

**Theorem 7.1 (Threshold theorem).** *If a threshold probability  $p_{th}$  exists, then it is always possible to correct errors at a faster than they are created. It is sufficient to increase the level  $k$  of encoding.*

*Proof.* The proof is trivial. As long as the the occurrence of an error on the physical qubit  $p = \epsilon$  is smaller than the threshold probability  $p_{th}$ , then the ratio

$$\frac{p}{p_{th}} < 1, \quad (7.49)$$

and thus the quantity

$$\left(\frac{p}{p_{th}}\right)^{2^k}, \quad (7.50)$$

can be made suitably small by simply increasing  $k$ .

The beauty of the threshold theorem is its simplicity. However, it also highlights a non-trivial problem, which is the necessity of employing a very large number of physical qubits. It naturally follows the question: How many physical qubits are necessary to quantum error correcting a faulty circuit?

Suppose we have  $N$  components (this is the number of qubits times the number of gates). Suppose for each of these components one needs  $R$  physical qubit accounting for QEC at the 1 level of encoding. Then, after  $k$  levels of encoding there is a total of  $NR^k$  qubits. Suppose we want that the entire circuit works with a failing probability  $P_{\text{fail, circuit}} < \epsilon$ , where  $\epsilon$  is a given probability. Then, per component, we have

$$P_{\text{fail}} = p_{th} \left(\frac{p}{p_{th}}\right)^{2^k} < \frac{\epsilon}{N}. \quad (7.51)$$

The question is then how many levels  $k$  of encoding are necessary? Or equivalently, how many physical qubits  $R^k$  per components are required? From the previous expression we obtain

$$2^k \sim \frac{\log_2 \left(\frac{Np_{th}}{\epsilon}\right)}{\log_2 \left(\frac{p_{th}}{p}\right)}, \quad (7.52)$$

which implies

$$R^k \sim \left(\frac{\log_2 \left(\frac{Np_{th}}{\epsilon}\right)}{\log_2 \left(\frac{p_{th}}{p}\right)}\right)^{\log_2 R}. \quad (7.53)$$

Thus, the size of the full circuit scales as

$$NR^k \sim \text{poly} \left(\log \frac{Np_{th}}{\epsilon}\right). \quad (7.54)$$

This is the quantitative result of the threshold theorem.

### 7.1.7 More layers of encoding or only more qubits

Until now, we worked under the assumption of having only noises that act independently on the physical qubits. Let us suppose now a different kind of noise map, that correlates the noise on different qubits. Specifically, we consider a Kraus map acting on  $N$  qubits, that reads

$$\mathcal{T}[\hat{\rho}] = \sum_{i \neq j}^N \left[ (1-p)\hat{\rho} + p\hat{\sigma}_x^{(i)}\hat{\sigma}_x^{(j)}\hat{\rho}\hat{\sigma}_x^{(j)}\hat{\sigma}_x^{(i)} \right], \quad (7.55)$$

where there is a probability  $p$  that the noise acts on the qubits.

First of all, let us introduce a graphical notation. Suppose we have three physical qubits, and the noise has acted on the first and second. Then, we denote this with the following scheme

$$\text{Before the noise } \begin{array}{c} \text{---} \\ \text{---} \\ \text{---} \end{array} \quad \text{After the noise } \begin{array}{c} \text{---X---} \\ \text{---X---} \\ \text{---} \end{array} \quad (7.56)$$

Now, suppose we are performing the encoding with  $N = 3$  qubits and  $k = 1$  layer of encoding. If there are no errors — this happens with probability  $(1 - p)$  — then physical qubits are

$$\begin{array}{c} \text{---} \\ \text{---} \\ \text{---} \end{array} \quad \text{and correspond to a logical qubit } \text{---} \quad (7.57)$$

which is untouched by the noise. If there is one error, which corresponds to two qubits being affected with a probability  $p$ , then the physical qubits are in one of the following three states

$$\begin{array}{c} \text{---X---} \\ \text{---X---} \\ \text{---} \end{array} \quad \begin{array}{c} \text{---} \\ \text{---X---} \\ \text{---} \end{array} \quad \begin{array}{c} \text{---X---} \\ \text{---} \\ \text{---X---} \end{array} \quad \longrightarrow \quad \text{---X---} \quad (7.58)$$

that correspond to a logical qubit that is affected to the noise. Here, we denote decodings with horizontal arrows. Thus, the protocol fails. These states contribute to the failing probability:

$$P_{\text{fail}} \sim 3p, \quad (7.59)$$

where the factor 3 is given by the number of equivalent states at the physical level, namely those represented graphically in the left side of Eq. (7.58).

