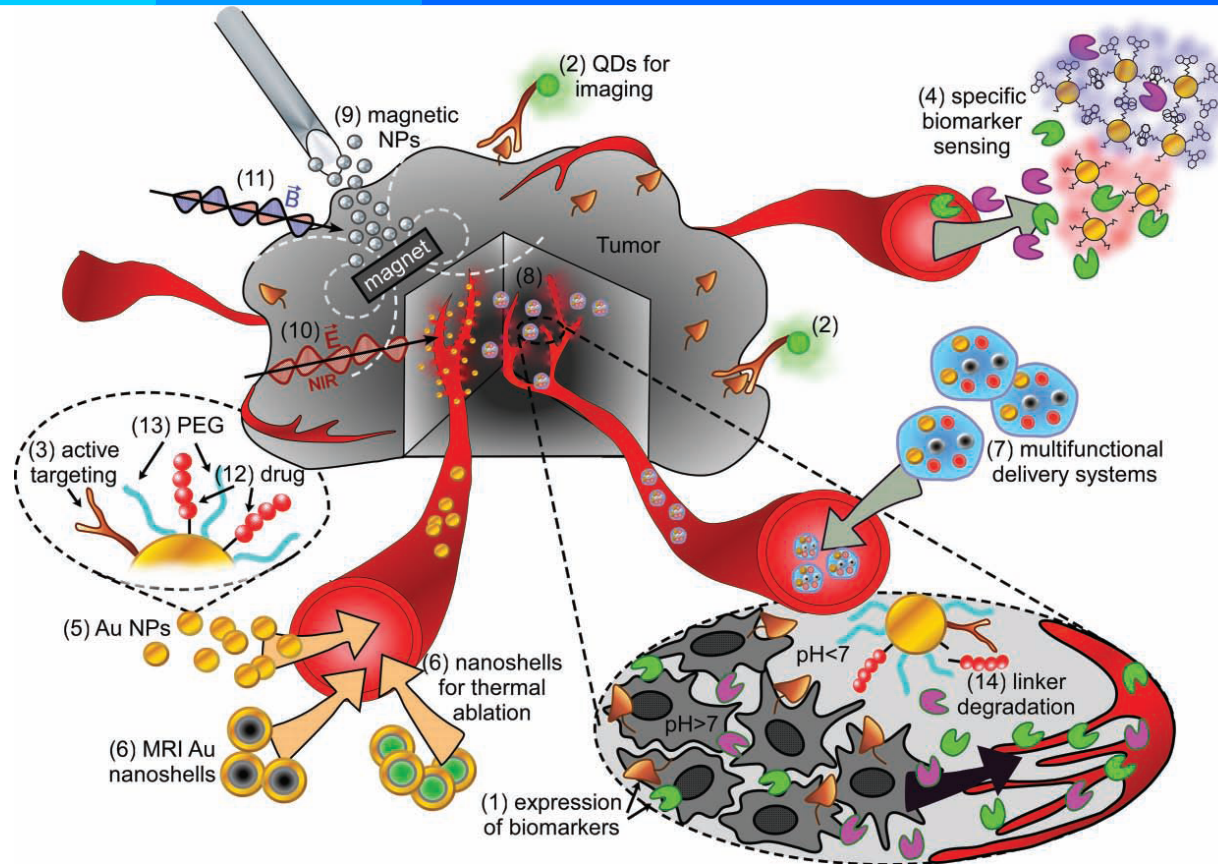


# nanomaterials for diagnosis, imaging, and therapy

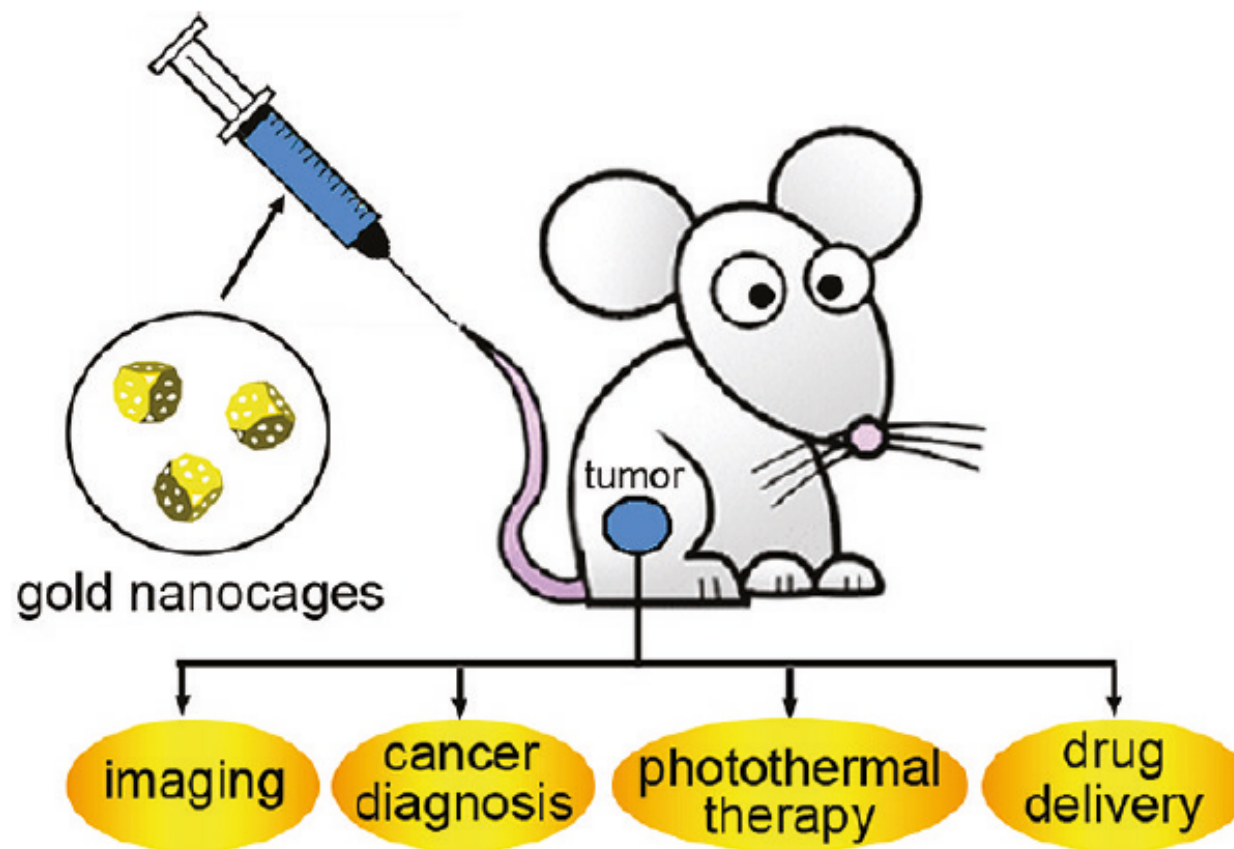


C. Minelli, S. B. Lowe, M. M. Stevens *Small* **2010**, *6*, 2336-2357.

- improved delivery of poorly water-soluble drugs
- targeted delivery of drugs in a cell- or tissue-specific manner
- transcytosis of drugs across tight epithelial and endothelial barriers
- delivery of large macromolecule drugs to intracellular sites of action
- co-delivery of two or more drugs or therapeutic modality for combination therapy
- visualization of sites of drug delivery by combining therapeutic agents with imaging modalities
- real-time read on the *in vivo* efficacy of a therapeutic agent

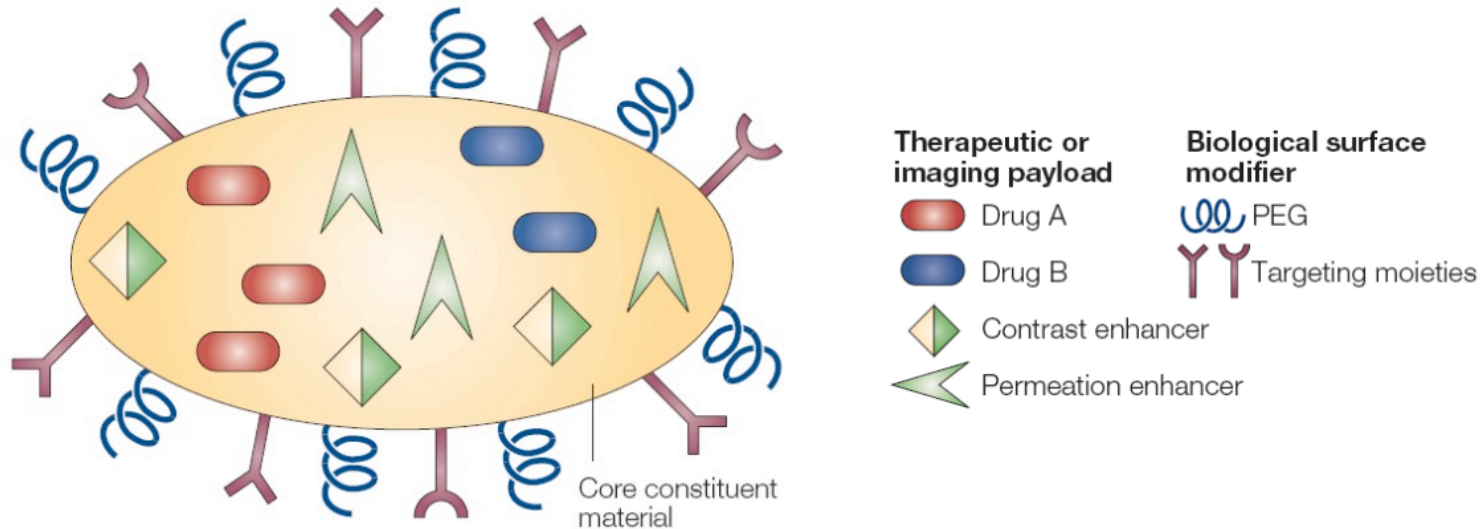
# Au Nanocages for Theranostic applications

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Y. Xia et al. Acc. Chem. Res. 2011, 44, 914.

