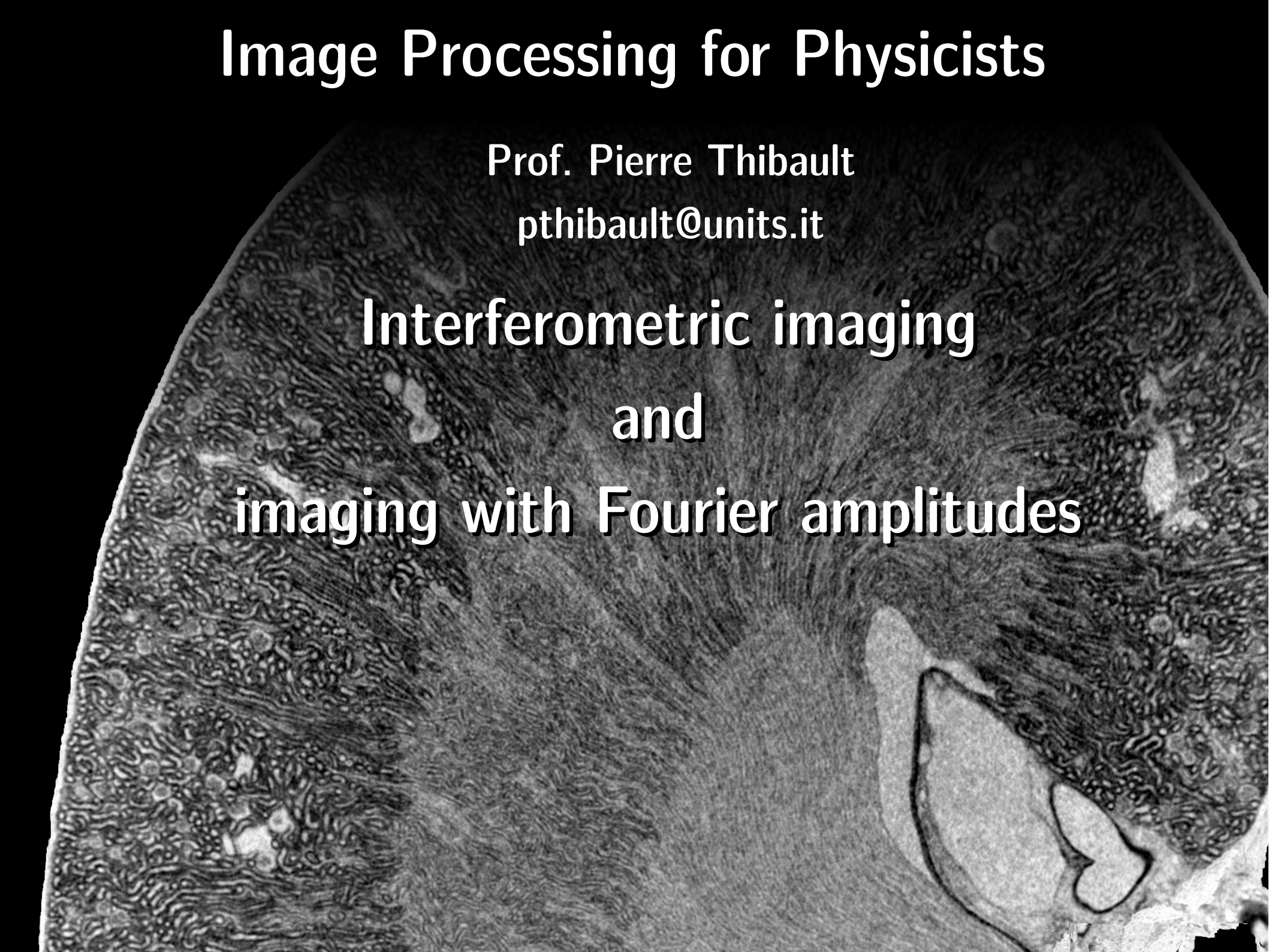


Image Processing for Physicists

Prof. Pierre Thibault

pthibault@units.it

Interferometric imaging
and
imaging with Fourier amplitudes



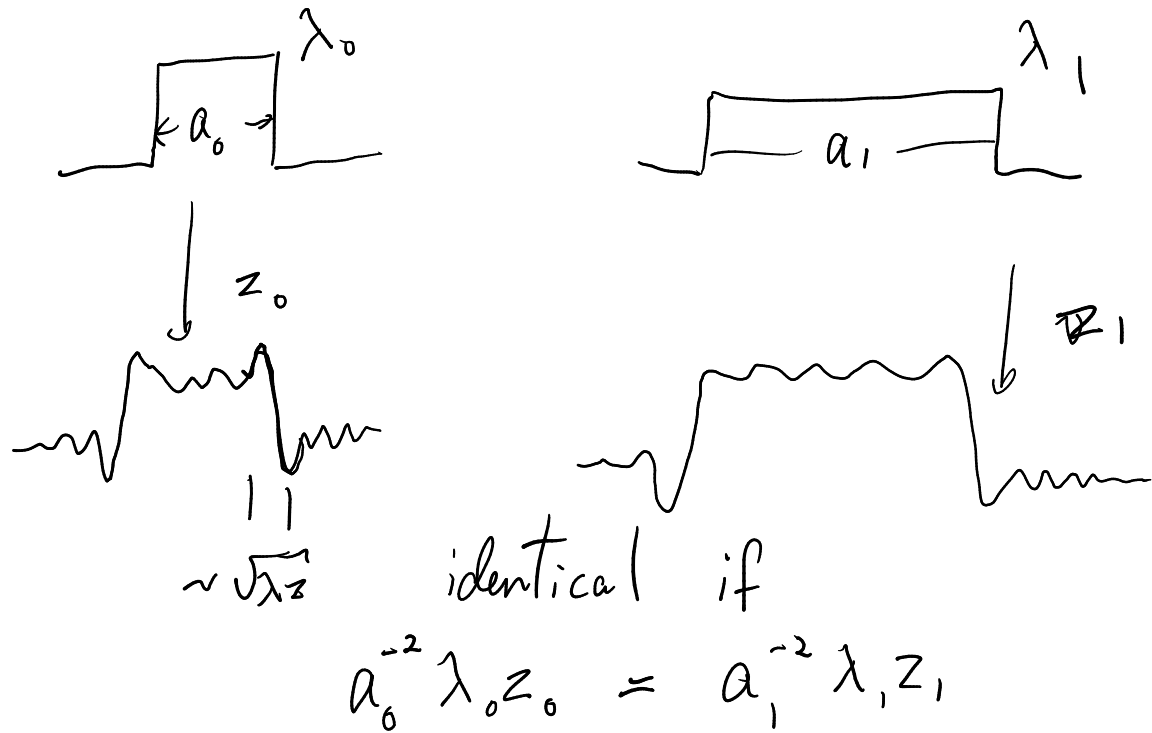
Overview

- The phase problem
- Holography: on/off-axis
- Grating interferometric imaging
- Imaging using far-field amplitude measurements
 - Fourier transform holography
 - Coherent diffraction imaging
 - Ptychography

Wave propagation



$$\exp\left(i\pi \underbrace{u^2 \lambda z}_{\text{unitless number}}\right)$$



$$\frac{a^2}{\lambda z} = f$$

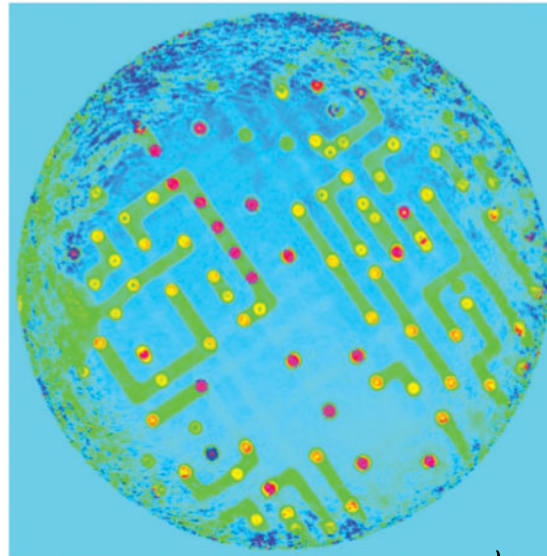
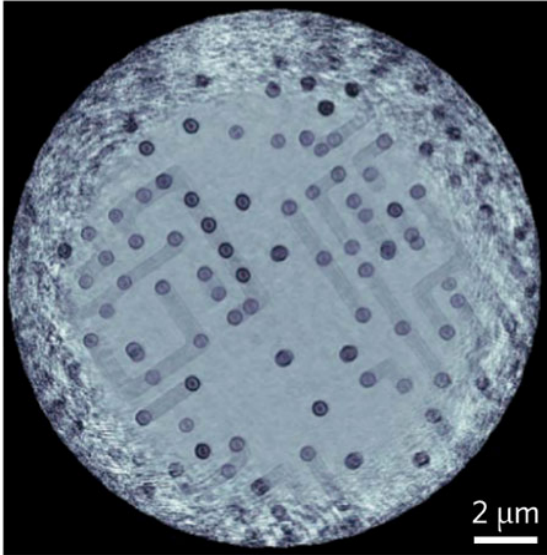
Fresnel number

$f \ll 1$; far-field
 $f \gg 1$; near-field

a : here size of an aperture, but can be any characteristic length of interest
 $f=1 \rightarrow a = \sqrt{\lambda z}$ ← characteristic length

Complex-valued images

X-ray transmission image



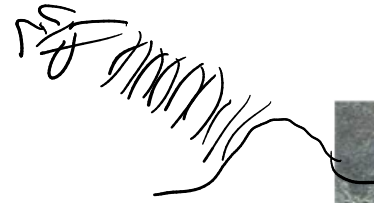
integrated circuit

Amplitude
attenuation of
the wave

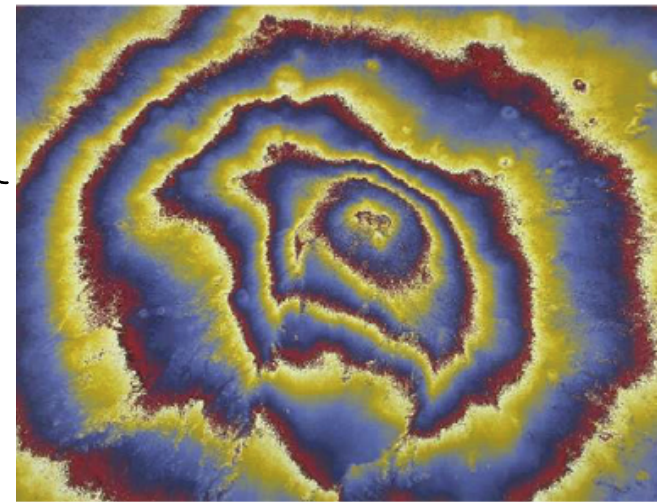
Phase

delay in
wave phase

SAR synthetic aperture radar



phase
unwrapping



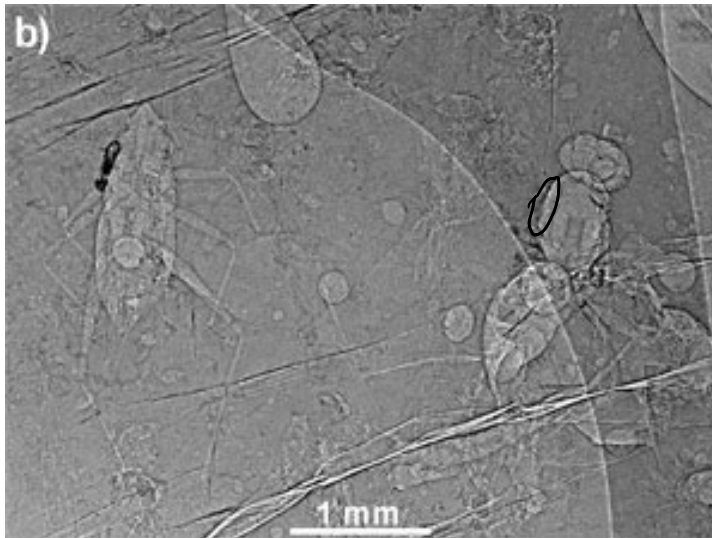
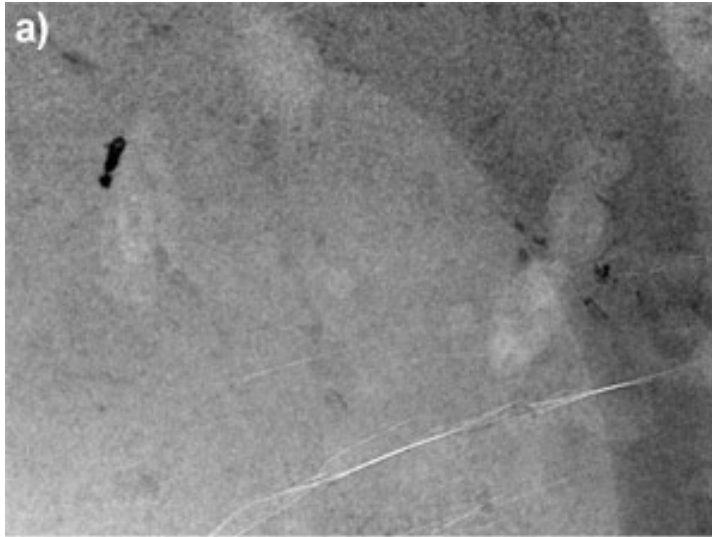
phase

Phase-contrast

$$|\psi|^2 = |A e^{i\phi}|^2 = A^2$$

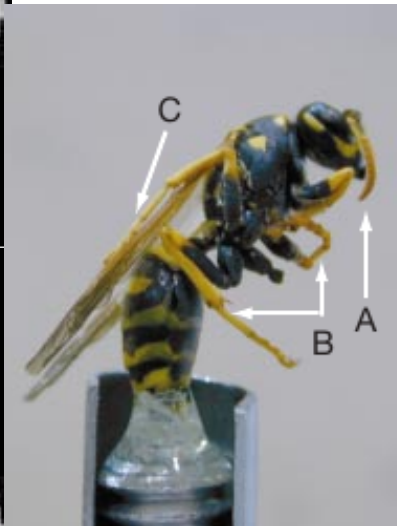
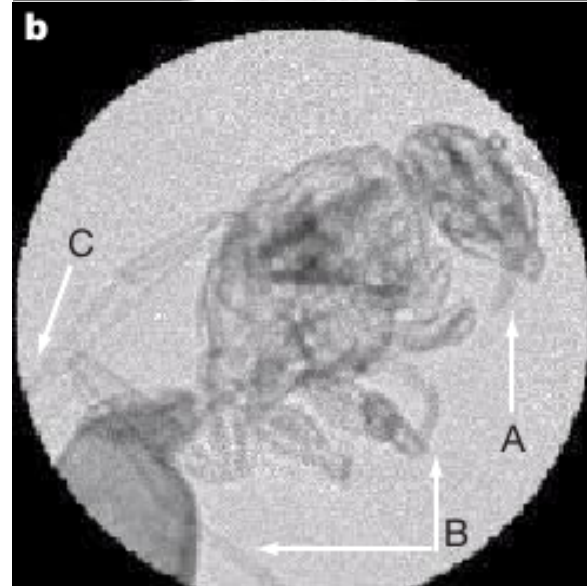
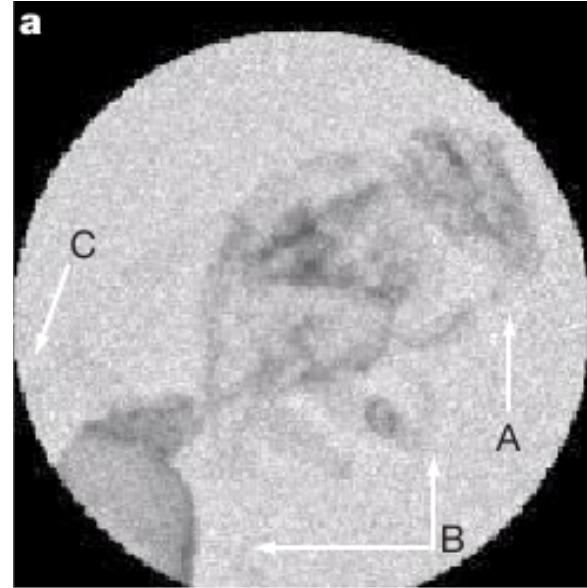
phase is lost!

Hard X-ray propagation-based phase contrast



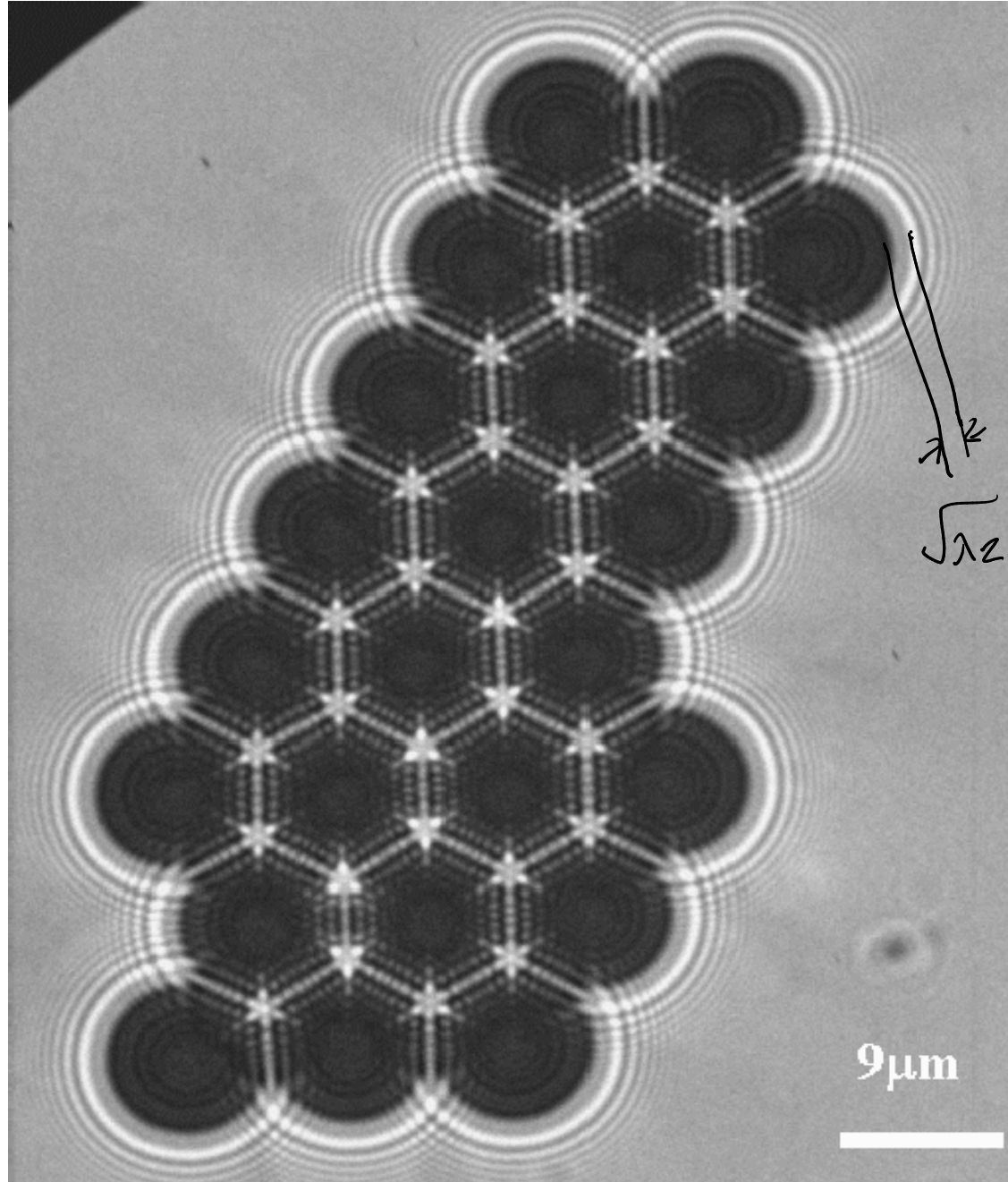
Source: www.esrf.eu/news/general/amber/amber/

Neutron phase contrast



Source: Allman et al. Nature **408** (2000).

In-line holography



"Deep" Fresnel
regime,
beyond near-
field

Source: Mayo et al. Opt Express 11 (2003).

In-line holography

Measure $I(\vec{r}) = |\psi(r; z)|^2$

If ~~the~~ illumination is a plane and monochromatic wave.
~~the~~ transmission of the imaged object is weak:

$$\psi(\vec{r}; z=0) = A (1 + \vec{\epsilon}(\vec{r}))$$

small perturbation
of plane incident
wave

$$I(\vec{r}) = |A(1 + \epsilon(\vec{r}; z))|^2 = |A|^2 \left(1 + \underbrace{\epsilon(\vec{r}; z)} + \underbrace{\epsilon^*(\vec{r}; z)} + \underbrace{|\epsilon(\vec{r}; z)|^2}_{\text{negligible}} \right)$$

"twin image problem"

superposition of two images propagated by z and $-z$

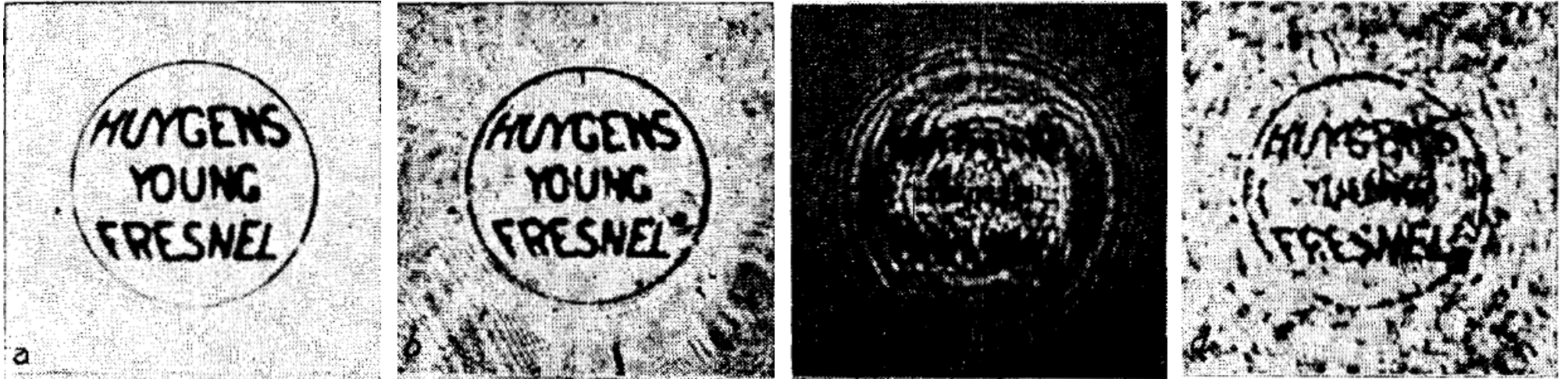
The phase problem

measure $I = |U|^2$ phases are lost

Sometimes: phase is quantity of interest

often: phase is auxiliary quantity for proper interpretation of wavefield.

