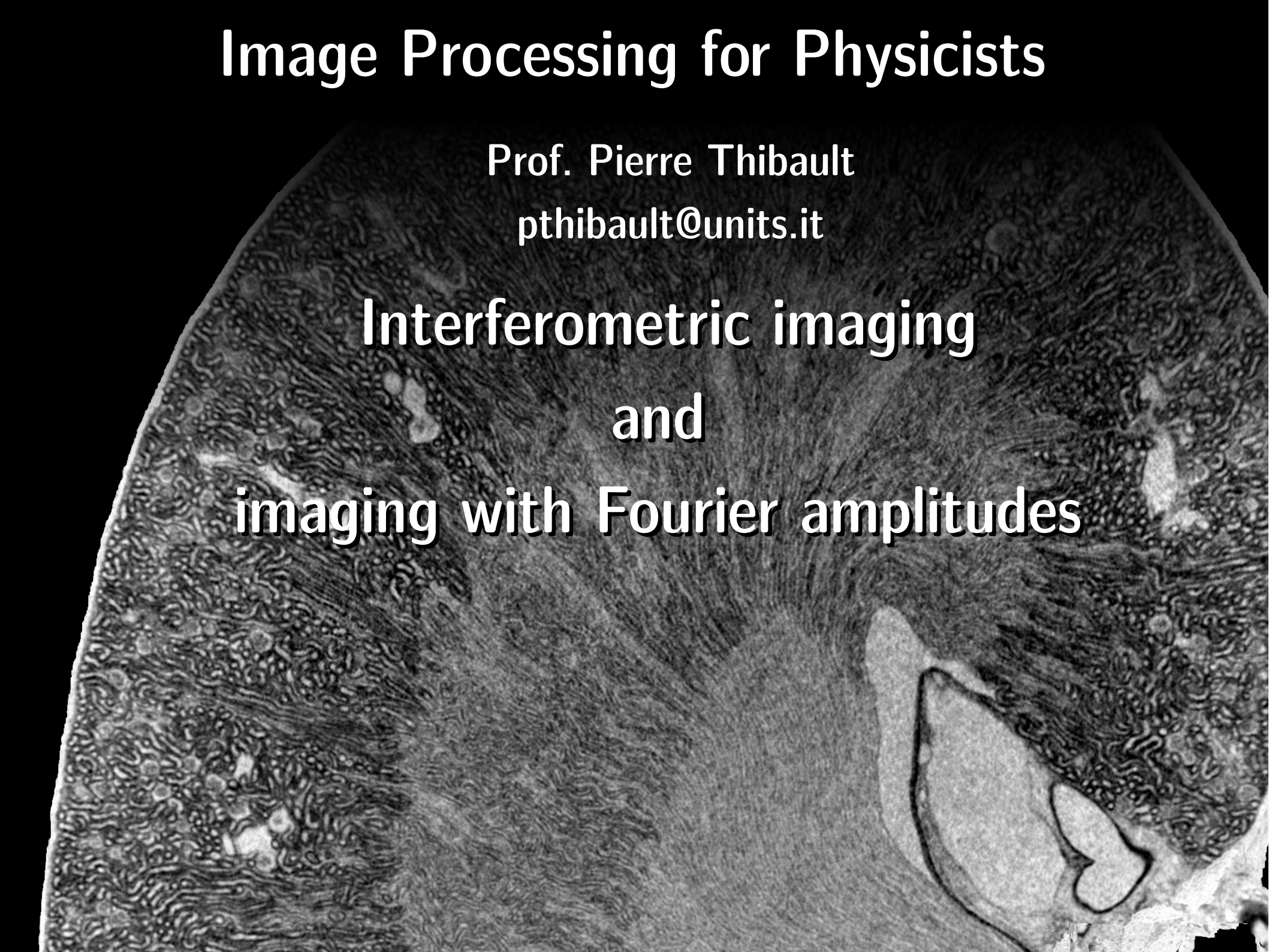


Image Processing for Physicists

Prof. Pierre Thibault

pthibault@units.it

Interferometric imaging
and
imaging with Fourier amplitudes



Overview

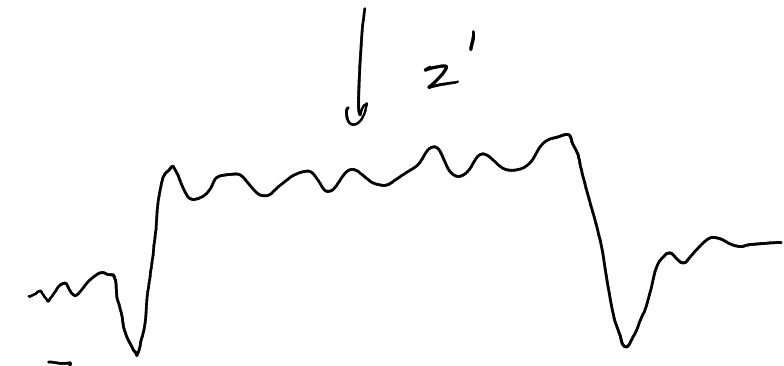
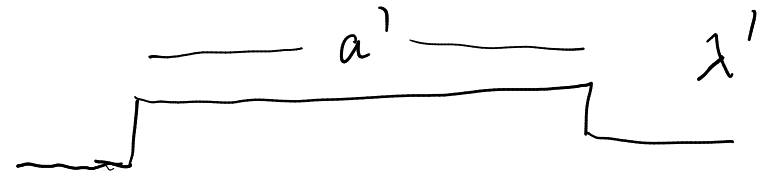
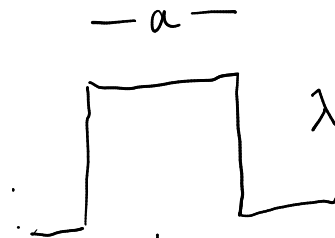
- The phase problem
- Holography: on/off-axis
- Grating interferometric imaging
- Imaging using far-field amplitude measurements
 - Fourier transform holography
 - Coherent diffraction imaging
 - Ptychography

Wave propagation

far-field / near-field



observation : $\exp(i\pi \underbrace{u^2 \lambda z}_{\text{unitless number}})$



$\frac{a^2}{\lambda z} = f$
"Fresnel number"

$f \ll 1$: far-field
 $f \gg 1$: near-field

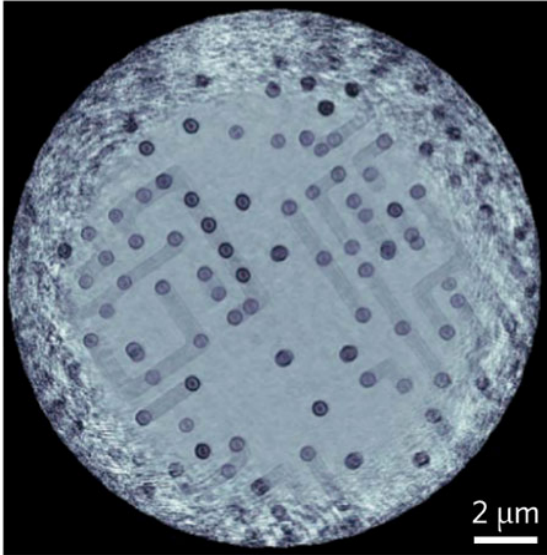
$\sqrt{\lambda z}$
Fresnel zone length

identical if $\frac{1}{a^2} \lambda z = \frac{1}{a'^2} \lambda' z'$

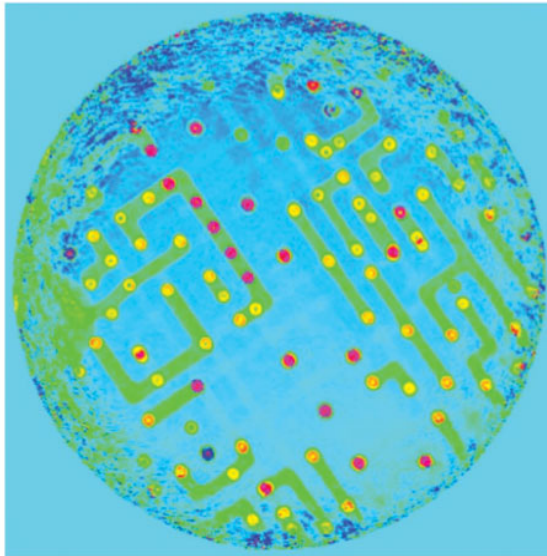
$\sqrt{\lambda z}$ = characteristic length

Complex-valued images

X-ray transmission image



Amplitude
attenuation
of the wave

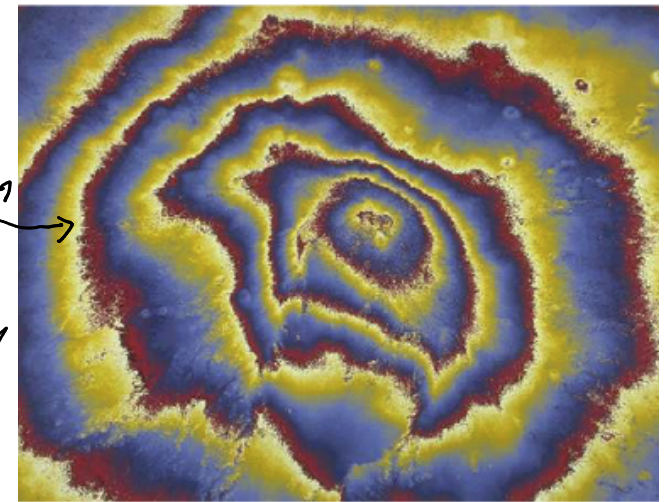


phase
delay in
the phase
(due to refraction)

synthetic aperture radar



phase
unwrapping



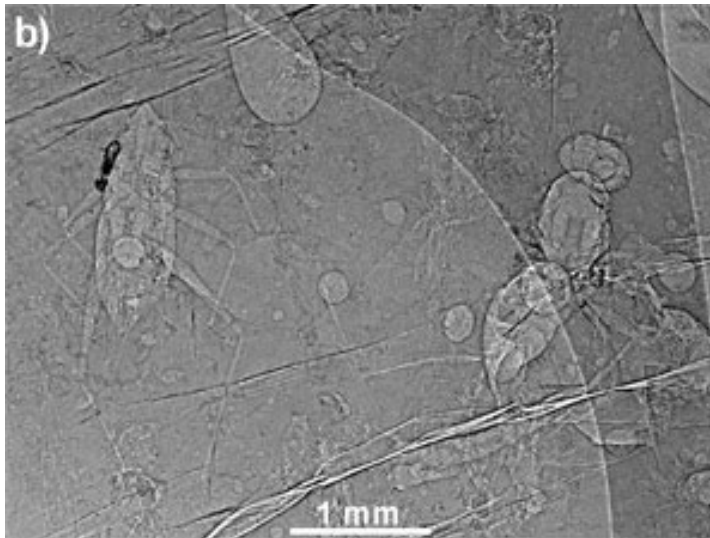
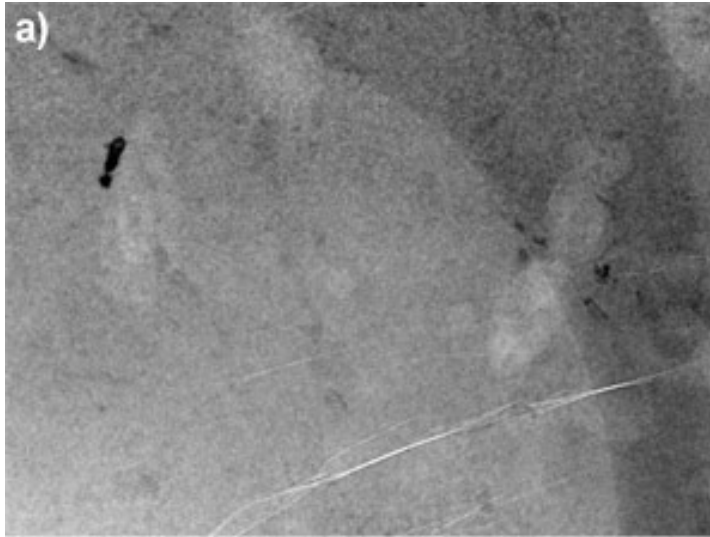
same
phase

phase

Phase-contrast

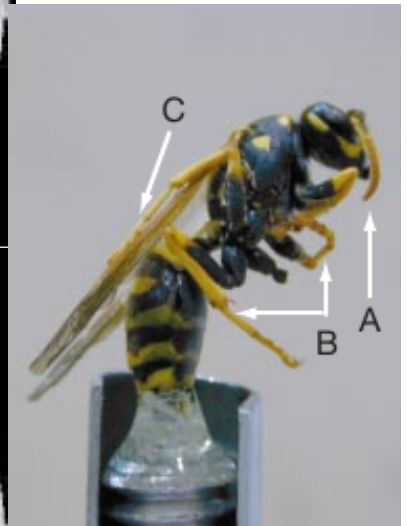
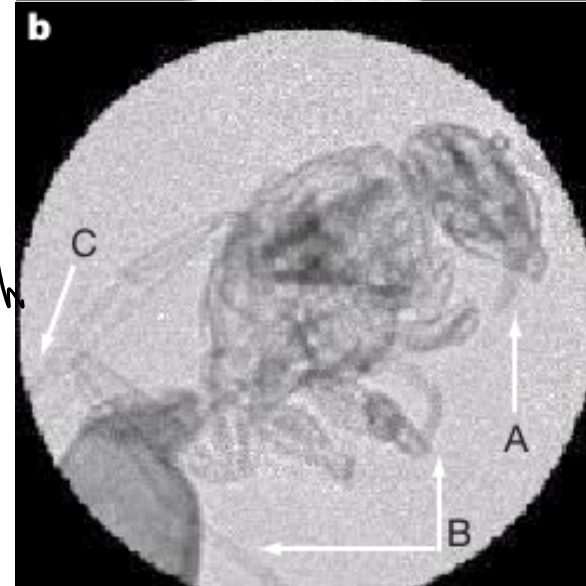
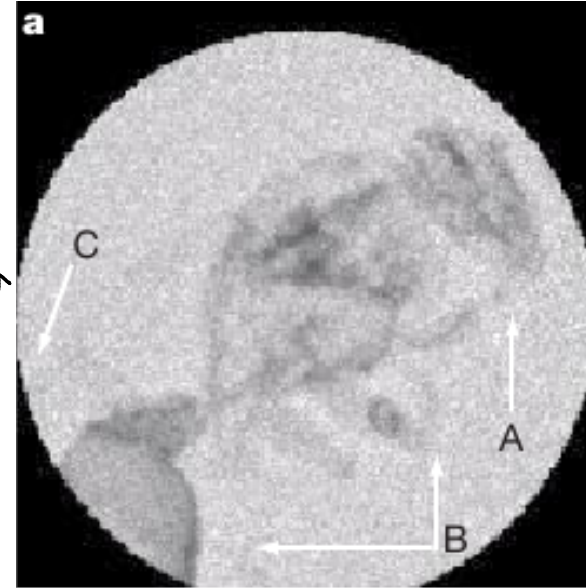
Visible light: see Zernike

Hard X-ray propagation-based phase contrast



Source: www.esrf.eu/news/general/amber/amber/

Neutron phase contrast

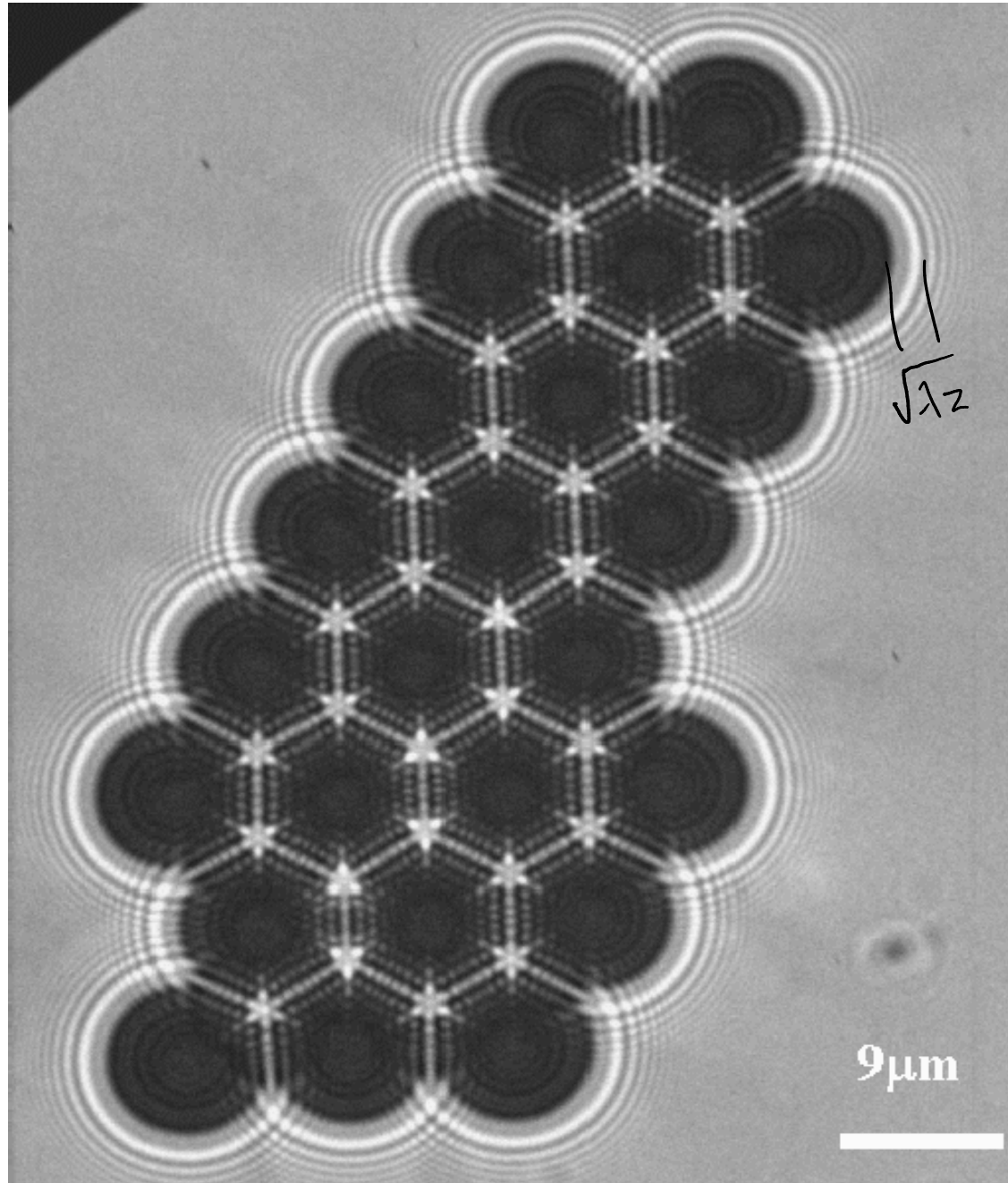


short camera length
~ contact images

longer camera length
(= detector distance)

Source: Allman et al. Nature **408** (2000).

Inline holography



Source: Mayo et al. Opt Express **11** (2003).

Inline holography

Measured $I(\vec{r}) = |\Psi(\vec{r}; z)|^2$

* plane monochromatic wave

* weak transmission of imaged object small perturbation

$$\Psi(\vec{r}, z=0) = A(1 + \epsilon(\vec{r}))$$

↑ constant (plane wave)

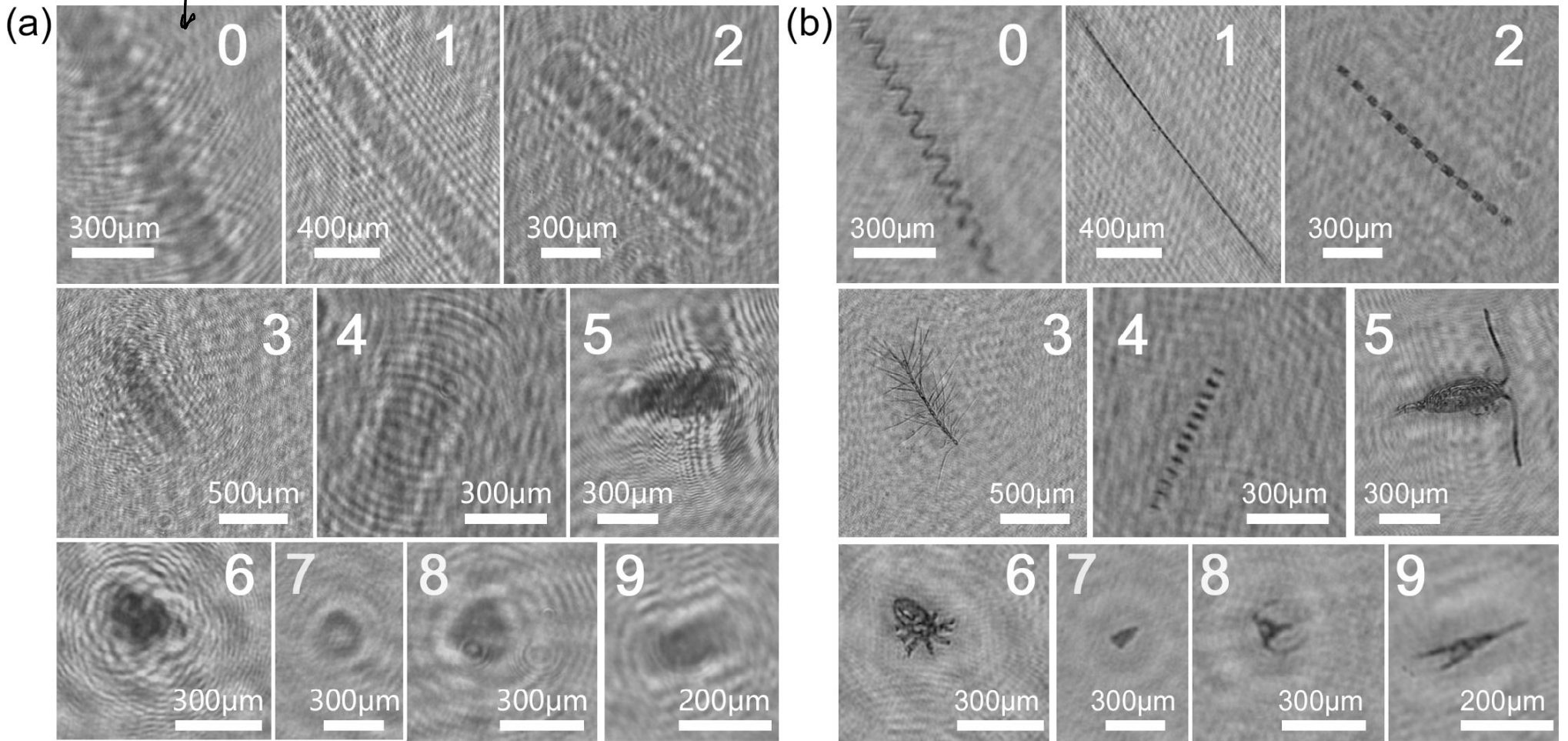
$$I(\vec{r}) = |A(1 + \epsilon(\vec{r}; z))|^2 = |A|^2 \left(1 + \epsilon(\vec{r}; z) + \epsilon^*(\vec{r}; z) + |\epsilon(\vec{r}; z)|^2 \right)$$

$$= |A|^2 \left[1 + \underbrace{\epsilon(\vec{r}; z)}_{\text{propagated by } z} + \underbrace{\epsilon^*(\vec{r}; z)}_{\text{propagated by } -z} \right]$$

"twin image problem"

Digital inline holography

141^2



Trick: apply Fresnel (angular spectrum) propagator!

The phase problem

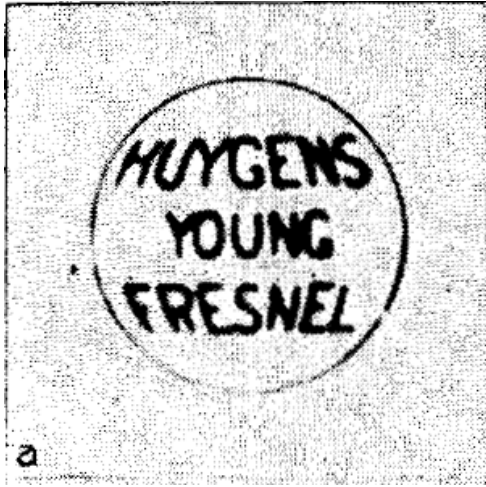
We always measure $|\psi|^2$. phases are lost.