## Multifunctional nanoparticle



an ideal nanovector should possess:

- the ability to carry one or more therapeutic agents;
- biomolecular targeting through one or more recognition agents;
- imaging elements;
- biobarrier avoidance.

# Gold NPs in Clinical trials

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## Phase I and Pharmacokinetic of CYT-6091

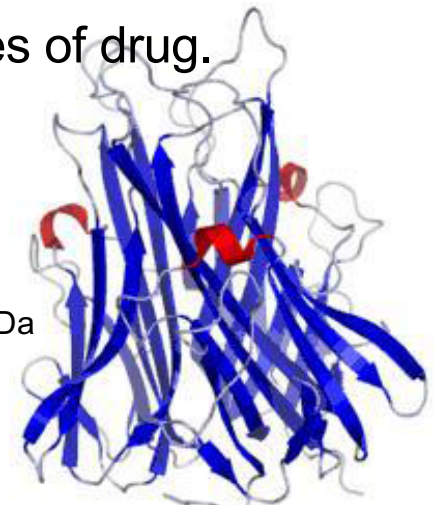
L. Tamarkin et al. *Clin. Cancer Res.* 2010, 16, 6139.

CYT-6091 – which is comprised of recombinant human tumor necrosis factor alpha (rhTNF) bound to the surface of PEGylated 27 nm colloidal gold particles  
85% complete remission rates are routinely observed.

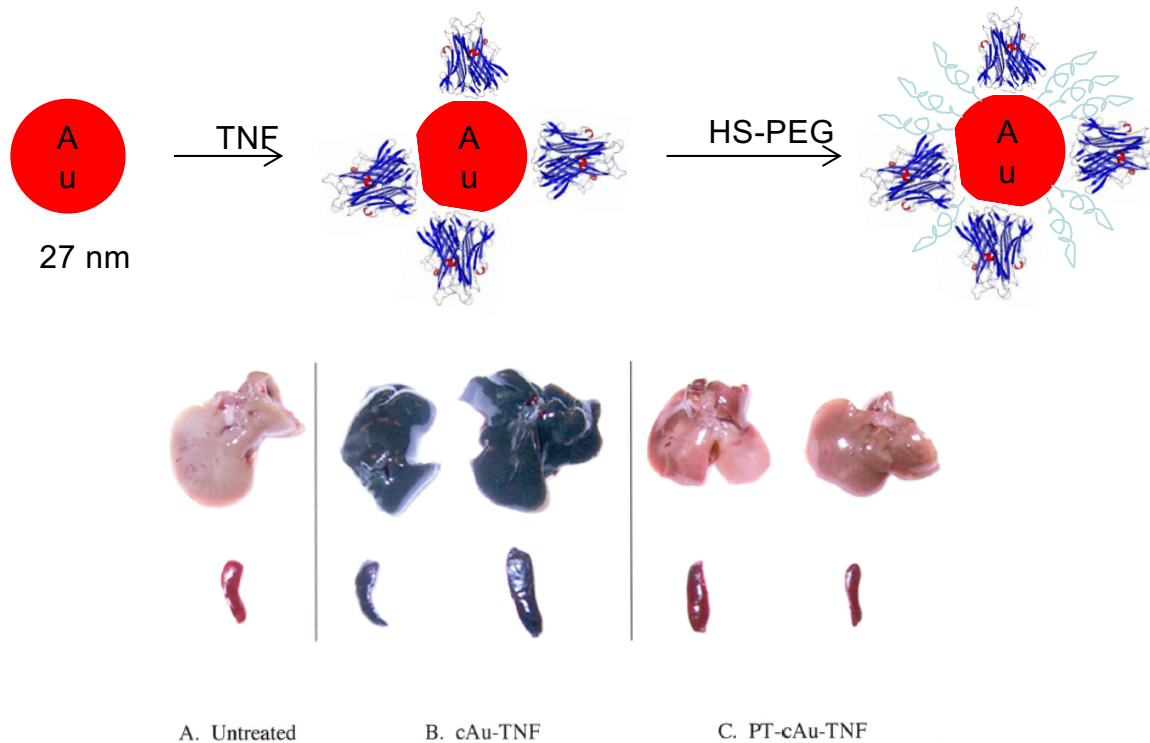
### PEGylation of GNPs

- avoids immediate uptake by the reticuloendothelial reticulum (RES)
- Reduces the toxicity of rhTNF
- Allows the nanomedicine to sequester in solid tumors  
maximal antitumor responses were achieved at lower doses of drug.

TNF-alpha is a homotrimer with a subunit molecular mass of 17 kDa

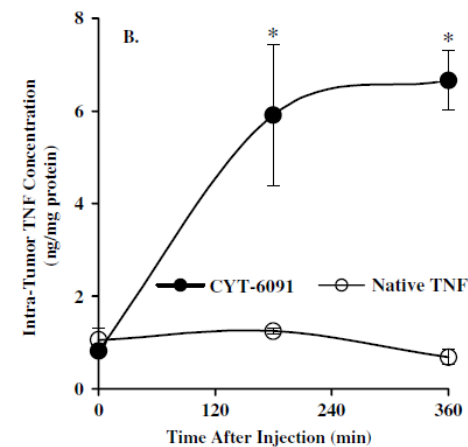
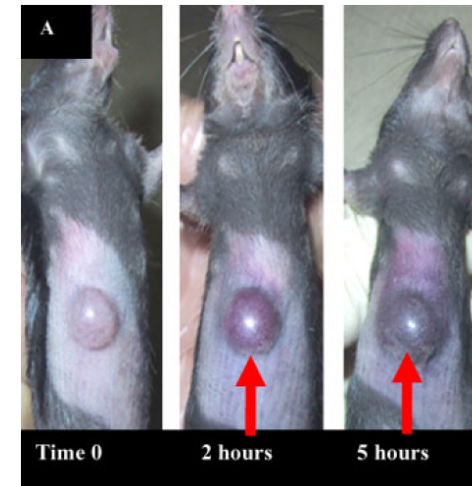


# Gold NPs in Clinical trials



**FIG. 2.** Hepatic and splenic uptake of the cAu-TNF formulation and the PEG-THIOL cAu-TNF formulation (PT-cAu-TNF) compared to an untreated mouse. Two MC-38 tumor-burdened C57/BL6 mice were injected intravenously with the cAu-TNF vector, and another two tumor-burdened C57/BL6 mice were injected with the PT-cAu-TNF formulation. An untreated mouse and the four treated mice were sacrificed 5 hr after injection of 15  $\mu$ g of the two different cAu formulations, and all five animals were perfused with heparinized saline. The livers and spleens from these animals were collected and photographed using a digital camera. (a) Liver and spleen from an untreated mouse. (b) Livers and spleens from mice receiving the cAu-TNF vector. (c) Liver and spleen from mice receiving the PT-cAu-TNF vector.

CytImmune is now collaborating with AstraZeneca to add a chemotherapeutic drug to further improve the killing power of CYT-6091.

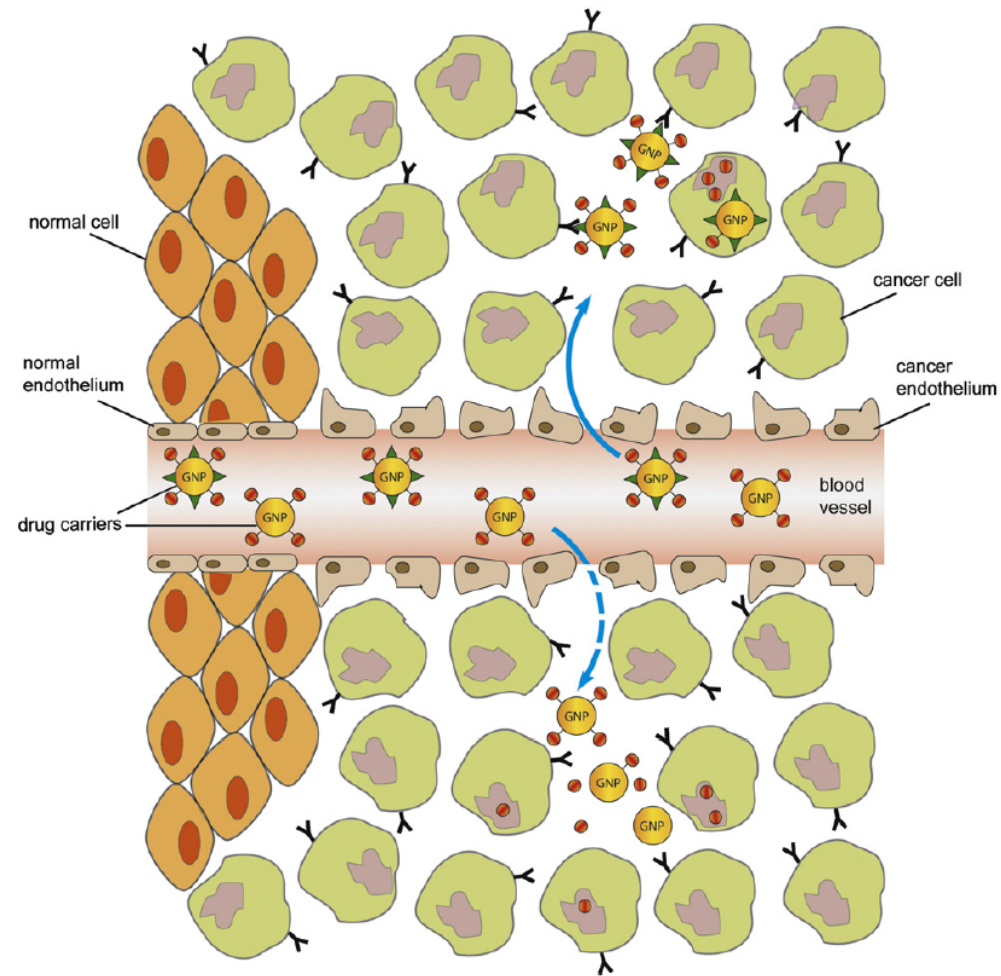


**Fig. 3. A:** Visual documentation of the accumulation of CYT-6091 (red arrows indicate location of the particle drug) in MC-38 tumors. **B:** Sequestration of CYT-6091 and TNF in MC-38-tumors. MC-38 tumor-burdened C57/BL6 mice were injected with 15  $\mu$ g of either native TNF or CYT-6091. TNF actively accumulates in the MC-38 tumors (\* $P$ <0.05 vs. native TNF Treatment and the time 0 point for CYT-6091 treatment). Reproduced from Paciotti et al., [2004], with permission of the publisher.

