



Asymmetric hydroformylation of alkenes

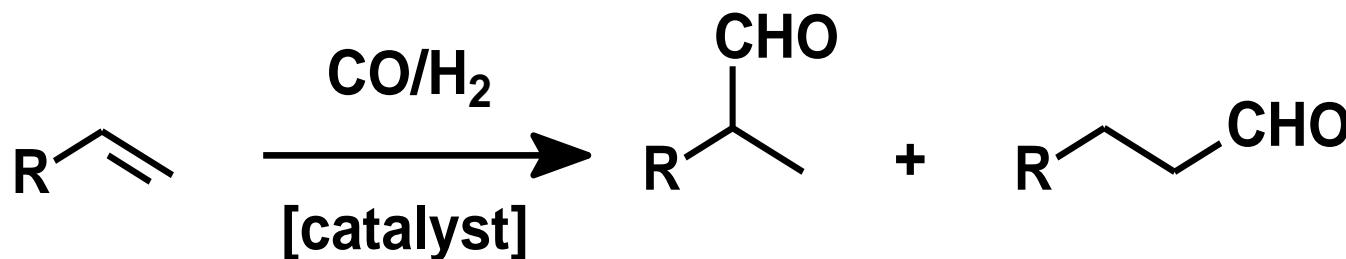
Cyril Godard

Trieste, May 2024

CONTENTS

- Hydroformylation of alkenes: general concepts
- Rh-based Mechanism and catalysts
- Type of selectivities in hydroformylation
- Asymmetric hydroformylation: interest and history
- Results according to the type of substrate
- Perspectives

Hydroformylation

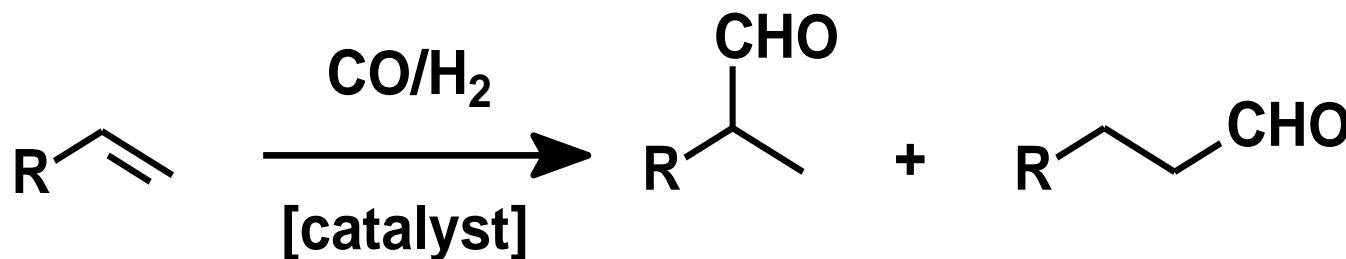


reaction discovered by O. Roelen in 1938 during his work on Fischer-Tropsch synthesis

= addition of CO and H_2 across the π -system of a $\text{C}=\text{C}$ double bond

Reaction catalysed by various transition metals:
 Pt , Pd , Co , Rh , Ir , Ru , etc...

Hydroformylation



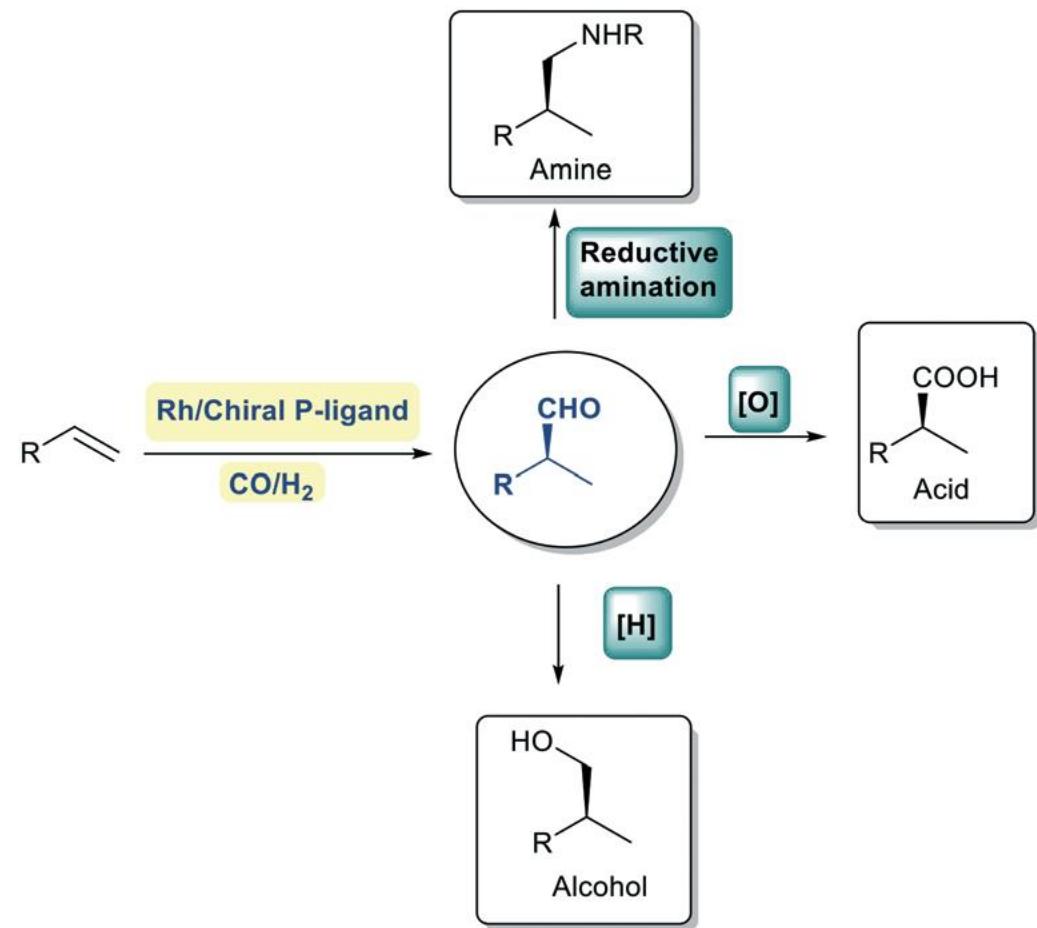
Atom economic process

several million tons of oxo-products are manufactured per year, mainly through the hydroformylation of propene

- Very important reaction for the production of softeners for plastics and detergent alcohols.

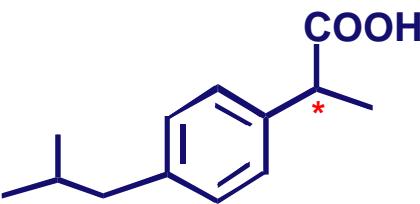
Asymmetric hydroformylation: interest and history

chiral aldehydes
are products of
interest due to
their potential in
terms of
subsequent
functionalization

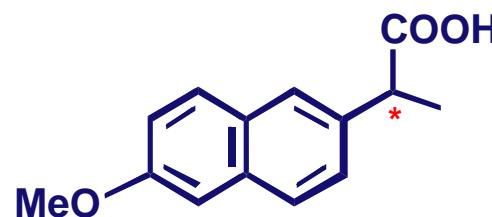


Asymmetric hydroformylation: interest and history

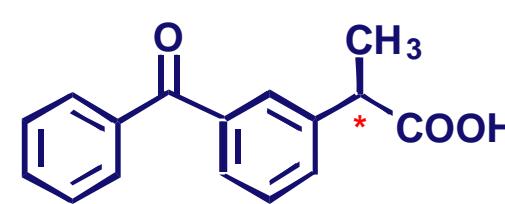
Anti-inflammatory drugs



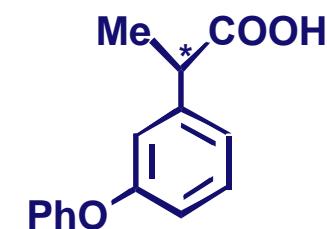
Ibuprofen



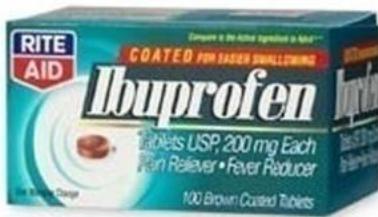
Naproxen



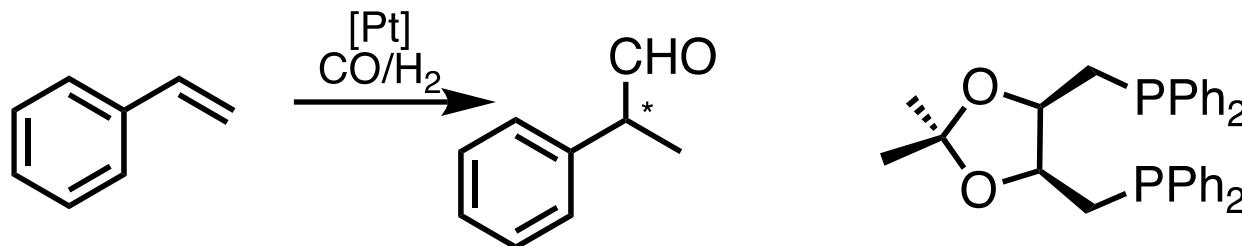
Ketoprofen



Fenoprofen



Asymmetric hydroformylation (AHF)

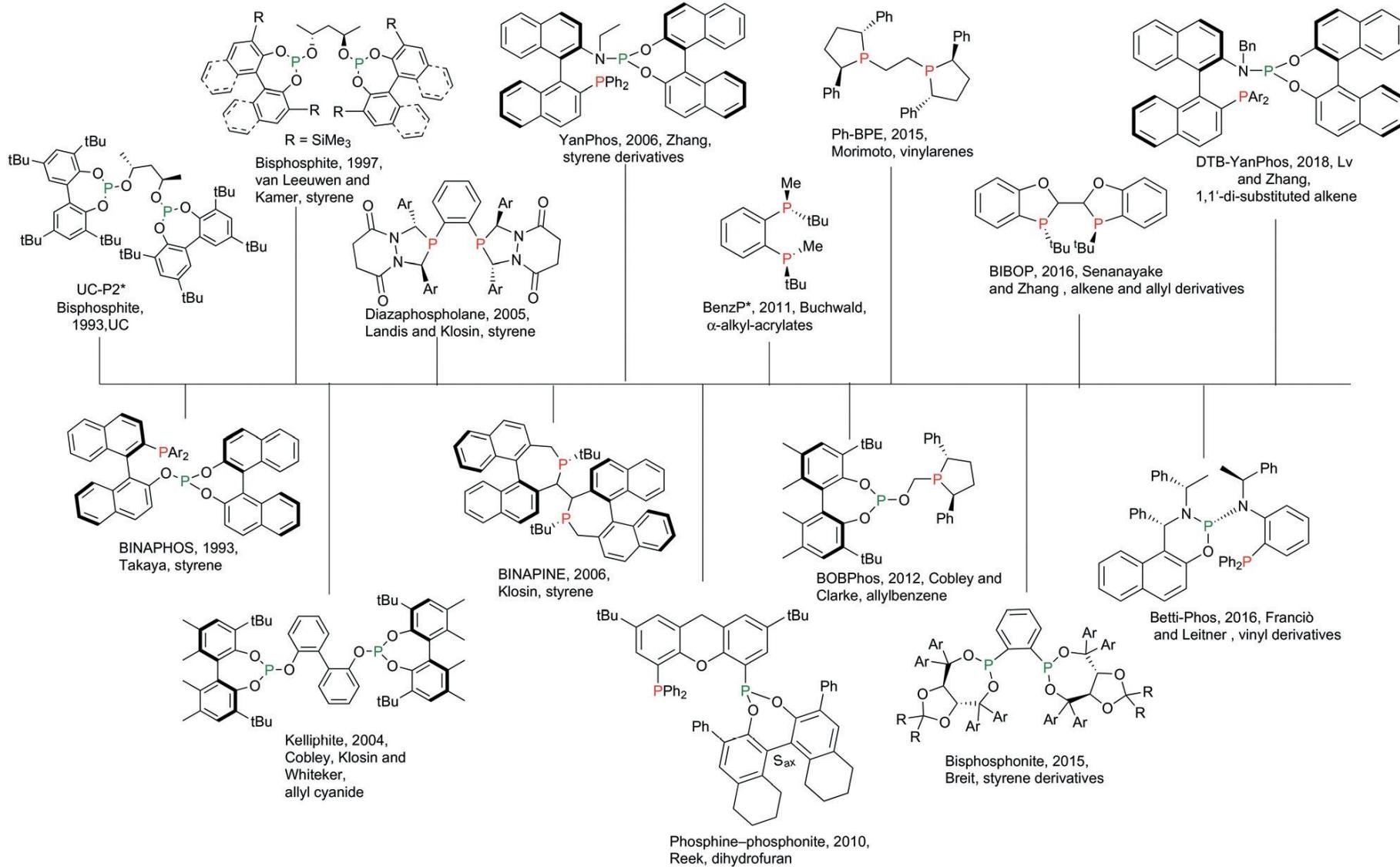


- First high ee's obtained with Pt based catalysts using DIOP type ligands (ee's up to ca. 90%)
 - Low reaction rates
 - Tendency to hydrogenate
 - Low regioselectivity

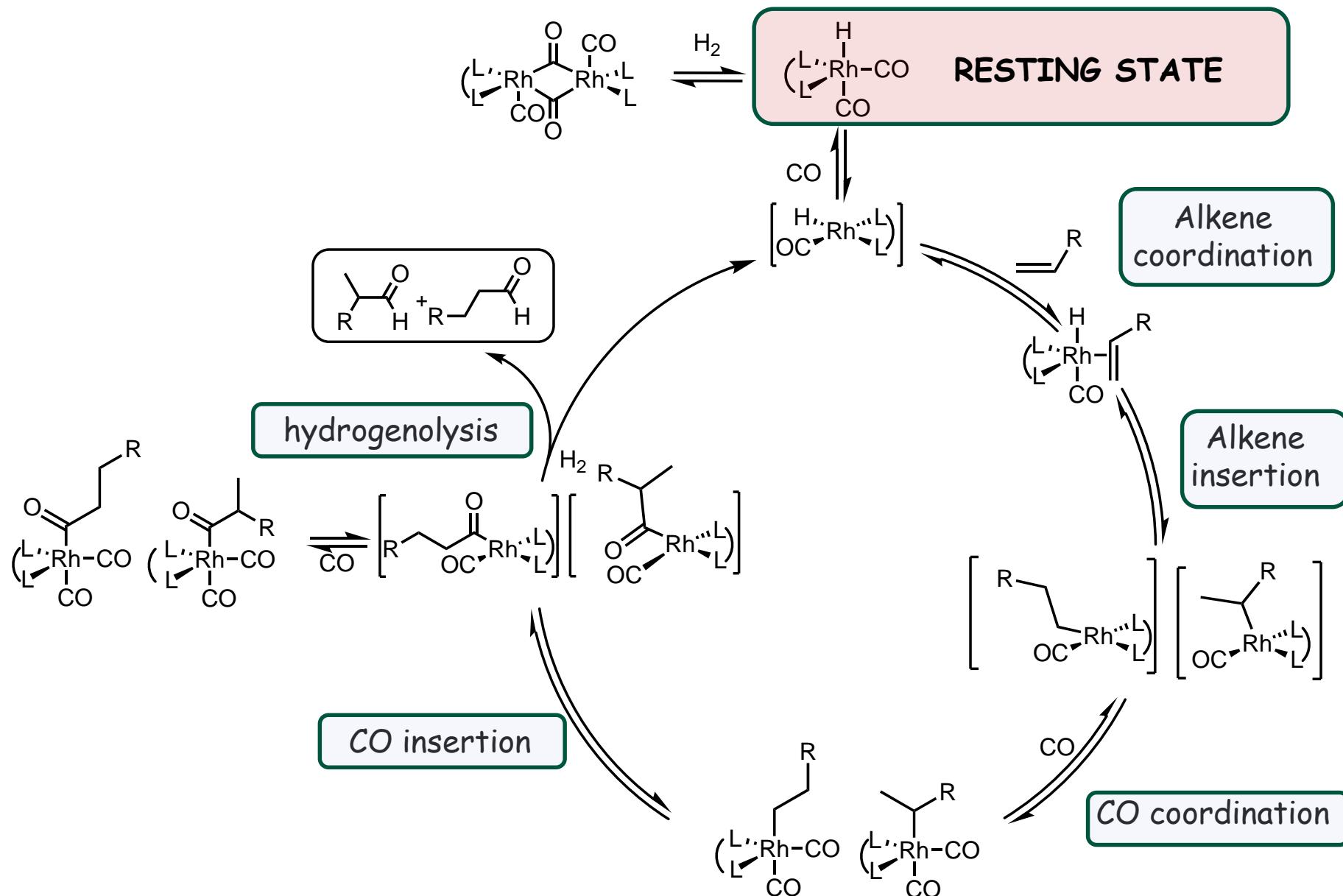
Rhodium

Consiglio, G.; Nefkens, S. C. A.; Borer, A. *Organometallics* **1991**, *10*, 2046-2051; Stille, J. K.; Su, H.; Brechot, P.; Parrinello, G.; Hegedus, L. S. *Organometallics* **1991**, *10*, 1183-1189.

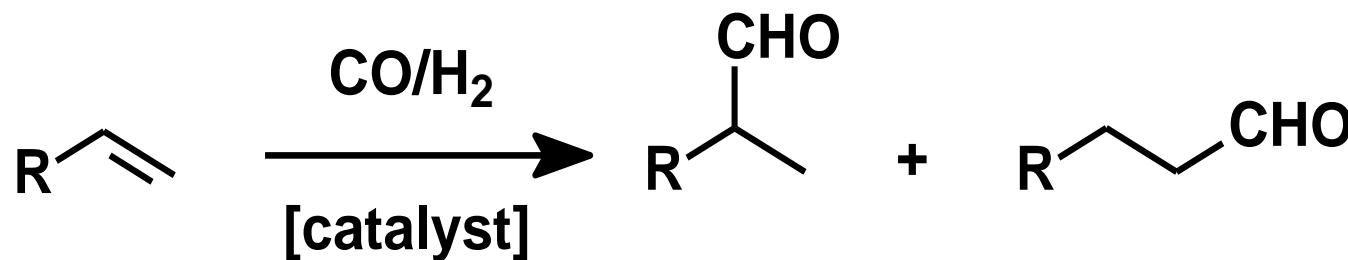
Asymmetric hydroformylation: ligand design



Hydroformylation mechanism



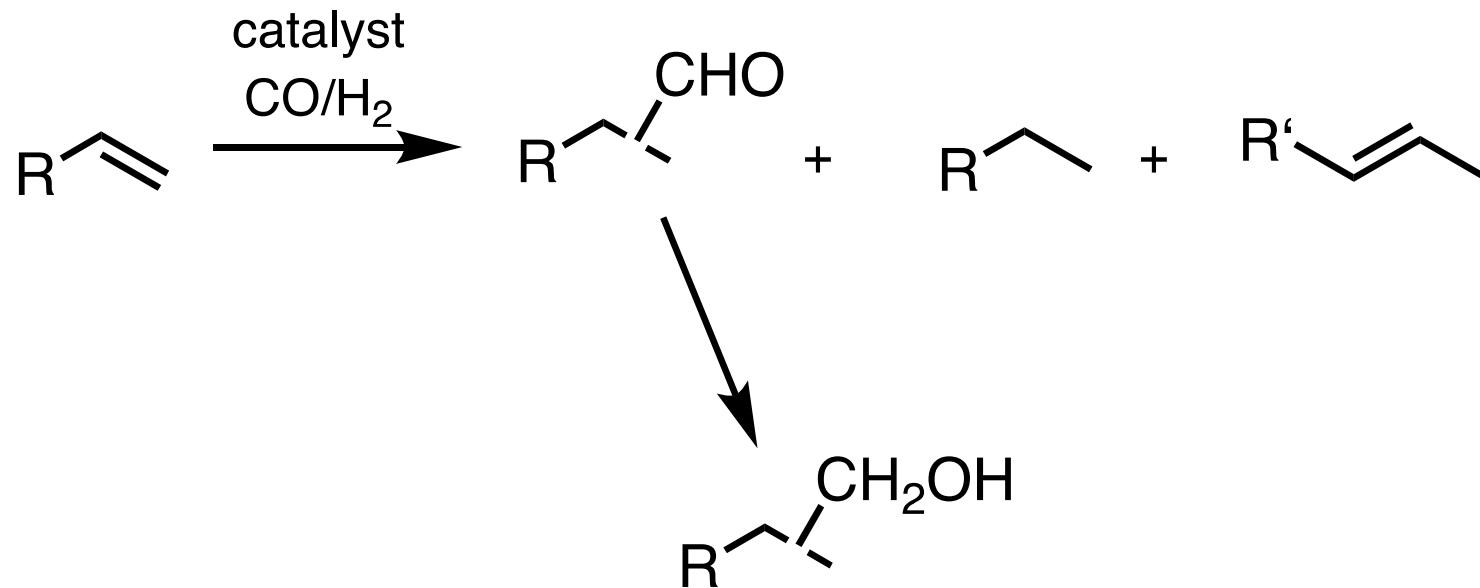
Selectivity in hydroformylation



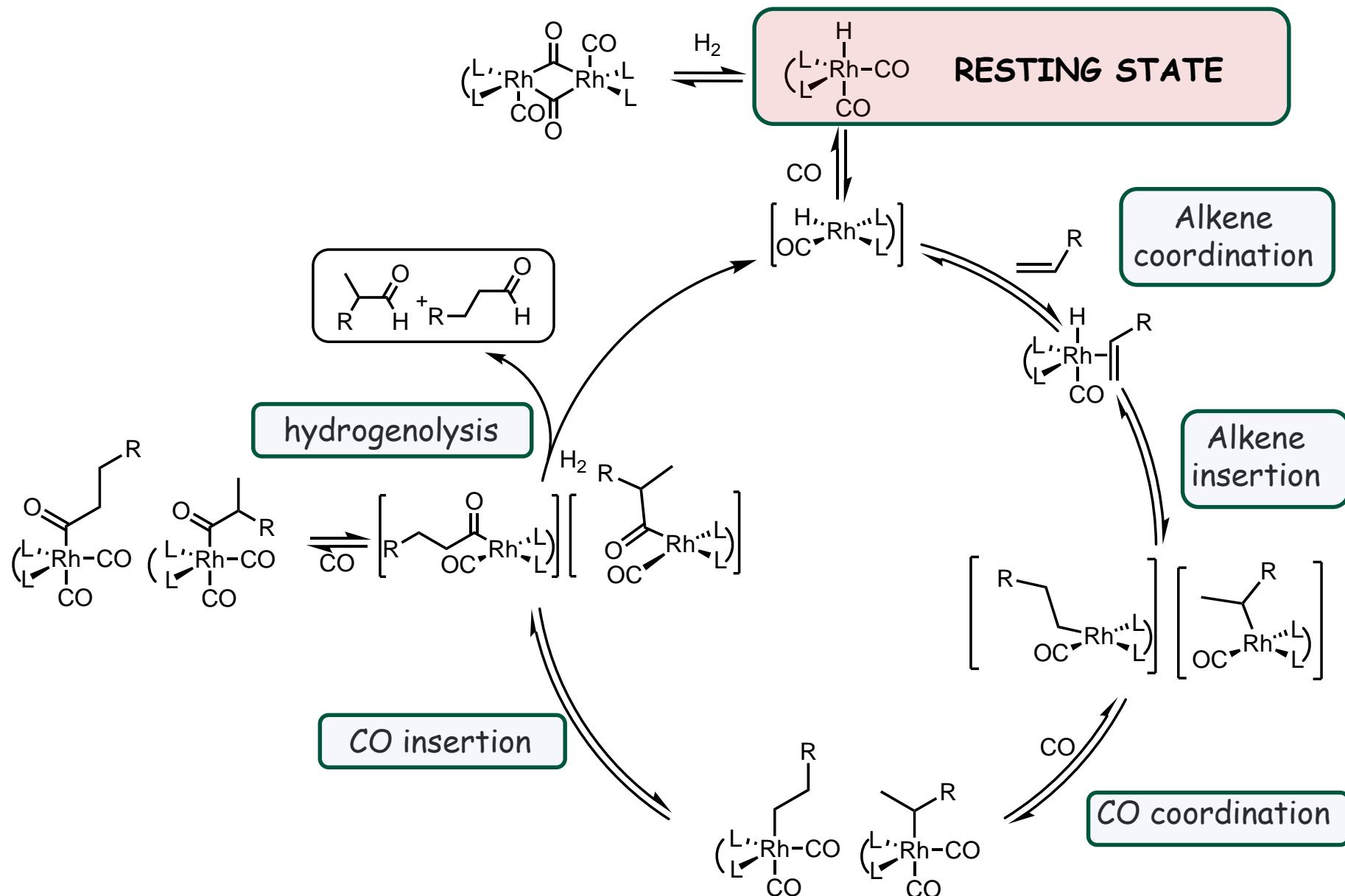
- **Chemoselectivity:**
hydroformylation vs hydrogenation / isomerization
- **Regioselectivity**
Linear vs branched aldehydes
- **Enantioselectivity**
R vs S enantiomer