We need to recover the phase part of the wavefield

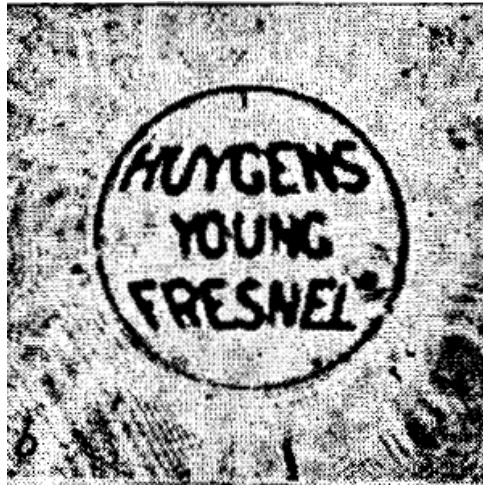
* sometimes the phases are interesting

* most often: the phase are an auxiliary quantity
for proper interpretation of the wavefield

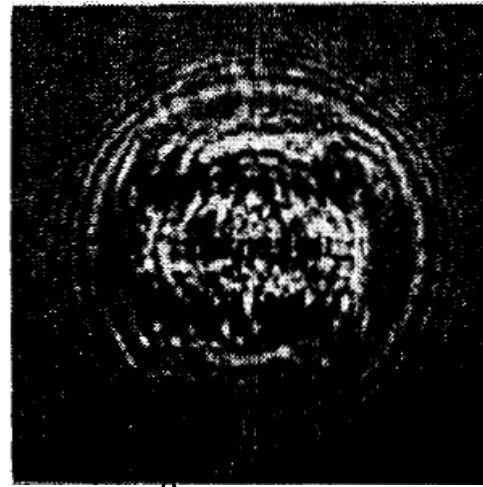
In-line holography



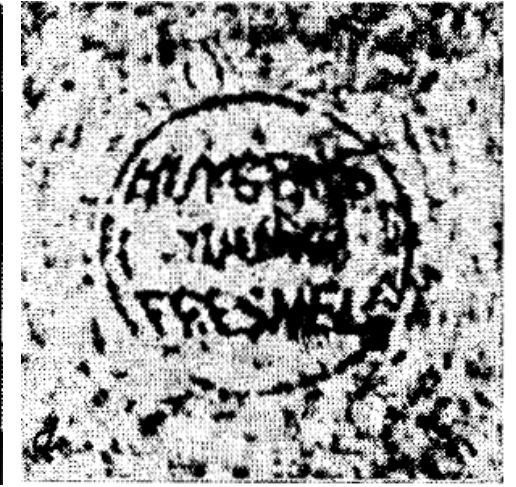
↑
mask



↑
"in focus"



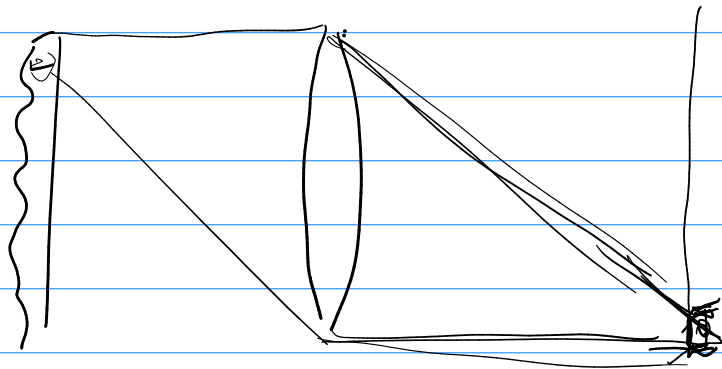
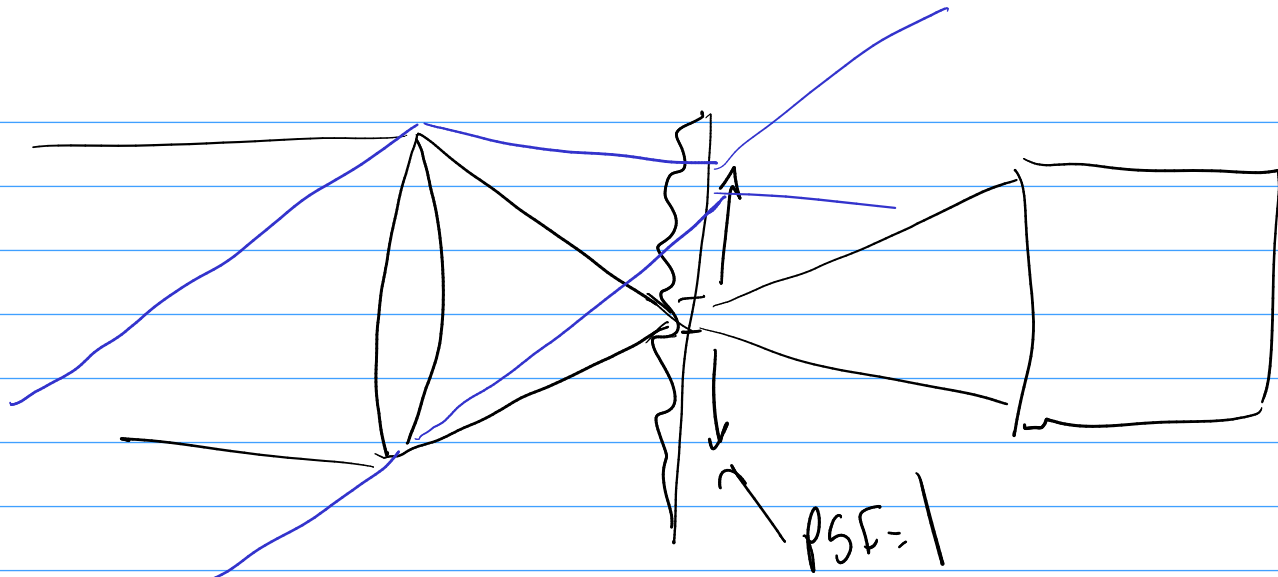
↑
measurement
after propagation



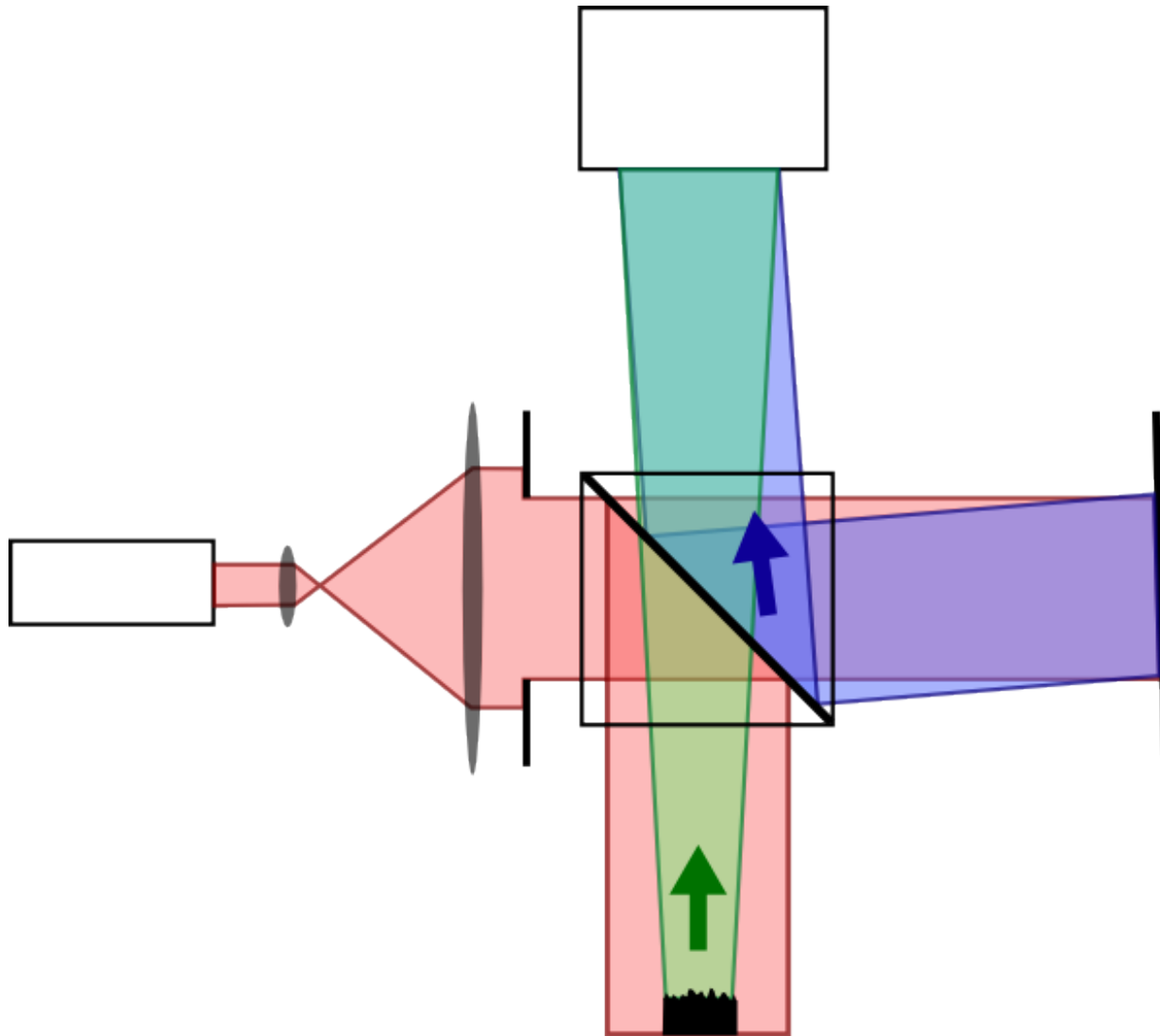
↓
propagated

D. Gabor, Nature **161**, 777-778 (1948).

problem: twin image

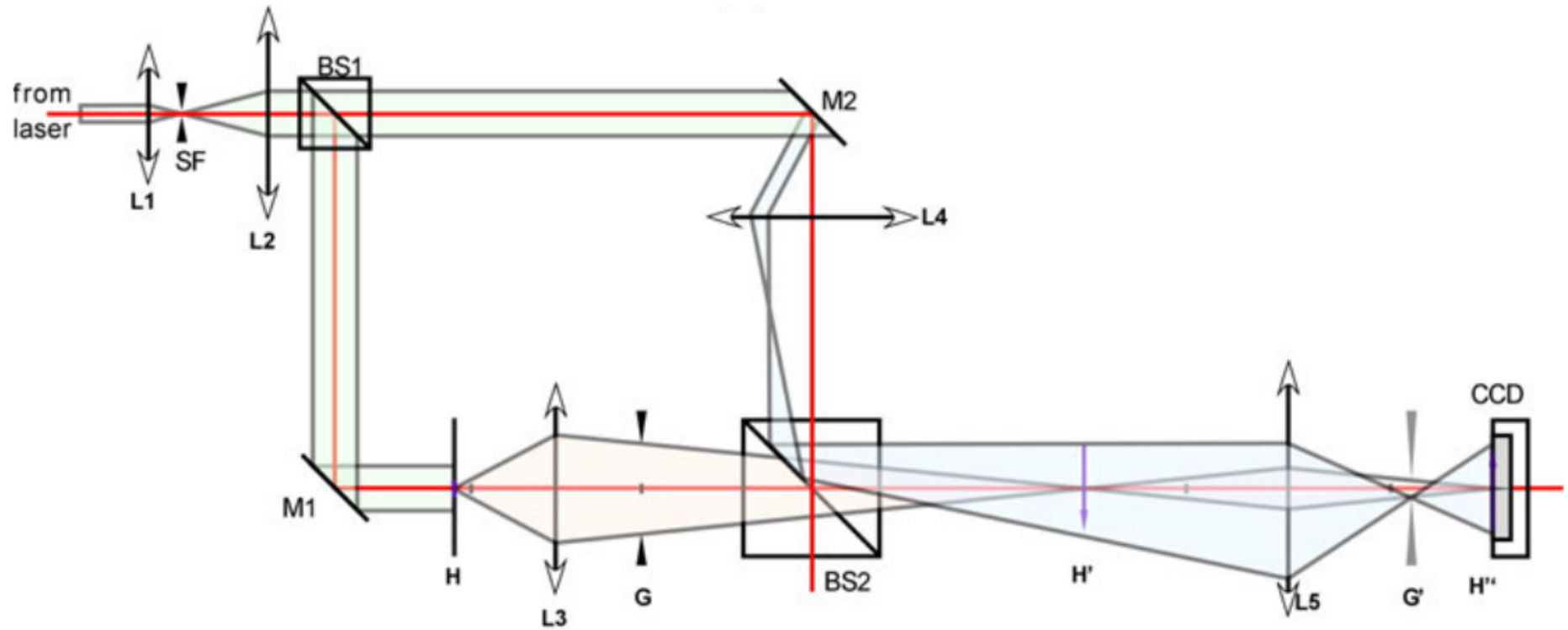


Fringe interferometry



Twyman-Green interferometer

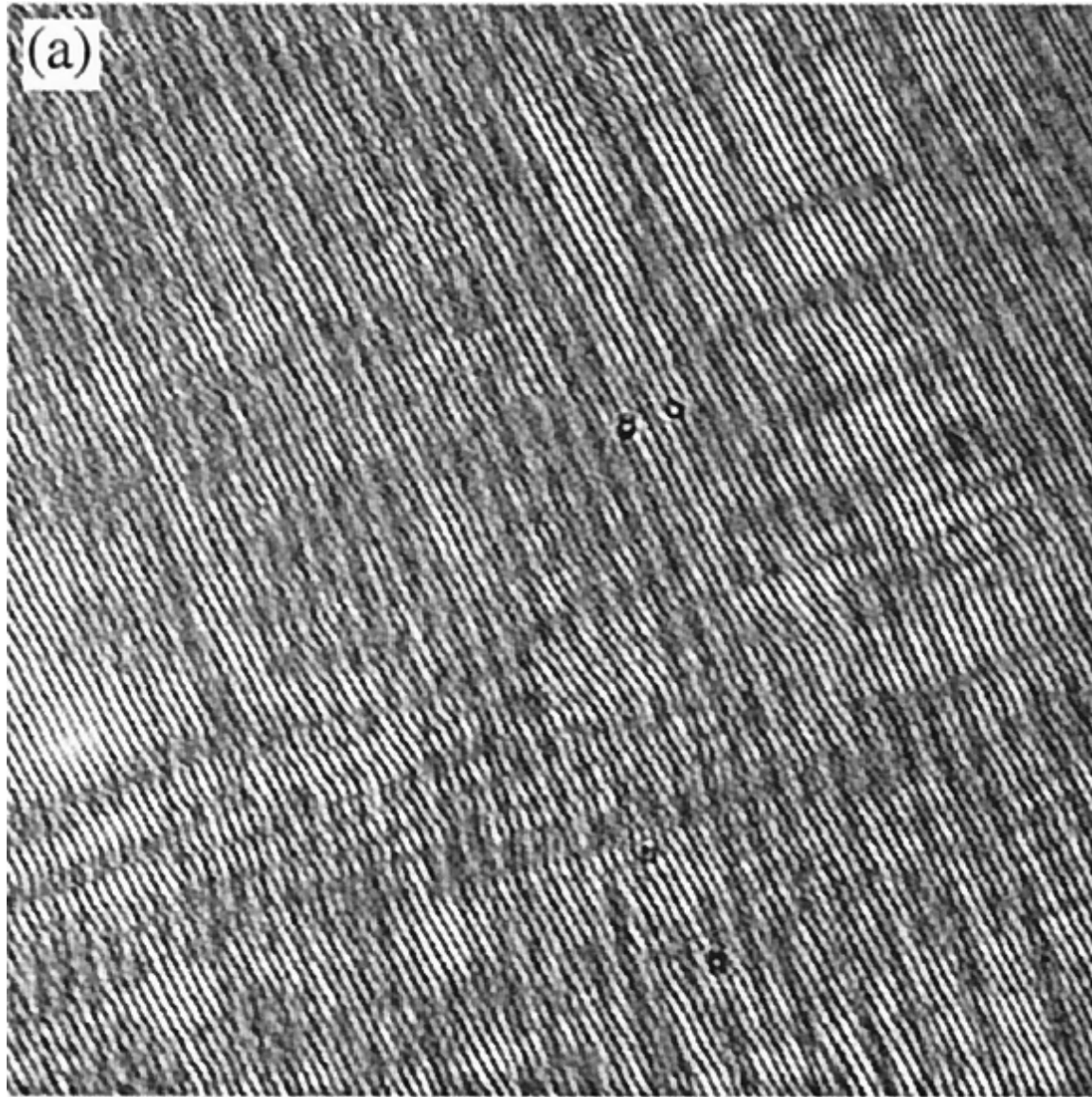
Visible light interferometer



Mach-Zehnder interferometer

Source: M. K. Kim, SPIE Rev. 1, 018005 (2010).

Fringe interferometry



Source: Cuche et al. *Appl. Opt.* **39**, 4070 (2000)

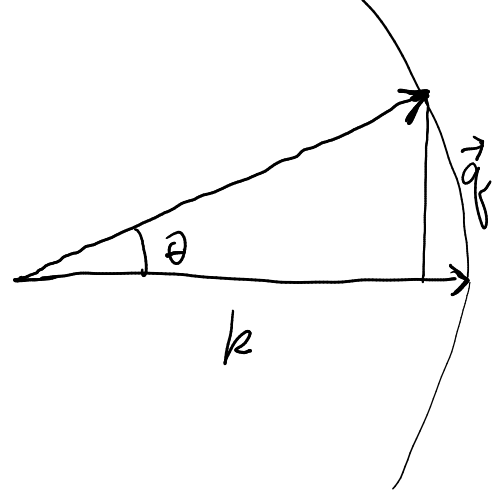
Two tilted plane waves:

$$1 + e^{i\vec{q}\cdot\vec{r}}$$

↑
along z

↑
at an angle θ

$$\left(\sin\theta = \frac{|\vec{q}|}{k}\right)$$

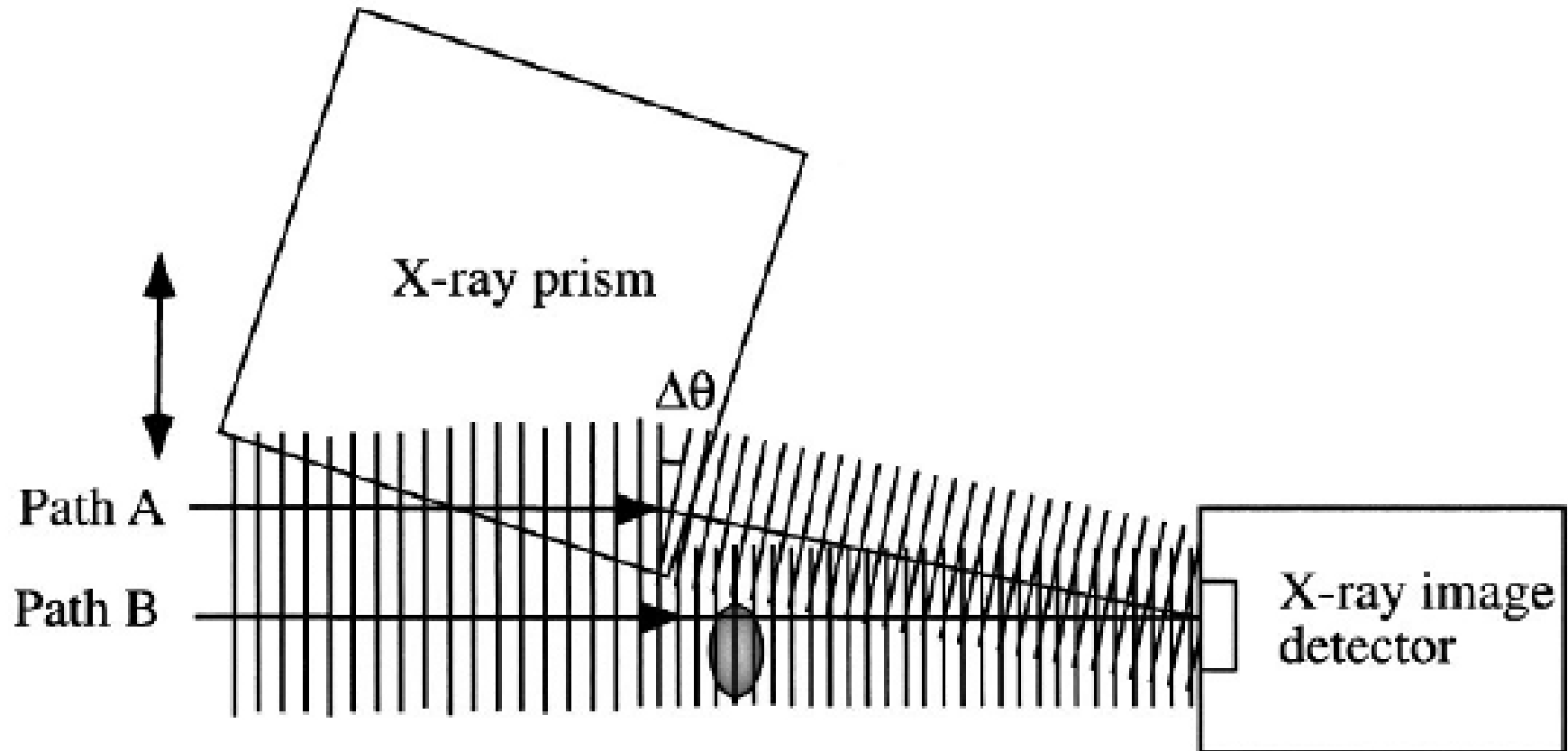


$$I = |1 + e^{i\vec{q}\cdot\vec{r}}|^2 = 1 + e^{i\vec{q}\cdot\vec{r}} + e^{-i\vec{q}\cdot\vec{r}} + 1 = 2 + 2\cos(\vec{q}\cdot\vec{r})$$

