



034IN - FONDAMENTI DI AUTOMATICA - FUNDAMENTALS OF AUTOMATIC CONTROL

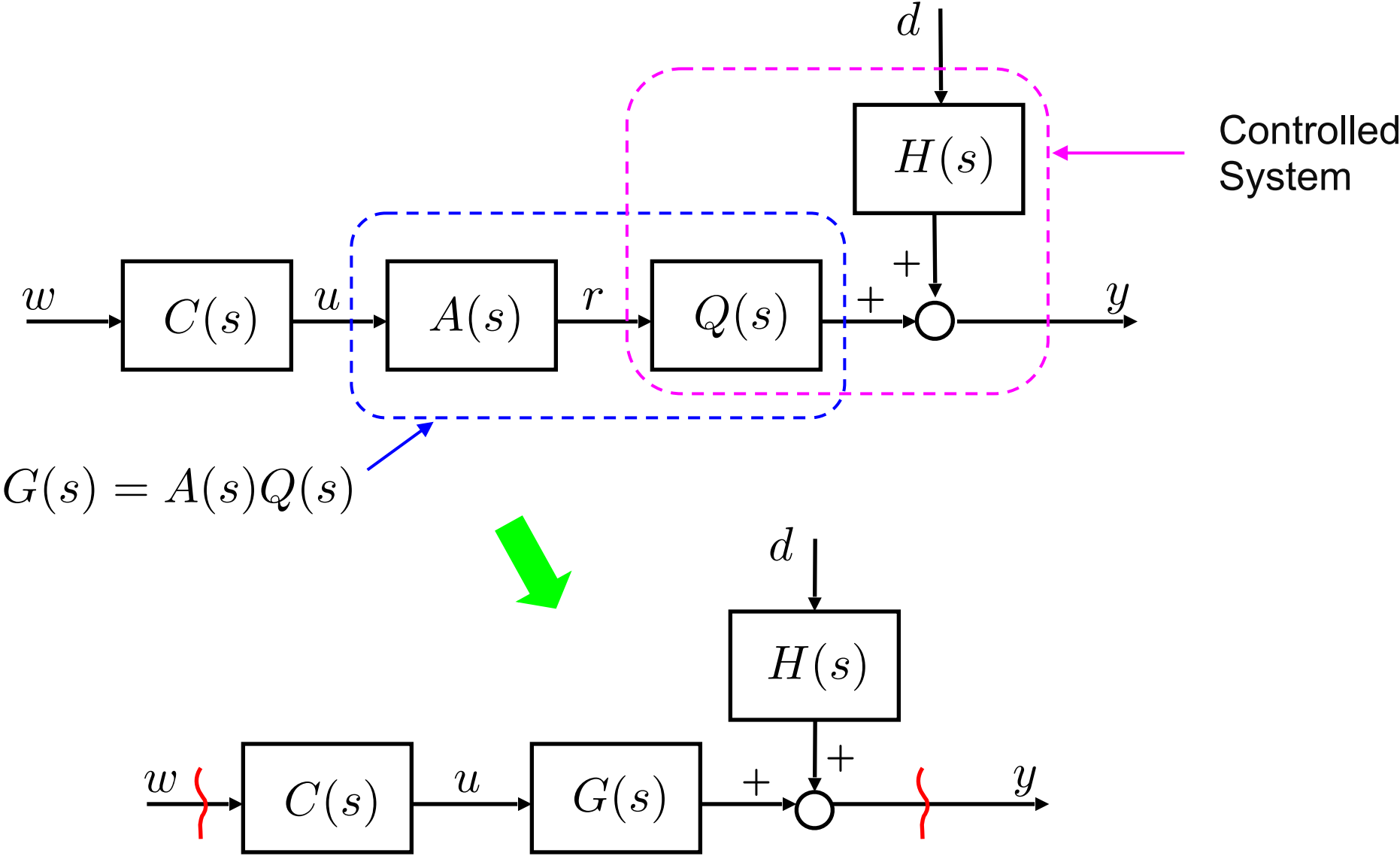
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Part IX: Analysis of Feedback Control Systems

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Open-Loop Control Systems



$$y(t) = w(t), t \geq 0 \quad \longrightarrow \quad \frac{Y(s)}{W(s)} = 1 \quad \longrightarrow \quad C(s)G(s) = 1$$



$C(s) = G(s)^{-1}$ The “ideal” open-loop controller “inverts” the system’s dynamics

Hence:

- pole-zero “cancellations” in the right half-plane may occur causing **unstable hidden dynamics**
- open-loop stabilisation of unstable systems is not possible
- the controller $C(s)$ may result in having **more zeros than poles**, thus not being physically implementable
- **uncertainty** in $G(s)$ makes the ideal performance anyway not achievable

Consider the controlled system $G(s) = \frac{10(1 + s)}{(1 + 2s)(1 + 0.1s)}$

↳ $C_0(s) = \frac{0.1(1 + 2s)(1 + 0.1s)}{1 + s}$

The “ideal” open-loop controller shows two zeros and one pole and hence it is not physically implementable

- Consider a first candidate of physically implementable open-loop controller:

$$C_1(s) = \frac{0.1(1 + 2s)(1 + 0.1s)}{(1 + s)(1 + 0.01s)}$$

↳ $F_1(s) = \frac{Y(s)}{W(s)} = C_1(s)G(s) = \frac{1}{1 + 0.01s}$

Low-pass filter
with bandwidth
 $B_1 \simeq [0, 100]$

- Consider a second **simpler** candidate of physically implementable open-loop controller:

$$C_2(s) = \frac{0.1(1 + 2s)}{1 + s}$$

$$\downarrow F_2(s) = \frac{Y(s)}{W(s)} = C_2(s)G(s) = \frac{1}{1 + 0.1s}$$

Low-pass filter
with bandwidth
 $B_2 \simeq [0, 10]$

- Consider a third **much simpler (not even dynamic)** candidate of physically implementable open-loop controller:

$$C_3(s) = 0.1$$

$$\downarrow F_3(s) = \frac{Y(s)}{W(s)} = C_3(s)G(s) = \frac{1 + s}{(1 + 2s)(1 + 0.1s)}$$

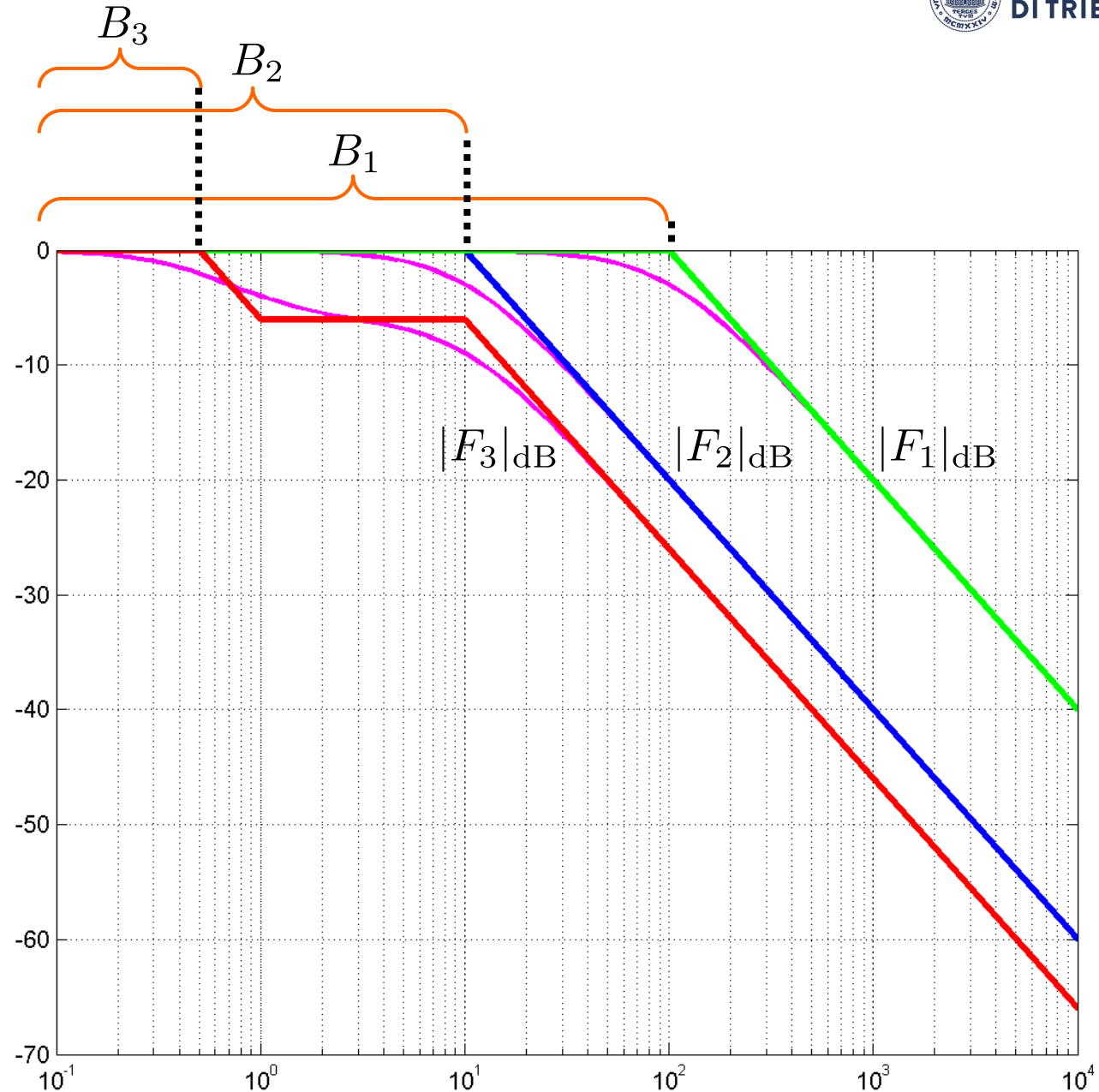
Low-pass filter
with bandwidth
 $B_3 \simeq [0, 0.5]$

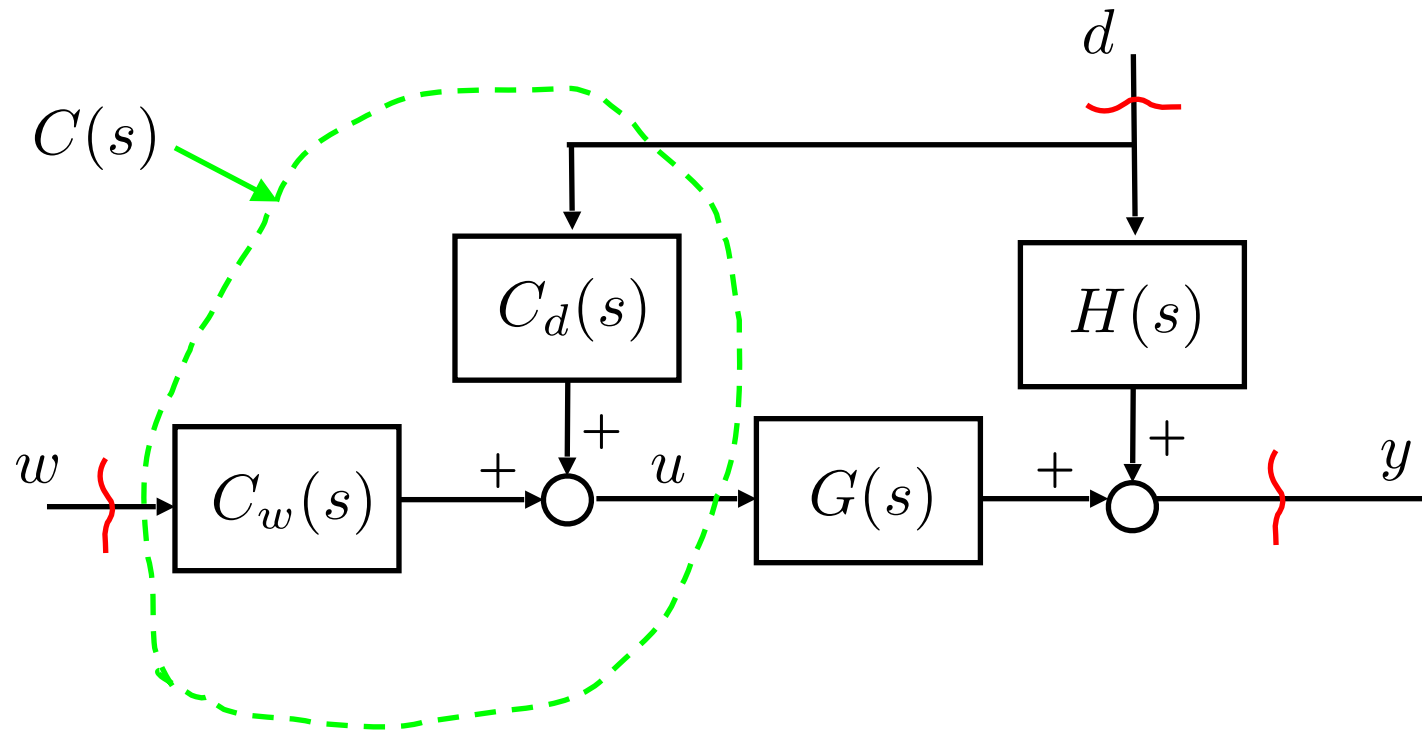
Examples - Comparison

$$F_1(s) = \frac{1}{1 + 0.01s}$$

$$F_2(s) = \frac{1}{1 + 0.1s}$$

$$F_3(s) = \frac{1 + s}{(1 + 2s)(1 + 0.1s)}$$





Assumption: the disturbance $d(t)$ is **accessible** for measurement

$$y(t) = w(t), t \geq 0 \quad \longrightarrow \quad \frac{Y(s)}{W(s)} = 1 \quad \longrightarrow \quad C_w(s)G(s) = 1$$

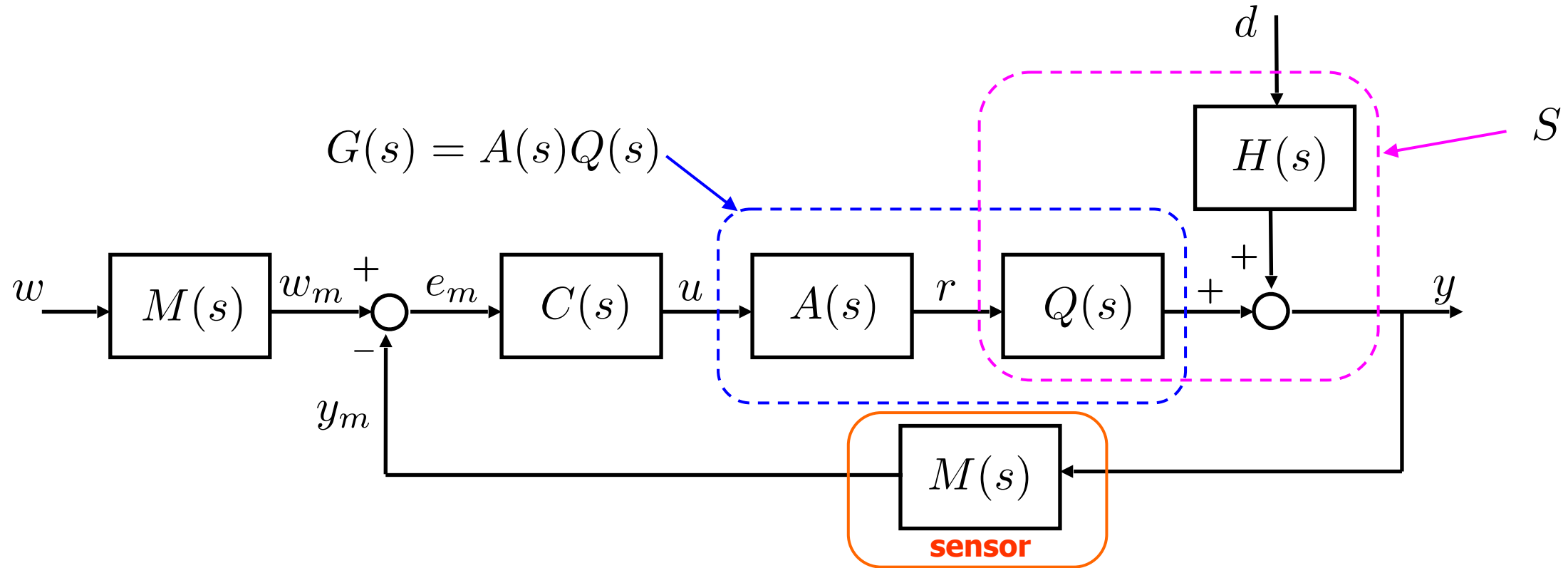
$$\downarrow \quad C_w(s) = G(s)^{-1}$$

$$y(t) = 0, t \geq 0 \text{ if } w(t) = 0, t \geq 0 \quad \longrightarrow \quad \frac{Y(s)}{D(s)} = 0 = H(s) + C_d(s) \cdot G(s)$$

$$\downarrow \quad C_d(s) = -G(s)^{-1}H(s)$$

Hence, the **same limitations** we have seen in the no-disturbance case apply here as well because of the need of “inverting” the system’s dynamics

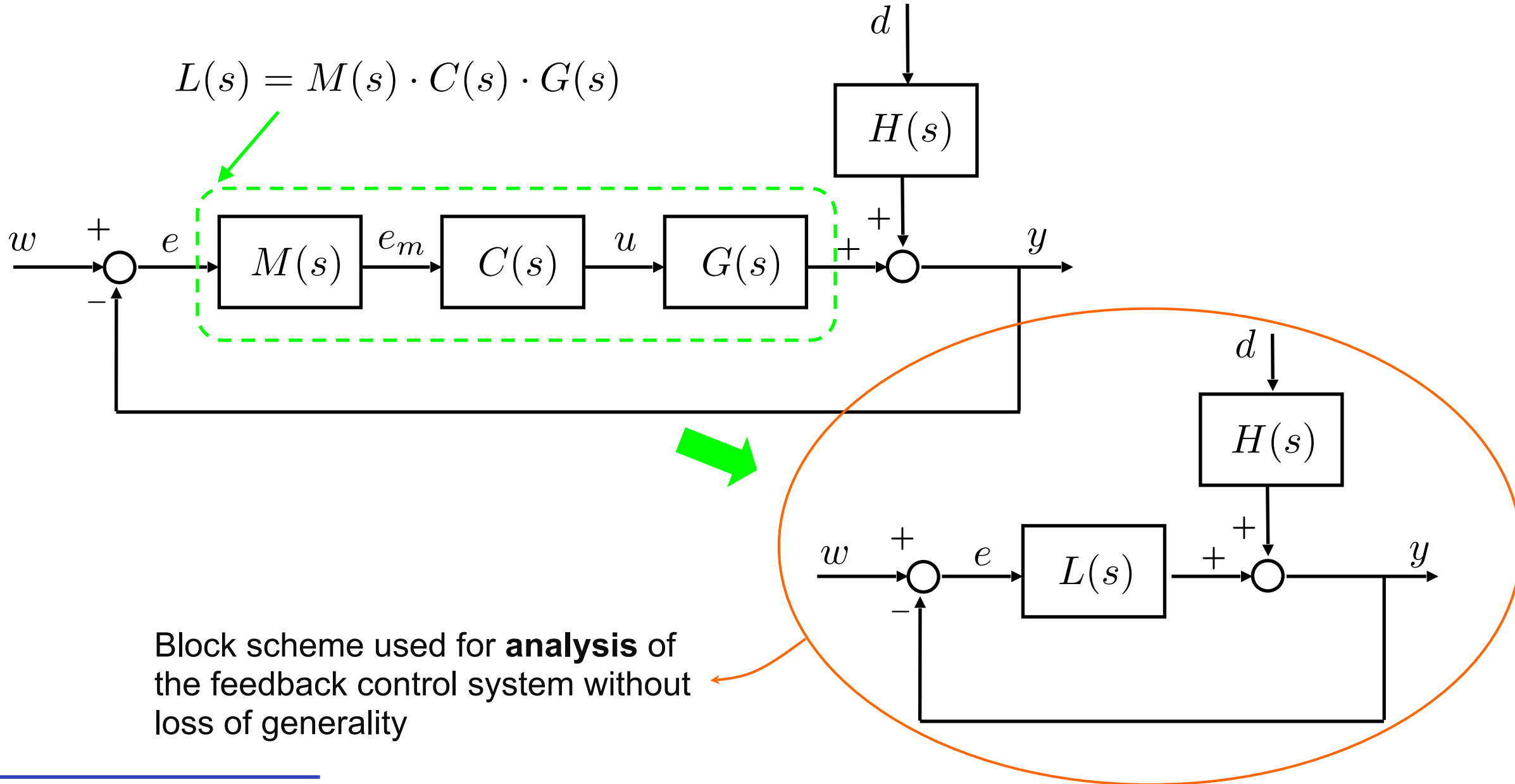
Feedback (Closed-Loop) Control Systems



$$E_m(s) = W_m(s) - Y_m(s)$$

$$= M(s)[W(s) - Y(s)] = M(s) \cdot E(s)$$

Feedback (Closed-Loop) Control Systems (contd.)