Chemoslectivity

hydroformylation vs hydrogenation / isomerization

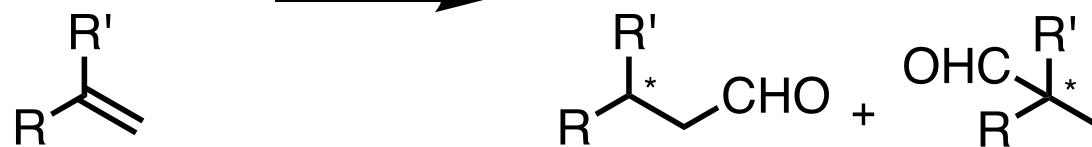
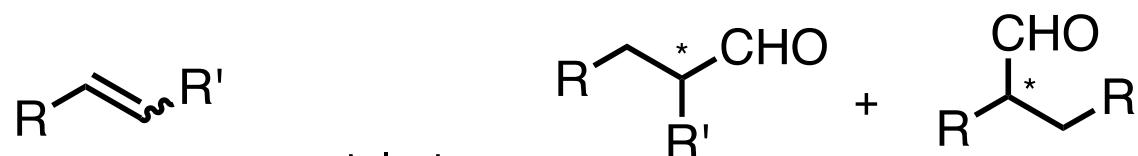


Hydroformylation mechanism



Regioselectivity

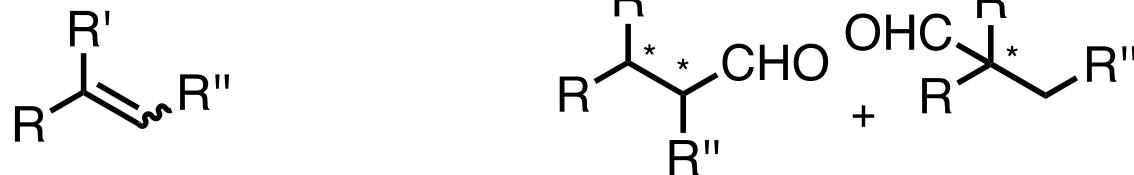
Linear vs branched aldehydes



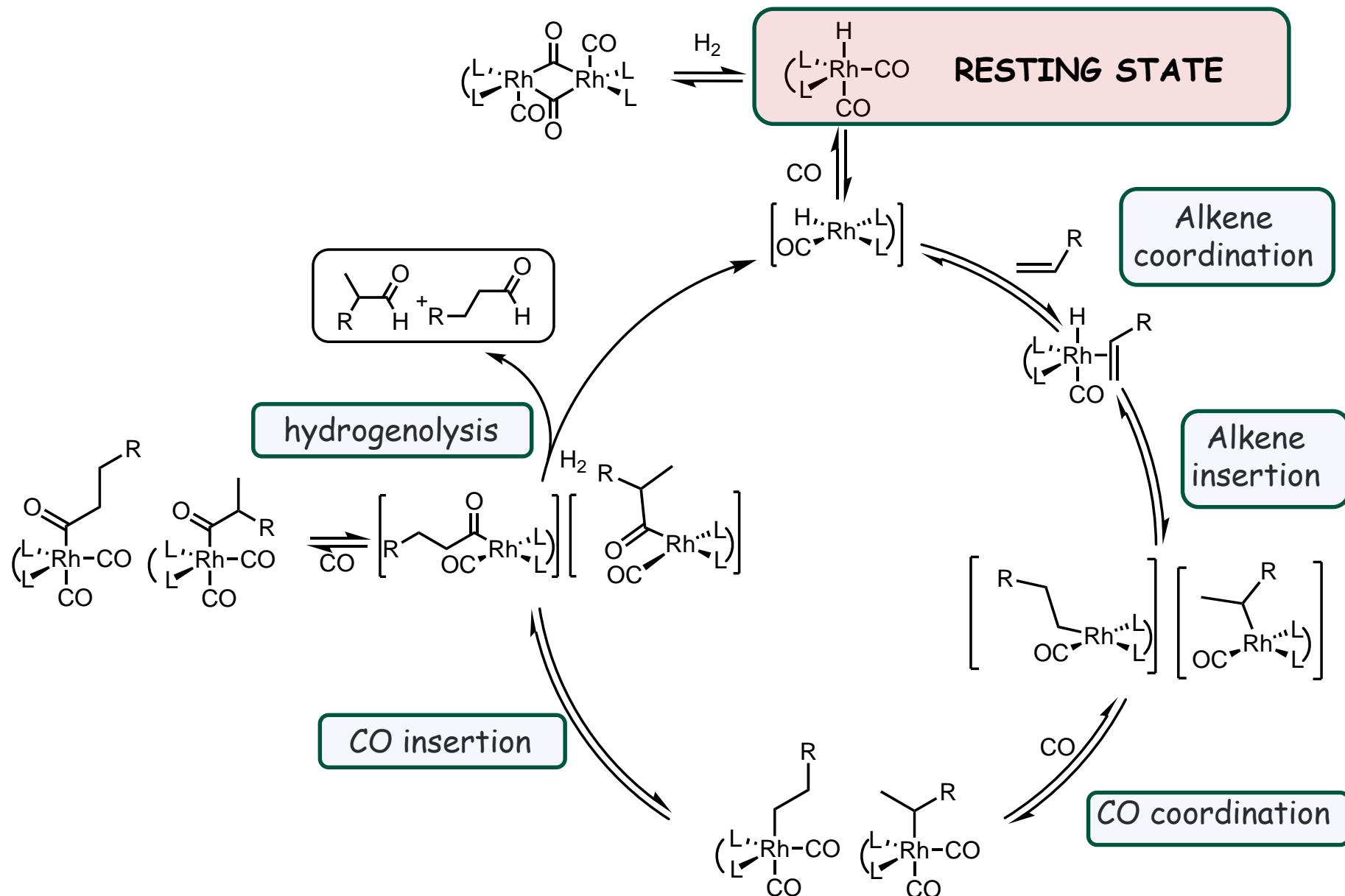
10

11

12

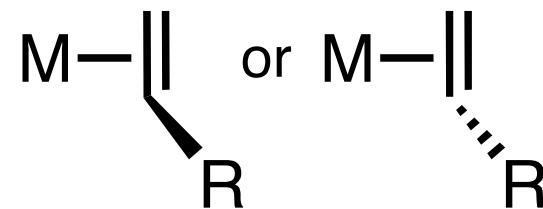


Hydroformylation mechanism



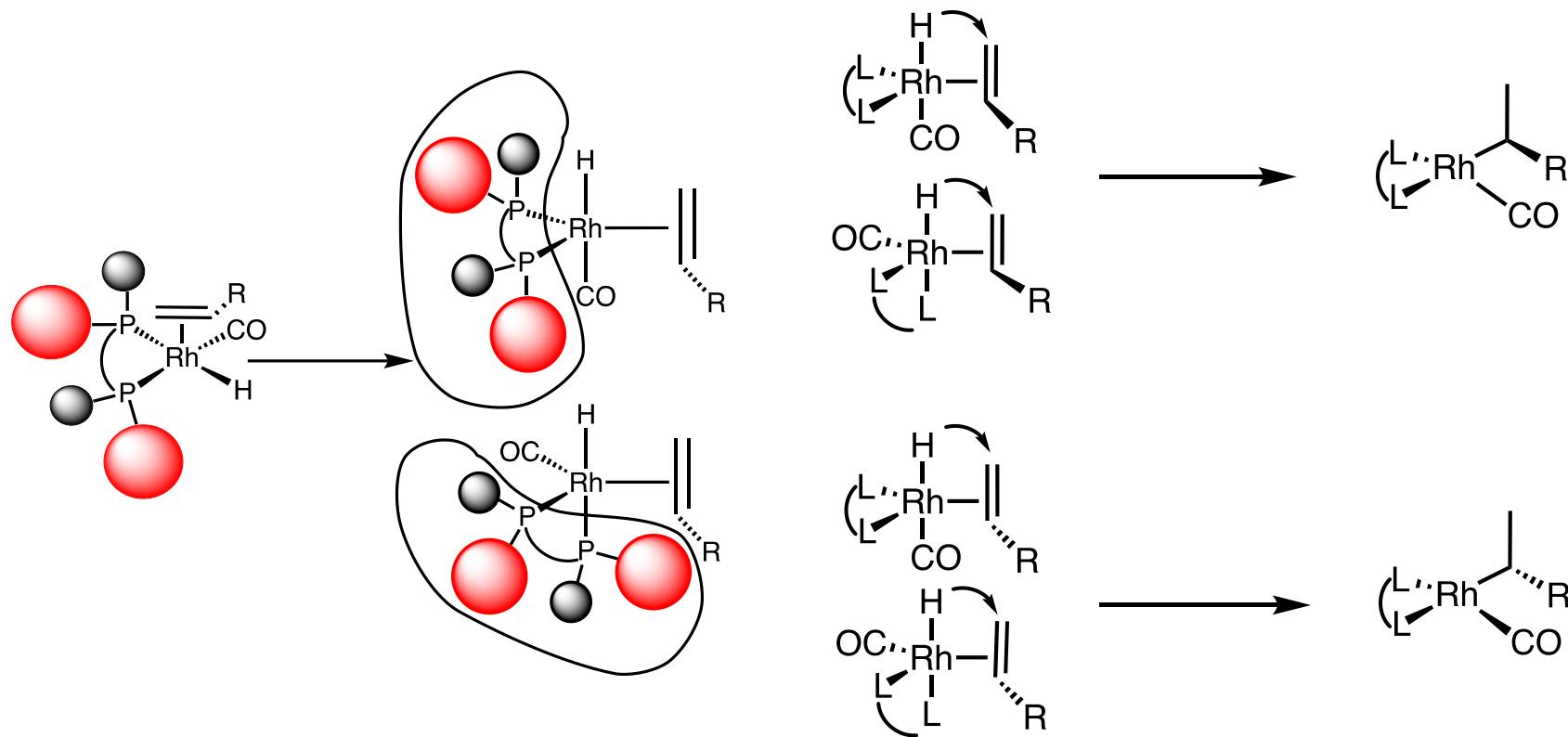
Enantioselectivity

R vs S enantiomer



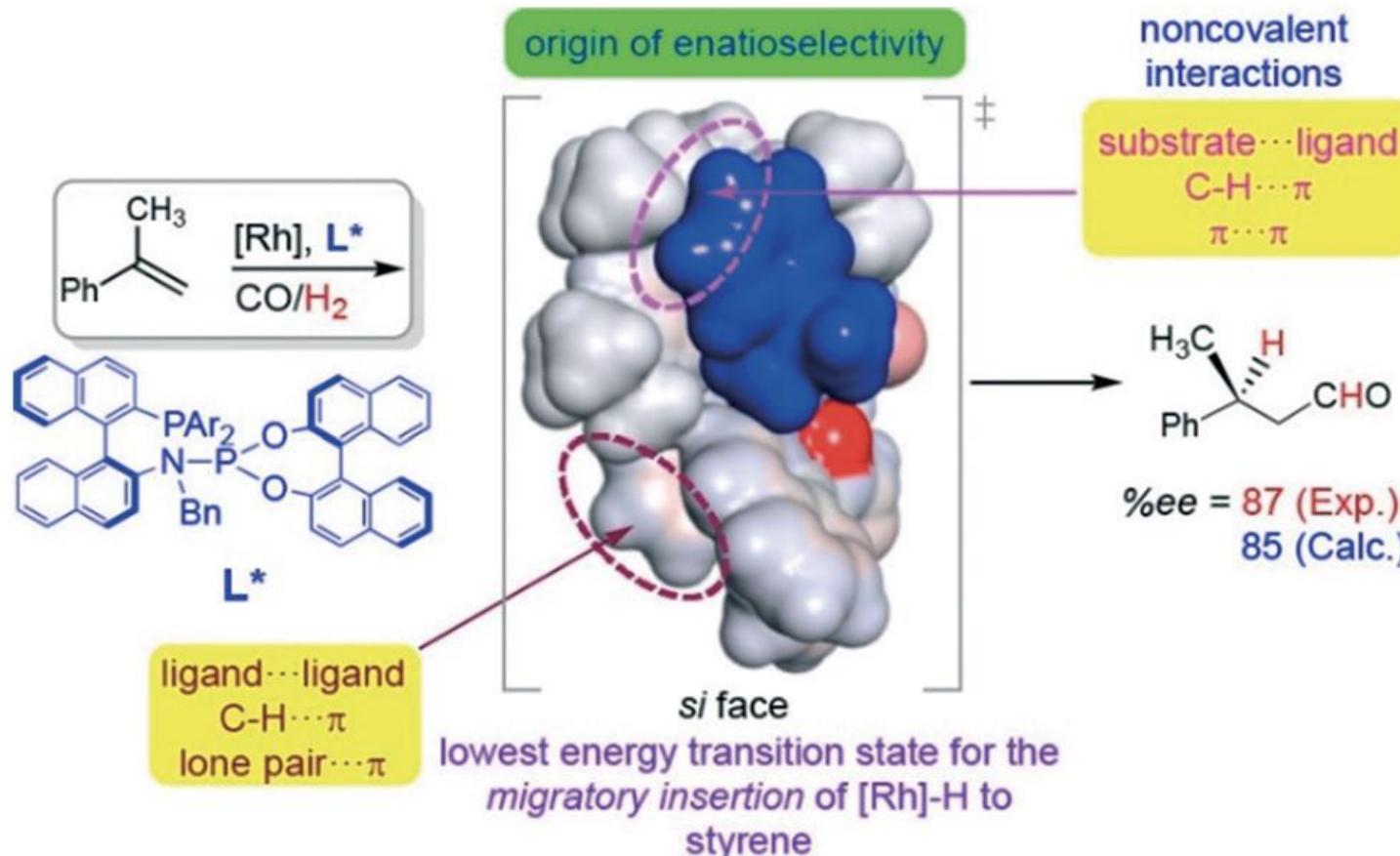
enantioface discrimination

Enantiodiscrimination in Hydroformylation



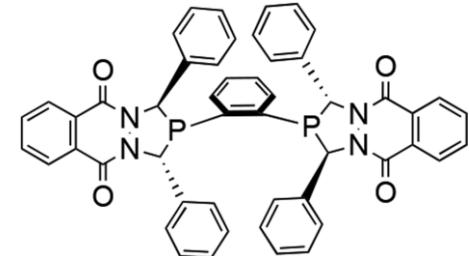
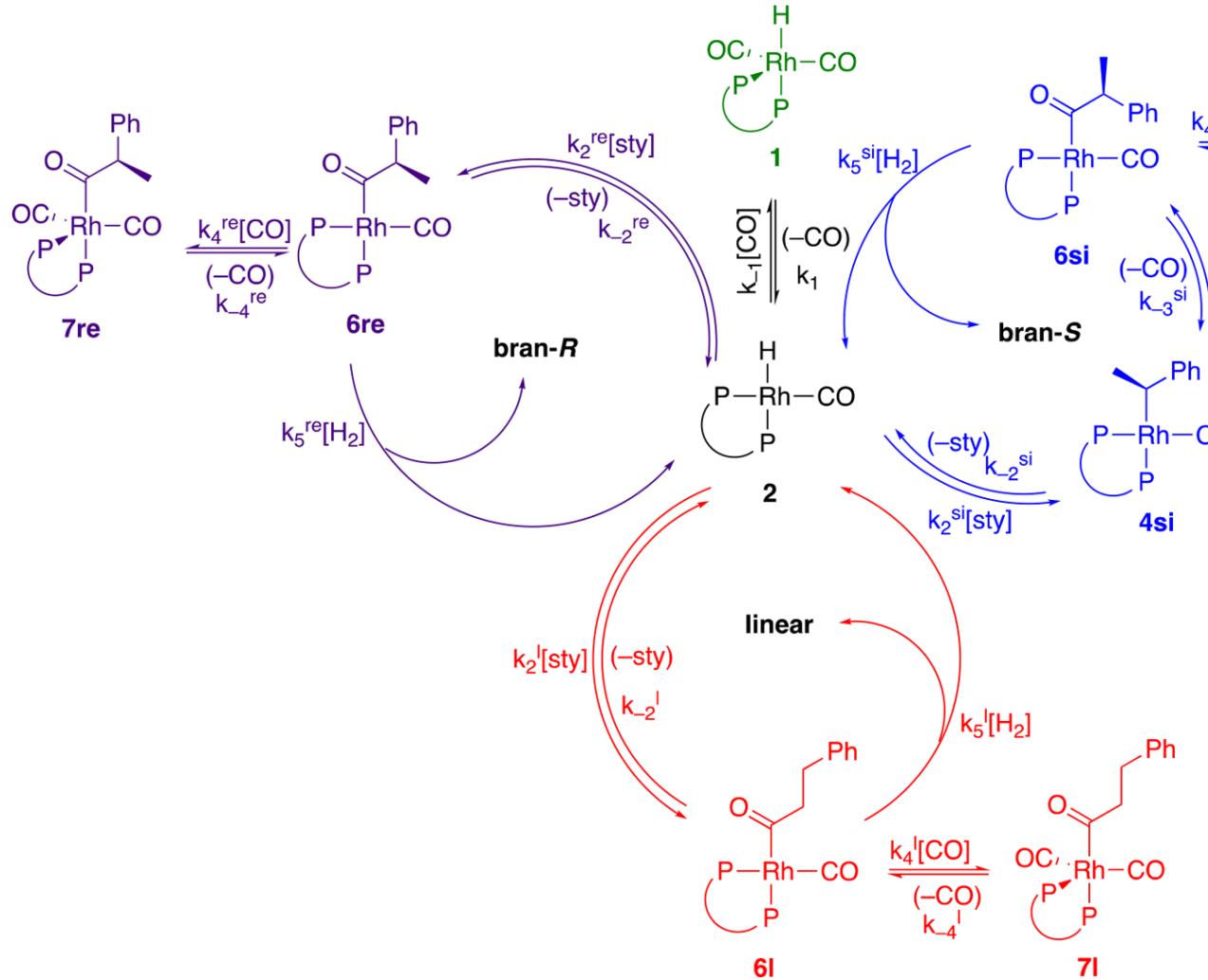
Shape of the chiral pocket influenced by the steric hindrance / interactions induced by the catalyst ligands

Enantiodiscrimination in Hydroformylation



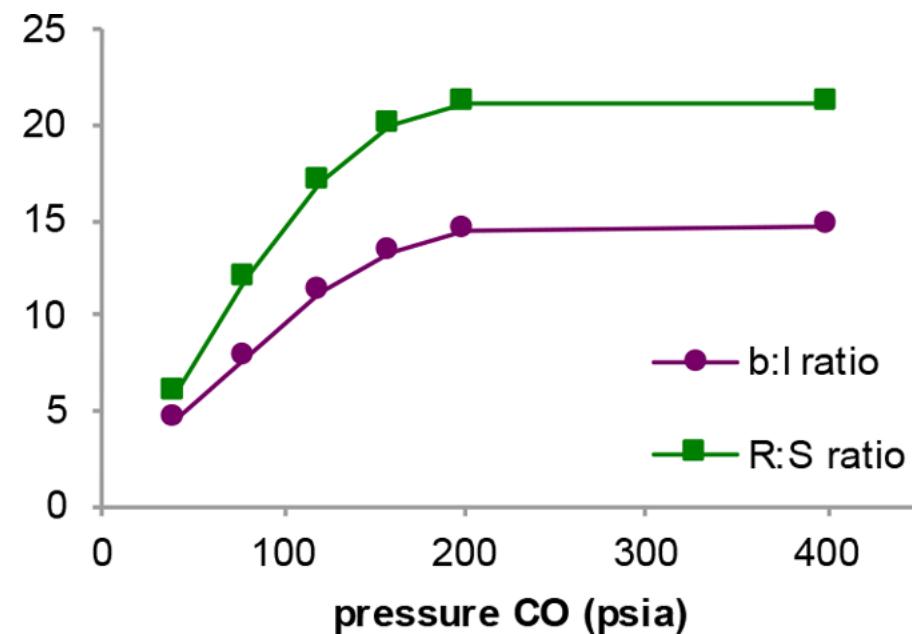
Importance of non-covalent interactions between the substrate and the catalyst's ligand(s)

Microkinetics in AHF



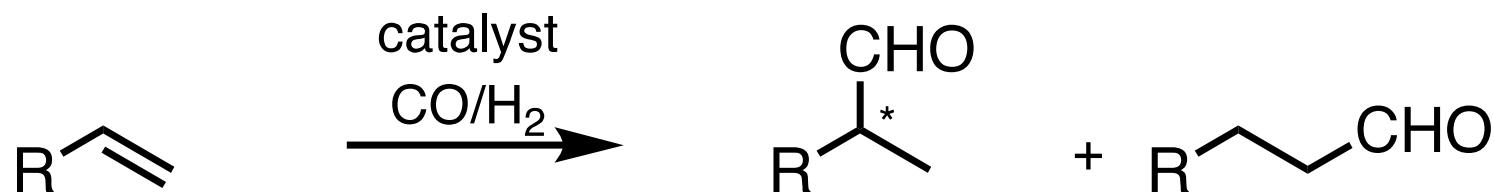
Microkinetics in AHF

Hydroformylation
regio- and
enantioselectivity
depend on *CO* pressure



hypothesis: a kinetic preference for forming the branched alkyl, but a competing thermodynamic preference for a linear intermediate.