↳ oscillation with spatial frequency $\vec{n} = \vec{q}/2\pi$

With a sample in: $|a(\vec{r}) + e^{i\vec{q}\cdot\vec{r}}|^2$

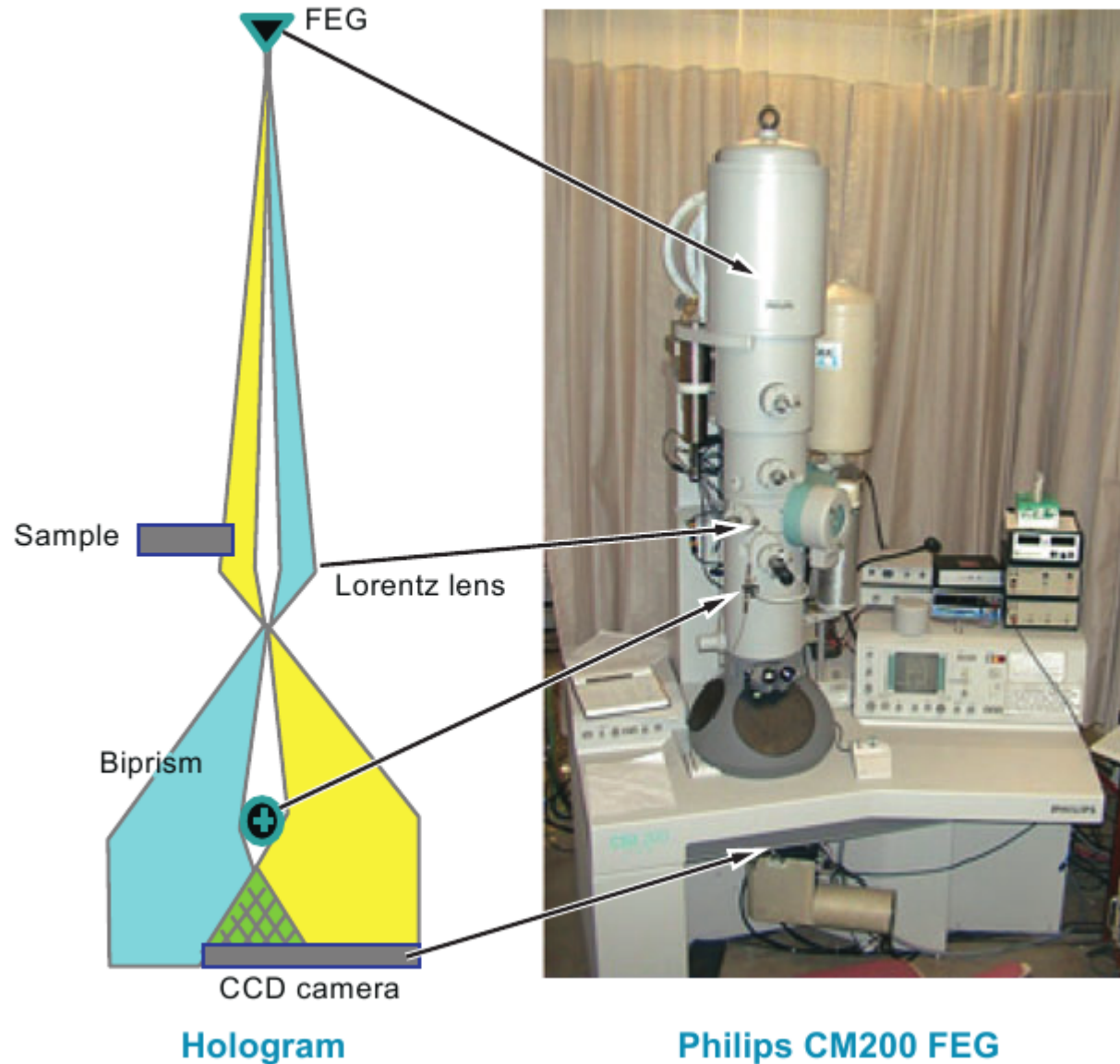
Off-axis X-ray holography



Source: Y. Kohmura, J. Appl. Phys. **96**, 1781-1784 (2004)

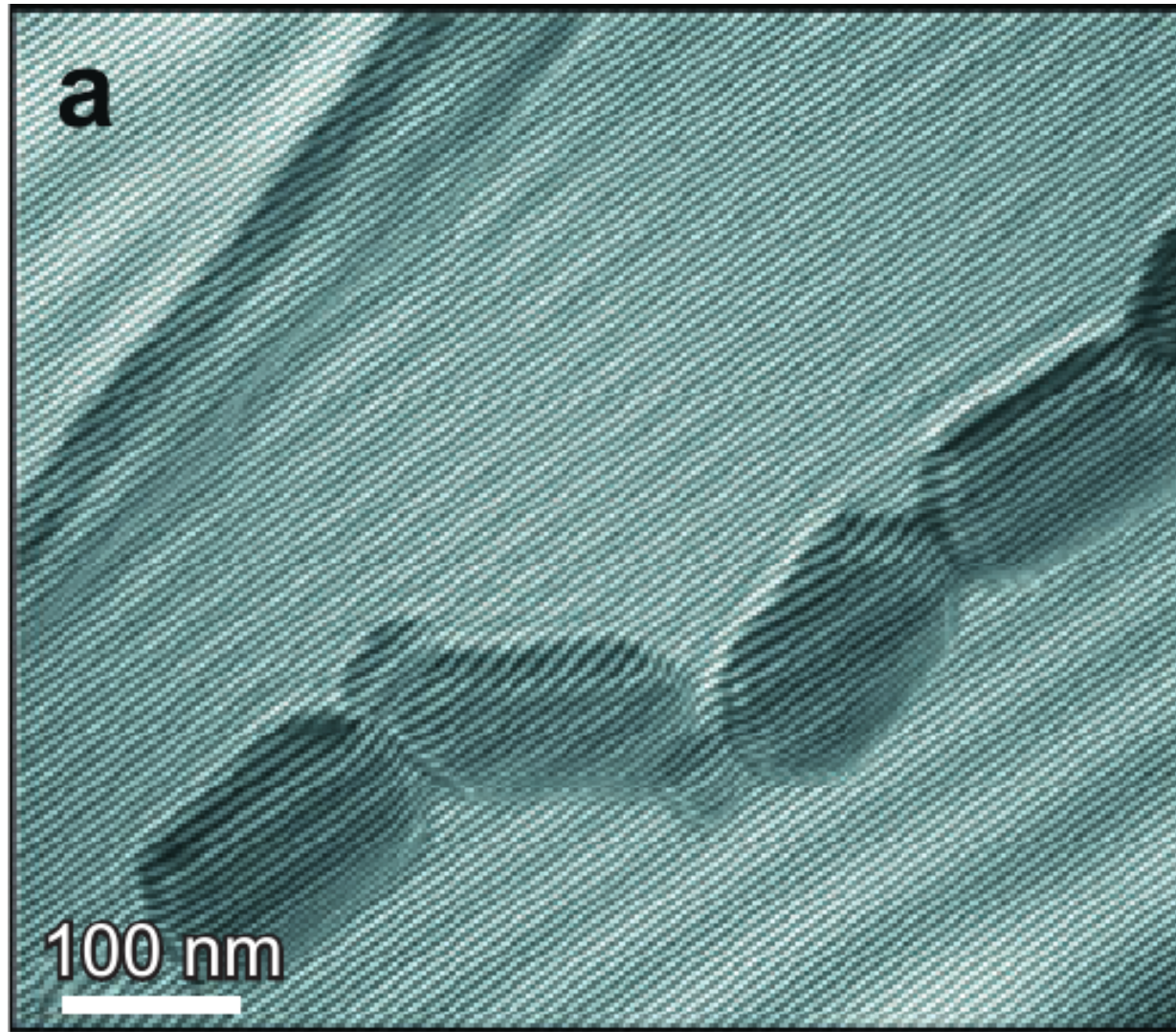
Off-axis electron holography

Electron microscopy



Source: M. R. McCartney, *Ann. Rev. Mat. Sci.* **37** 729-767 (2007)

Off-axis electron holography

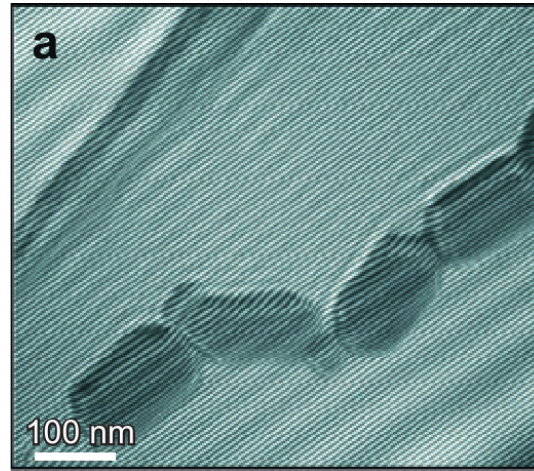
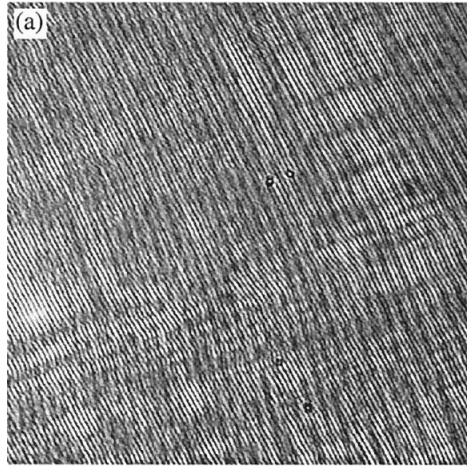


$$\mathcal{F}\{f(\vec{r})\} = F(\vec{u})$$

$$\mathcal{F}\{f(\vec{r})e^{i\vec{u}_0 \cdot \vec{r}}\} = F(\vec{u} - \vec{u}_0)$$

Source: M. R. McCartney, Annu. Rev. Mat. Sci. **37** 729-767 (2007)

Fringe interferometry



$$\psi = \psi_o + \psi_r$$

object reference

$$\psi_r(\vec{r}) = A e^{i\vec{q}\cdot\vec{r}}$$

$$\psi_o(\vec{r}) = A a(\vec{r}) e^{i\varphi(\vec{r})}$$

complex-valued transmission function

a, φ are unknown to be retrieved from measurement

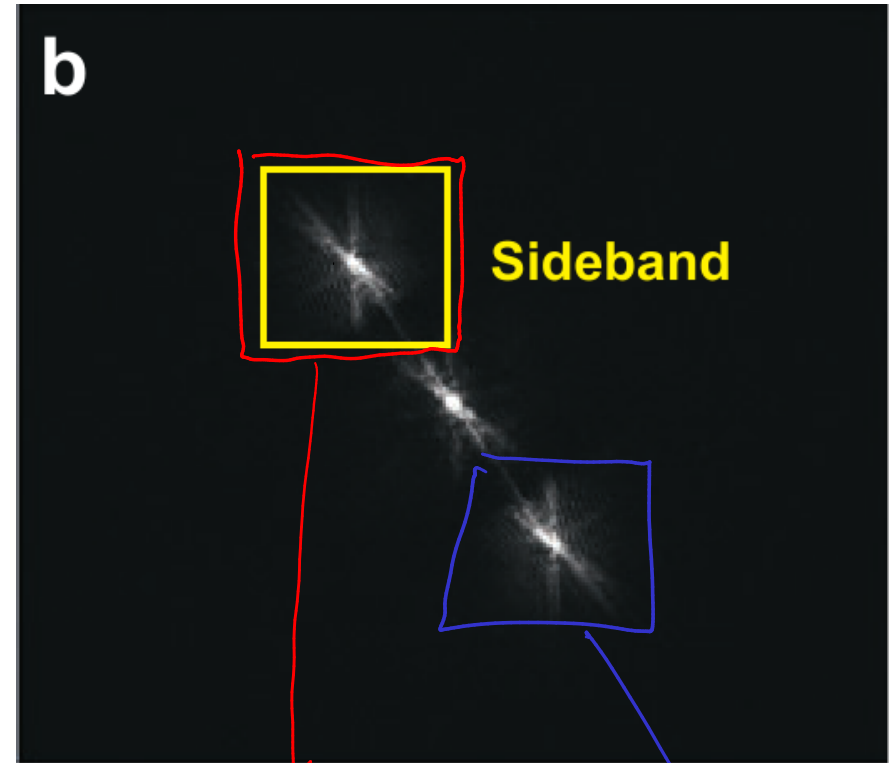
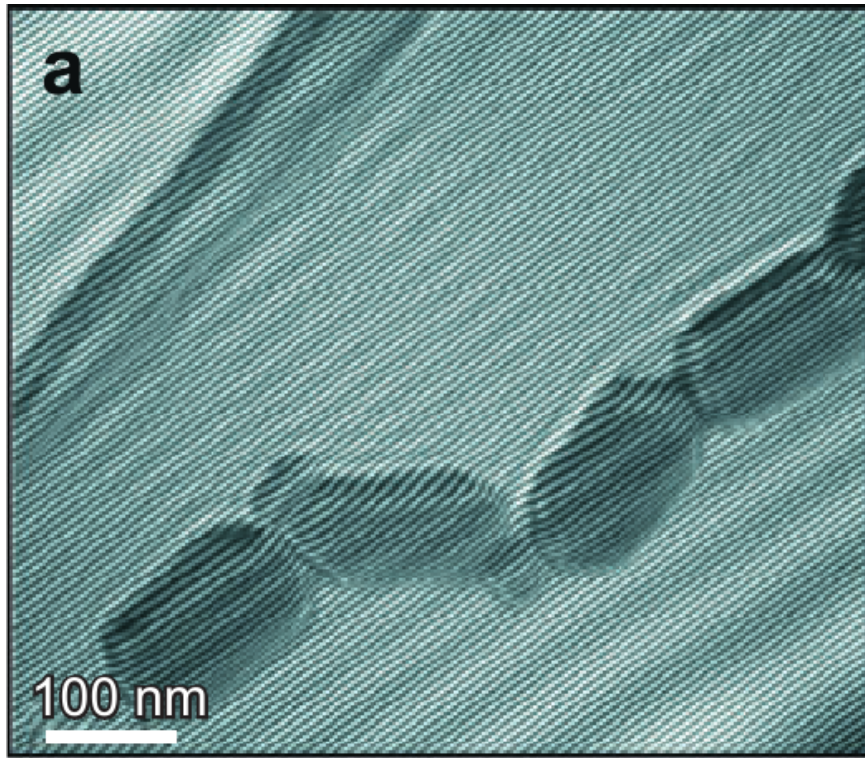
Measurement:

$$|\psi|^2 = (\psi_o + \psi_r)(\psi_o + \psi_r)^*$$

$$I = |A|^2 \left(1 + |a(\vec{r})|^2 + a(\vec{r}) e^{i(\vec{q}\cdot\vec{r} - \varphi)} + a(\vec{r}) e^{-i(\vec{q}\cdot\vec{r} - \varphi)} \right)$$

$$2 a(\vec{r}) \cos(\vec{q}\cdot\vec{r} - \varphi)$$

Off-axis holography



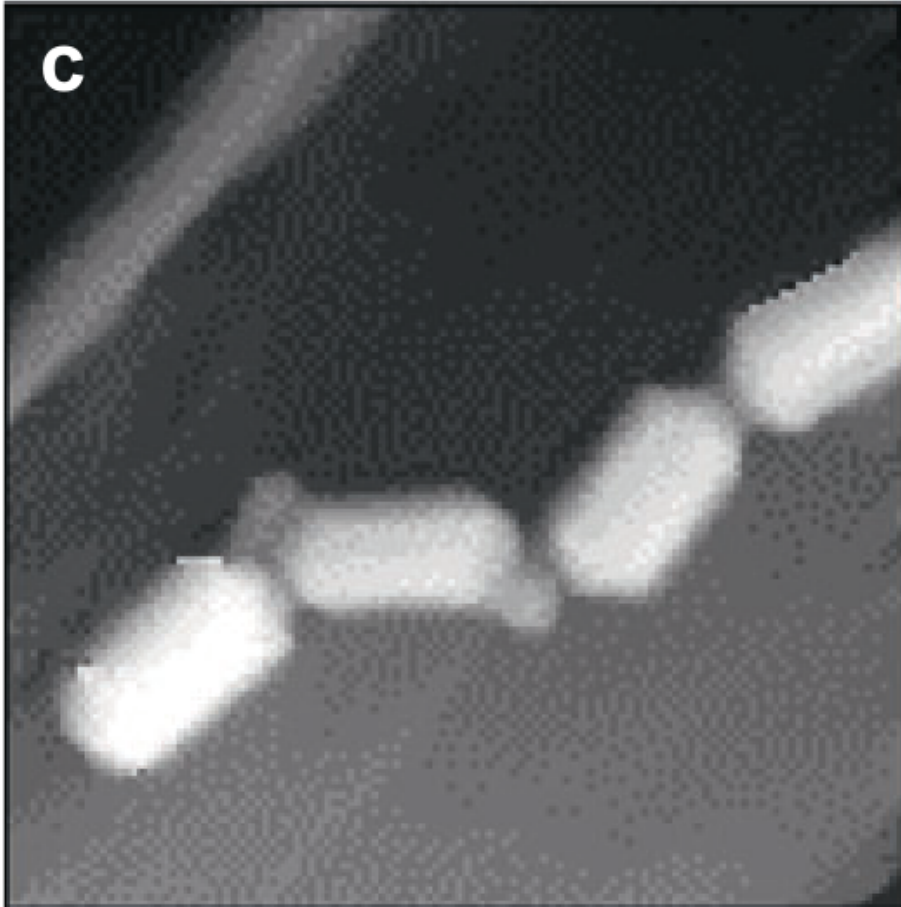
$$\mathcal{F}\{|\psi|^2\} = |A|^2 \left[\mathcal{F}\{a^2(r)+1\} + \mathcal{F}\{\psi_0\}(\vec{k} + \frac{\vec{q}}{2\pi}) + \mathcal{F}\{\psi_0^*\}(\vec{k} - \frac{\vec{q}}{2\pi}) \right]$$

solution: crop, inverse F.T.!

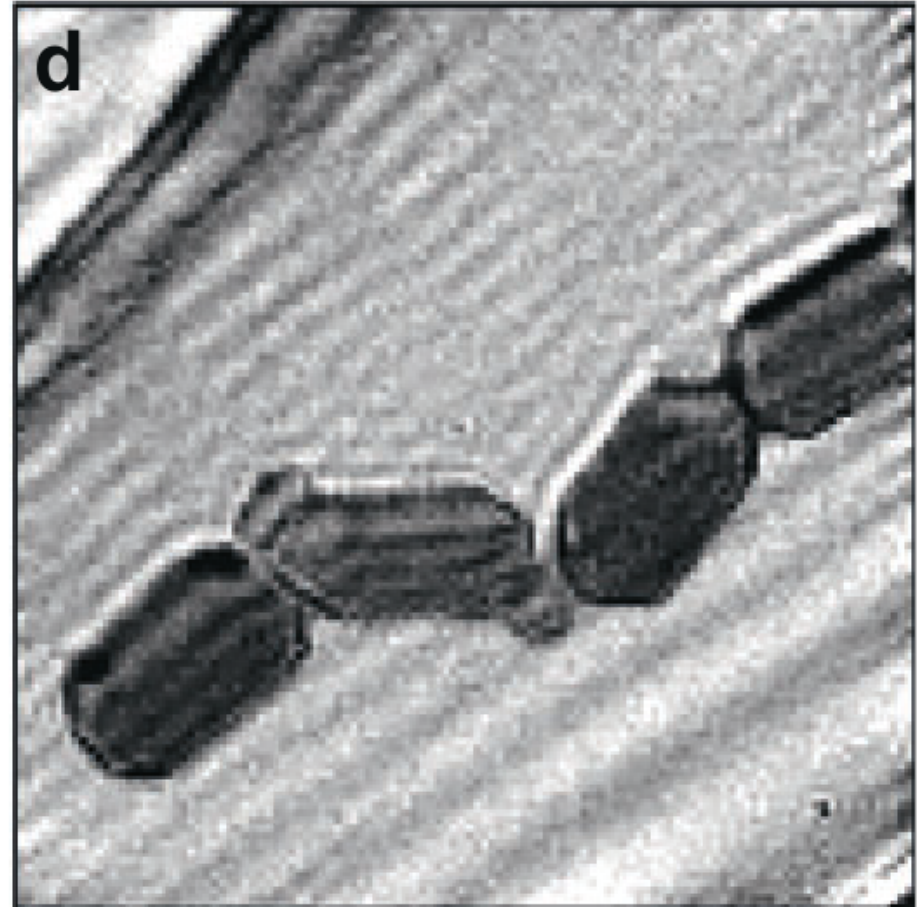
Source: M. R. McCartney, Annu. Rev. Mat. Sci. **37** 729-767 (2007)

Off-axis holography

Price paid: loss of resolution



phase (φ)



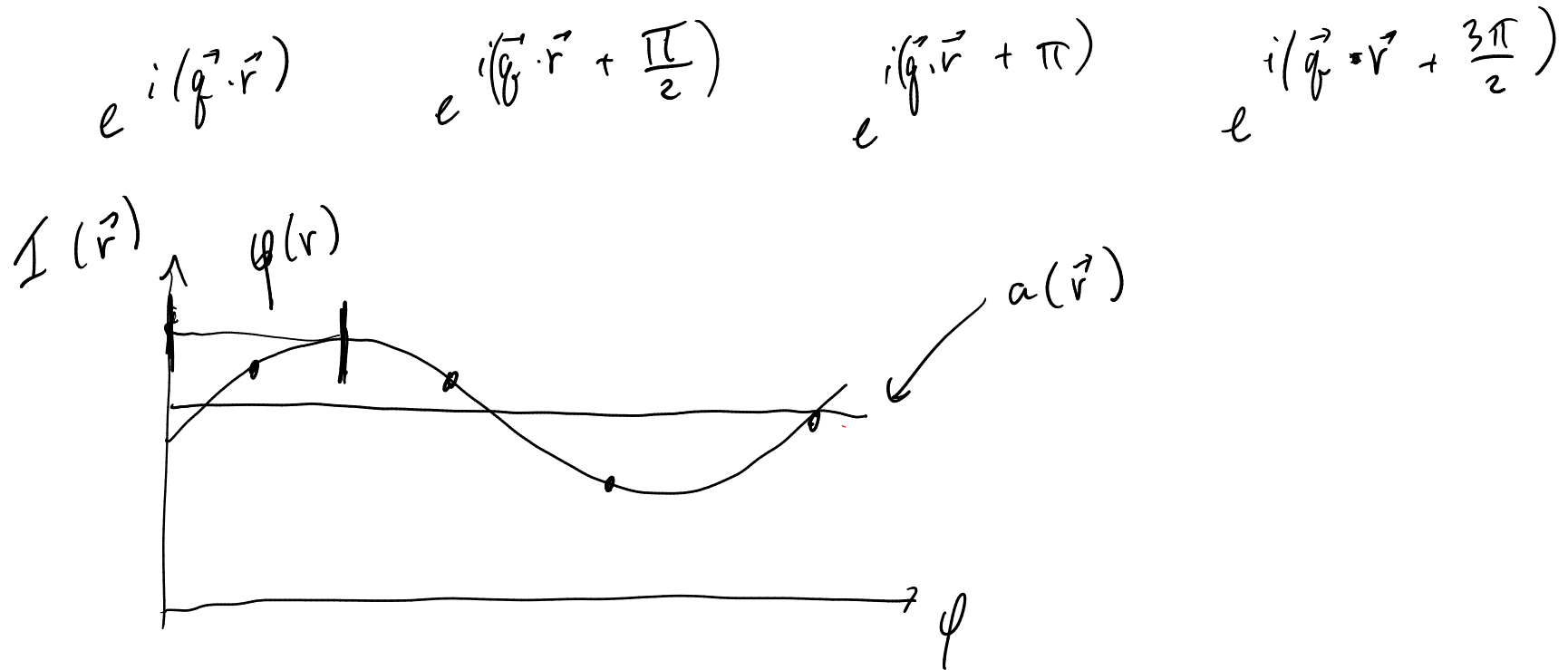
attenuation (a)

Source: M. R. McCartney, Annu. Rev. Mat. Sci. **37** 729-767 (2007)

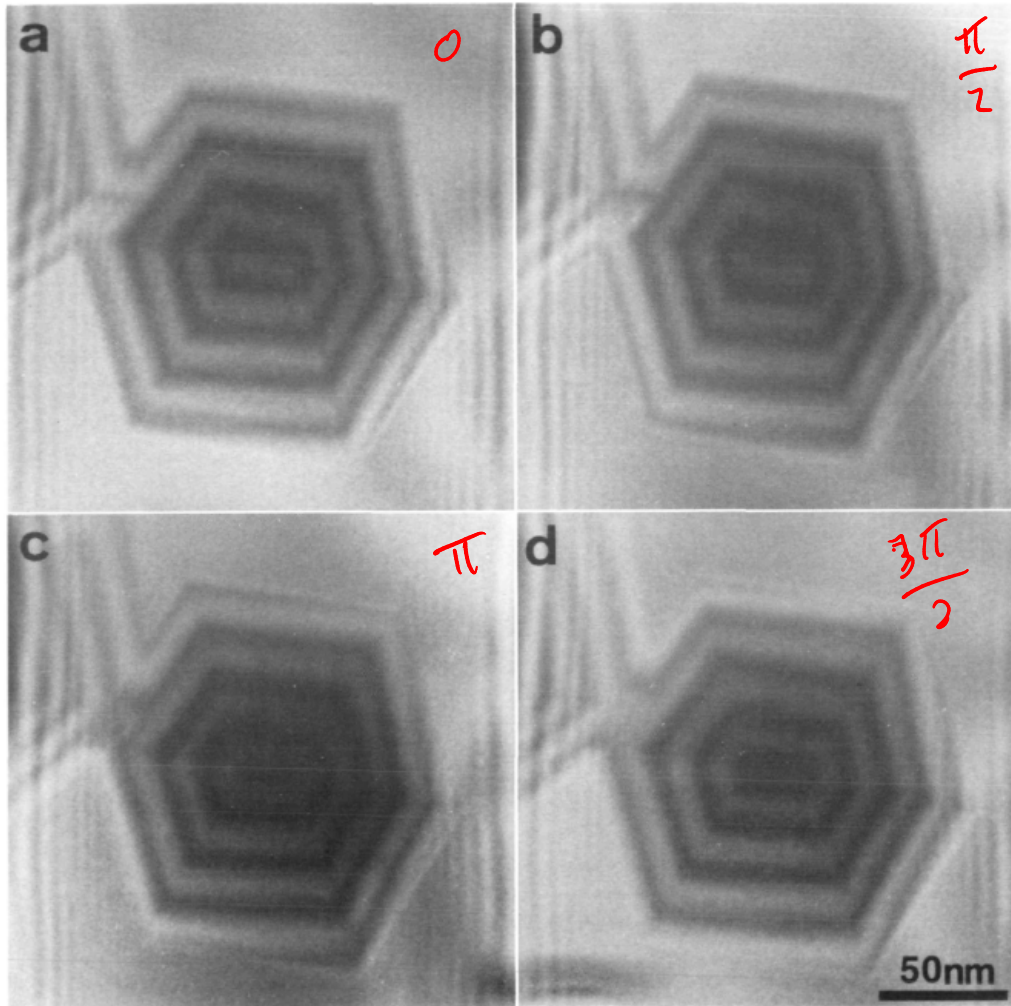
Phase stepping

- Encoding phase **and** amplitude in a single image has a price: resolution

→ Take more than one image, changing the reference in each.