Analysis of Feedback Control Systems Methods and Tools in the Frequency Domain

$$y(t) = w(t), t \geq 0 \quad \longrightarrow \quad F(s) = \frac{Y(s)}{W(s)} = 1$$

$$y(t) = 0, t \geq 0 \text{ if } w(t) = 0, t \geq 0 \quad \longrightarrow \quad R(s) = \frac{Y(s)}{D(s)} = 0$$

However, in general:

$$F(s) = \frac{L(s)}{1 + L(s)} \neq 1$$

$$R(s) = \frac{H(s)}{1 + L(s)} \neq 0$$

The realistic scenario to be achieved is:

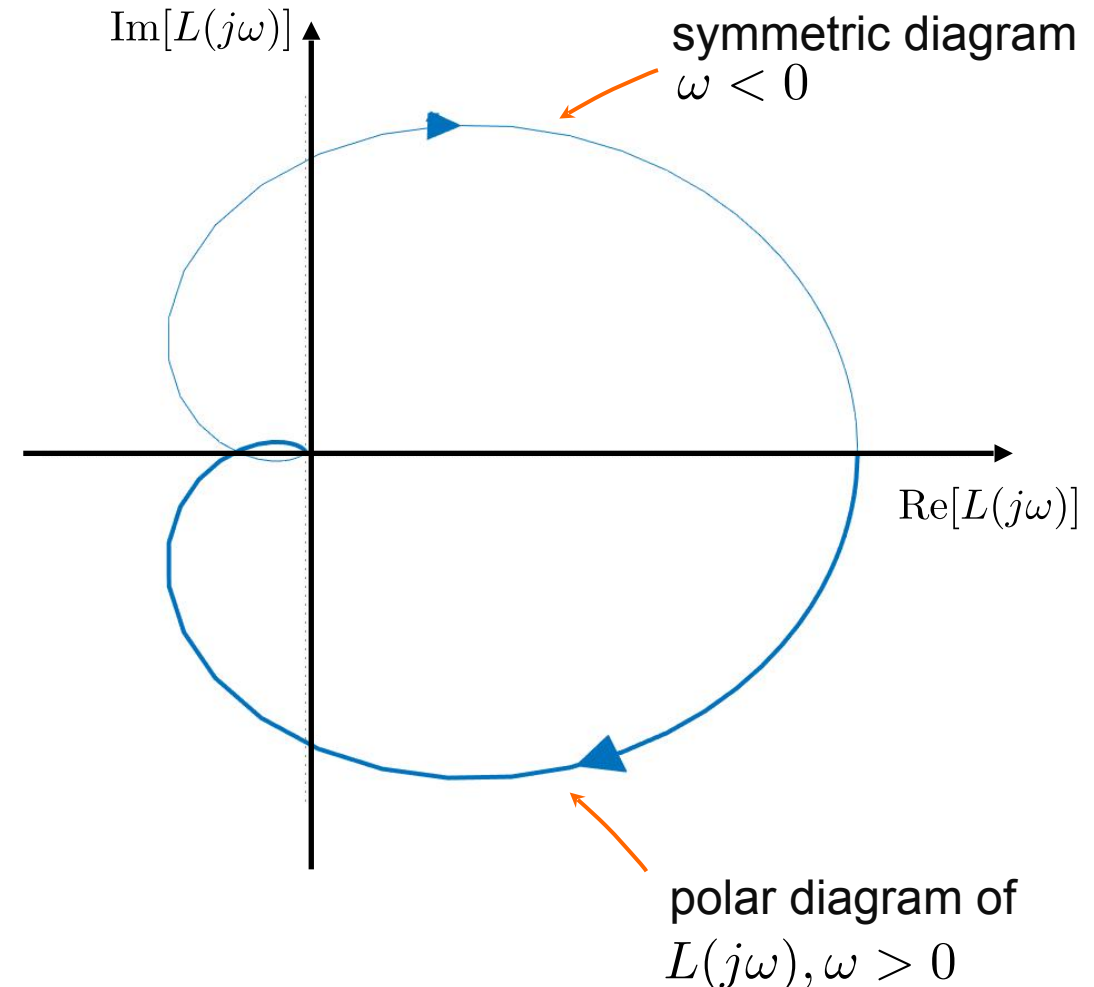
- $F(s)$ low-pass filter with a sufficiently large bandwidth and gain $\mu_F = 1$
- $|R(j\omega)| \simeq 0$ in the frequency range where the spectrum of $d(t)$ is significant

Nyquist Diagram

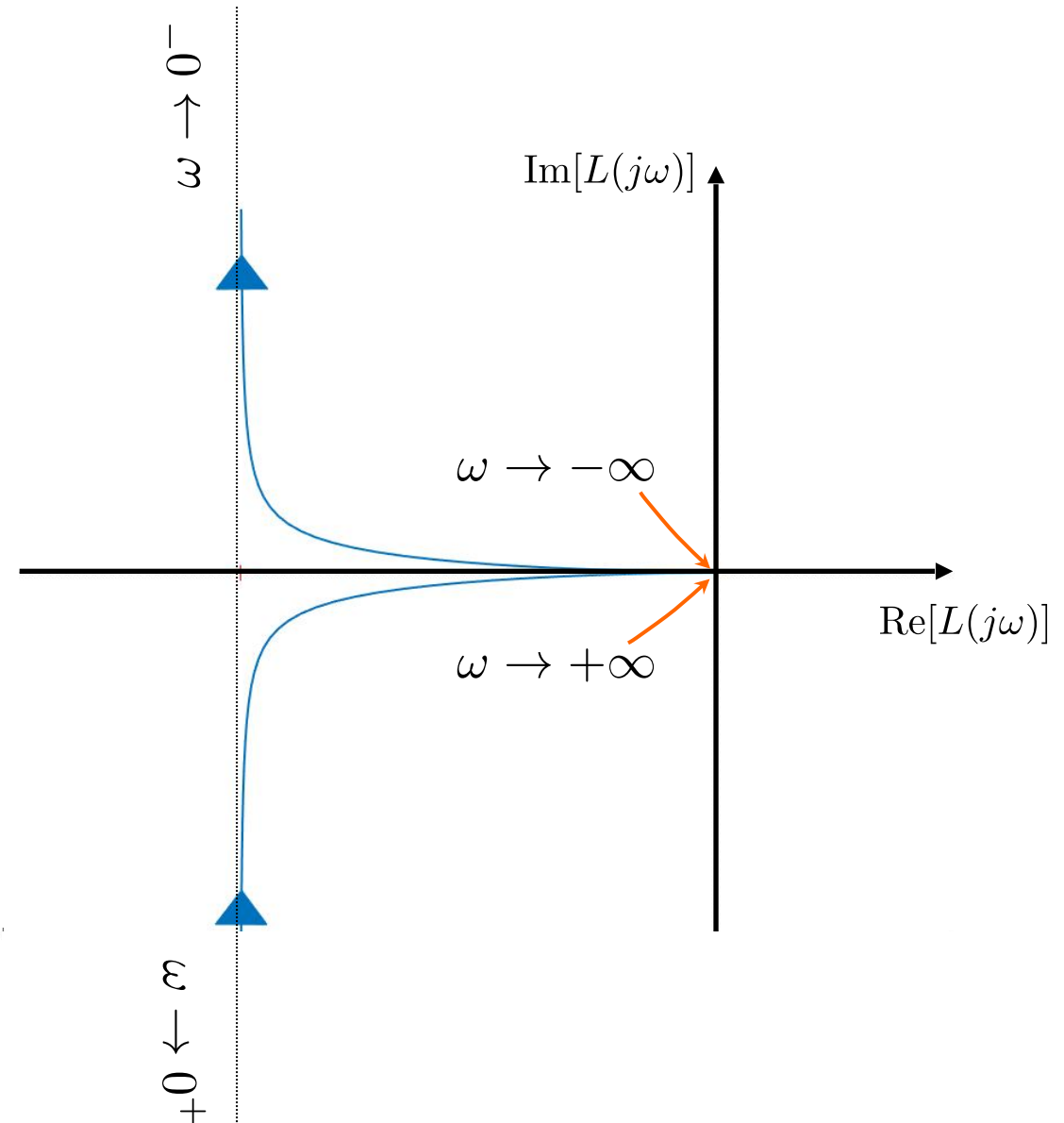
- The **Nyquist diagram** Γ is an extension of the conventional polar diagram to the angular frequencies range $-\infty < \omega < +\infty$.
- The following property holds:

$$L(-j\omega) = L^*(j\omega)$$

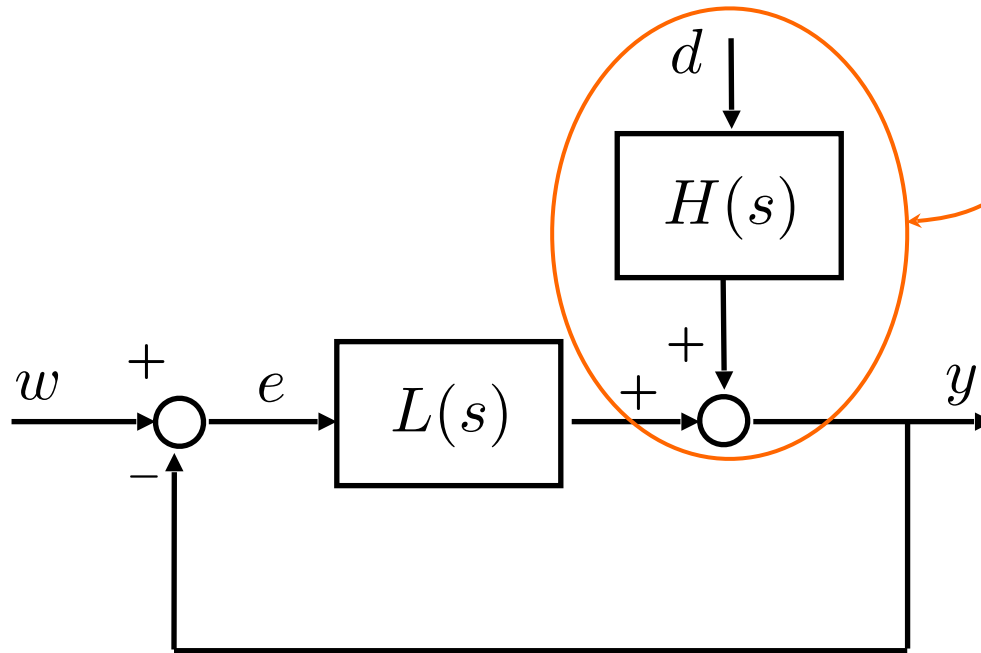
- The Nyquist diagram Γ is:
 - polar diagram of $L(j\omega)$, $\omega \geq 0$
 - +
 - the diagram symmetric to the polar diagram with respect to the real axis



If the polar diagram of $L(j\omega)$, $\omega \geq 0$ is not closed and bounded a **clock-wise “infinite-width” closure diagram** must be added to obtain the Nyquist diagram Γ



Nyquist Closed-Loop Stability Criterion



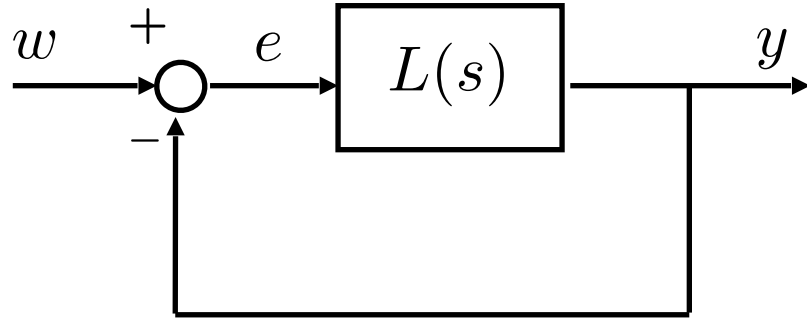
Open-loop block not affecting closed-loop stability analysis

$$F(s) = \frac{L(s)}{1 + L(s)} ; R(s) = \frac{H(s)}{1 + L(s)}$$



Closed-loop stability analysis boils down to establishing the location of the **zeros** of $1 + L(s)$ in the complex plane, that is, analysing the solutions of

$$1 + L(s) = 0$$



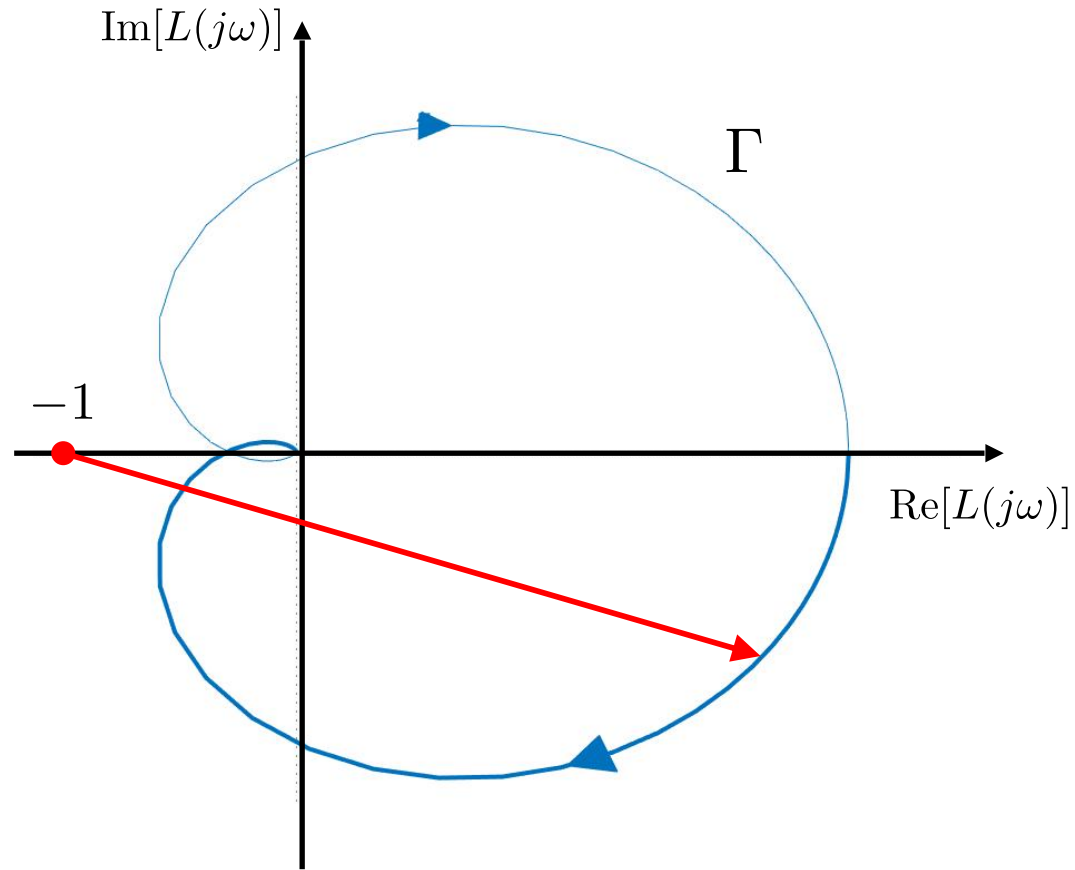
$$1 + L(s) = 0$$

- Nyquist diagram Γ of $L(s)$
- Number N of **counterclockwise** rotations of Γ around point $(-1, 0) \in \mathbb{C}$
- Number $n_{p>0}$ of poles of $L(s)$ in the right half-plane

Closed-loop Asymptotic Stability

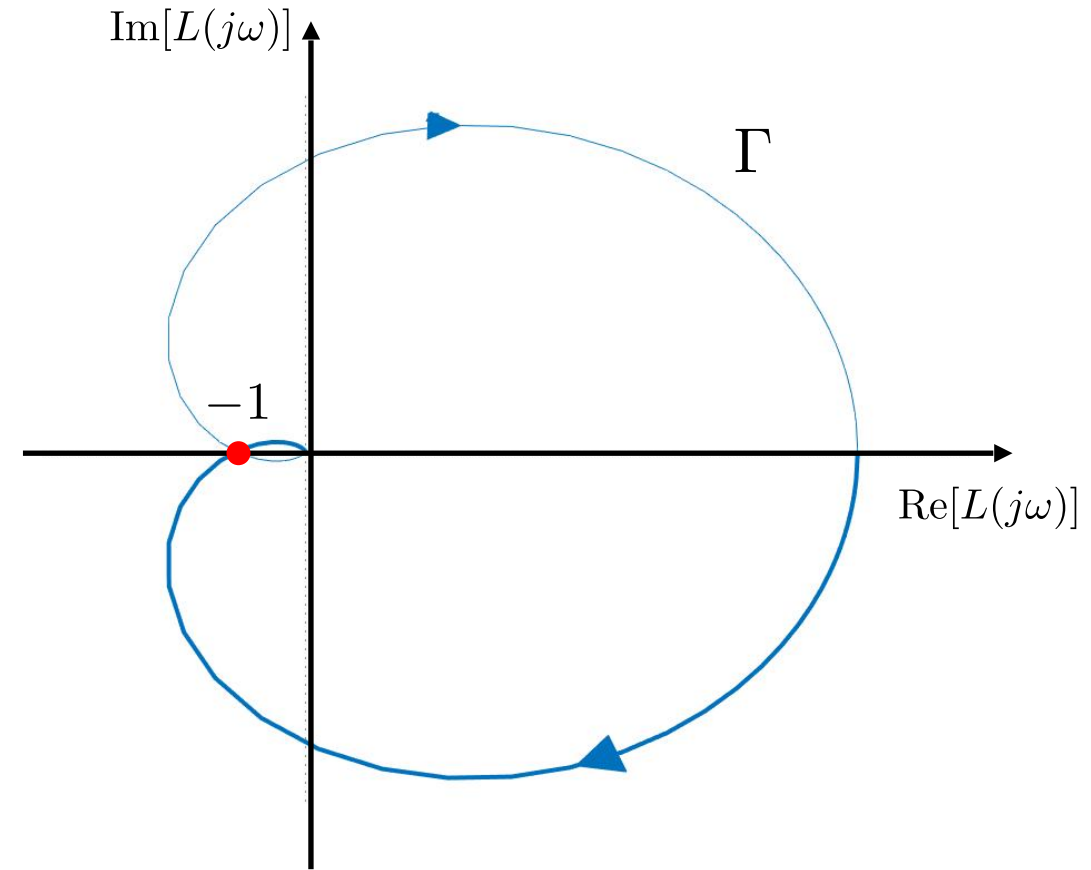


- N "well-defined"
- $N = n_{p>0}$



The point $(-1, 0)$ lies outside of the Nyquist diagram Γ

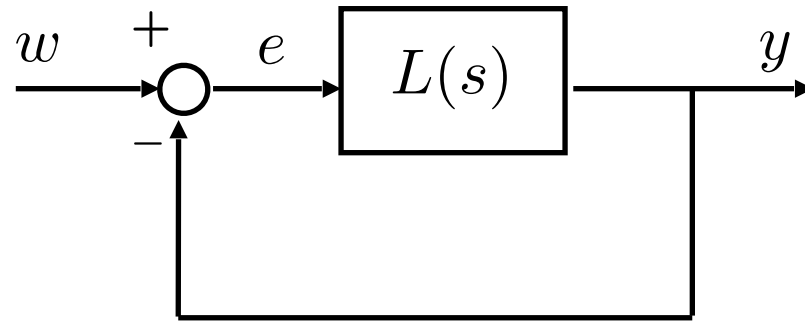
↳ $N = 0$



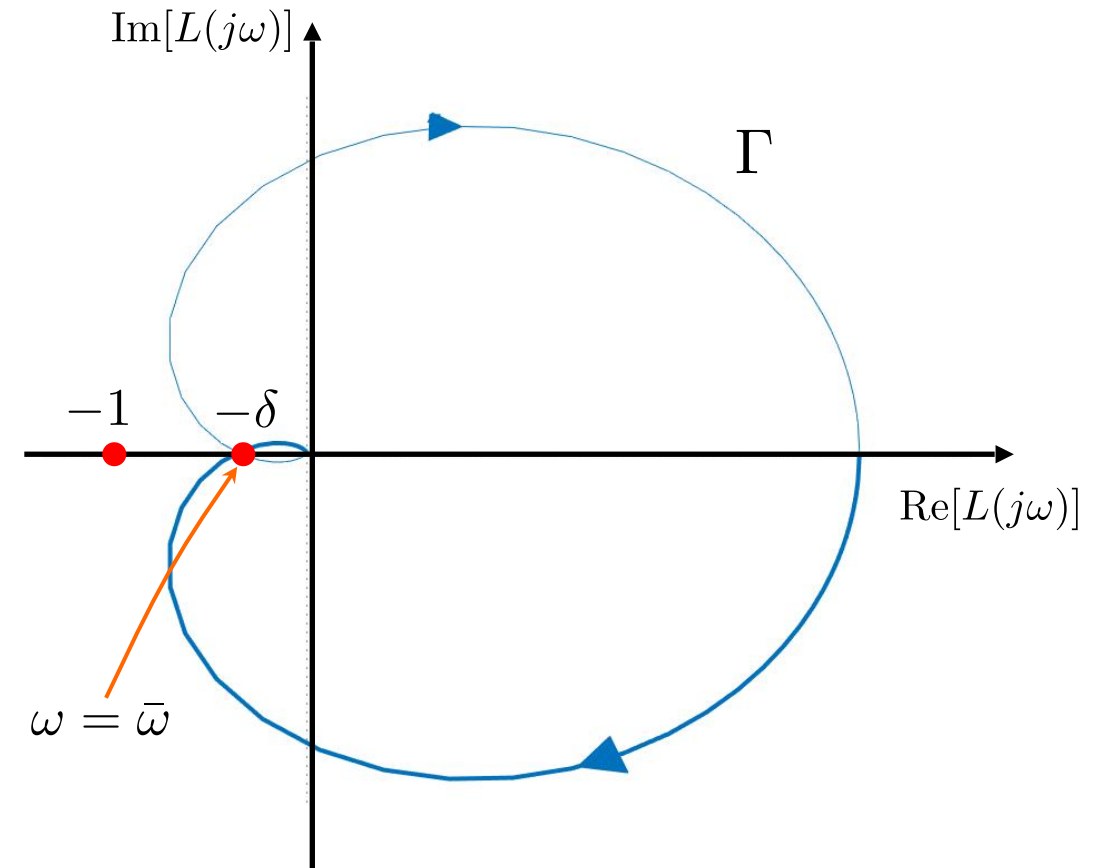
The point $(-1, 0)$ lies on the Nyquist diagram Γ

↳ N undefined

Nyquist Closed-Loop Stability Criterion - Intuitive Justification

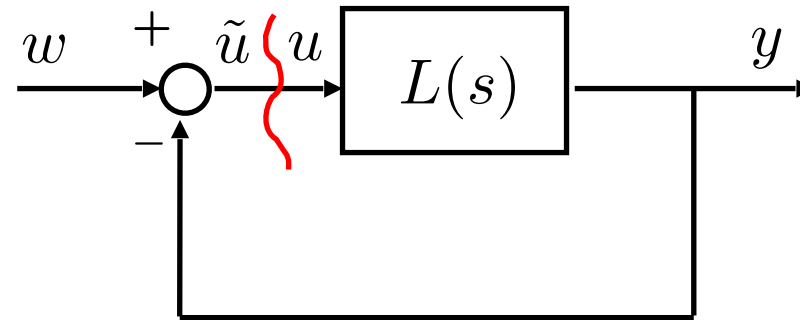


Suppose for simplicity that the open-loop system $L(s)$ is asymptotically stable, hence $n_{p>0} = 0$



$$L(j\bar{\omega}) = -\delta \longleftrightarrow \begin{cases} |L(j\bar{\omega})| = \delta \\ \arg L(j\bar{\omega}) = -180^\circ \end{cases}$$

Hypothetically, suppose to “break the loop” in an arbitrary point:



$$u(t) = \sin(\bar{\omega}t)$$






$$y(t) \simeq |L(j\bar{\omega})| \sin[\bar{\omega}t + \arg(L(j\bar{\omega}))]$$

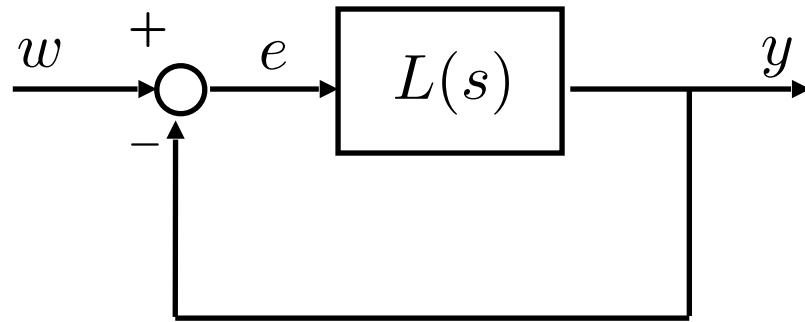
$$= \delta \sin(\bar{\omega}t - \pi) = -\delta \sin(\bar{\omega}t)$$



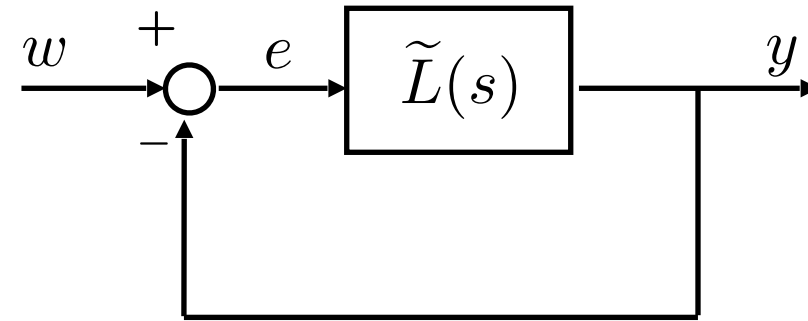
$$\tilde{u}(t) = \delta \sin(\bar{\omega}t)$$

Hence:

- $\delta > 1$  unstable [$N \neq 0 (= n_p > 0)$]
- $\delta < 1$  asymptotically stable [$N = 0 (= n_p > 0)$]
- $\delta = 1$  not asymptotically stable [N undefined]



nominal model

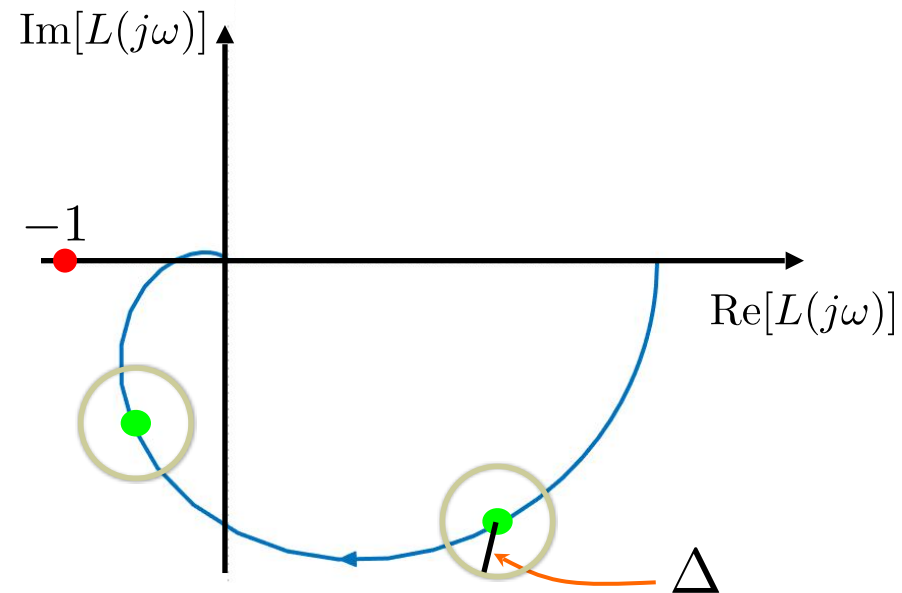


true model

- In practical engineering contexts $\tilde{L}(s) \neq L(s)$
- The aim is guaranteeing the **closed-loop robust stability**, that is, the **stability in the presence of uncertainty on the open-loop nominal model** $L(s)$
- A **mathematical characterisation of the uncertainty** is needed