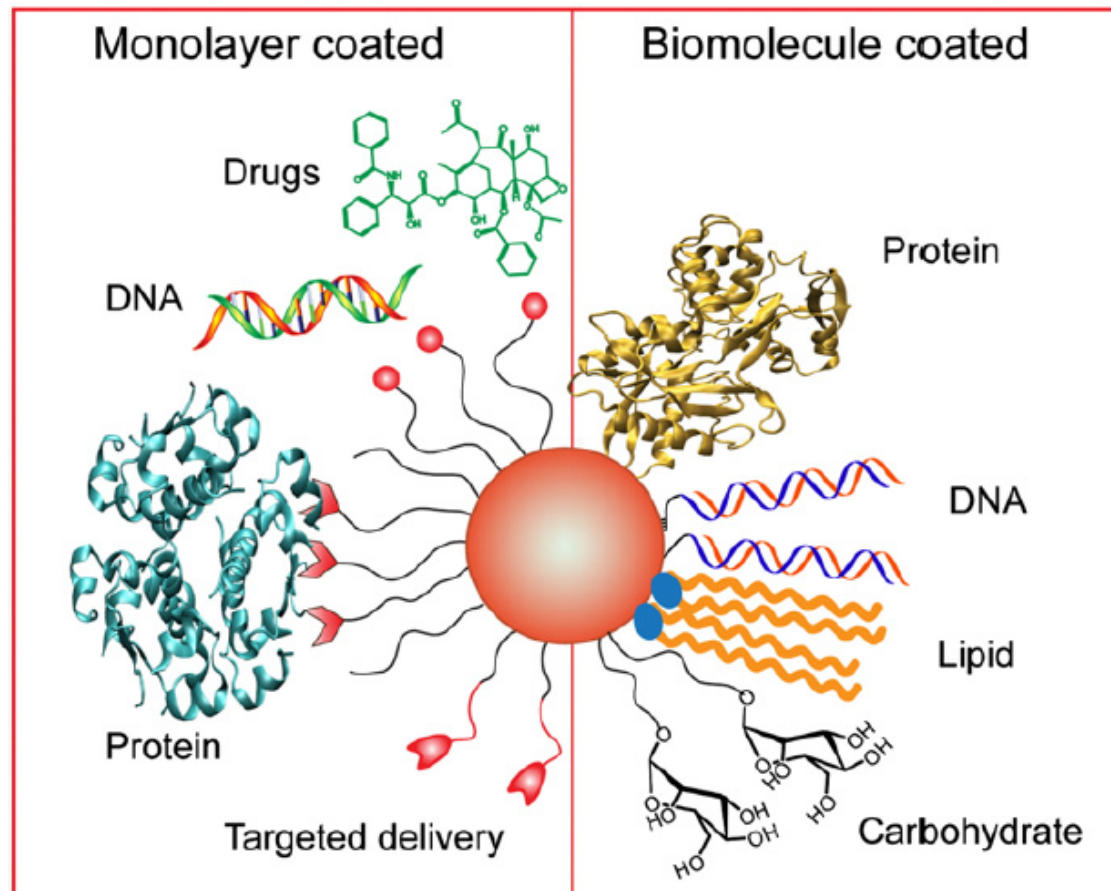


# Delivery

drug delivery via 'active' and 'passive' targeting



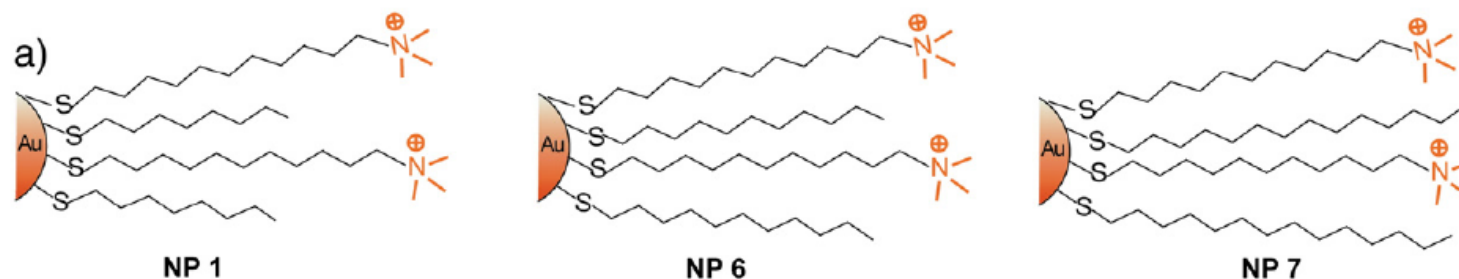
# Delivery



Schematic presentation of the two AuNP surface structures commonly employed in delivery applications.

# Delivery

## Au NPs for transfection of DNA



NP	1	2	3	4	5	6	7
% cationic coverage	100	85	68	63	58	77	89

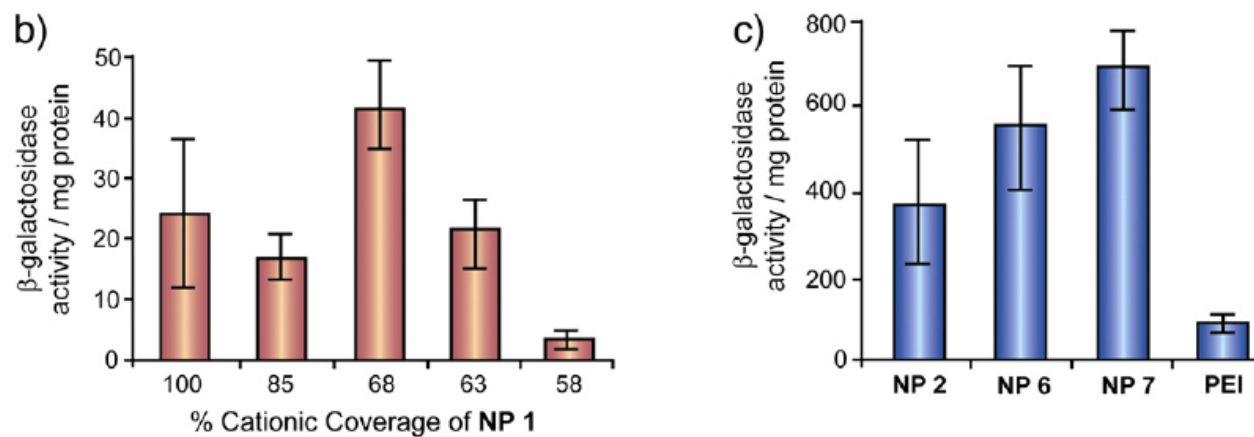


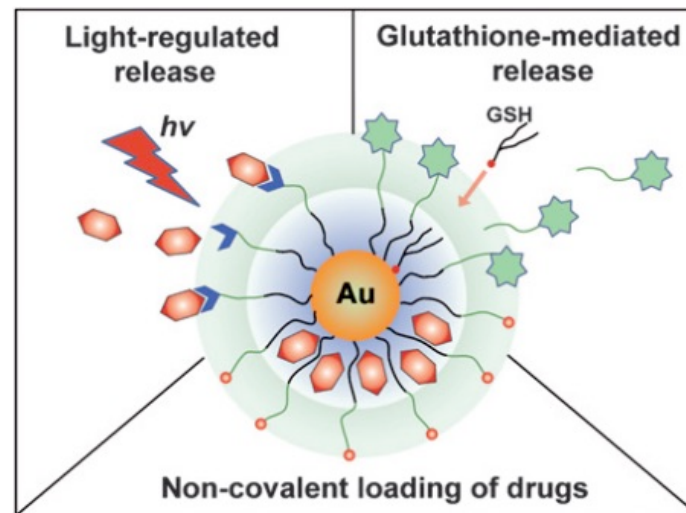
Fig. 3. (a) Structures of cationic NPs varied with amount of cationic ligands (NP 1–5) and alkyl chain length (NP 6–7) used for transfection of DNA. (b) Transfection of  $\beta$ -galactosidase using NP-DNA complexes (at 2200:1 ratio), measured by  $\beta$ -gal activity. (c) Transfection efficiency of NPs 2, 6, 7 (2200:1 NP/DNA ratio) and commercially available PEI (60 kDa).