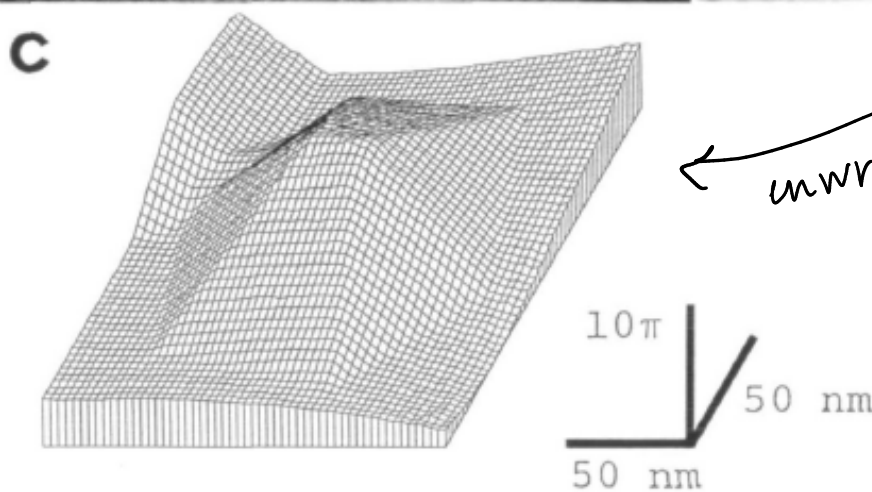
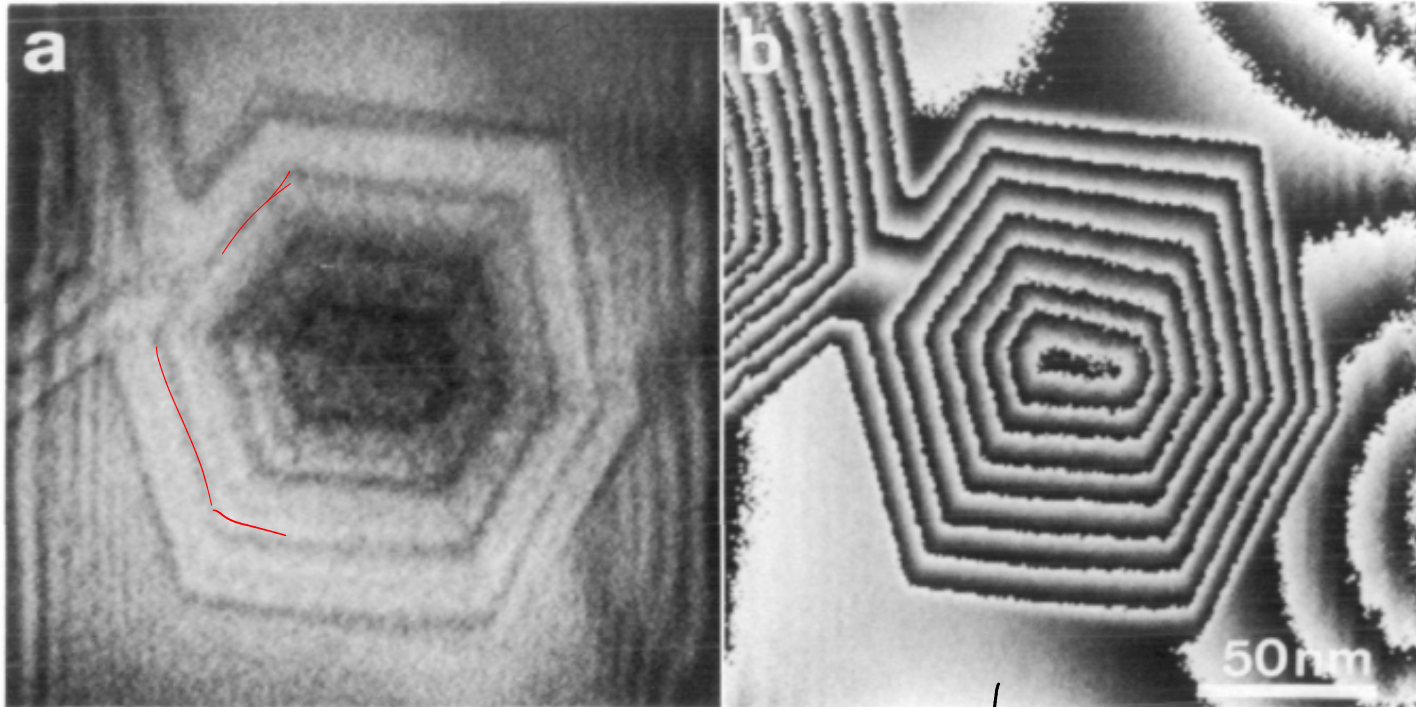
Fringe scanning



Electron microscopy

Source: K. Harada, J. Electron Microsc. **39** 470-476 (1990)

Fringe scanning

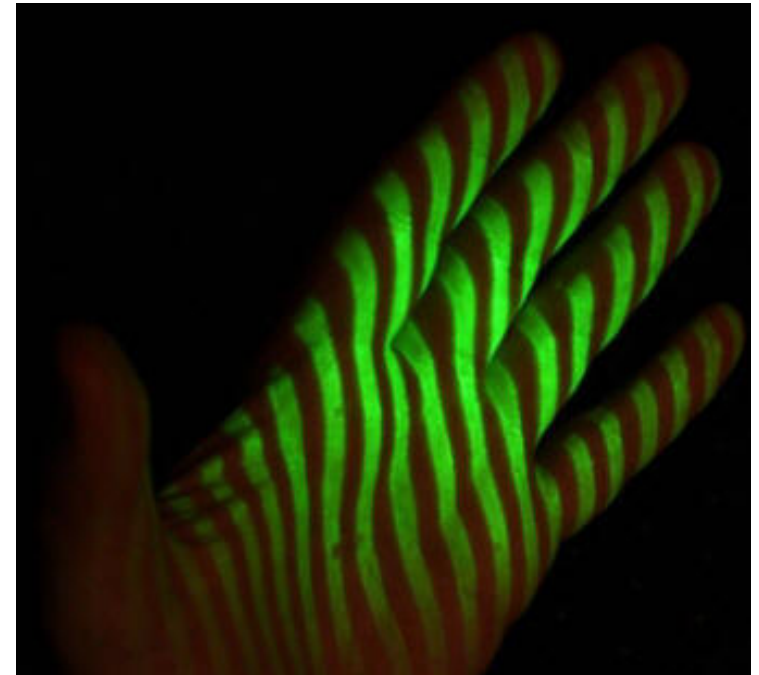
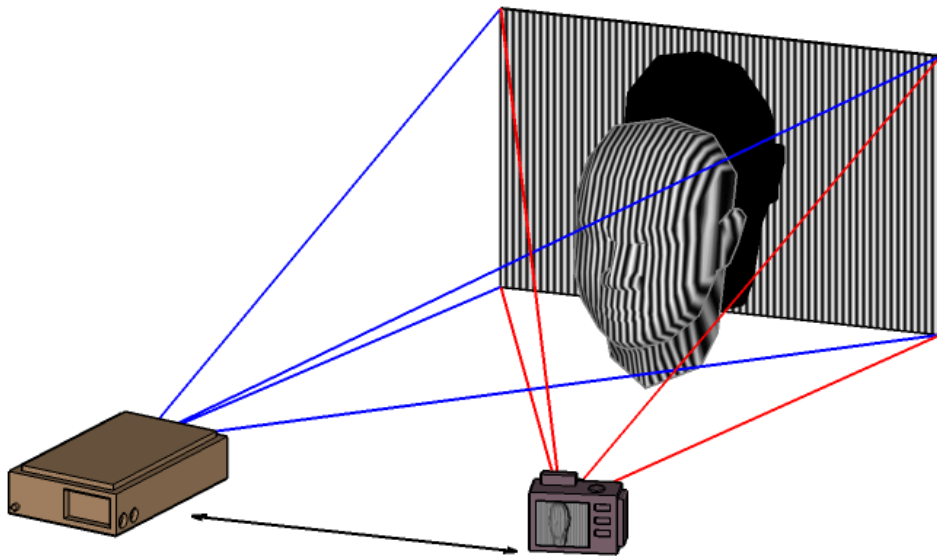


native resolution
but
more measurements
are needed!

Source: K. Harada, J. Electron Microsc. **39** 470-476 (1990)

Structured light sensing

- Project a structured light pattern onto sample
- Distortions of light pattern allow reconstruction of sample shape

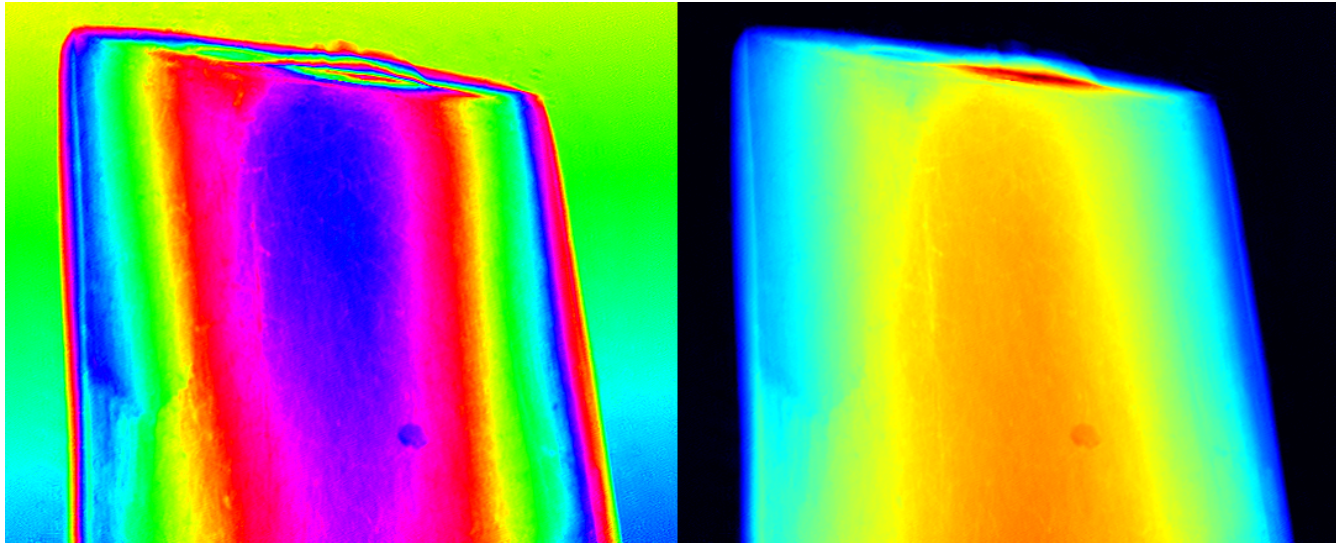


Phase unwrapping

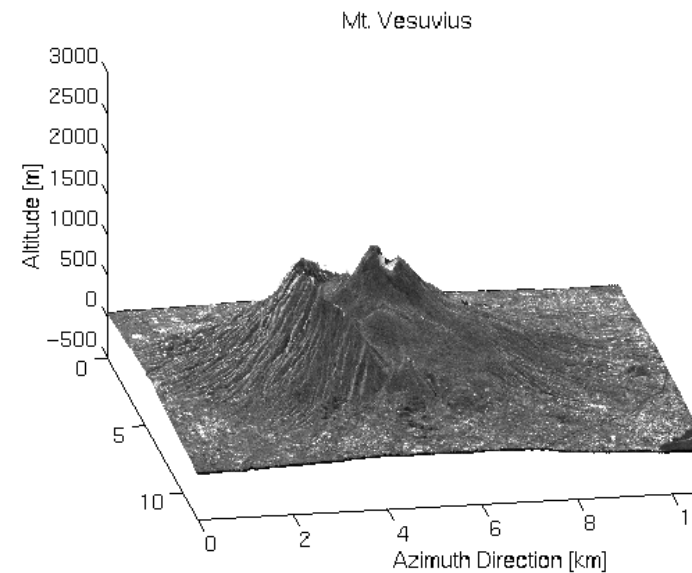
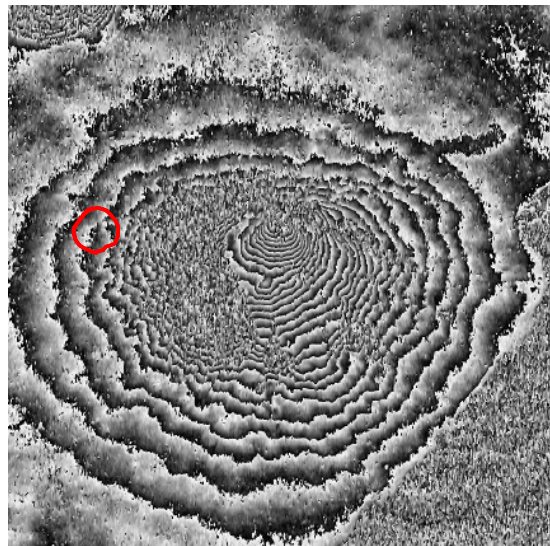
- Phase is measured only in the interval $[0, 2\pi)$
- Physical phase shifts (which can be larger) are wrapped on this interval
 - Any multiple of 2π is possible
- Unwrapping: use correlations in the image to guess the total phase shift.
- Main difficulties:
 - aliasing: phase shifts are too rapid for the image sampling
 - noise: produces local singularities (vortices)
- Many strategies exist
 - path following methods
 - phase vortex connection

Complex-valued images

Phase unwrapping



*phase
vortex*

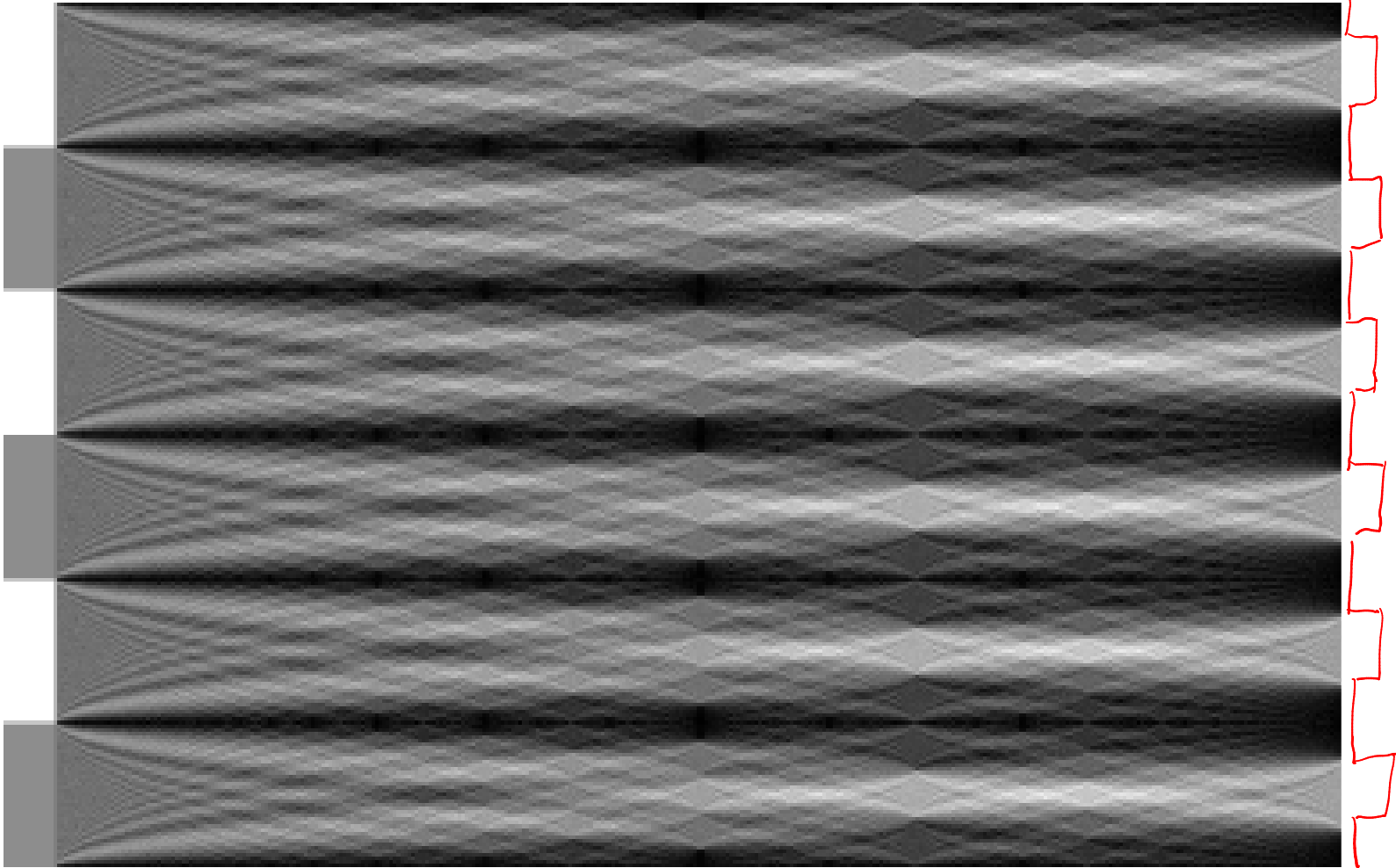


Source: <http://earth.esa.int/workshops/ers97/program-details/speeches/rocca-et-al/>

Grating interferometry

Diffraction from a grating

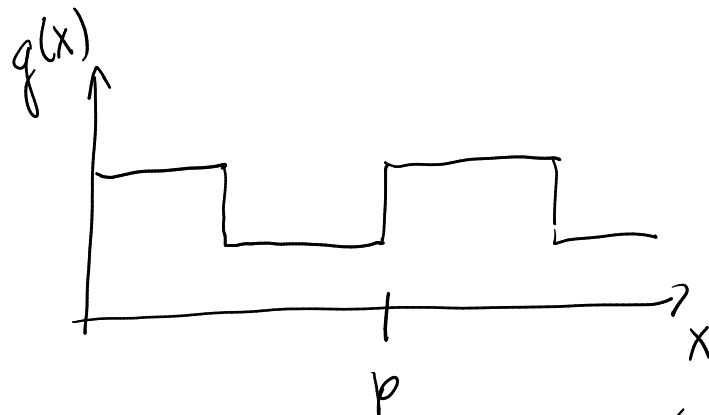
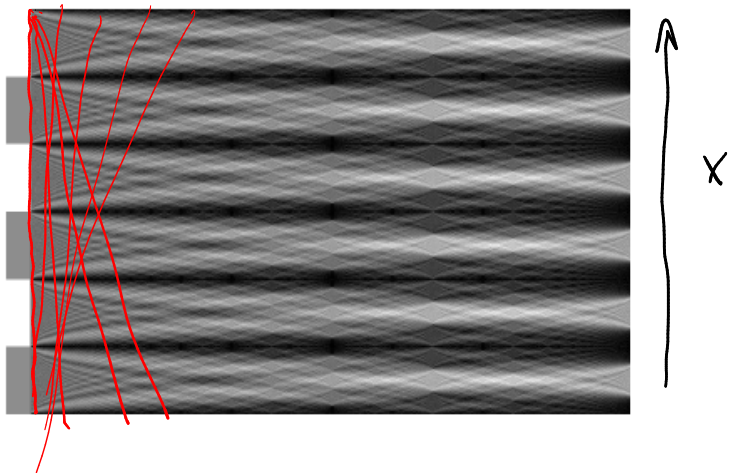
"Talbot carpet"
↙



periodicity along the propagation axis : Talbot effect

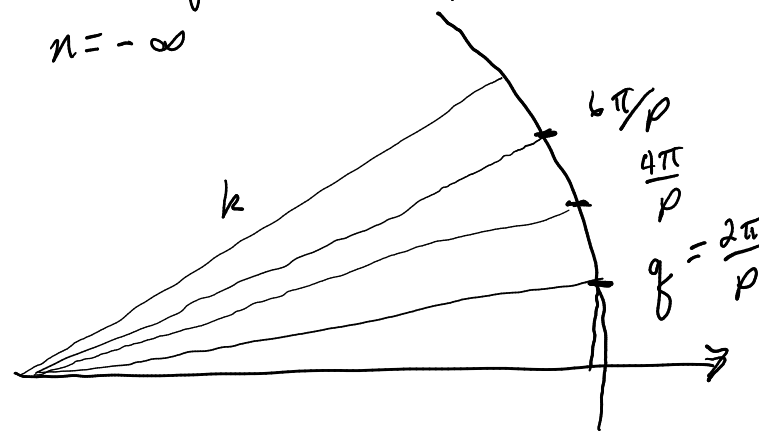
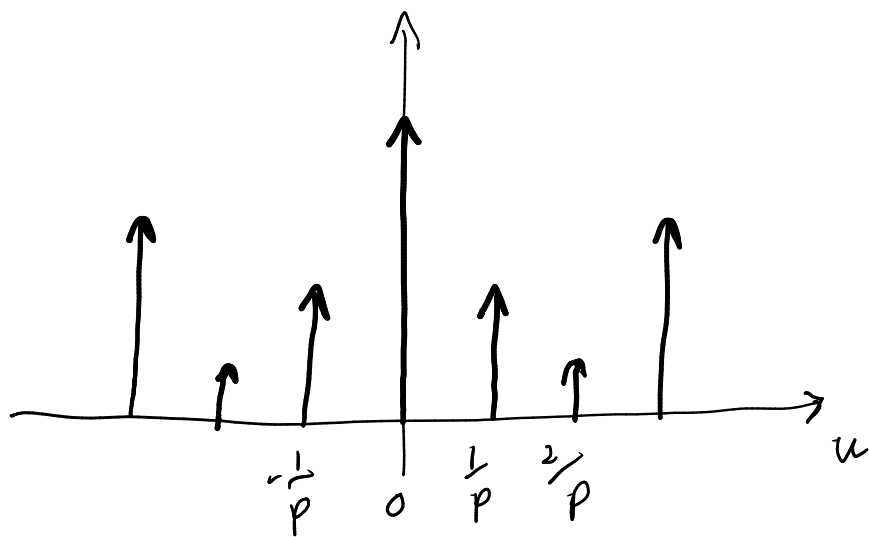
Grating interferometry