In-line holography



↑
mask

↑
"in focus"

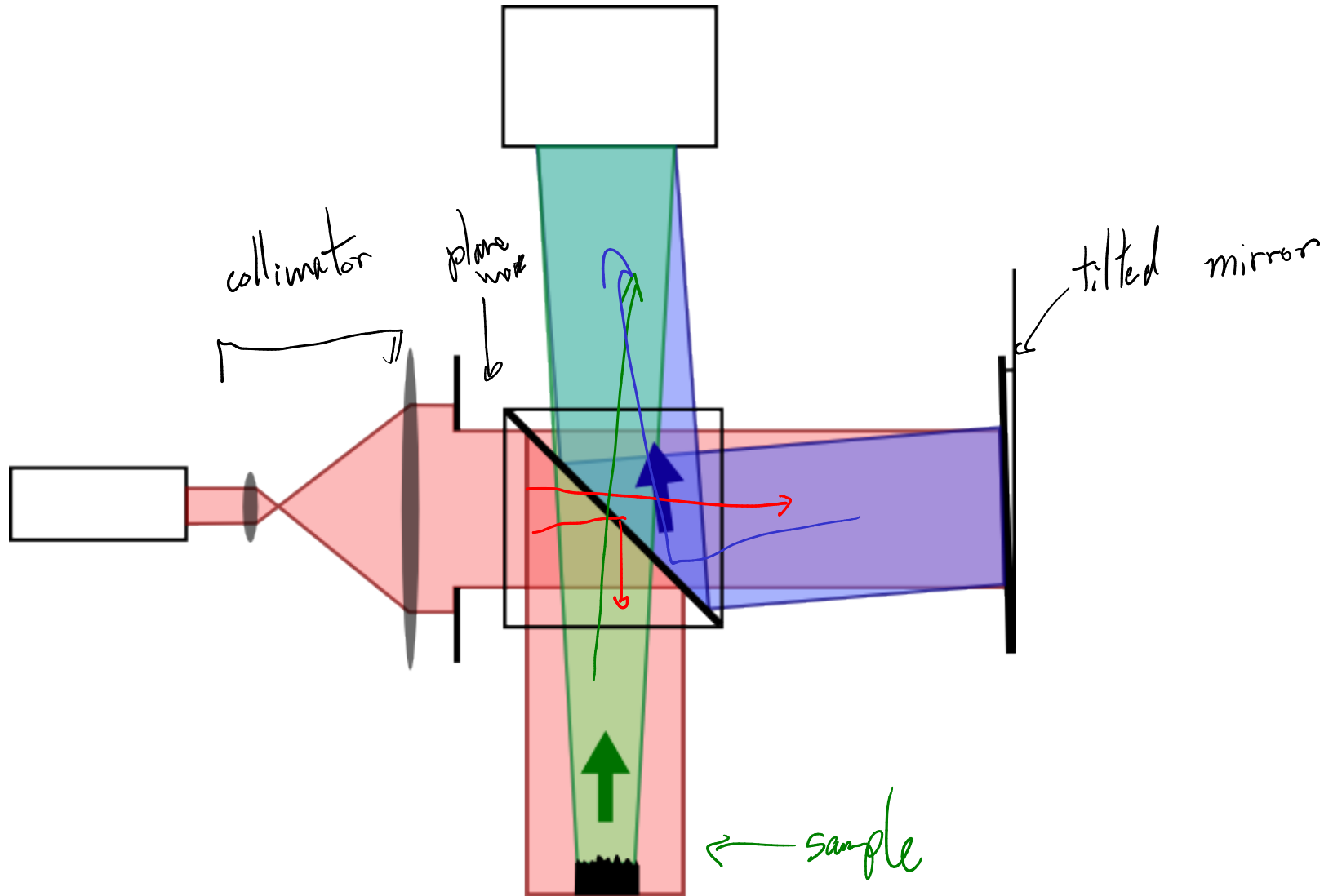
↑
"after propagation"

↑
propagated
hologram

D. Gabor, Nature **161**, 777-778 (1948).

Digital in-line holography (DIH): uses this principle
mostly for particle tracking

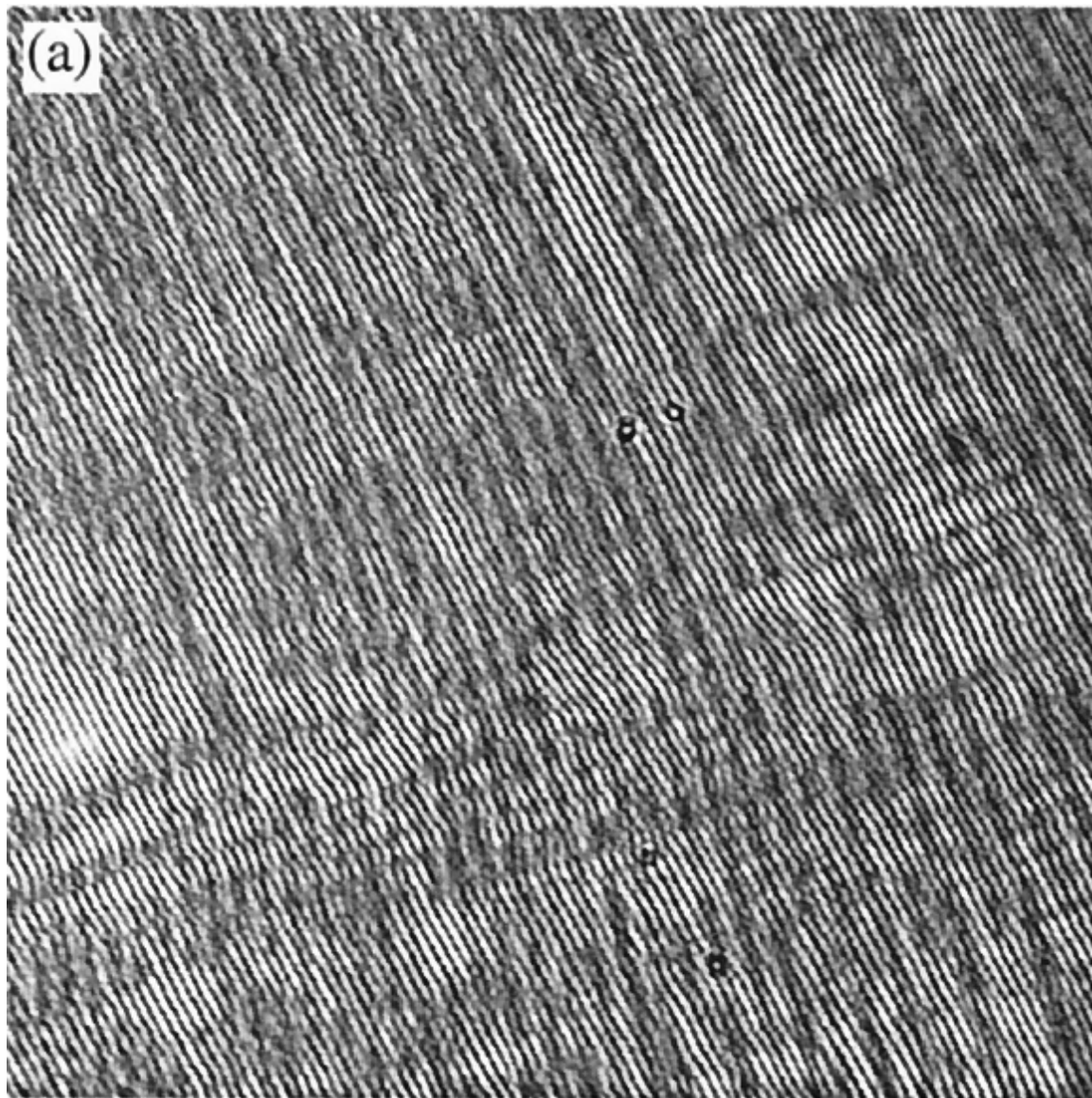
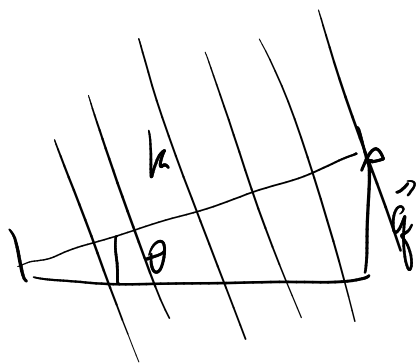
Fringe interferometry



Twyman-Green interferometer

Fringe interferometry

$1 + e^{i\vec{q} \cdot \vec{r}}$
 \uparrow along z
 \uparrow propagates at an angle
 $\sin \theta = \frac{|\vec{q}|}{|k|}$



$$I = |1 + e^{i\vec{q} \cdot \vec{r}}|^2$$

$$= 1 + e^{i\vec{q} \cdot \vec{r}} + e^{-i\vec{q} \cdot \vec{r}} + 1$$

$$= 2 + 2 \cos(\vec{q} \cdot \vec{r})$$

$$= 2(1 + \cos(\vec{q} \cdot \vec{r}))$$

oscillates with spatial frequency

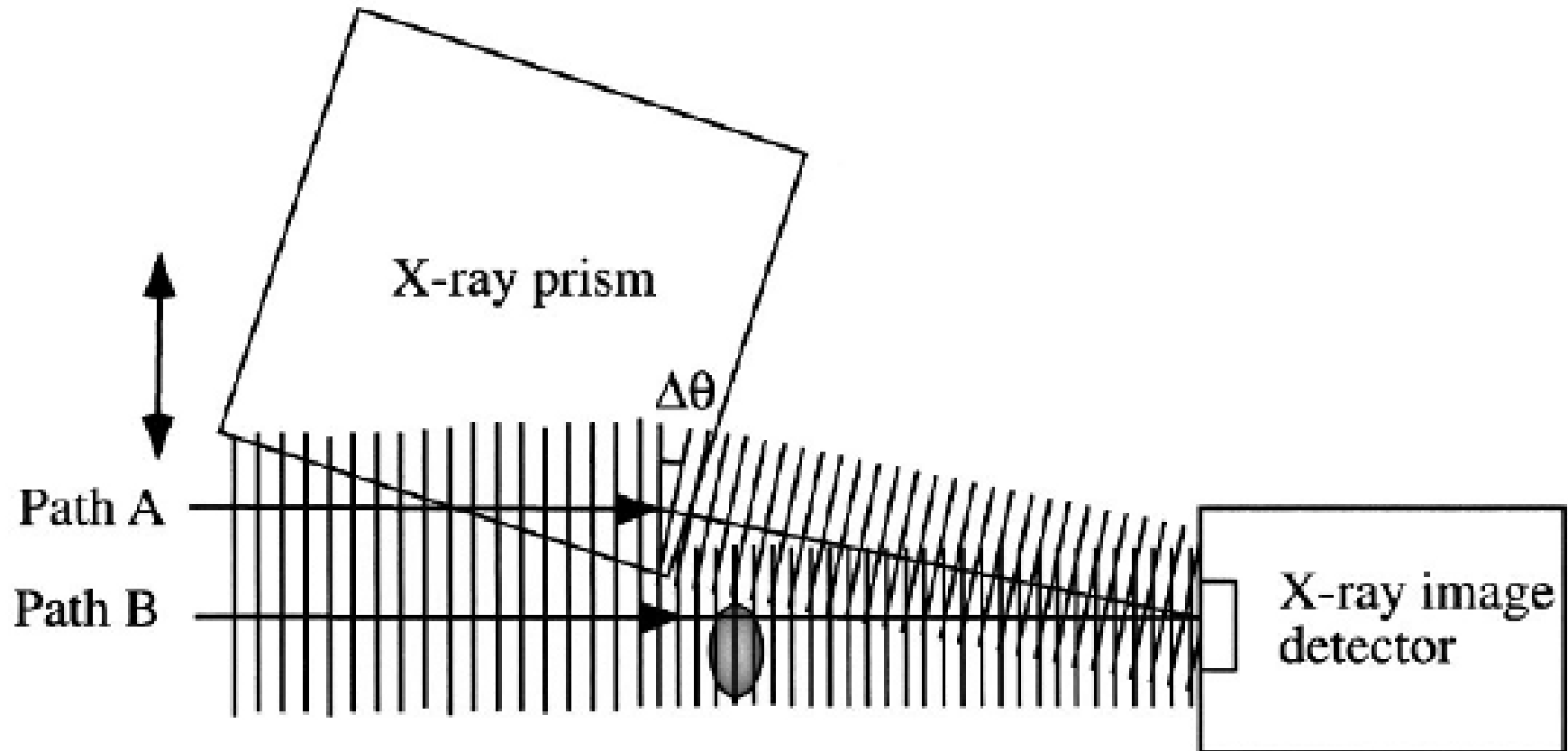
$$\vec{u} = \frac{\vec{q}}{2\pi}$$

for imaging:

$$|e^{i\vec{q} \cdot \vec{r}} + a(\vec{r})|^2$$

Source: Cuche et al. Appl. Opt. **39**, 4070 (2000)

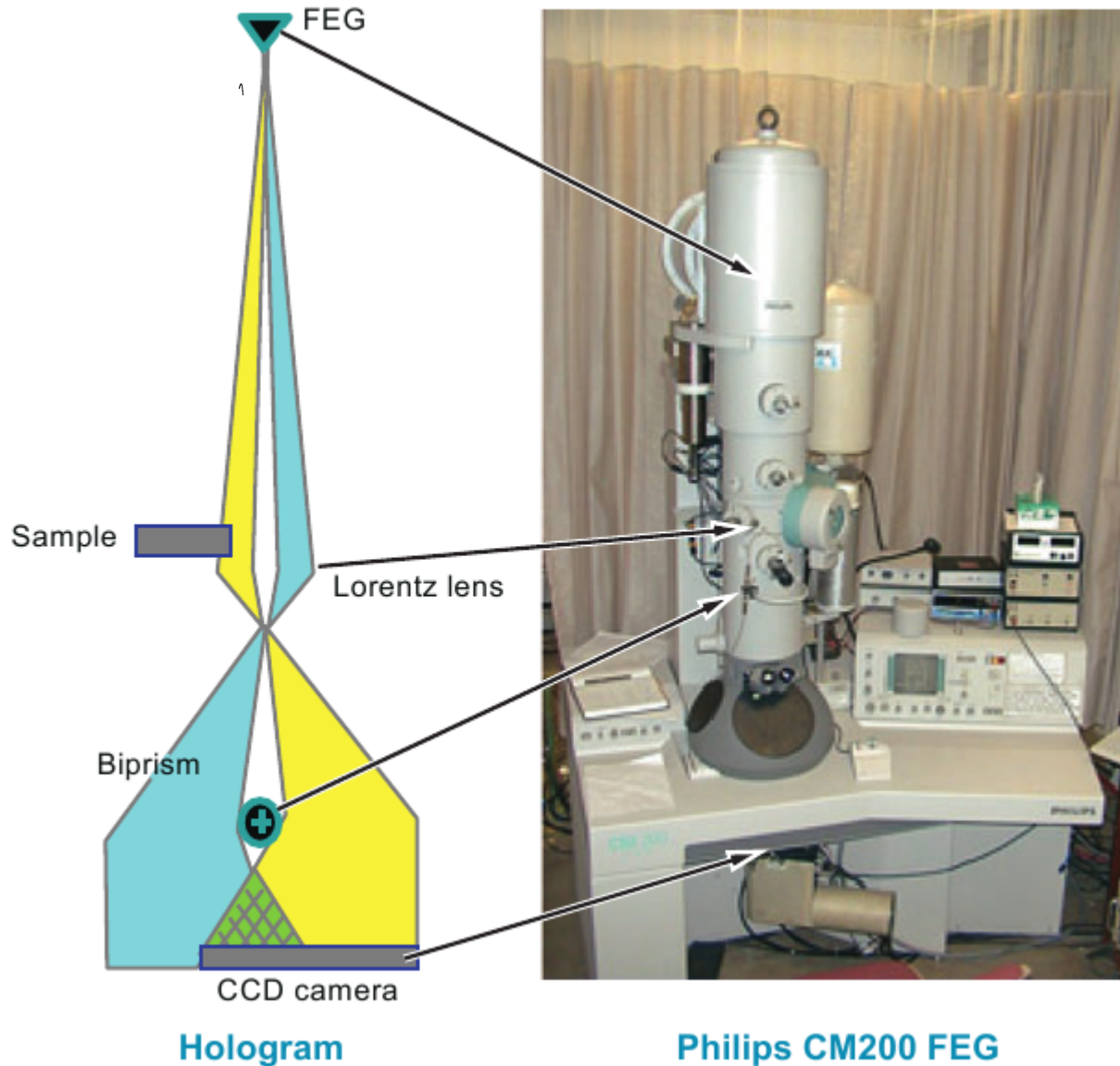
Off-axis X-ray holography



Source: Y. Kohmura, J. Appl. Phys. **96**, 1781-1784 (2004)

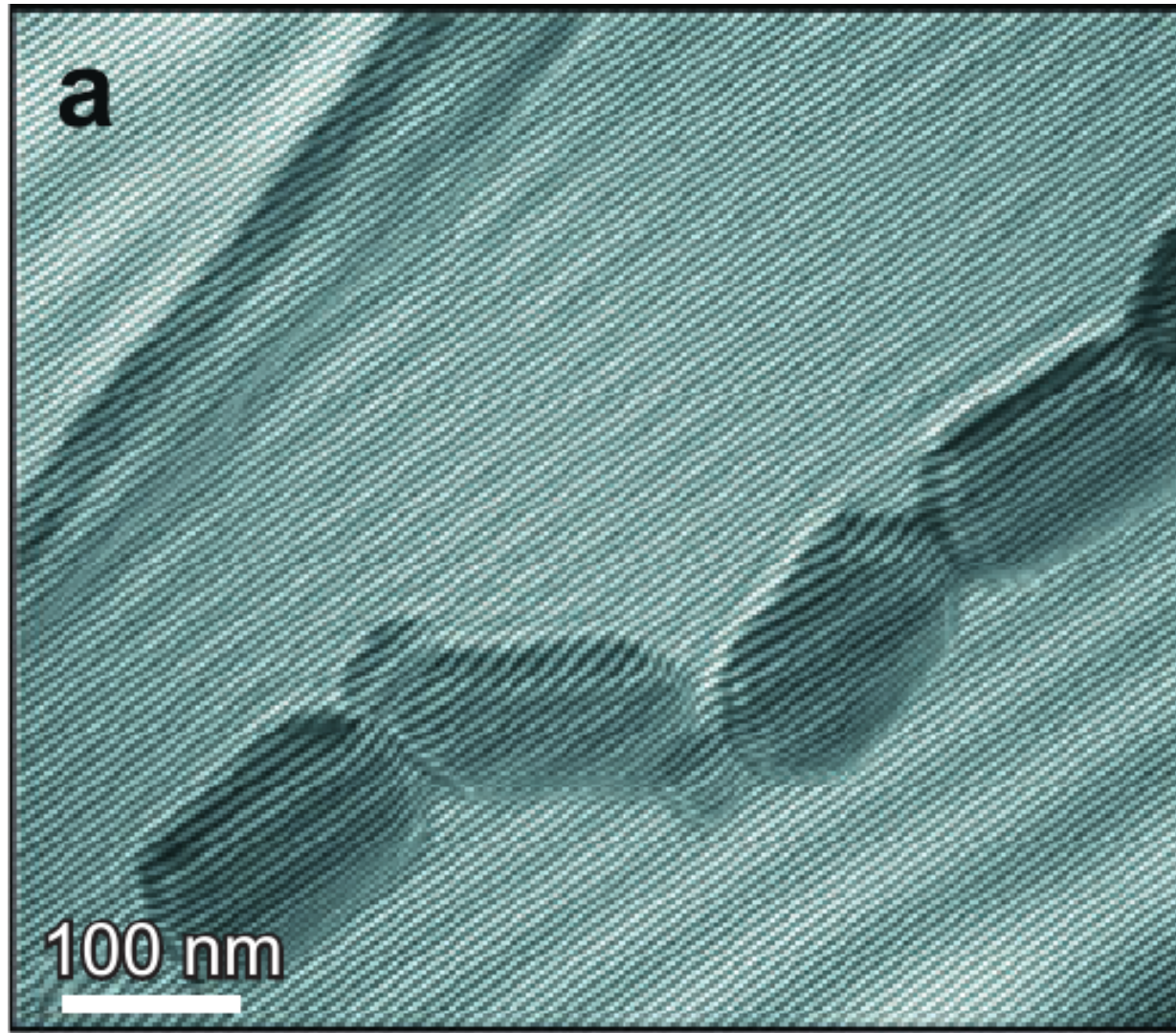
Off-axis electron holography

Electron microscopy



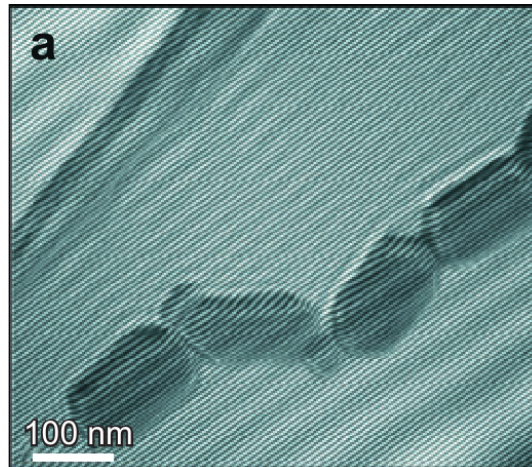
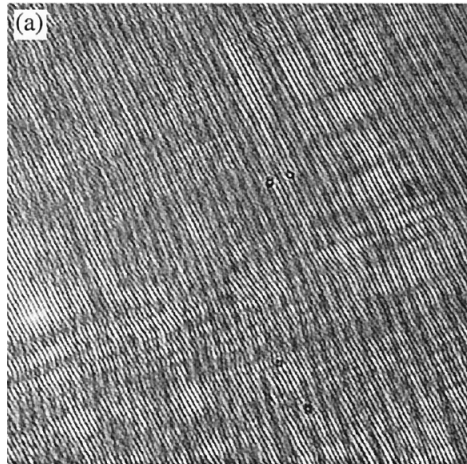
Source: M. R. McCartney, *Ann. Rev. Mat. Sci.* **37** 729-767 (2007)