If there are two errors, which is a process involving a probability  $p^2$  and four qubits, one has the following 9 states:

$$\begin{array}{c} \text{---X---X---} \\ \text{---X---X---} \\ \text{---} \end{array} \quad \begin{array}{c} \text{---X---X---} \\ \text{---} \\ \text{---X---} \end{array} \quad \begin{array}{c} \text{---X---} \\ \text{---X---} \\ \text{---} \end{array} \quad \begin{array}{c} \text{---X---X---} \\ \text{---X---X---} \\ \text{---} \end{array} \quad \begin{array}{c} \text{---X---X---} \\ \text{---X---X---} \\ \text{---} \end{array} \quad \begin{array}{c} \text{---X---} \\ \text{---X---} \\ \text{---} \end{array} \quad \begin{array}{c} \text{---X---X---} \\ \text{---X---X---} \\ \text{---} \end{array} \quad \begin{array}{c} \text{---X---X---} \\ \text{---X---X---} \\ \text{---} \end{array} \quad \begin{array}{c} \text{---X---X---} \\ \text{---X---X---} \\ \text{---} \end{array} \quad (7.60)$$

which correspond to

$$\begin{array}{cccccccccc} \text{---} & \text{---X---} & \text{---X---} & \text{---X---} & \text{---} & \text{---X---} & \text{---X---} & \text{---X---} & \text{---} \\ \text{---} & \text{---X---} & \text{---X---} & \text{---X---} & \text{---} & \text{---X---} & \text{---X---} & \text{---X---} & \text{---} \\ \downarrow & \downarrow & \downarrow & \downarrow & \downarrow & \downarrow & \downarrow & \downarrow & \downarrow \\ \text{---} & \text{---X---} & \text{---X---} & \text{---X---} & \text{---} & \text{---X---} & \text{---X---} & \text{---X---} & \text{---} \end{array} \quad (7.61)$$

as the application of an bit-flip error twice on the same qubit correspond to not having an error, i.e.  $\hat{\sigma}_x^2 = \hat{1}$ . In such a case, only some combination are faulty, while in others the errors have cancelled. Here, we denote equivalence with vertical arrows. These states will contribute to  $P_{\text{fail}}$  with  $+6p^2$ . Thus, one gets

$$P_{\text{fail}} = 3p + 6p^2 + \dots \sim 3p, \quad (7.62)$$

where the  $\dots$  indicate higher order errors. Nevertheless, is the lowest order term in  $p$  that is the most significant, under the hypothesis of small error probabilities.

Consider now a double encoding  $k = 2$  with a total of  $N = 3^2 = 9$  qubits. In case of no errors,  $\text{prob} = (1 - p)$ , we have

$$\begin{array}{ccc}
 \text{layer 2} & & \text{layer 1} & & \text{layer 0} \\
 \text{-----} & & \text{-----} & & \\
 \text{-----} & \rightarrow & \text{-----} & & \\
 \text{-----} & & & & \\
 \text{-----} & \rightarrow & \text{-----} & \rightarrow & \text{-----} \\
 \text{-----} & & & & \\
 \text{-----} & \rightarrow & \text{-----} & & \\
 \text{-----} & & & & 
 \end{array} \tag{7.63}$$

which do not contribute to  $P_{\text{fail}}$ . If there is one error,  $\text{prob} = p$ , we have  $\binom{9}{2} = 36$  states. Some states display two affected physical qubits in different layer-1 logical qubit,

$$\begin{array}{ccc}
 \text{layer 2} & & \text{layer 1} & & \text{layer 0} \\
 \text{---X---} & & \text{-----} & & \\
 \text{-----} & \rightarrow & \text{-----} & & \\
 \text{-----} & & & & \\
 \text{---X---} & \rightarrow & \text{-----} & \rightarrow & \text{-----} \\
 \text{-----} & \rightarrow & \text{-----} & & \\
 \text{-----} & & & & 
 \end{array} \tag{7.64}$$