Diffrraction from a grating



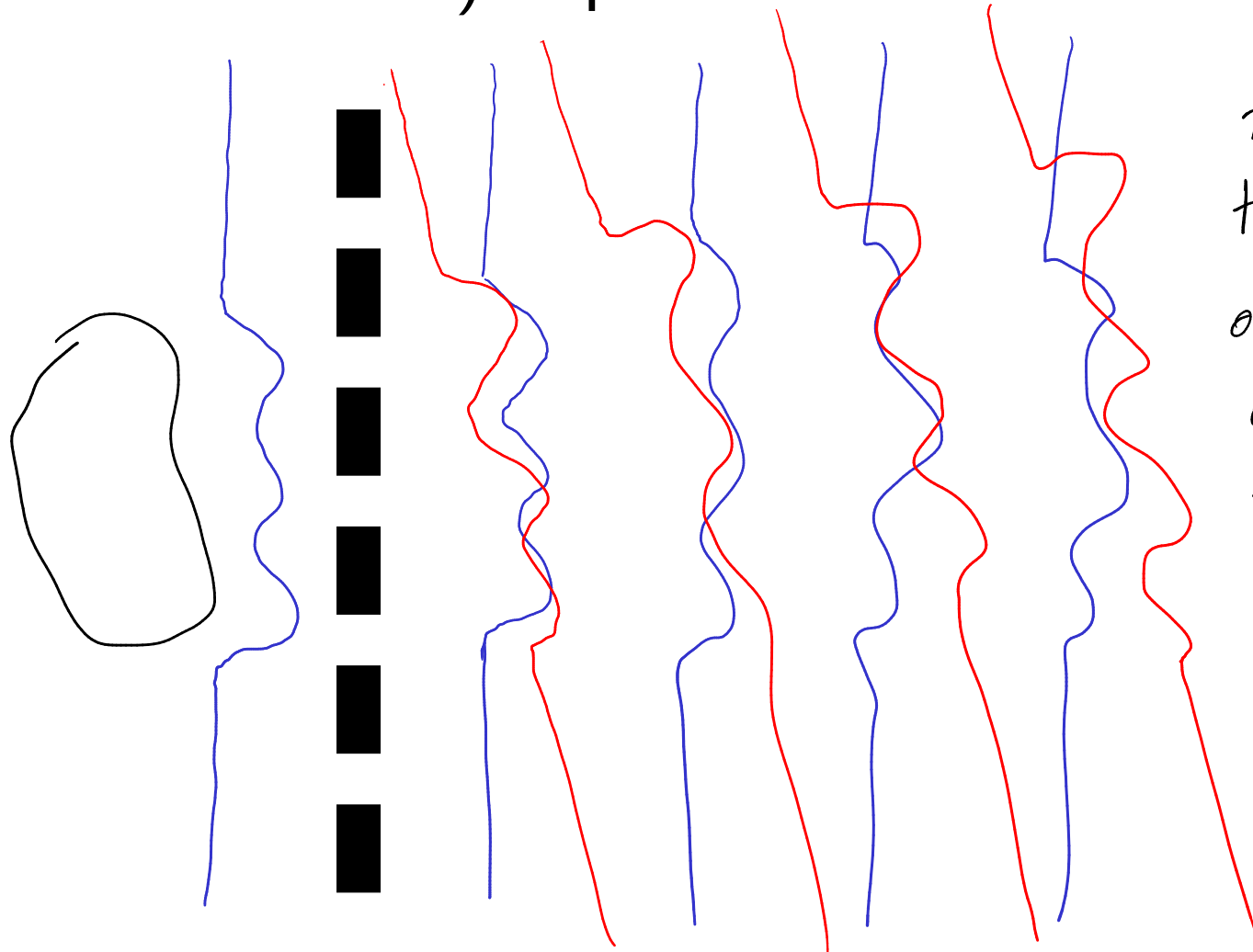
$$g(x) = \sum_{n=-\infty}^{\infty} g_n e^{2\pi i x n / p}$$

$$G(u) = \sum_{n=-\infty}^{\infty} g_n \delta(u - \frac{n}{p})$$



Grating interferometry

Observing the interference between two (slightly offset) copies of the same sample.



the wavefield passed the grating is made of multiple copies of the incident wavefield travelling in different directions

$$\sin \theta = \frac{q_x}{k} = \frac{2\pi n}{2\pi} \frac{p}{\lambda}$$
$$= n \frac{\lambda}{p}$$

for $n=1$, the lateral shift between images = $z \sin \theta = \frac{\lambda z}{p}$

Grating interferometry

Observing the interference between two (slightly offset) copies of the same sample.

e.g. if only orders ± 1 are relevant: $\leftarrow g_0 = 0$

↙ exit of grating

$$\Psi(\vec{r}; z=0) = \Psi_0(\vec{r}) \cdot g(\vec{r})$$

$$\Psi(\vec{r}; z) = \mathcal{F}^{-1} \left\{ \mathcal{F} \left\{ \Psi_0(\vec{r}) g(\vec{r}) \right\} e^{-i\pi u^2 \lambda z} \right\}$$

$$= \mathcal{F}^{-1} \left\{ \underline{\Psi}_0(\vec{u}) * G(\vec{u}) e^{-i\pi u^2 \lambda z} \right\}$$

$$= \mathcal{F}^{-1} \left\{ \Psi_0(\vec{u}) * \left(g_1 \delta(u - \frac{1}{p}) + g_{-1} \delta(u + \frac{1}{p}) \right) e^{-i\pi u^2 \lambda z} \right\}$$

$$= \mathcal{F}^{-1} \left\{ g_1 \Psi_0\left(\vec{u} - \frac{\hat{x}}{p}\right) + g_{-1} \Psi_0\left(\vec{u} + \frac{\hat{x}}{p}\right) e^{-i\pi u^2 \lambda z} \right\}$$

$$\mathcal{F}^{-1} \left\{ \psi_0 \left(\vec{u} - \frac{\hat{x}}{p} \right) e^{-\pi i u^2 \lambda z} \right\} \quad \vec{u}' = \vec{u} - \frac{\hat{x}}{p}$$

$$u^2 = \left(\vec{u}' + \frac{\hat{x}}{p} \right)^2 = u'^2 + \frac{1}{p^2} + 2 \frac{u'_x}{p}$$

$$= \mathcal{F}^{-1} \left\{ \psi_0(\vec{u}') e^{-i\pi \lambda z \left(u'^2 + \frac{1}{p^2} + 2 \frac{u'_x}{p} \right)} \right\}$$

$$e^{-\frac{i\pi \lambda z}{p^2}} \underbrace{\int d^2 u' \psi_0(u') e^{-i\pi \lambda z u'^2}}_{e^{-2\pi i \frac{\lambda z}{p} u'_x}} \underbrace{e^{2\pi i \left(\vec{u}' + \frac{\hat{x}}{p} \right) \cdot \vec{r}}}_{e^{2\pi i \vec{u}' \cdot \left(\vec{r} - \frac{\lambda z}{p} \hat{x} \right)} \cdot e^{\frac{2\pi i x}{p}}}$$

$$= e^{-\frac{i\pi \lambda z}{p^2}} g_1 e^{\frac{2\pi i x}{p}} \psi_0 \left(\vec{r} - \frac{\lambda z}{p} \hat{x}; z \right)$$

$$\psi(\vec{r}; z) = e^{-\frac{i\pi \lambda z}{p^2}} \left(g_1 e^{\frac{2\pi i x}{p}} \psi_0 \left(\vec{r} - \frac{\lambda z}{p}; z \right) + g_{-1} e^{-\frac{2\pi i x}{p}} \psi_0 \left(\vec{r} + \frac{\lambda z}{p}; z \right) \right)$$

$$g_1 = g_{-1}^* \in \mathbb{R} \text{ for simplicity}$$

$$I = |\Psi(\vec{r}; z)|^2 = |g_1|^2 \left(\left| \Psi_0\left(\vec{r} - \frac{\lambda z \hat{x}}{p}; z\right) \right|^2 + \left| \Psi_0\left(\vec{r} + \frac{\lambda z \hat{x}}{p}; z\right) \right|^2 \right) + 2 \operatorname{Re} \left\{ |g_1|^2 e^{4\pi i x / p} \Psi_0\left(\vec{r} - \frac{\lambda z \hat{x}}{p}; z\right) \Psi_0^*\left(\vec{r} + \frac{\lambda z \hat{x}}{p}; z\right) \right\}$$

$$\Psi_0(\vec{r}; z) = a(\vec{r}) e^{i\varphi(\vec{r})}$$

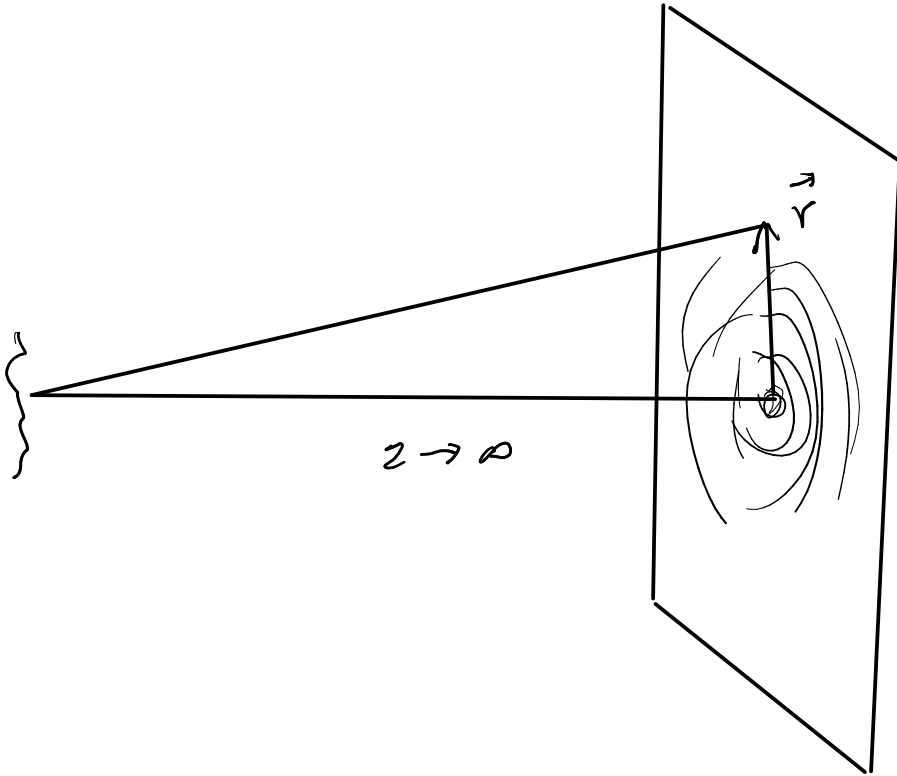
$$I \approx |g_1|^2 \left(\underbrace{a^2\left(\vec{r} - \frac{\lambda z}{p}\right) + a^2\left(\vec{r} + \frac{\lambda z}{p}\right)}_{\approx 2a^2(\vec{r})} + \underbrace{2a\left(\vec{r} + \frac{\lambda z}{p}\right)a\left(\vec{r} - \frac{\lambda z}{p}\right)}_{\approx 2a^2(\vec{r})} |g_1|^2 \underbrace{\cos\left(\varphi\left(\vec{r} + \frac{\lambda z}{p}\right) - \varphi\left(\vec{r} - \frac{\lambda z}{p}\right) + \frac{4\pi x}{p}\right)}_{\approx \frac{2\lambda z}{p} \frac{\partial \varphi}{\partial x}} \right)$$

$$I(\vec{r}) \approx 2|g_1|^2 a^2(\vec{r}) \left(1 + \cos\left(\frac{2\lambda z}{p} \frac{\partial \varphi}{\partial x} + \frac{4\pi x}{p}\right) \right)$$

analysis yields $a^2(\vec{r})$ and $\frac{\partial \varphi}{\partial x} \rightarrow$ "Differential phase contrast"

Far-field diffraction

The Fraunhofer regime

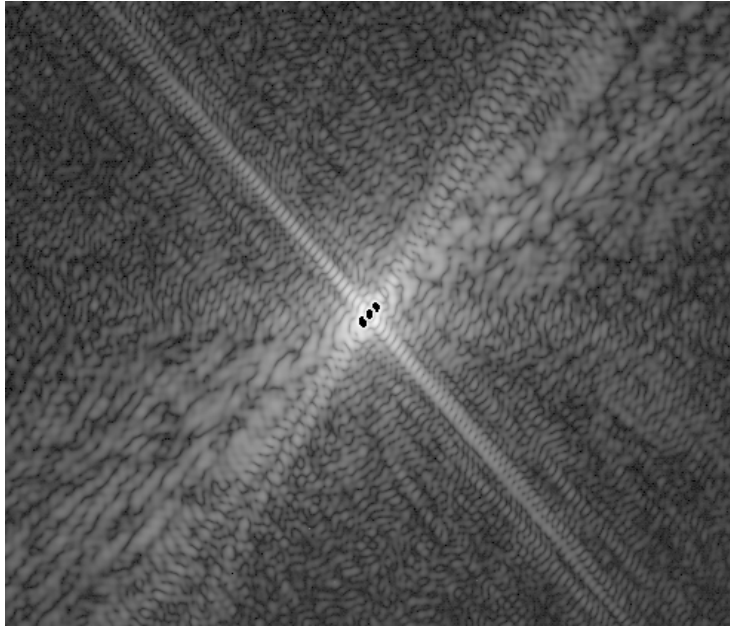


$$\frac{\vec{r}}{z} = \frac{\vec{q}}{R} = \lambda \vec{u}$$

$$|\psi(\vec{r}; z \rightarrow \infty)|^2 \propto |\mathcal{F}\psi|^2 \left(\vec{u} = \frac{\vec{r}}{\lambda z} \right)$$

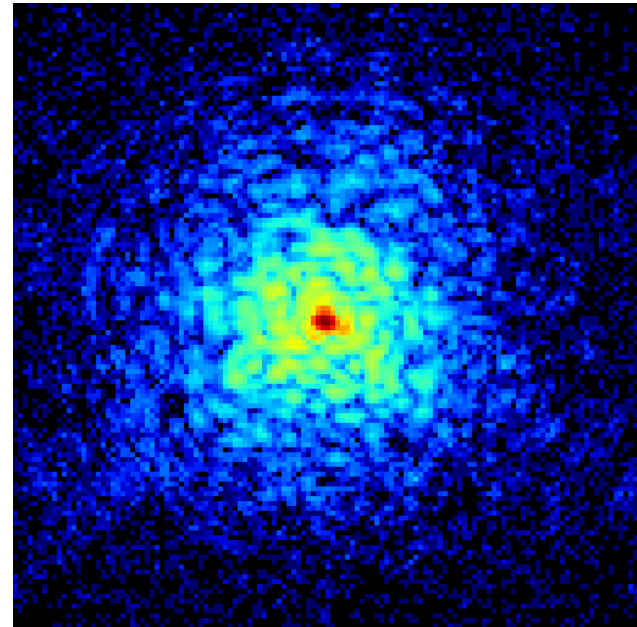
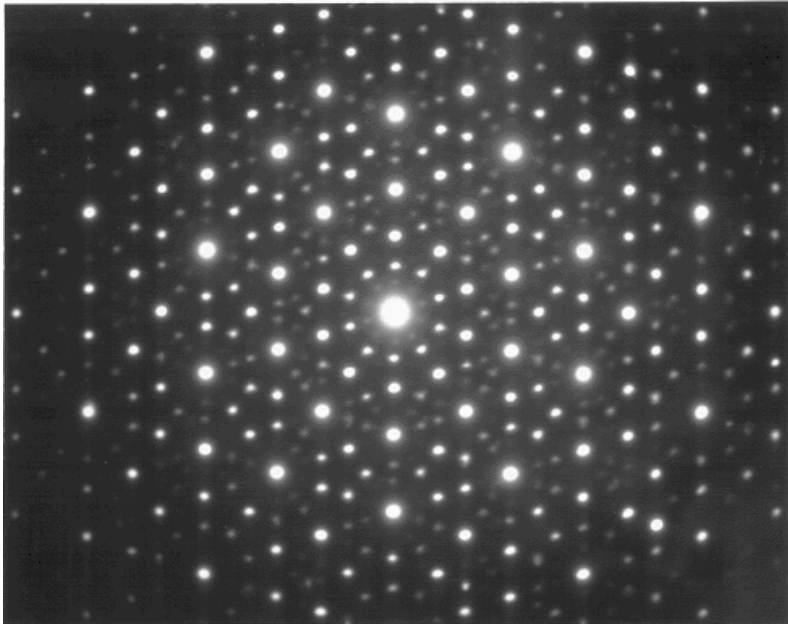
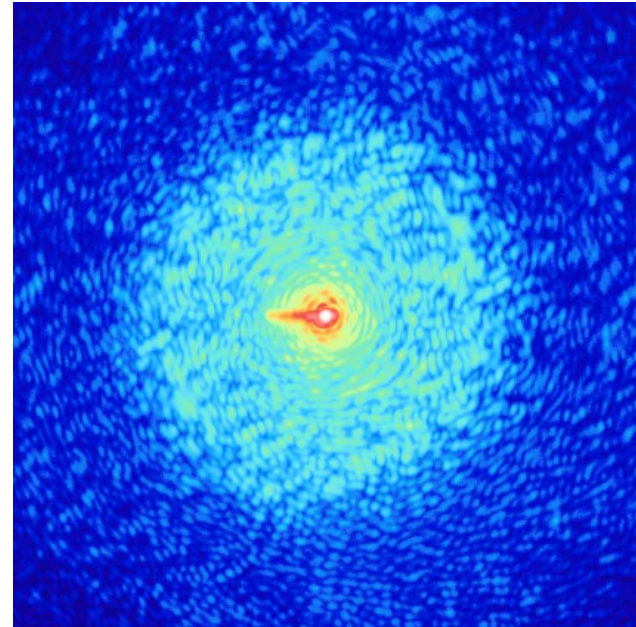
$$\downarrow$$
$$I(\vec{u})$$

Diffraction patterns



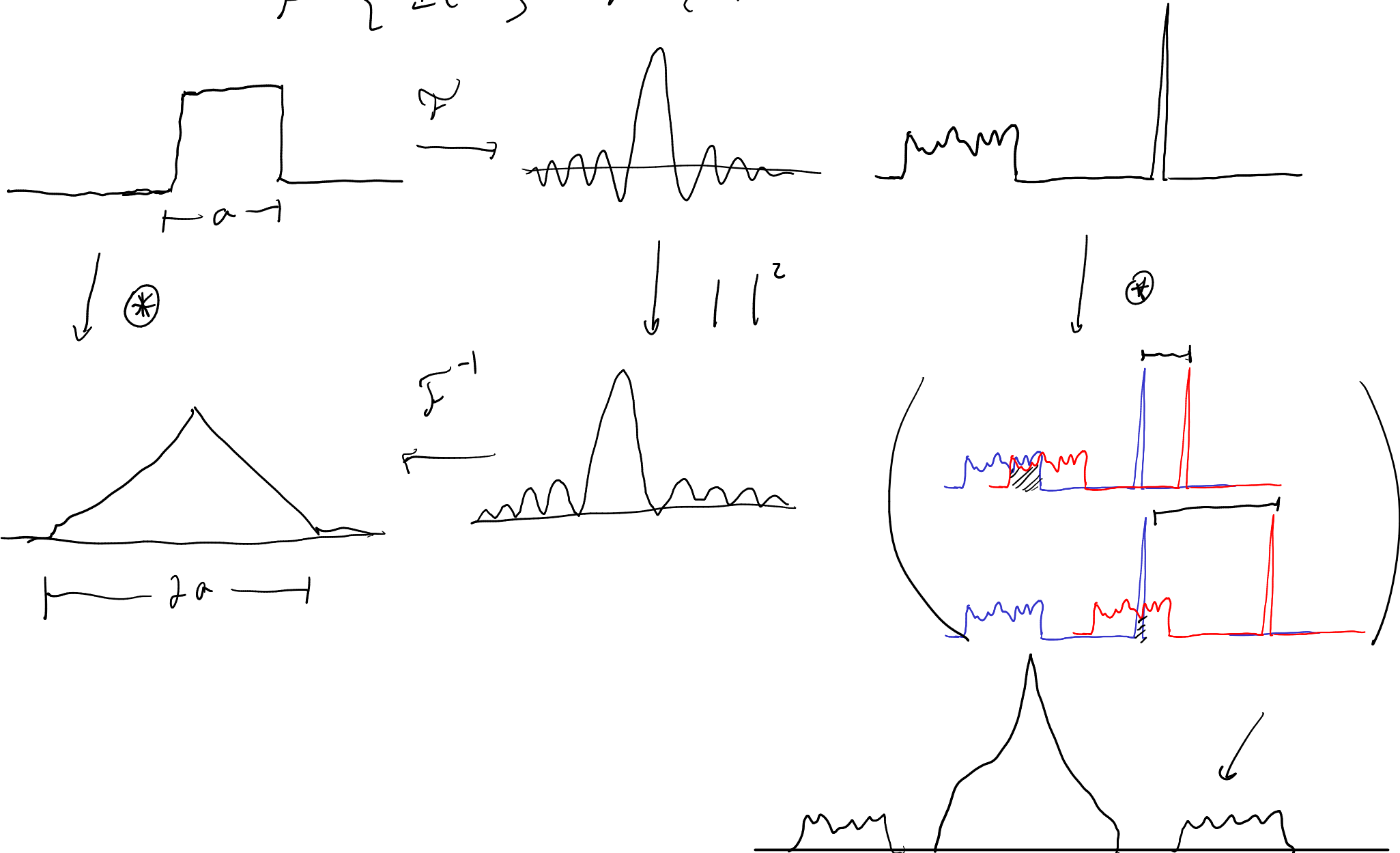
speckles

(Bragg) peaks



Diffraction and autocorrelation

$$\mathcal{F}^{-1}\{I(u)\} = \mathcal{F}^{-1}\{\psi(\vec{u}) \cdot \psi^*(\vec{u})\} = \psi(\vec{r}) \otimes \psi(\vec{r})$$