Off-axis electron holography



Source: M. R. McCartney, *Annu. Rev. Mat. Sci.* **37** 729-767 (2007)

Fringe interferometry



$$\psi = \psi_o + \psi_r$$

object reference

$$\psi_r(\vec{r}) = A e^{i(\vec{q} \cdot \vec{r} + \varphi_o)}$$

$$\psi_o(\vec{r}) = A \underbrace{a(\vec{r}) e^{i\varphi(\vec{r})}}_{\text{complex-valued transmission function}}$$

attenuation
phase shift

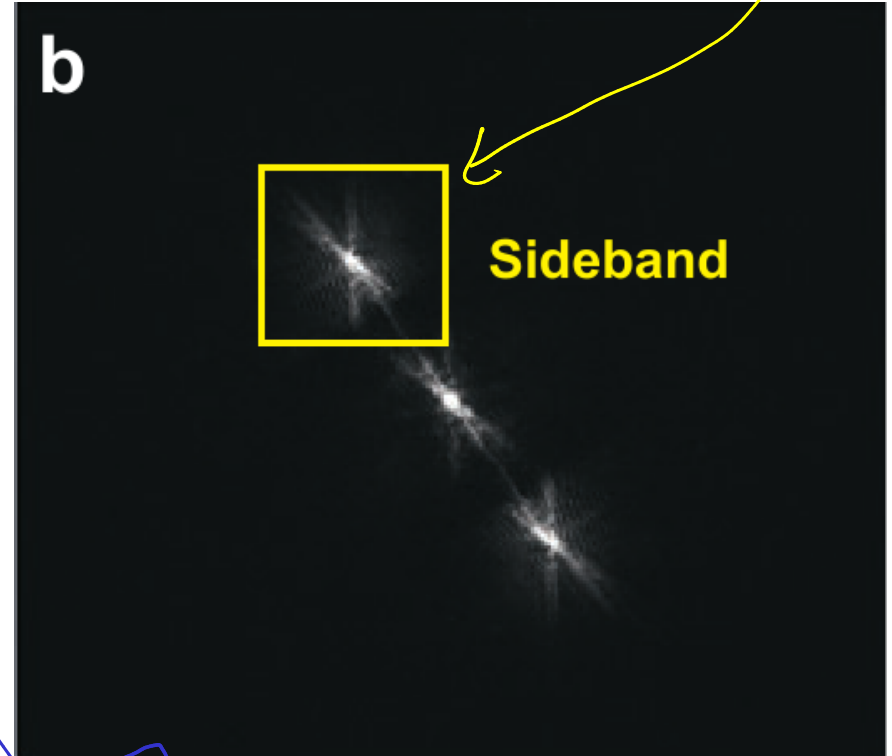
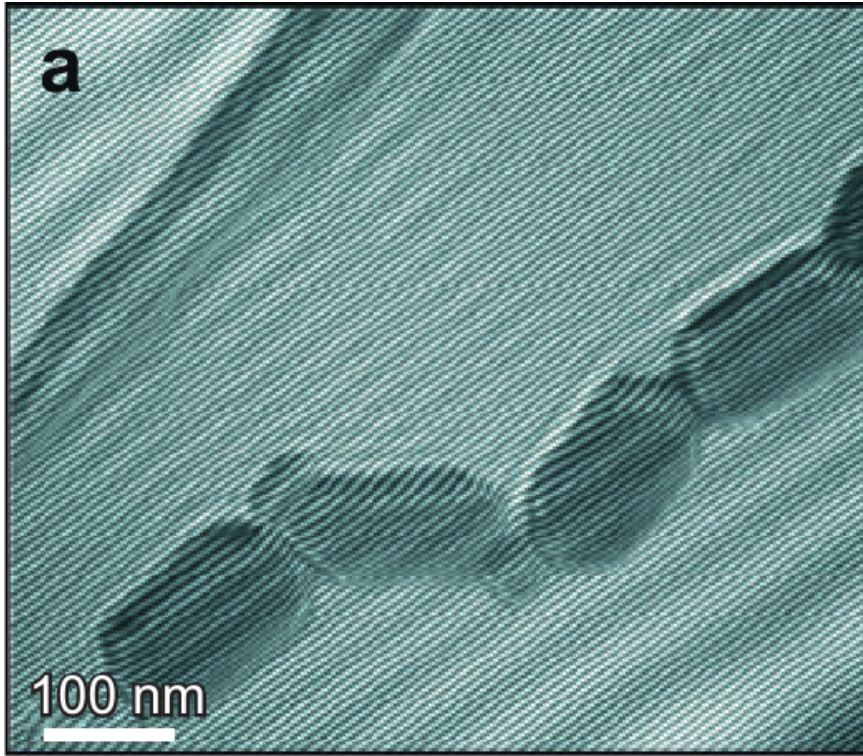
Measurement;

$$|\psi(\vec{r})|^2 = (\psi_o + \psi_r)(\psi_o^* + \psi_r^*)$$

$$= |A|^2 \left(a^2(\vec{r}) + 1 + \underbrace{a(\vec{r}) e^{i(\vec{q} \cdot \vec{r} - \varphi)} + a(\vec{r}) e^{-i(\vec{q} \cdot \vec{r} - \varphi)}}_{2a(\vec{r}) \cos(\vec{q} \cdot \vec{r} - \varphi(\vec{r}))} \right)$$

Off-axis holography

cropping in Fourier domain =
reducing resolution



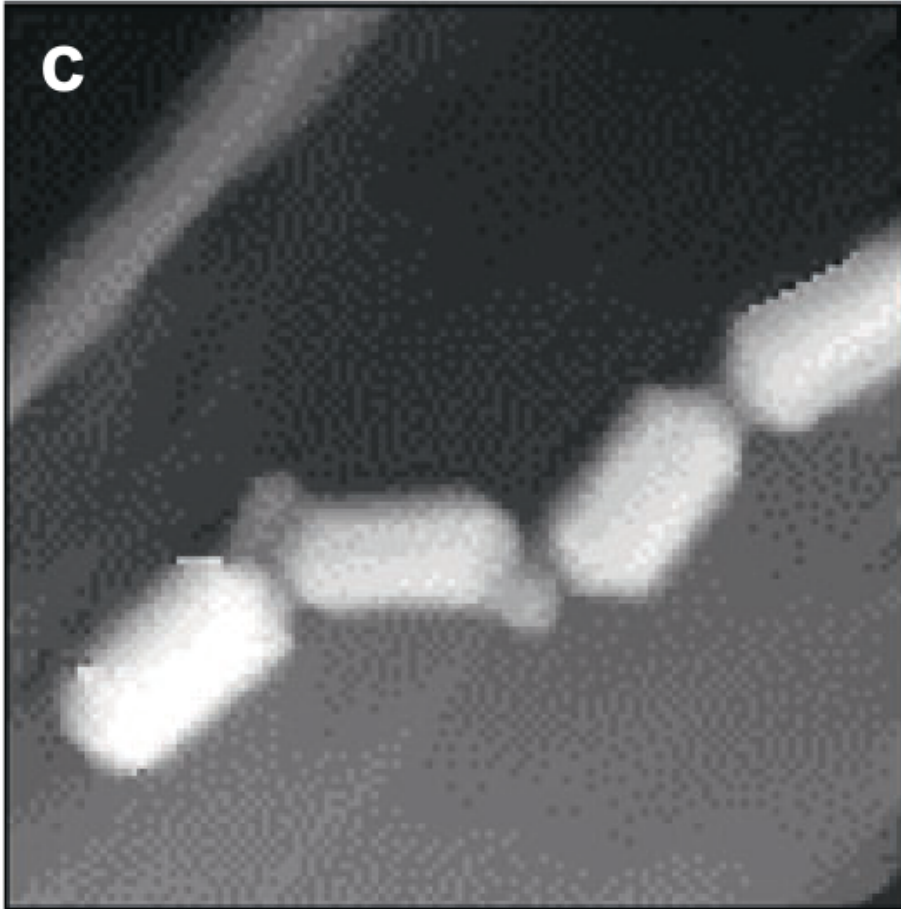
$$\sqrt{\{|\psi|^2\}} = |A|^c \left[\underbrace{\mathcal{F}\{a^c(r)+1\}}_{\psi_0^*} + \underbrace{\mathcal{F}\left\{a(r)e^{-i\phi(r)} e^{i\vec{q}\cdot\vec{r}}\right\}}_{\psi_0} + \mathcal{F}\left\{a(r)e^{i\phi} e^{-i\vec{q}\cdot\vec{r}}\right\} \right]$$

$$\mathcal{F}\{\psi_0^*\}(\vec{u} - \frac{\hat{q}}{2\pi}) \quad \mathcal{F}\{\psi_0\}(\vec{u} + \frac{\hat{q}}{2\pi})$$

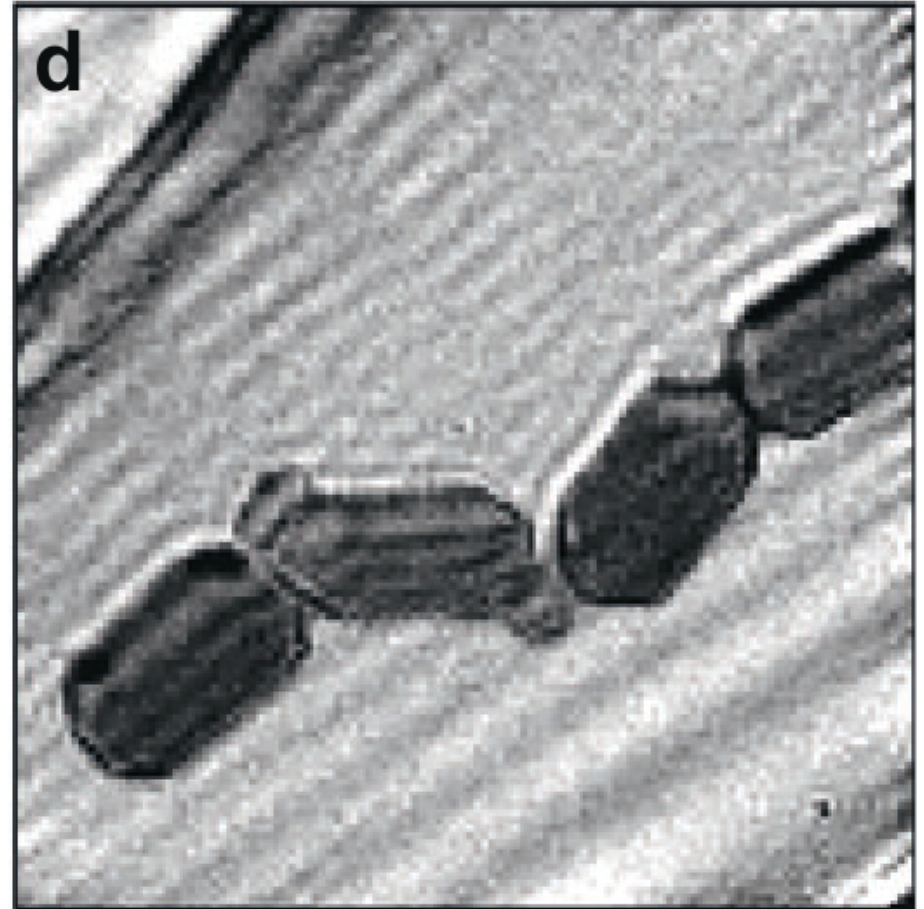
Source: M. R. McCartney, Annu. Rev. Mat. Sci. **37** 729-767 (2007)

Off-axis holography

Price to pay to get phase & attenuation : resolution



phase



attenuation

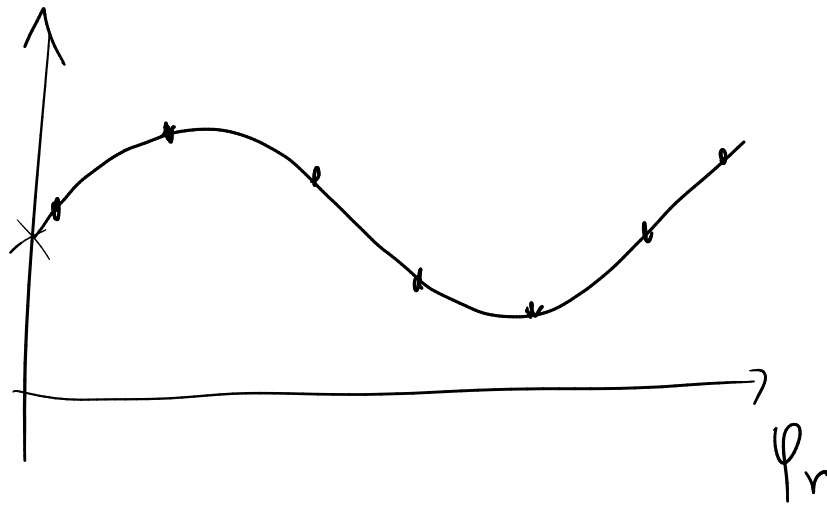
Source: M. R. McCartney, Annu. Rev. Mat. Sci. **37** 729-767 (2007)

Phase stepping

- Encoding phase **and** amplitude in a single image has a price: resolution

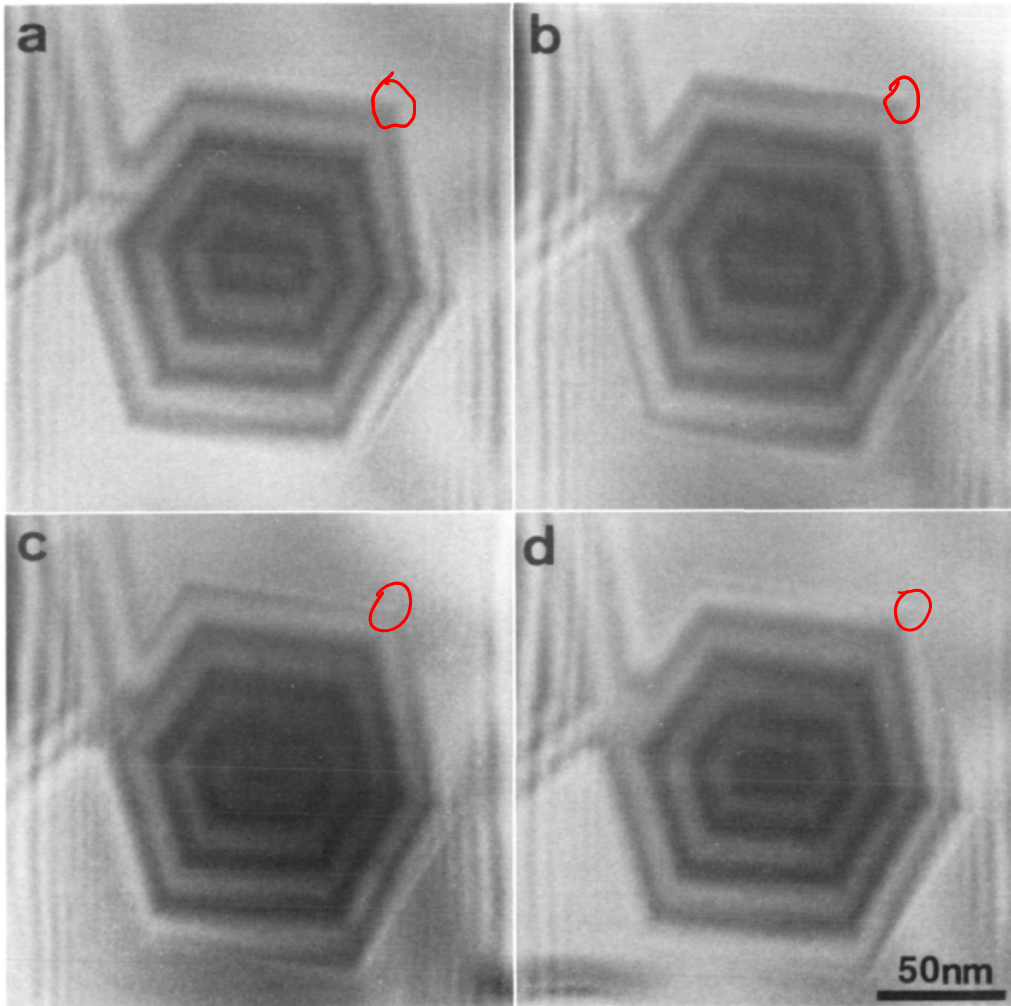
→ Take more than one image, changing the reference in each.

$$e^{i(\vec{q} \cdot \vec{r})} \quad e^{i(\vec{q} \cdot \vec{r} + \frac{\pi}{2})} \quad e^{i(\vec{q} \cdot \vec{r} + \pi)} \quad \dots \quad e^{i(\vec{q} \cdot \vec{r} + \varphi_r)}$$



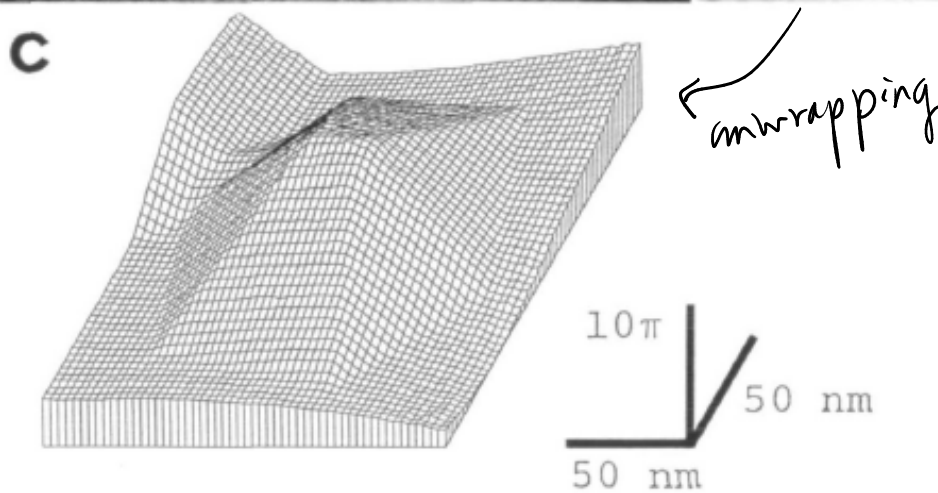
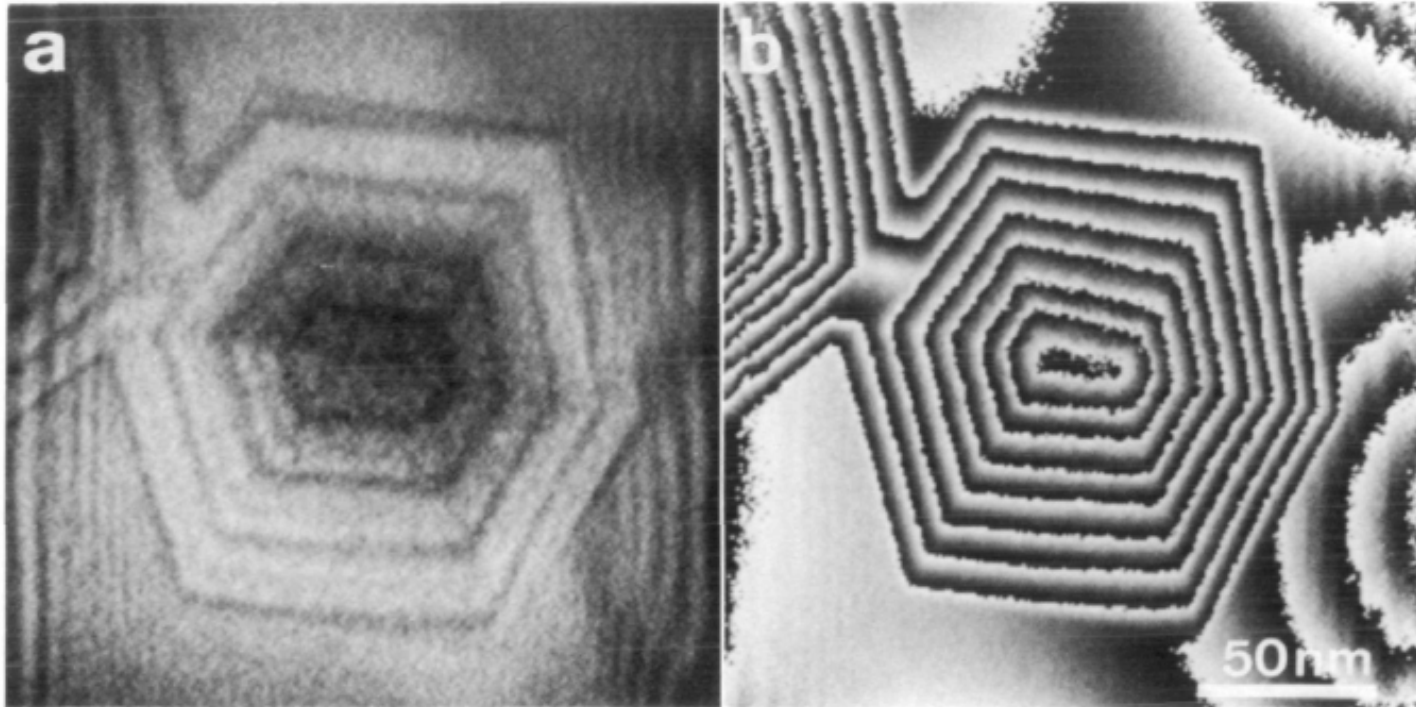
Fringe scanning