Some have two physical qubits in the same layer-1 logical qubit. Thus, the corresponding layer-1 logical qubit fails, but the layer-0 logical qubit is still protected

$$\begin{array}{ccc}
 \text{layer 2} & & \text{layer 1} & & \text{layer 0} \\
 \text{---X---} & & \text{---X---} & & \\
 \text{---X---} & \rightarrow & \text{---X---} & & \\
 \text{-----} & \rightarrow & \text{-----} & \rightarrow & \text{-----} \\
 \text{-----} & & & & \\
 \text{-----} & \rightarrow & \text{-----} & & \\
 \text{-----} & & & & 
 \end{array} \tag{7.65}$$

This is the worst-case scenario with one error. If there are two errors,  $\text{prob} = p^2$ , the states that are relevant are those that have 2 layer-1 logical qubits affected. Namely, they reproduce the same graph as that in Eq. (7.58). For example, of the form

$$\begin{array}{ccc}
 \text{layer 2} & & \text{layer 1} & & \text{layer 0} \\
 \text{---X---} & & \text{---X---} & & \\
 \text{---X---} & \rightarrow & \text{---X---} & & \\
 \text{-----} & \rightarrow & \text{-----} & \rightarrow & \text{---X---} \\
 \text{-----} & & & & \\
 \text{---X---} & \rightarrow & \text{---X---} & & \\
 \text{---X---} & & & & 
 \end{array} \tag{7.66}$$

At the layer-1, this correspond to a probability being  $3p$ . For each of the layer-1 affected logical qubits, one needs 2 layer-2 affected physical qubits, with associated a probability  $3p$ . Thus, one has

$$P_{\text{fail}} = (3p)^2 + \dots \sim 9p^2. \tag{7.67}$$

With generic  $k$  layers of encoding, one has

$$P_{\text{fail}} = (3p)^k + \dots, \tag{7.68}$$

which is graphically represented in Fig. 7.5, where the lines are listed at increasing values of  $k$  and condense towards the value of  $p_{\text{th}} = 1/3$ .

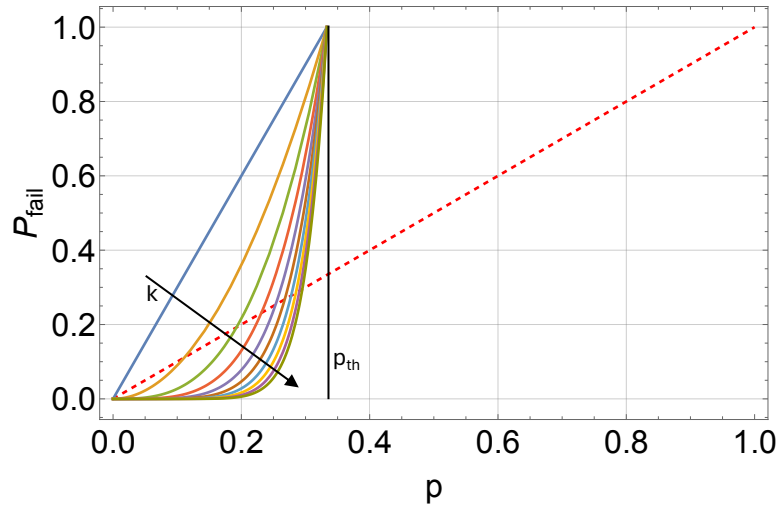


Fig. 7.5: Comparison of the failing probabilities  $P_{\text{fail}}$  for different  $k$  layers of encoding with 3 qubits each. The arrow indicates the direction of increasing values of  $k$ , while the vertical black line indicates the threshold probability  $p_{\text{th}}$ .

Let us now consider the alternative. Instead of taking a large number of layers of encoding with just a few qubits per layer, we consider a large number of qubits on a single encoding layer.