Fourier transform holography

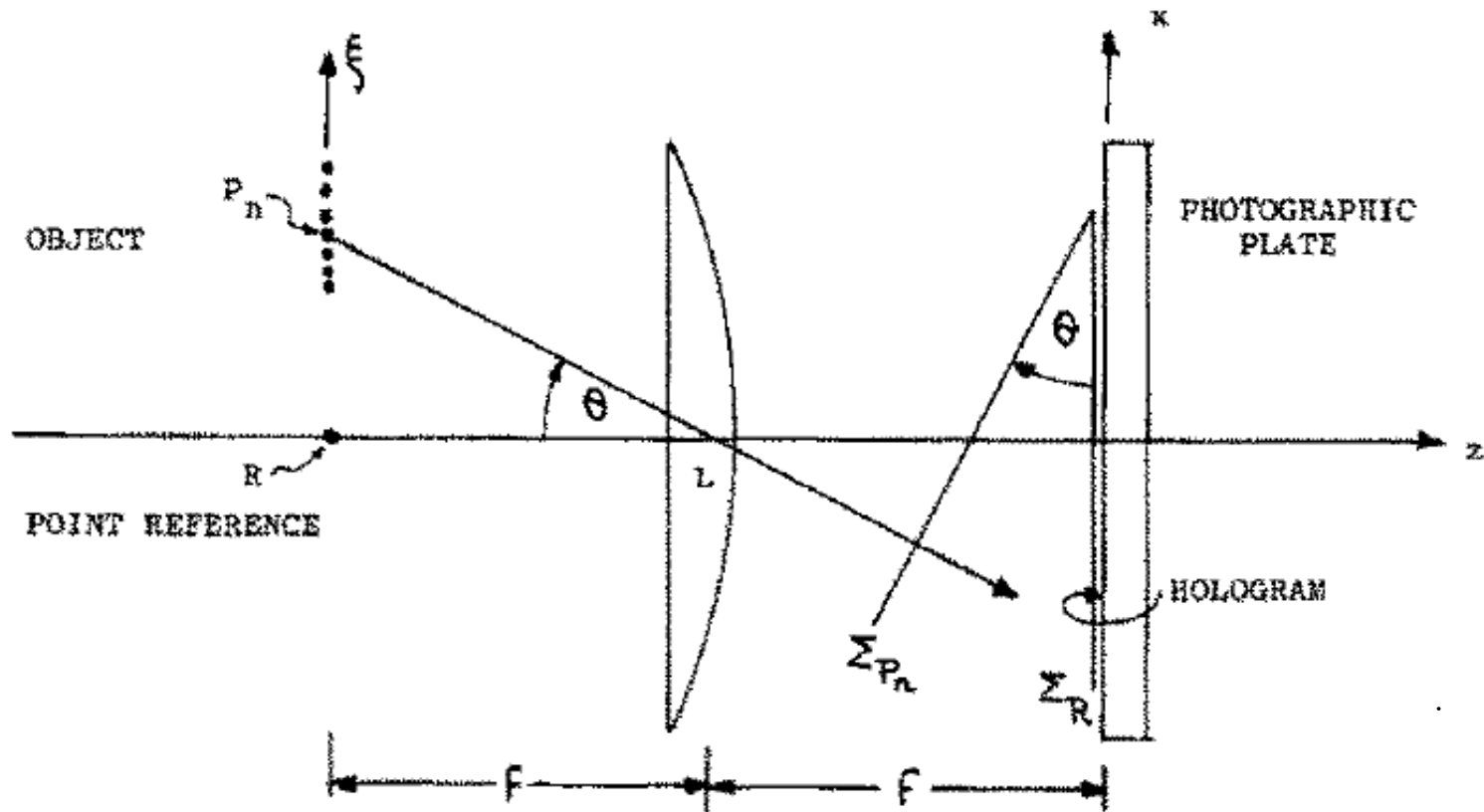
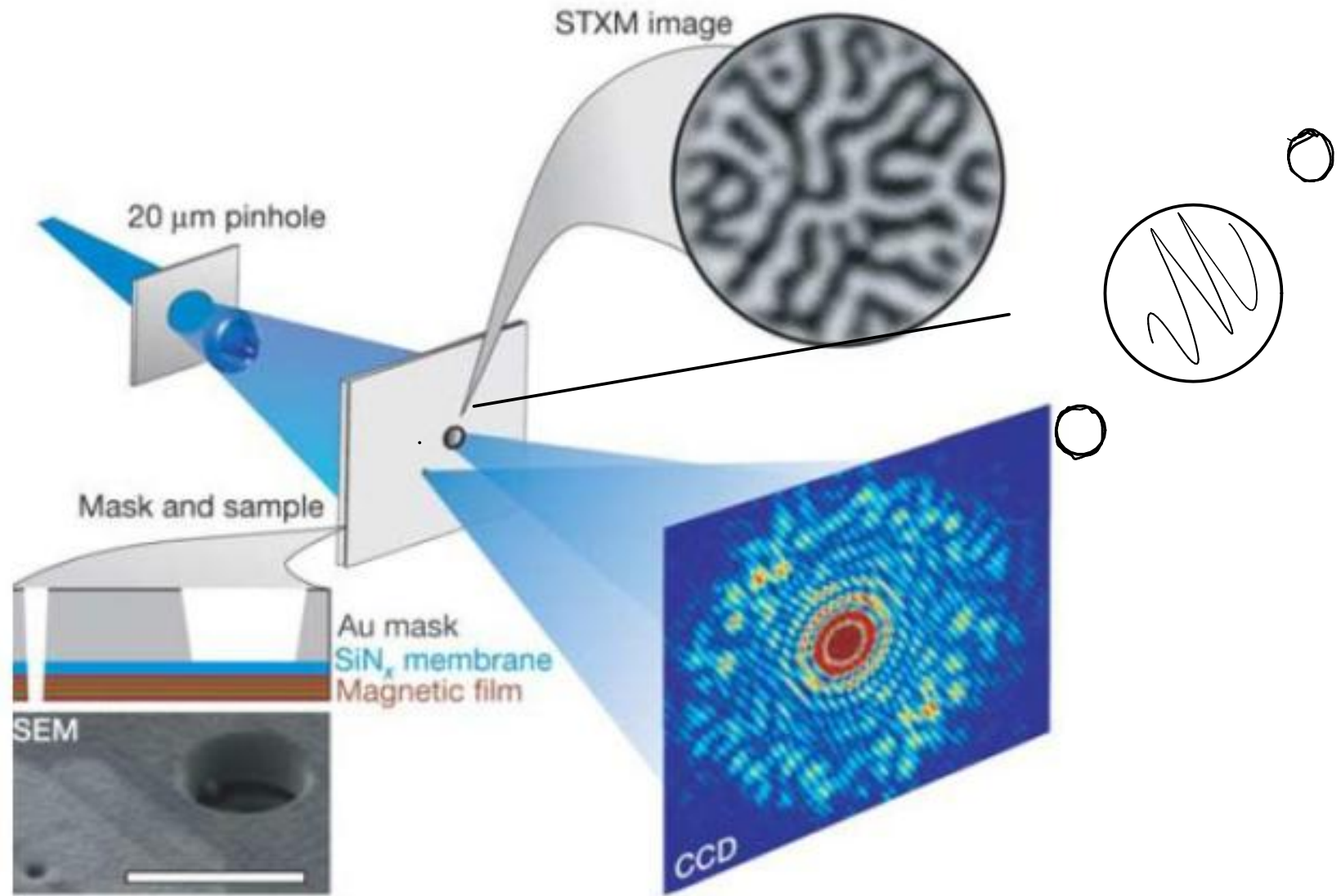


Fig. 1. Recording of a Fourier-transform hologram with a lens L . Σ_R = reference wavefront.

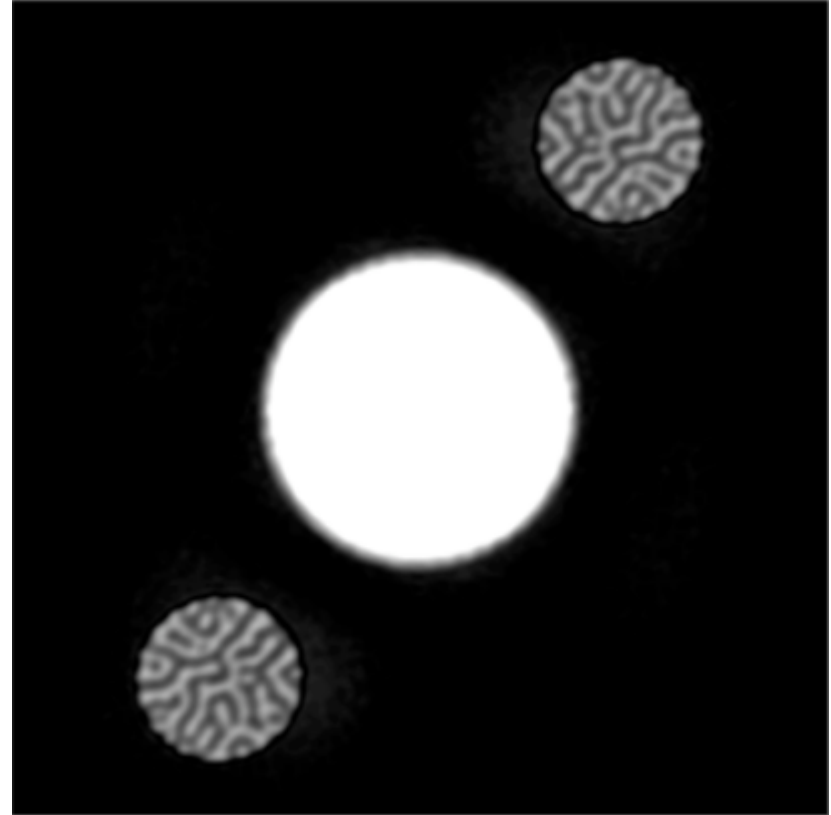
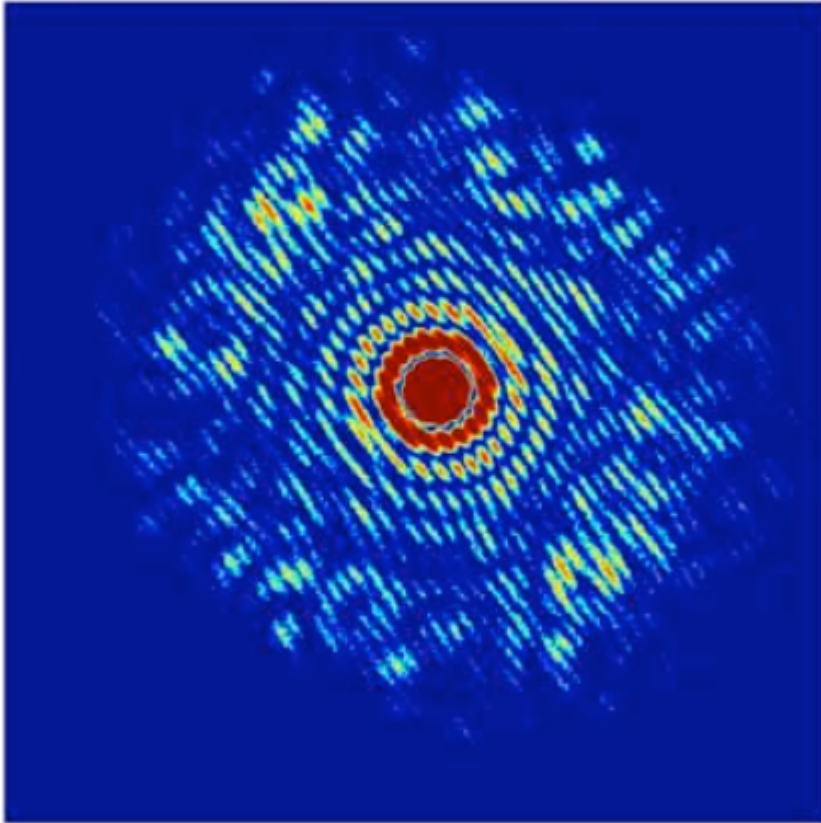
Source: G. Stroke, Appl. Phys. Lett. **6**, 201-203 (1965).

Fourier transform holography



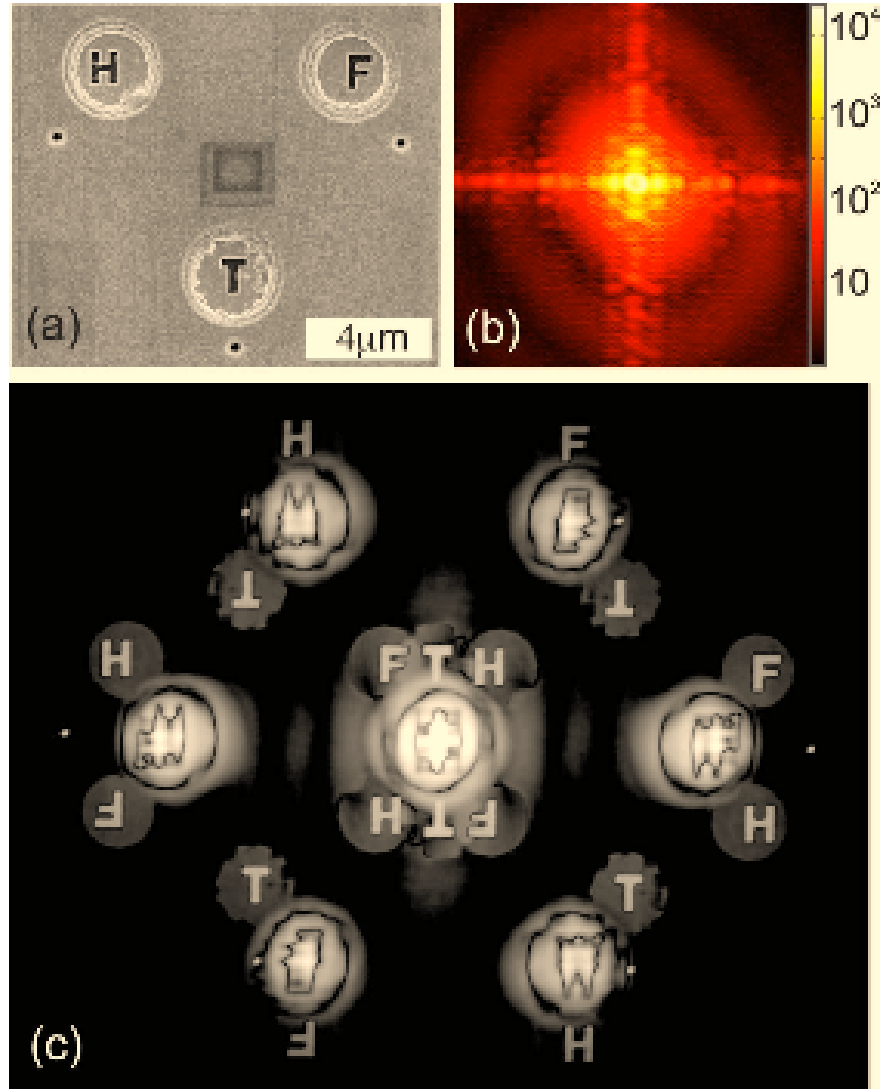
Source: S. Eisebitt et al., Nature **432**, 885-888 (2004).

Fourier transform holography



Fourier transform holography

Multiple references



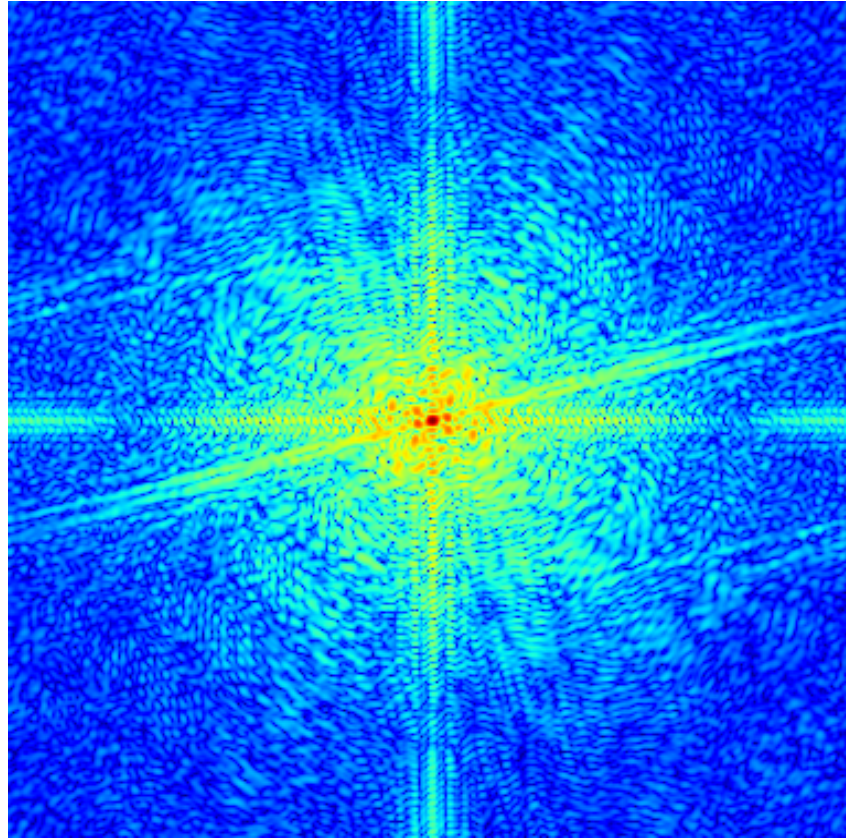
Another similar method:

sharp corner

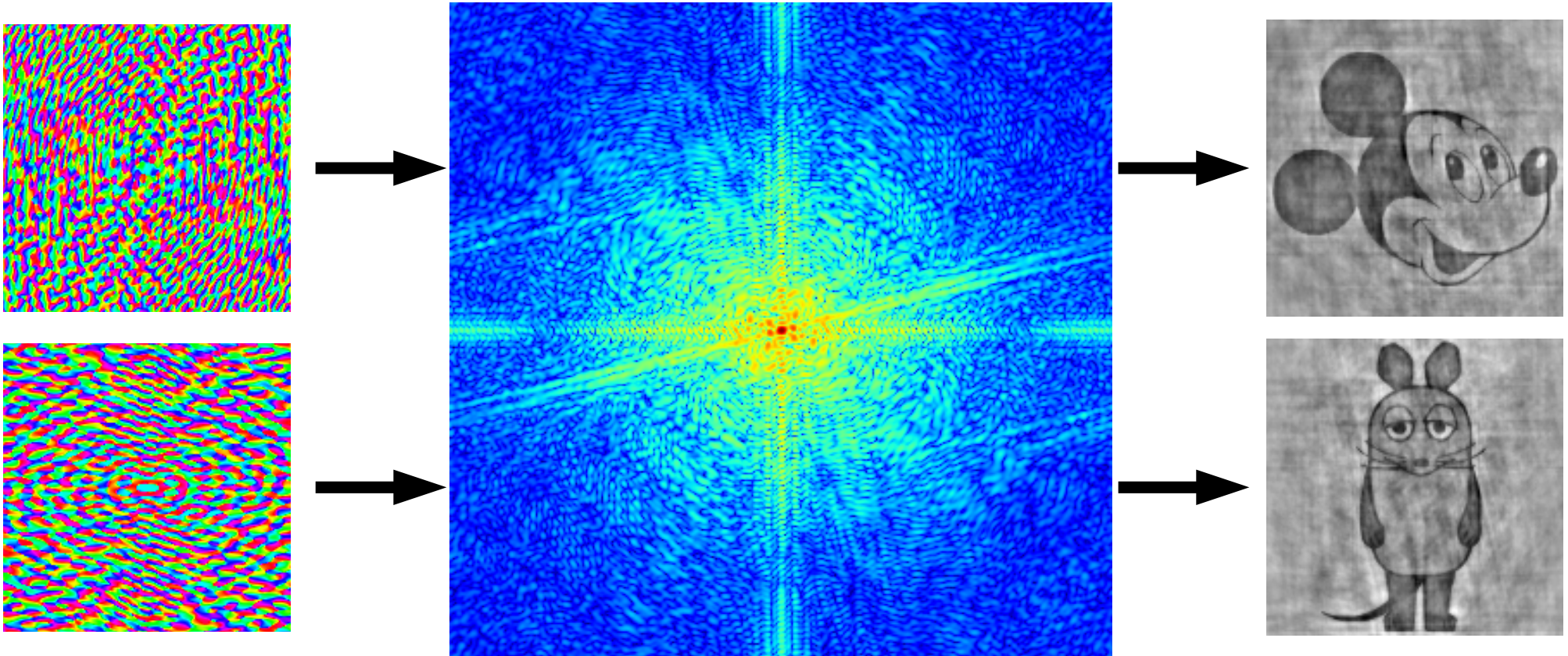
"HERALDO"

Source: W. Schlotter et al., Opt. Lett. **21**, 3110-3112 (2006).

Coherent diffractive imaging

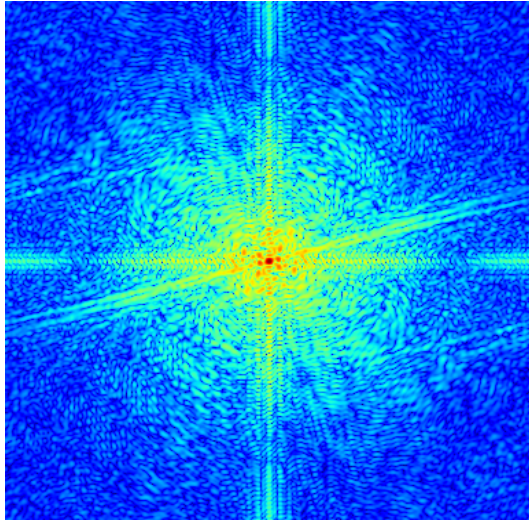


The phase problem

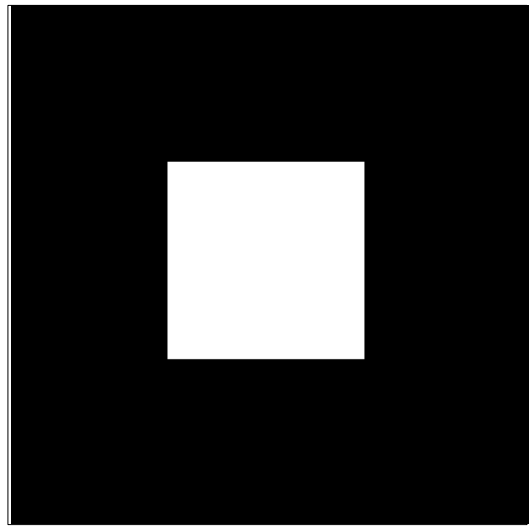


Coherent diffractive imaging

Two constraints

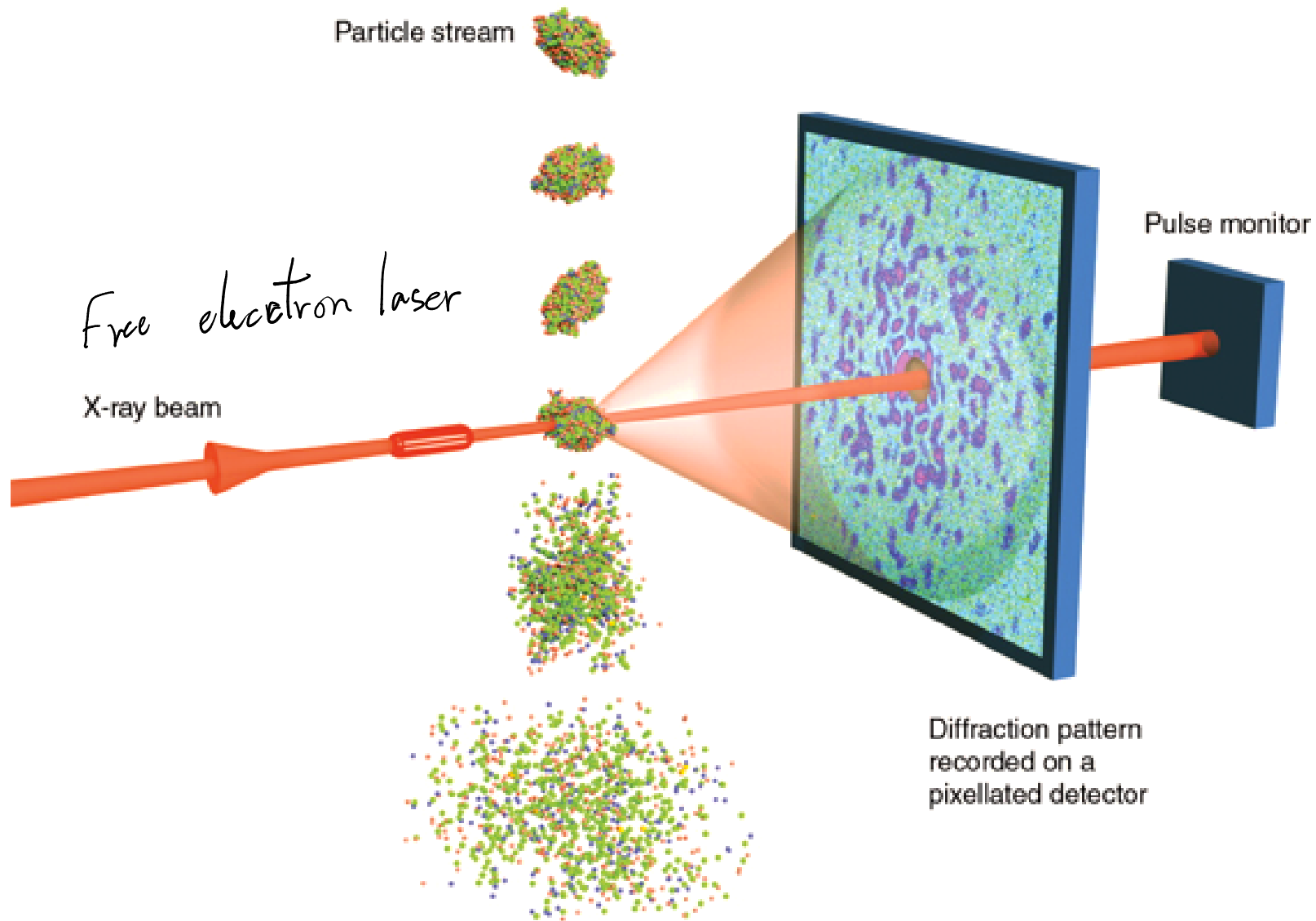


1. Solution is consistent with measured Fourier amplitudes



2. Solution is isdated

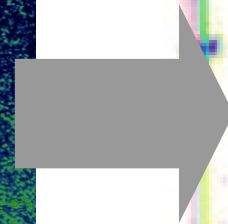
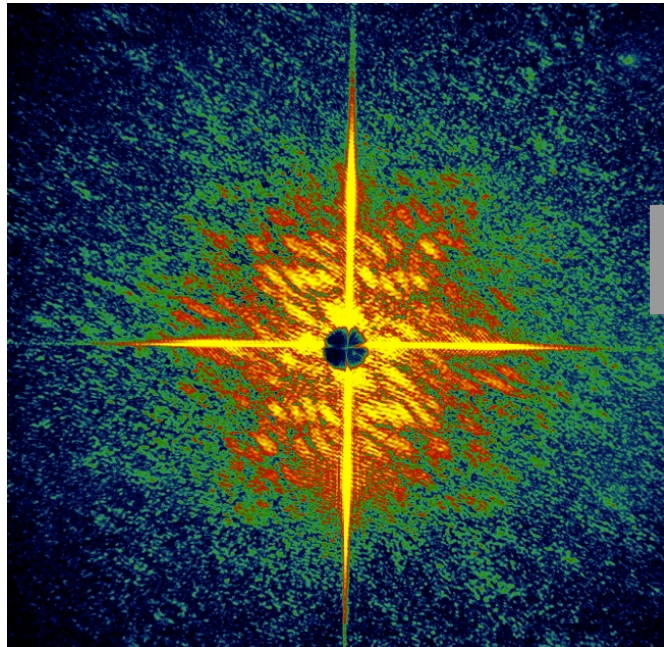
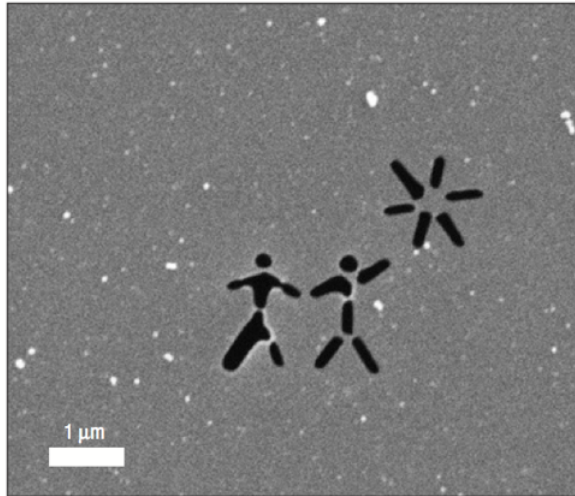
Radiation damage limits on radiation