Electron microscopy



Source: K. Harada, J. Electron Microsc. **39** 470-476 (1990)

Fringe scanning



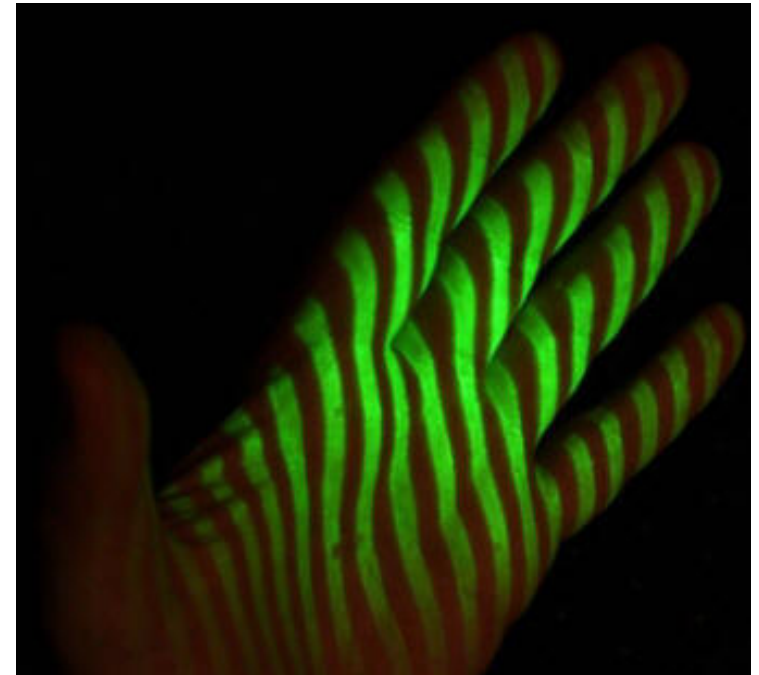
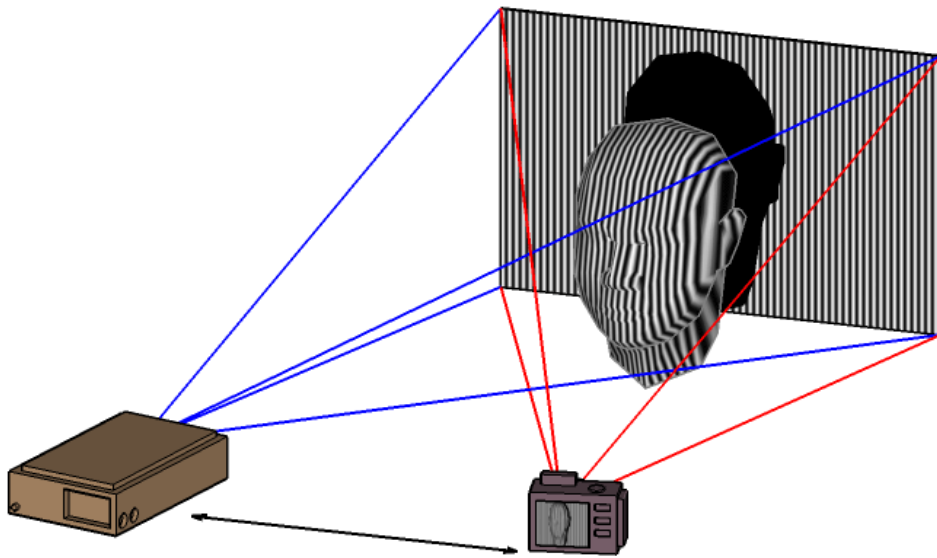
no compromise
on resolution!

price to pay:
multiple measurements
with assumption that
the sample is static

Source: K. Harada, J. Electron Microsc. 39 470-476 (1990)

Structured light sensing

- Project a structured light pattern onto sample
- Distortions of light pattern allow reconstruction of sample shape

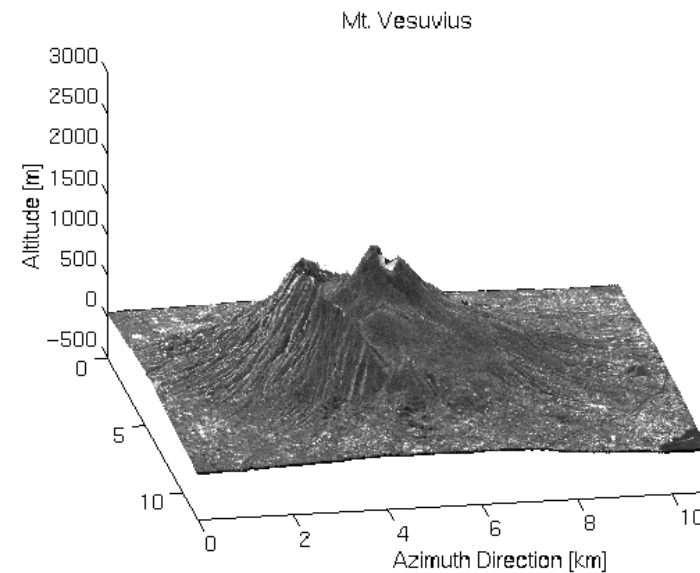
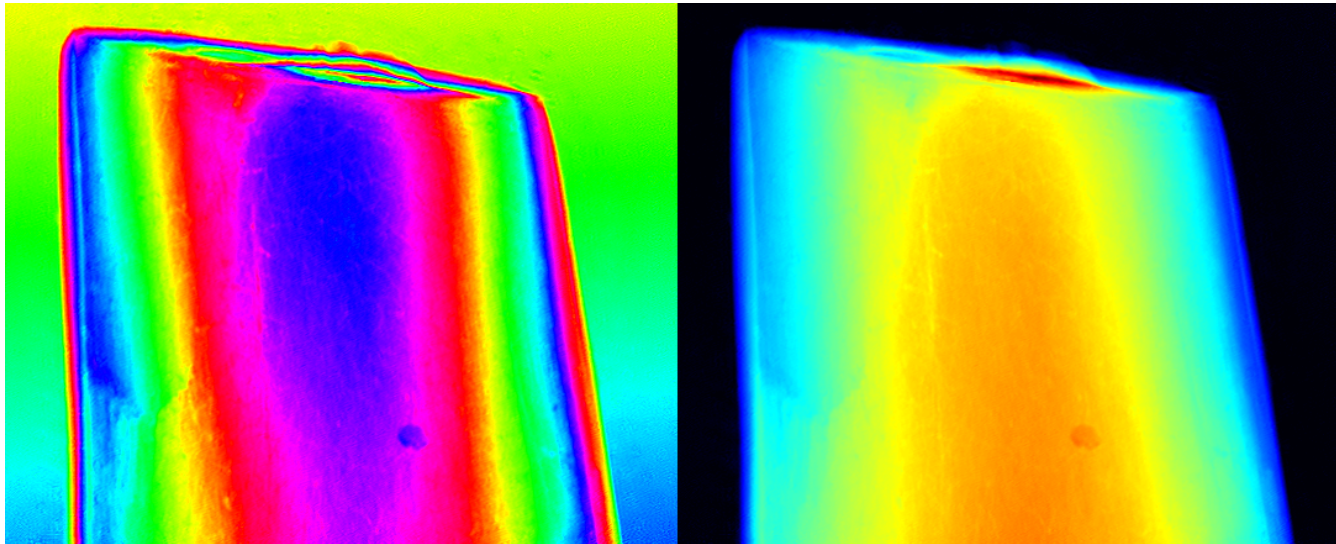


Phase unwrapping

- Phase is measured only in the interval $[0, 2\pi)$
- Physical phase shifts (which can be larger) are wrapped on this interval
 - Any multiple of 2π is possible
- Unwrapping: use correlations in the image to guess the total phase shift.
- Main difficulties:
 - aliasing: phase shifts are too rapid for the image sampling
 - noise: produces local singularities (vortices)
- Many strategies exist
 - path following methods
 - identify phase vortices and connect them

Complex-valued images

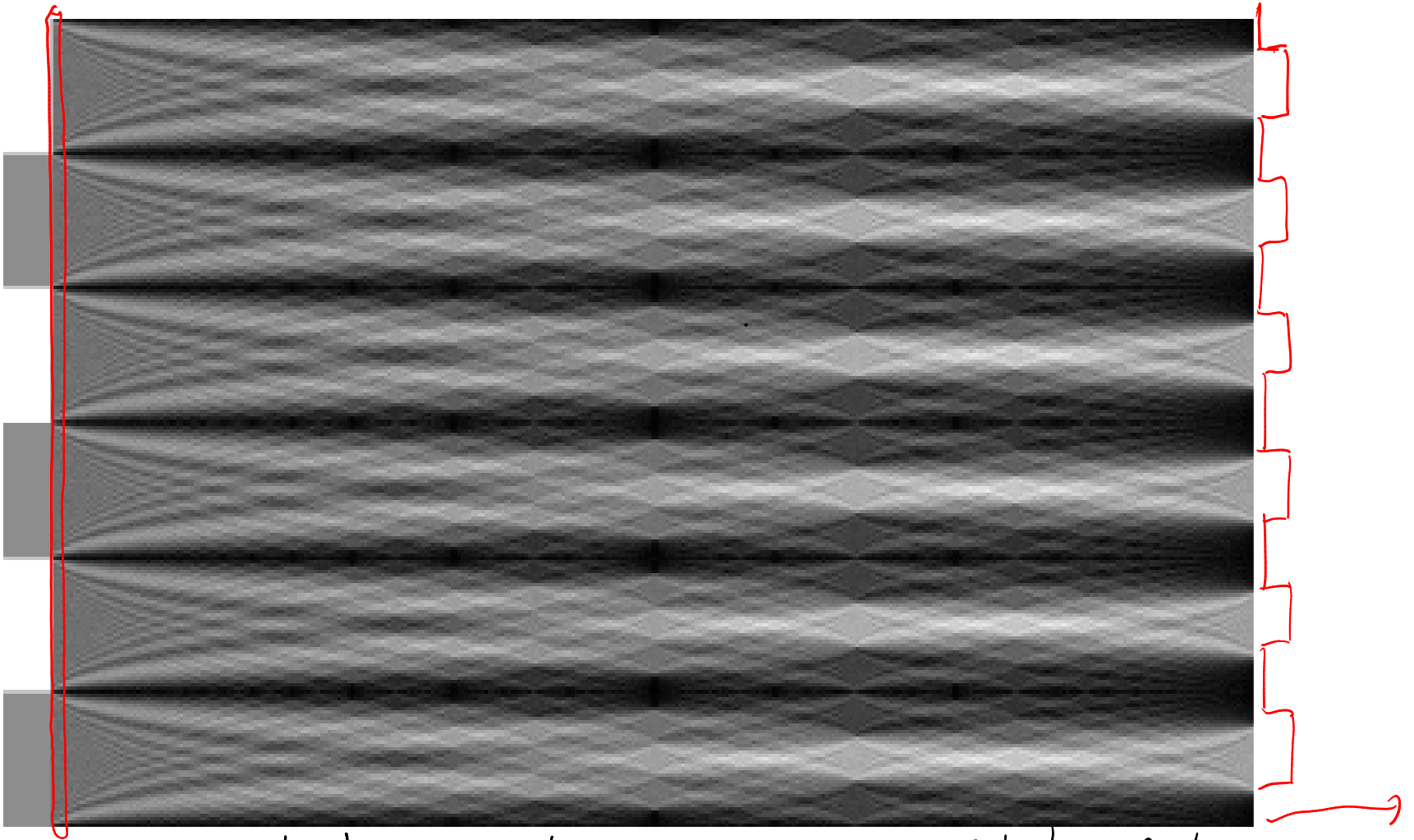
Phase unwrapping



Source: <http://earth.esa.int/workshops/ers97/program-details/speeches/rocca-et-al/>

Grating interferometry

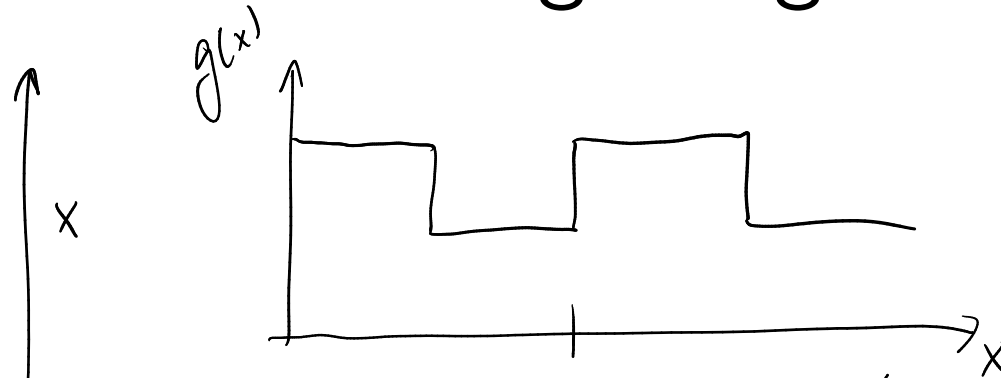
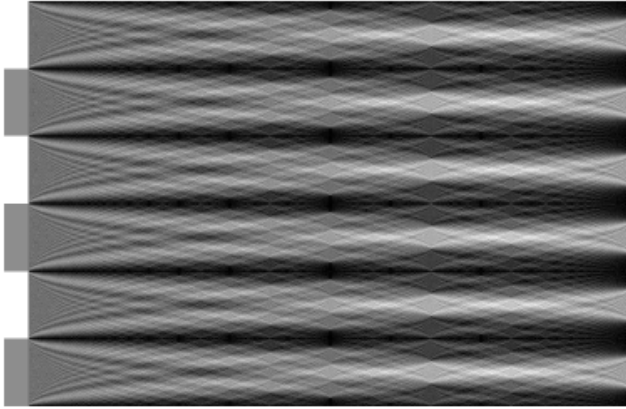
Diffraction from a grating



periodicity in grating propagation: Talbot effect

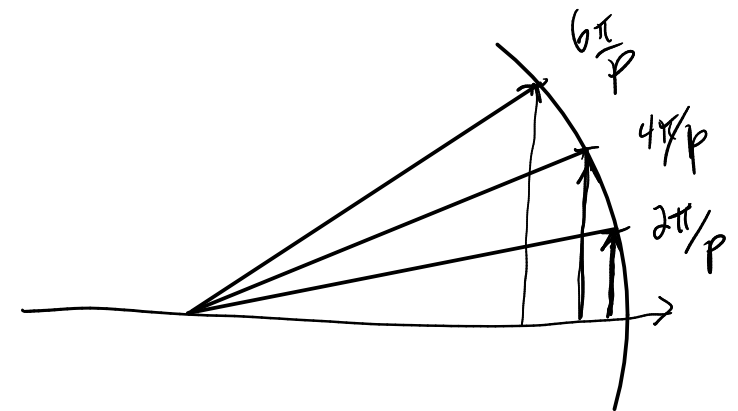
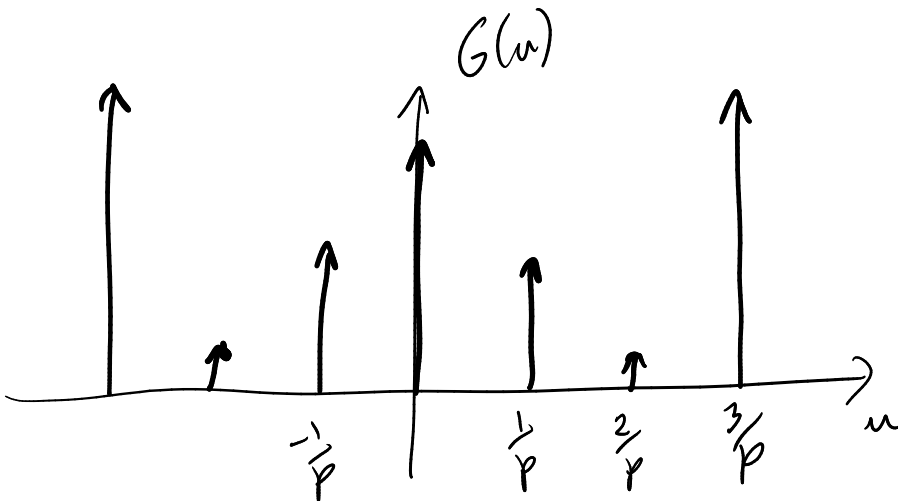
Grating interferometry

Diffraction from a grating



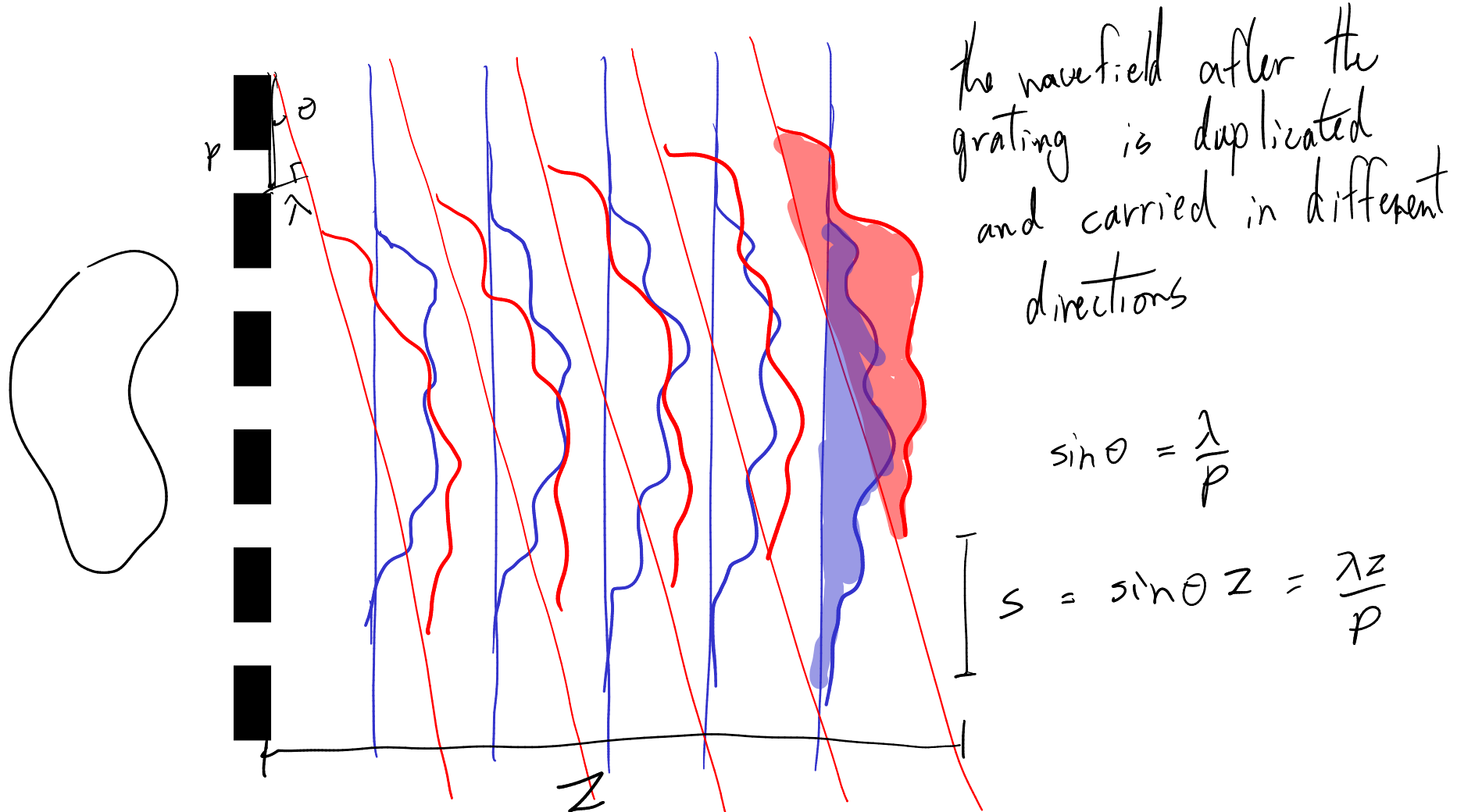
$$g(x) = \sum_{n=-\infty}^{\infty} g_n e^{2\pi i x n / p}$$

$$G(u) = \sum_{n=-\infty}^{\infty} g_n \delta(u - \frac{n}{p})$$



Grating interferometry

Observing the interference between two (slightly offset) copies of the same sample.