# multimodal drug delivery gold nanoparticles

---

- Prodrugs can be covalently conjugated to AuNPs via cleavable linkers.
- Hydrophobic drugs can be loaded onto AuNPs monolayer exploiting hydrophobic interactions, without structural modification of the drug payload.
- The release can be triggered by either internal (e.g. glutathione) or external (e.g. light) stimuli.

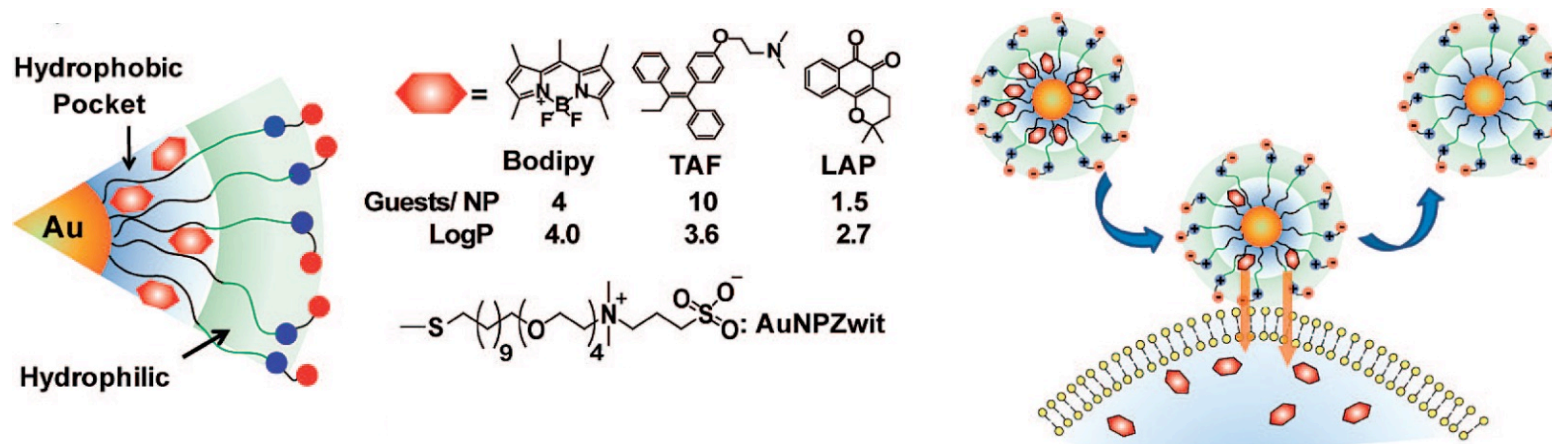


intracellular GSH concentration  
1-10 mM

Encapsulation or conjugation of therapeutic agents with NPs has proven to be a successful approach

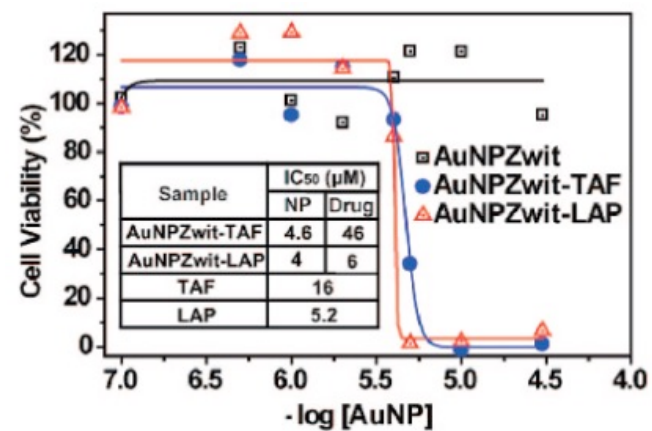
- to improving therapeutic's water solubility
- circulation time in the body
- uptake, in turn reducing drug payloads and associated toxic effects.

# gold nanoparticles



TAF = tamoxifen  
LAP =  $\beta$ -lapachone

passive targeting



Cytotoxicity of **AuNPZwit** complexes measured by Alamar blue assay after 24 h incubation with MCF-7 cells. IC<sub>50</sub> of AuNP (NP), equivalent drugs (Drug), and free drugs are shown in table.

C. K. Kim, P. Ghosh, C. Pagliuca, Z.-J. Zhu, S. Menichetti, V. M. Rotello, *J. Am. Chem. Soc.*, **2009**, *131*, 1360–1361.

# gold nanoparticles for gene delivery

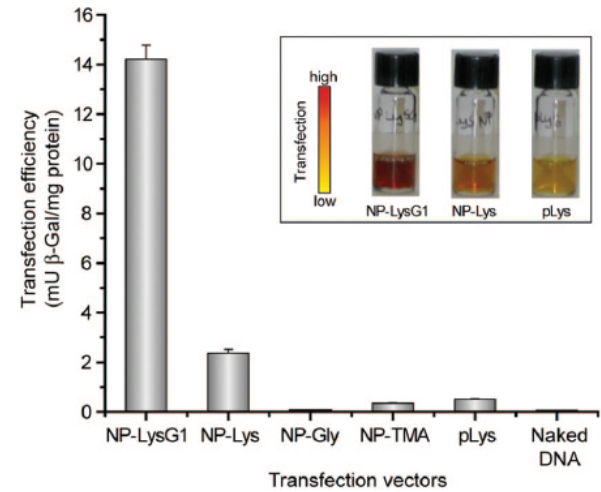
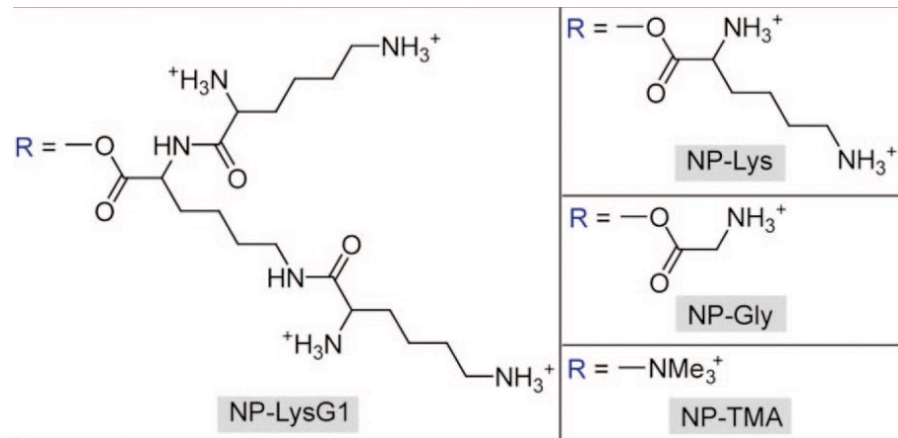
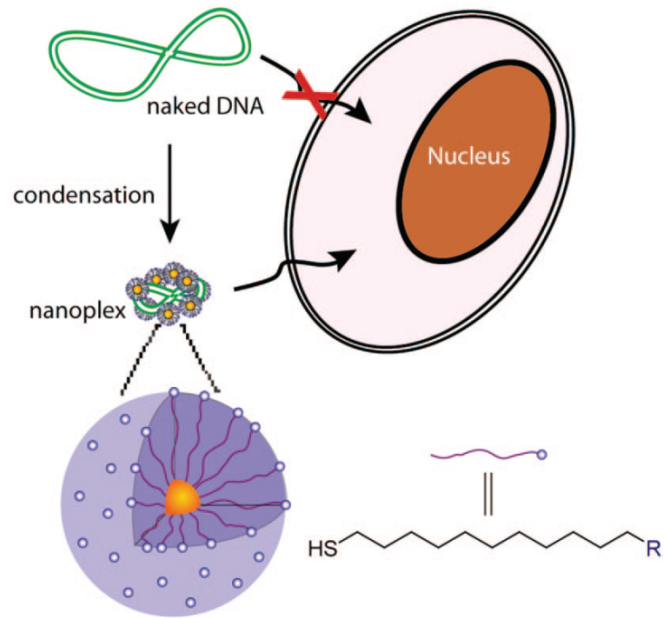
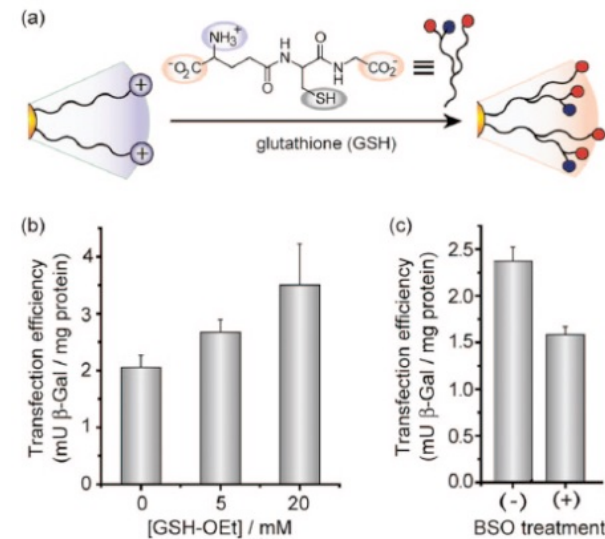
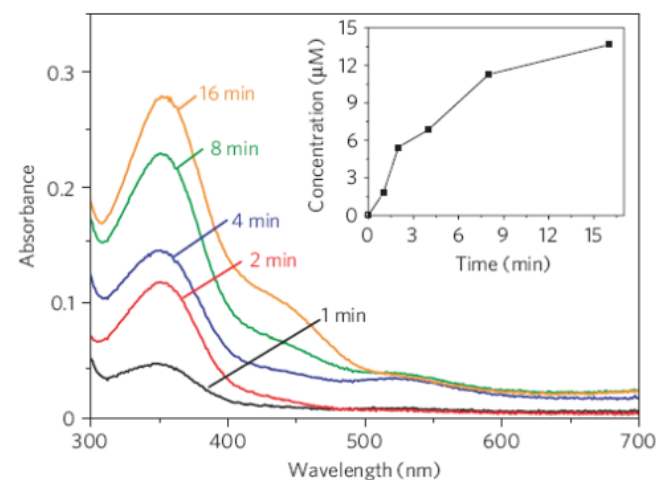
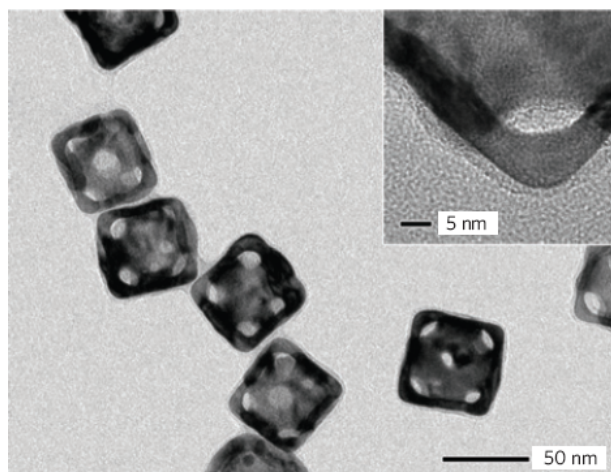
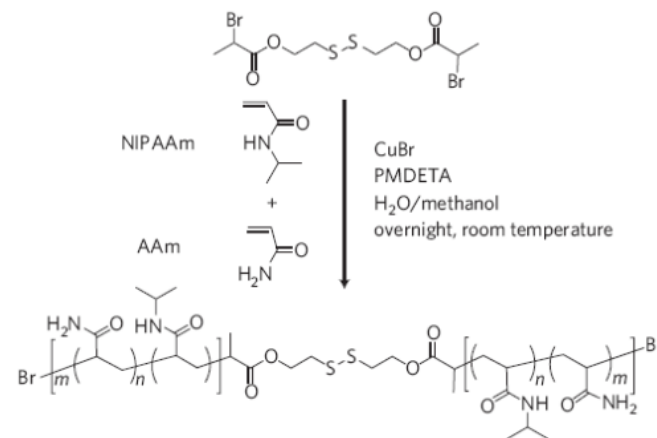
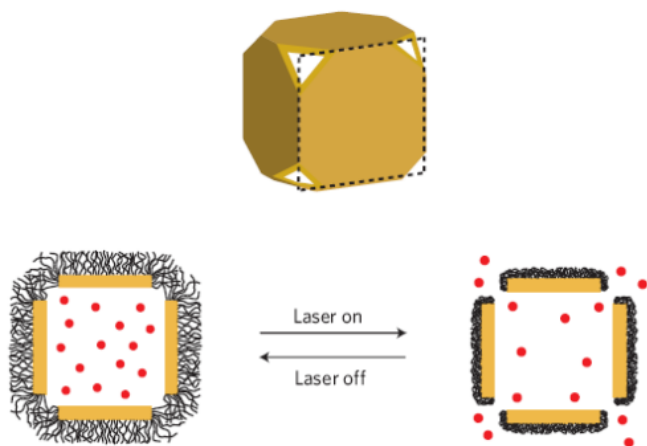