R. Neutze *et al*, Nature **406**, 752 (2000)

K. J. Gaffney *et al*, Science **316**, 1444 (2007)

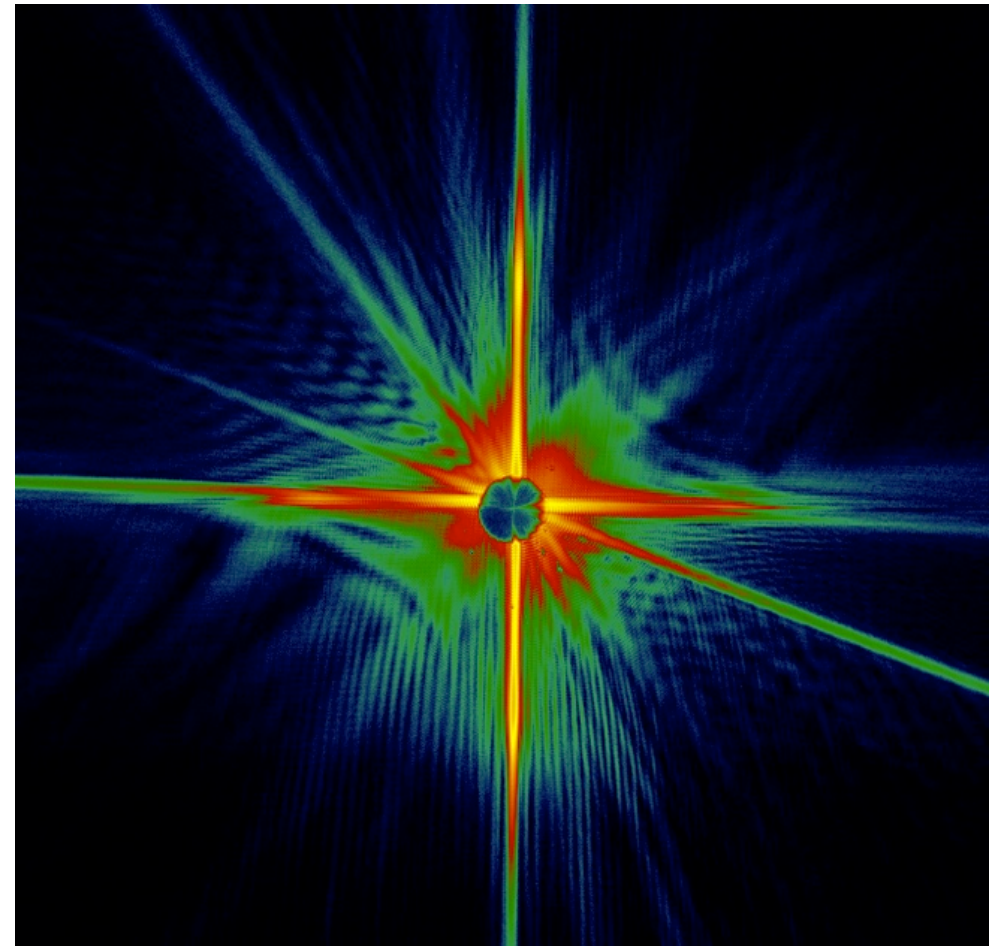
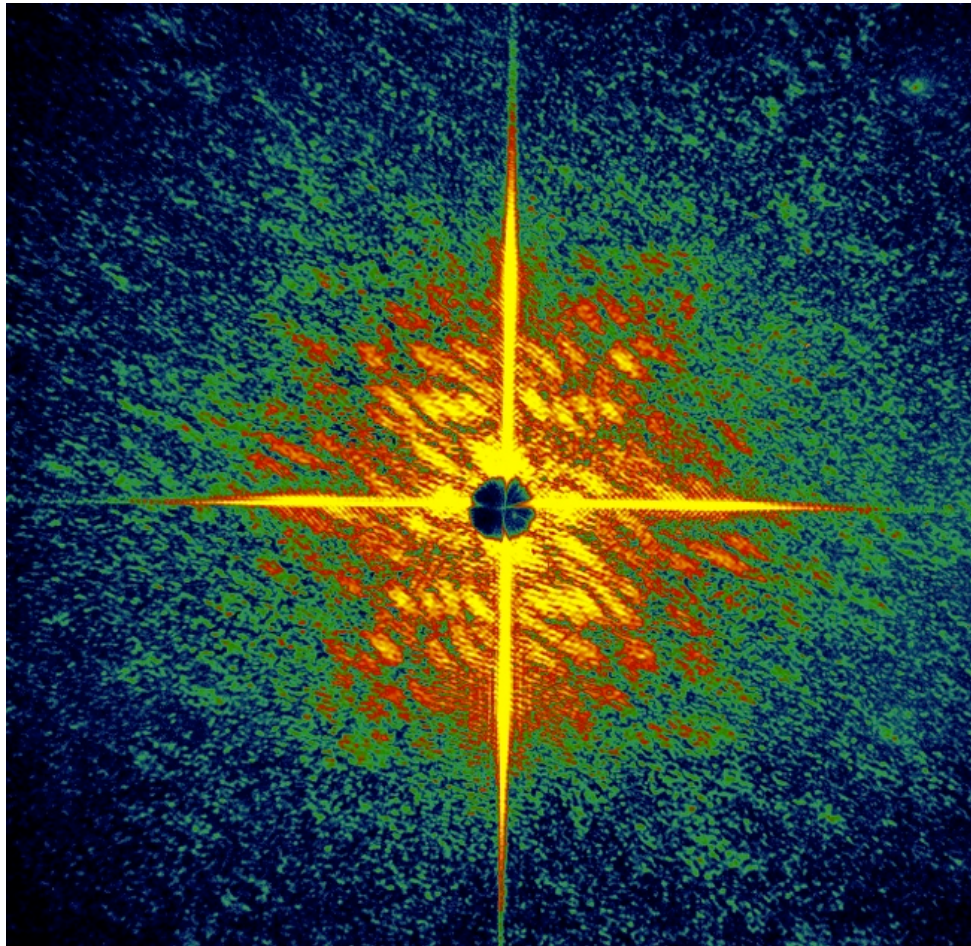
“Diffraction before destruction”



H. N. Chapman *et al*, Nat. Phys. **2**, 839 (2006)

“Diffraction before destruction”

The imaging pulse vaporized the sample



Ptychography

- Scanning an isolated illumination on an extended specimen
- Measure full coherent diffraction pattern at each scan point
- Combine everything to get a reconstruction

Dynamische Theorie der Kristallstrukturanalyse durch Elektronenbeugung im inhomogenen Primärstrahlwellenfeld

Von R. Hegerl und W. Hoppe

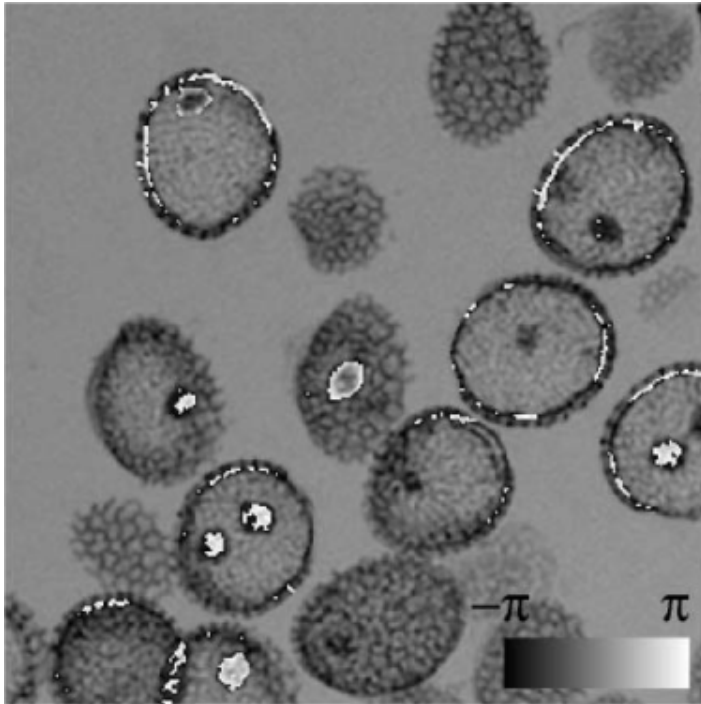
Some time ago a new principle was proposed for the registration of the complete information (amplitudes and phases) in a diffraction diagram, which does not – as does Holography – require the interference of the scattered waves with a single reference wave. The basis of the principle lies in the interference of neighbouring scattered waves which result when the object function $g(x, y)$ is multiplied by a generalized primary wave function $p(x, y)$ in Fourier space (diffraction diagram) this is a convolution of the Fourier transforms of these functions. The above mentioned interferences necessary for the phase determination can be obtained by suitable choice of the shape of $p(x, y)$. To distinguish it from holography this procedure is designated “ptychography” ($\pi\tau v\zeta = \text{fold}$). The procedure is applicable to periodic and aperiodic structures. The relationships are simplest for plane lattices. In this paper the theory is extended to space lattices both with and without consideration of the dynamic theory. The resulting effects are demonstrated using a practical example.

1969 - 1970

Ptychography

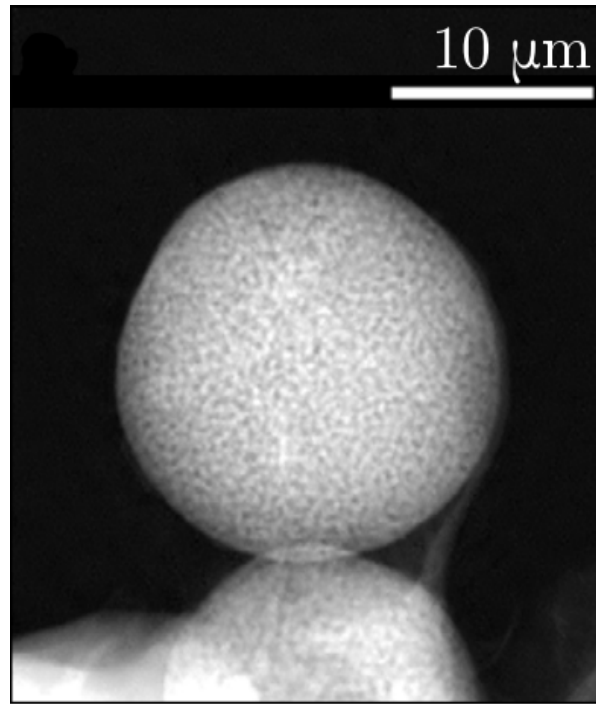
A few examples

Visible light



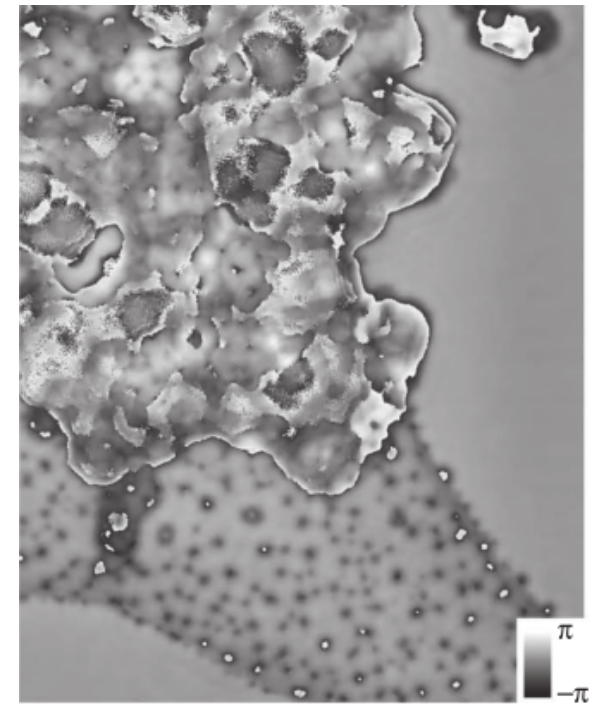
A. Maiden *et al.*, *Opt. Lett.* **35**,
2585-2587 (2010).

X-rays



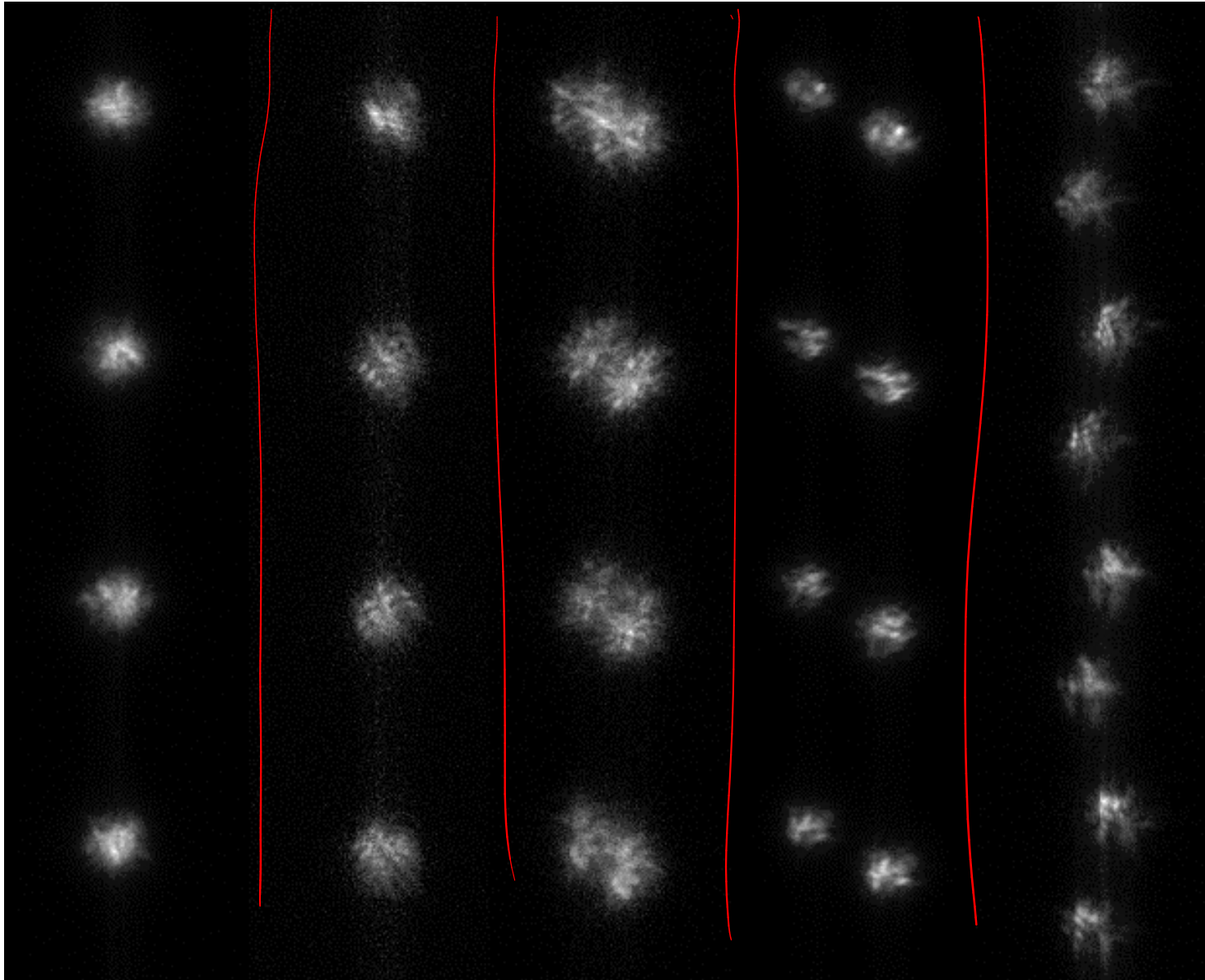
P. Thibault *et al.*, *New J. Phys* **14**,
063004 (2012).

electrons



M. Humphry *et al.*,
Nat. Comm. **3**, 730 (2012).

Speckle imaging in astronomy



*result
of air
turbulence*

Source: <http://www.cis.rit.edu/research/thesis/bs/2000/hoffmann/thesis.html>

Speckle imaging in astronomy

one measurement:

Model

$$I(\vec{r}) = O * P \quad \leftarrow \text{instantaneous point-spread function}$$

$$\tilde{I}(\vec{u}) = \tilde{O} \cdot M \quad \leftarrow \text{MTF}$$

$$|\tilde{I}(\vec{u})|^2 = |\tilde{O}|^2 |M|^2$$

can be modeled from fluid dynamics

average over multiple independent measurements

$$\langle |\tilde{I}(\vec{u})|^2 \rangle = |\tilde{O}|^2 \langle |M|^2 \rangle$$

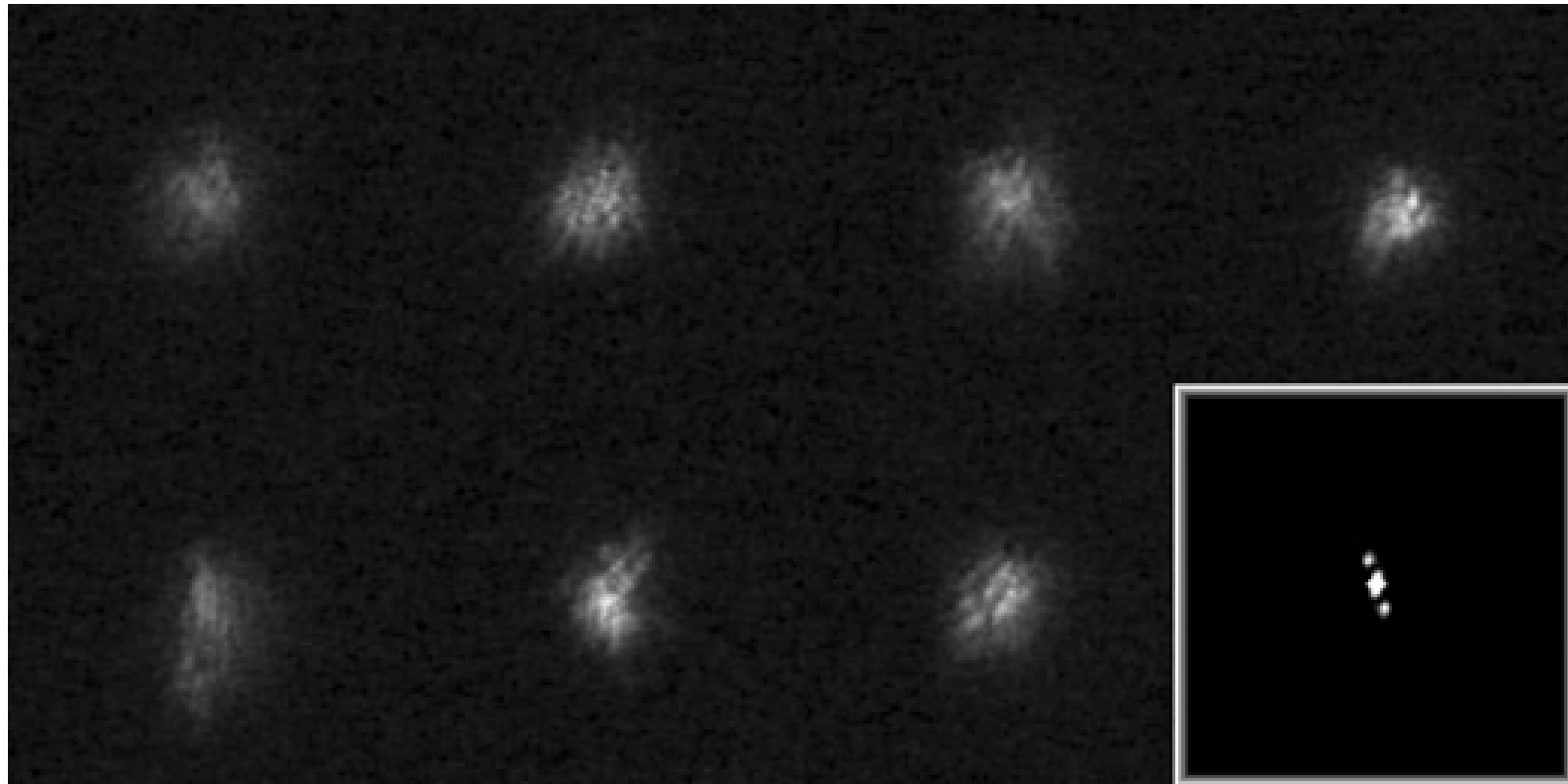
$$|\tilde{O}|^2 \approx \frac{\langle |\tilde{I}|^2 \rangle}{\langle |M_{\text{model}}|^2 \rangle}$$

recovering O from $|\tilde{O}|^2$ same

coherent diffractive imaging

Speckle imaging in astronomy

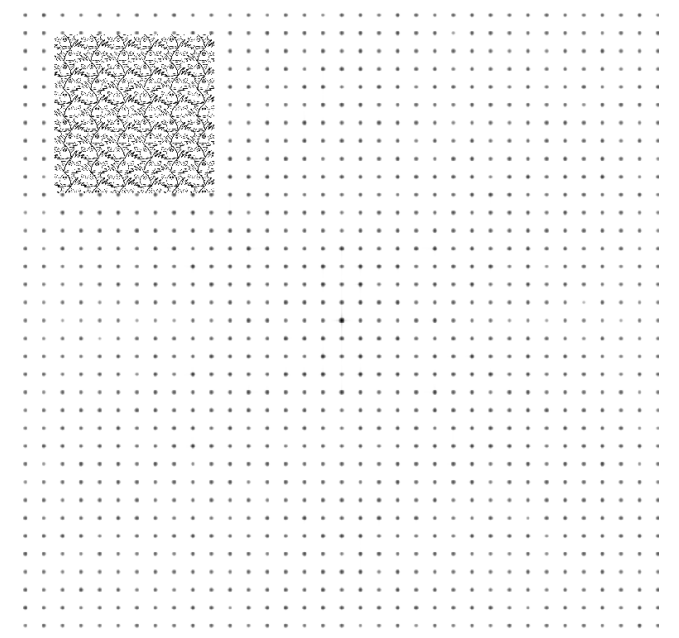
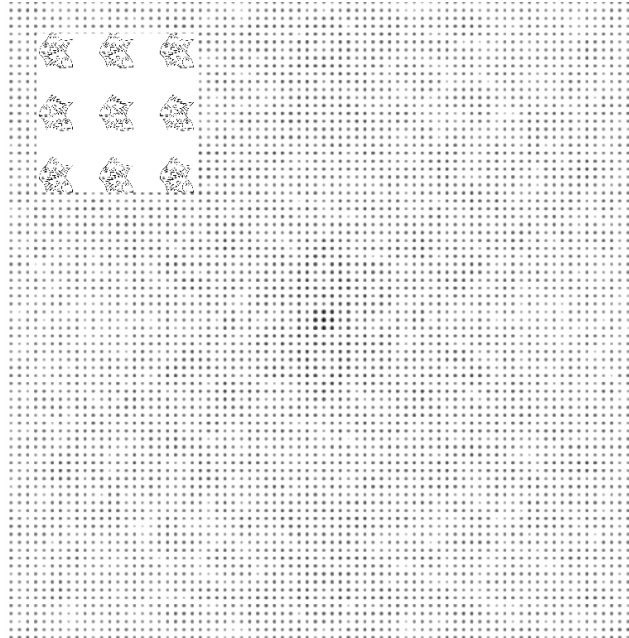
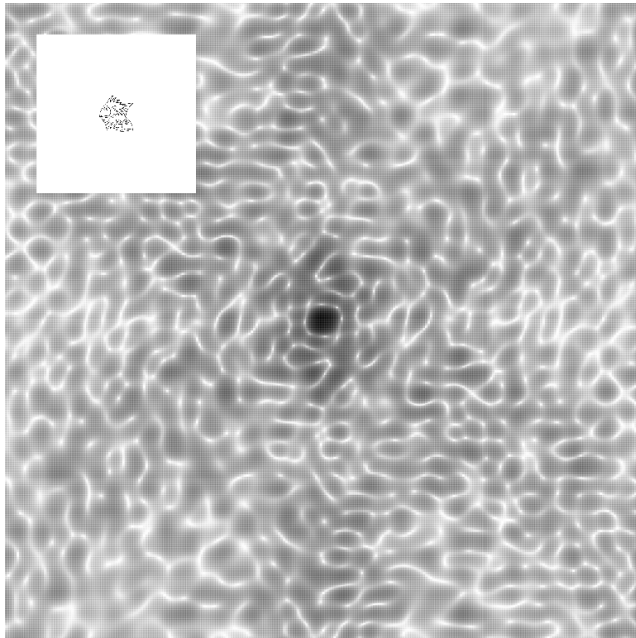
Retrieval of the autocorrelation