Grating interferometry

Observing the interference between two (slightly offset) copies of the same sample.

e.g. if only orders ± 1 are relevant

$$\psi(\vec{r}; z) = \psi_0 \left(\vec{r} + \frac{\lambda z}{p} \hat{x} \right) e^{2\pi i x/p} + \psi_0 \left(\vec{r} - \frac{\lambda z}{p} \hat{x} \right) e^{-2\pi i x/p}$$

$\psi_0 = a e^{i\varphi}$
 $\approx a^2(\vec{r})$

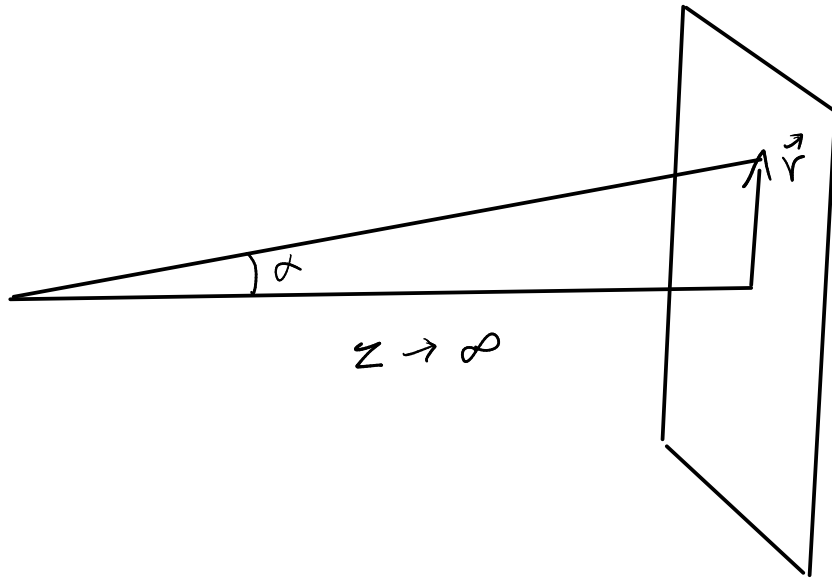
$$I = |\psi(\vec{r}; z)|^2 = \underbrace{a^2\left(\vec{r} + \frac{\lambda z}{p} \hat{x}\right) + a^2\left(\vec{r} - \frac{\lambda z}{p} \hat{x}\right)}_{\approx 2a^2(\vec{r})} + 2 \underbrace{a\left(\vec{r} + \frac{\lambda z}{p} \hat{x}\right) a\left(\vec{r} - \frac{\lambda z}{p} \hat{x}\right)}_{\cos\left[\varphi\left(\vec{r} + \frac{\lambda z}{p} \hat{x}\right) - \varphi\left(\vec{r} - \frac{\lambda z}{p} \hat{x}\right) + \frac{4\pi x}{p}\right]}$$

$$\approx 2a^2(\vec{r}) \left[1 + \cos\left(\frac{\lambda z}{p} \nabla\varphi \cdot \hat{x} + \frac{\lambda z}{p} \frac{\partial}{\partial x} \varphi + 4\pi \frac{x}{p} \right) \right]$$

"differential phase contrast"

Far-field diffraction

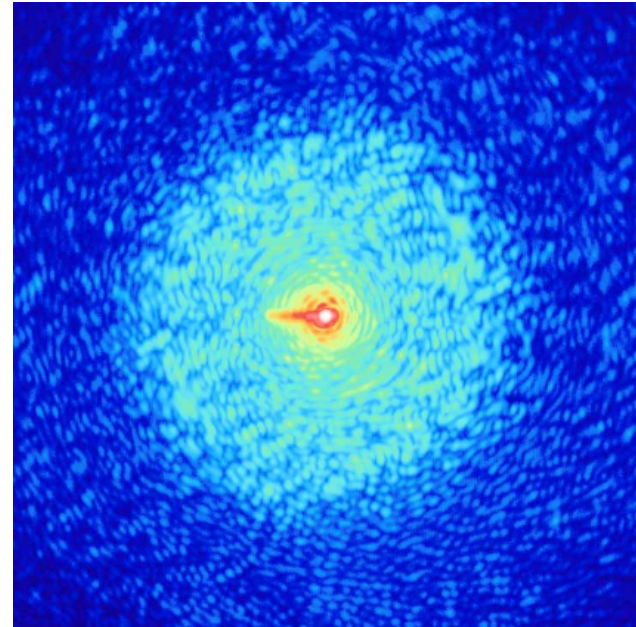
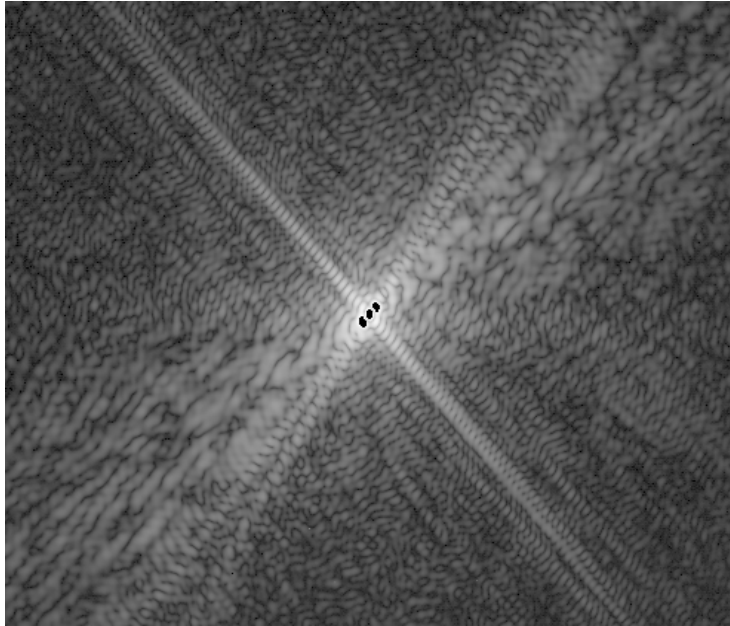
The Fraunhofer regime



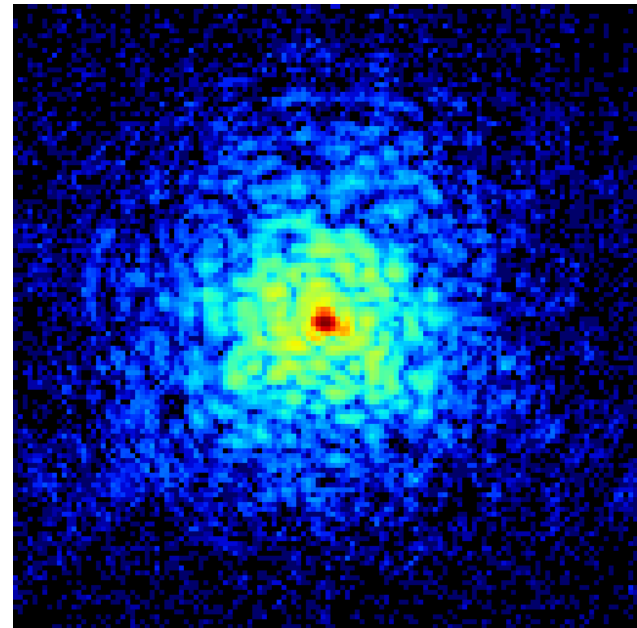
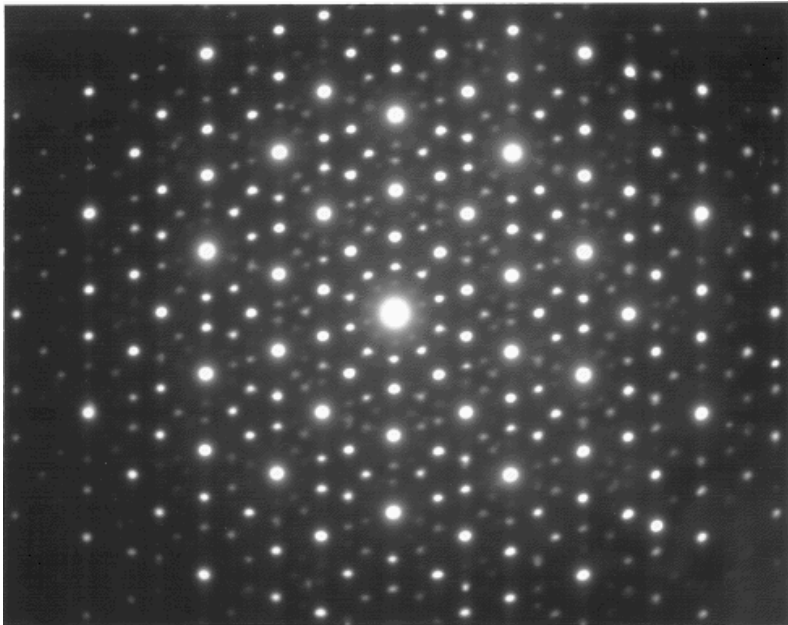
$$\frac{\vec{r}}{z} = \frac{\vec{q}}{k} = \frac{2\pi \vec{u}}{2\pi/\lambda} = \lambda \vec{u}$$

$$|\psi(\vec{r}; z \rightarrow \infty)|^2 \propto |\mathcal{F}\psi|^2 = \mathcal{I}(\vec{u})$$

Diffraction patterns



speckles



Bragg peaks

Diffraction and autocorrelation

$$\mathcal{F}^{-1}\{I(\vec{u})\} = \mathcal{F}^{-1}\{\psi(\vec{u})\psi^*(\vec{u})\} = \psi(\vec{r}) \otimes \psi(\vec{r})$$

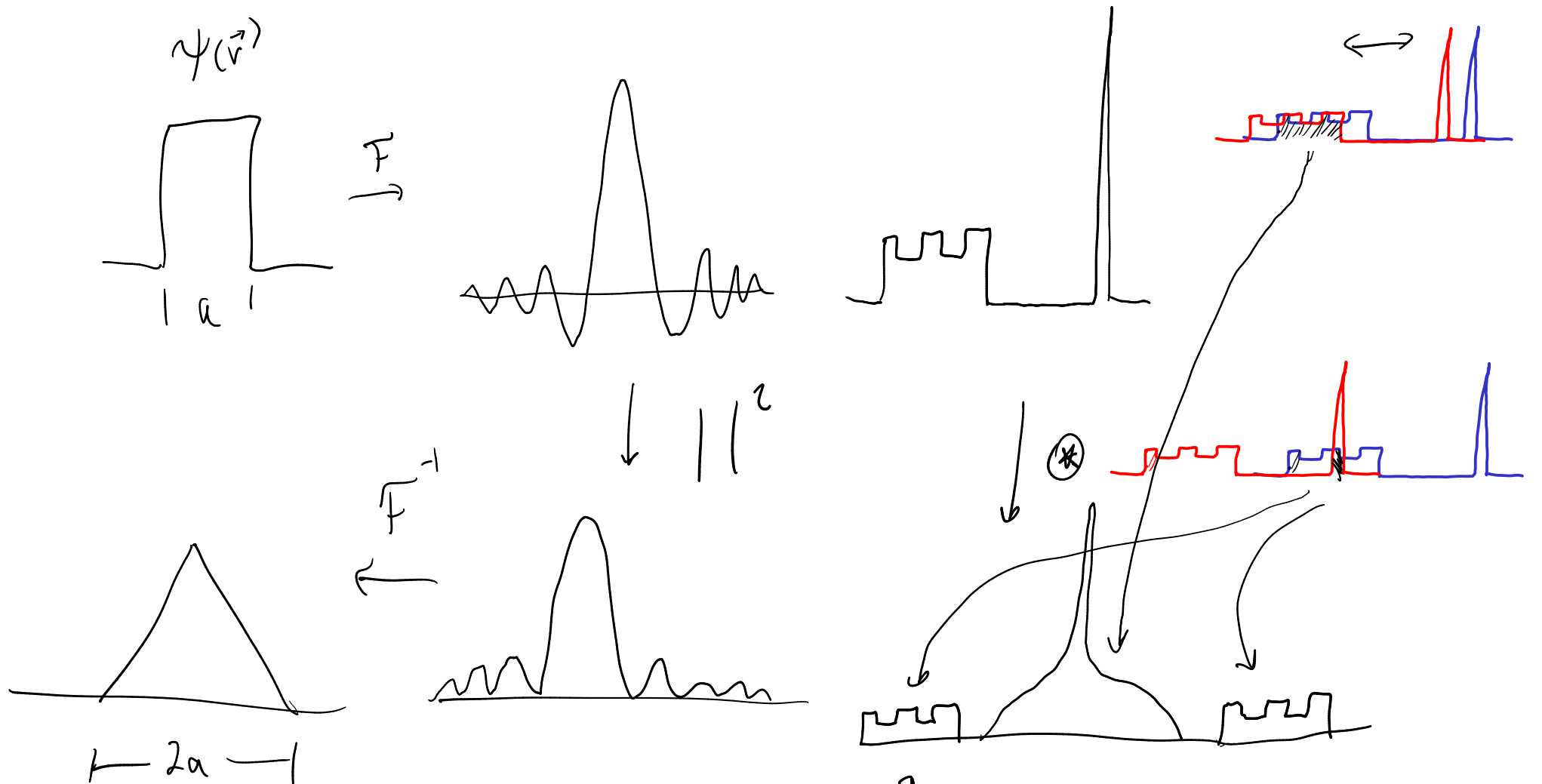


image of original feature!
by design: comes from Dirac-like feature

Fourier transform holography

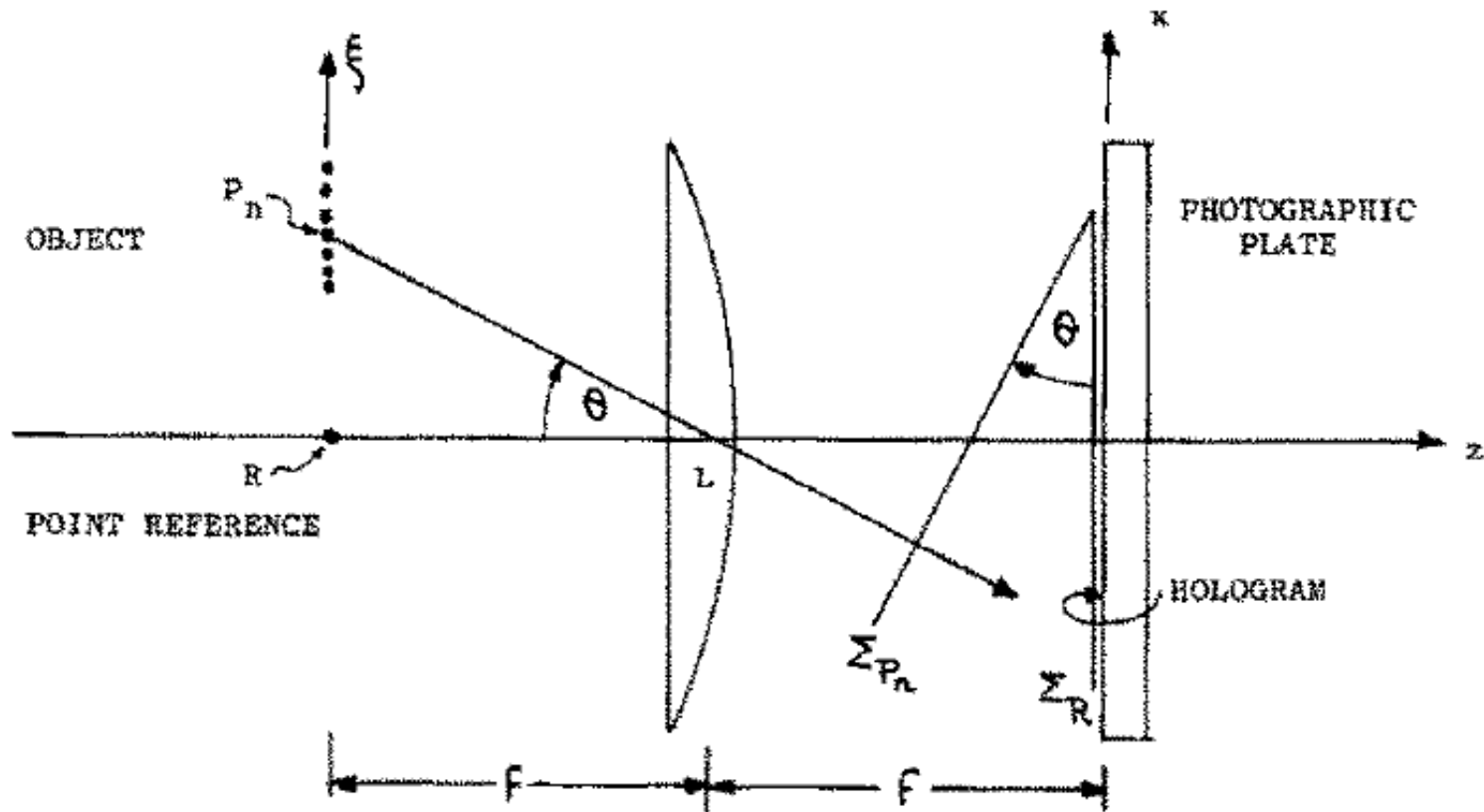
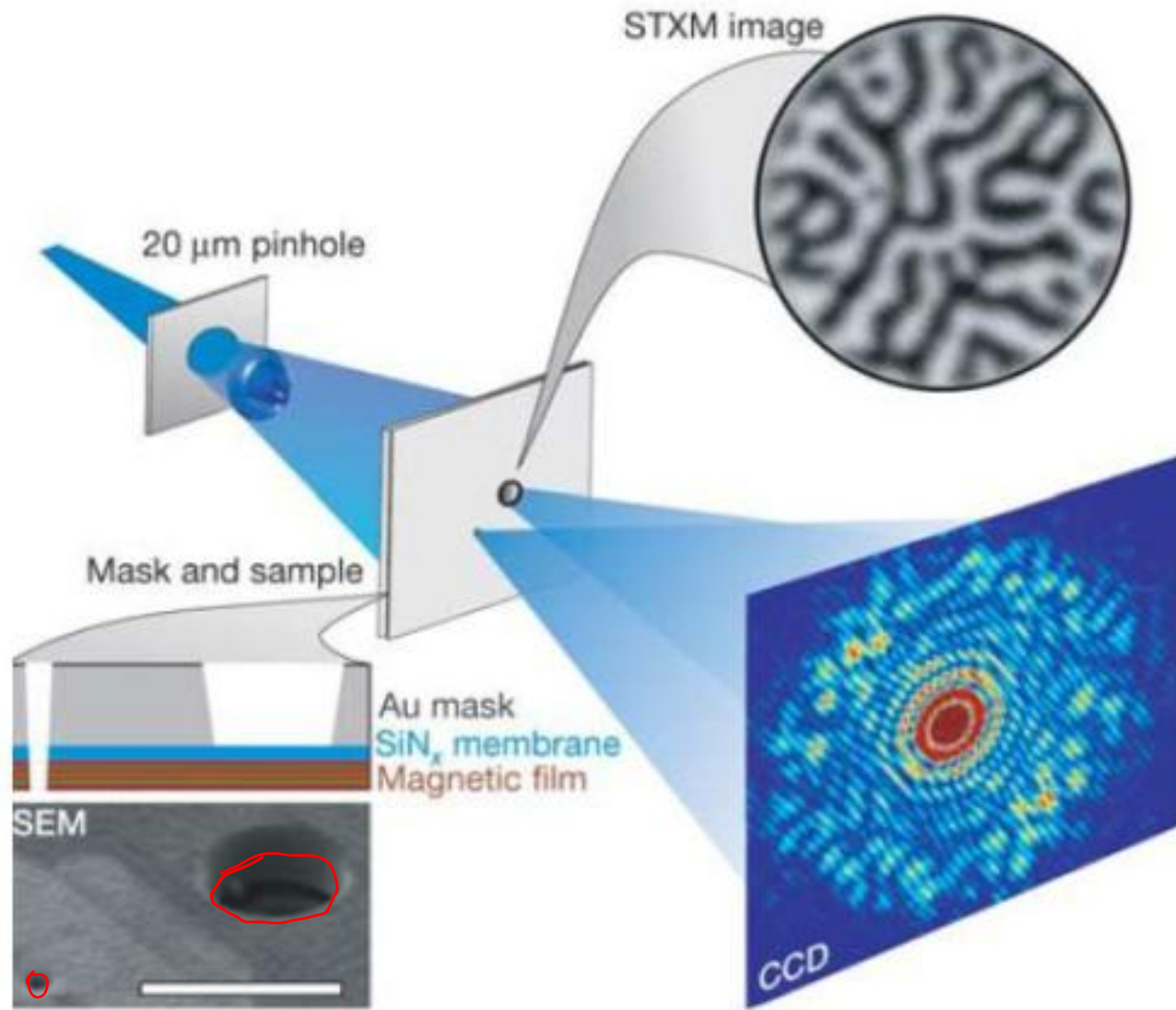


Fig. 1. Recording of a Fourier-transform hologram with a lens L . Σ_R = reference wavefront.

Source: G. Stroke, Appl. Phys. Lett. **6**, 201-203 (1965).

Fourier transform holography



Source: S. Eisebitt et al., Nature **432**, 885-888 (2004).