Rh-catalyzed asymmetric hydroformylation of monosubstituted alkenes

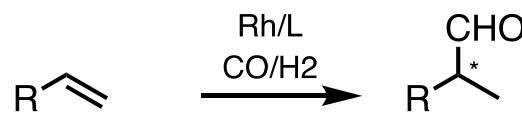


Only the branched aldehyde is chiral

- Vinyl arenes R= Ar
- Allyl cyanide R= CH₂CN
- Vinyl acetate R= OAc

Rh-catalyzed asymmetric hydroformylation of monosubstituted alkenes

Diphosphite ligands



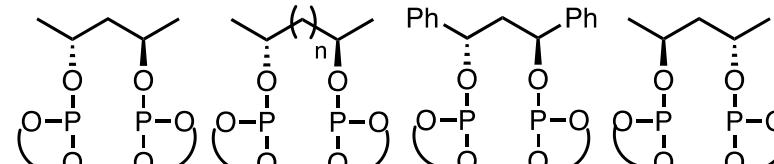
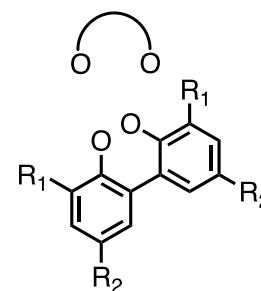
L	Product	Regio (%)	ee (%)
L1a,d	R= Ph	99	90
L1a	R= CH ₂ CN	87	13
L1a	R= OAc	99	58

(ee up to 90% at 20 bar of syngas and 25 °C)

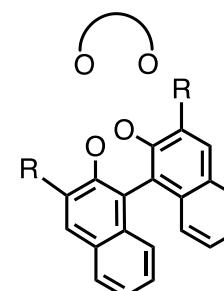
Eq-eq coordination of L1 in resting state

Low to moderate ee for allylcyanide and vinyl acetate

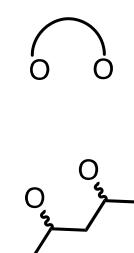
(2*R*, 4*R*)-pentane-2,4-diol diphosphite

(2*R*, 4*R*)-L1(2*R*, 3*R*)-L2
(2*R*, 5*R*)-L3(2*R*, 4*R*)-L4(2*S*, 4*S*)-L5

biphenyl

a R₁= tBu; R₂= OMeb R₁= R₂ = tBuc R₁= R₂ = Hd R₁= Si(alkyl)₃; R₂= H

binaphthyl

e (R/S)ax; R = Si(alkyl)₃f (R)ax ; R = Si(alkyl)₃g (S)ax ; R = Si(alkyl)₃

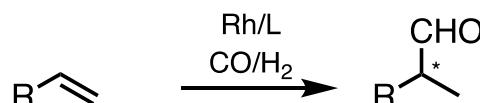
pentane-2,4-diol

h (2*R*, 4*R*)i (2*S*, 4*S*)

initial success in the rhodium-catalyzed asymmetric hydroformylation of vinylarenes by Union Carbide

Rh-catalyzed asymmetric hydroformylation of monosubstituted alkenes

Diphosphite ligands



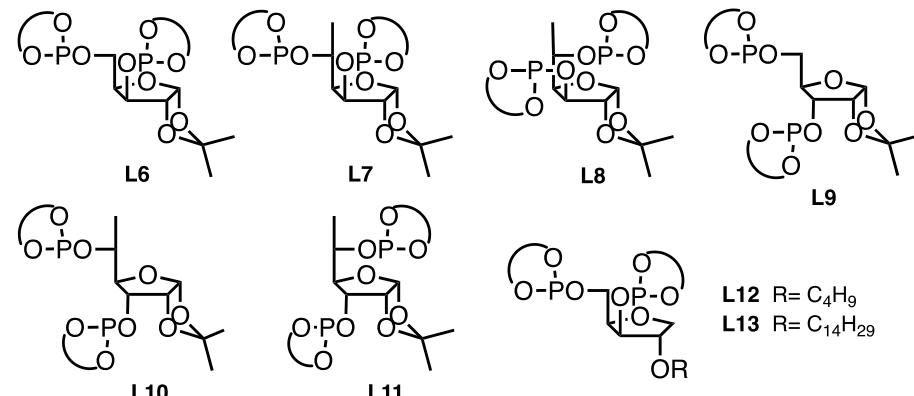
L	Product	Regio (%)	ee (%)
L7a,d	R= Ph	99	93
L7a	R= OAc	99	73

Diphosphites with sugar-based backbone

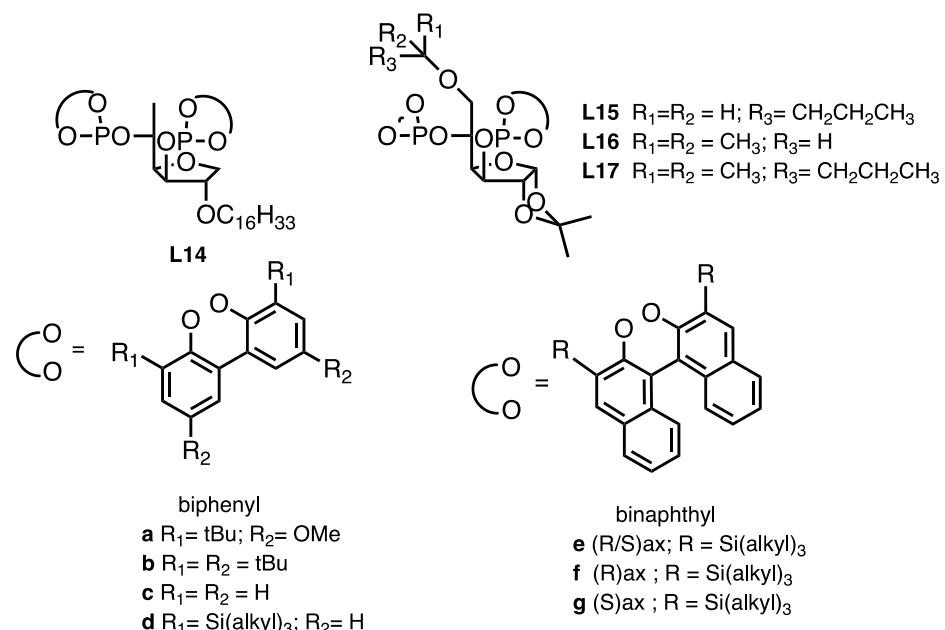
(**ee up to 93%** at 20 bar of syngas and 25 °C)

Eq-eq coordination of L7 in resting state

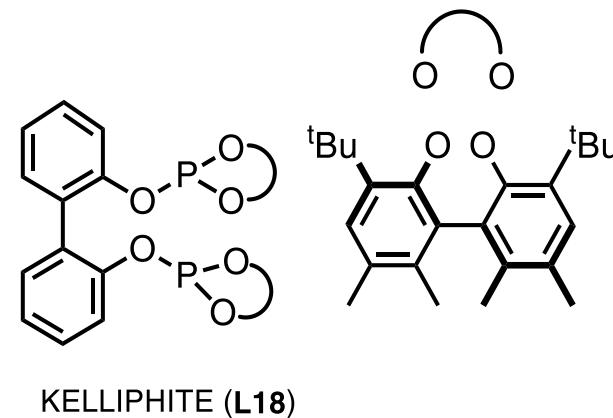
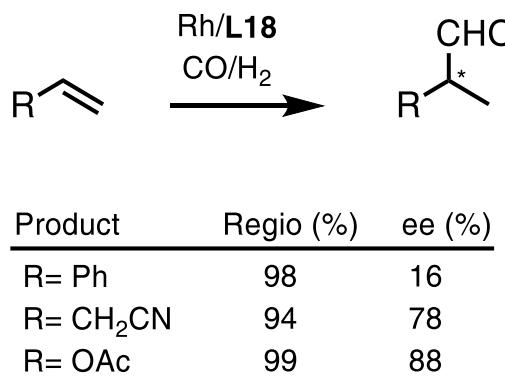
Lower ee for vinyl acetate



L12 R= C₄H₉
L13 R= C₁₄H₂₉



Rh-catalyzed asymmetric hydroformylation of monosubstituted alkenes Diphosphite ligands



developed by Dow Chemical Company

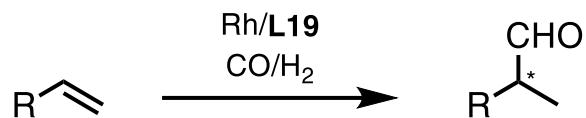
Achiral backbone + chiral bisphenol unit

High ee's for vinyl acetate and allyl cyanide but low for styrene

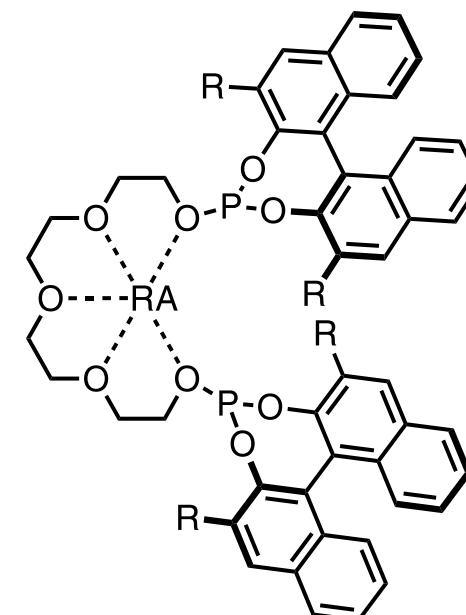
Rh-catalyzed asymmetric hydroformylation of monosubstituted alkenes

Diphosphite ligands

α,ω -bisphosphite-polyether ligands



Product	Regio %	ee %
R = OAc	>99	99
R = EtCO ₂	>99	99
R = PhCO ₂	>99	96
R = Ph	80	5
R = CH ₂ OSiMe ₃	18	25

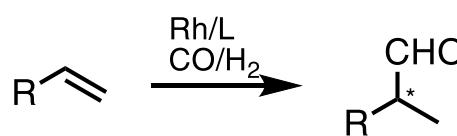


L19 RA = RbBArF

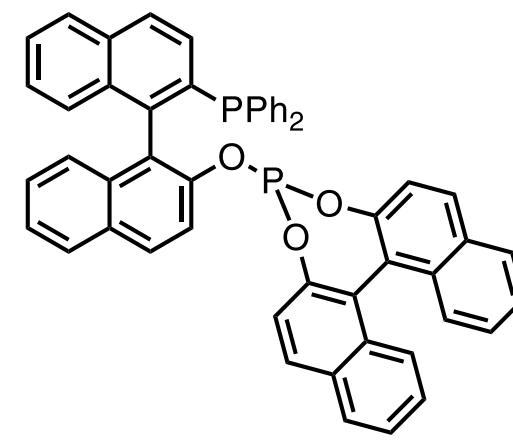
Supramolecularly regulated bisphosphite ligands with a distal regulation site

Vidal-Ferran, A.; Mon, I.; Bauzá, A.; Frontera, A.; Rovira, L. *Chem. Eur. J.* **2015**, *21*, 11417–11426; Rovira, L.; Vaquero, M.; Vidal-Ferran, J. *Org. Chem.* **2015**, *80*, 10397–10403

Rh-catalyzed asymmetric hydroformylation of monosubstituted alkenes Phosphine-phosphite ligands



R	Ph	CH ₂ CN	OAc	C ₆ F ₅	CF ₃	Et	Phth	S(4-tolyl)
Regio (%)	90	72	86	96	95	21	89	96
ee (%)	94	66	92	98	93	83	85	74

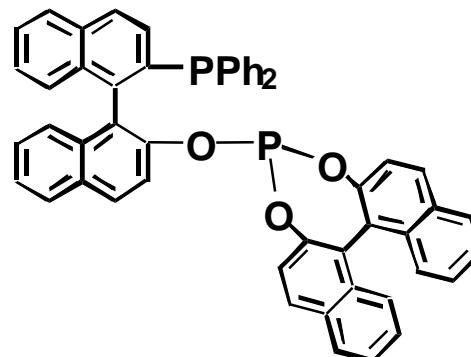
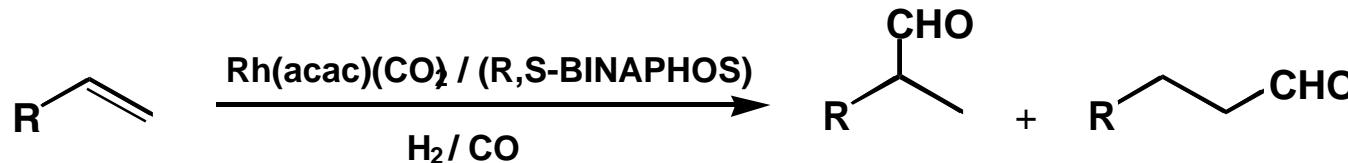


(R,S)-BINAPHOS (**L20**)
(S,R)-BINAPHOS (**L21**)

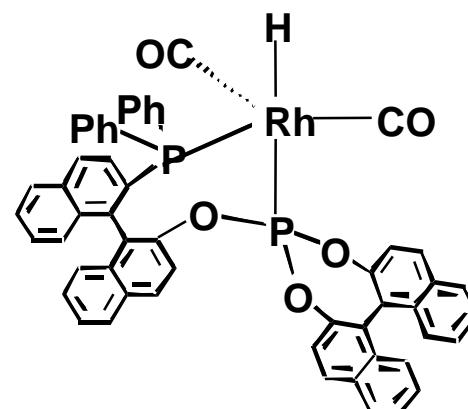
significant advance was made in the Rh-catalyzed asymmetric hydroformylation reaction

high enantioselectivity for several classes of monosubstituted alkenes

Rh-catalyzed asymmetric hydroformylation of monosubstituted alkenes BINAPHOS ligand



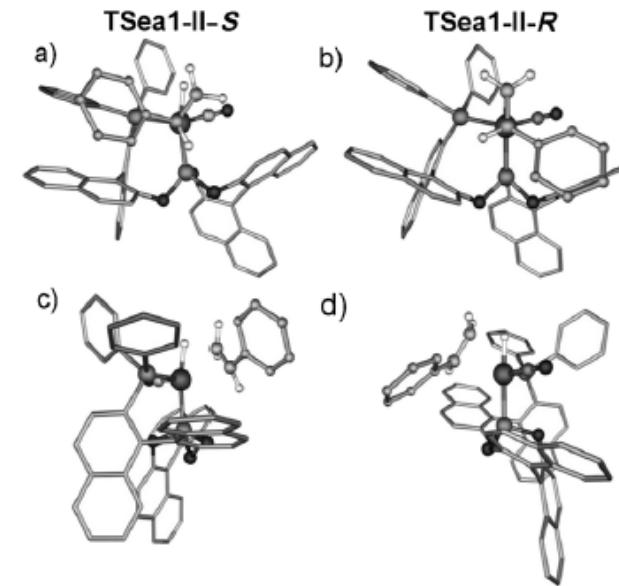
T	60 °C
P	100 atm
br(%)	88
ee(%)	94



Eq-ax coordination

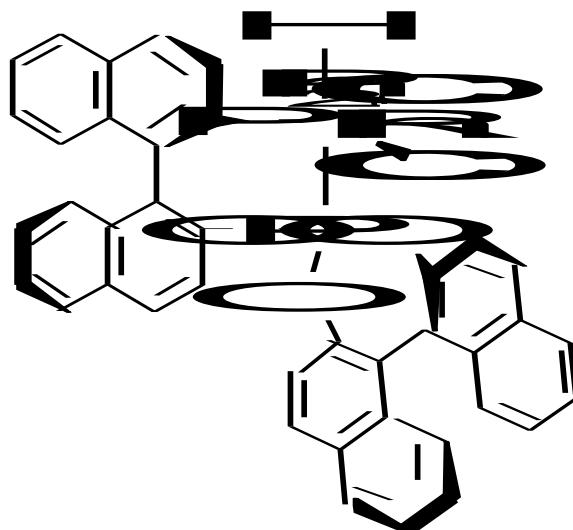
BINAPHOS ligand: DFT calculations

- coordination of this ligand with the phosphite moiety in apical position is key for the stereoselectivity
- the presence of a second chiral center plays a role in determining the *R* or *S* configuration of the aldehyde product



main substrate-ligand interactions occur between the styrene and the phosphite moiety and that these interactions are repulsive in nature

BINAPHOS ligand: match / mismatch



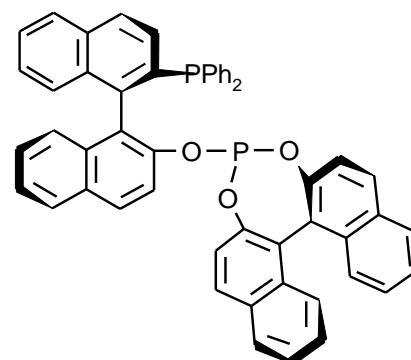
RhH(CO)₂(R,S-BINAPHOS)

(R,R)	25%ee
(R,S)	85%ee

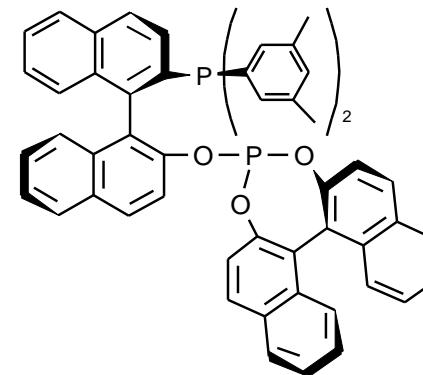
Importance of the combination of chiral units

Asymmetric Hydroformylation match-mismatch effects BINAS

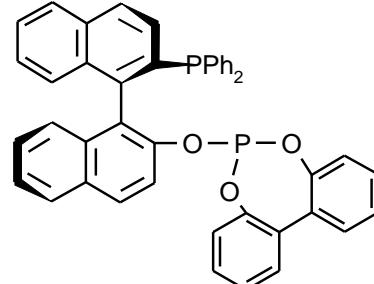
Ligand	% e.e.
46 (S,R)	94 (S)
46 (R,R)	25 (R)
47 (R,S)	85 (R)
48 (R,--)	83 (R)
49 (S,R)	94 (S)
49 (R,R)	16 (R)
50 (--,R)	69 (S)



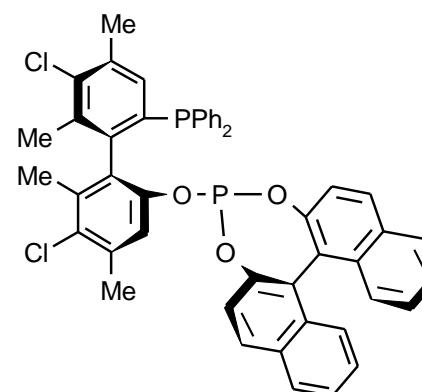
46 (R,S)-BINAPHOS



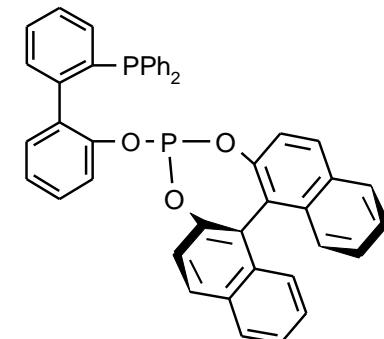
47 (R,S)



48(R)



49a (S,R)
49b (R,R)



50 (R)



J. Am. Chem. Soc. **1998**, *120*, 4051–4052

Asymmetric Hydroformylation of Olefins in a Highly Cross-Linked Polymer Matrix

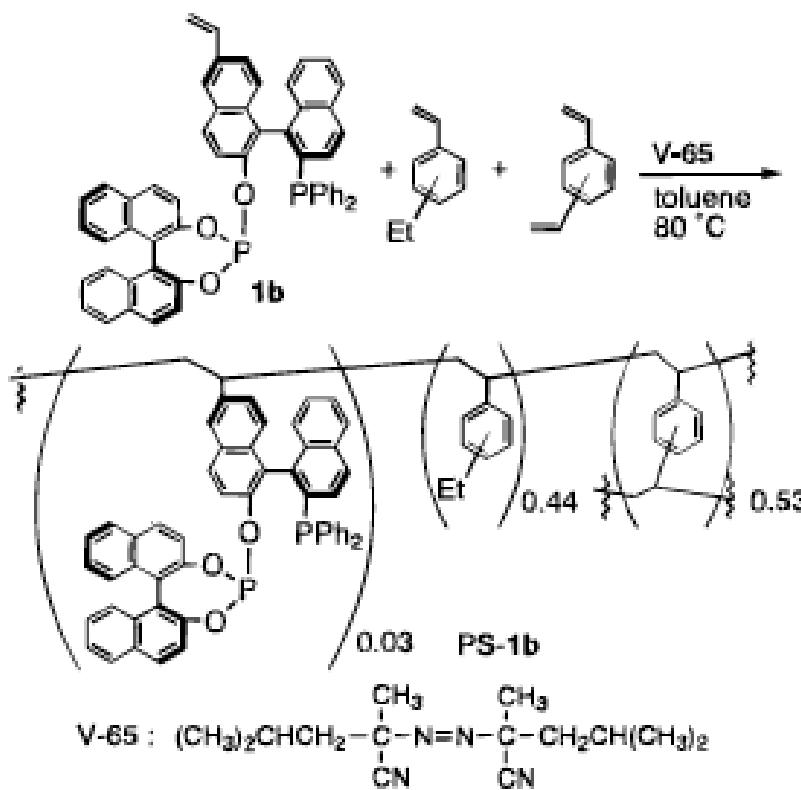


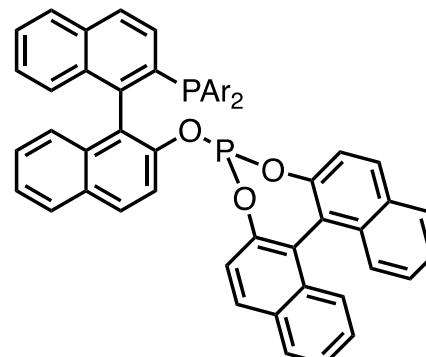
Table 1. Asymmetric Hydroformylation of Styrene Catalyzed by Polymer-Supported (*R,S*)-BINAPHOS^a

run	catalyst	<i>i-/n-</i>	ee (%)	run	catalyst	<i>i-/n-</i>	ee (%)
1	Rh(acac)(1a)	89:11	92	7	(PS-1c)-Rh(acac)	89:11	89
2	(PS-1b)-Rh(acac)	84:16	89	8	(PS-1d)-Rh(acac)	88:12	68
3 ^b	(PS-1b)-Rh(acac)	83:17	89	9	PS-[Rh(acac)(1b)]	85:15	90
4 ^c	(PS-1b)-Rh(acac)	80:20	81	10	PS-[Rh(acac)(1c)]	90:10	87
5 ^d	(PS-1b)-Rh(acac)	84:16	89	11	PS-[Rh(acac)(1d)]	87:13	85
6 ^d	(PS-1b)-Rh(acac) ^e	79:21	86				

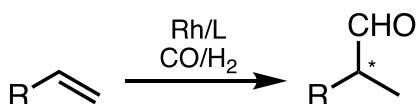
Table 2. Asymmetric Hydroformylation of Vinyl Acetate Catalyzed by Polymer-Supported (*R,S*)-BINAPHOS^{a,b}

run	catalyst	convn (%)	<i>i-/n-</i>	ee (%)
1	Rh(acac)(1a)	98	84:16	92
2	(PS-1b)-Rh(acac)	75	85:15	91
3	(PS-1c)-Rh(acac)	54	90:10	92
4	(PS-1d)-Rh(acac)	61	87:13	78
5	PS-[Rh(acac)(1b)]	67	87:13	92
6	PS-[Rh(acac)(1c)]	83	90:10	93
7	PS-[Rh(acac)(1d)]	78	90:10	89