- **Unstructured Uncertainty:**

$$\tilde{L}(s) = L(s) + \delta L(s); \quad |\delta L(j\omega)| \leq \Delta$$



- **Open-Loop Gain Uncertainty**

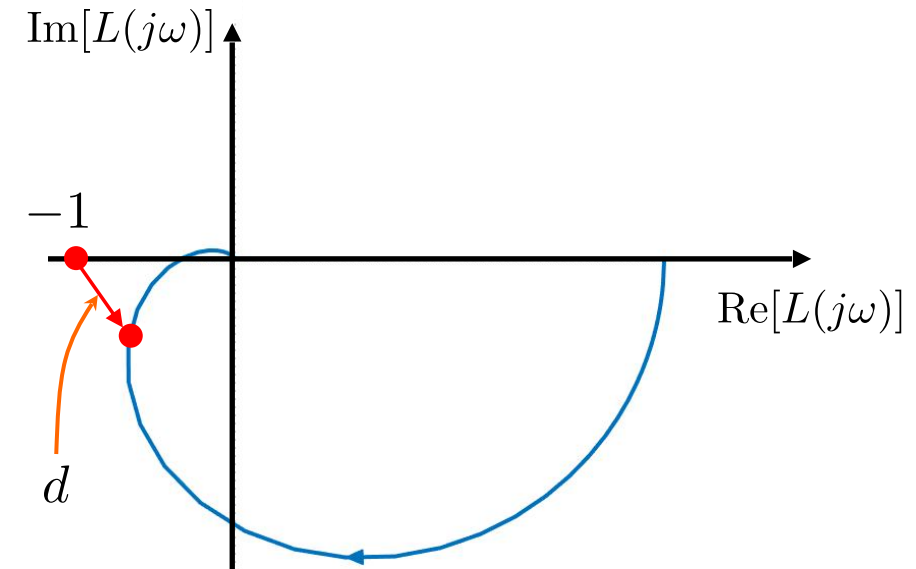
$$\tilde{L}(s) = K \cdot L(s); \quad 0 < K < \bar{K}$$

- We suppose that the **nominal** open-loop model $L(s)$ is asymptotically stable
- Hence, to guarantee closed-loop asymptotic stability for the nominal model, the nominal Nyquist diagram must **not encircle the point** $(-1, 0)$
- **Robust stability indicators** quantify the magnitude of the uncertainty for which closed-asymptotic stability is preserved when the nominal model is **replaced with the true model** $\tilde{L}(s)$ in the closed-loop scheme
- Robust stability indicators also quantify the “**distance**” of the nominal closed-loop system **from the "instability scenario"**

The more natural choice as robust stability indicator is the Euclidean distance of the polar diagram $L(j\omega)$ from the point $(-1, 0)$

vector stability margin:

$$d = \min_{\omega} |1 + L(j\omega)|$$

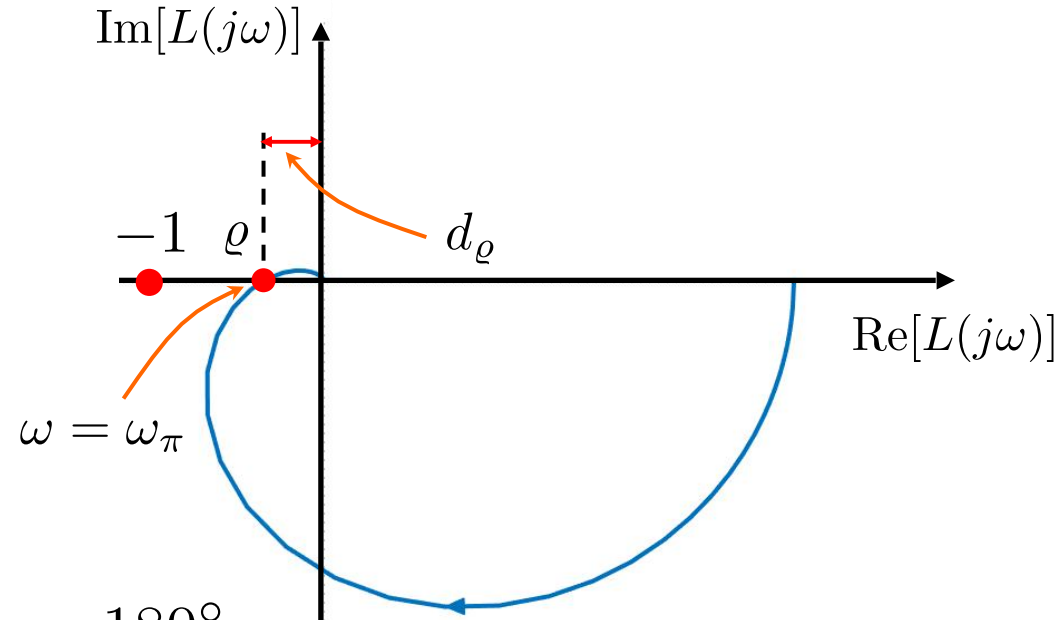


The vector stability margin is well-defined mathematically, but it is **not very useful in practice** since it cannot be evaluated using the Bode diagrams of $L(j\omega)$

The **gain margin** is a robust stability indicator defined as:

gain margin:

$$K_m = \frac{1}{d_\varrho}$$



Hence:

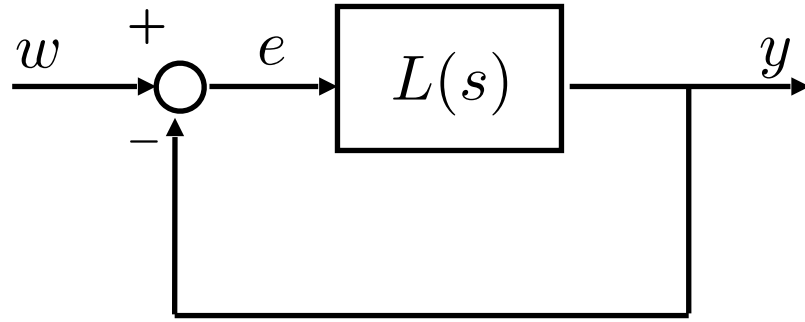
ω_π : ω such that $\arg L(j\omega) = -180^\circ$



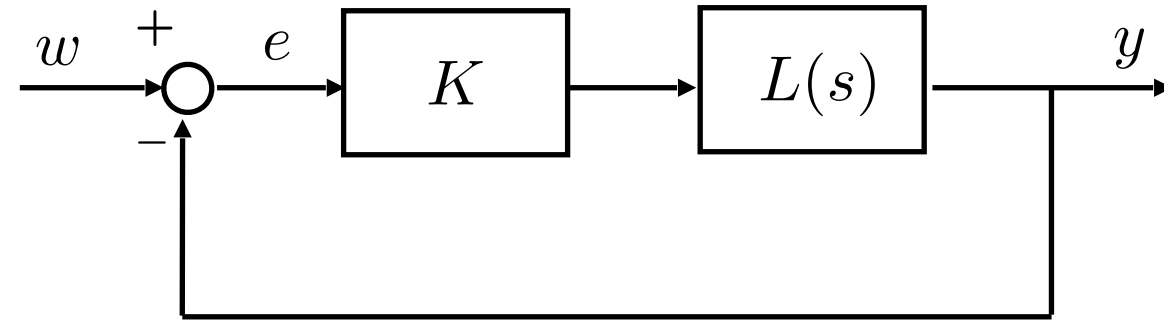
$$K_m = \frac{1}{|L(j\omega_\pi)|} = -|L(j\omega_\pi)|_{\text{dB}}$$

K_m can be evaluated from the Bode diagrams

Gain Margin - Interpretation

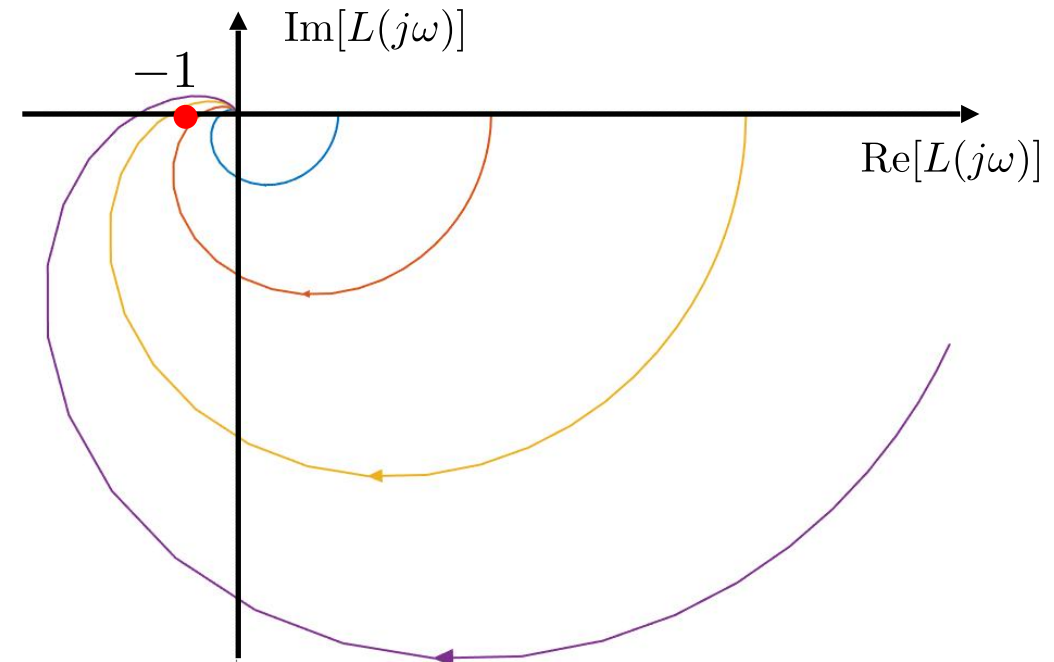


nominal model



$0 < K < K_m$  asymptotically stable

The gain margin K_m is a robustness indicator referring to **uncertainty on the loop gain**

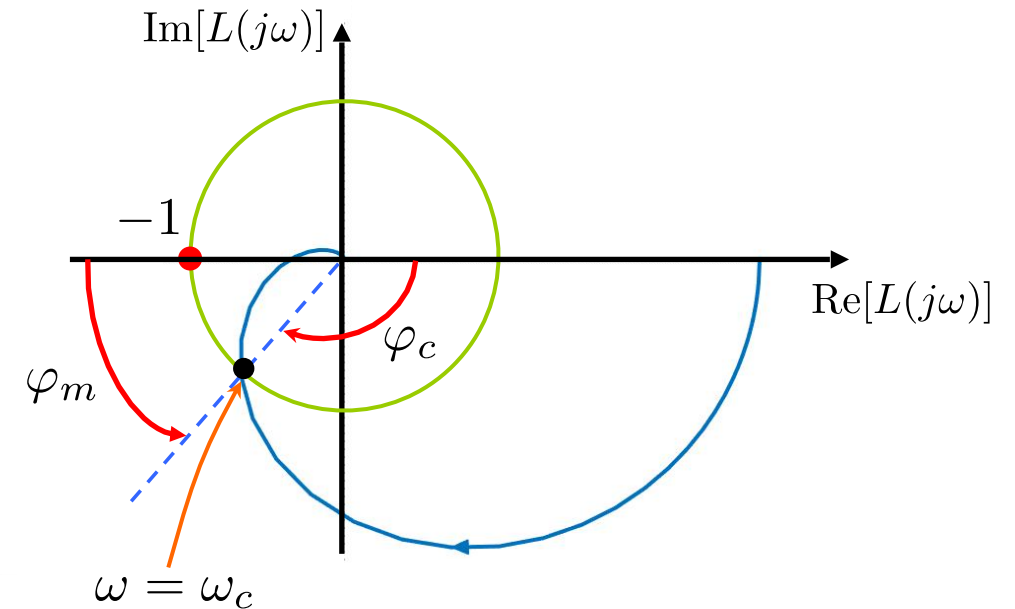


The **phase margin** is a robust stability indicator defined as:

phase margin:

$$\varphi_m = \varphi_c - (-180^\circ)$$

Remark: recall that $\varphi_c < 0$ in the figure on the left consistently with the conventions we have used in the Nyquist and Bode diagrams (see Part 8)



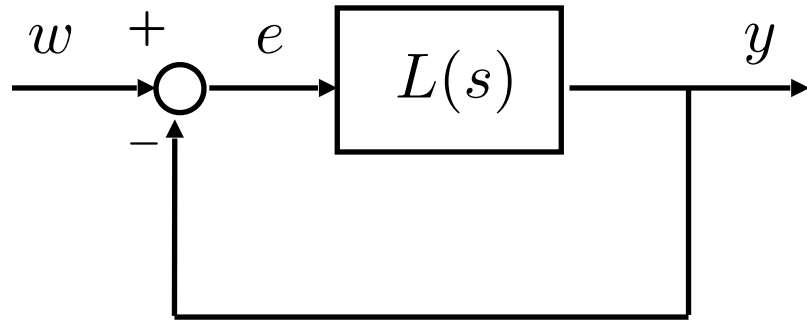
Hence:

ω_c : ω such that $|L(j\omega_c)| = 1 = 0\text{dB}$

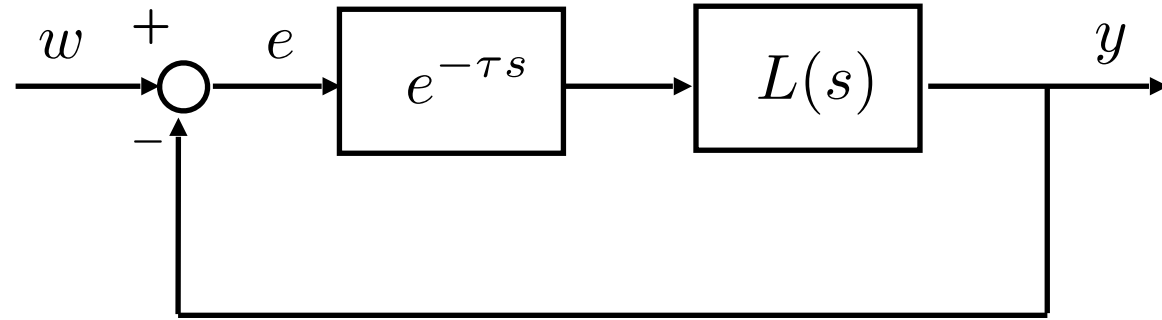


$\varphi_c = \arg L(j\omega_c)$ $\varphi_m = \varphi_c - (-180^\circ)$ can be evaluated from the Bode diagrams

Phase Margin - Interpretation



nominal model

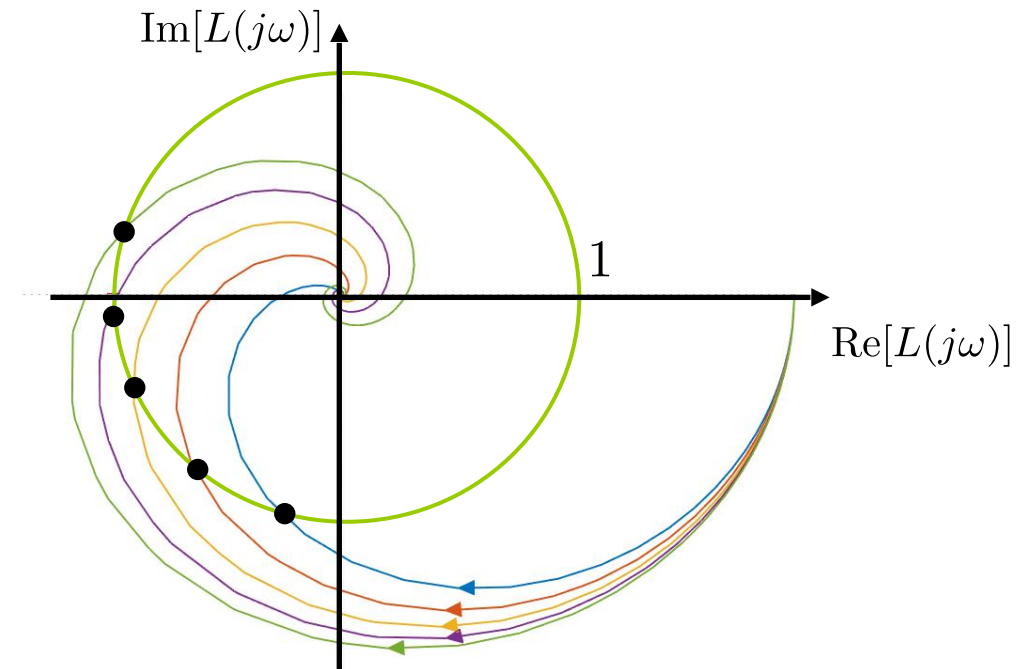


Since $\omega_c \tau = \varphi_m \cdot \frac{\pi}{180}$

↳ $0 < \tau < \frac{\varphi_m}{\omega_c} \cdot \frac{\pi}{180}$

asymptotically stable

The phase margin φ_m is a robustness indicator referring to **uncertainty on the loop "delay"**



- **gain margin:**

$$K_m = \frac{1}{d_\rho}$$

$$K_m = \frac{1}{|L(j\omega_\pi)|} = -|L(j\omega_\pi)|_{\text{dB}}$$



$$\arg L(j\omega_\pi) = -180^\circ$$

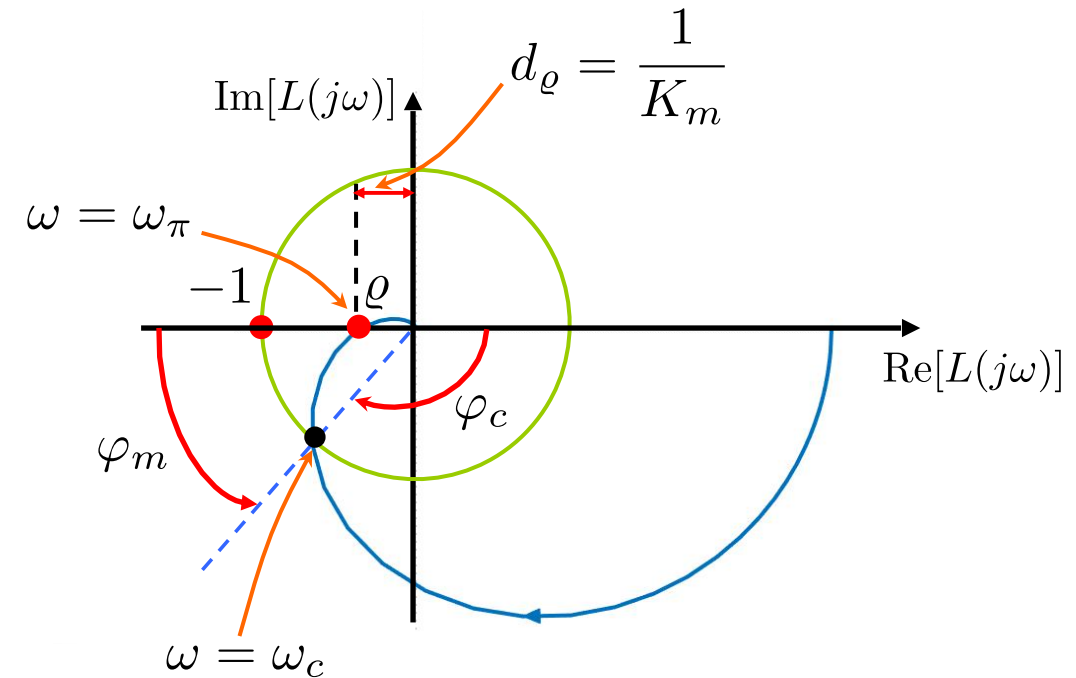
- **phase margin:**

$$\varphi_m = \varphi_c - (-180^\circ)$$

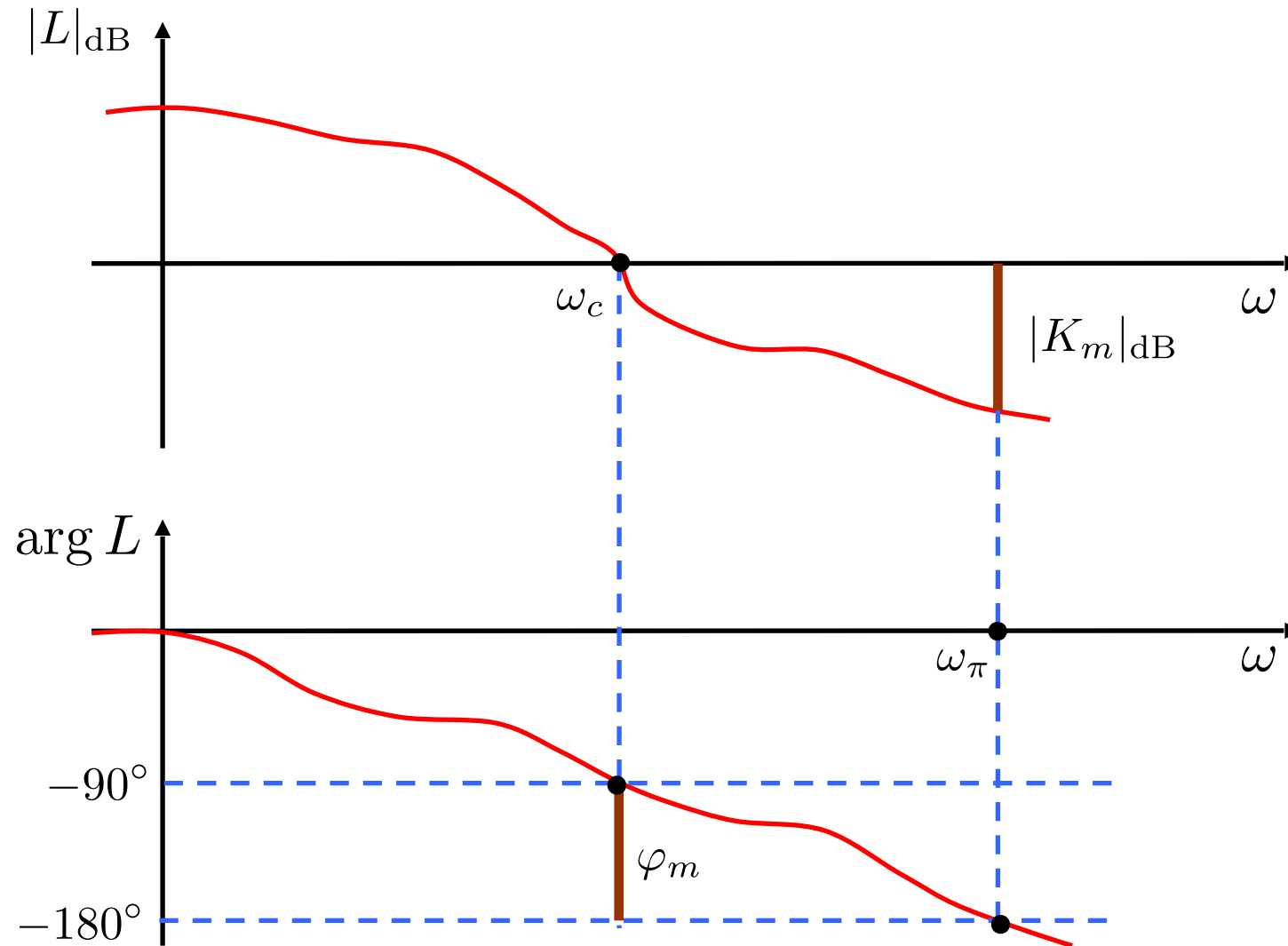
$$\omega_c : \omega \text{ such that } |L(j\omega_c)| = 1 = 0\text{dB}$$



$$\varphi_c = \arg L(j\omega_c)$$

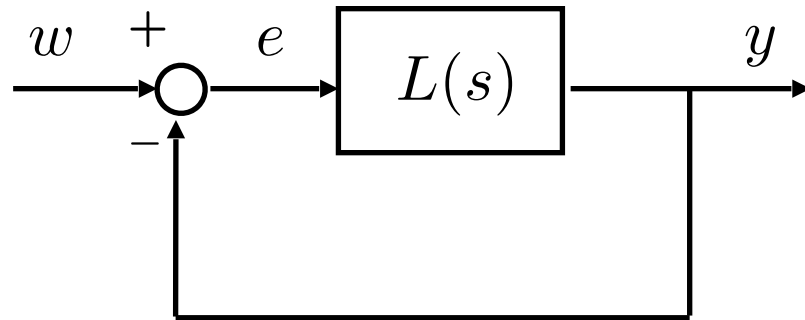


Gain and Phase Margin – Evaluation from Bode Diagrams



Bode Closed-Loop Stability Criterion

The Bode stability criterion is very useful for controller design even if it is applicable in a more restricted scenario than the Nyquist stability criterion:



- Loop gain: μ
- Phase Margin: φ_m

Assumptions:

- The open-loop transfer function $L(s)$ has no poles with positive real part: $n_{p>0} = 0$
- The magnitude Bode diagram $|L(j\omega)|_{\text{dB}}$ crosses the 0dB axis "only one time from top to bottom"

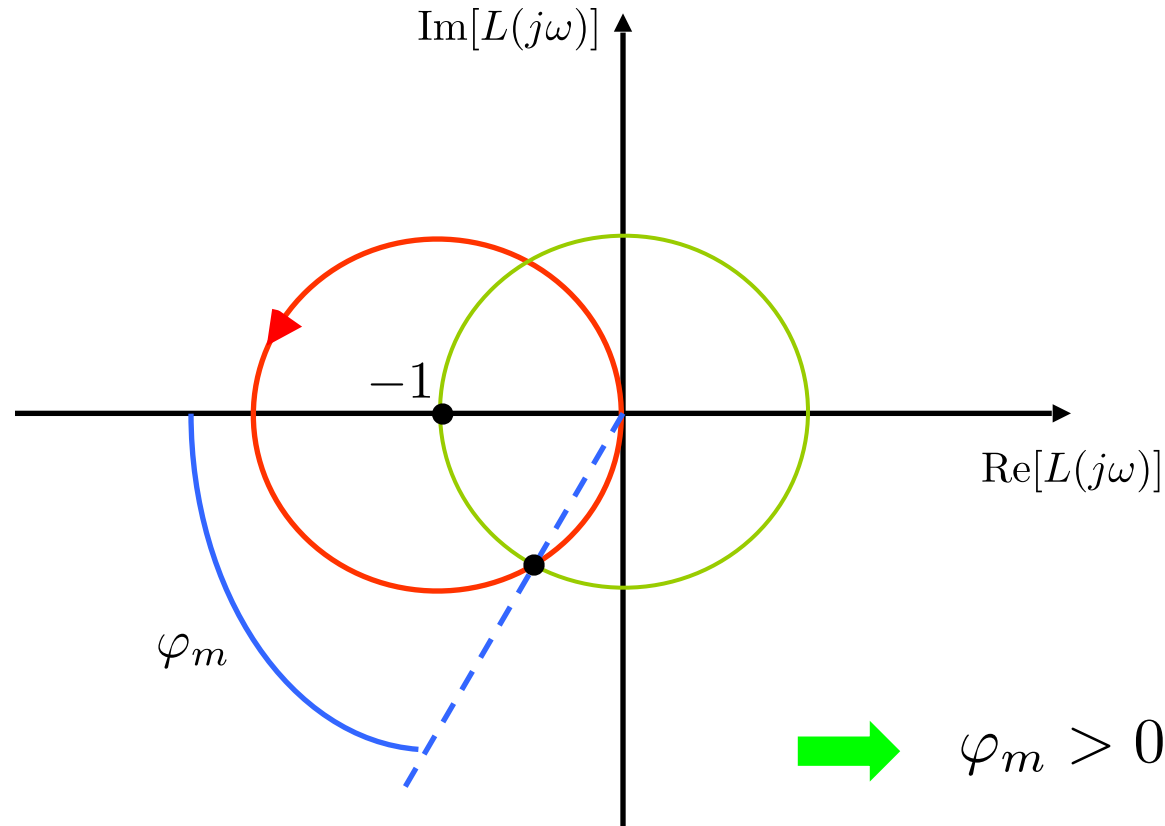


Closed-loop Asymptotic Stability



- $\mu > 0$
- $\varphi_m > 0$

The assumption on **positivity of the loop-gain** $\mu > 0$ is important to avoid cases like:



$\varphi_m > 0$ **BUT** closed-loop unstable



A Useful Empirical Criterion for Minimum-Phase Systems

Recall from Part 8, slide 19:

- **Minimum Phase Systems** are characterized by:
 - positive gain: $\mu > 0$
 - all poles and zeros located in the left half-plane
- For minimum phase systems (**a subset of the systems for which the Bode Criterion can be applied**) there is a **direct relation among the approximate Bode diagrams of magnitude and phase:**

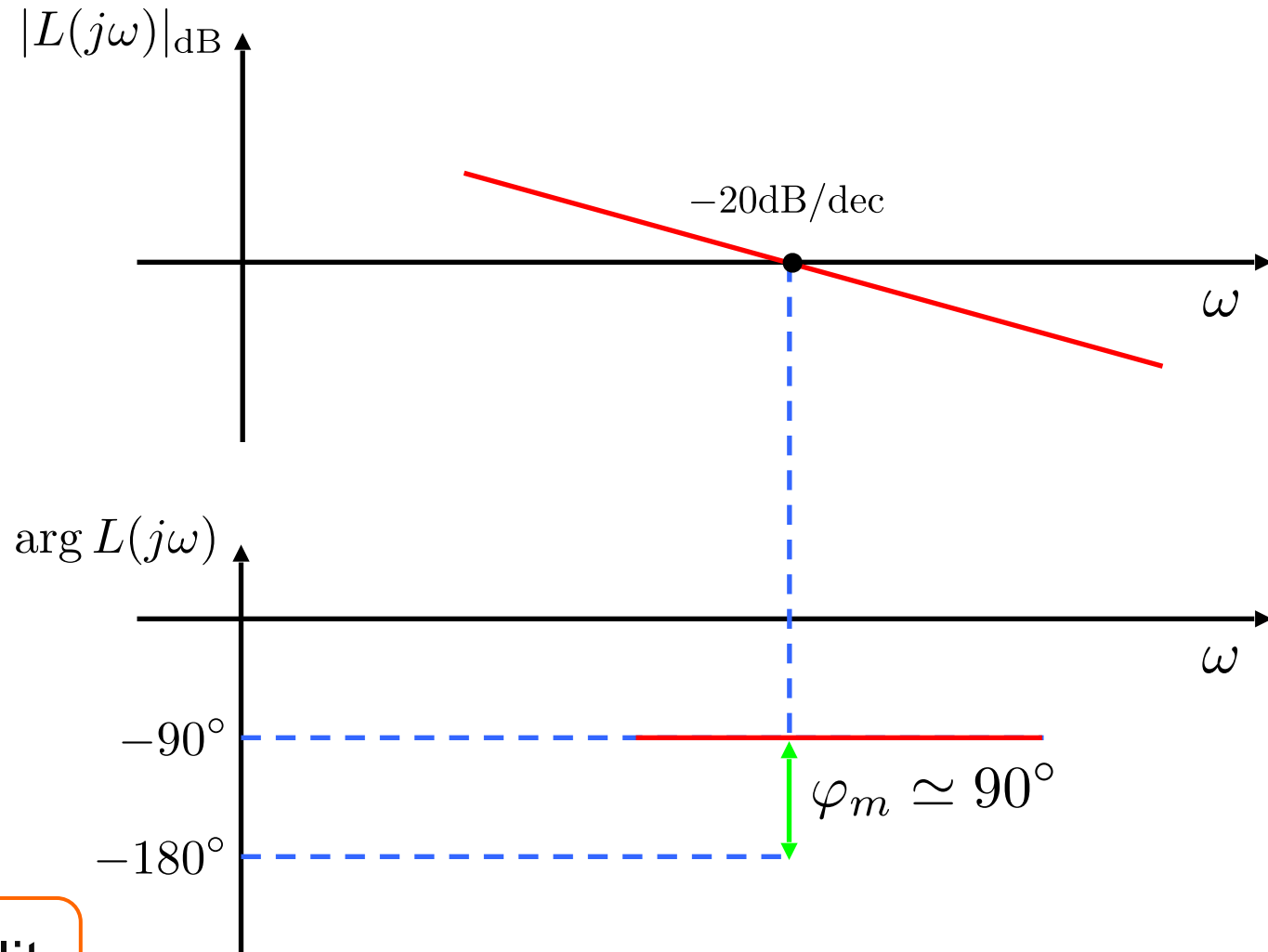
	Slope of $ L(j\omega) _{\text{dB}}$	Value of $\arg L(j\omega)$
pole	-20dB/dec	-90°
zero	20dB/dec	90°

If:

- The open-loop system is **minimum phase**
- The magnitude diagram $|L(j\omega)|_{\text{dB}}$ **crosses the 0dB axis only one time from top to bottom**
- The **slope** of the asymptotic magnitude Bode diagram is **-20 dB/dec** in a **sufficiently large** frequency range around the 0dB crossing



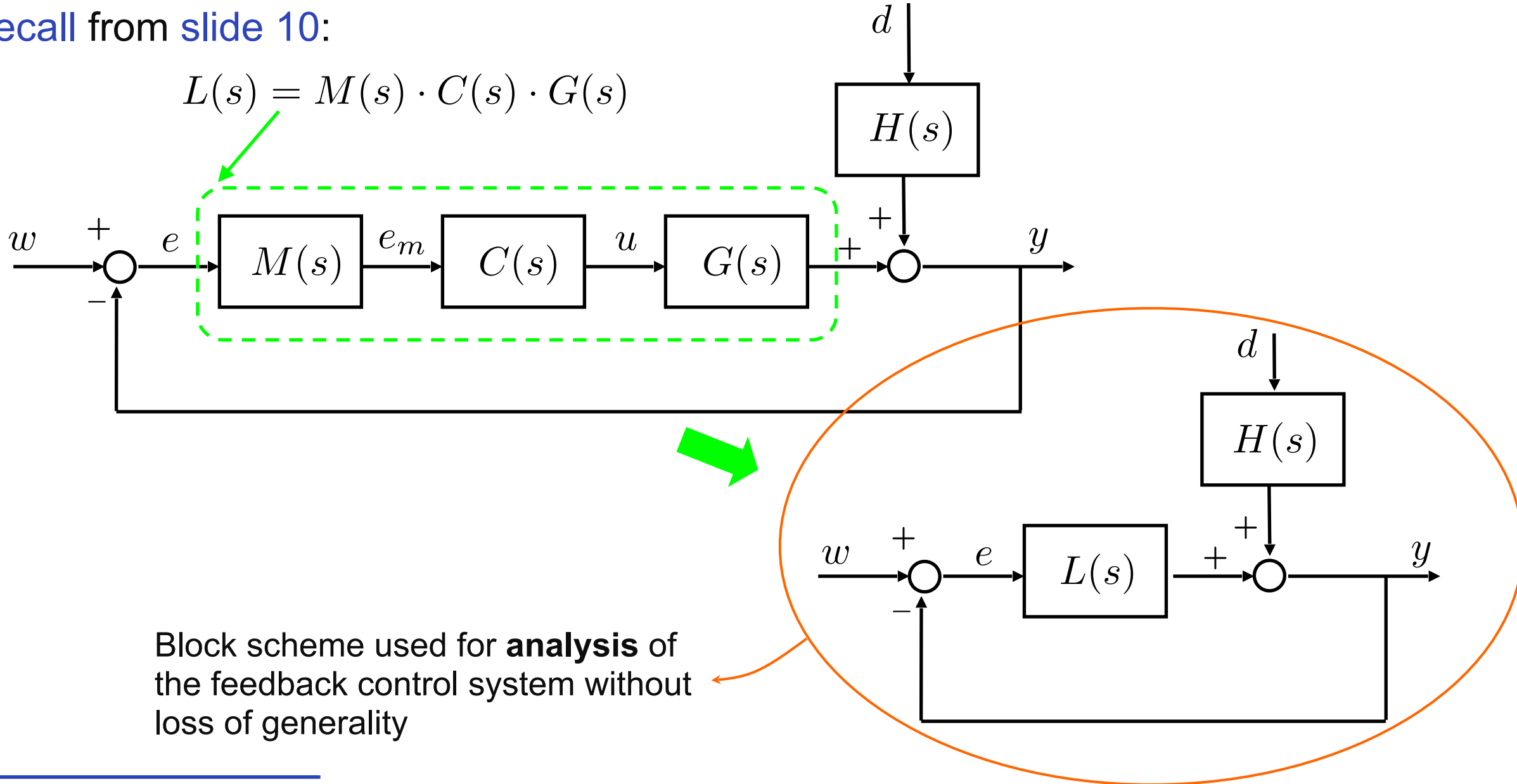
Closed-loop Asymptotic Stability



Analysis of Feedback Control Systems

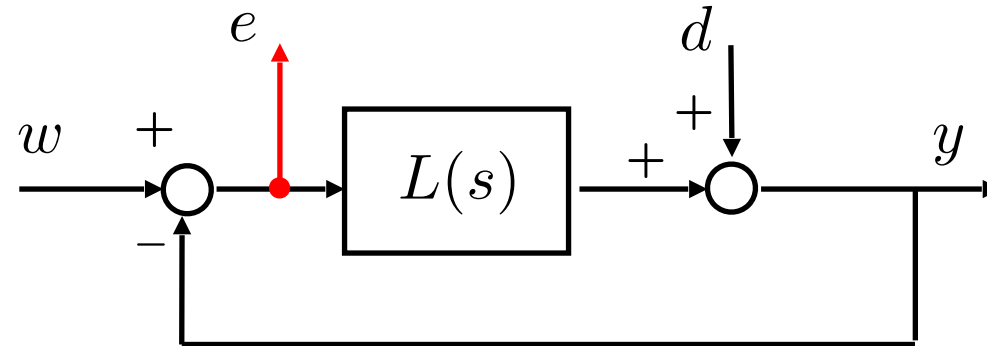
Recall from slide 10:

$$L(s) = M(s) \cdot C(s) \cdot G(s)$$



Block scheme used for **analysis** of the feedback control system without loss of generality

For the time being suppose that $H(s) = 1$:



Hence:

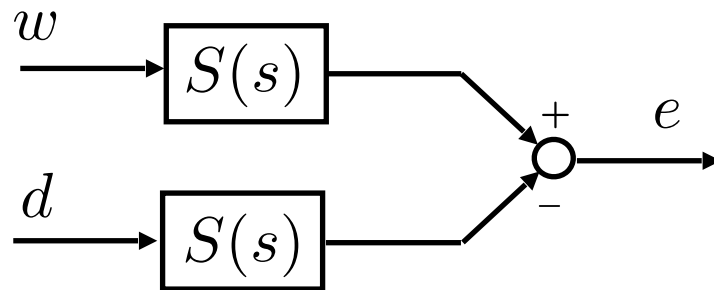
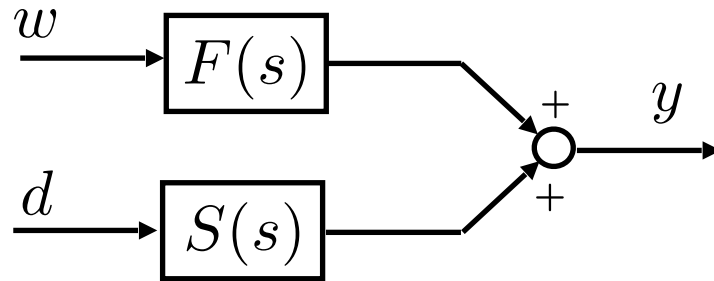
$$\frac{Y(s)}{W(s)} = \frac{L(s)}{1 + L(s)} = F(s); \quad \frac{Y(s)}{D(s)} = \frac{1}{1 + L(s)} = S(s)$$

$$\frac{E(s)}{W(s)} = \frac{1}{1 + L(s)} = S(s); \quad \frac{E(s)}{D(s)} = \frac{-1}{1 + L(s)} = -S(s)$$

Define:

- **Complementary Sensitivity Function:** $F(s)$
- **Sensitivity Function:** $S(s)$

Hence:



$$F(s) = \frac{L(s)}{1 + L(s)} \approx 1$$

$$S(s) = \frac{1}{1 + L(s)} \approx 0$$

**Ideal
Performance**

Moreover:

$$F(s) + S(s) = 1$$



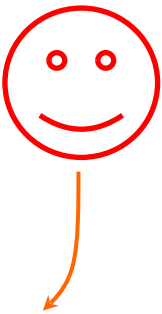
Using the parametrisation of the open-loop t.f. $L(s)$ in slides 32-33 Part IV:

$$L(s) = \mu \frac{1}{s^g} \frac{\prod_l \left(1 + \frac{s}{z_l}\right) \prod_h \left(1 + \frac{2\zeta_h}{\alpha_{nh}} s + \frac{1}{\alpha_{nh}^2} s^2\right)}{\prod_i \left(1 + \frac{s}{p_i}\right) \prod_k \left(1 + \frac{2\xi_k}{\omega_{nk}} s + \frac{1}{\omega_{nk}^2} s^2\right)} \xrightarrow{s \rightarrow 0} 1$$

Asymptotic value of the **closed-loop step-response**:

$$\begin{aligned} w(t) = A \cdot 1(t) \quad \longrightarrow \quad y(\infty) &= \lim_{s \rightarrow 0} \cancel{s} \cdot F(s) \cdot \frac{A}{\cancel{s}} = A \lim_{s \rightarrow 0} F(s) \\ &= A \lim_{s \rightarrow 0} \frac{L(s)}{1 + L(s)} = A \lim_{s \rightarrow 0} \frac{\frac{\mu}{s^g}}{1 + \frac{\mu}{s^g}} \\ &= A \lim_{s \rightarrow 0} \frac{\mu}{s^g + \mu} \end{aligned}$$

Therefore:

$$y(\infty) = \begin{cases} A \cdot \frac{\mu}{1 + \mu} \quad (\text{hence } \mu_F \simeq 1 \text{ if } \mu \gg 1) & \text{if } g = 0 \\ A \quad (\text{hence } \mu_F = 1) & \text{if } g > 0 \\ 0 & \text{if } g < 0 \end{cases}$$


An orange arrow points from the first case to the second case.

Presence of an integrator (pole = 0) in the direct path of the feedback loop

We have:

$$L(s) = \frac{N(s)}{\varphi(s)} \quad \longrightarrow \quad F(s) = \frac{L(s)}{1 + L(s)} = \frac{N(s)}{\varphi(s) + N(s)}$$

Therefore:

- the zeros of $F(s)$ coincide with the zeros of $L(s)$
- the poles of $F(s)$ coincide with the roots of $\varphi(s) + N(s)$

Frequency Response Analysis of Complementary Sensitivity Function

We have:


$$|F(j\omega)| = \frac{|L(j\omega)|}{|1 + L(j\omega)|} \simeq \begin{cases} 1 & \text{if } |L(j\omega)| \gg 1 \\ |L(j\omega)| & \text{if } |L(j\omega)| \ll 1 \end{cases}$$

- $F(s)$ **low-pass** filter with bandwidth $B \simeq [0, \omega_c]$

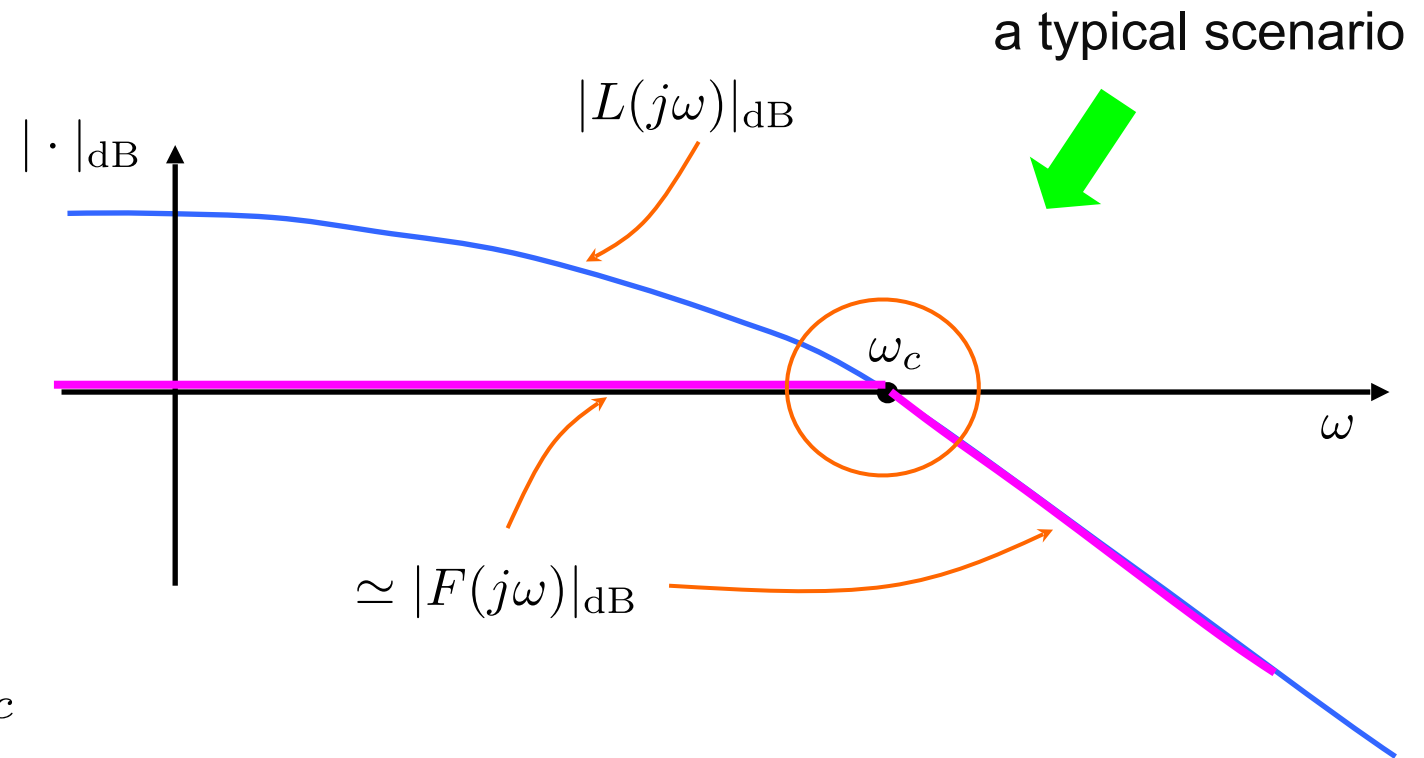
- Gain:

$$\mu_F = \begin{cases} 1 & \text{if } g > 0 \\ \frac{\mu}{1 + \mu} & \text{if } g = 0 \end{cases}$$

- Dominant poles:

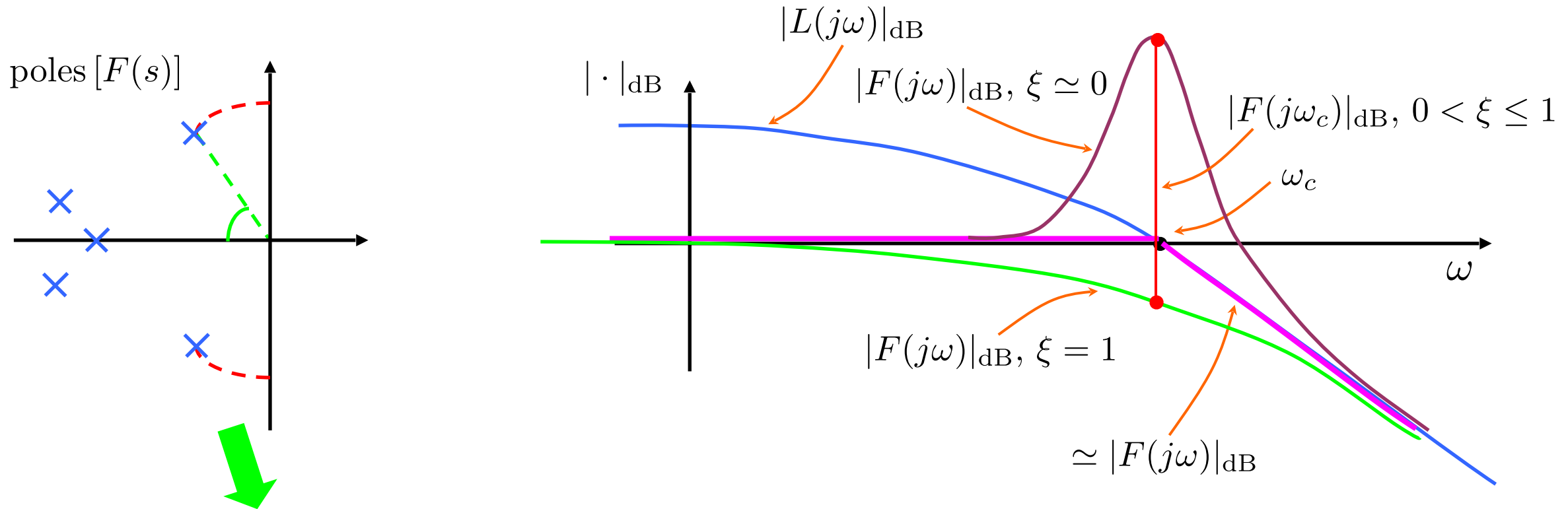
– if real:  $\tau \simeq \frac{1}{\omega_c}$