Fourier transform holography

$$\psi(\vec{r}) = \psi_r(\vec{r}) + \psi_o(\vec{r})$$

F.T.



$$\tilde{\psi}(\vec{u}) = \tilde{\psi}_r(\vec{u}) + \tilde{\psi}_o(\vec{u})$$

$||^2$



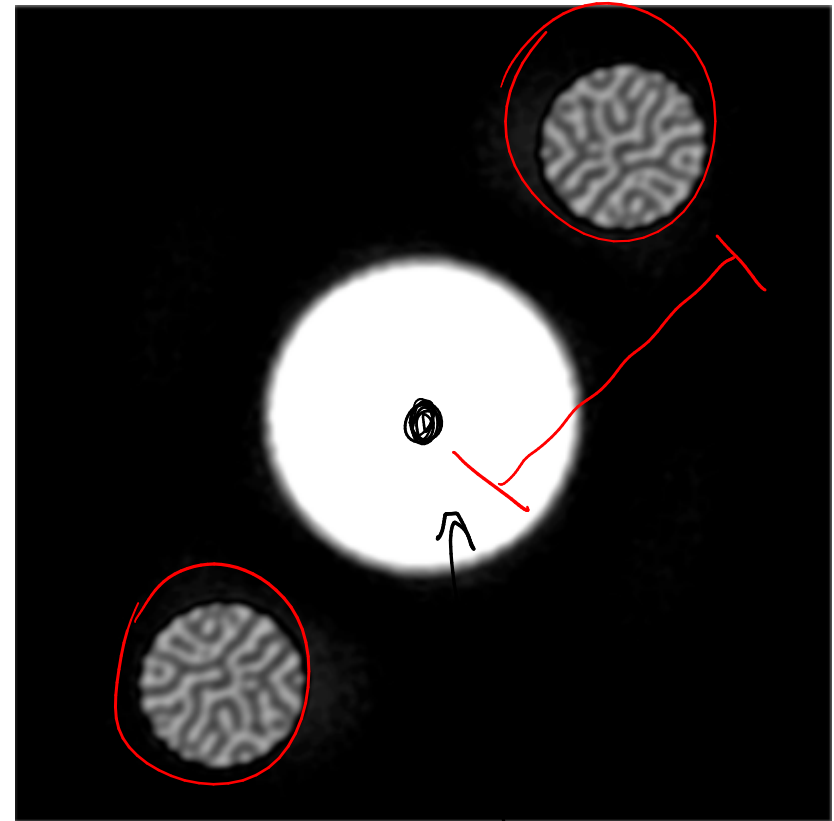
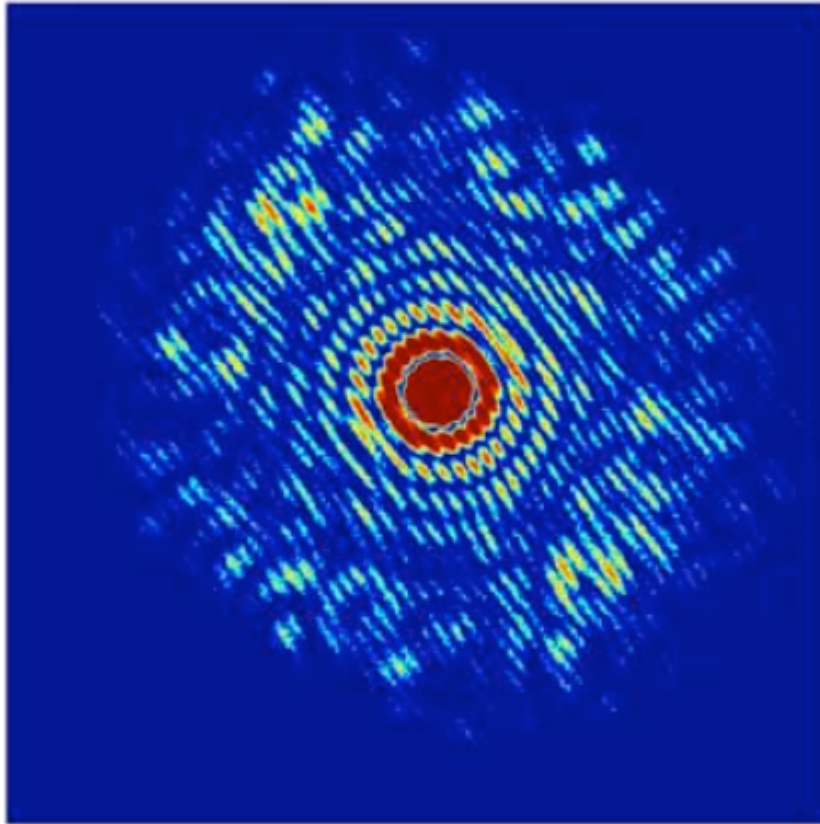
$$\mathcal{I}(\vec{u}) = |\tilde{\psi}_r(\vec{u})|^2 + |\tilde{\psi}_o(\vec{u})|^2 + \tilde{\psi}_r(\vec{u}) \tilde{\psi}_o^*(\vec{u}) + \text{c.c.}$$

\mathcal{F}^{-1}



$$\mathcal{F}^{-1}\{\mathcal{I}(\vec{u})\} = \psi_r \otimes \psi_r + \psi_o \otimes \psi_o + \underbrace{\psi_r \otimes \psi_o^* + \psi_o^* \otimes \psi_r}_{\text{cross-correlations}}$$

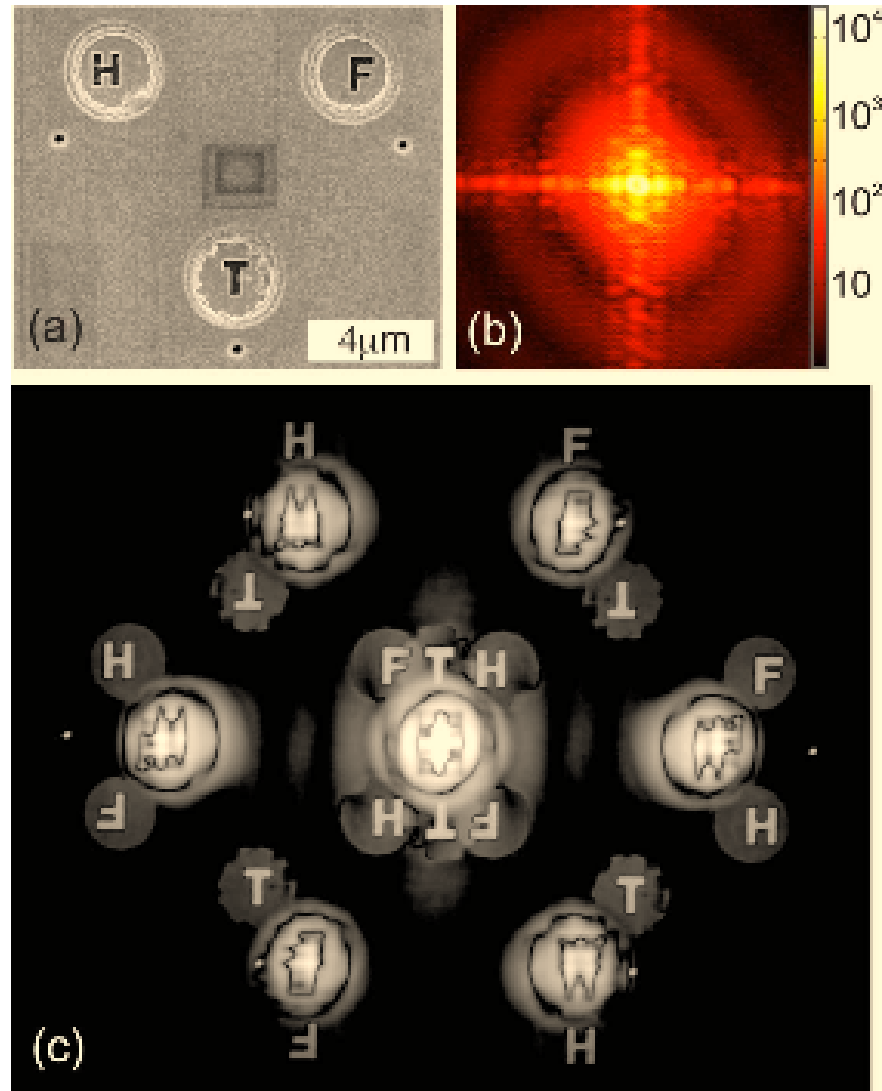
Fourier transform holography



$$\psi_o \otimes \psi_o + \psi_r \otimes \psi_r$$

Fourier transform holography

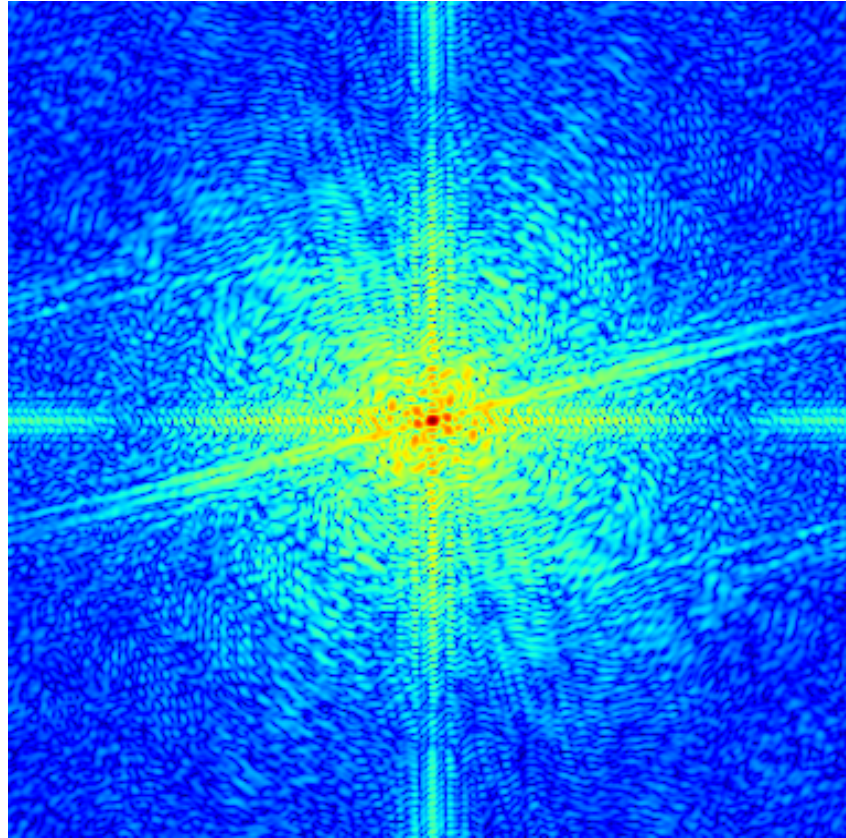
Multiple references



Source: W. Schlotter et al., Opt. Lett. **21**, 3110-3112 (2006).

Coherent diffractive imaging

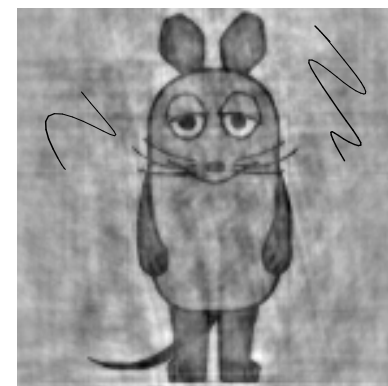
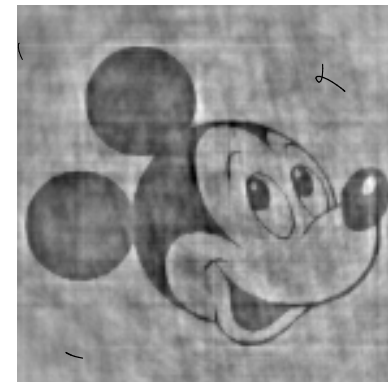
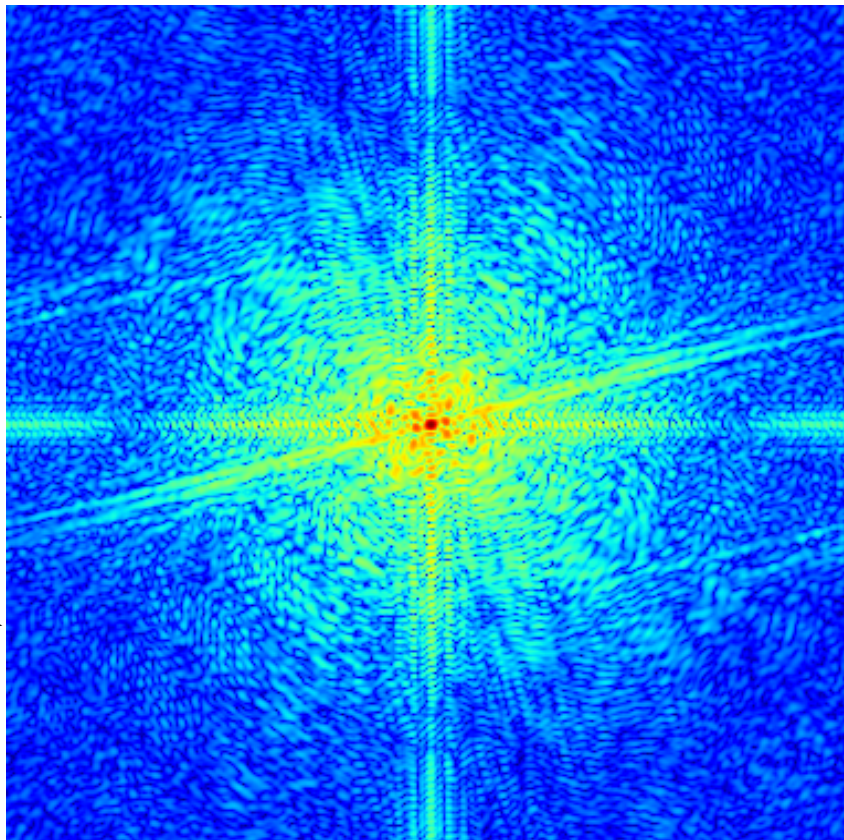
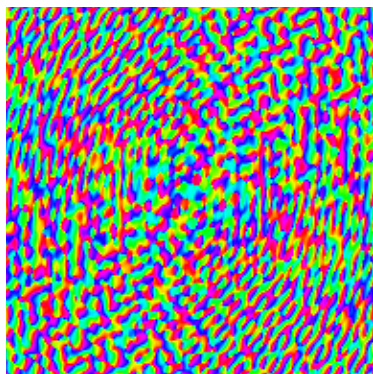
Diffraction pattern of an isolated sample



The phase problem

$$e^{i\varphi(\vec{r})}$$

$$|\mathcal{F}\{\psi(\vec{r})\}|^2$$

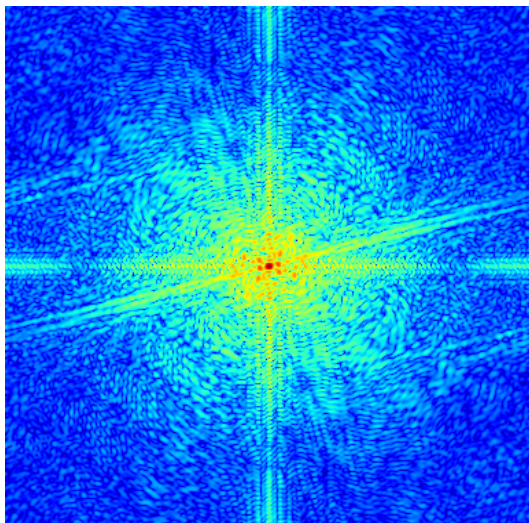


the phase part is very important to obtain the original image!

Coherent diffractive imaging

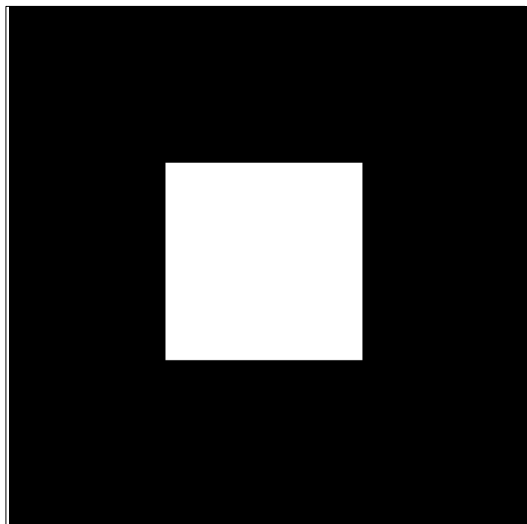
Two constraints

1. Solution has to be consistent with measured Fourier amplitudes

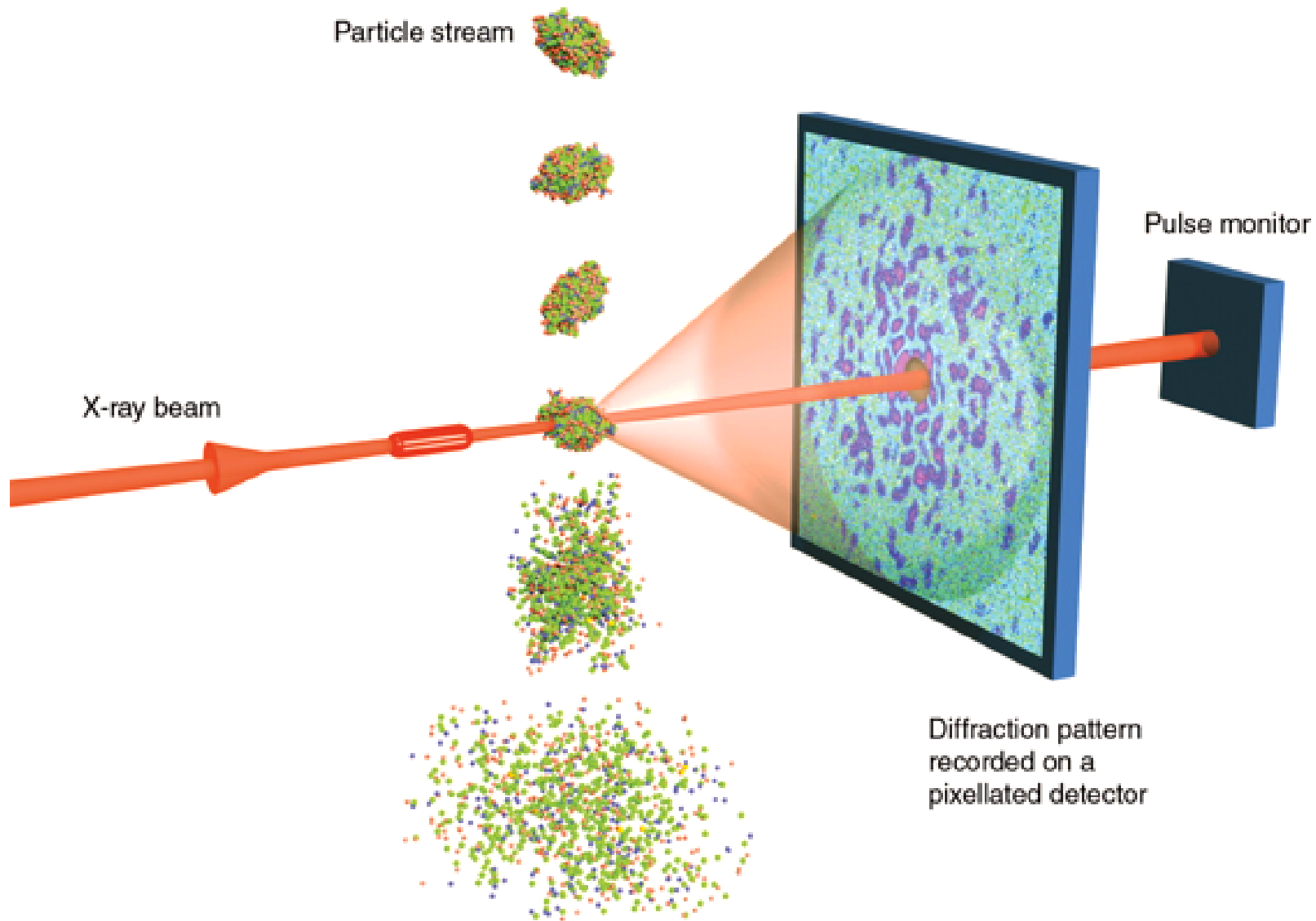


2. Solution is isolated

(any way required to sample diffraction pattern sufficiently)



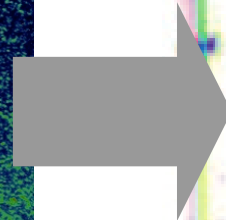
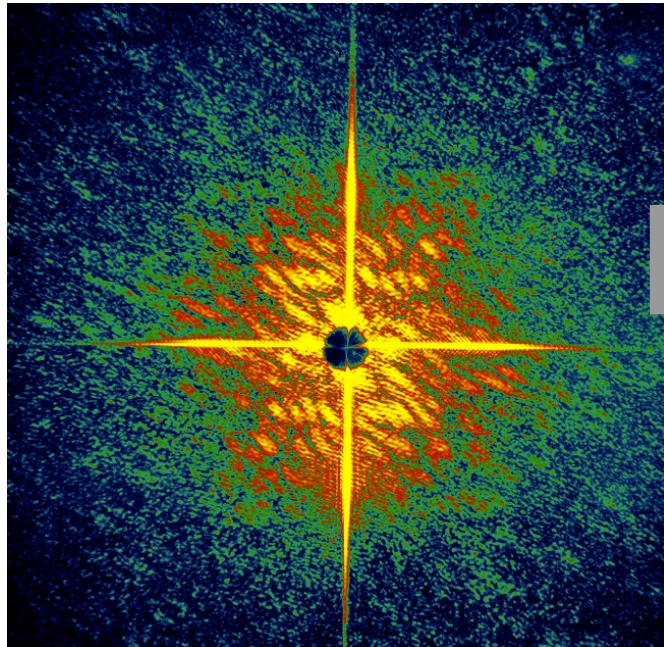
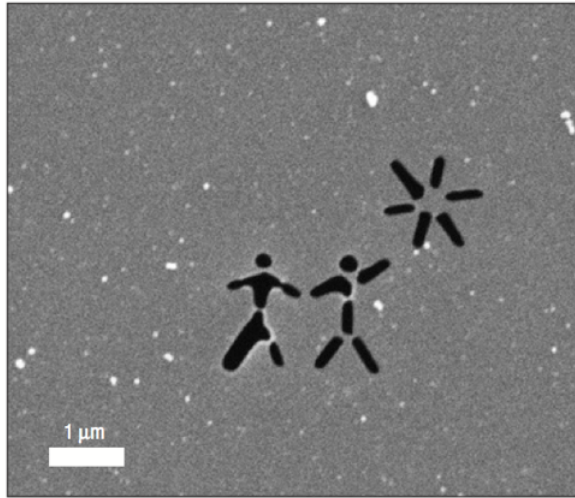
Radiation damage limits on radiation