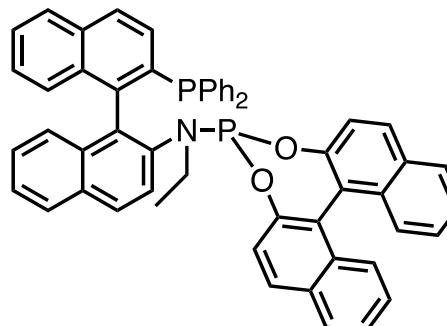
Rh-catalyzed asymmetric hydroformylation of monosubstituted alkenes Phosphine-phosph(oramid)ite ligands



L22	Regio(%)	ee(%)
R= Ph	95	97
R= 2-vinylfuran	79	
R= 3-vinylfuran	99	
R= 2-vinylthiophene	93	
R= 3-vinylthiophene	91	



second generation of Binaphos type ligands



(R,S)-Yanphos (L23)

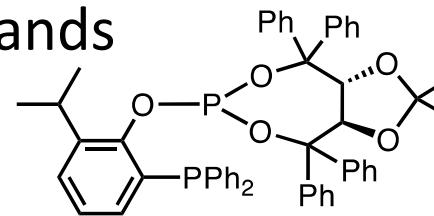
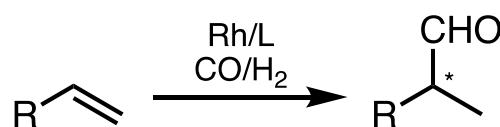
L23	Regio(%)	ee(%)
R= Ph	89	99
R= CH ₂ CN	80	96
R= OAc	93	98
R= CH ₂ NHBoc	66	94
R= CH ₂ NBz	78	95
R= CH ₂ NHPthaloyl	84	96
R= CH ₂ NHSO ₂ (p-MeOPh)	71	96

increased regio- and enantioselectivity in the AHF of styrene, vinylfuranes, and thiophenes

Rh-catalyzed asymmetric hydroformylation of monosubstituted alkenes

Phosphine-phosphite ligands

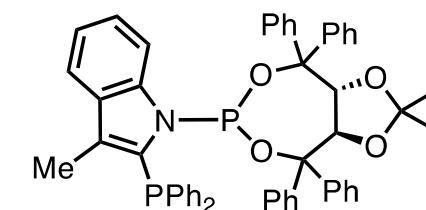
Taddol-based ligands



L24

	Regio(%)	ee(%)
R= Ph	98	85

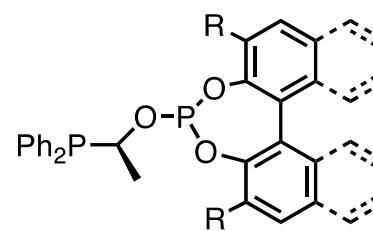
	Regio(%)	ee(%)
R= Ph	97	71
R= CH ₂ CN	82	59
R= OAc	94	74



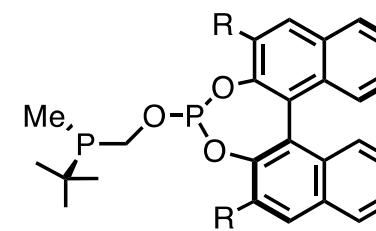
INDOLPHOS (**L25**)

		Regio(%)	ee(%)
L26a	R= Ph	99	57
	R= OAc	99	74
L26b	R= Ph	95	64
	R= OAc	95	61

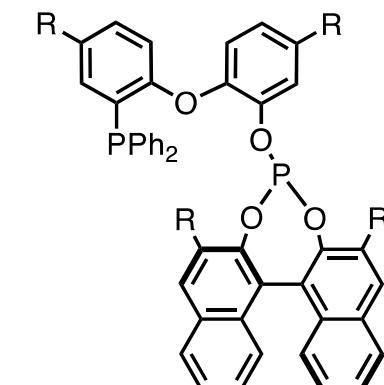
	Regio(%)	ee(%)
R= Ph	91	35



L26a



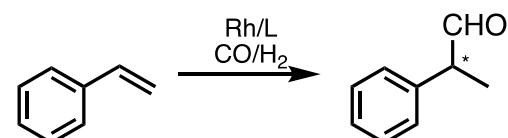
L26b



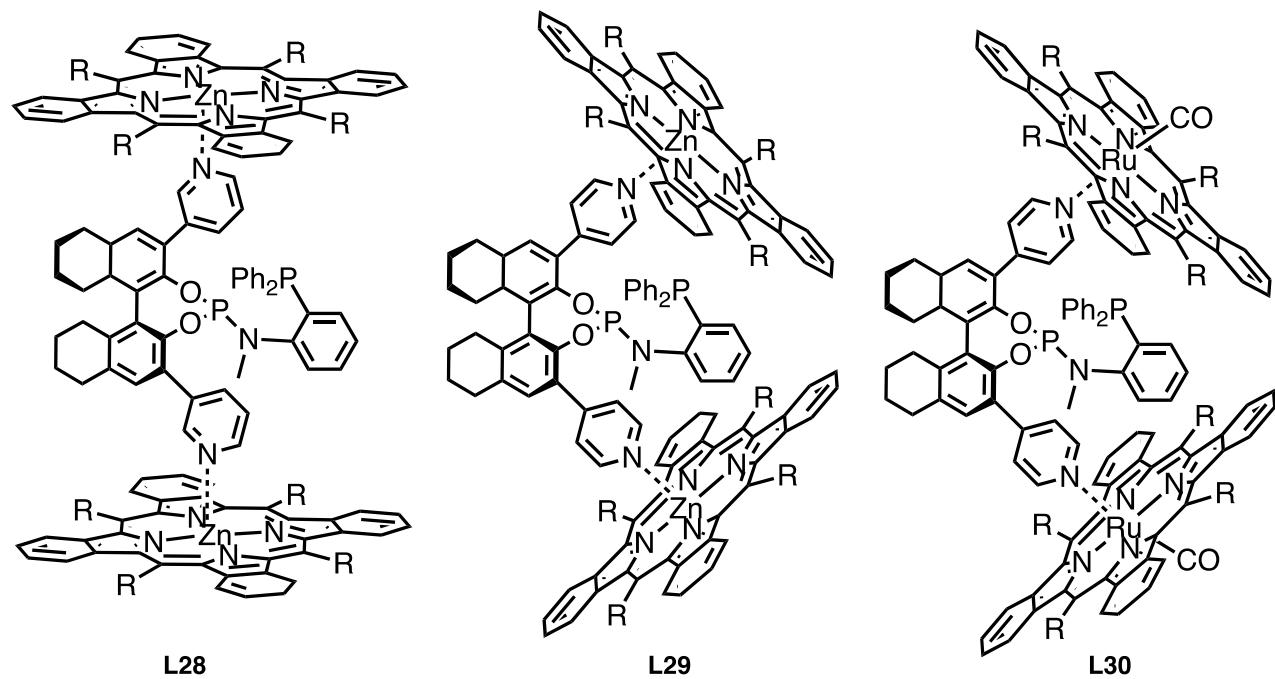
L27

Rh-catalyzed asymmetric hydroformylation of monosubstituted alkenes

Supramolecular Phosphine-phosphoramidite ligands

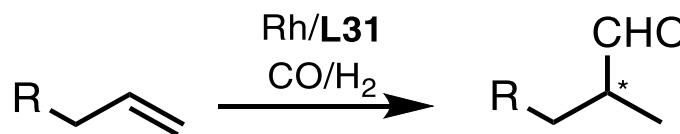


L	Regio (%)	ee(%)
L28	94	52
L29	99	51
L30	92	59

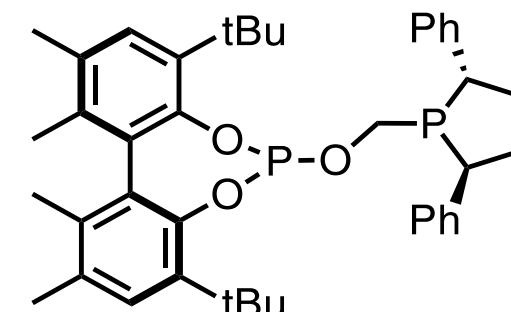


electronic and steric properties of the M(II) (M=Zn, Ru) templates had a significant effect on the outcome of the reaction

Rh-catalyzed asymmetric hydroformylation of monosubstituted alkenes Phosphine-phosphite ligands



L31	regio (%)	ee (%)
R= Ph	80	90
R= C ₆ F ₅	86	91
R= 4- <i>t</i> -BuC ₆ H ₄	75	92
R= Bn	70	75
R= Pr	75	93
R= CN	89	71
R= CONPh(Me)	82	92



(*S*_{ax}*S,S*)-Bobphos (**L31**)

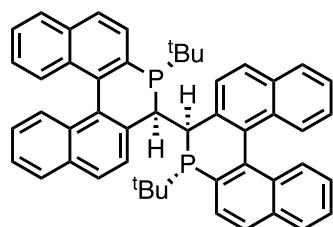
High regio- and ee's for this type of substrate for the first time

Rh-catalyzed asymmetric hydroformylation of monosubstituted alkenes

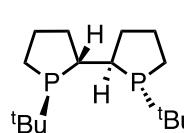
Bisphosphacyclic ligands

L	R \rightleftharpoons		$\xrightarrow{\text{Rh/L CO/H}_2}$		Regio (%) ee(%)
	R= Ph	R= CH ₂ CN	R= OAc		
L32	90 94	87 94	97 87		
L33	93 90	88 93	97 83		
L34	91 67		96 82		
L35a	98 94	88 90	99 82		
L36			94 89		
L37a	97 82	83 87	98 96		

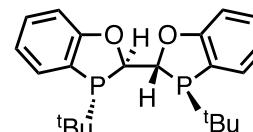
highest ever
reported for the
allyl cyanide



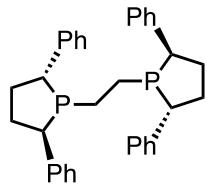
(R,S)-Binapine (L32)



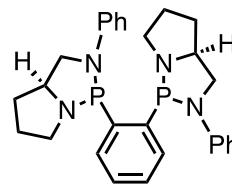
(S,S,R,R)-Tangphos (L33)



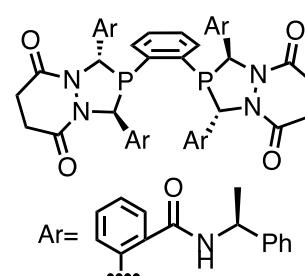
(R)-BIBOP (L34)



(R,R)-Ph-BPE (L35a)



Esphos (L36)



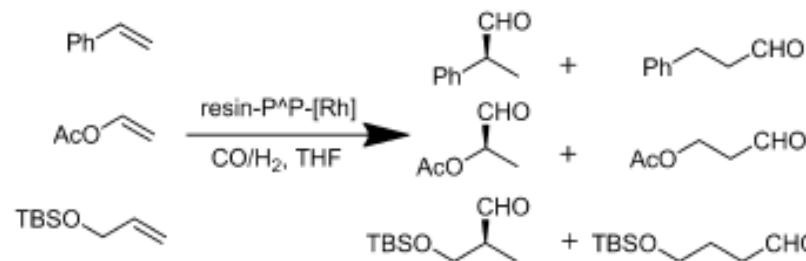
Bis-3,4-diazaphospholane (L37a)

Tetrahedron: Asymmetry,
2004, 15, 1787-1792.

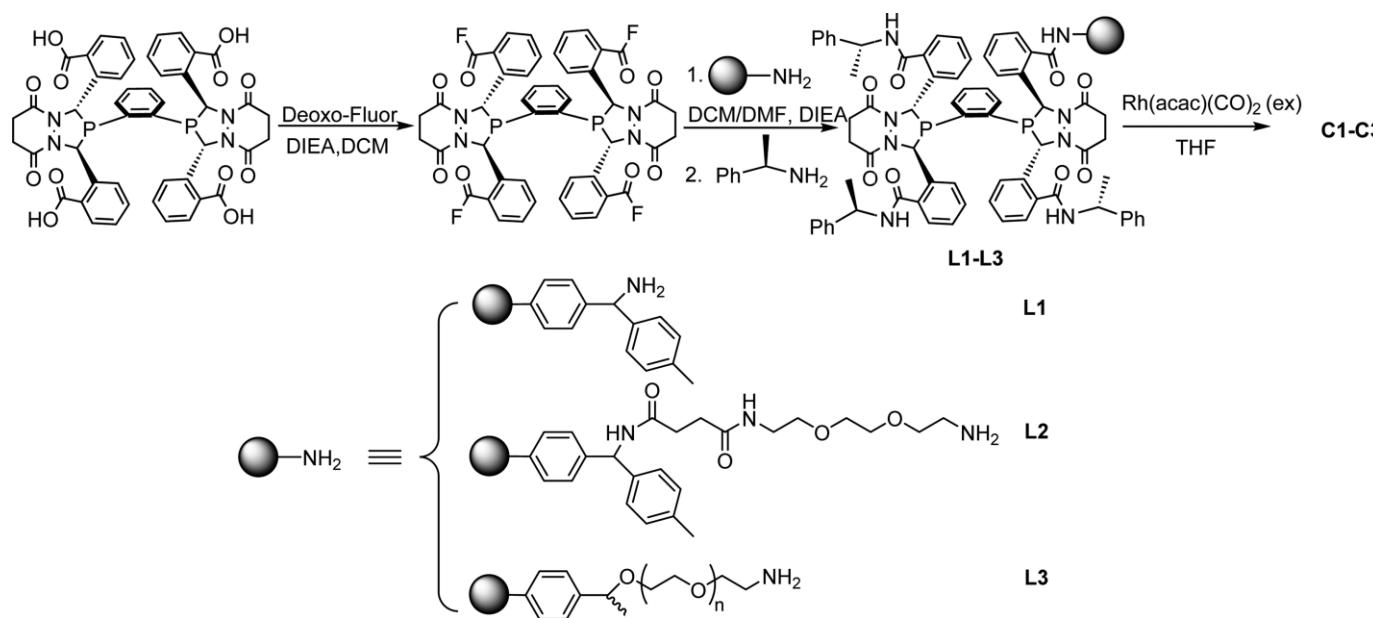
bis-2,5-diazaphospholanes

Landis, C.R.; and co-workers *J. Am. Chem. Soc.* 2005, 127, 5040-5042; *J. Am. Chem. Soc.* 2015, 137, 14208-14219; *Organometallics* 2017, 36 (16), 3142–3151.

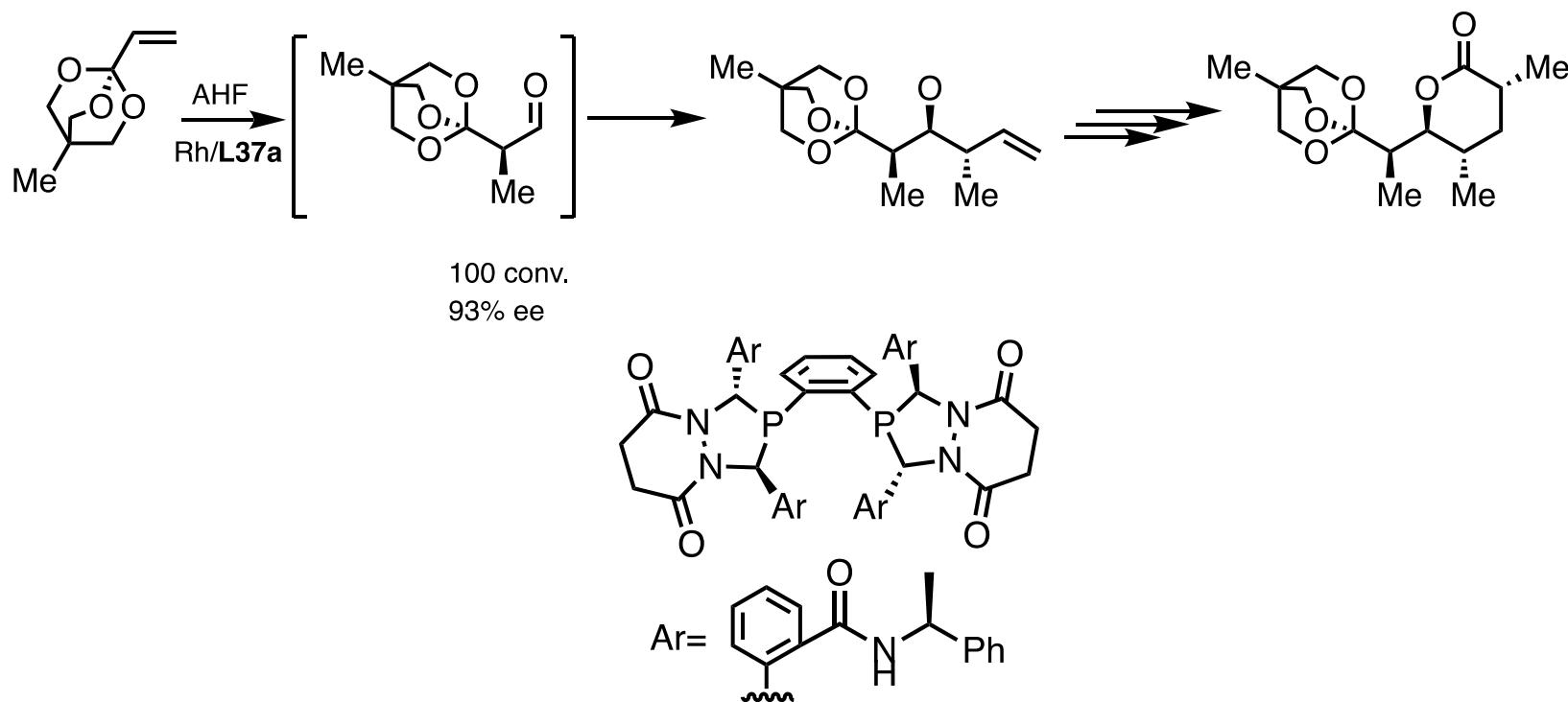
Immobilized Bis diazaphospholane Catalysts



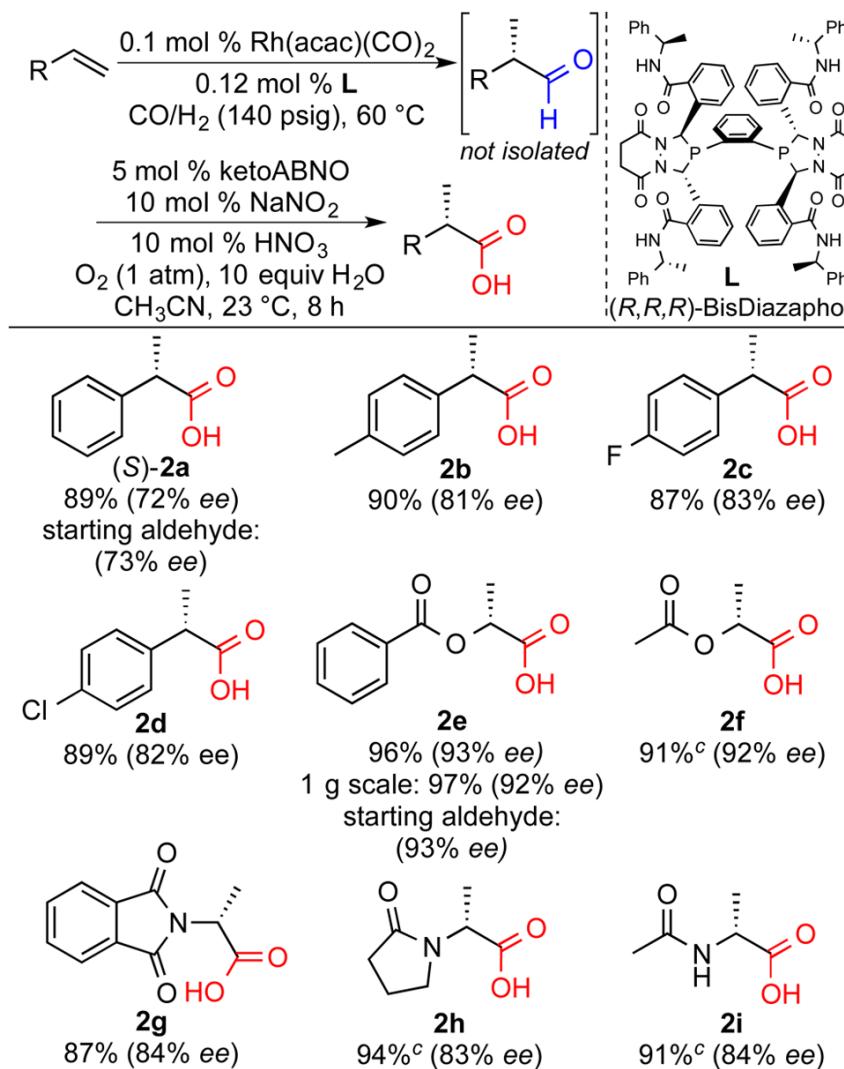
Ee's up to 92%



Rh-catalyzed asymmetric hydroformylation of monosubstituted alkenes Synthesis of the Prelog-Djerassi Lactone via asymmetric hydroformylation/crotylation tandem sequence



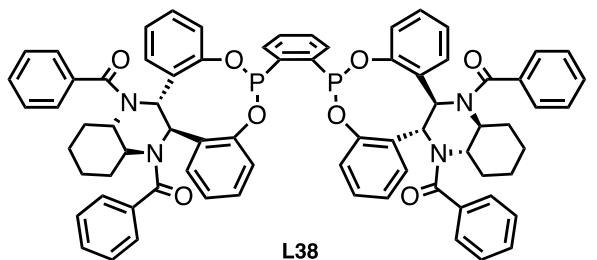
Sequential Asymmetric Hydroformylation/Oxidation



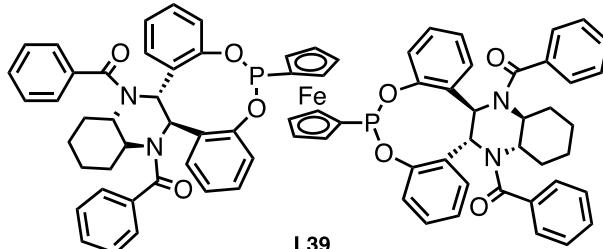
Rh-catalyzed asymmetric hydroformylation of monosubstituted alkenes

Bisphosphinite ligands

L	R= Ph	R= CH ₂ CN	R= OAc
		Regio (%) ee(%)	
L38	92 79	82 79	98 91
L39	95 55		94 83
L40	84 90		
L41	84 91		

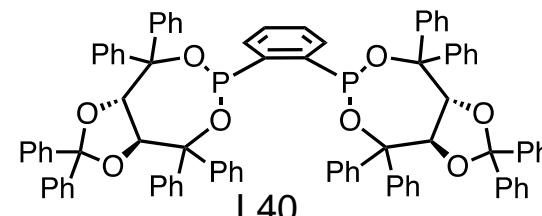
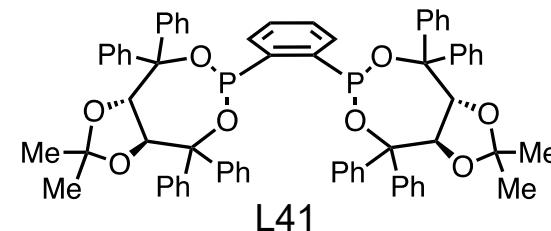


Ding, K. et al. *Chem. Eur. J.* **2008**, *14*, 7847-7857.



