q = green, so we backtrack.
- Arc consistency detects failure earlier than forward checking
- Can be run as a preprocessor or after each assignment

## Enforcing Arc Consistency in a CSP

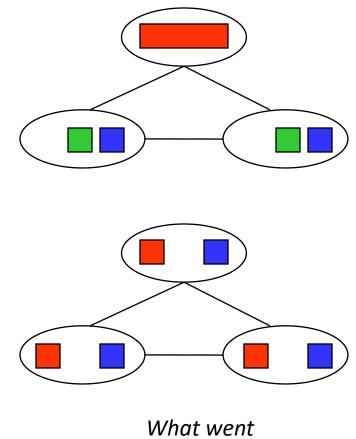
```
function AC-3(csp) returns the CSP, possibly with reduced domains
   inputs: csp, a binary CSP with variables \{X_1, X_2, \ldots, X_n\}
   local variables: queue, a queue of arcs, initially all the arcs in csp
   while queue is not empty do
      (X_i, X_j) \leftarrow \text{Remove-First}(queue)
      if Remove-Inconsistent-Values(X_i, X_i) then
         for each X_k in Neighbors [X_i] do
            add (X_k, X_i) to queue
function Remove-Inconsistent-Values (X_i, X_i) returns true iff succeeds
   removed \leftarrow false
   for each x in Domain[X_i] do
      if no value y in DOMAIN[X_j] allows (x,y) to satisfy the constraint X_i \leftrightarrow X_j
         then delete x from Domain[X_i]; removed \leftarrow true
   return removed
```

- Runtime: O(n²d³), can be reduced to O(n²d²)
- ... but detecting all possible future problems is NP-hard why?

## Limitations of Arc Consistency

- After enforcing arc consistency:
  - Can have one solution left
  - Can have multiple solutions left
  - Can have no solutions left (and not know it)

• Arc consistency still runs inside a backtracking search!



What went wrong here?

[Demo: coloring -- forward checking]

[Demo: coloring -- arc consistency]

## Exercise: Arc consistency

Consider a CSP with three variables: A, B, and C. Each of the three variables can take on one of two values: either 1 or 2. There are three constraints: A != B, B != C, and A != C. What values for what variables would be eliminated by enforcing arc-consistency?

## Exercise: Arc consistency

Consider a CSP with variables X, Y with domains  $\{1, 2, 3, 4, 5, 6\}$  for X and  $\{2, 4, 6\}$  for Y, and constraints X <Y and X +Y >8. List the values that will remain in the domain of X after enforcing arc consistency for the arc X ! Y (which prunes the domain of X, not Y).

## HW: Formulating a CSP

Suppose you have a state-space search problem defined by the usual stuff:

- a set of states s;
- an initial state s0;
- a set of actions A including the NoOp action that has no effect;
- a transition model Result(s, a);
- a set of goal states G.

Unfortunately, you have no search algorithms! All you have is a CSP solver. How could you reformulate this as a CSP? You may assume that you are given the maximum number of steps, T that any plan can have. Make sure that your formulation makes it easy to see what the plan is.