– if complex:  $\omega_n \simeq \omega_c$



Closed-Loop Damping Ratio and Phase Margin

But: how about the damping ratio of the dominant poles of $F(s)$?



small damping ratio $\xi \simeq 0$ \longrightarrow "fragile" stability \longrightarrow $\varphi_m \simeq 0$

Question: $\varphi_m \simeq 0$ $\overset{?}{\longrightarrow}$ $\xi \simeq 0$

Let us determine $|F(j\omega_c)|$:

$$|L(j\omega_c)| = 1 \quad \longrightarrow \quad L(j\omega_c) = 1 \cdot e^{j\varphi_c} \quad \text{with} \quad \varphi_c = \arg L(j\omega_c)$$

$$\begin{aligned} \downarrow \quad |F(j\omega_c)| &= \frac{|L(j\omega_c)|}{|1 + L(j\omega_c)|} = \frac{1}{|1 + e^{j\varphi_c}|} = \frac{1}{|1 + \cos \varphi_c + j \sin \varphi_c|} \\ &= \frac{1}{\sqrt{(1 + \cos \varphi_c)^2 + \sin^2 \varphi_c}} = \frac{1}{\sqrt{1 + \cos^2 \varphi_c + 2 \cos \varphi_c + \sin^2 \varphi_c}} \\ &= \frac{1}{\sqrt{2(1 + \cos \varphi_c)}} = \frac{1}{\sqrt{2(1 - \cos \varphi_m)}} \\ &= \frac{1}{2 \sin\left(\frac{\varphi_m}{2}\right)} \quad \text{where} \quad \varphi_m = \varphi_c - (-180^\circ) \end{aligned}$$

Suppose that $F(s)$ takes on the form of a **second-order** system:

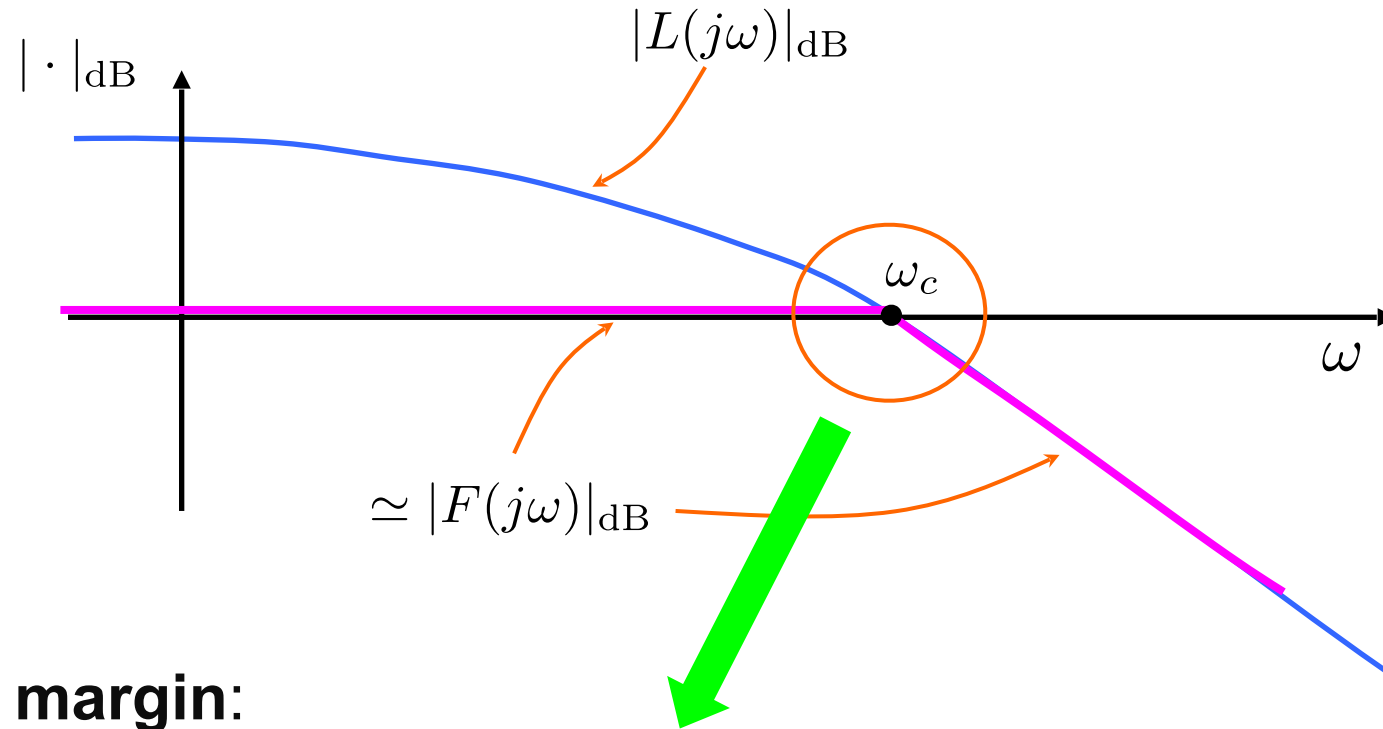
$$F(s) = \frac{\omega_n^2}{s^2 + 2\xi\omega_n s + \omega_n^2}$$

$$\rightarrow |F(j\omega_n)| = \left| \frac{\cancel{\omega_n^2}}{-\cancel{\omega_n^2} + 2j\xi\cancel{\omega_n^2} + \cancel{\omega_n^2}} \right| = \left| \frac{1}{j2\xi} \right| = \frac{1}{2\xi}$$

Consider the **approximation** $\omega_n \simeq \omega_c$

$$\rightarrow |F(j\omega_c)| = \frac{1}{2 \sin\left(\frac{\varphi_m}{2}\right)} \simeq |F(j\omega_n)| = \frac{1}{2\xi}$$

$$\rightarrow \xi \simeq \sin\left(\frac{\varphi_m}{2}\right) \simeq \frac{\varphi_m}{2} \cdot \frac{\pi}{180} \simeq \frac{\varphi_m}{100}$$



Phase margin:

- $\varphi_m > 75^\circ$: one real dominant pole with $\tau \simeq \frac{1}{\omega_c}$
- $\varphi_m < 75^\circ$: two complex conjugate poles with $\omega_n \simeq \omega_c$; $\xi \simeq \frac{\varphi_m}{100}$



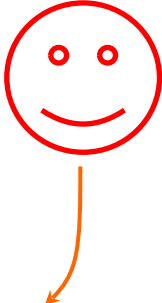
Using the parametrisation of the open-loop t.f. $L(s)$ in slides 32-33 Part IV:

$$L(s) = \mu \frac{1}{s^g} \frac{\prod_l \left(1 + \frac{s}{z_l}\right) \prod_h \left(1 + \frac{2\zeta_h}{\alpha_{nh}} s + \frac{1}{\alpha_{nh}^2} s^2\right)}{\prod_i \left(1 + \frac{s}{p_i}\right) \prod_k \left(1 + \frac{2\xi_k}{\omega_{nk}} s + \frac{1}{\omega_{nk}^2} s^2\right)} \xrightarrow{s \rightarrow 0} 1$$

Asymptotic value of the **closed-loop step-response**:

$$\begin{aligned} d(t) = A \cdot 1(t) \quad \longrightarrow \quad y(\infty) &= \lim_{s \rightarrow 0} \cancel{s} \cdot S(s) \cdot \frac{A}{\cancel{s}} = A \lim_{s \rightarrow 0} S(s) \\ &= A \lim_{s \rightarrow 0} \frac{1}{1 + L(s)} = A \lim_{s \rightarrow 0} \frac{1}{1 + \frac{\mu}{s^g}} \\ &= A \lim_{s \rightarrow 0} \frac{s^g}{s^g + \mu} \end{aligned}$$

Therefore:

$$y(\infty) = \begin{cases} A \cdot \frac{1}{1 + \mu} & \text{(hence } \mu_S \simeq 0 \text{ if } \mu \gg 1) & \text{if } g = 0 \\ 0 & & \text{if } g > 0 \\ A & \text{(hence } \mu_S = 1) & \text{if } g < 0 \end{cases}$$


Presence of an integrator (pole = 0) in the direct path of the feedback loop

We have:

$$L(s) = \frac{N(s)}{\varphi(s)} \quad \longrightarrow \quad S(s) = \frac{1}{1 + L(s)} = \frac{\varphi(s)}{\varphi(s) + N(s)}$$

Therefore:

- the zeros of $S(s)$ coincide with the poles of $L(s)$
- the poles of $S(s)$ coincide with the roots of $\varphi(s) + N(s)$

 same as for $F(s)$

We have:

$$|S(j\omega)| = \frac{1}{|1 + L(j\omega)|} \simeq \begin{cases} 1 & \text{if } |L(j\omega)| \ll 1 \\ \frac{1}{|L(j\omega)|} = -|L(j\omega)|_{\text{dB}} & \text{if } |L(j\omega)| \gg 1 \end{cases}$$

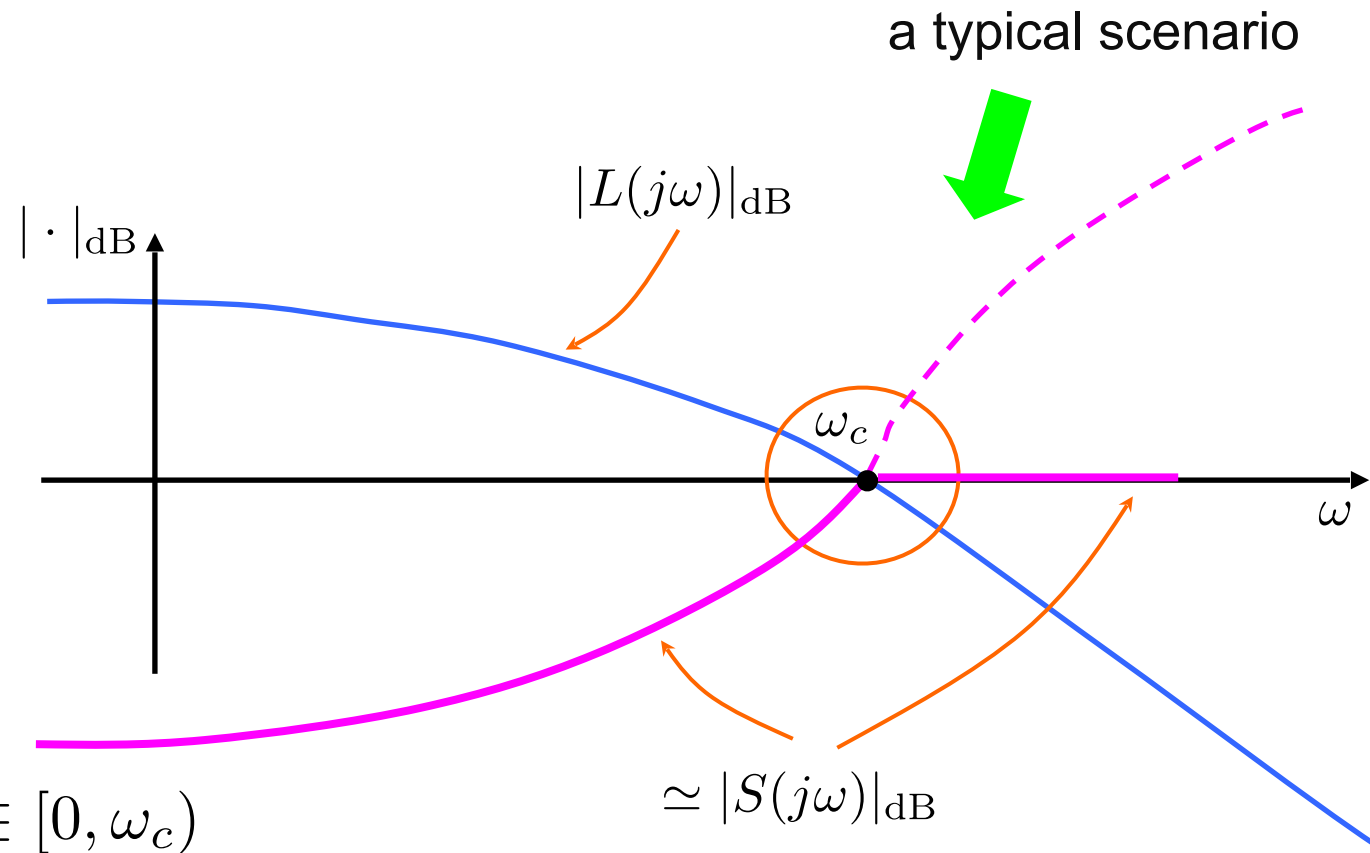
- $S(s)$ **high-pass** filter with bandwidth $B \simeq [\omega_c, \infty)$

• **Gain:**

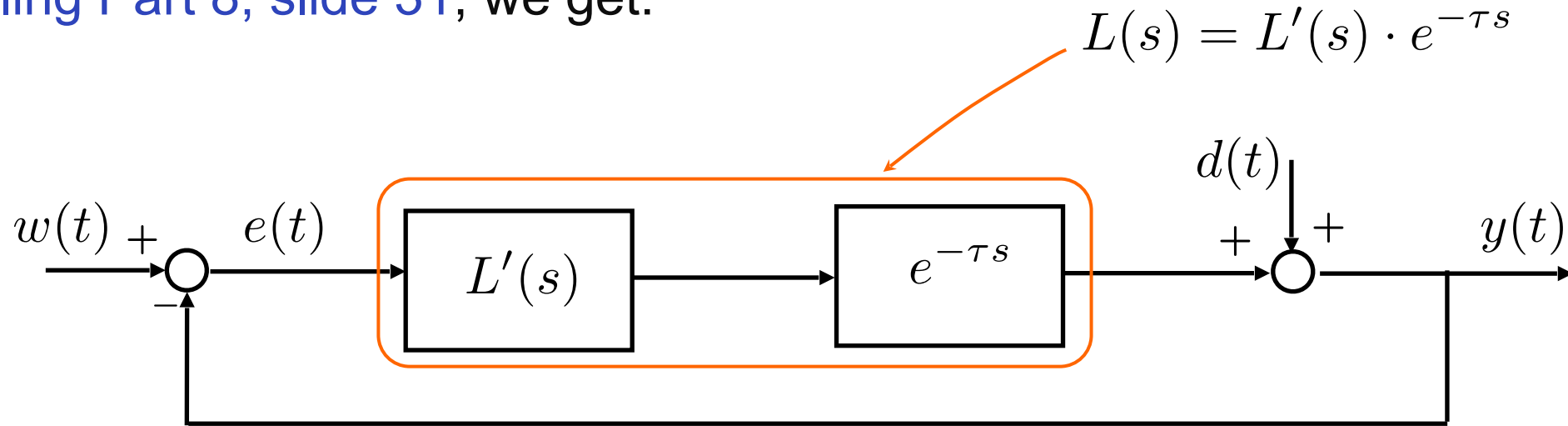
$$\mu_S = \begin{cases} 0 & \text{if } g > 0 \\ \frac{1}{1 + \mu} & \text{if } g = 0 \end{cases}$$

• **Disturbance attenuation:**

- in $B \simeq [0, \omega_c)$
- improve attenuation by:
 - increasing ω_c
 - increasing $|L(j\omega)|_{\text{dB}}, \omega \in [0, \omega_c)$



Recalling Part 8, slide 31, we get:




$$\downarrow \begin{cases} |L(j\omega)| = |L'(j\omega)| \cdot |e^{j\omega\tau}| = |L'(j\omega)| \\ \arg L(j\omega) = \arg L'(j\omega) - \omega\tau \frac{180}{\pi} \end{cases}$$

The presence of a **delay** in a closed-loop system has a significant impact on **stability** and **dynamic performance**.

- The **static analysis is unchanged**:

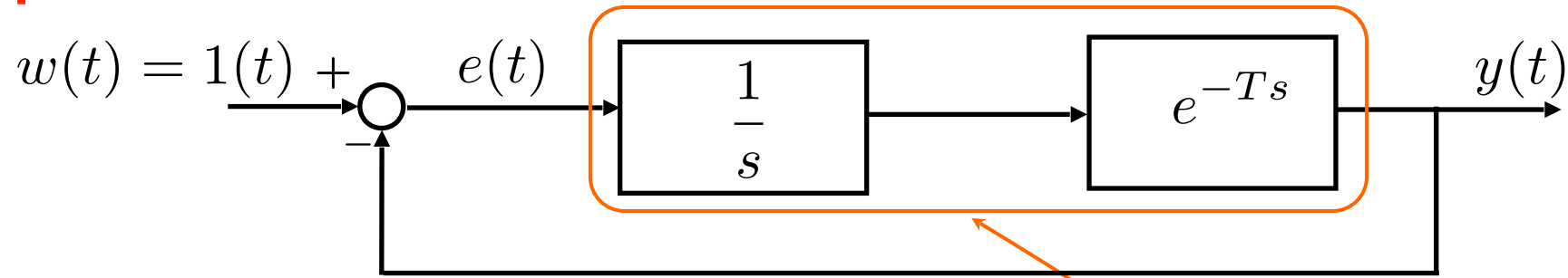
$$\lim_{s \rightarrow 0} e^{-\tau s} = 1$$

- The delay **modifies the dynamic analysis**:

- the critical angular frequency ω_c does not change (the magnitude Bode diagram does not change)
- the critical phase φ_c decreases
- the phase margin φ_m decreases  ξ decreases

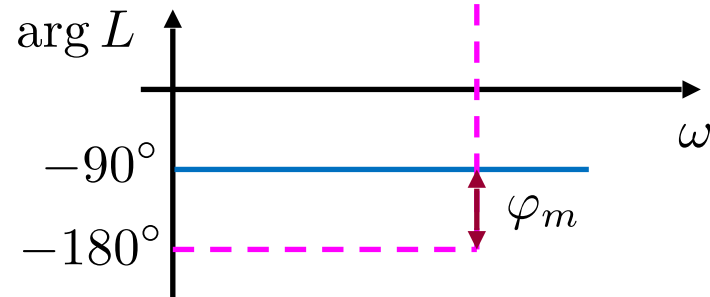
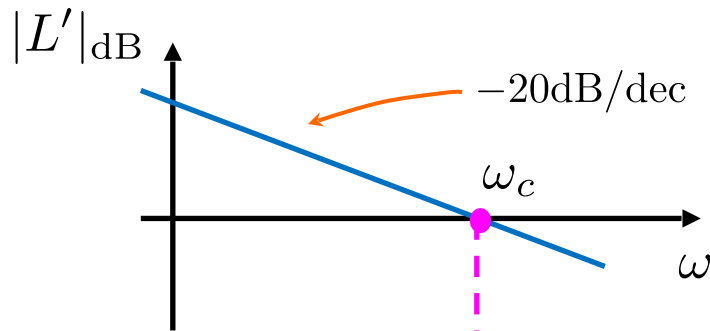
 **oscillations**

Example



- Case 1: $T = 0$, $y(\infty) = 1$

$$L(s) = L'(s) \cdot e^{-Ts} = \frac{1}{s} \cdot e^{-Ts}$$



$$\left\{ \begin{array}{l} \omega_c = 1 \\ \varphi_m = 90^\circ \quad (\varphi_c = -90^\circ) \end{array} \right.$$

dominant real pole with $\tau \simeq \frac{1}{\omega_c} = 1$

- Case 2: $T > 0$, $y(\infty) = 1$

↳ $\omega_c = 1$

$$\varphi_c = -90^\circ - \omega_c T \cdot \frac{180^\circ}{\pi}$$

$$= -90^\circ - T \cdot \frac{180^\circ}{\pi}$$

↳ $\varphi_m = 90^\circ - T \cdot \frac{180^\circ}{\pi}$

Hence:

closed-loop asymptotic stability $\longleftrightarrow T < \frac{\pi}{2} \simeq 1.57 \text{ sec}$

Consider the specific case: $T = 1$

$$\rightarrow \varphi_m = 90^\circ - T \cdot \frac{180^\circ}{\pi} = 90^\circ - 57^\circ = 33^\circ$$

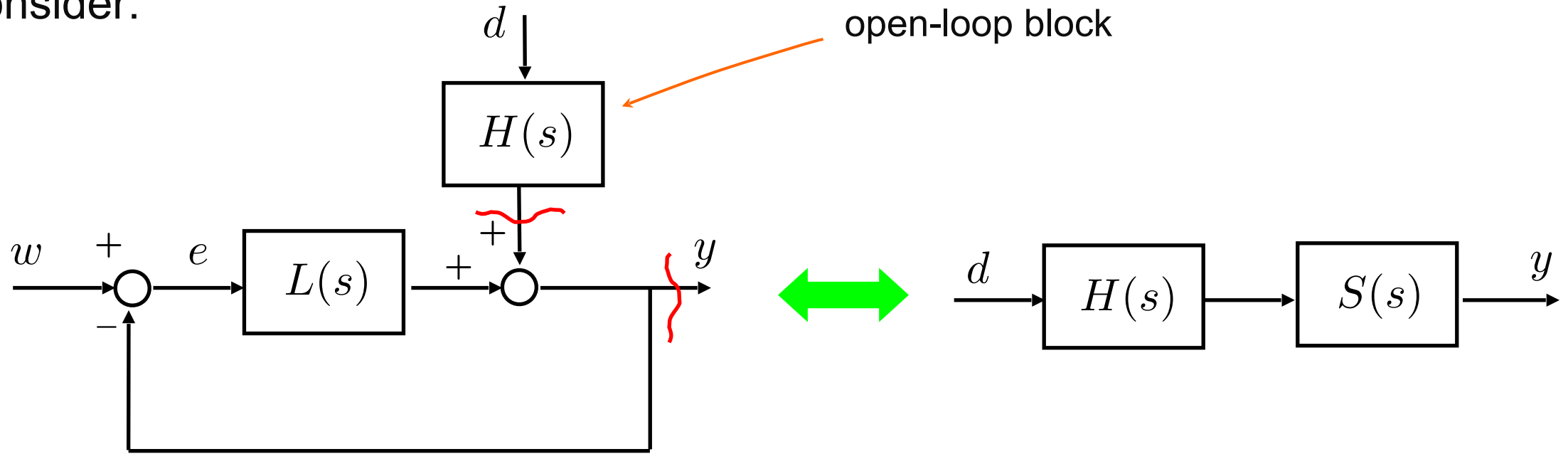
Hence, recalling slide 43:

- $\varphi_m > 75^\circ$: one dominant real pole with $\tau \simeq \frac{1}{\omega_c}$
- $\varphi_m < 75^\circ$: two dominant complex conjugate poles with $\omega_n \simeq \omega_c$; $\xi \simeq \frac{\varphi_m}{100}$

$$\rightarrow \begin{cases} \omega_n \simeq \omega_c = 1 \\ \xi \simeq \frac{\varphi_m}{100} \simeq 0.33 \end{cases} \rightarrow t_s \simeq \frac{4.6}{\xi \omega_n} \simeq 14 \text{ sec !!!}$$

Presence of Open-Loop Blocks

Consider:



Clearly:

closed-loop asymptotic stability



- $H(s)$ asymptotically stable
- ↳ $\text{Re}(\text{poles}) < 0$
- $S(s)$ asymptotically stable
- ↳ Bode, Nyquist

Clearly:
$$R(s) = \frac{H(s)}{1 + L(s)} = H(s) \cdot S(s)$$

- **Static Analysis:**

Without loss of generality, suppose $g_H = 0$, $g_L = 0$:

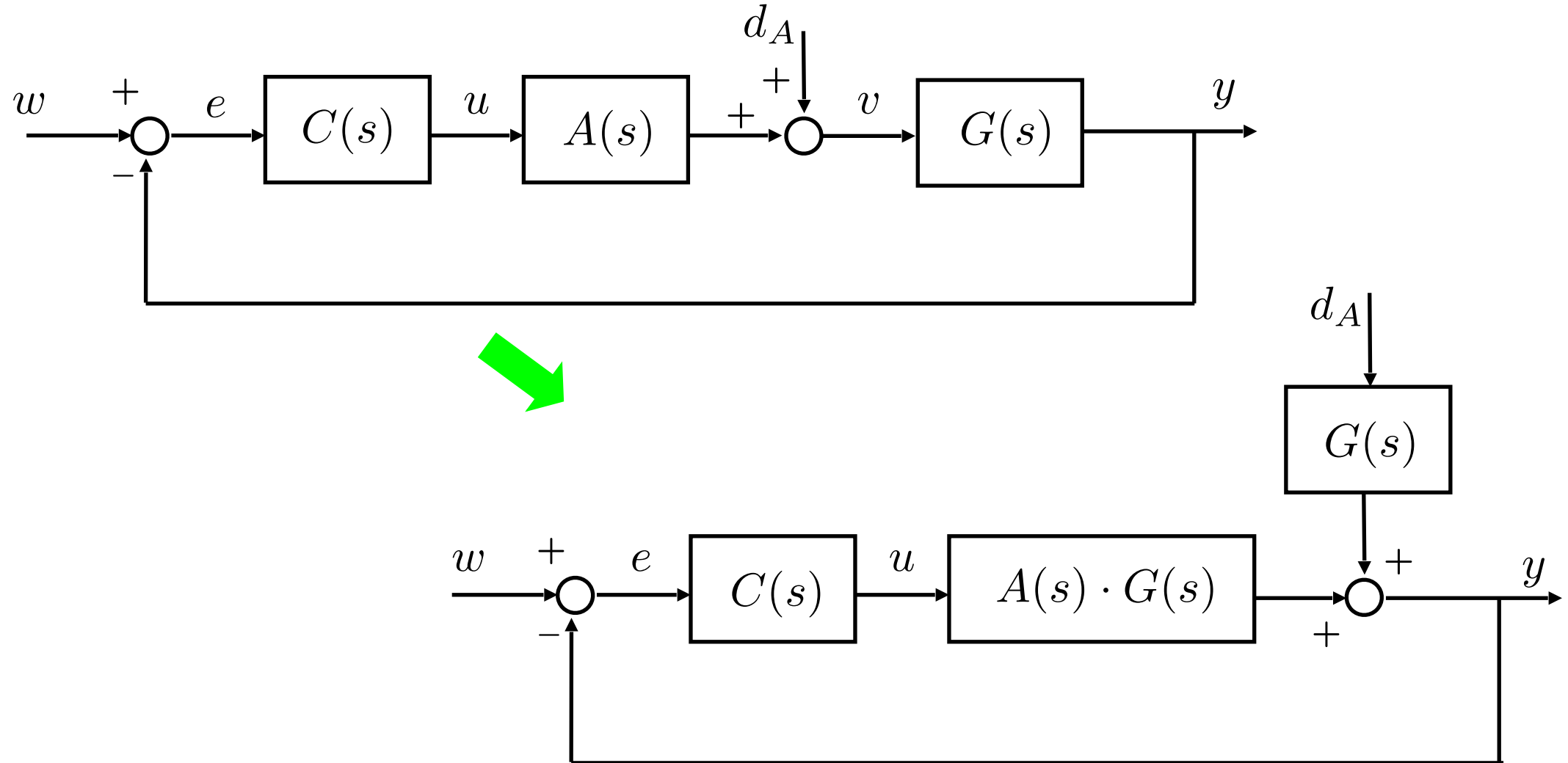
$$\begin{aligned} d(t) = A \cdot 1(t) \quad \longrightarrow \quad y(\infty) &= \lim_{s \rightarrow 0} \cancel{s} \cdot R(s) \cdot \frac{A}{\cancel{s}} = A \lim_{s \rightarrow 0} R(s) \\ &= A \cdot \frac{\mu_H}{1 + \mu_L} = A \cdot \mu_H \cdot \mu_S \end{aligned}$$

- **Dynamic Analysis:**

$$|R(j\omega)| = |H(j\omega)| \cdot |S(j\omega)| \quad \longrightarrow \quad |R(j\omega)|_{\text{dB}} = |H(j\omega)|_{\text{dB}} \oplus |S(j\omega)|_{\text{dB}}$$

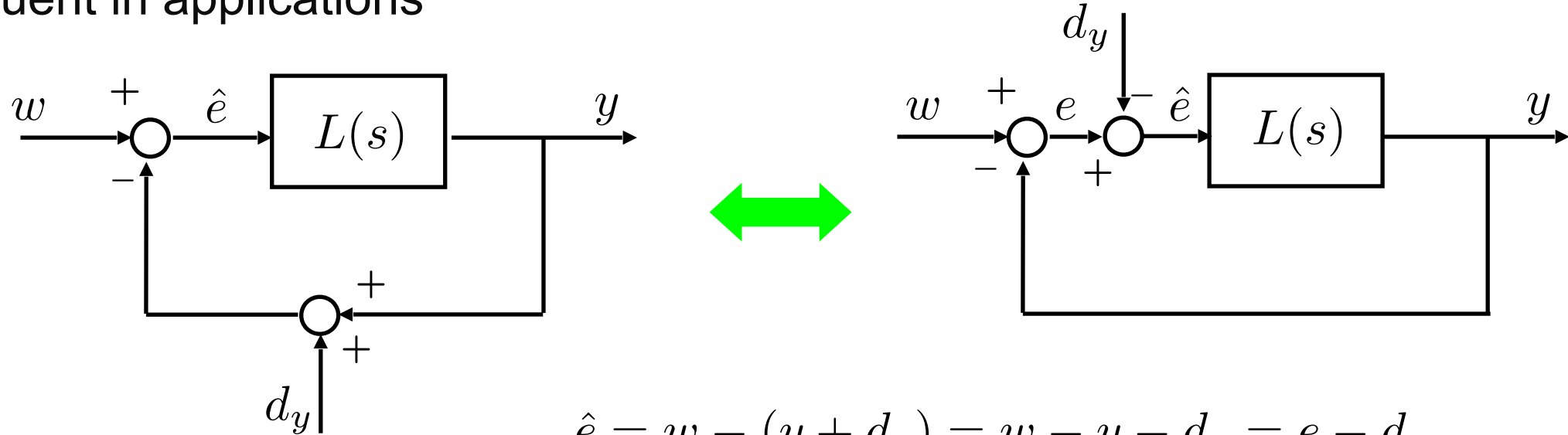
Disturbance on the Actuators

Recalling slide 10 (suppose $M(s) = 1$ for simplicity):



Disturbance on the Output Measurements

This scenario represent the presence of disturbances on sensors, very frequent in applications



Hence:

$$\hat{e} = w - (y + d_y) = w - y - d_y = e - d_y$$

$$\frac{E(s)}{D_y(s)} = -\frac{L(s)}{1 + L(s)} = -F(s)$$

low-pass filter with bandwidth $B \simeq [0, \omega_c]$

↳ **low-frequency** disturbances $d_y(t)$ influence **directly** the error $e(t)$

- **Static Analysis:**

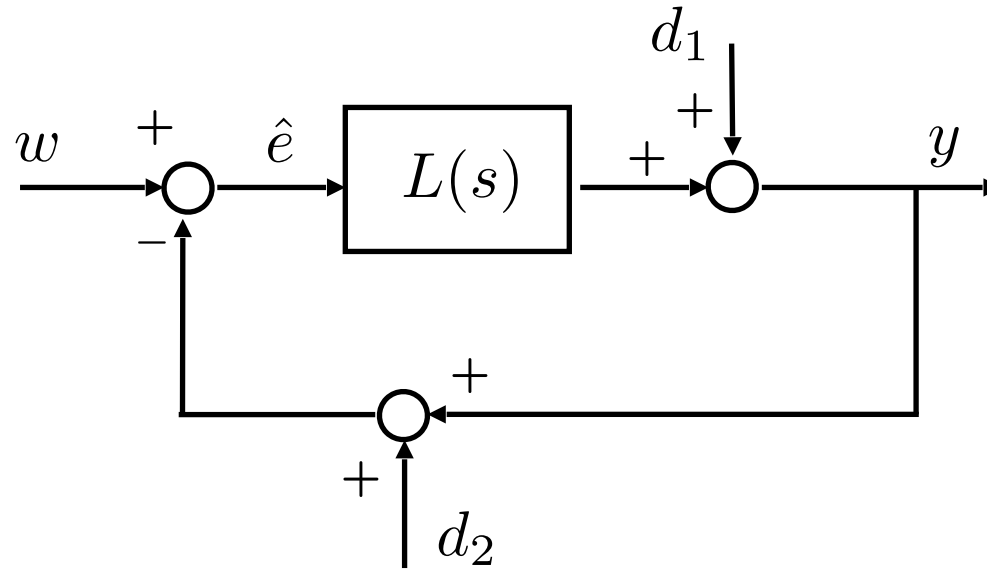
$$d_y(t) = A \cdot 1(t)$$

$$\rightarrow e(\infty) = - \lim_{s \rightarrow 0} s \cdot F(s) \frac{1}{s} = \begin{cases} -A & \text{if } g > 0 \\ -A \cdot \frac{\mu}{1 + \mu} & \text{if } g = 0 \end{cases}$$

Hence, rejection of disturbances on the direct path is in contrast with rejection of disturbances on the feedback path



Consider:



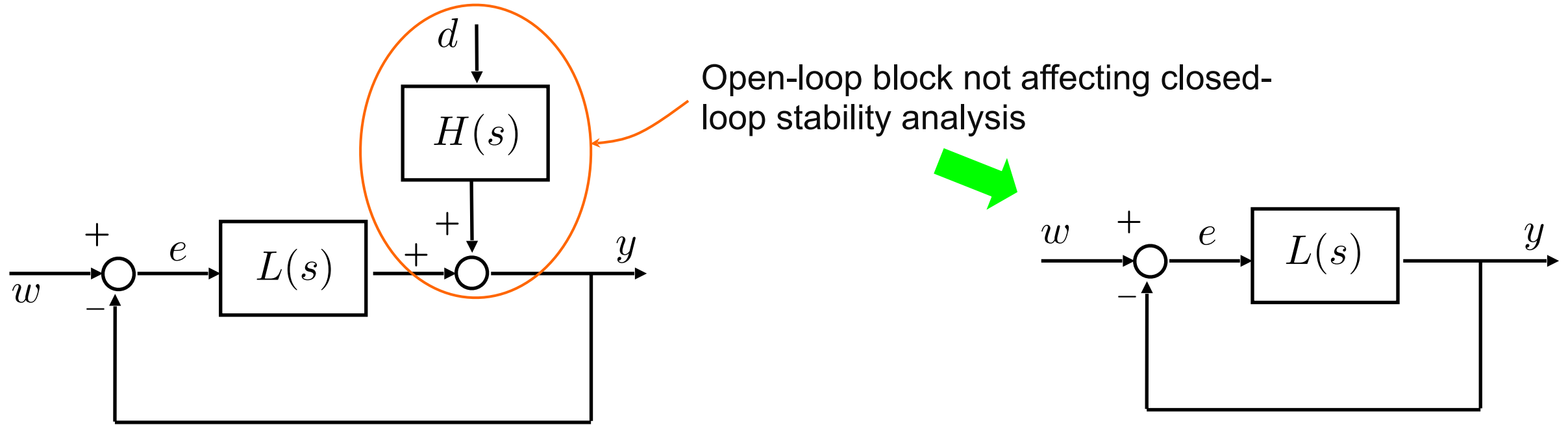
A standard feedback control system allows to reject simultaneously:

- **low-frequency** disturbances d_1 on the **direct** path with $\omega \ll \omega_c$
- **high-frequency** disturbances d_2 on the **feedback** path with $\omega \gg \omega_c$

Analysis of Feedback Control Systems Methods and Tools in the s-Domain: The Root-Locus Technique

The Root Locus Technique - Basics and Definitions

Refer to the usual scheme feedback control scheme:



The root locus technique is a *graphical method* relating the **location in the complex plane of the closed-loop poles**, that is, the roots of

$$1 + L(s) = 0$$

with the location in the complex plane of the open-loop zeros and poles and the **open-loop gain**.

The RL technique has distinct advantages and disadvantages in analysing and designing feedback control systems with respect to frequency domain tools:

- RL enables to obtain a graphical evidence on the location of **all** closed-loop poles and not only the location of the closed-loop **dominant** poles as is for frequency domain techniques (see slides [Part 9, 40-44](#))
- RL technique also can be applied in the presence of **unstable open-loop poles**
- More in general, the RL tool can be applied in many scenarios in which the Bode criterion is not applicable
- The RL cannot be applied when the open-loop transfer function $L(s)$ is not rational (i.e., a fraction of polynomial numerator and denominator). The typical case is when $L(s)$ contains **delay blocks**

We refer to the following parameterisation of $L(s)$ using poles and zeros (see slide [Part 4, 28](#)):

$$L(s) = \varrho \frac{(s + z_1)(s + z_2) \cdots (s + z_m)}{(s + p_1)(s + p_2) \cdots (s + p_n)} = \varrho \frac{\prod_{j=1}^m (s + z_j)}{\prod_{i=1}^n (s + p_i)}$$

The RL diagram shows in the complex plane for all $\varrho \in \mathbb{R}$, $\varrho \neq 0$ the roots of

$$1 + L(s) = 1 + \varrho \frac{(s + z_1)(s + z_2) \cdots (s + z_m)}{(s + p_1)(s + p_2) \cdots (s + p_n)} = 1 + \varrho \frac{\prod_{j=1}^m (s + z_j)}{\prod_{i=1}^n (s + p_i)} = 0$$

- The **direct RL** refers to all values $\varrho > 0$
- The **inverse RL** refers to all values $\varrho < 0$
- For $\varrho = 0$ the feedback loop "degenerates" and the closed-loop poles coincide with the open-loop ones

Illustrative Example:

Consider the open-loop transfer function $L(s) = \varrho \frac{s + 2}{s(s + 1)}$. We have:

$$1 + L(s) = 1 + \varrho \frac{s + 2}{s(s + 1)} = \frac{s(s + 1) + \varrho(s + 2)}{s(s + 1)} = \frac{s^2 + (1 + \varrho)s + 2\varrho}{s(s + 1)}$$

Hence, the closed-loop poles coincide with the roots of the equation

$$s^2 + (1 + \varrho)s + 2\varrho = 0$$

and the location in the complex plane of these roots changes when ϱ changes thus giving rise to **changes** in **closed-loop stability** properties, as well as **changes** in characteristics of the **closed-loop transient behaviours**.

We have:

$$1 + L(s) = 0 \quad \longrightarrow \quad 1 + \varrho \frac{\prod_{j=1}^m (s + z_j)}{\prod_{i=1}^n (s + p_i)} = 0 \quad \longrightarrow \quad \frac{\prod_{j=1}^m (s + z_j)}{\prod_{i=1}^n (s + p_i)} = -\frac{1}{\varrho}$$

Thus:

- $$\frac{\prod_{j=1}^m |s + z_j|}{\prod_{i=1}^n |s + p_i|} = \frac{1}{|\varrho|}$$

- $$\arg \prod_{j=1}^m (s + z_j) - \arg \prod_{i=1}^n (s + p_i) = \begin{cases} (2k + 1) \cdot 180^\circ, & k \in \mathbb{Z}, & \text{if } \varrho > 0 \text{ (Direct RL)} \\ 2k \cdot 180^\circ, & k \in \mathbb{Z}, & \text{if } \varrho < 0 \text{ (Inverse RL)} \end{cases}$$

- Relationship $\frac{\prod_{j=1}^m |s + z_j|}{\prod_{i=1}^n |s + p_i|} = \frac{1}{|\varrho|}$ associates every RL point with the values of ϱ

- Relationships

$$\arg \prod_{j=1}^m (s + z_j) - \arg \prod_{i=1}^n (s + p_i) = \begin{cases} (2k + 1) \cdot 180^\circ, & k \in \mathbb{Z}, & \text{if } \varrho > 0 \text{ (Direct RL)} \\ 2k \cdot 180^\circ, & k \in \mathbb{Z}, & \text{if } \varrho < 0 \text{ (Inverse RL)} \end{cases}$$

fully characterise the shape of the Direct and Inverse RLs in the complex plane

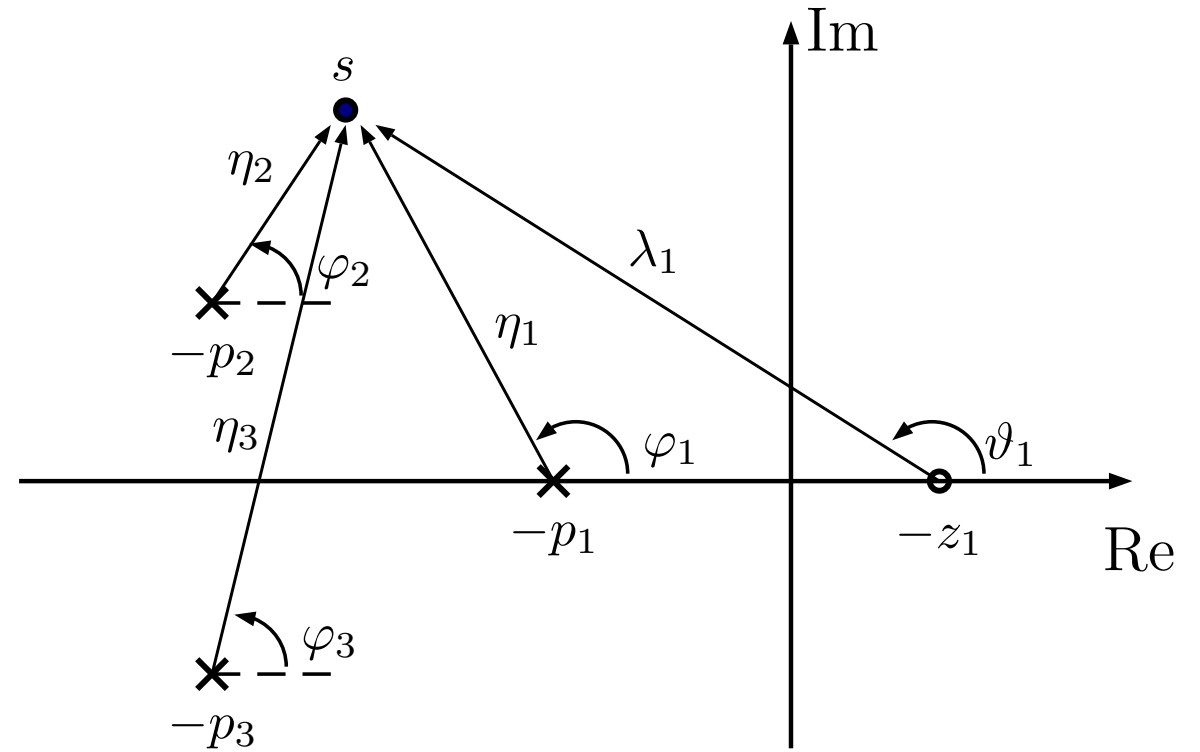
The Root Locus Technique - Geometric Interpretation

From

$$\frac{\prod_{j=1}^m |s + z_j|}{\prod_{i=1}^n |s + p_i|} = \frac{1}{|Q|}$$

we get

$$\frac{\prod_{j=1}^m |s + z_j|}{\prod_{i=1}^n |s + p_i|} = \frac{\prod_{j=1}^m \lambda_j}{\prod_{i=1}^n \eta_i} = \frac{1}{|Q|}$$



Moreover, from

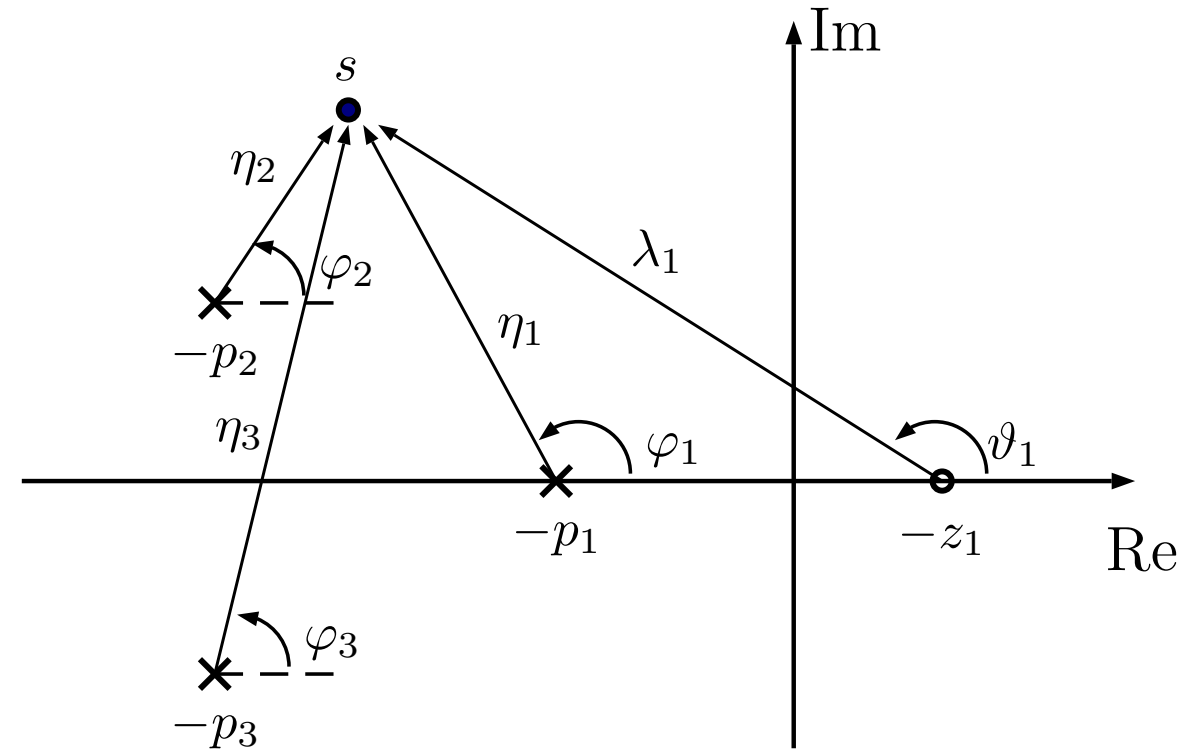
$$\arg \prod_{j=1}^m (s + z_j) = \sum_{j=1}^m \arg (s + z_j) = \sum_{j=1}^m \theta_j$$

and

$$\arg \prod_{i=1}^n (s + p_i) = \sum_{i=1}^n \arg (s + p_i) = \sum_{i=1}^n \varphi_i$$

we get

$$\sum_{j=1}^m \theta_j - \sum_{i=1}^n \varphi_i = \begin{cases} (2k + 1) \cdot 180^\circ, & k \in \mathbb{Z}, & \text{if } \rho > 0 \text{ (Direct RL)} \\ 2k \cdot 180^\circ, & k \in \mathbb{Z}, & \text{if } \rho < 0 \text{ (Inverse RL)} \end{cases}$$



Illustrative Example:

Consider the open-loop transfer function:

$$L(s) = \varrho \frac{s - 1}{(s + 2)(s^2 + 6s + 13)}$$

The value of ϱ for the specific point s in the complex plane is:

$$|\varrho| = \frac{1}{\lambda_1} \prod_{i=1}^3 \eta_i$$

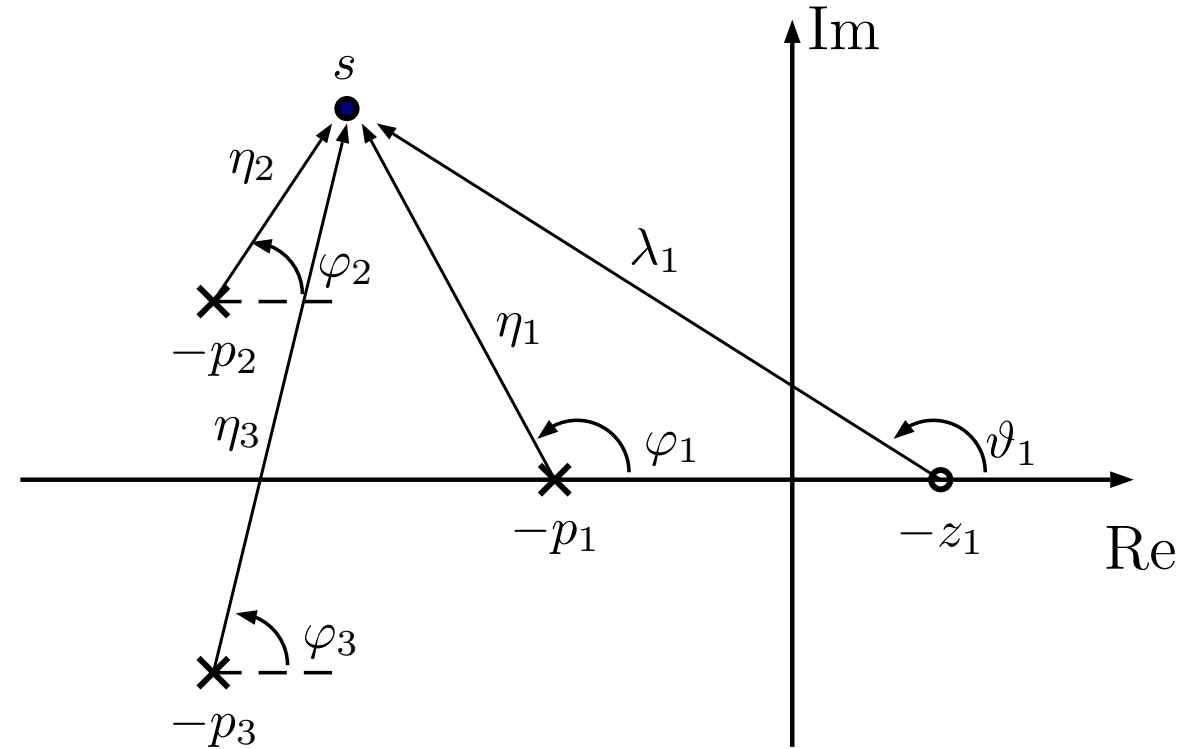
and

- the specific point s in the complex plane belongs to the Direct RL if

$$\theta_1 - \sum_{i=1}^3 \varphi_i = (2k + 1) \cdot 180^\circ, \quad k \in \mathbb{Z}$$