Ding, K. et al. *Tetrahedron Letters* **2008**, *49*, 4862-4864.

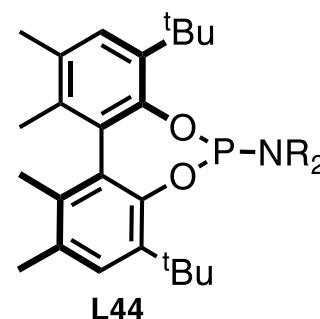
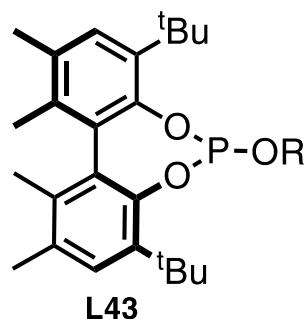
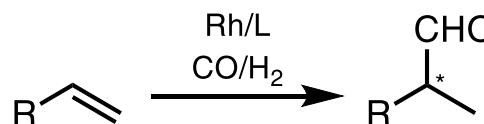
TADDOL-derived



Breit, B. et al. *Adv. Synth. Catal.* **2015**, *357*, 41-45.

Rh-catalyzed asymmetric hydroformylation of monosubstituted alkenes

Monodentate P-based ligands

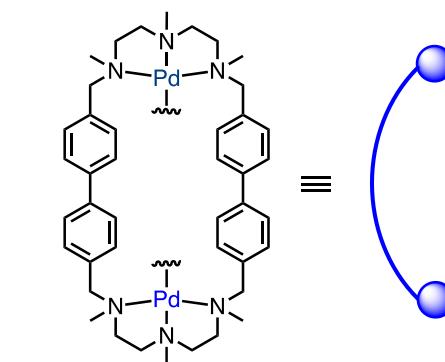
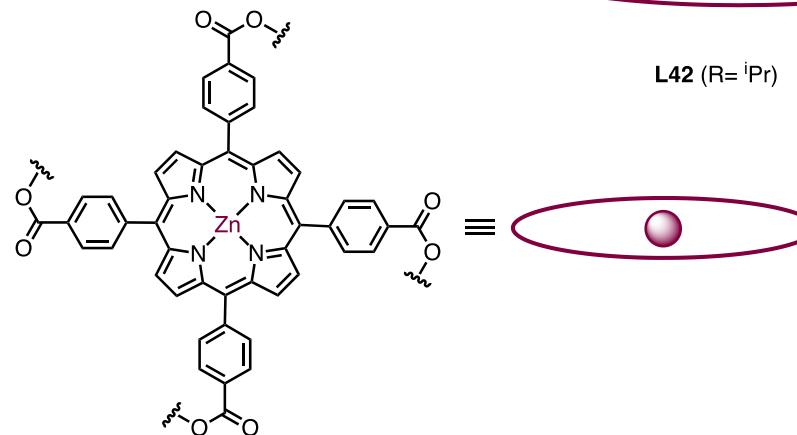
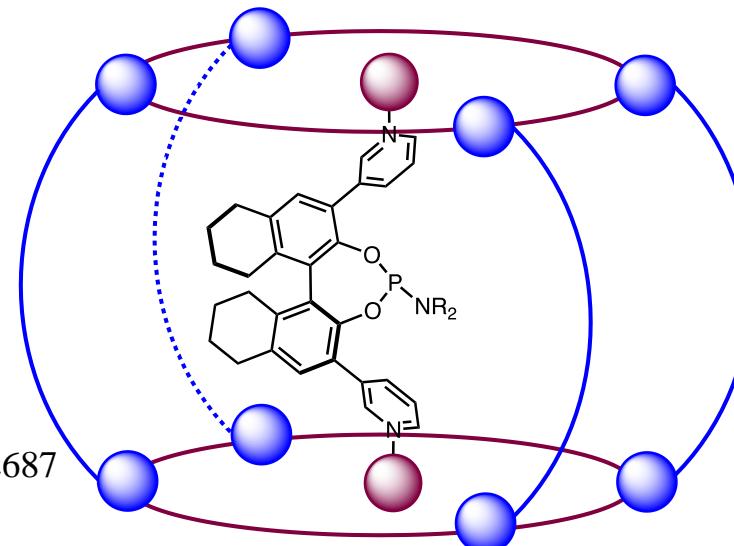
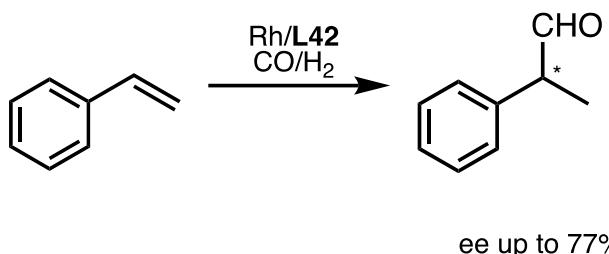


highest enantiomeric excess
(80%) ever reported with a
monodentate ligand

L	R= Ph	R= CH ₂ CN		R= OAc	
		Regio (%) ee(%)			
L43	94	38	84	43	93
L44			96	80	8

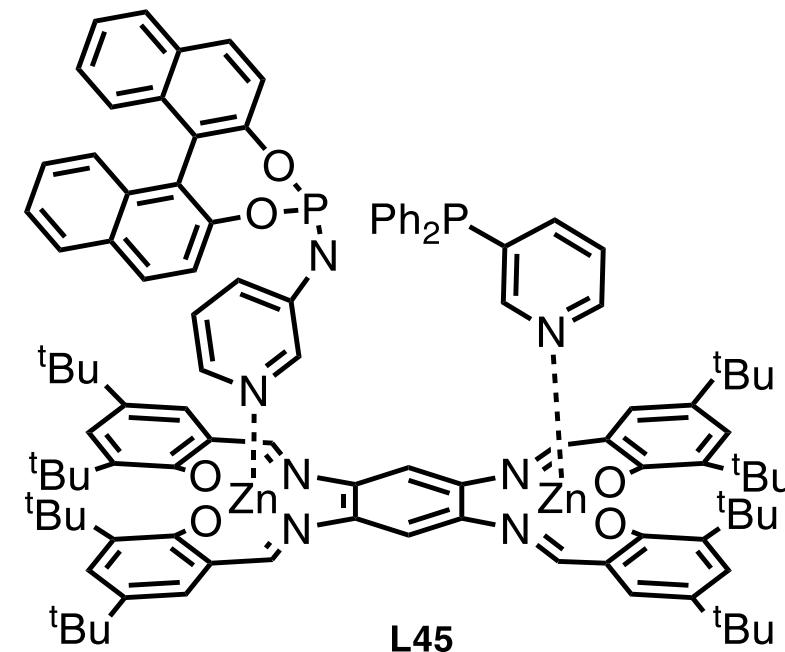
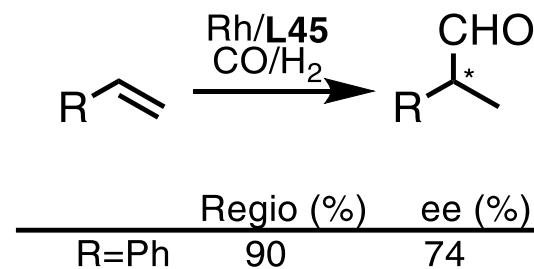
Rh-catalyzed asymmetric hydroformylation of monosubstituted alkenes

Monodentate P-based ligands



monodentate phosphoramidite ligand encapsulated in a self-assembled molecular cage

Rh-catalyzed asymmetric hydroformylation of monosubstituted alkenes Templated monodentate P-based ligands

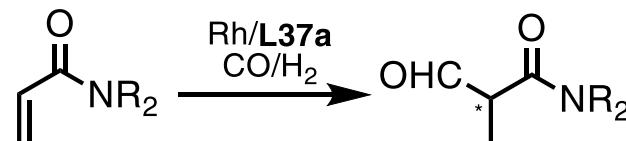


rigid bis-zinc(II)-salphen template

template-induced formation of chelating heterobidentate ligands

much higher ee's than any of the corresponding homobidentate ligands or non-templated mixed ligand combinations (ee up to 13%)

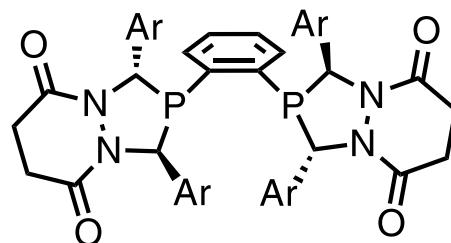
Rh-catalyzed asymmetric hydroformylation of other monosubstituted alkenes **N,N-dialkylacrylamides.**



Initially, low ee's (20-50%) were reported for these substrates

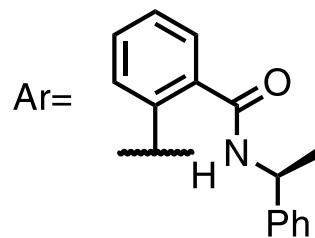
J. Organomet. Chem. **1990**, *396*, 375-383

Chem. Commun. **2006**, 191-193

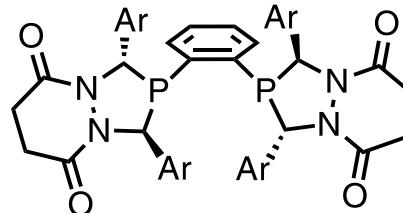
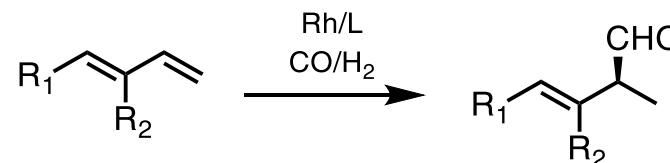


Bis-3,4-diazaphospholane (**L37a**)

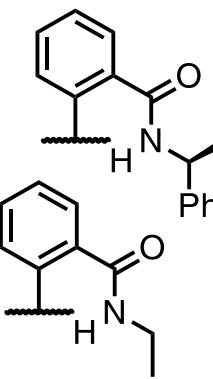
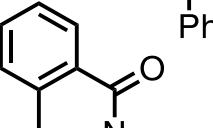
L37a	Regio (%) ee(%)	
R= Me	98	68
R= Et	97	82
R= i-Pr	98	74
NR ₂ =NMe(OMe)	98	71



Rh-catalyzed asymmetric hydroformylation of other monosubstituted alkenes 1,3-dienes, N-vinyl carboxamides, allyl carbamates and allyl ethers



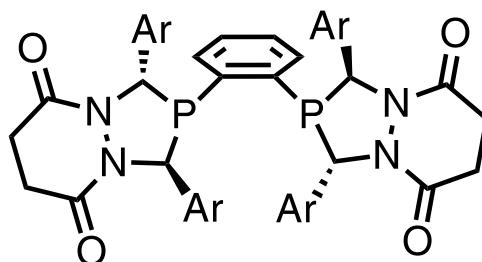
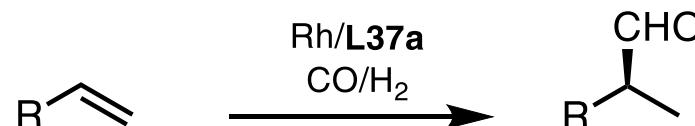
Bis-3,4-diazaphospholane

L37a (*S,S,S*) Ar=**L46** (*S,S*) Ar=

bis-3,4-diazaphospholane type ligands

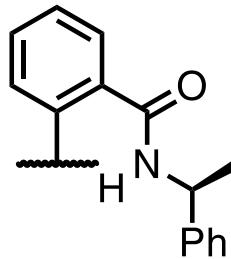
L37a	Regio (%)	ee(%)	L46	Regio (%)	ee(%)
R1= Ph, R2= H	99	91	R1= Ph, R2= Me	88	93
R1= 2-furan, R2= H	99	97	R1= 2-furan, R2= Me	98	93
R1= MeO, R2= H	99	94			

Rh-catalyzed asymmetric hydroformylation of other monosubstituted alkenes monosubstituted enamides and other allylic substrates



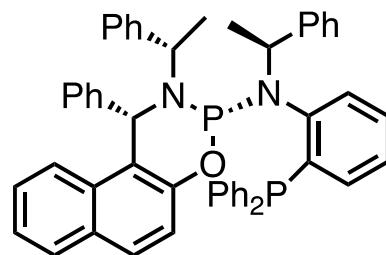
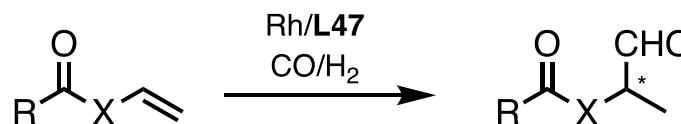
Bis-3,4-diazaphospholane

L37a Ar=



L37a	Regio (%)	ee(%)
R= NHCOOBn	99	94
R= NHCOOtBu	97	99
R= NHCOCF ₃	98	99
R= CH ₂ OTMS	67	97
R= CH ₂ OPh	72	96
R= CH(OAc) ₂	82	93

Rh-catalyzed asymmetric hydroformylation of other monosubstituted alkenes vinyl esters

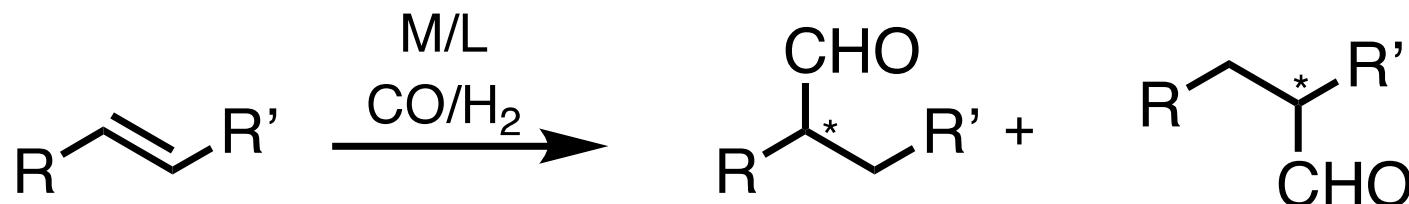


BettiPhos (**L47**)

Schmitz, C.; Holthusen, K.; Leitner, W.; Franciò, G.
ACS Catal. **2016**, 6, 584-1589

Product	Regio %	ee %
R = Et; X = O	>99	94
R = tBu; X = O	>99	95
R = Ph; X = O	>99	94
R = 4-MeOC ₆ H ₄ ; X = O	>99	91
R = 4-FC ₆ H ₄ ; X = O	>99	92
R = naphthyl; X = O	98	88
R = CH ₃ (CH ₂) ₁₀ ; X = O	>99	95
	>99	96
	-	5
R = CH ₃ ; X = NH	96	82
R = CH ₃ ; X = NCH ₃	86	83

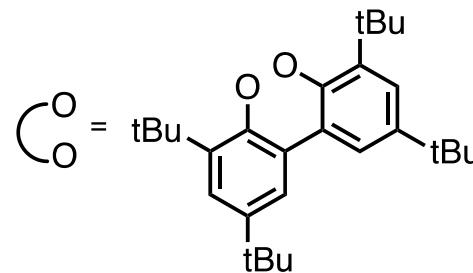
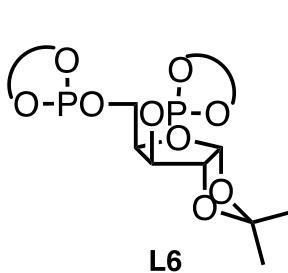
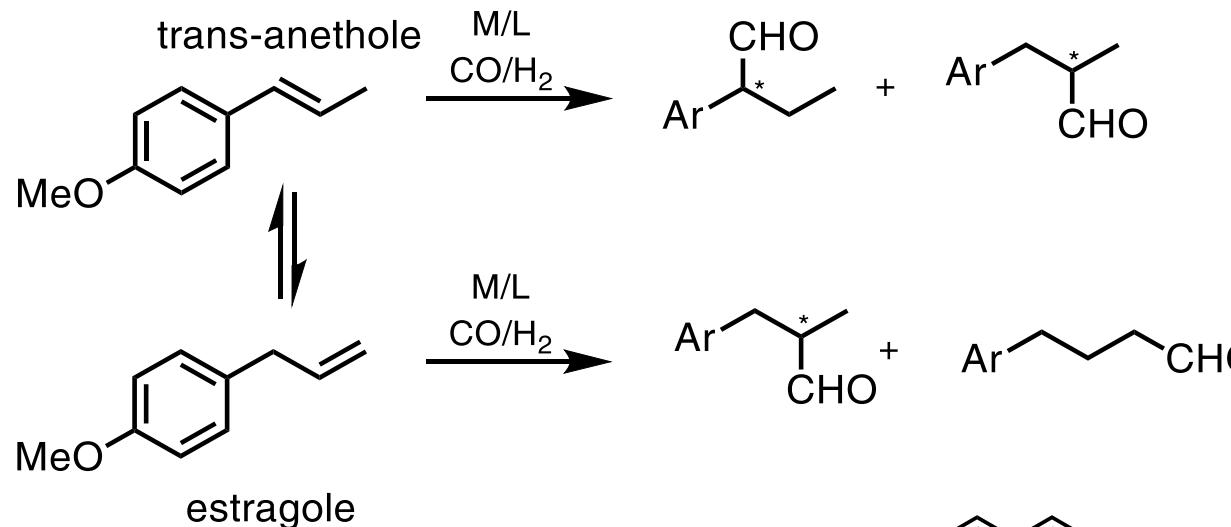
Rh-catalyzed asymmetric hydroformylation of 1,2-disubstituted alkenes



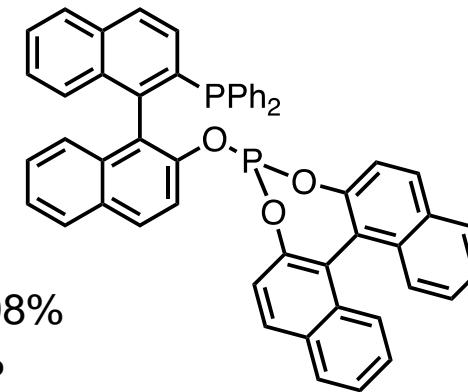
Two possible chiral aldehyde products

Possibility of isomerization

Rh-catalyzed asymmetric hydroformylation of 1,2-disubstituted alkenes

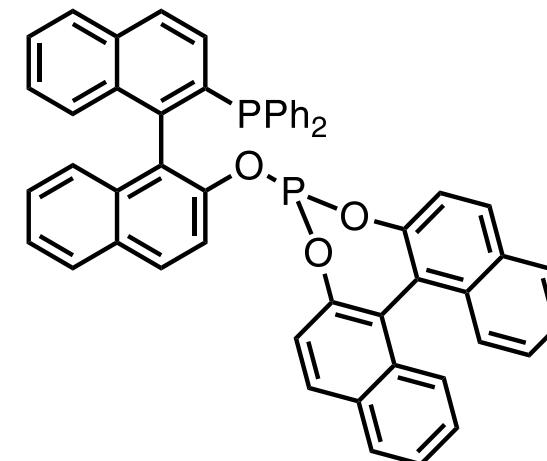
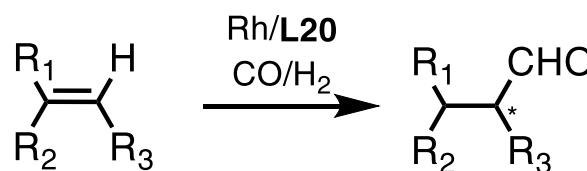


ee up to 15%



Regio: 98%
Ee: 80%

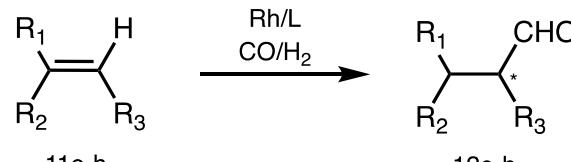
Rh-catalyzed asymmetric hydroformylation of 1,2-alkyl disubstituted alkenes

(R,S)-Binaphos (**L20**)

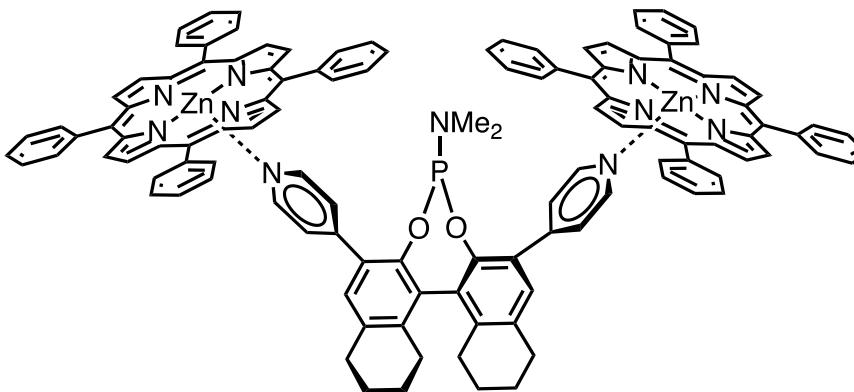
Product	ee (%)
(Z) R ₁ = H, R ₂ =R ₃ = Me	85
(E) R ₁ = Me, R ₂ = H, R ₃ = Me	48
(Z) R ₁ = H, R ₂ =R ₃ = Et	79
(E) R ₁ = Et, R ₂ = H, R ₃ = Et	69

E-isomers yielded lower enantioselectivity than their *Z*-counterparts

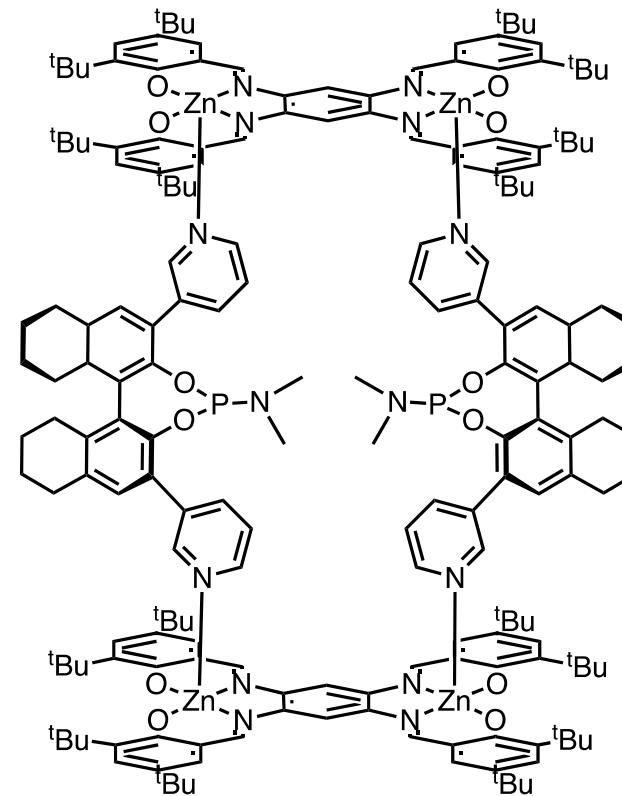
Rh-catalyzed asymmetric hydroformylation of 1,2-alkyl disubstituted alkenes



Product	L	ee (%)
R ₁ = Me, R ₂ =H, R ₃ = Pent	L48	45
	L49	72
R ₁ = Me, R ₂ =H, R ₃ = hex	L49	62
R ₁ = H, R ₂ =Me, R ₃ = Pent	L49	80
R ₁ = H, R ₂ =Me, R ₃ = Bu	L49	82



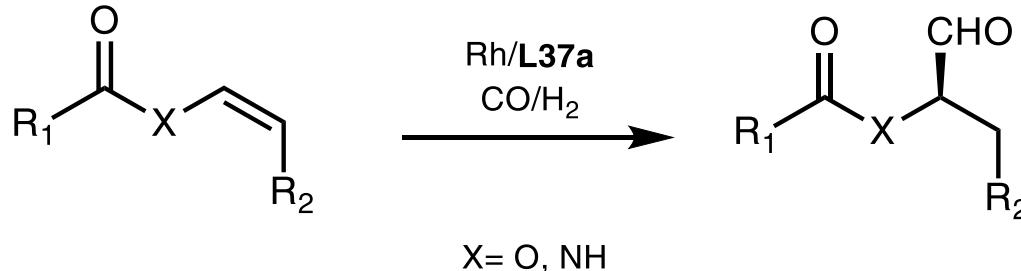
L48



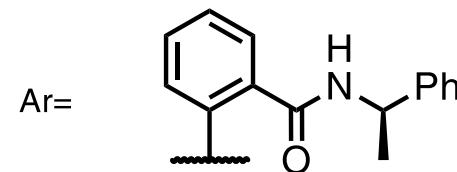
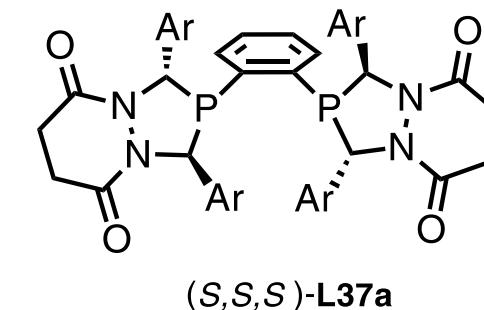
L49

Bellini, R.; Chikkali S. H.; Berthon-Gelloz, G.; Reek, J. N. H. *Angew. Chem. Int. Ed.* **2011**, *50*, 7342-7345; Gadzikwa, T.; Bellini, R.; Dekker, H.L.; Reek, J. N. H. *J. Am. Chem. Soc.* **2012**, *134*, 2860-2863.