Figure 4. Enhanced transfection using NP-LysG1 and NP-Lys relative to positive controls, NP-TMA, and polylysine (pLys). No appreciable enzyme activity was observed in the absence of vectors. Inset shows solution colors during  $\beta$ -Gal activity assay performed after transfection. The color changes from yellow (substrate) to red (product) in the presence of active enzyme.



P. S. Ghosh, C.-Kyu Kim, G. Han, N. S. Forbes, V. M. Rotello  
*ACS Nano* **2008**, 2, 2213-2218.

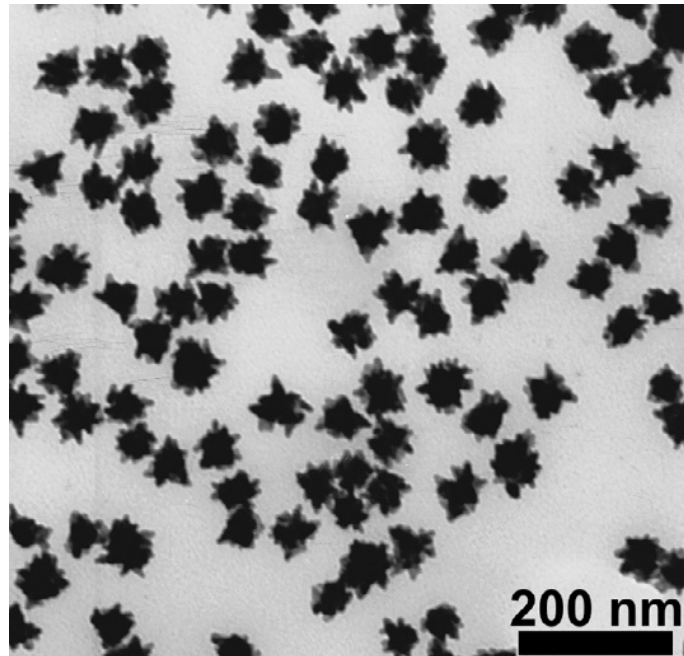
# gold nanocages for drug delivery trigger by light



M. S. Yavuz, Y. Cheng, J. Chen, C. M. Cobley, Q. Zhang, M. Rycenga,  
 J. Xie, C. Kim, K. H. Song, A. G. Schwartz, L. V. Wang, Y. Xia *Nat. Mater.* **2009**, *8*, 935-939.



# Gold nanostars



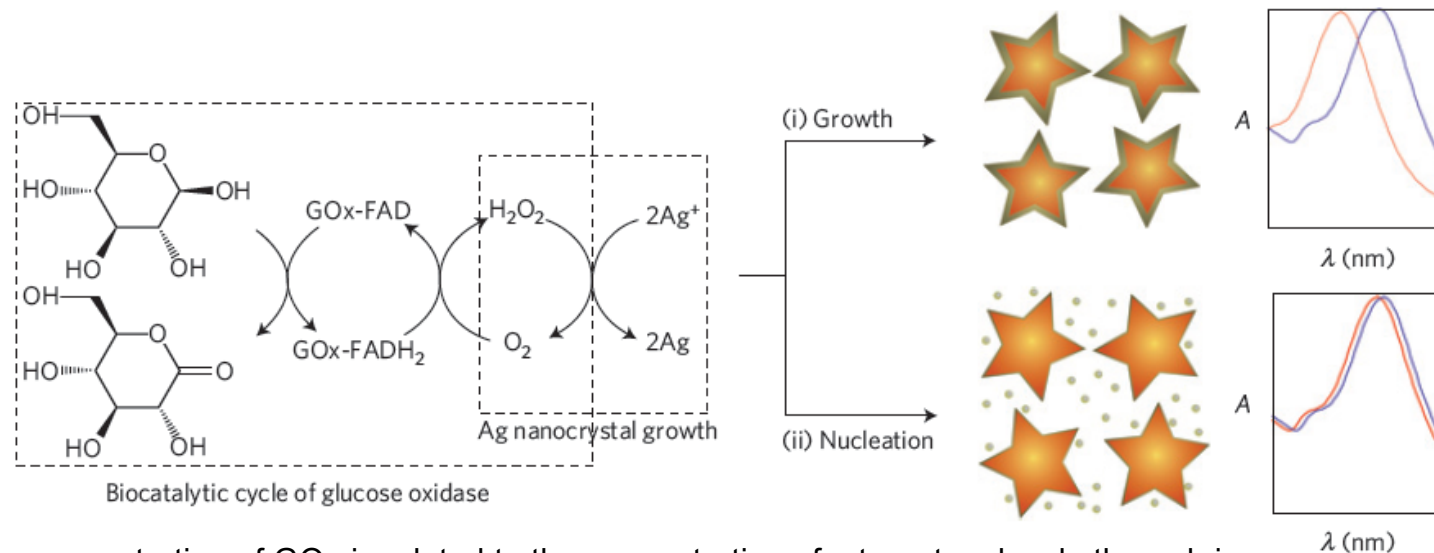
TEM image of Au nanostars synthesized through reduction of  $\text{HAuCl}_4$  in a PVP/DMF mixture, in the presence of preformed Au seeds, using 10 mM PVP ( $M_w = 24\,000$ ).



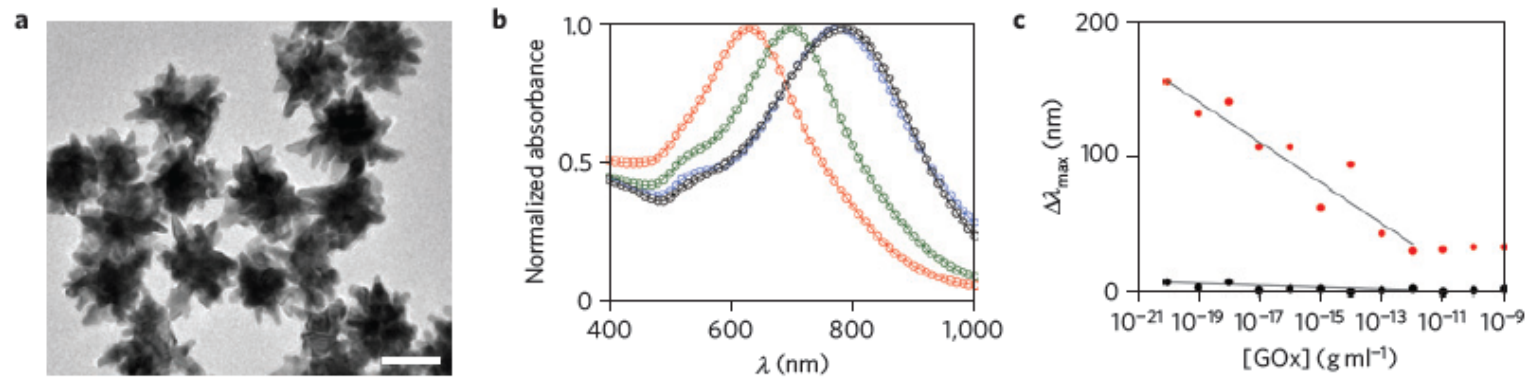
*Nature Materials* **2012**, *11*, 604.