R. Neutze *et al*, Nature **406**, 752 (2000)

K. J. Gaffney *et al*, Science **316**, 1444 (2007)

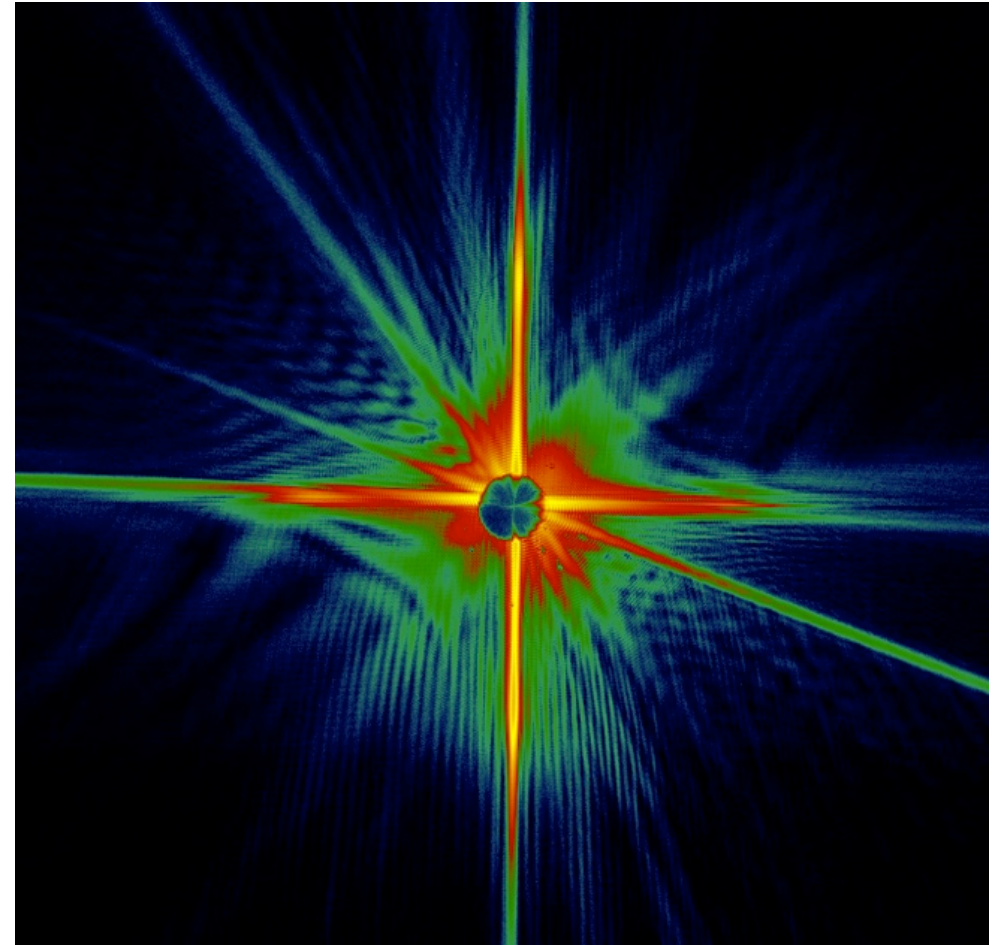
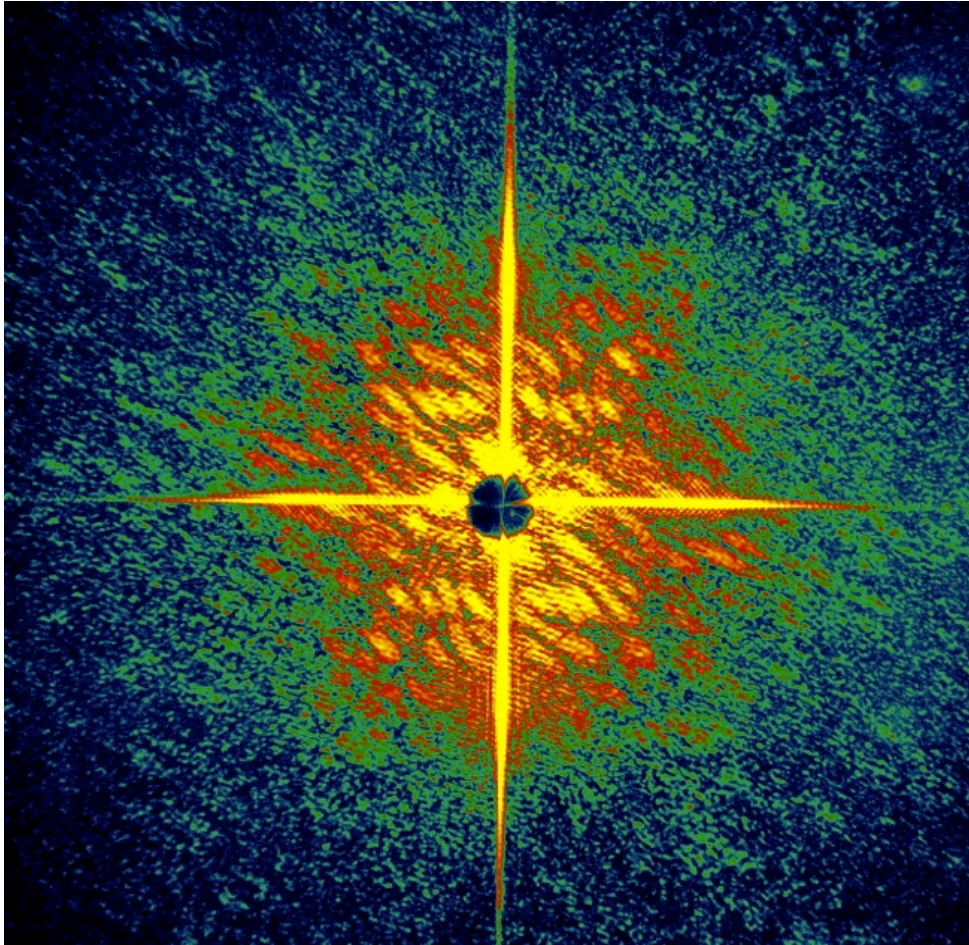
“Diffraction before destruction”



H. N. Chapman *et al*, Nat. Phys. **2**, 839 (2006)

“Diffraction before destruction”

The imaging pulse vaporized the sample



Ptychography

- Scanning an isolated illumination on an extended specimen
- Measure full coherent diffraction pattern at each scan point
- Combine everything to get a reconstruction

Dynamische Theorie der Kristallstrukturanalyse durch Elektronenbeugung im inhomogenen Primärstrahlwellenfeld

Von R. Hegerl und W. Hoppe

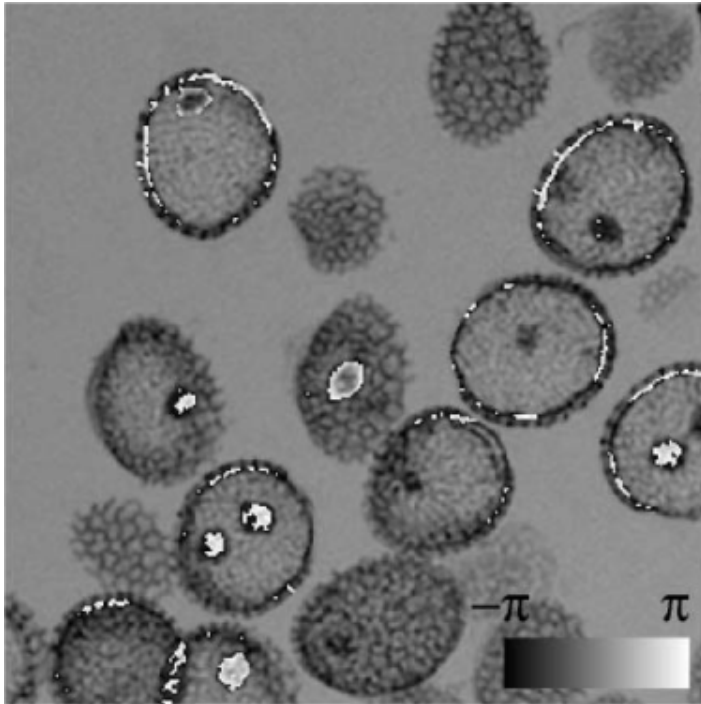
1970

Some time ago a new principle was proposed for the registration of the complete information (amplitudes and phases) in a diffraction diagram, which does not – as does Holography – require the interference of the scattered waves with a single reference wave. The basis of the principle lies in the interference of neighbouring scattered waves which result when the object function $q(x, y)$ is multiplied by a generalized primary wave function $p(x, y)$ in Fourier space (diffraction diagram) this is a convolution of the Fourier transforms of these functions. The above mentioned interferences necessary for the phase determination can be obtained by suitable choice of the shape of $p(x, y)$. To distinguish it from holography this procedure is designated ptychography ($\pi\tau\nu\zeta =$ fold). The procedure is applicable to periodic and aperiodic structures. The relationships are simplest for plane lattices. In this paper the theory is extended to space lattices both with and without consideration of the dynamic theory. The resulting effects are demonstrated using a practical example.

Ptychography

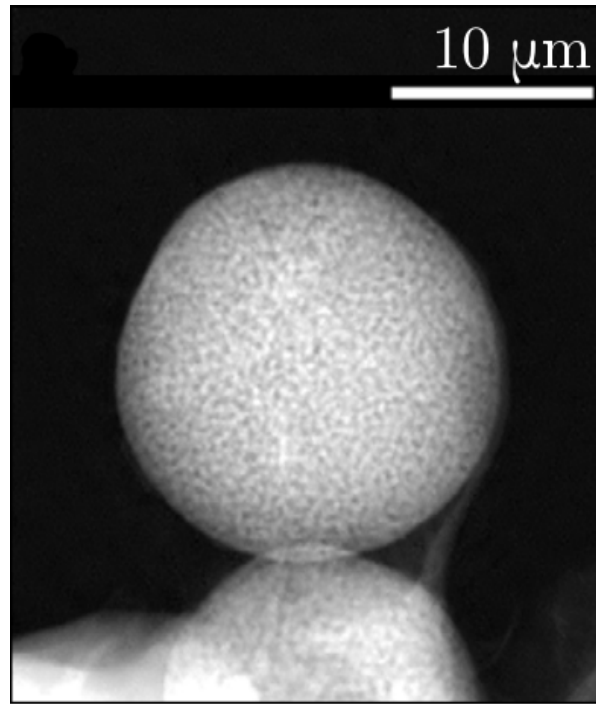
A few examples

Visible light



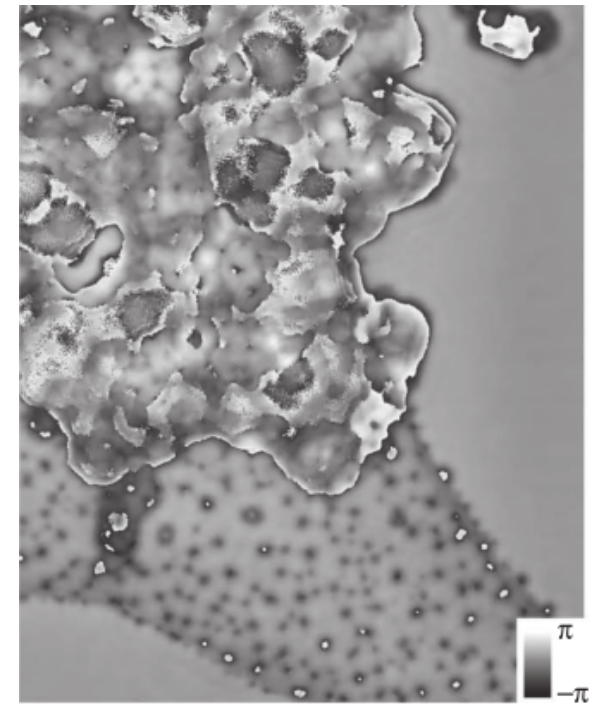
A. Maiden *et al.*, *Opt. Lett.* **35**,
2585-2587 (2010).

X-rays



P. Thibault *et al.*, *New J. Phys* **14**,
063004 (2012).

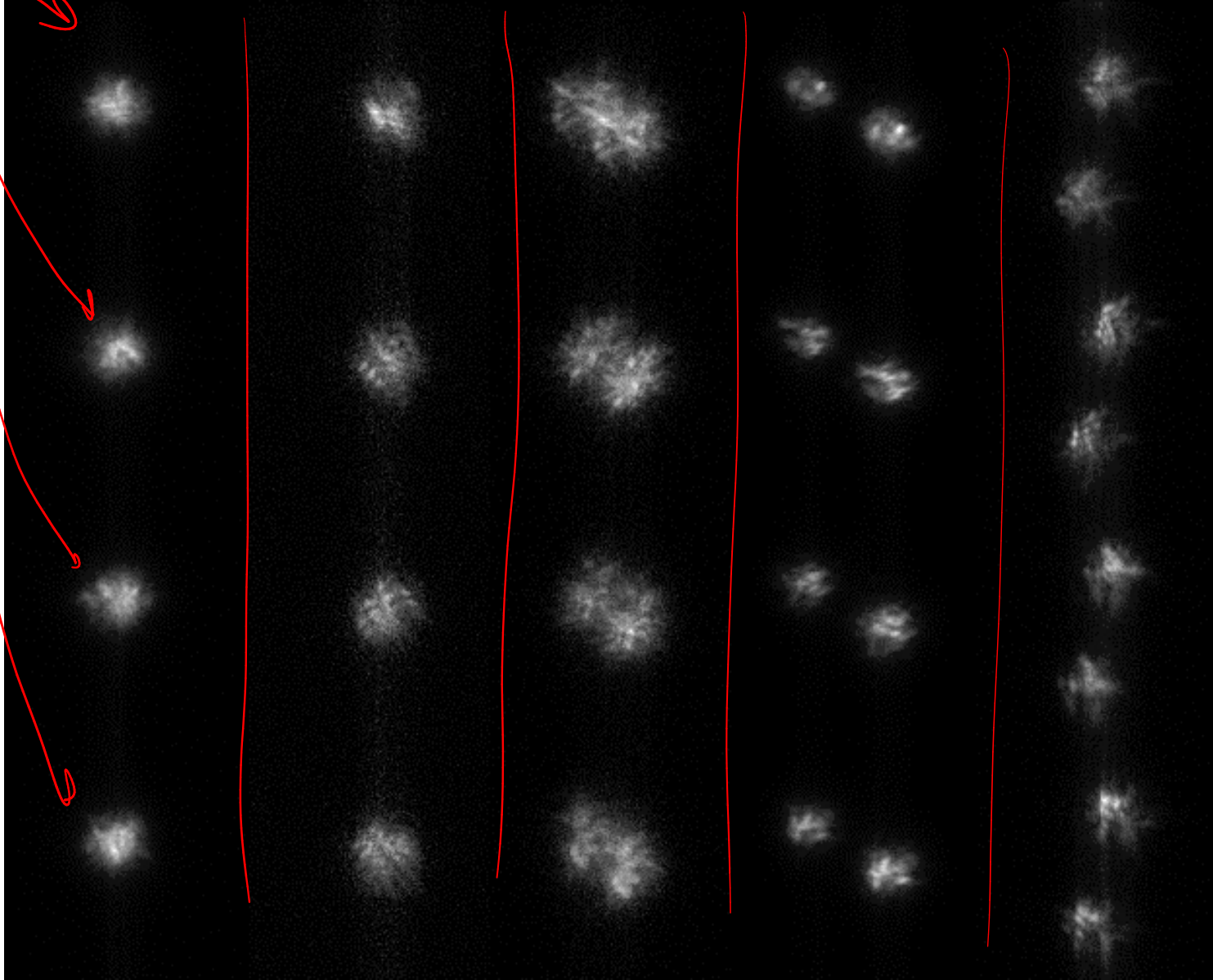
electrons



M. Humphry *et al.*,
Nat. Comm. **3**, 730 (2012).

Speckle imaging in astronomy

a single object



The image consists of four vertical panels, each showing a different stage of speckle imaging. The first panel on the left shows a single, sharp point source of light. The second panel shows the same point source but significantly blurred and spread out. The third panel shows a complex pattern of bright and dark spots (speckles) that form a recognizable shape. The fourth panel on the right shows a reconstructed image that is sharper than the original point source. Red arrows on the left point to the first three panels, and a red line on the right separates the first three panels from the fourth. Handwritten text 'a single object' is written in red above the first panel. Handwritten text 'result of air turbulence' is written in black on the right side of the image.

result of air turbulence

Source: <http://www.cis.rit.edu/research/thesis/bs/2000/hoffmann/thesis.html>

Speckle imaging in astronomy

incoherent
imaging system

Model

$$I(\vec{r}) = O * |P|^2$$

"instantaneous PSF"
changes with time
because of turbulence

$$\tilde{I}(\vec{r}) = \tilde{O} \cdot P_A$$

autocorrelation of P

$$|\tilde{I}(\vec{u})|^2 = |\tilde{O}|^2 |P_A|^2$$

known quantity
from fluid
dynamics
(well modelled)

average over
multiple independent
measurements

$$\langle |\tilde{I}|^2 \rangle = |\tilde{O}|^2 \langle |P_A|^2 \rangle$$

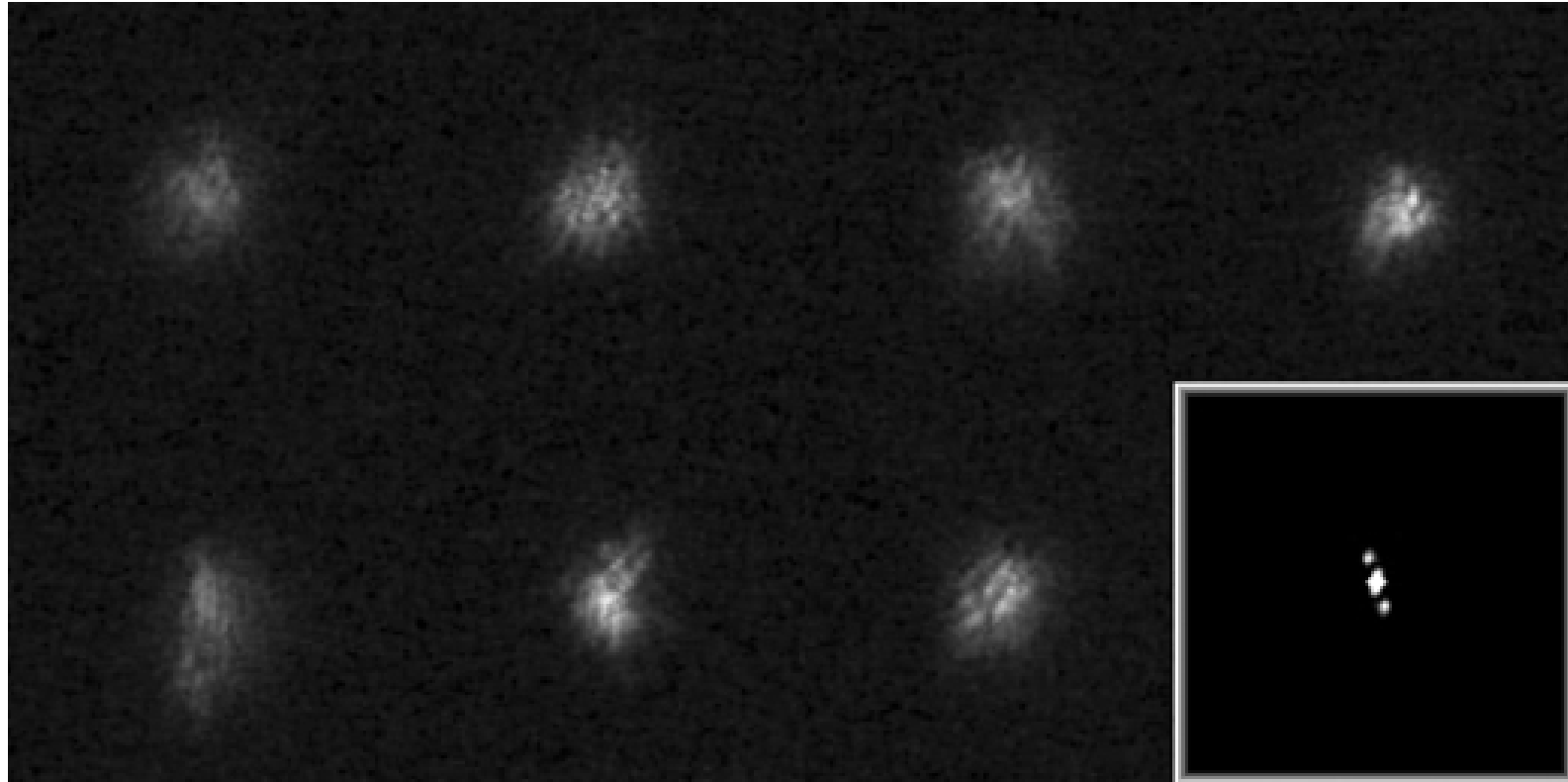
$$|\tilde{O}|^2 = \frac{\langle |\tilde{I}|^2 \rangle}{\langle |P_A|^2 \rangle}$$

← model

recovering O from $|\tilde{O}|^2 \rightarrow$ same as CDI

Speckle imaging in astronomy

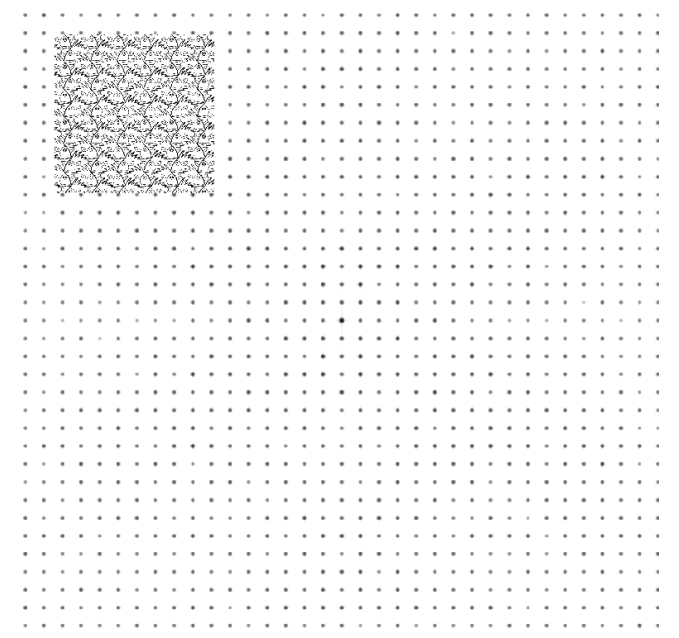
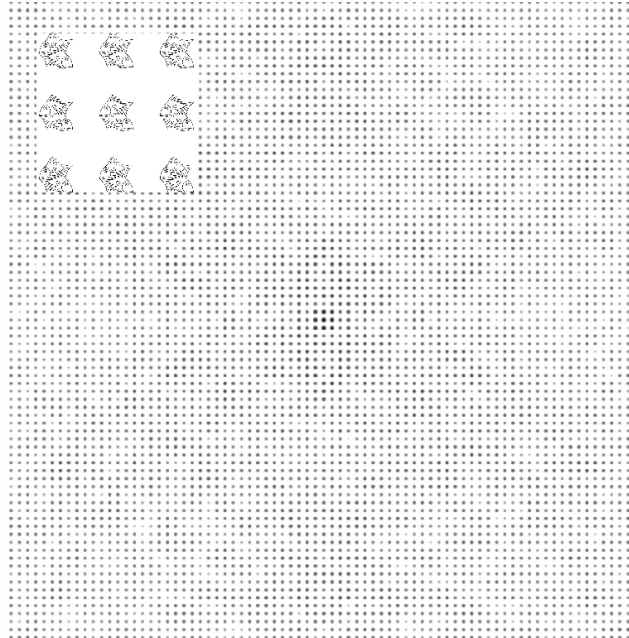
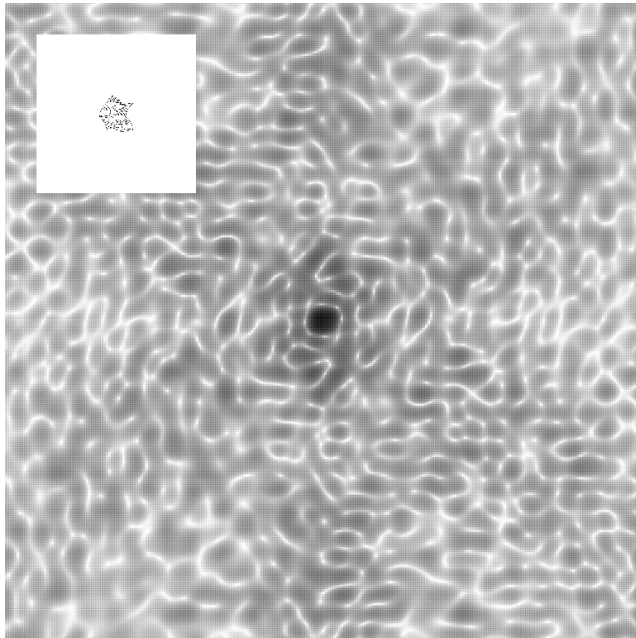
Retrieval of the autocorrelation