For  $N = 3$ , a single error, i.e. having two physical qubits affected, is sufficient to make the encoding fail:

$$\begin{array}{ccc}
 \text{No error} & & \text{1 error} \\
 \begin{array}{c} \text{---} \\ \text{---} \\ \text{---} \end{array} \rightarrow \text{---} & & \begin{array}{c} \text{---X---} \\ \text{---X---} \\ \text{---} \end{array} \rightarrow \text{---X---}
 \end{array} \tag{7.69}$$

where there are three different combinations (see Eq. (7.58)) that count. Thus, we have

$$P_{\text{fail}} \sim 3p \tag{7.70}$$

For  $N = 5$ , one requires two errors, with four qubits affected, to make the encoding fail. Indeed,

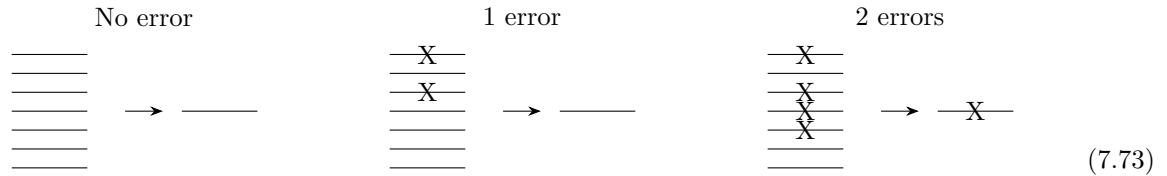
$$\begin{array}{ccc}
 \text{No error} & & \text{1 error} & & \text{2 errors} \\
 \begin{array}{c} \text{---} \\ \text{---} \\ \text{---} \\ \text{---} \end{array} \rightarrow \text{---} & & \begin{array}{c} \text{---X---} \\ \text{---X---} \\ \text{---} \\ \text{---} \end{array} \rightarrow \text{---} & & \begin{array}{c} \text{---X---} \\ \text{---X---} \\ \text{---X---} \\ \text{---X---} \end{array} \rightarrow \text{---X---}
 \end{array} \tag{7.71}$$

In such a case, the failing probability is given by

$$P_{\text{fail}} = \frac{1}{2} \binom{5}{2} \binom{3}{2} p^2 + \dots \sim 15p^2, \tag{7.72}$$

where the first binomial chooses 2 qubits to affect among the available 5, the second binomial chooses 2 qubits among the remaining 3. The factor one-half accounts for the symmetry between the first error and the second one, i.e. between the first couple of affected qubits and the second one.

Also for  $N = 7$ , one requires 2 errors, i.e. four affected qubits. Indeed



In such a case, we get

$$P_{\text{fail}} = \frac{1}{2} \binom{7}{2} \binom{5}{2} p^2 + \dots \sim 105p^2. \quad (7.74)$$

Figure 7.6 compares failing probabilities of the encodings with different values of  $N$ . As one can see the knee of the curves moves towards zero, meaning that the threshold does not exist.

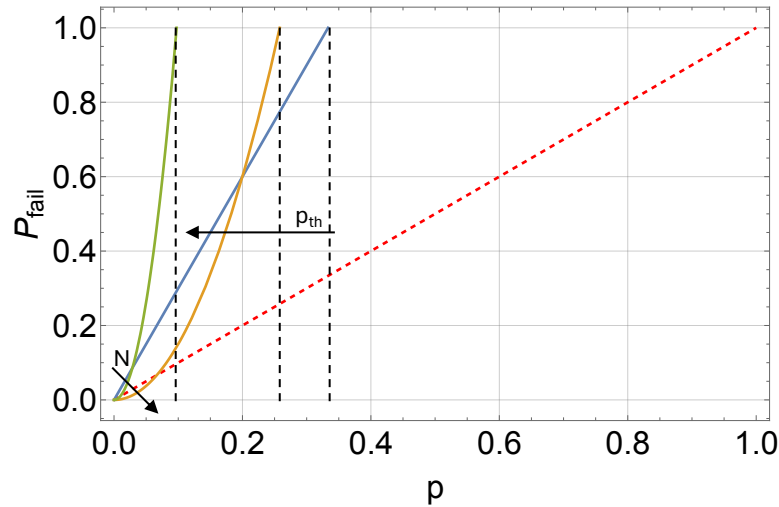


Fig. 7.6: Comparison of the failing probabilities  $P_{\text{fail}}$  for different values  $N$  of the qubits with a single layer of encoding. The arrow indicates the direction of increasing values of  $N$ , while the vertical black dashed lines indicate how the curves cannot define a threshold probability.

Thus, for the error defined in Eq. (7.55), employing several layers of encoding can allow for a fault-tolerant quantum computing, while employing only a single layer encoding with more qubits has strong limits.