# Gold nanostars



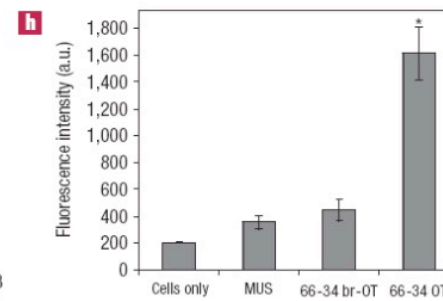
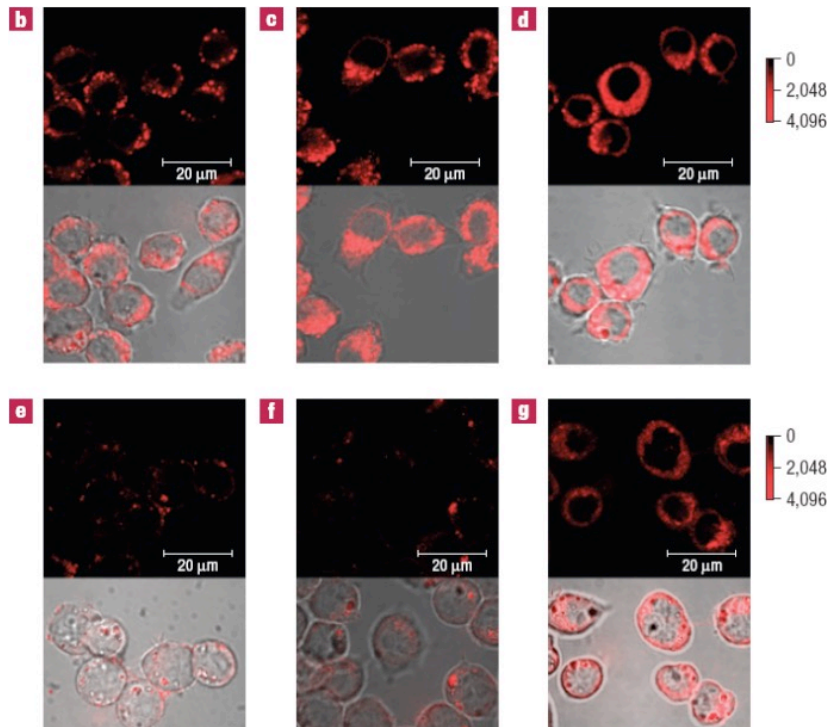
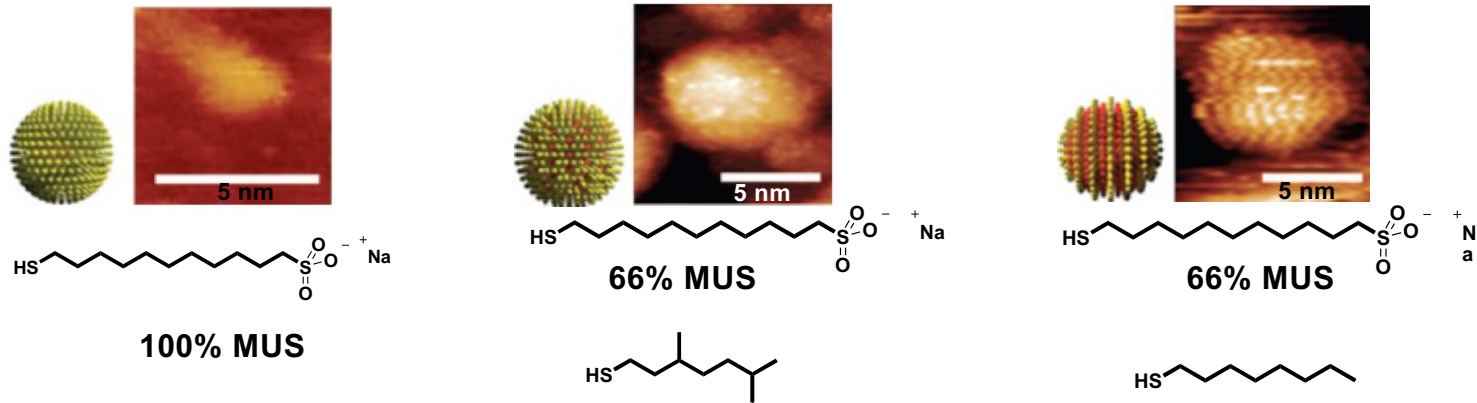
When the concentration of GOx is related to the concentration of a target molecule through immunoassay, this signal-generation step induces inverse sensitivity because condition (i) is fulfilled at low concentrations of analyte. FAD and FADH<sub>2</sub> are the oxidized and reduced forms of flavin adenine dinucleotide.



**Figure 2 | Inverse sensitivity in plasmonic nanosensors.** **a**, TEM image of gold nanostars (scale bar, 50 nm). **b**, Visible/near-infrared spectra of the nanosensors (black), modified with 10<sup>-14</sup> g ml<sup>-1</sup> GOx (green), 10<sup>-20</sup> g ml<sup>-1</sup> GOx (red) and without GOx (blue) after the signal-generation step. **c**, Blueshift of the LSPR absorbance band ( $\Delta\lambda_{\max}$ ) as a function of the concentration of GOx in the immobilization solution when the signal-generation step is performed in the absence (black) or in the presence (red) of the enzyme-substrate glucose (semilogarithmic scale). The spectral shift was calculated with respect to the control experiment in the absence of GOx (blue curve in **b**).

# cellular uptake

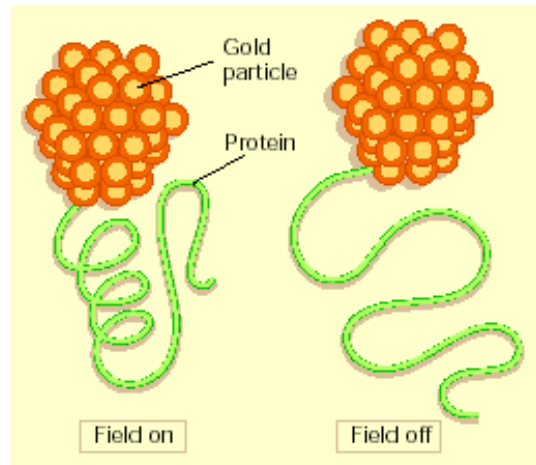
## morphology of the monolayer



A. Verma, O. Uzun, Y. Hu, Y. Hu, H.-S. Han, N. Watson, S. Chen, D. J. Irvine and F. Stellacci, *Nat. Mater.*, **2008**, 7, 588–595.

# photothermal therapy

travel as far through living tissue as a magnetic field can. "We wanted something that



Kimberly Hamad-Schifferli (right) hopes to control proteins by attaching tiny gold particles to them — in a radio field the particle heats up, altering the protein's structure and inactivating it.

'nano' word is over-used and over-hyped," says John Ryan, director of the Nanobiotech-



paced activities of daily life in the cell. And for those in the nanosystems alliance, nanotechnology is the best way to get a grip on the many fleeting processes involved. Alliance member Leroy Hood, a molecular biologist at the Institute for Systems Biology in Seattle, predicts that nanotechnology will reveal as much new information about the cell as did the automated DNA sequencer — a device that he invented. "The combination of microfluidics and nanotechnology," Hood asserts, "will transform how biologists do everything." ■

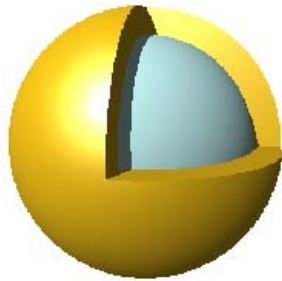
**Catherine Zandonella is a freelance writer in New York.**

1. Melosh, N. A. *et al. Science* **300**, 112–115 (2003).
2. Vo-Dinh, T. J. *Cell. Biochem.* **87**, 154–161 (2002).
3. Klarreich, E. *Nature* **413**, 450–452 (2001).
4. Quintana, A. *et al. Pharm. Res.* **19**, 1310–1316 (2002).
5. Hamad-Schifferli, K., Schwartz, J. J., Santos, A. T., Zhang, S. & Jacobson J. M. *Nature* **415**, 152–155 (2002).