Source: <http://www.astrosurf.com/hfosaf/uk/speckle10.htm>

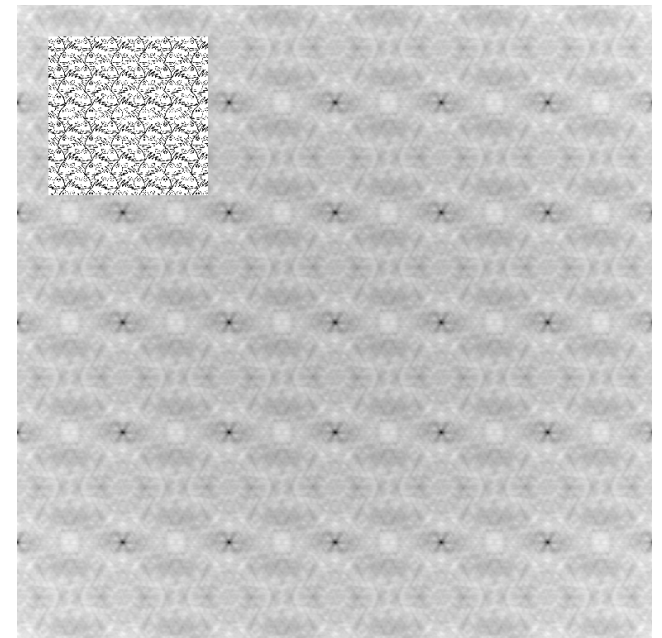
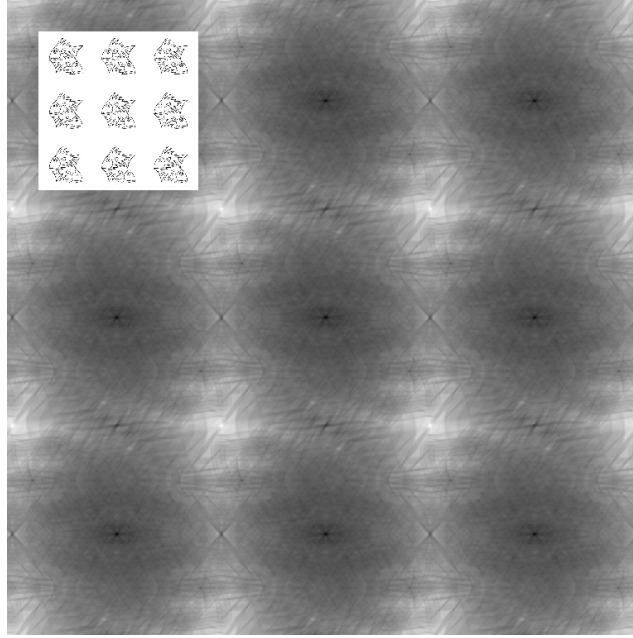
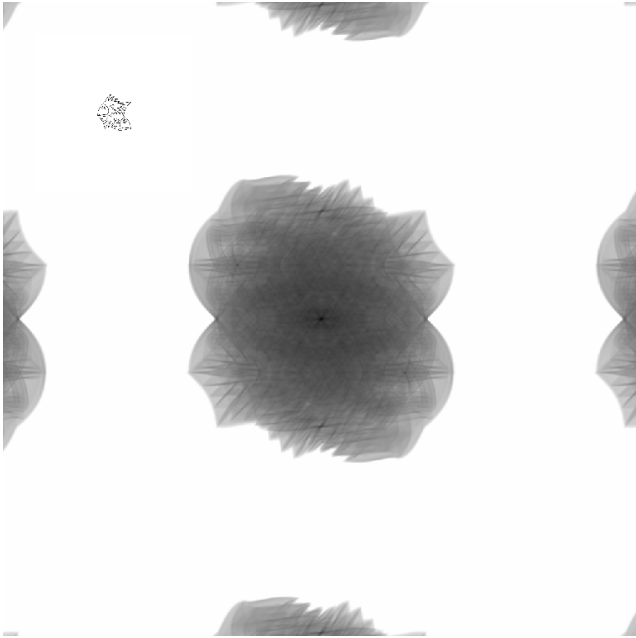
Crystallography

Diffraction by a crystal: Bragg peaks

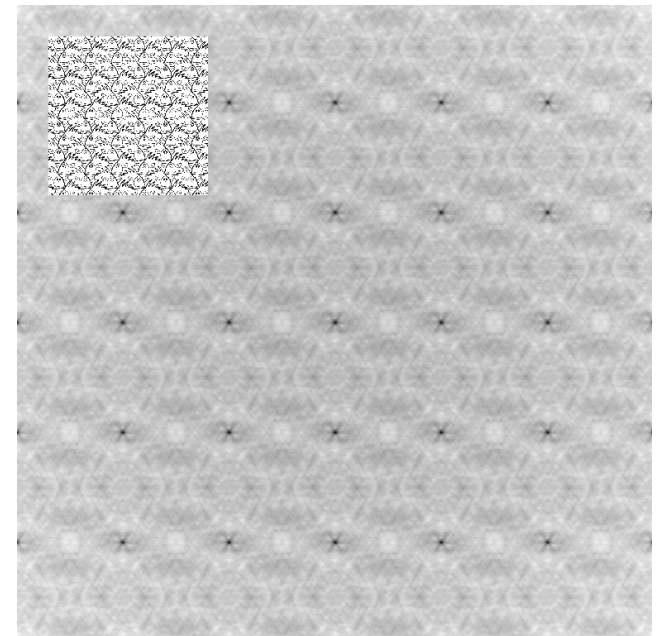
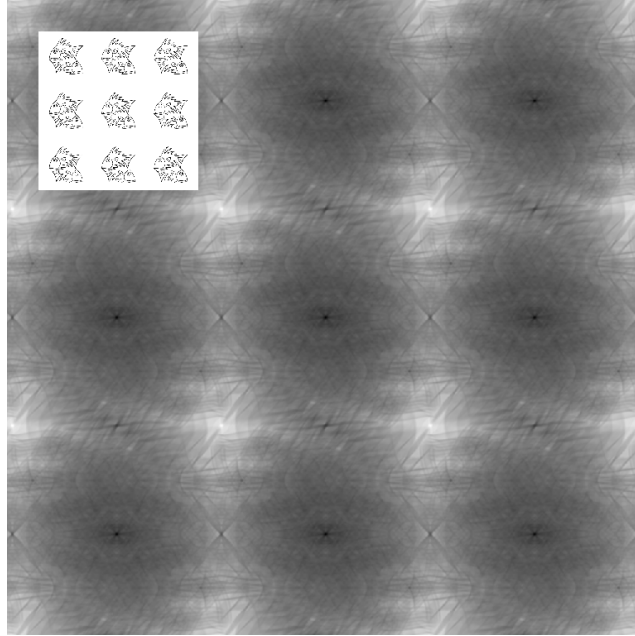
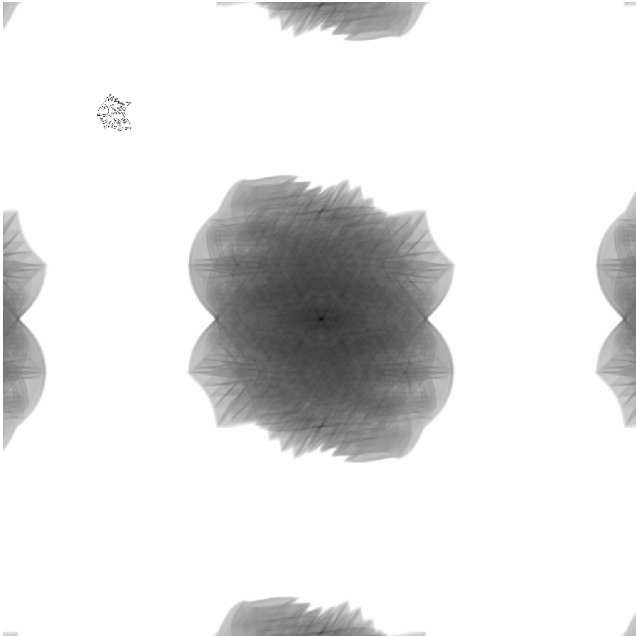


Crystallography

Fourier transform of intensity: autocorrelation



Crystallography

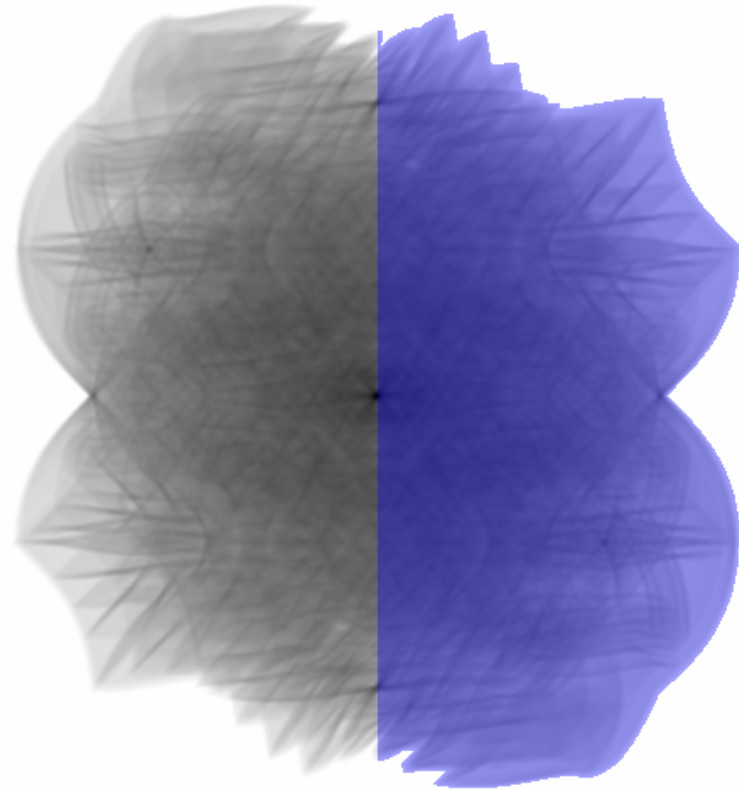


Crystallography

Problem is overconstrained with an isolated sample



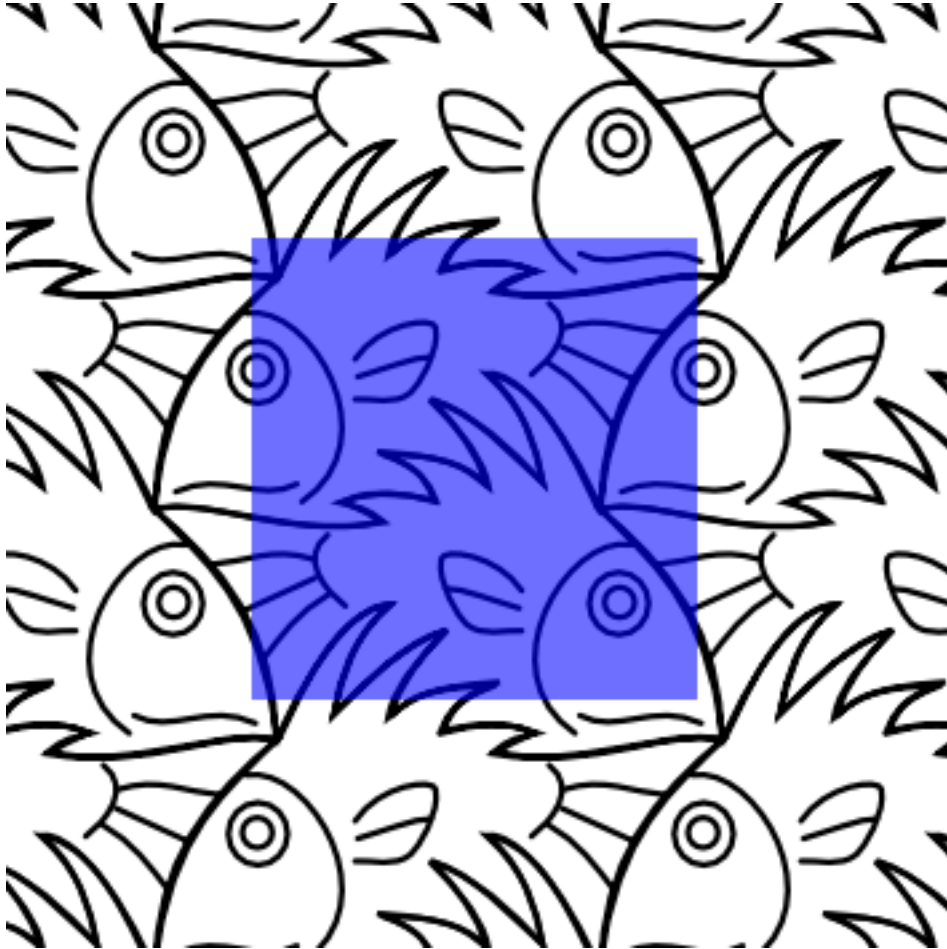
unknowns = N



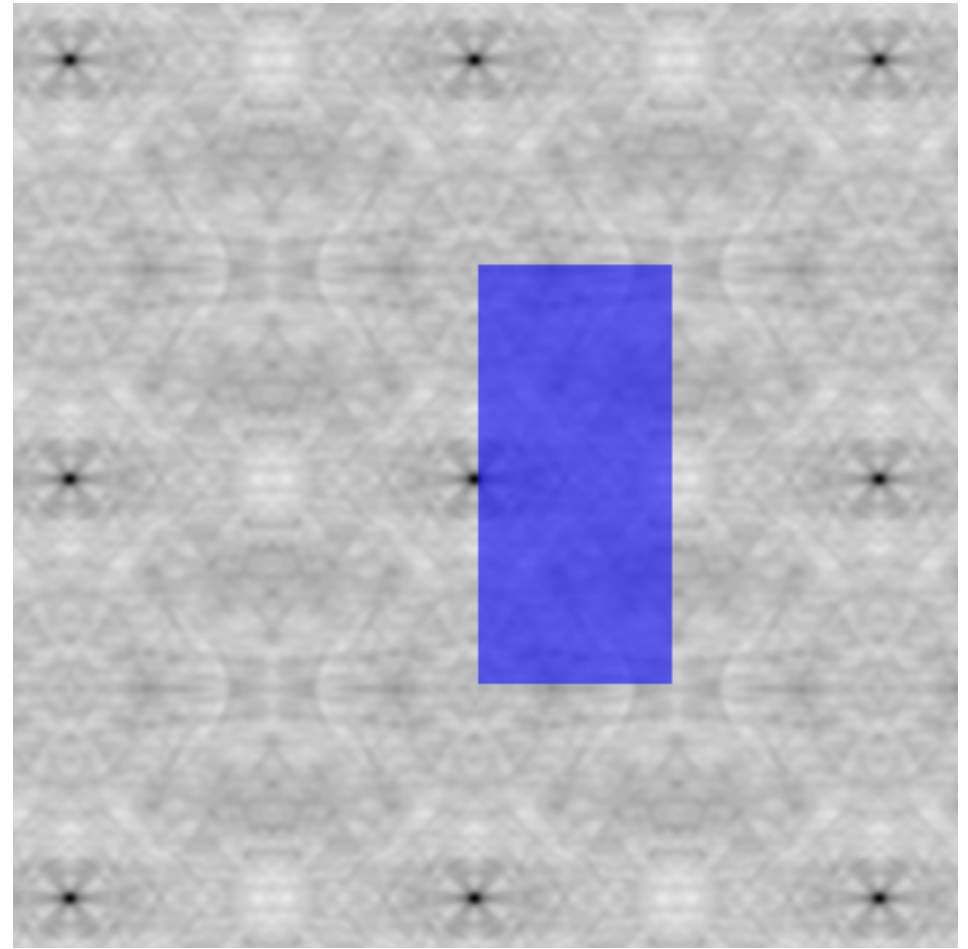
constraints $\geq 2N$

Crystallography

Problem is **underconstrained** with a crystal



unknowns = N



constraints = $N/2$

Crystallography

Structure determination

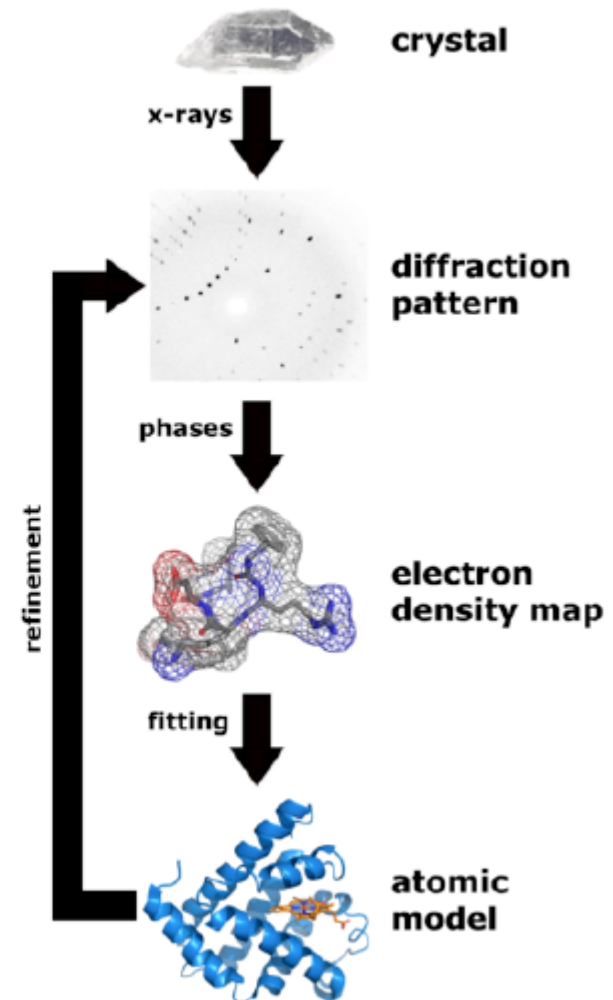
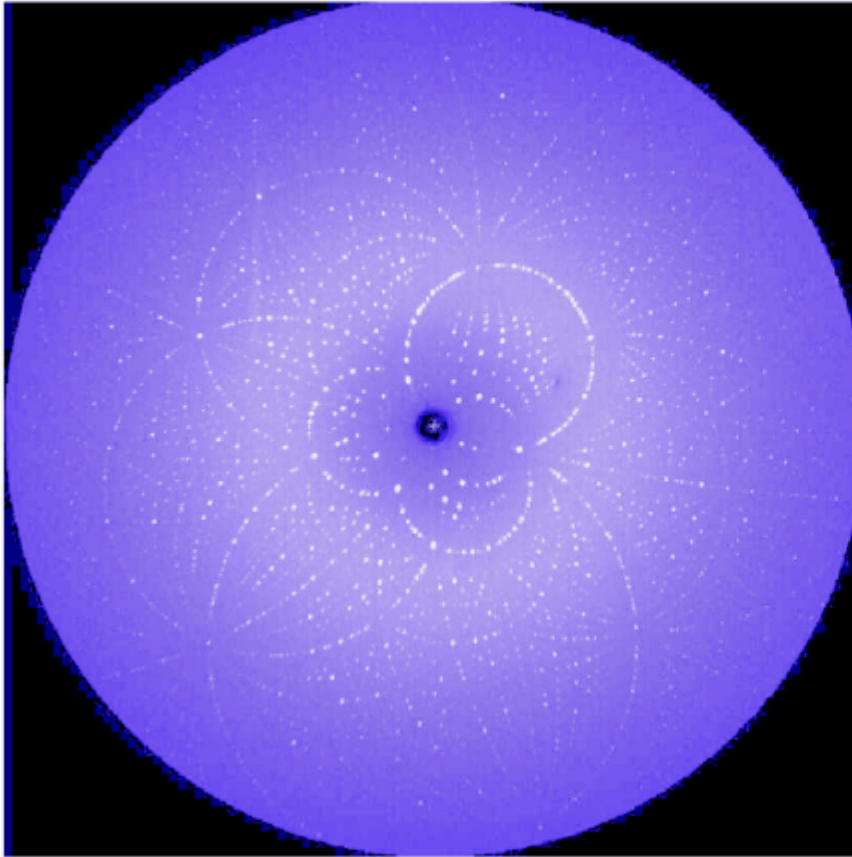


Image from Wikimedia courtesy Thomas Splettstoesser

Crystallography

Structure determination

- Hard problem: few measurements for the number of unknowns
- Luckily: crystals are made of atoms → strong constraint
- Also common: combining additional measurements (SAD, MAD, isomorphous replacement, ...)

Summary

Imaging from far-field amplitudes

- Used when image-forming lenses are unavailable (or unreliable) or to obtain more quantitative images.
- In general difficult because of the phase problem
- Solved with the help of additional information:
 - Strong *a priori* knowledge (e.g. CDI: support)
 - Multiple measurements (e.g. ptychography)