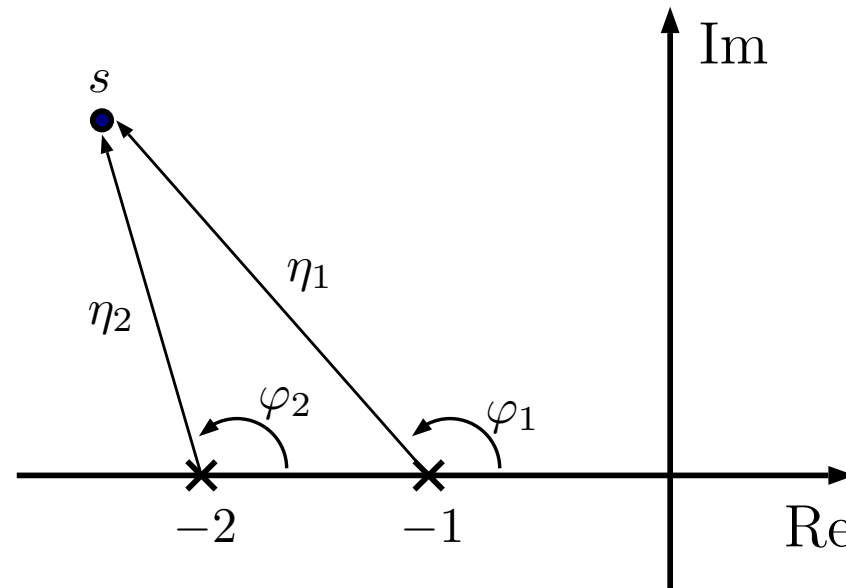
- the specific point s in the complex plane belongs to the Inverse RL if

$$\theta_1 - \sum_{i=1}^3 \varphi_i = 2k \cdot 180^\circ, \quad k \in \mathbb{Z}$$



A Simple Example

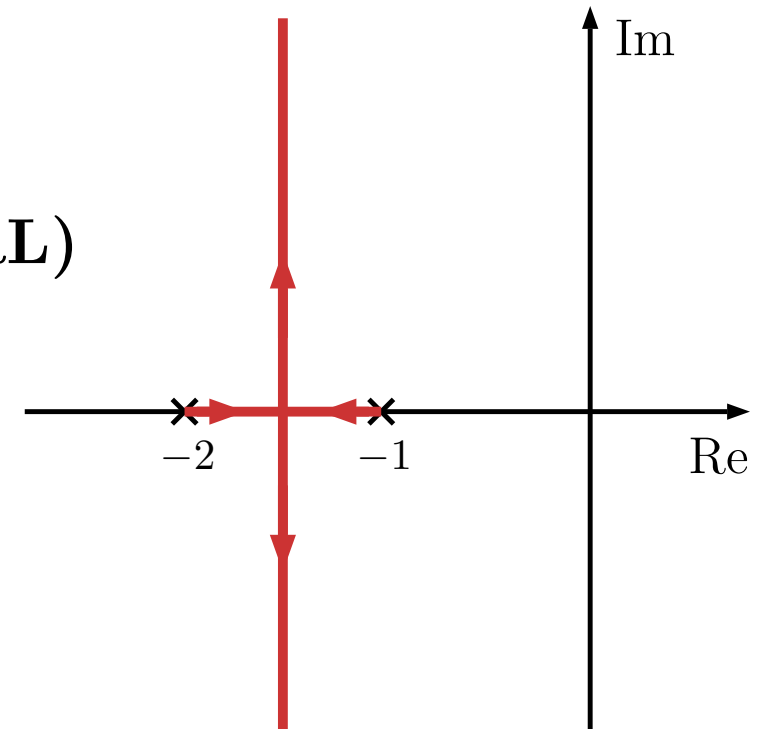
$$L(s) = \varrho \frac{1}{(s+1)(s+2)}$$



We have:

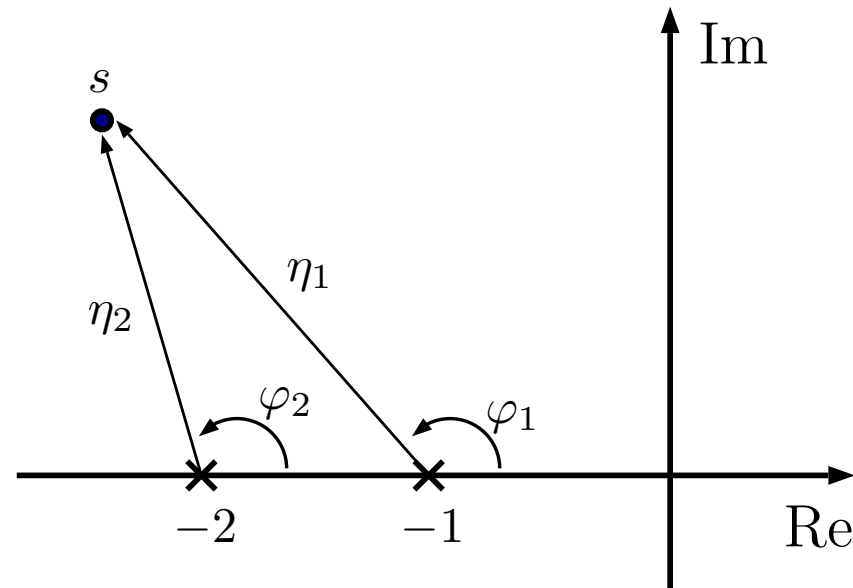
$$-\varphi_1 - \varphi_2 = (2k+1) \cdot 180^\circ, \quad k \in \mathbb{Z}, \quad \text{if } \varrho > 0 \text{ (Direct RL)}$$

The arrows on the Direct RL branches depicted in **red** show the directions of movement of the closed-loop poles for increasing values of $\varrho > 0$



A Simple Example (contd.)

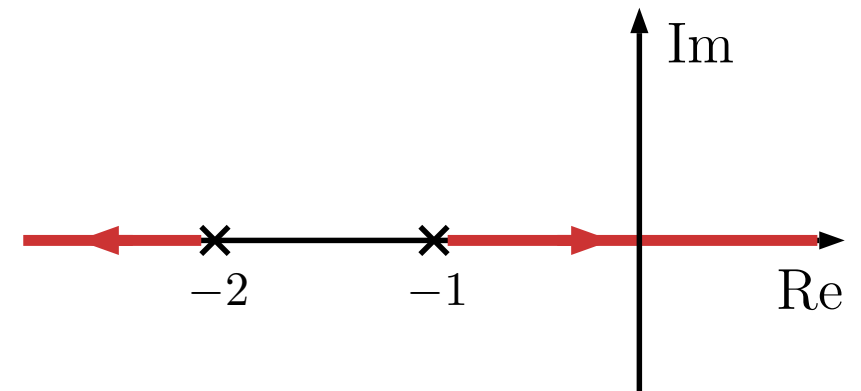
$$L(s) = \varrho \frac{1}{(s+1)(s+2)}$$



We have:

$$-\varphi_1 - \varphi_2 = 2k \cdot 180^\circ, \quad k \in \mathbb{Z}, \quad \text{if } \varrho < 0 \quad (\text{Inverse RL})$$

The arrows on the Inverse RL branches depicted in **red** show the directions of movement of the closed-loop poles for decreasing values of $\varrho < 0$ (that is, increasing values of $|\varrho|$).



Starting from $L(s) = \varrho \frac{1}{(s+1)(s+2)}$ we have

$$1 + L(s) = 1 + \varrho \frac{1}{(s+1)(s+2)} = \frac{(s+1)(s+2) + \varrho}{(s+1)(s+2)} = \frac{s^2 + 3s + (2 + \varrho)}{(s+1)(s+2)}$$

that gives the solutions $s_{1,2} = -\frac{3}{2} \pm \sqrt{1 - 4\varrho}$

- However, this is **not** the procedure that is typically carried out to draw the RL.
- Instead, the construction of the RL typically follows some **basic properties** shown in the subsequent slides.

The Root Locus Technique - Basic Properties

Consider again:

$$1 + L(s) = 0 \quad \longrightarrow \quad 1 + \varrho \frac{\prod_{j=1}^m (s + z_j)}{\prod_{i=1}^n (s + p_i)} = 0 \quad \longrightarrow \quad \prod_{i=1}^n (s + p_i) + \varrho \prod_{j=1}^m (s + z_j) = 0$$

- **Property 1:** the RL is made of $2n$ branches: n branches constitute the **Direct RL** and n branches constitute the **Inverse RL**
- **Property 2:** the RL is symmetric with respect to the real axis
- **Property 3:** when $|\varrho| \rightarrow 0$ the RL branches "originate" from the open-loop poles, that is the poles of $L(s)$
- **Property 4:** when $|\varrho| \rightarrow \infty$, m branches of the RL "terminate" on the open-loop zeros, that is the zeros of $L(s)$ and $n - m$ branches diverge to infinity

- **Property 5:** the $n - m$ branches diverging to infinity asymptotically approach asymptotes having the following characteristics:
 - the $n - m$ asymptotes intersect the real axis on the point x_a (named **centroid**)

as follows:

$$x_a = \frac{1}{n - m} \left(\sum_{j=1}^m z_j - \sum_{i=1}^n p_i \right)$$

- the $n - m$ asymptotes form the following angles with the real axis:

$$\psi_a = \begin{cases} \frac{(2k + 1) \cdot 180^\circ}{n - m}, & k = 0, \dots, n - m - 1, & \text{if } \varrho > 0 \text{ (Direct RL)} \\ \frac{2k \cdot 180^\circ}{n - m}, & k = 0, \dots, n - m - 1, & \text{if } \varrho < 0 \text{ (Inverse RL)} \end{cases}$$

- **Property 6**: all points on the real axis belong to the RL (Direct and Inverse), excluding the open-loop zeros and poles of $L(s)$
- **Property 7**: all points on the real axis having on their right-hand-side an **odd** number of open-loop zeros and poles of $L(s)$ belong to the **Direct RL**
- **Property 8**: all points on the real axis having on their right-hand-side an **even** number of open-loop zeros and poles of $L(s)$ belong to the **Inverse RL**
- **Property 9**: possible intersections $\bar{x} \in \mathbb{R}$ of the RL with the real axis can be obtained as follows:

$$\bar{x} \in \mathbb{R} \text{ such that } \left. \frac{d\gamma(x)}{dx} \right|_{x=\bar{x}} = 0 \quad \text{where} \quad \gamma(x) = -\frac{\prod_{i=1}^n (x + p_i)}{\prod_{j=1}^m (x + z_j)}$$

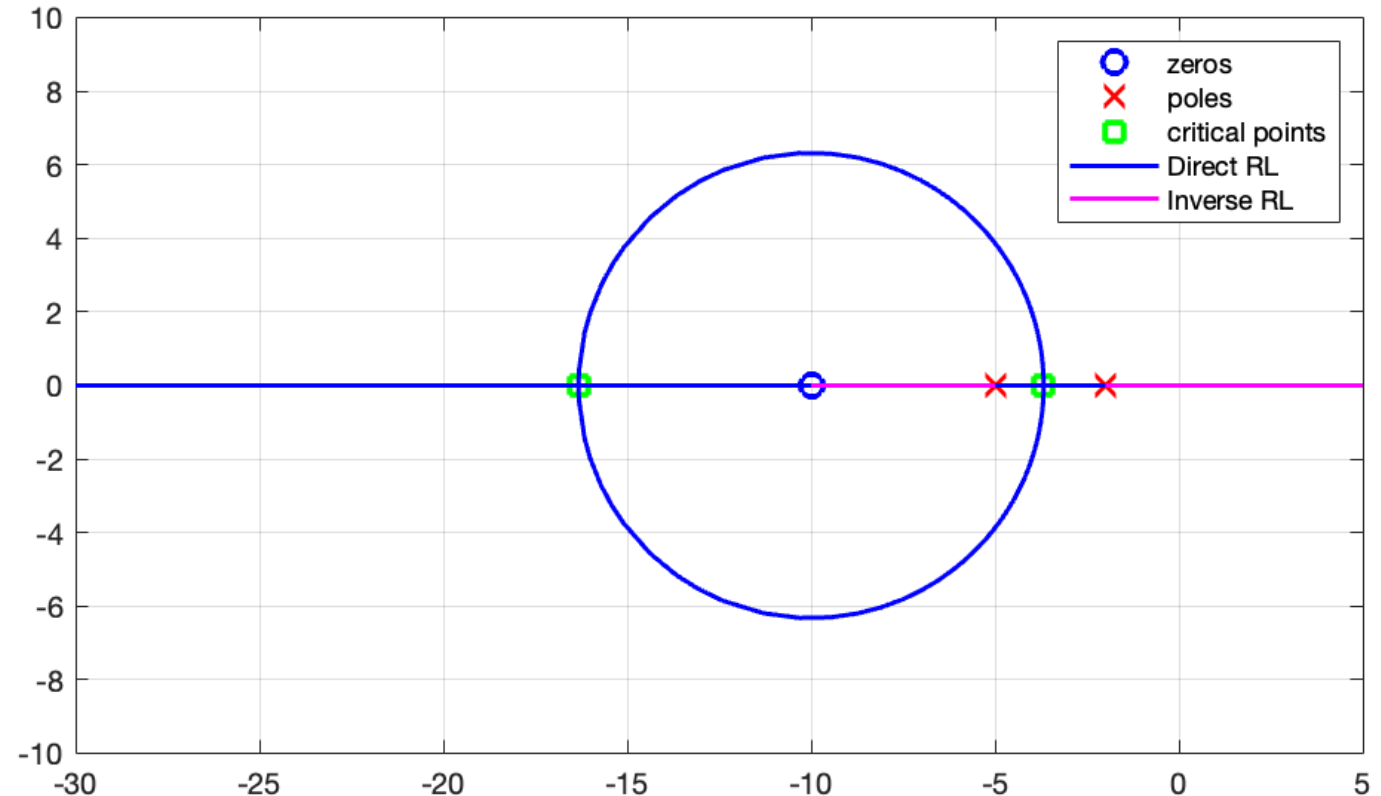
that is, $\bar{x} \in \mathbb{R}$ are **stationary points** (if any) of $\gamma(x)$

Example 1

$$L(s) = \frac{s + 10}{(s + 5)(s + 2)}$$

Remarks:

- The open-loop zero and poles are all located in the left half-plane. Hence, as shown by the **Direct RL**, the closed-loop system is asymptotically stable for any $\rho > 0$
- As shown by the **Inverse RL**, decreasing $\rho < 0$ makes the closed-loop system unstable
- In the **Direct RL**, the zero "attracts" the RL for increasing $\rho > 0$
- In the **Direct RL**, increasing $\rho > 0$ causes first a decrease, then an increase of the damping ratio, eventually yielding real closed-loop poles for high values of $\rho > 0$



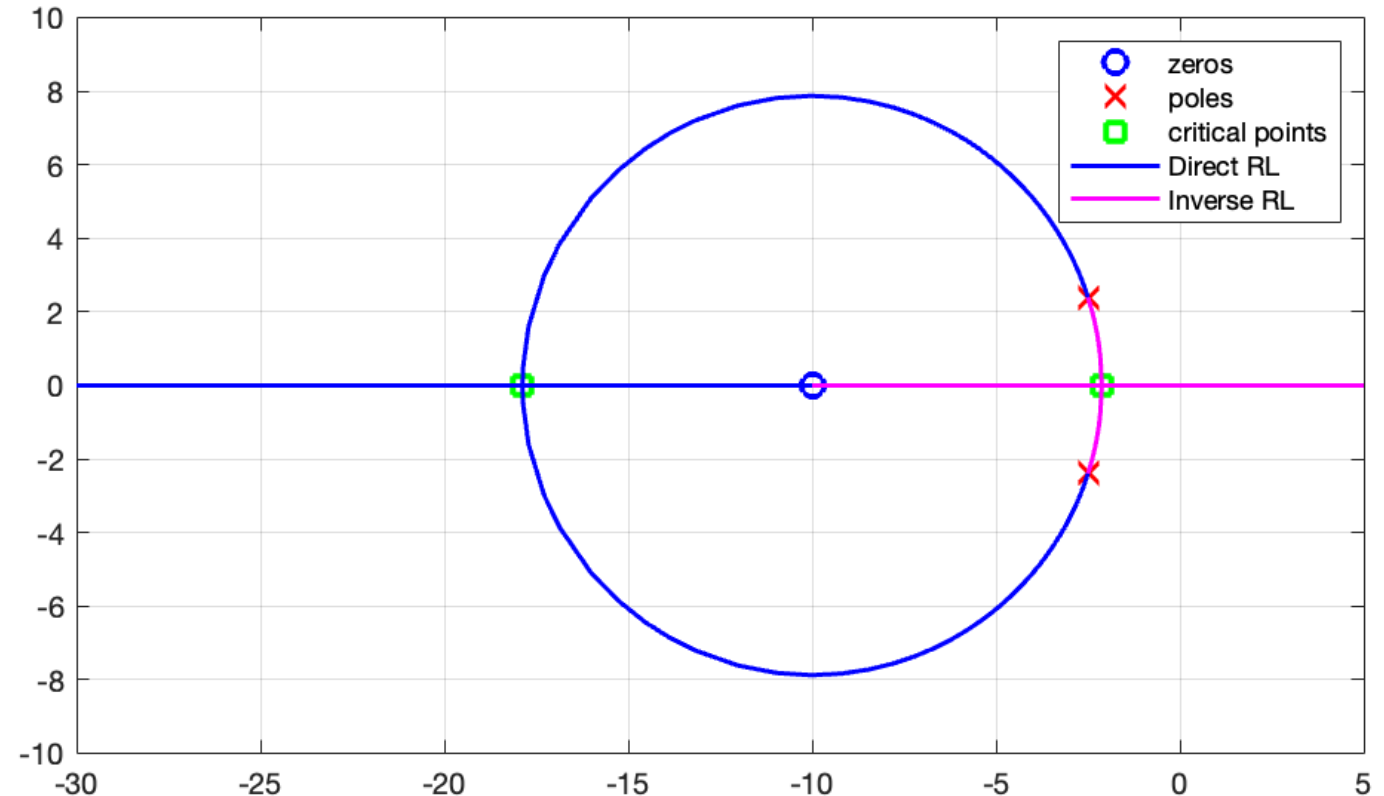
- Both critical points belong to the **Direct RL**

Example 2

$$L(s) = \frac{s + 10}{s^2 + 5s + 12}$$

Remarks:

- The open-loop zero and poles are all located in the left half-plane. Hence, as shown by the **Direct RL**, the closed-loop system is asymptotically stable for any $\rho > 0$
- As shown by the **Inverse RL**, decreasing $\rho < 0$ makes the closed-loop system unstable
- In the **Direct RL**, the zero "attracts" the RL for increasing $\rho > 0$
- In the **Direct RL**, increasing $\rho > 0$ causes first a decrease, then an increase of the damping ratio, eventually yielding real closed-loop poles for high values of $\rho > 0$



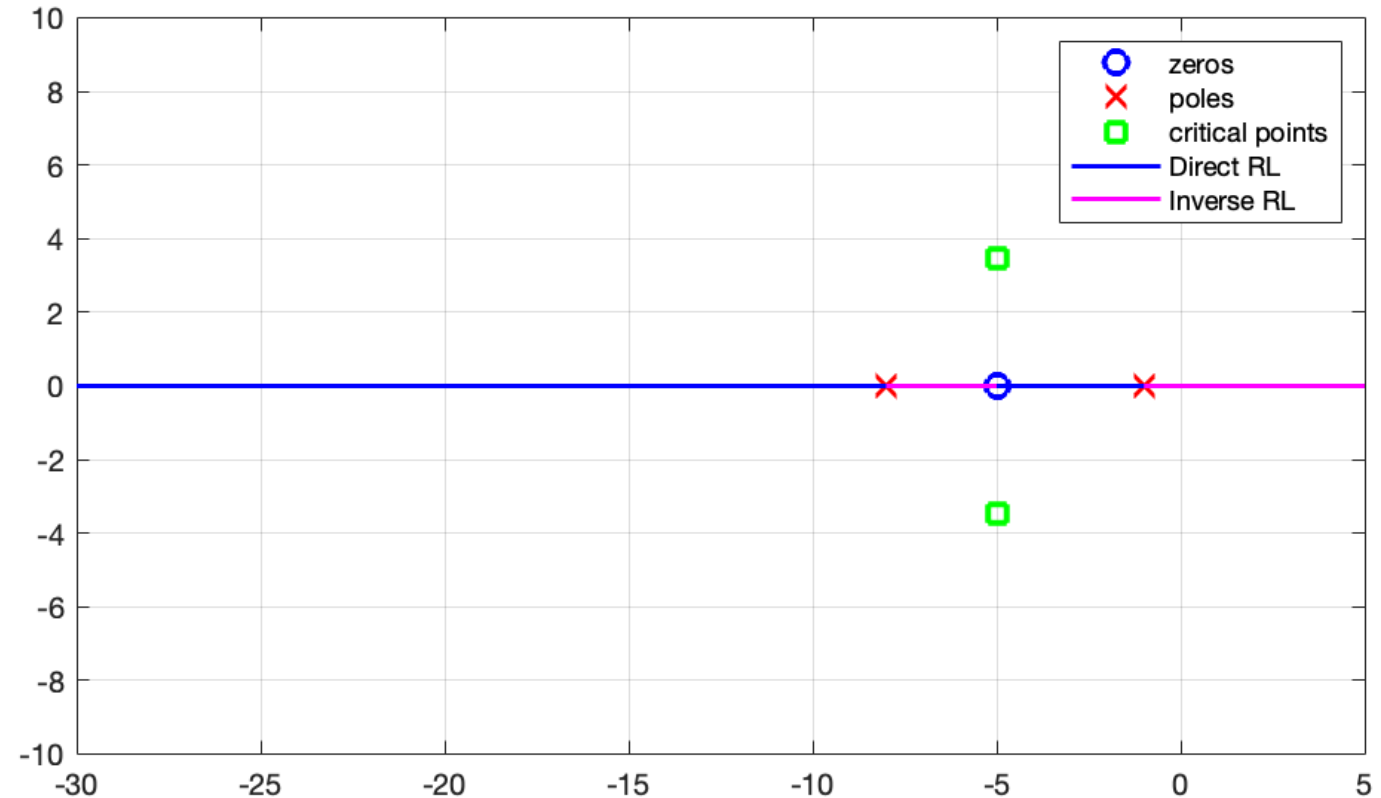
- One critical point belongs to the **Direct RL** and one to the **Inverse RL**

Example 3

$$L(s) = \frac{s + 5}{(s + 1)(s + 8)}$$

Remarks:

- The open-loop zero and poles are all located in the left half-plane. Hence, as shown by the **Direct RL**, the closed-loop system is asymptotically stable for any $\rho > 0$
- As shown by the **Inverse RL**, decreasing $\rho < 0$ makes the closed-loop system unstable
- In the **Direct RL**, the zero "attracts" the RL for increasing $\rho > 0$
- **Direct** and **Inverse RLs** develop only on the real axis
- Critical points do **not** belong to the RL (neither **Direct** nor **Inverse**)