Alliance for NanoSystems Biology

• [www.nanosysbio.org](http://www.nanosysbio.org)

## Gold nanoshells: photothermal therapy



AuroShell

- Gold nanoshells with silica core (~150 nm diameter),
- mPEG 5000 coating
- Plasmonic absorption band 780-820
- Phase I clinical study for Refractory head and neck cancer primary and/or Metastatic Lung Tumors



AuroShell  
infusion bag

“AuroLase® Therapy combines the unique physical and optical properties of AuroShell® particles with a near infrared laser source to thermally destroy cancer tissue without significant damage to surrounding healthy tissue”

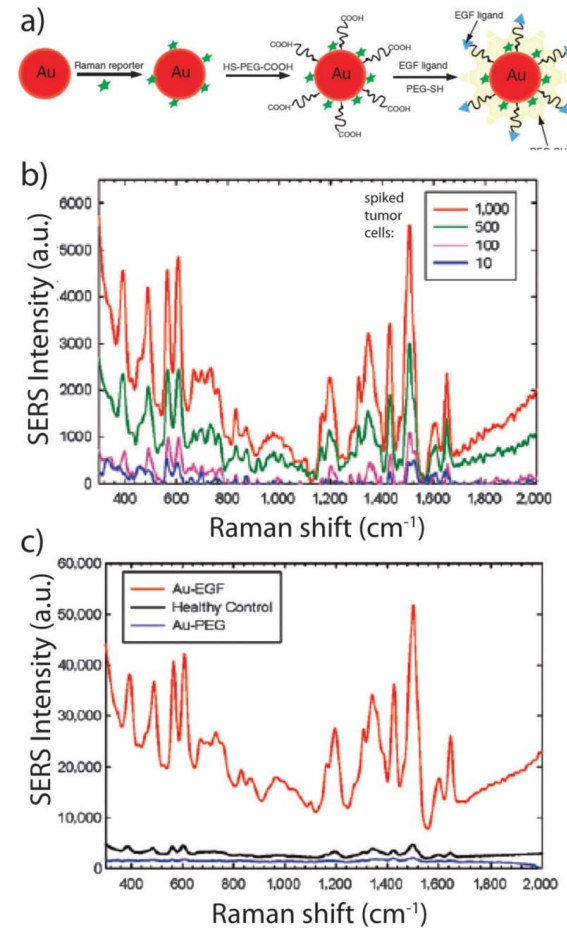
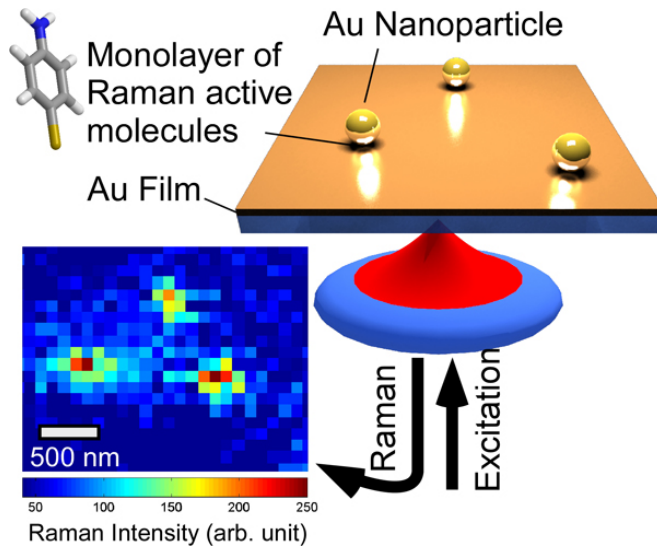
- Broadly applicable to most solid tumor types
- Treats irregularly shaped tumors with precision
- Preserves adjacent healthy tissue and structures
- No evidence of particle toxicity

<http://www.nanospectra.com/>

# Gold nanoparticles: imaging

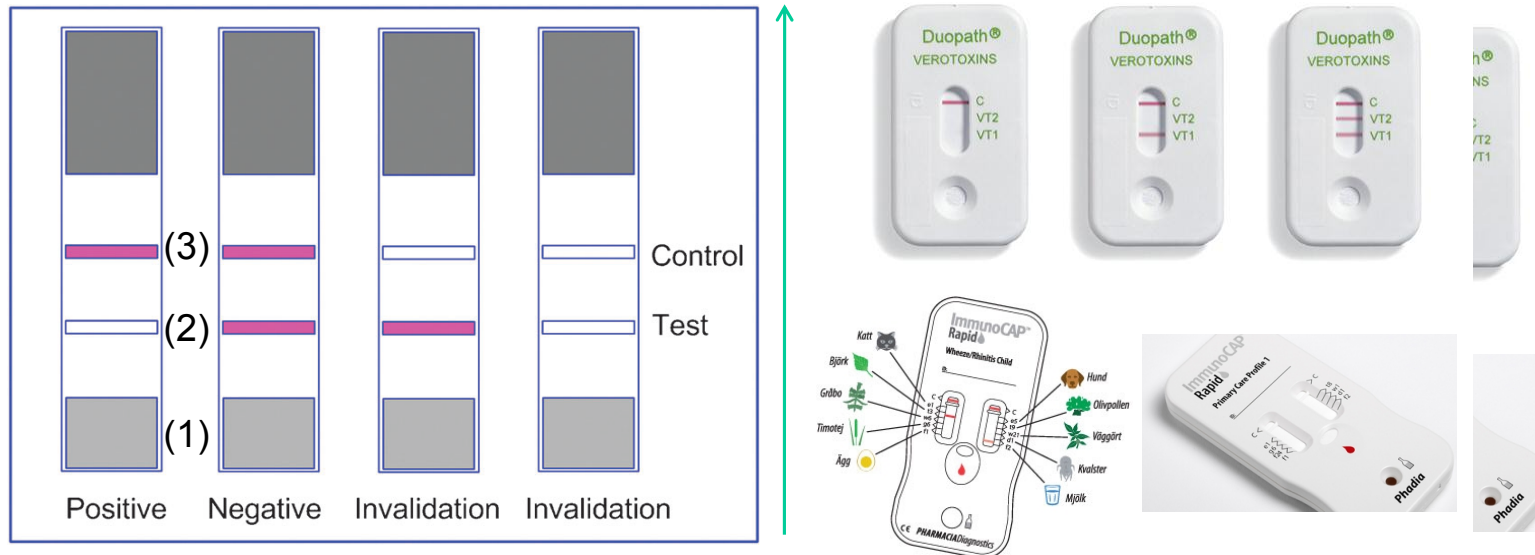
## SERS enhancement

Raman signals from organic dyes are enhanced by nanoparticles





## Gold nanoparticles color: detection



- (1) The sample reacts with antibodies coated NPs
- (2) If target concentration is below the threshold, the remaining binding sites on the particles react with target molecules immobilized in the test band.
- (3) Escaped nanoparticles reacts with anti-antibodies immobilized in the control band
- (4) High absorption of AuNPs grant very low detection thresholds

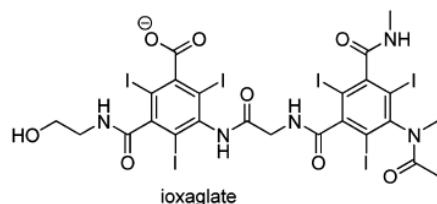
ie

# Imaging

X-ray based computed tomography (CT) is among the most convenient imaging/diagnostic tools in hospitals today in terms of availability, efficiency, and cost.

Today, an effective diagnostic dose of a contrast agent for imaging is in the molar concentration range.

for a patient (weighing approximately 75 kg) undergoing a selective coronary arteriography with left ventriculography will be injected intravenously with ~45 mL of Hexabrix a common clinically approved iodinated CT imaging agent solution, in a single dose, containing 24 g of ioxaglate (an equivalent to **14.4 g of iodine**). The total administered amount of Hexabrix solution over the course of the procedure may reach up to ~150 mL, totaling 80 g of ioxaglate (an equivalent of 48 g of iodine).



An ideal CA should:

- improve visualization of the target tissue
- have retention time of CA (2-4 h)
- the CA should localize or target the tissue of interest and possess favorable biodistribution and pharmacokinetic profiles.
- CA should be readily soluble or form stable suspensions at aqueous physiological conditions