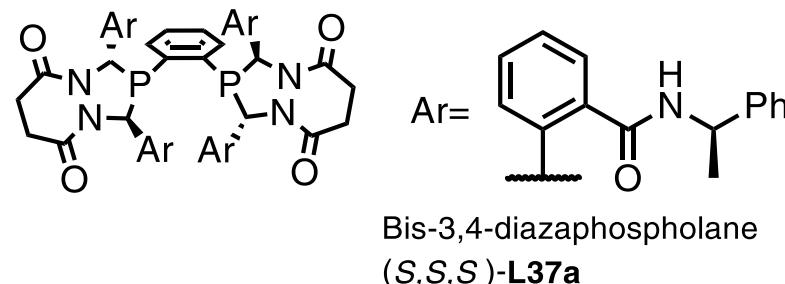
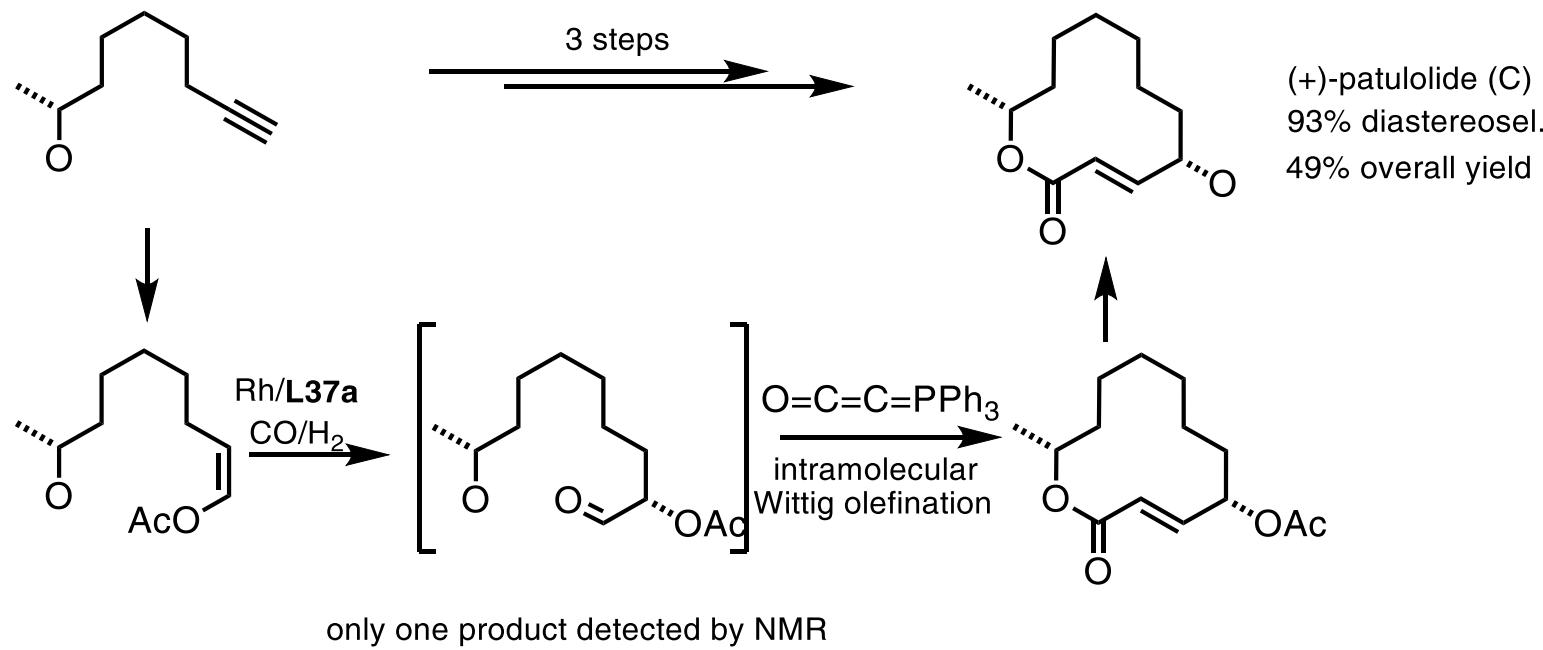
Rh-catalyzed asymmetric hydroformylation of Z-enamides and enol esters



Product	Regio(%)	ee(%)
X=O, R ₁ = Ph, R ₂ = Bu	>99	97
X=O, R ₁ = p-C ₆ H ₄ -OH, R ₂ = Bu	>99	99
X=O, R ₁ = Me, R ₂ = CH ₂ -CH ₂ -Ph	>99	93
X=NH, R ₁ = Ph, R ₂ = Bu	>99	85
X=NH, R ₁ = Ph, R ₂ = CH ₂ -CH ₂ Ph	>99	90
X=NH, R ₁ = Ph, R ₂ = CH ₂ -CH ₂ Cl	93	92
X=NH, R ₁ = Ph, R ₂ = CH ₂ -CH ₂ CN	>99	94
X=NH, R ₁ = Ph, R ₂ = CH ₂ -C ₆ H ₁₁	>99	84
X=NH, R ₁ = Ph, R ₂ = Ph	86	98
X=NH, R ₁ = CF ₃ , R ₂ = Ph	92	90



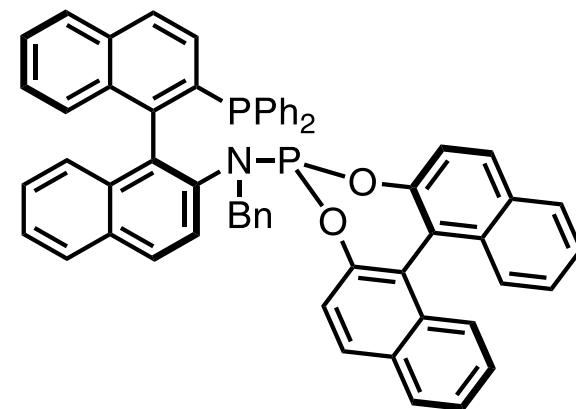
Rh-catalyzed asymmetric hydroformylation for the synthesis of (+)-patulolide C.



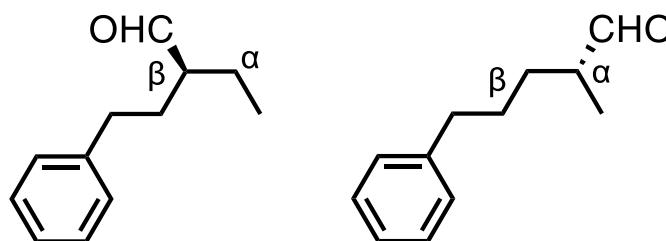
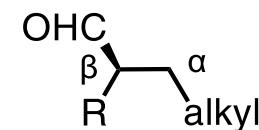
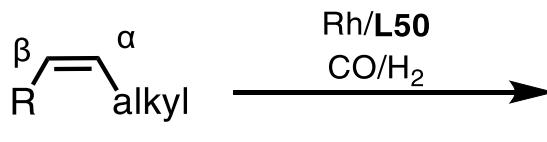
Rh-catalyzed asymmetric hydroformylation of 1,2-disubstituted alkenylsilanes



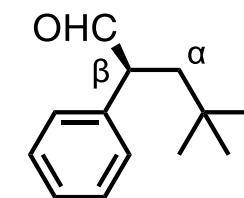
OHC
β
R
Si
α
up to 98% yield and 97% ee



(*S,R*)-(N-Bn)-YanPhos (**L50**)



30% yield, β/α = 40:60
β = 92% ee, α = 93% ee

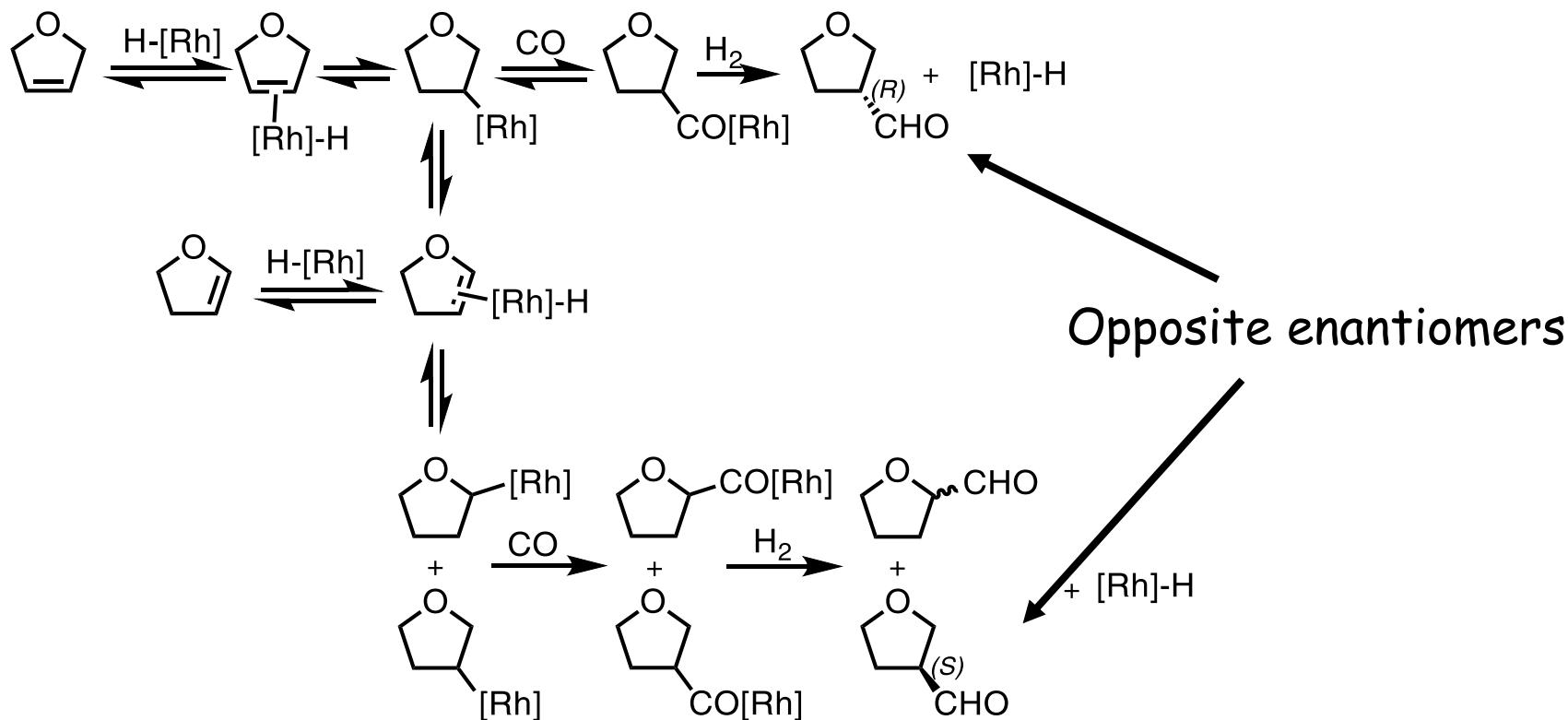


Trace conversion

Critical role of silicon group for regiocontrol and activation of the substrate

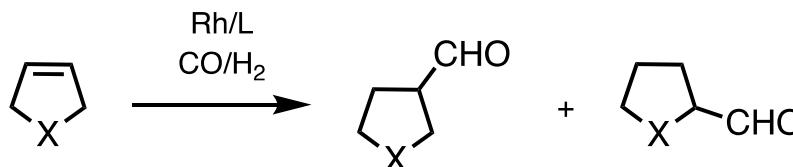
Rh-catalyzed asymmetric hydroformylation of monocyclic 1,2-Disubstituted alkenes

dihydrofurans and dihydropyrroles are the most studied

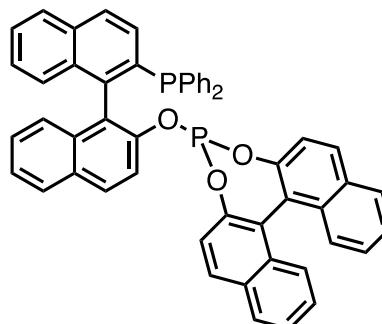


isomerization has a direct influence on both the regioselectivity
and the enantioselectivity

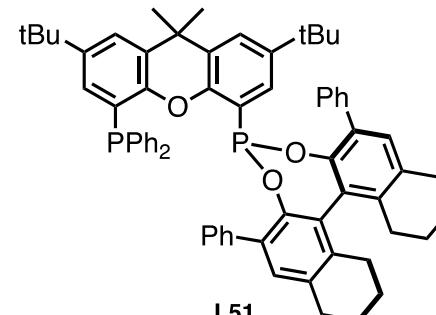
Rh-catalyzed asymmetric hydroformylation of five-membered heterocyclic alkenes



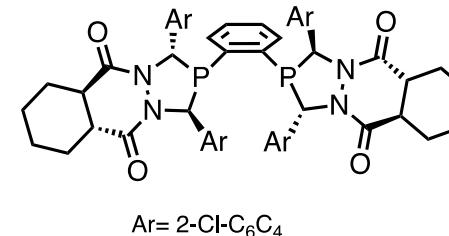
L	X = O		X = N-Boc		X = N-Ac	
	Regio (%)	ee(%)	Regio (%)	ee(%)	Regio (%)	ee(%)
L7b	99	75 (S)			99	71 (-)
L14b	99	88 (S)				
L20	99	68 (R)	99	73 (R)	99	66 (+)
L51	99	91 (S)				
L26a	99	72 (R)				
L52	99	84 (S)	99	92 (S)		



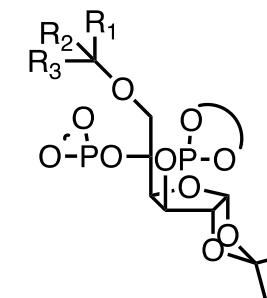
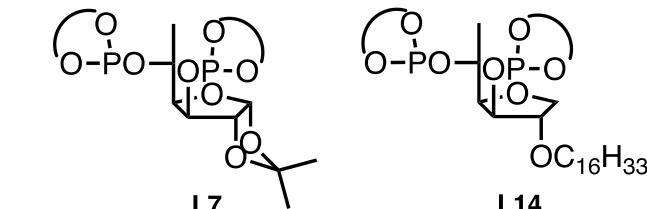
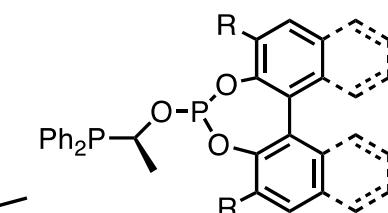
(R,S)-BINAPHOS (L20)



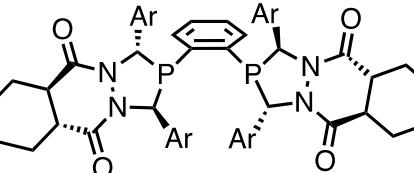
L51



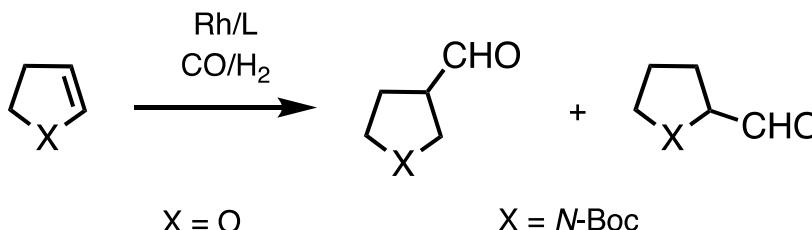
L52

L15 $R_1=R_2 = \text{H}; R_3 = \text{CH}_2\text{CH}_2\text{CH}_3$
L16 $R_1=R_2 = \text{CH}_3; R_3 = \text{H}$ 

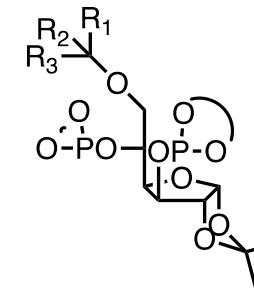
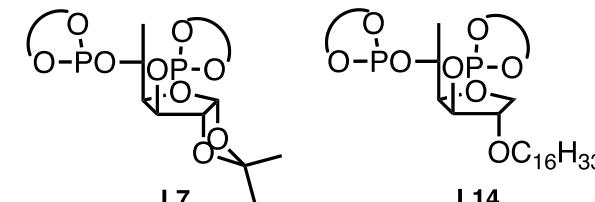
L26a

Ar= 2-Cl-C₆C₄

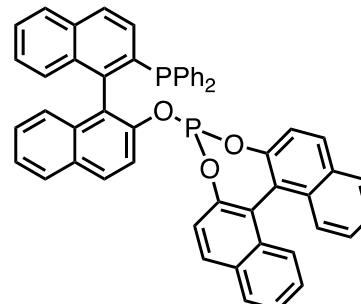
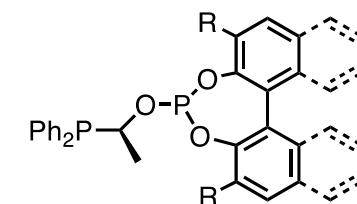
Rh-catalyzed asymmetric hydroformylation of five-membered heterocyclic alkenes



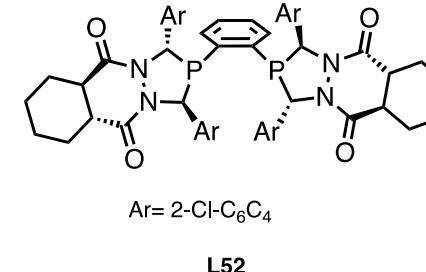
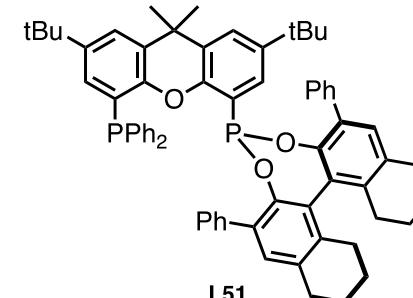
L	Regio (%)	ee(%)	Regio (%)	ee(%)
L7b	76	75 (R)		
L15b	78	83 (R)		
L16b	78	84 (R)		
L20	50	38 (S)	33	71 (S)
L51	80	91 (R)		
L26a	45	76 (S)		
L52	50	92 (R)	85	86 (R)



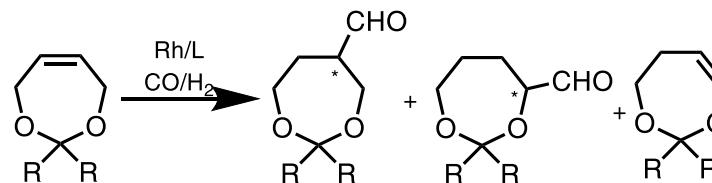
L15 $\text{R}_1=\text{R}_2 = \text{H}; \text{R}_3 = \text{CH}_2\text{CH}_2\text{CH}_3$
L16 $\text{R}_1=\text{R}_2 = \text{CH}_3; \text{R}_3 = \text{H}$



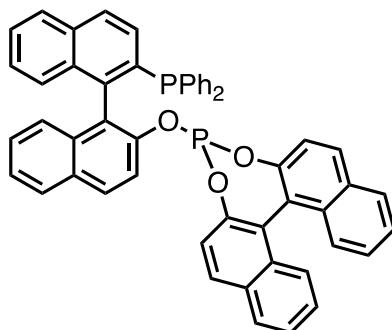
(R,S)-BINAPHOS (**L20**)



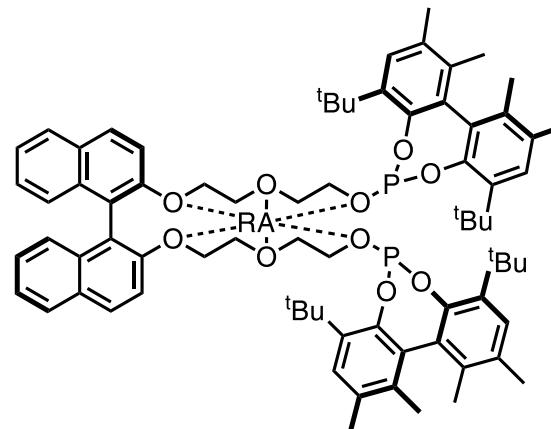
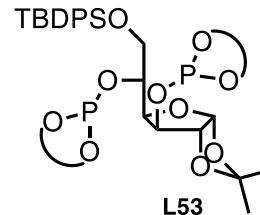
Rh-catalyzed asymmetric hydroformylation of 4,7-dihydro-1,3-dioxepine



L	R=H	R= Me
	Regio (%) ee(%)	
L20	99 76 (-)	99 70 (R)
L53	99 68 (+)	99 55 (S)
L54	99 93 (+)	

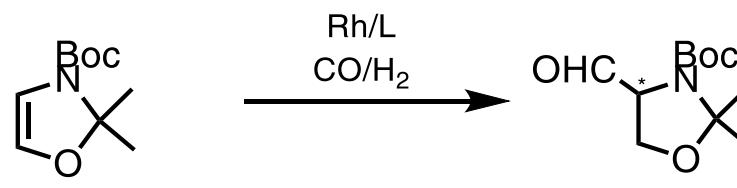


(R,S)-BINAPHOS (L20)

