Red-Black Trees Chapter 13 of Cormen's book Giulia Bernardini

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# Rotations

#### LEFT-ROTATE(T, x)

1 y = x.right2 x.right = y.left3 **if**  $y.left \neq T.nil$ 4 y.left.p = x5 y.p = x.p6 if x.p == T.nil7 T.root = y8 elseif x == x.p.left9 x.p.left = y10 else x.p.right = y11 *y*.left = x12 x.p = y

// set y
// turn y's left subtree into x's right subtree

// link x's parent to y

// put x on y's left

**Cormen Problem 12-1.** Equal keys pose a problem for the implementation of binary search trees.

**a.** What is the asymptotic performance of TREE-INSERT when used to insert *n* items with identical keys into an initially empty binary search tree?

**Cormen Problem 12-1.** We propose to improve TREE-INSERT by testing before line 5 to determine whether z.key = x.key and by testing before line 11 to determine whether z.key = y.key.

TREE-INSERT(T, z)

- 1 y = NIL
- $2 \quad x = T.root$
- 3 while  $x \neq \text{NIL}$
- $\begin{array}{ll} 4 & y = x \\ 5 & \text{if } z.key < x.key \end{array}$
- $\begin{array}{ll}
  6 & x = x.left \\
  7 & \textbf{else } x = x.right
  \end{array}$
- $\begin{array}{ccc} 8 & z \cdot p = y \\ \circ & \cdot e \end{array}$
- 9 **if** y == NIL
- $\begin{array}{ll} 10 & T.root = z \\ 11 & \textbf{elseif } z.key < y.key \end{array}$
- $11 \quad \text{ciscil} \ z.key < y.ke_1$  $12 \qquad y.left = z$
- 13 else y.right = z

If equality holds, we implement one of the following strategies. For each strategy, find the asymptotic performance of inserting n items with identical keys into an initially empty binary search tree. (The strategies are described for line 5, in which we compare the keys of z and x. Substitute y for x to arrive at the strategies for line 11.)

**b.** Keep a boolean flag *x.b* at node *x*, and set *x* to either *x.left* or *x.right* based on the value of *x.b*, which alternates between FALSE and TRUE each time we visit *x* while inserting a node with the same key as *x*.

**Cormen Problem 12-1.** We propose to improve TREE-INSERT by testing before line 5 to determine whether z.key = x.key and by testing before line 11 to determine whether z.key = y.key.

TREE-INSERT(T, z)

- 1 y = NIL
- 2 x = T.root

3 while  $x \neq \text{NIL}$ 

- 4 y = x 5 if z.key < x.key 6 x = x.left 7 else x = x.right 8 z.p = y 9 if y == NIL10 T.root = z
- 11 **elseif** z.key < y.key
- 12 y.left = z
- 13 else y.right = z

If equality holds, we implement one of the following strategies. For each strategy, find the asymptotic performance of inserting n items with identical keys into an initially empty binary search tree. (The strategies are described for line 5, in which we compare the keys of z and x. Substitute y for x to arrive at the strategies for line 11.)

**c.** Keep a list of nodes with equal keys at *x*, and insert *z* into the list.

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TREE-INSERT(T, z)

- 1 y = NIL
- 2 x = T.root
- 3 while  $x \neq \text{NIL}$
- $\begin{array}{ll} 4 & y = x \\ 5 & \text{if } z.key < x.key \end{array}$
- $\begin{array}{ll}
  6 & x = x.left \\
  7 & else \ x = x.right
  \end{array}$
- 8 z.p = y
- 9 **if** y == NIL
- 10 T.root = z
- 11 **elseif** z.key < y.key
- 12 y.left = z
- 13 else y.right = z

If equality holds, we implement one of the following strategies. For each strategy, find the asymptotic performance of inserting n items with identical keys into an initially empty binary search tree. (The strategies are described for line 5, in which we compare the keys of z and x. Substitute y for x to arrive at the strategies for line 11.)

**d.** Randomly set x to either *x.left* or *x.right*. (Give the worst-case performance and informally derive the expected running time.)

A preorder traversal of a tree is given by the following procedure:

- Visit (print) the root node
- Traverse the left sub-tree in pre-order
- Traverse the right sub-tree in pre-order

A postorder traversal of a tree is given by the following procedure:

- Traverse the left subtree by calling the postorder function recursively.
- Traverse the right subtree by calling the postorder function recursively.
- Visit (print) the current node.

**EX.** Given a BST in pre-order as {13,5,3,2,11,7,19,23}, draw this BST and determine if this BST is the same as one described in post-order as {2,3,5,7,11,23,19,13}.