Source: <http://www.astrosurf.com/hfosaf/uk/speckle10.htm>

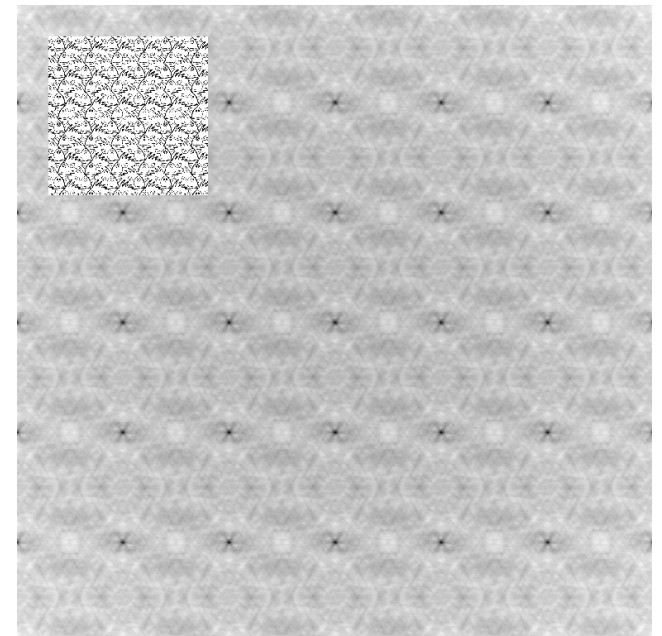
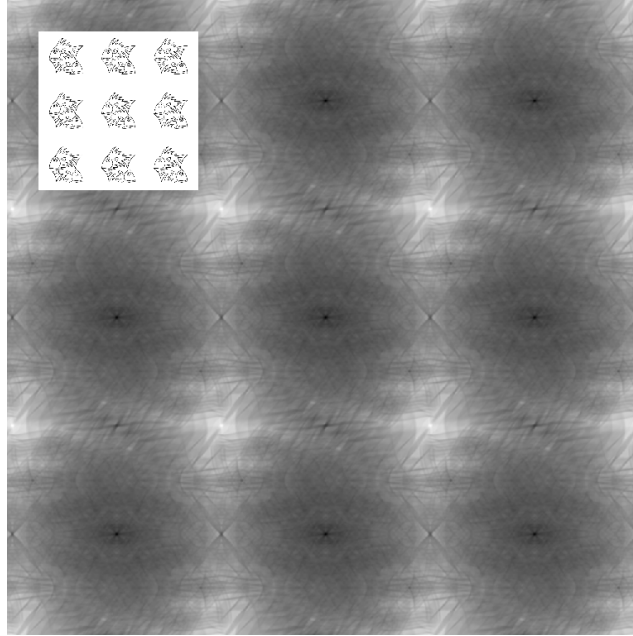
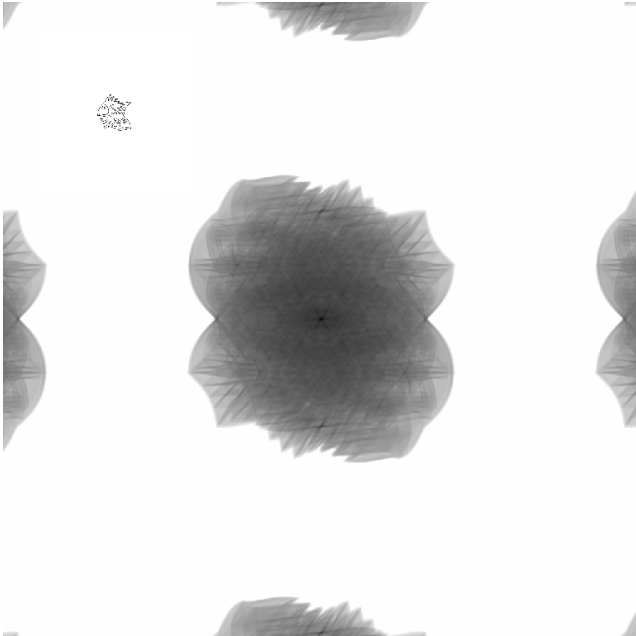
Crystallography

Diffraction by a crystal: Bragg peaks

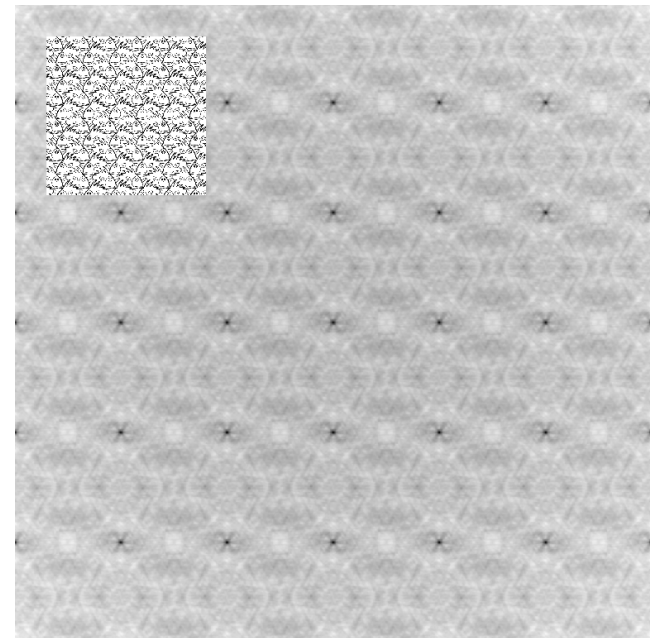
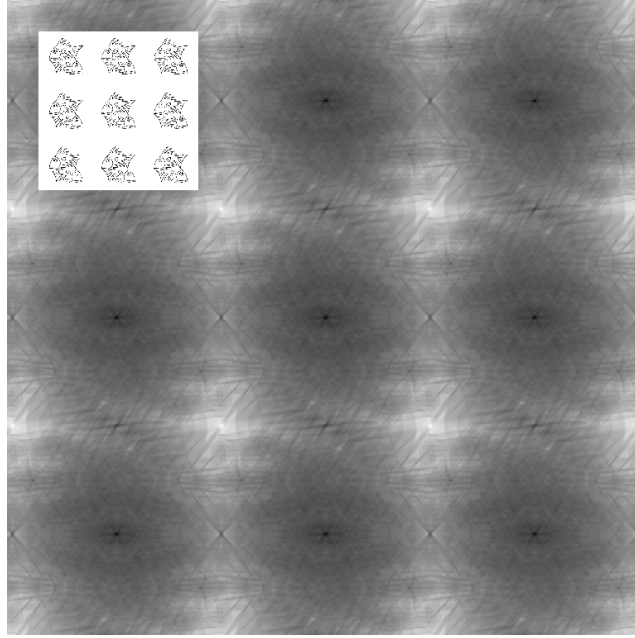
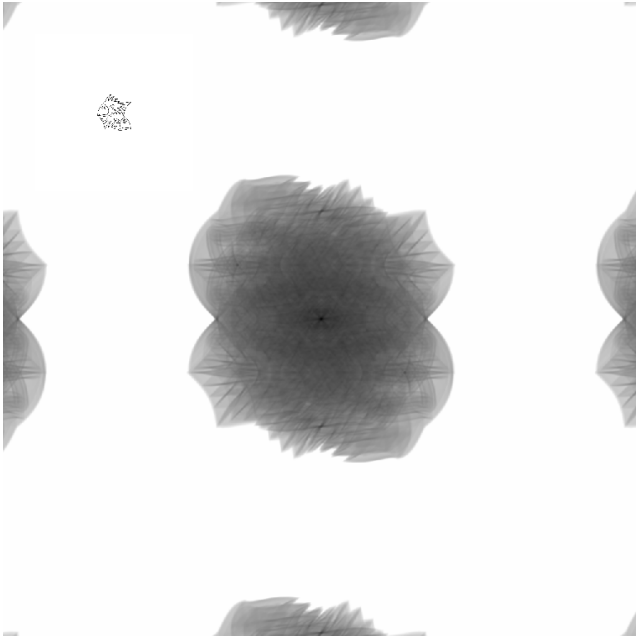


Crystallography

Fourier transform of intensity: autocorrelation



Crystallography

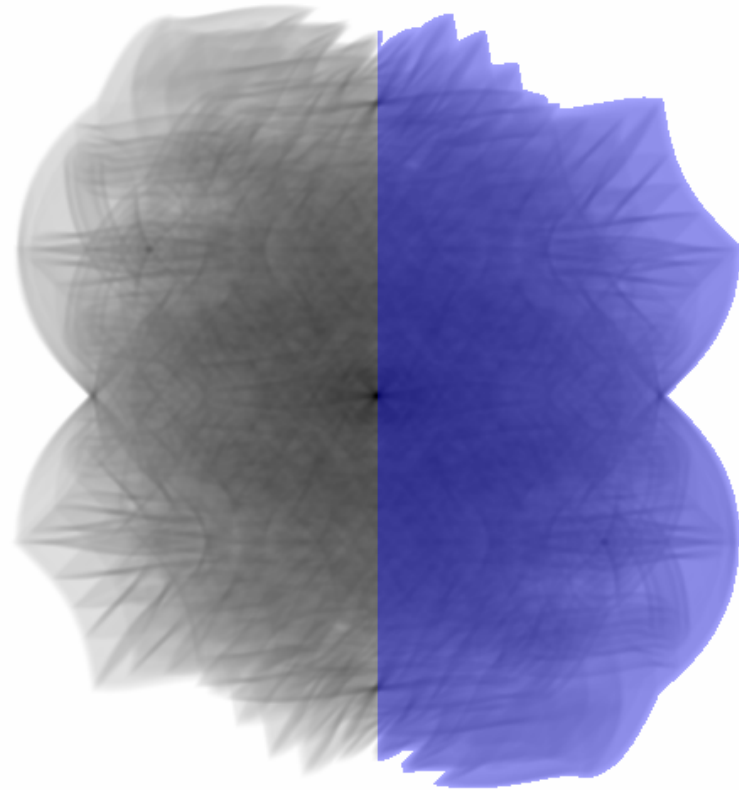


Crystallography

Problem is overconstrained with an isolated sample



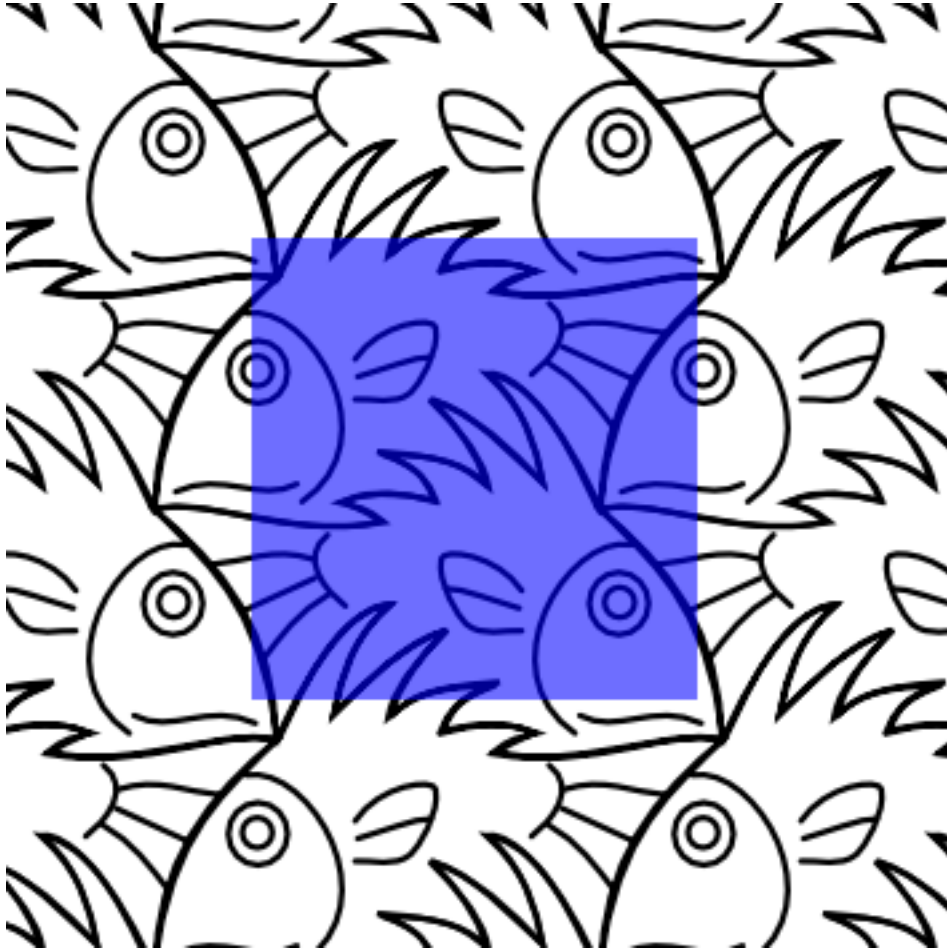
unknowns = N



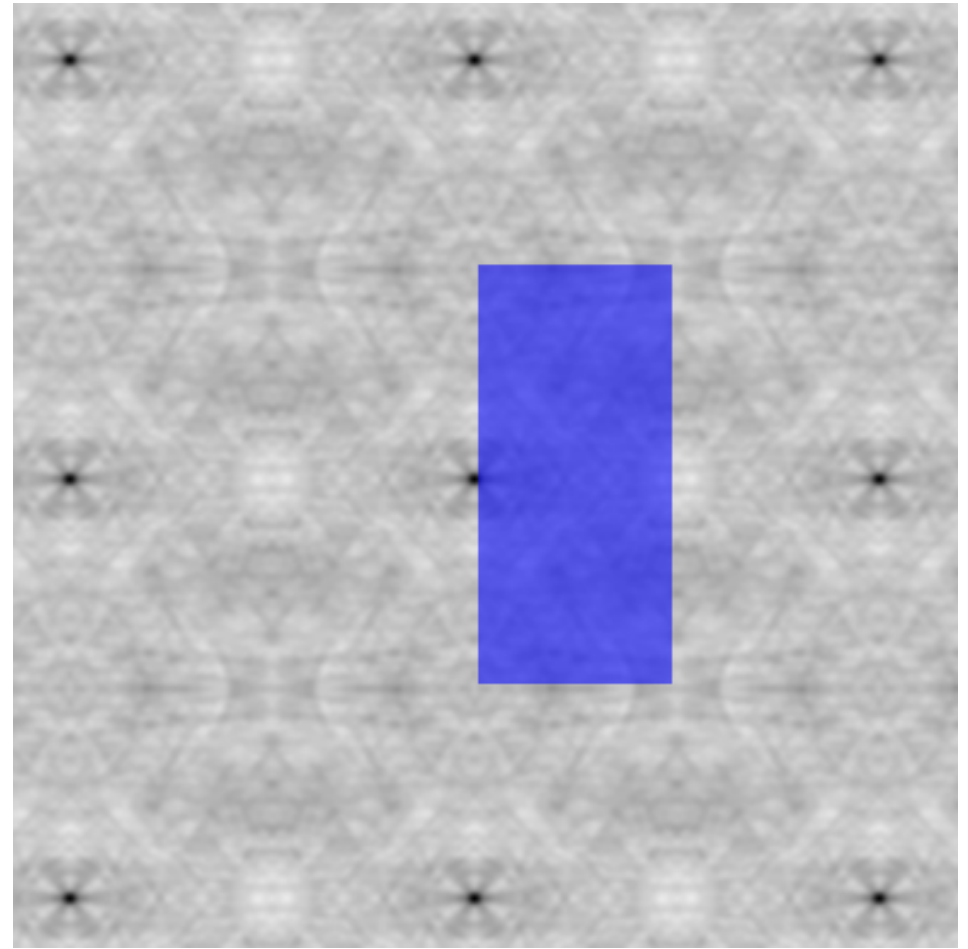
constraints $\geq 2N$

Crystallography

Problem is **underconstrained** with a crystal



unknowns = N



constraints = $N/2$

Crystallography

Structure determination

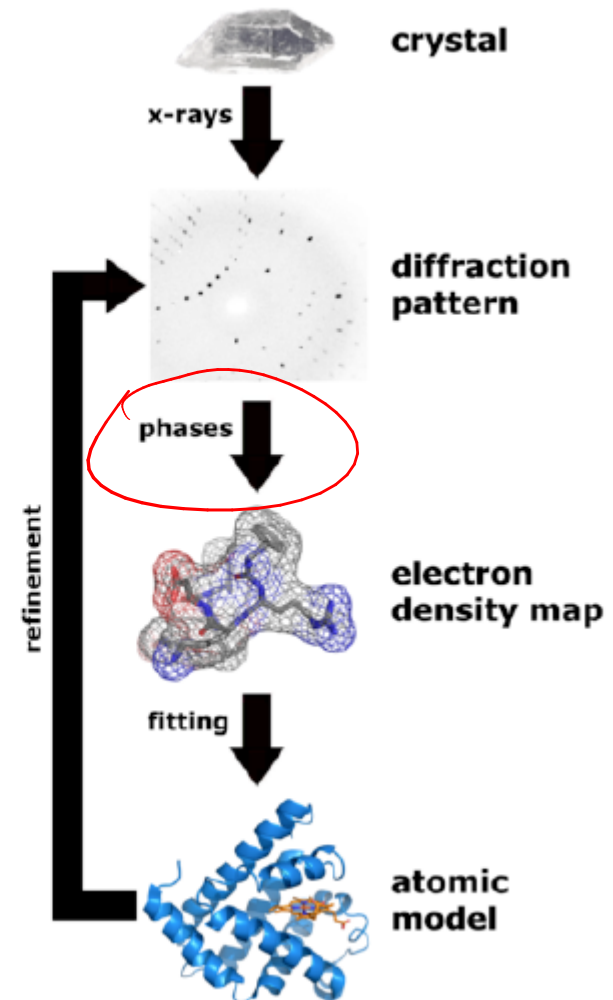
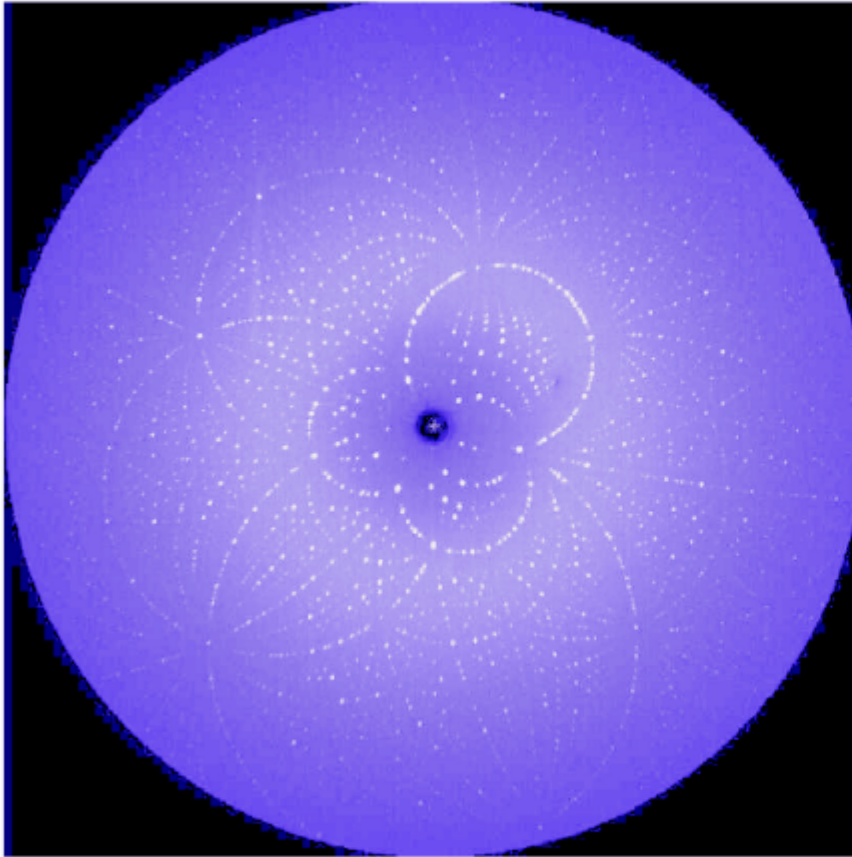


Image from Wikimedia courtesy Thomas Splettstoesser

Crystallography

Structure determination

- Hard problem: few measurements for the number of unknowns
- Luckily: crystals are made of atoms → strong constraint
- Also common: combining additional measurements (SAD, MAD, isomorphous replacement, ...)

Summary

Imaging from far-field amplitudes

- Used when image-forming lenses are unavailable (or unreliable) or to obtain more quantitative images.
- In general difficult because of the phase problem
- Solved with the help of additional information:
 - Strong *a priori* knowledge (e.g. CDI: support)
 - Multiple measurements (e.g. ptychography)