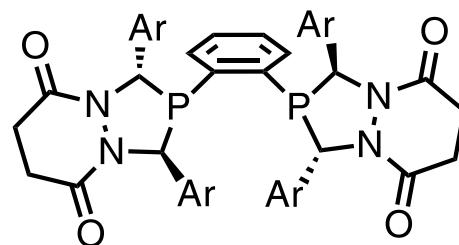


L54 RA= KBArF

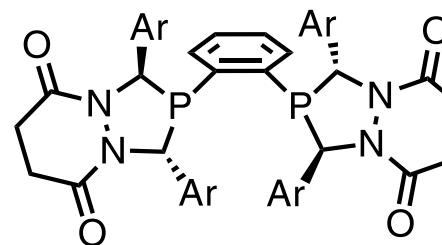
Synthesis of Garner's aldehyde through AHF of N-Boc-2,2-dimethyl-2,3-dihydrooxazole



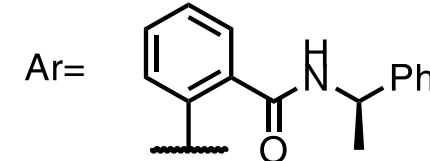
S-product in 97% ee
using L37a
R-product in 94% ee
using L37b



(S,S,S)-L37a

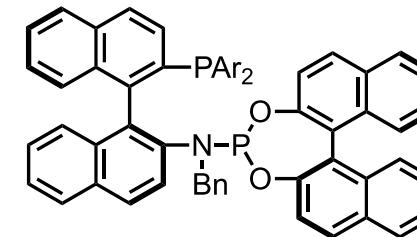
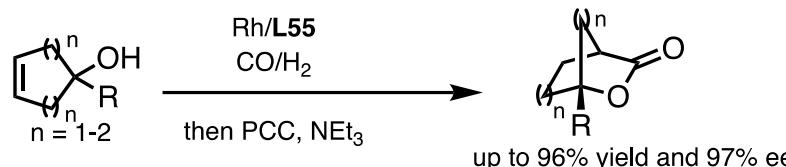


(R,R,S)-L37b

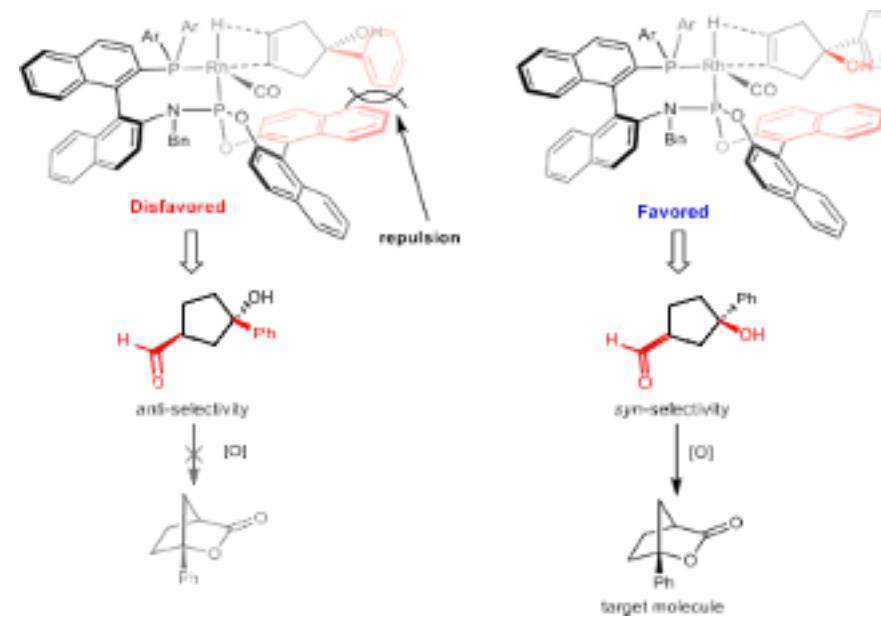


Both enantiomers of this molecule were prepared using the diastereoisomeric bis-diazaphospholane ligands L37a and L37b

Rh-catalyzed asymmetric hydroformylation of cyclopent-3-en-1-ols



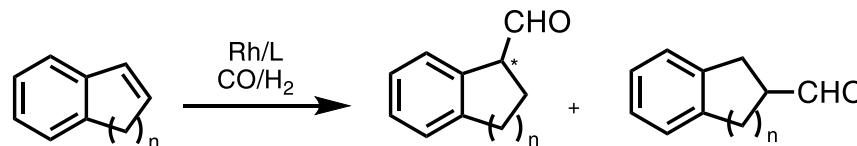
(S,R)-DM-YanPhos (**L55**)
Ar = 3,5-Me-C₆H₃



after oxidation, the
bridged [2,2,1] bicyclic
lactones were formed

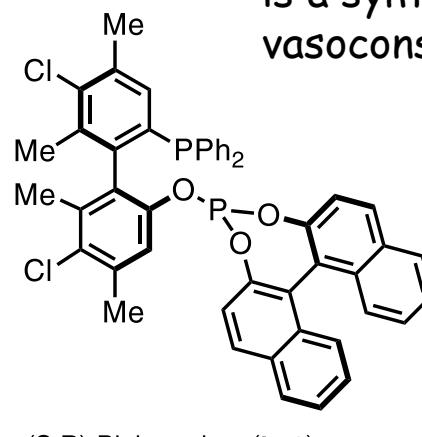
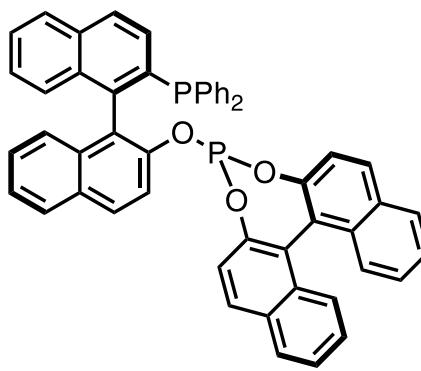
Rh-catalyzed asymmetric hydroformylation of bicyclic 1,2-disubstituted alkenes

indene and 1,2-dihydronaphthalene



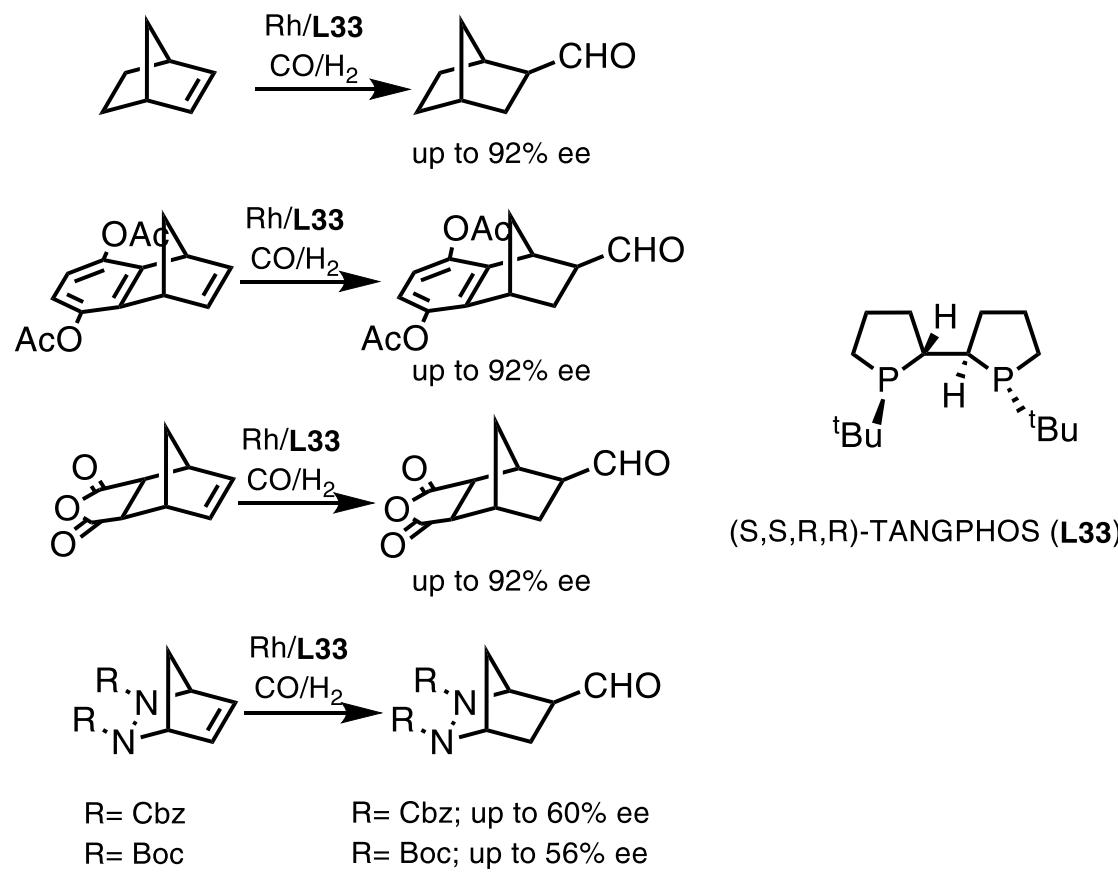
Product	L	Regio (%)	ee(%)
n=1	L56	92	88
	L20	92	83
n=2	L56	96	97
	L20	96	96

- 2,3-dihydro-1H-indene-1-carbaldehyde can be converted in a single step into the corresponding amine (hypotensive activity)
- 1,2,3,4-tetrahydronaphthalene-1-carbaldehyde is a synthetic intermediate to produce a vasoconstrictor tetrahydrozoline



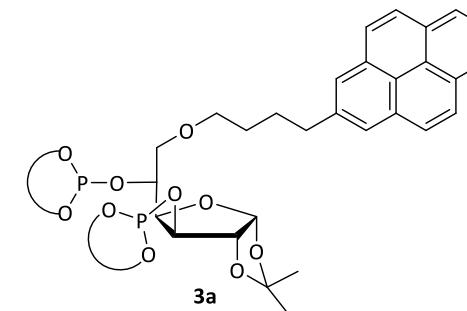
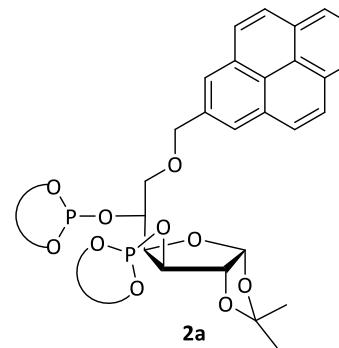
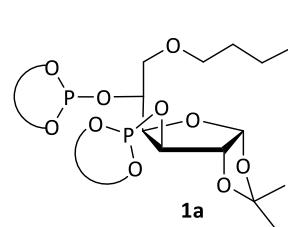
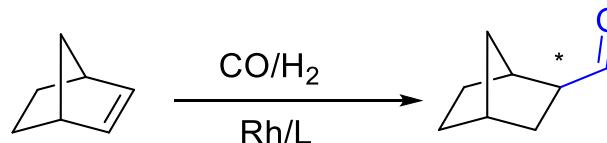
Rh-catalyzed asymmetric hydroformylation of bicyclic 1,2-disubstituted alkenes

Norbornene derivatives



Rh-catalyzed asymmetric hydroformylation of bicyclic 1,2-disubstituted alkenes

Norbornene derivatives



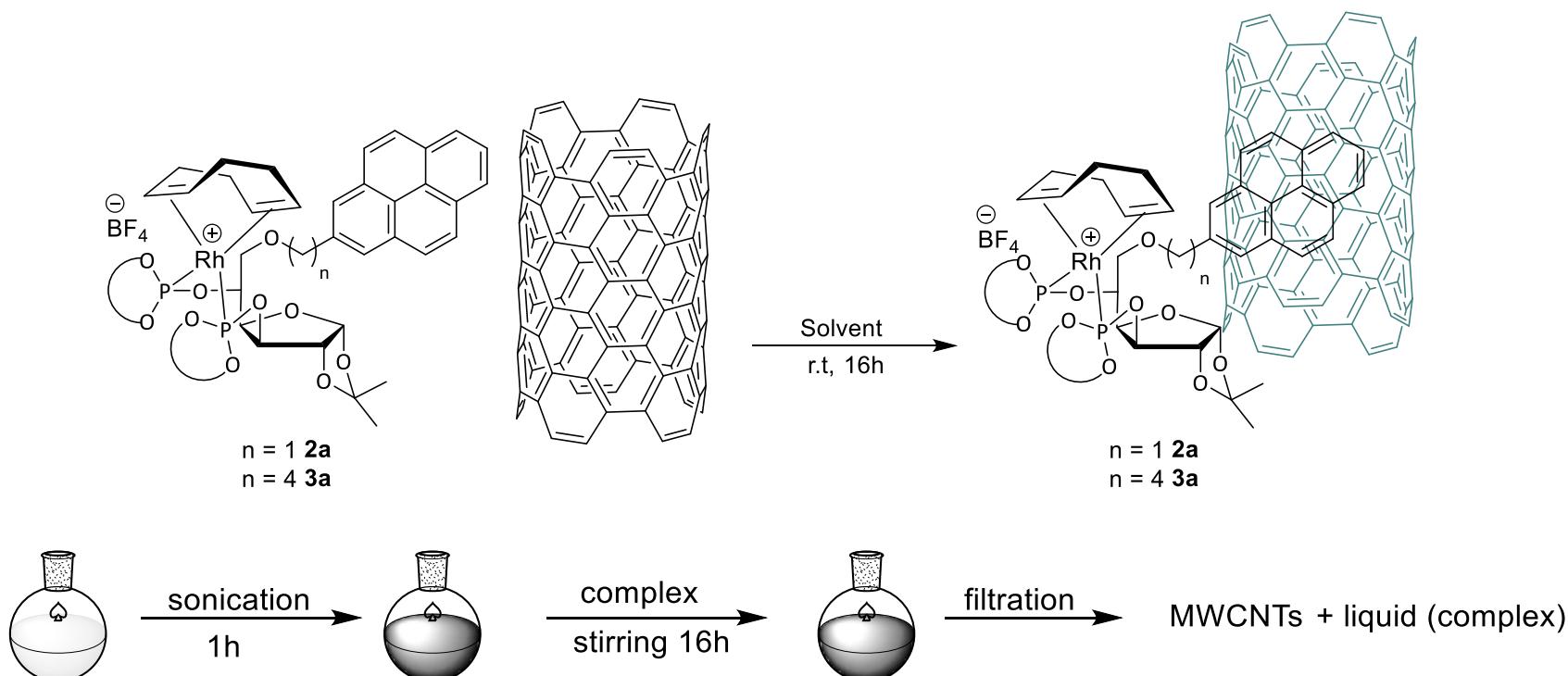
Entry ^a	Ligand	Conversion % ^b	Stereoselectivity % ^b	ee % ^c
1	1a	14	>99 % (exo)	62
2	2a	15	>99 % (exo)	62
3	3a	16	>99 % (exo)	65

Batch experiments

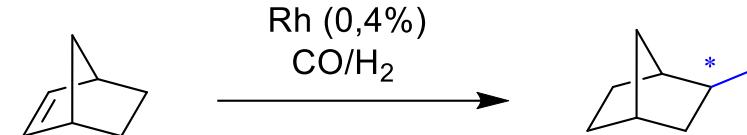
The pyrene moiety has no significant effects in the activity and selectivity

Rh-catalyzed asymmetric hydroformylation of bicyclic 1,2-disubstituted alkenes

Norbornene derivatives

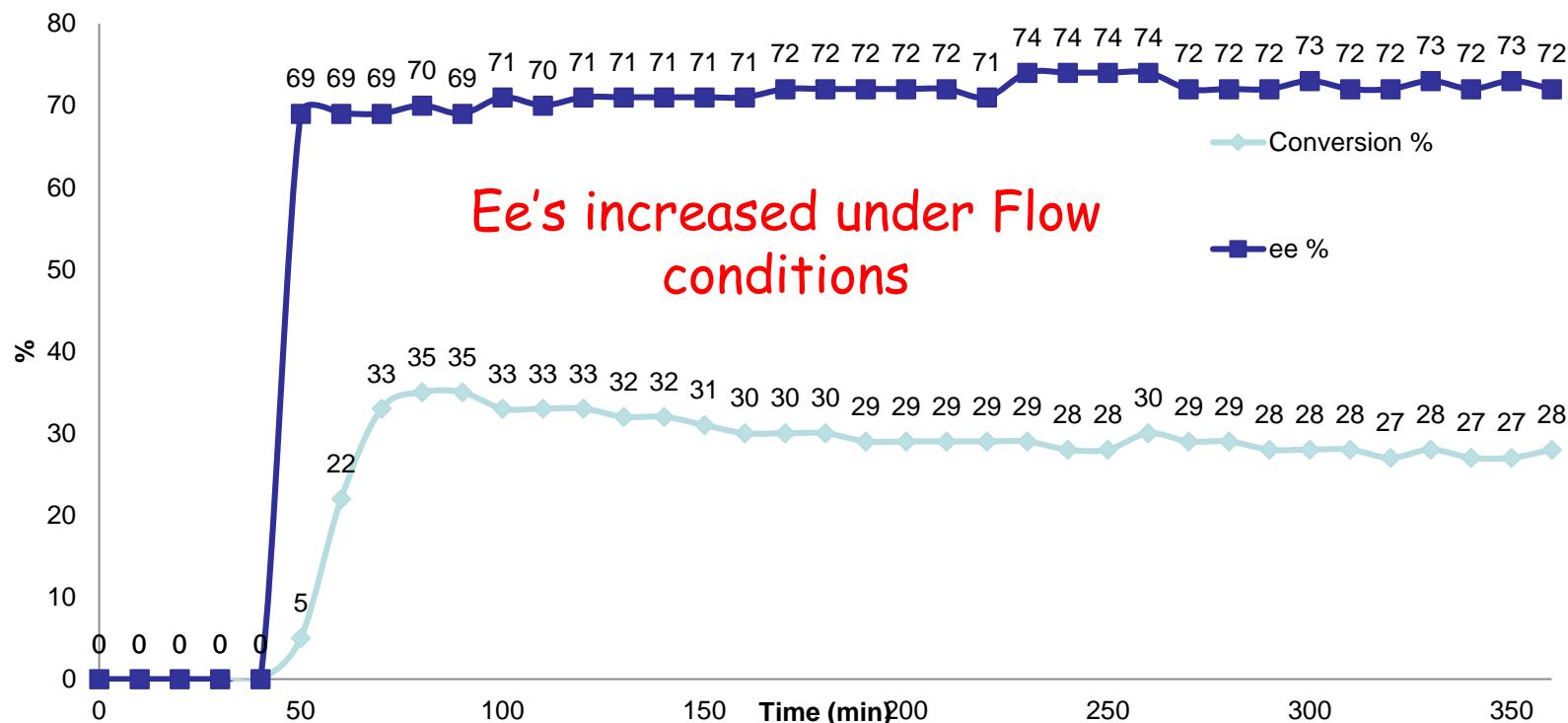


3 time more catalyst

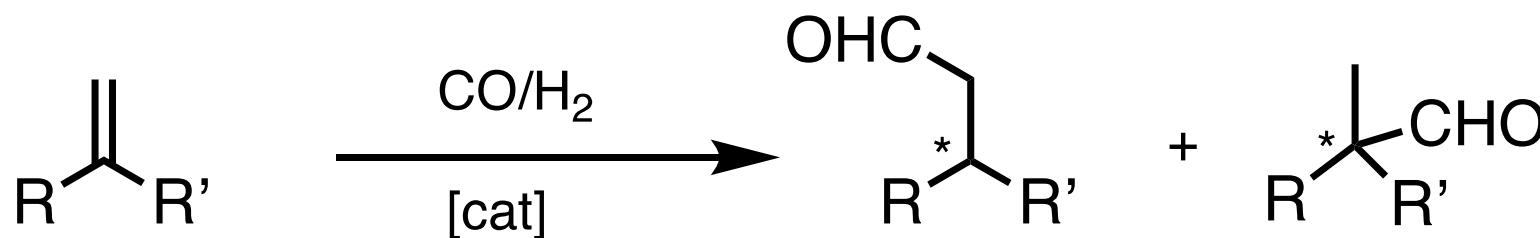


- 0,5 μm
 - High carbon content
 - Higher surface area
 - Easy separation
-
- Conditions:

P	T	CO:H2	[Norbornene]	NBN flow	CO flow	H2 flow	Solvent
10 bar	20 °C	1	0,75	0.33 mL/min	22 mL/min	22 mL/min	EtOAc



Rh-catalyzed asymmetric hydroformylation of 1,1'-disubstituted alkenes

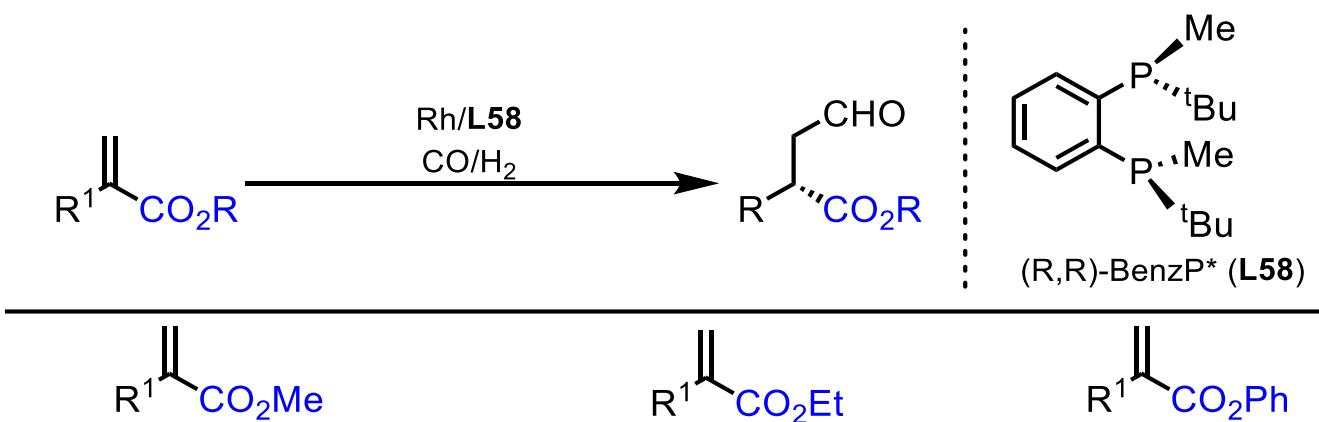


Both products can be chiral (if R and R' are not CH₃)