# Imaging

targeting is important

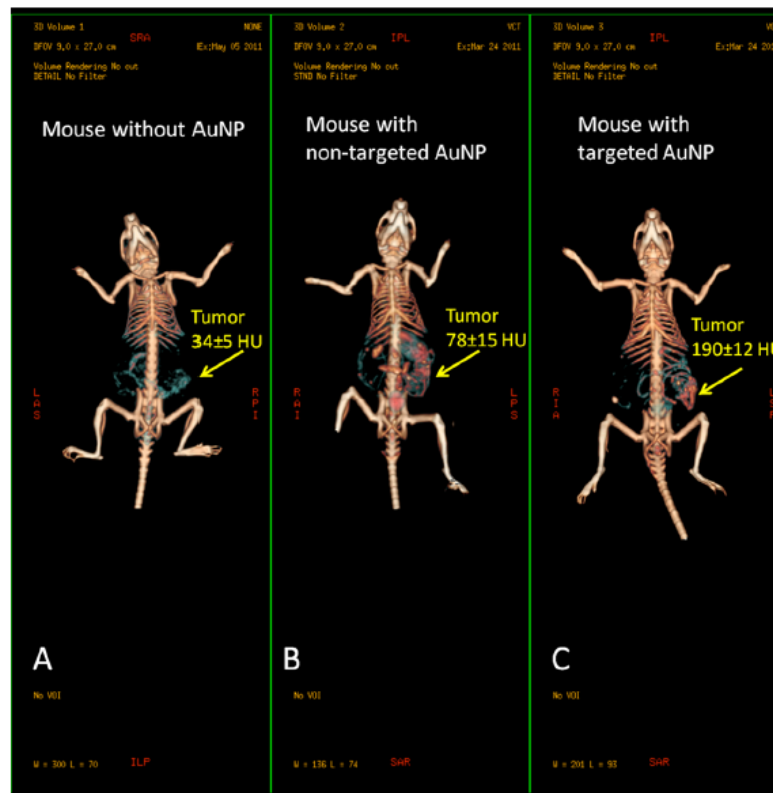
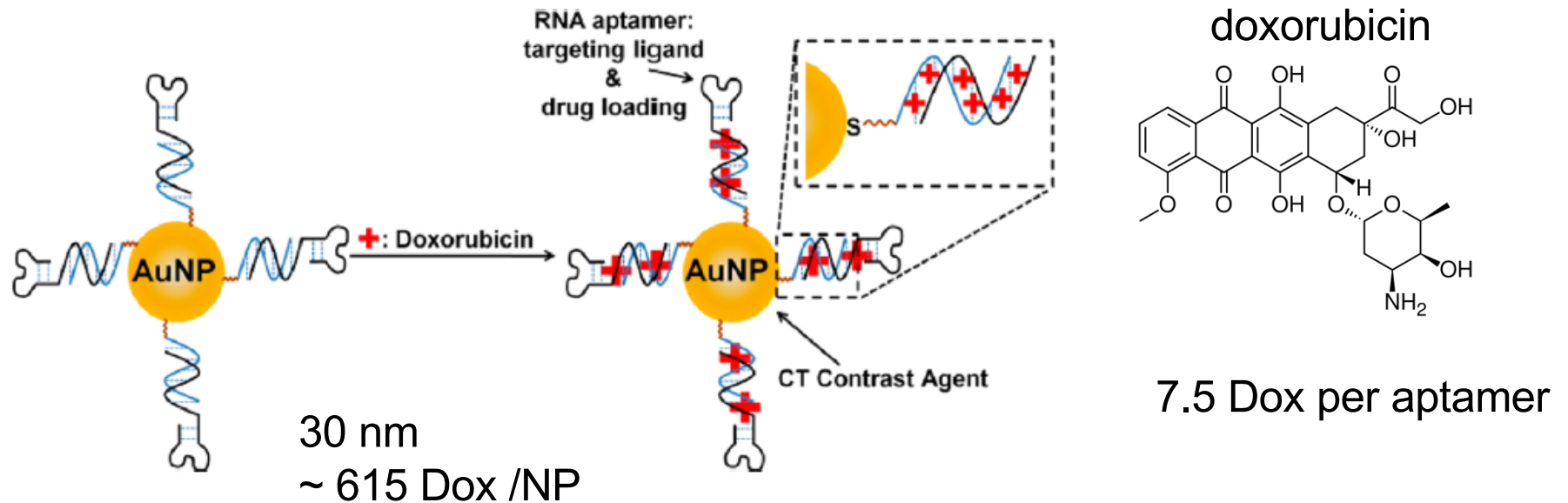


Figure 18. In vivo X-ray computed tomography (CT) volume-rendered images of (A) mouse before injection of gold nanoparticles (AuNPs), (B) mouse 6 h postinjection of nonspecific immunoglobulin-G AuNPs as a passive targeting experiment, and (C) mouse 6 h postinjection of anti-epidermal growth factor receptor (EGFR)-coated AuNPs that specifically targeted the squamous cell carcinoma head and neck tumor. Anti-EGFR-targeted AuNPs show clear contrast enhancement of the tumor (C, yellow arrow), which was undetectable without the AuNPs contrast agents (A, yellow arrow). CT numbers represent the average Hounsfield units (HU) of the whole tumor area. All scans were performed using a clinical CT at 80 kVp, 500 mAs, collimation  $0.625 \times 64$  mm, and 0.521 pitch size (64 detector CT scanner, LightSpeed VCT; GE Healthcare, Little Chalfont, U.K.). (Images courtesy of Dr. Rachela Popovtzer, Bar-Ilan University, Israel.)

# Imaging and Therapy

## CT Imaging and Therapy of Prostate Cancer

loading of the trifunctional Au NPs with the chemotherapeutic drug Doxorubicin



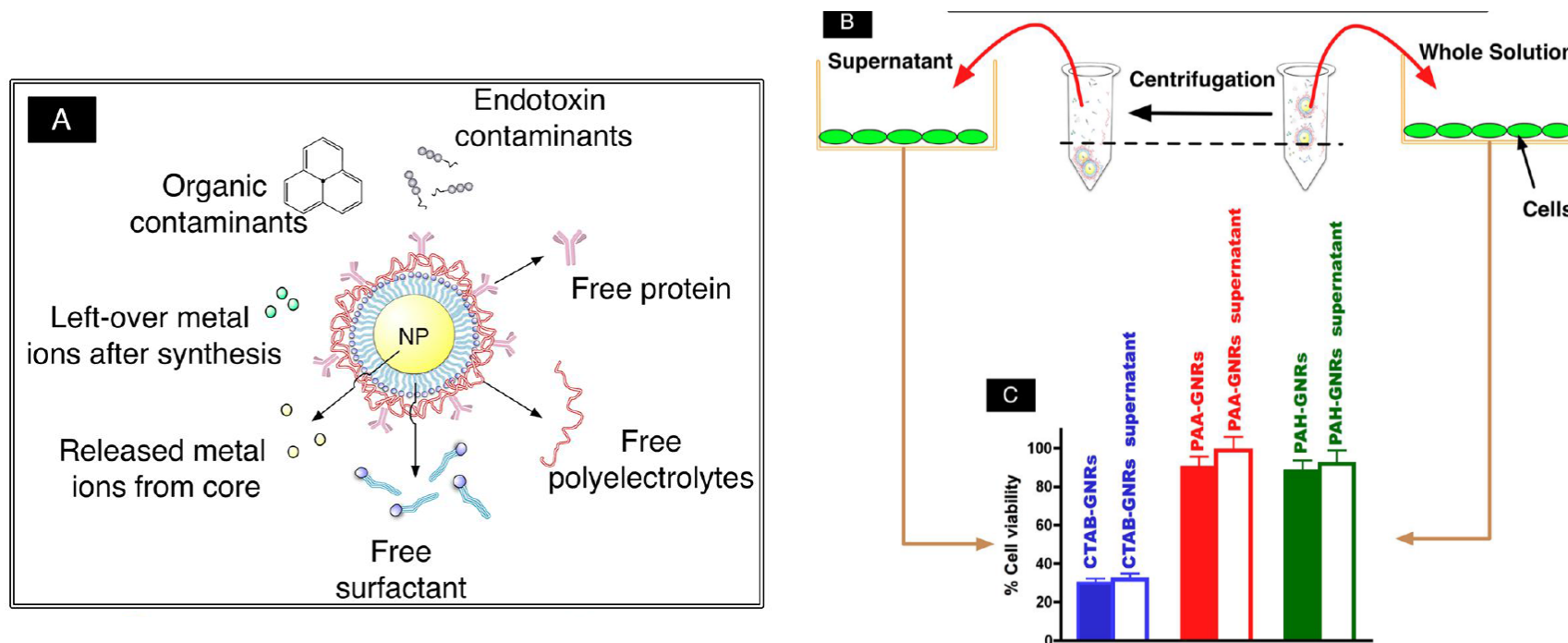
prostate-specific membrane antigen-specific RNA aptamer  
target prostate adenocarcinoma LNCaP cells.

the gold center serves as the CT imaging agent, while the RNA aptamer modifications turn the NP into a target drug-delivery vehicle

# Toxicity

## Environmental Health and Safety Considerations for Nanotechnology

ACR 2013, 46, issue 3



Possible impurities in nanoparticle solution include the following: free ions, proteins, polymers, surfactants, and organic molecules. The “supernatant control” in B is the supernatant of the original GNP solution after centrifugation, to study the contribution of these impurities in toxicity testing. (C) Toxicities of GNP solutions were similar to those of their corresponding supernatant solutions as measure using the MTT assay on colon cancer cell line (HT-29), highlighting the significant contribution of impurities in the supernatant. CTAB: cetyltrimethylammonium bromide; PAA: poly(acrylic acid, sodium salt); PAH: poly(allylamine hydrochloride).



# Toxicity

**TABLE 1. AuNP Uptake and Cytotoxicity as a Function of AuNP Size, Shape, and Surface Chemistry**

NP sizes/shapes	surface chem	dose/incubation time/cell line	analytical techniques	conclusions
AuNP, 15–118 nm spheres, cages, rods	PEG-ylated, NM <sup>a</sup>	20–120 pM/24 h/HTB-30, SKBR-3, ATCC	UV–vis, ICP-MS	uptake independent of size, shape, surface chem <sup>36</sup>
AuNR, AR 1.0–4.0 <sup>b</sup>	CTAB, PAA, PAH	0.001 nM/24 h/HT-29	UV–vis, ICP-MS, MTT	cell uptake PAH-AuNR > PAA-AuNR > CTAB AuNR cytotoxicity observed in CTAB rods (due to free CTAB) <sup>30</sup> specific targeting affects AuNRs uptake <i>in vitro</i>
AuNR, AR 4.0	mPEG, targeting peptides	1.0 nM/1–2 h/A549	UV–vis, ICP-MS, optical microscopy	<i>in vivo</i> AuNR uptake not strongly influenced by targeting peptides <sup>45</sup>
AuNP, 14–74 nm spheres	transferrin-coated AuNPs	6 h/SNB19, HeLa, STO	UV–vis, ICP-MS	50.0 nm transferrin-AuNPs taken up most rapidly by endocytosis <sup>27</sup>
AuNP, 20.0 nm spheres	varying ratios of modified peptides	1–2 μM/3 h/A549	UV–vis, TEM, ICP-MS	AuNP uptake rates depend on terminal amino acid residues in the ligand shell <sup>61</sup>
AuNRs, AR 2.0–3.5	CTAB	10 μL rod solution/2 h/MCF-7	UV–vis, MTT	cytotoxicity observed in CTAB rods (due to free CTAB) <sup>62</sup>

<sup>a</sup>NM, not modified; the authors used a variety of GNPs (spheres, rods, cages, each with different capping agents as synthesized), and the GNPs were then PEG-ylated. <sup>b</sup>AR = aspect ratio (*l/d*).