Keuleman's rule and steric hindrance favor the linear product

Selectivity affected by the coordinating properties of the substituents

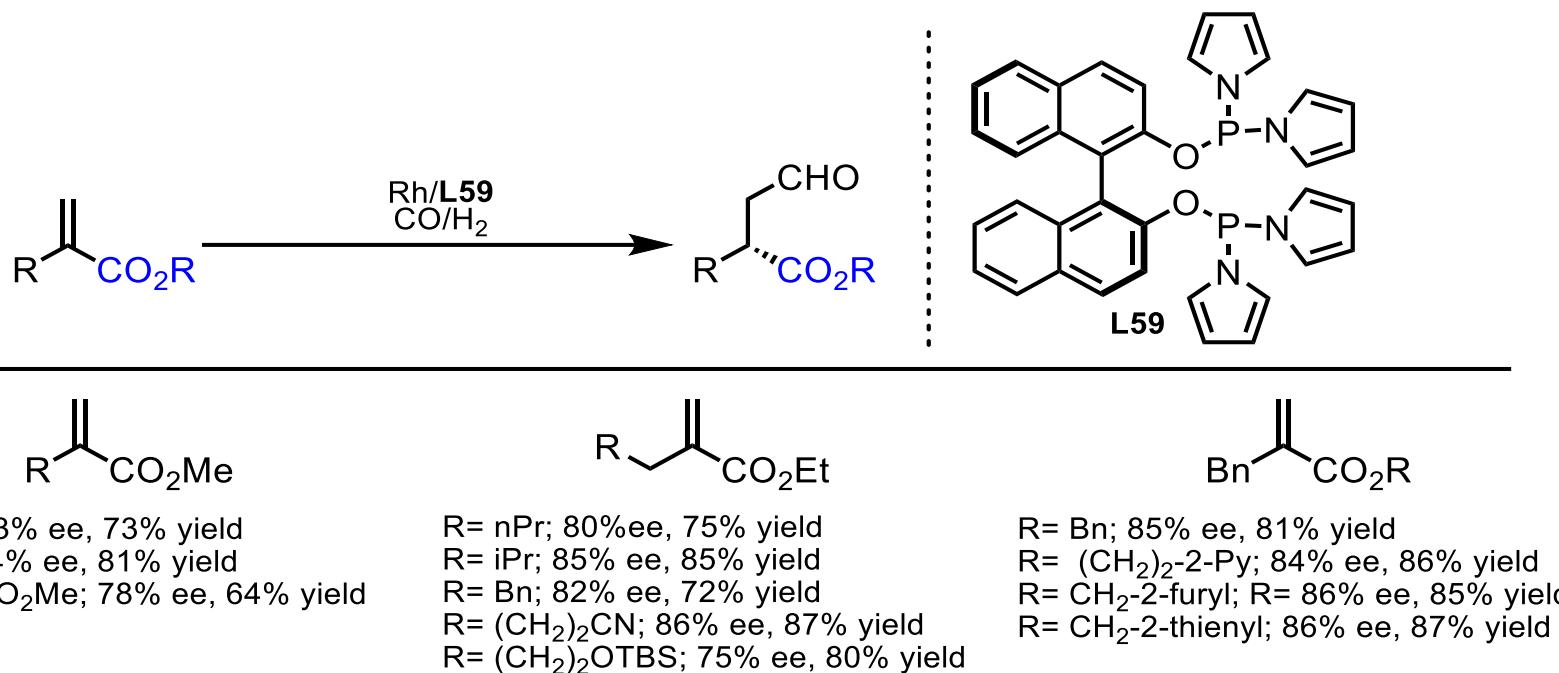
Rh-catalyzed asymmetric hydroformylation of 1,1'-disubstituted alkenes with coordinative groups: acrylate derivatives



Short reaction times
10 bar of pressure were necessary
100 °C

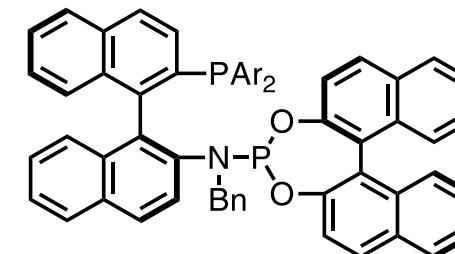
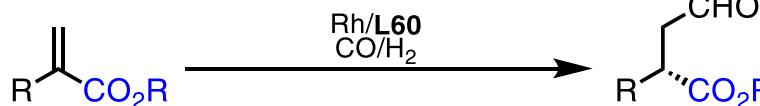
Alkenes bearing isopropyl, cyclohexyl, and cyclopentyl groups gave the highest yields and enantioselectivities

Rh-catalyzed asymmetric hydroformylation of 1,1'-disubstituted alkenes with coordinative groups: acrylate derivatives

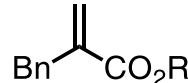


good-to-high enantioselectivities (73%-86% ee)

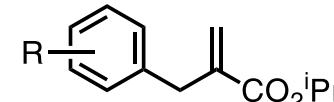
Rh-catalyzed asymmetric hydroformylation of 1,1'-disubstituted alkenes with coordinative groups: acrylate derivatives



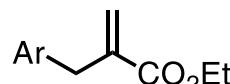
(S,S)-DTBM-YanPhos (**L60**)
Ar = 3,5-tBu-4-MeO-C₆H₃



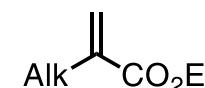
R= Et; 90% ee, 94% yield
 R= Me; 89% ee, 83% yield
 R= iPr; 91% ee, 88% yield
 R= CHPh₂; 90% ee, 75% yield
 R= Ph; 88% ee, 79% yield
 R= Bn; 88% ee, 85% yield



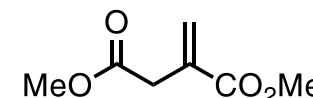
R= 4-F; 90% ee, 74% yield
 R= 4-Cl; 90% ee, 78% yield
 R= 4-Br; 90% ee, 77% yield
 R= 2-MeO; 96% ee, 85% yield
 R= 3-MeO; 91% ee, 80% yield
 R= 4-MeO; 90% ee, 82% yield



R= 4-tBuPh; 88% ee, 85% yield
 R= 2-furyl; 88% ee, 81% yield
 R= 4-CNPh; 88% ee, 60% yield

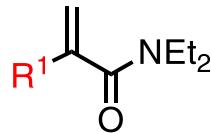
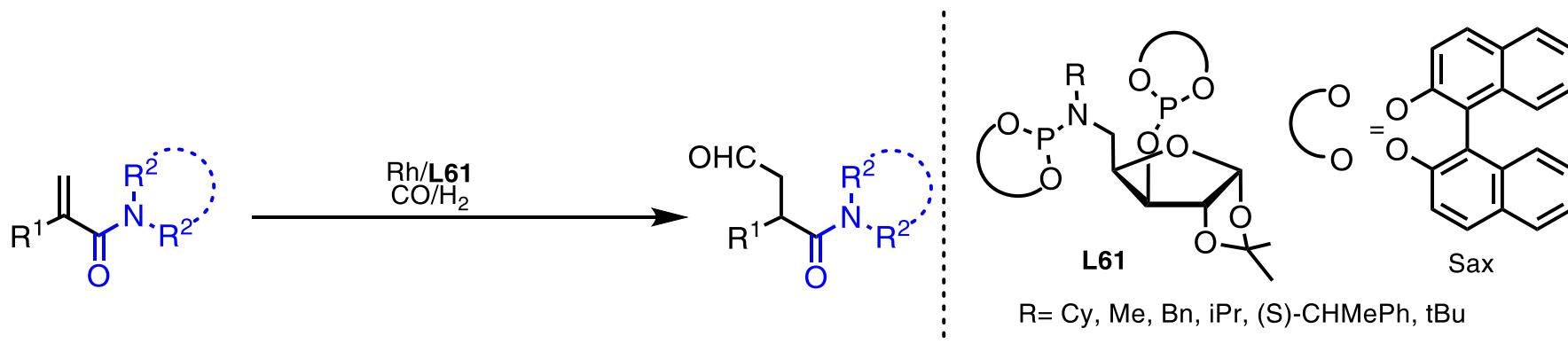


Alk= (CH₂)₂OBn; 89% ee, 84% yield
 Alk= C₅H₁₁; 88% ee, 83% yield
 Alk= iPr; 73% ee, 86% yield

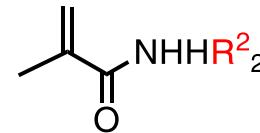


86% ee
 91% yield

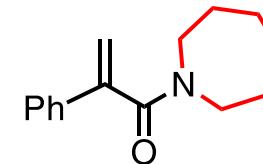
Rh-catalyzed asymmetric hydroformylation of 1,1'-disubstituted alkenes with coordinative groups: acrylamides



$R^1 = Et$; 90% ee, 74% yield^a
 $R^1 = Bn$; 86% ee, 65% yield^a
 $R^1 = iPr$; 66% ee, 74% yield^b
 $R^1 = cC_5H_9$; 82% ee, 70% yield^{b,c}
 $R^1 = Ph$; 78% ee, 55% yield^b



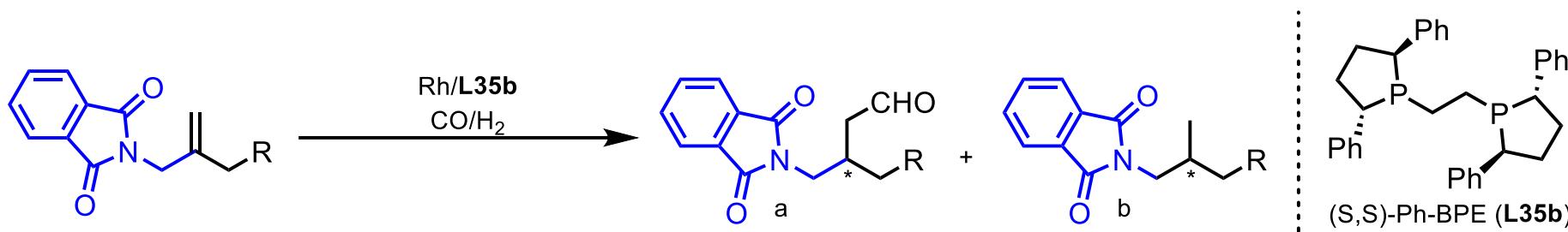
$R^2 = Et$; 90% ee, 87% yield^a
 $R^2 = Ph$; 80% ee, 50% yield^a
 $R^2 = iPr$; 99% ee, 80% yield^a



74% ee, 52% yield^{a,c}

Catalysts previously reported for acrylates were not efficient for these substrates

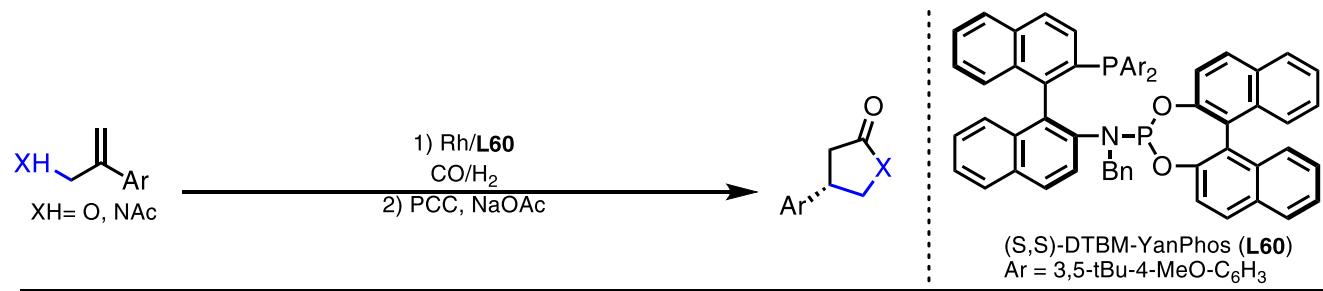
Rh-catalyzed asymmetric hydroformylation of 1,1'-disubstituted alkenes with coordinative groups: allyl phthalimides



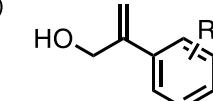
	%ee	% conv.	a/b
R = H	90	88	>99/1
R = Et	90	100	>99/1
R = nPr	75	36	>99/1
R = iPr	95	45	>99/1
R = nBu	77	54	97/3
R = iBu	55	22	86/14
R = cPn	57	36	>99/1
R = cHex	90	13	72/28
R = Bn	57	83	92/8

reaction to obtain chiral β^3 -amino acids and alcohols through oxidation or reduction of the N-phthalimide-protected aldehydes

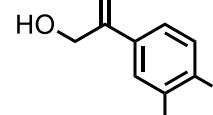
Rh-catalyzed asymmetric hydroformylation of 1,1'-disubstituted alkenes with coordinative groups: allylic alcohols



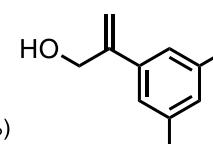
	R	ee (%)	yield (%)
	H	90	87
	Me	90	95
	iPr	89	71
	tBu	88	87
	Ph	85	71
	MeO	90	88
	CF ₃	93	72
	CO ₂ Et	86	80
	F	92	78
	Cl	90	73



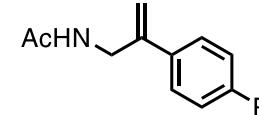
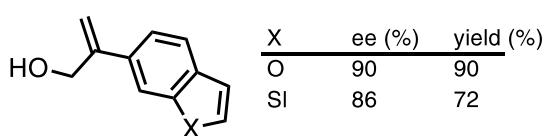
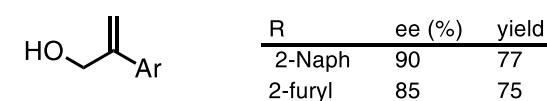
R	ee (%)	yield (%)
3-F	87	79
2-Me	90	90



R	ee (%)	yield (%)
Me	90	90
MeO	90	74
$\text{O}(\text{CH}_3)_2\text{O}$	88	80



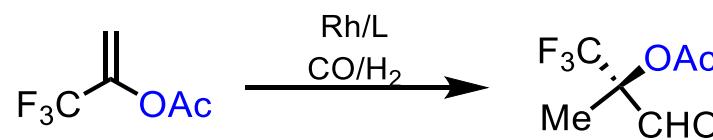
92% ee; 83% yield



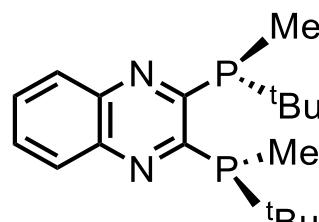
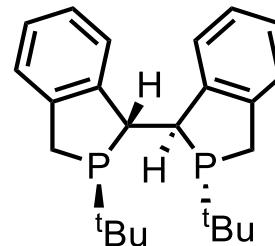
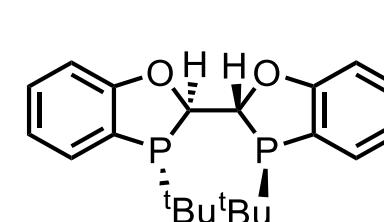
chiral linear aldehydes were oxidized to the corresponding lactones.

ee from
85 to 93%

Rh-catalyzed asymmetric hydroformylation of 1,1'-disubstituted alkenes with coordinative groups

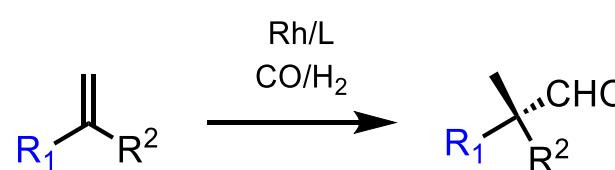


L	ee%
L62	91
L63	92
L34	80

(R,R)-QuinoxP* (**L62**)(R,R,S,S)-Duanphos (**L63**)(R)-BIBOP (**L34**)

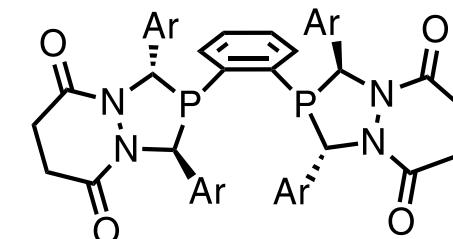
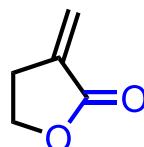
first efficient catalyst providing the branched aldehyde as the major product

Rh-catalyzed asymmetric hydroformylation of 1,1'-disubstituted alkenes with coordinative groups

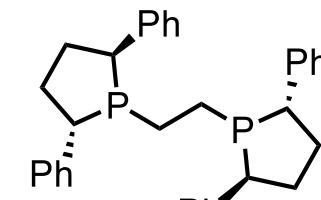
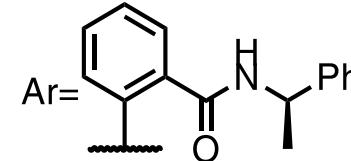


R1	R2	L	ee%
CO ₂ Me	OMe	37a	90
CO ₂ Me	F	37a	85
CO ₂ Me	CF ₃	37a	15
CO ₂ Me	OAc	37a	85
CO ₂ Me	Me	35b	/
OAc	CF ₃	35b	92
OAc	Me	37a	/
OAc	CN	37a	61
		35b	95

Electron-withdrawing substituents at the substrate favor branched selectivity



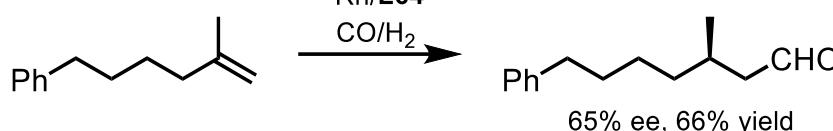
(S,S,S)-L37a



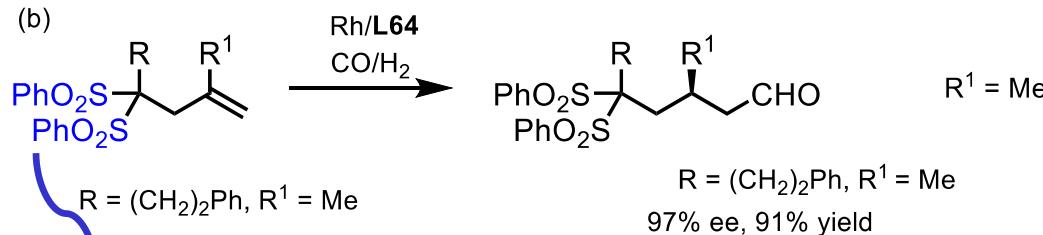
(S,S)-Ph-BPE (L35b)

Rh-catalyzed asymmetric hydroformylation of 1,1'-disubstituted alkenes without coordinative groups: 1,1'-dialkyl alkenes

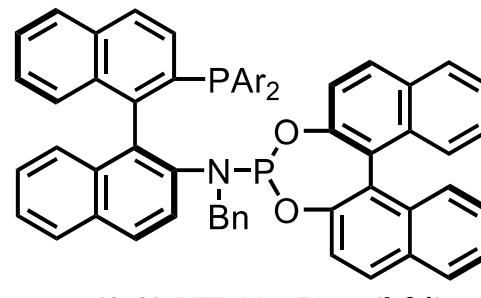
(a)



(b)



Enhance
reaction
rate and ee

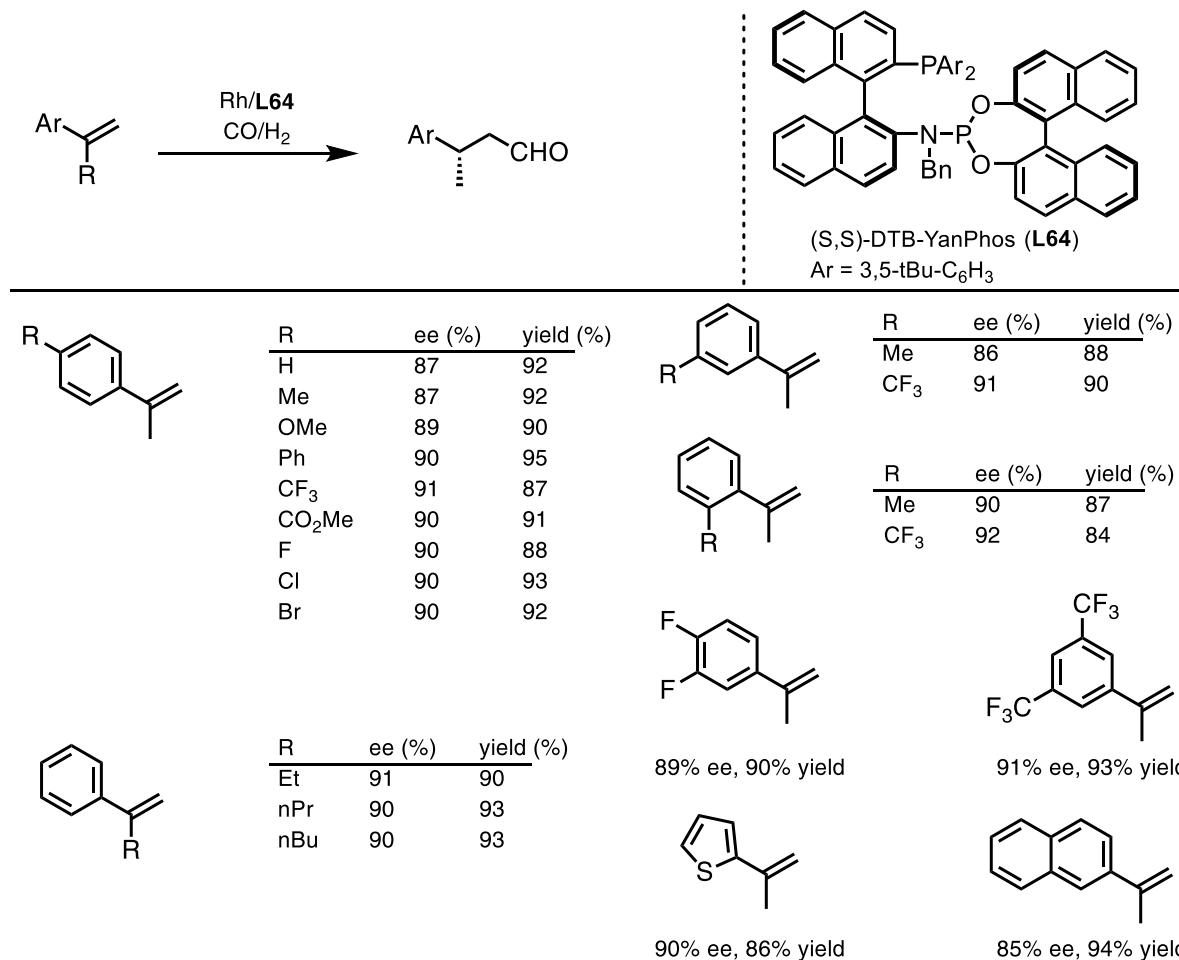


R	% ee	% yield
Me	90	88
Et	90	100
nPr	75	36
nBu	95	45
iBu	77	54
n-amyl	55	22
F	57	36
iPr	90	13
Bn	57	83
$(CH_2)_2Ph$	97	91
$(CH_2)_3Ph$	95	92
$(CH_2)_3SiMe_3$	94	94
$(CH_2)_3CF_3$	94	85
$(CH_2)_3CO_2Et$	97	91
$(CH_2)_3NBn_2$	86	84
$(CH_2)_2OPh$	96	88
$(CH_2)_2SPh$	95	92
$R^1 = Et$	95	82
$R^1 = n\text{-Hex}$	94	76

up to 97% yield and >99% ee

Rh-catalyzed asymmetric hydroformylation of 1,1'-disubstituted alkenes

without coordinative groups: 1-aryl-1-alkyl alkenes



(S,S)-configured-binol group on the phosphite moiety and a hindered aryl group on the phosphine.

↓
high conv. and ee

(S,R)-ligands
↓
conv. and ee
drastically reduced.

Asymmetric hydroformylation of alkenes

MAIN CONCLUSIONS

- Rh is currently the metal of choice in the AHF of a relatively large variety of alkene substrates.
- Characterization of resting states and mechanistic studies provided key data to develop efficient catalysts
- The discovery of several families of chiral ligands providing high ee's have made AHF a synthetically useful tool

QUESTIONS ???