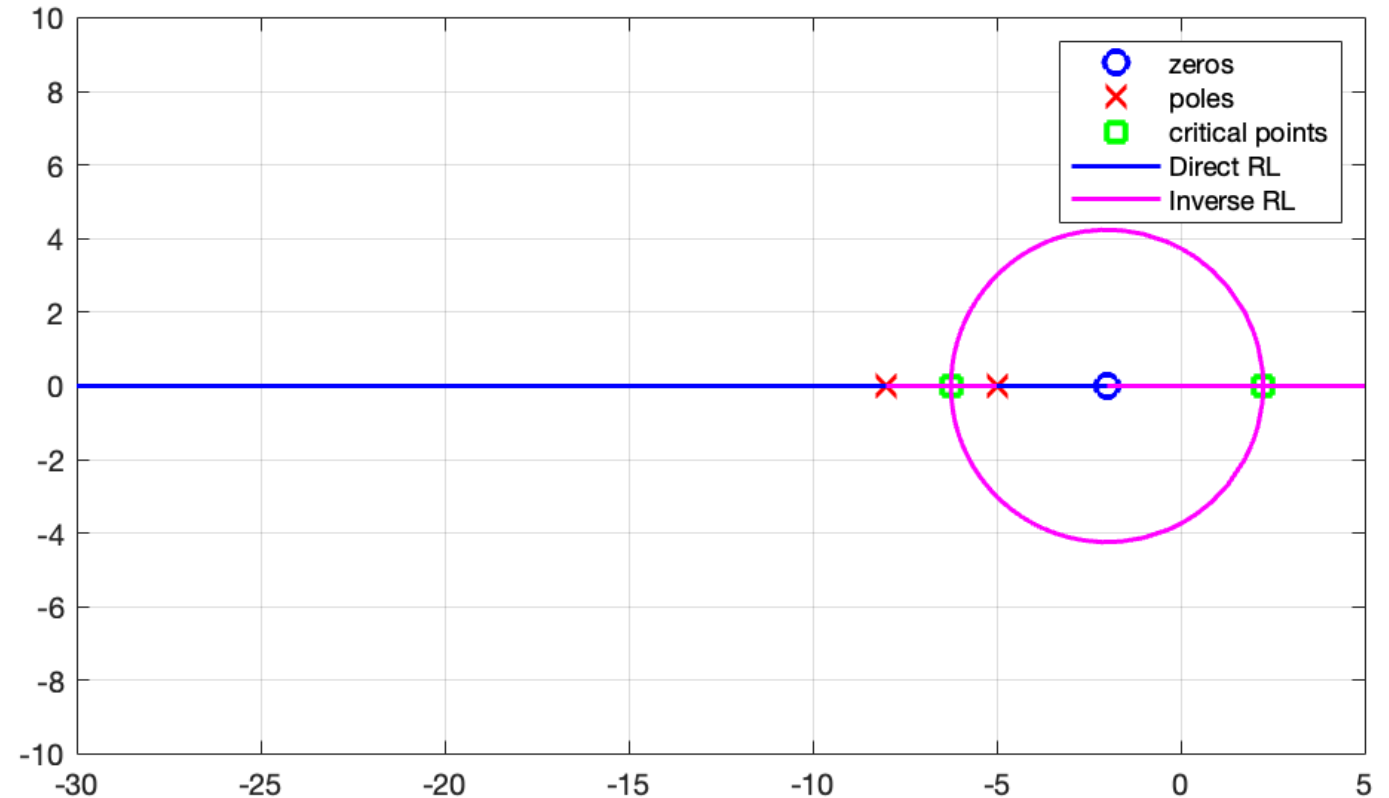


Example 4

$$L(s) = \frac{s + 2}{(s + 5)(s + 8)}$$

Remarks:

- The open-loop zero and poles are all located in the left half-plane. Hence, as shown by the **Direct RL**, the closed-loop system is asymptotically stable for any $\rho > 0$
- As shown by the **Inverse RL**, decreasing $\rho < 0$ makes the closed-loop system unstable
- In the **Direct RL**, the zero "attracts" the RL for increasing $\rho > 0$
- The **Direct RL**, fully belongs to the real axis, hence closed-loop poles are all real and negative for any value of $\rho > 0$



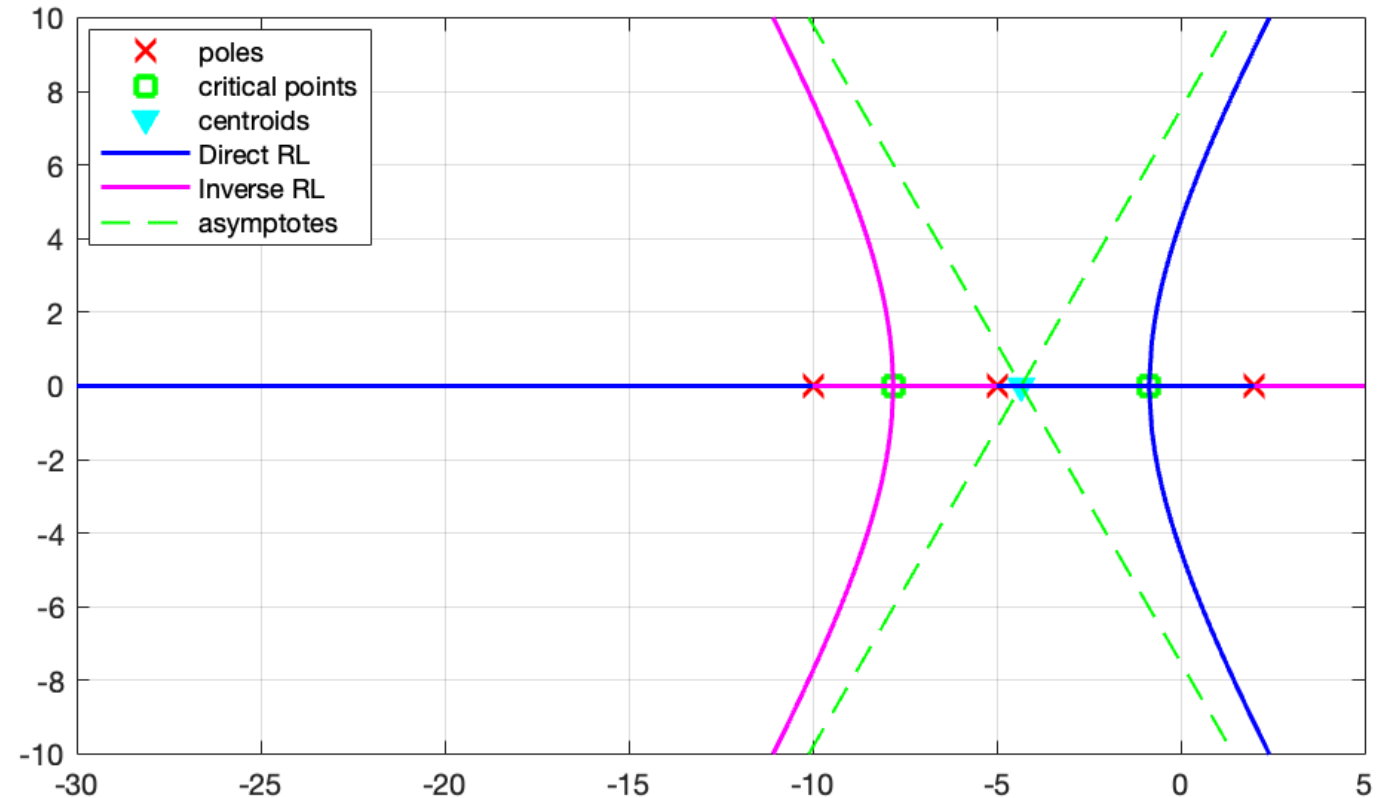
- Unlike Example 1, both critical points now belong to the **Inverse RL**

Example 5

$$L(s) = \frac{1}{(s - 2)(s + 5)(s + 10)}$$

Remarks:

- There are no open-loop zeros and one of the open-loop poles is located in the right half-plane.
- Both the **Direct RL** and the **Inverse RL** have three asymptotes forming angles of 120° between them
- As shown by the **Direct RL**, increasing $\varrho > 0$ makes the closed-loop system unstable
- One branch of the **Inverse RL**, fully belongs to the positive real axis, hence for any $\varrho < 0$, the closed-loop system is unstable



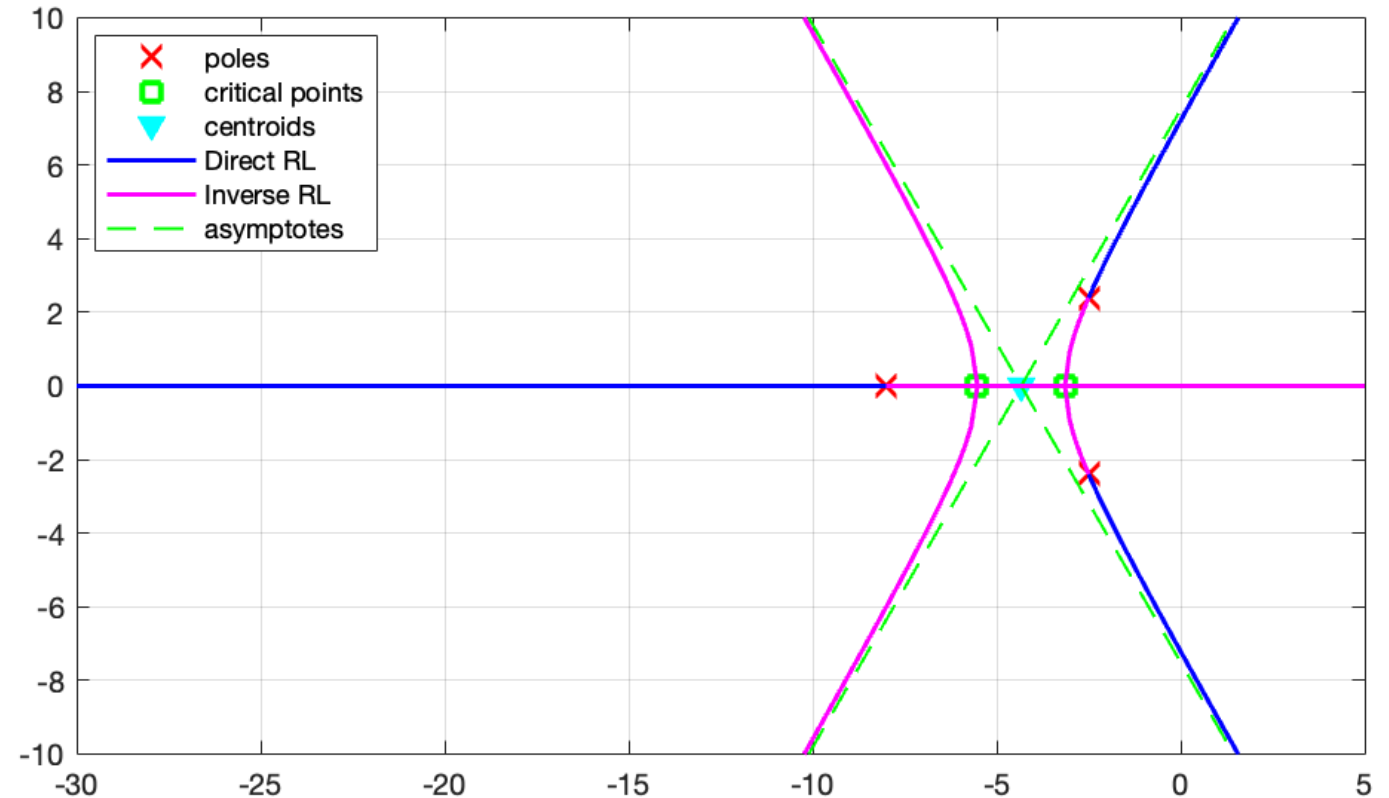
- One critical point belongs to the **Direct RL** and one to the **Inverse RL**

Example 6

$$L(s) = \frac{1}{(s + 8)(s^2 + 5s + 12)}$$

Remarks:

- There are no open-loop zeros and all the open-loop poles are located in the left half-plane. The real open-loop pole is rather "distant" from the pair of complex-conjugate open-loop poles.
- Both the **Direct RL** and the **Inverse RL** have three asymptotes forming angles of 120° between them
- As shown by the **Direct RL**, increasing $\rho > 0$ makes the closed-loop system unstable
- Likewise, as shown by the **Inverse RL**, decreasing $\rho < 0$ makes the closed-loop system unstable



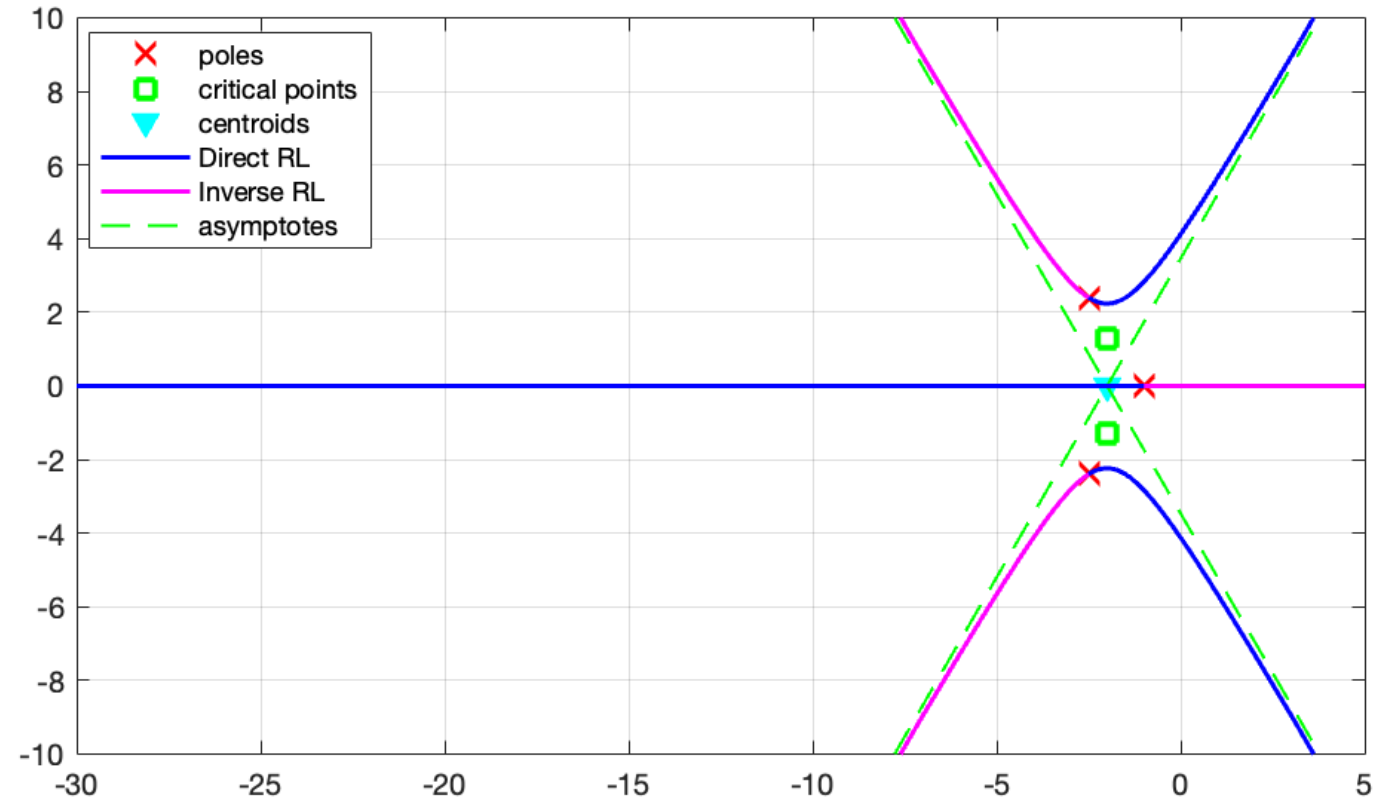
- Both critical points belong to the negative real axis as part of the **Inverse RL**

Example 7

$$L(s) = \frac{1}{(s + 1)(s^2 + 5s + 12)}$$

Remarks:

- There are no open-loop zeros and all the open-loop poles are located in the left half-plane. Unlike Example 6, the real open-loop pole is rather "close" to the pair of complex-conjugate open-loop poles.
- Both the **Direct RL** and the **Inverse RL** have three asymptotes forming angles of 120° between them
- As shown by the **Direct RL**, increasing $\rho > 0$ makes the closed-loop system unstable
- Likewise, as shown by the **Inverse RL**, decreasing $\rho < 0$ makes the closed-loop system unstable



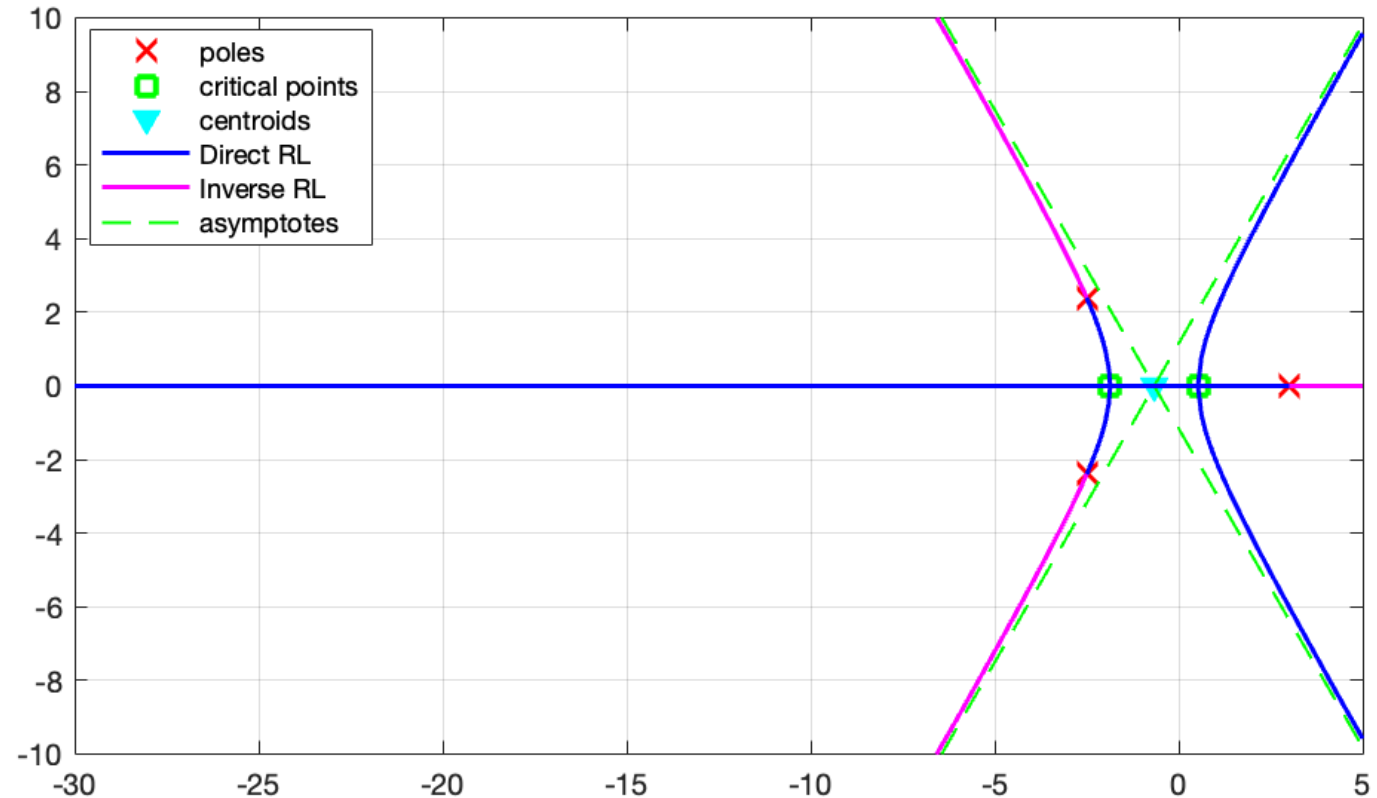
- Both critical points do **not** belong to the **RL** (neither the **Direct** nor the **Inverse**)

Example 8

$$L(s) = \frac{1}{(s - 3)(s^2 + 5s + 12)}$$

Remarks:

- There are no open-loop zeros and one of the open-loop poles is located in the right half-plane. The real open-loop pole is rather "distant" from the pair of complex-conjugate open-loop poles.
- Both the **Direct RL** and the **Inverse RL** have three asymptotes forming angles of 120° between them
- For any $\varrho > 0$ the **Direct RL** shows that the closed-loop system is unstable
- Likewise, for any $\varrho < 0$ the **Inverse RL** shows that the closed-loop system is unstable



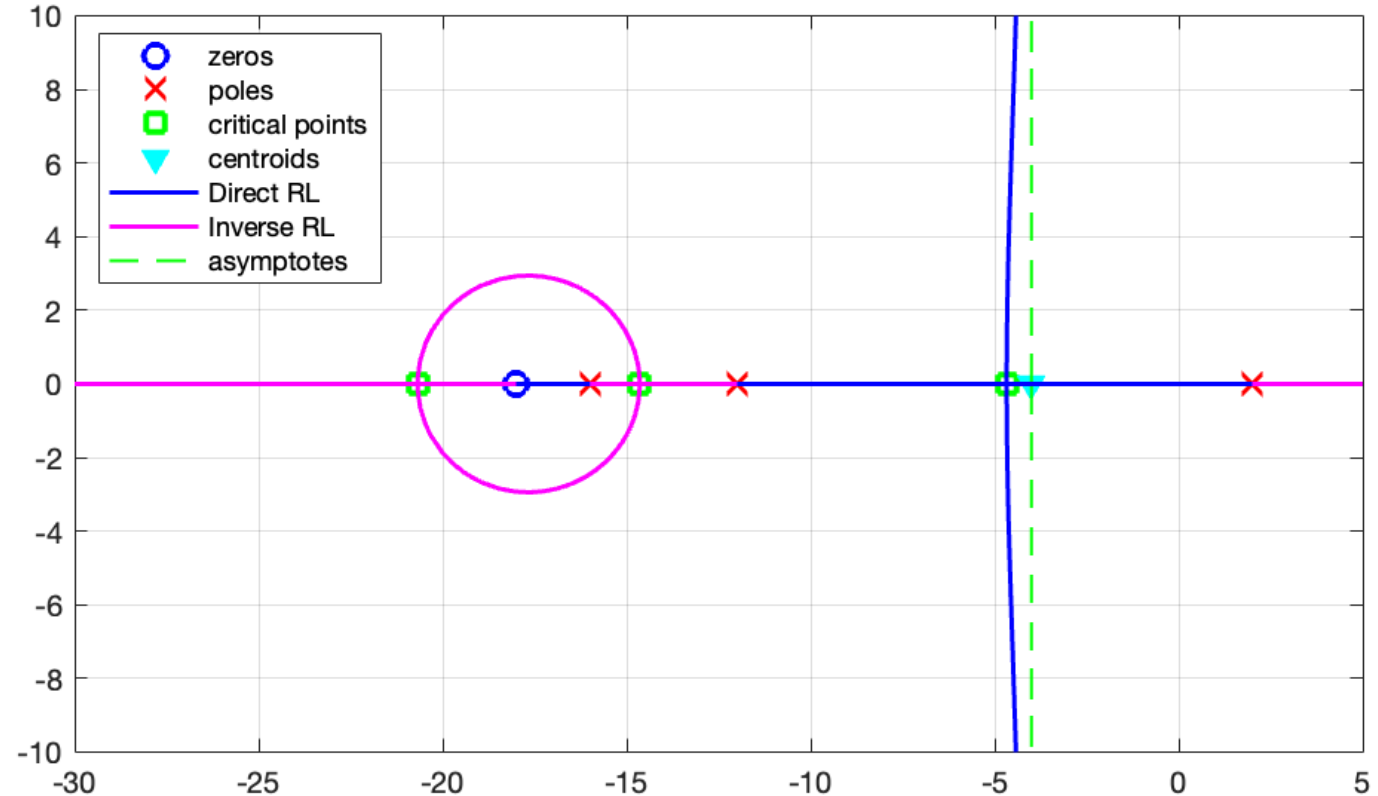
- The "form" of the RL is very similar to the one in Example 6
- But, both critical points belong to the **Direct RL**: one belongs to the negative real axis and the other to the positive real axis

Example 9

$$L(s) = \frac{s + 18}{(s - 2)(s + 12)(s + 16)}$$

Remarks:

- There is one open-loop zero located on the left of all open-loop poles, one of which is located in the right half-plane.
- In the region close to the zero and the negative poles, the **Inverse RL** has a form similar to the **Direct RL** in Example 1.
- Both the **Direct RL** and the **Inverse RL** have two asymptotes
- Increasing $\varrho > 0$ makes the closed-loop system as. stable as shown by the **Direct RL**
- For any $\varrho < 0$ the **Inverse RL** shows that the closed-loop system is unstable



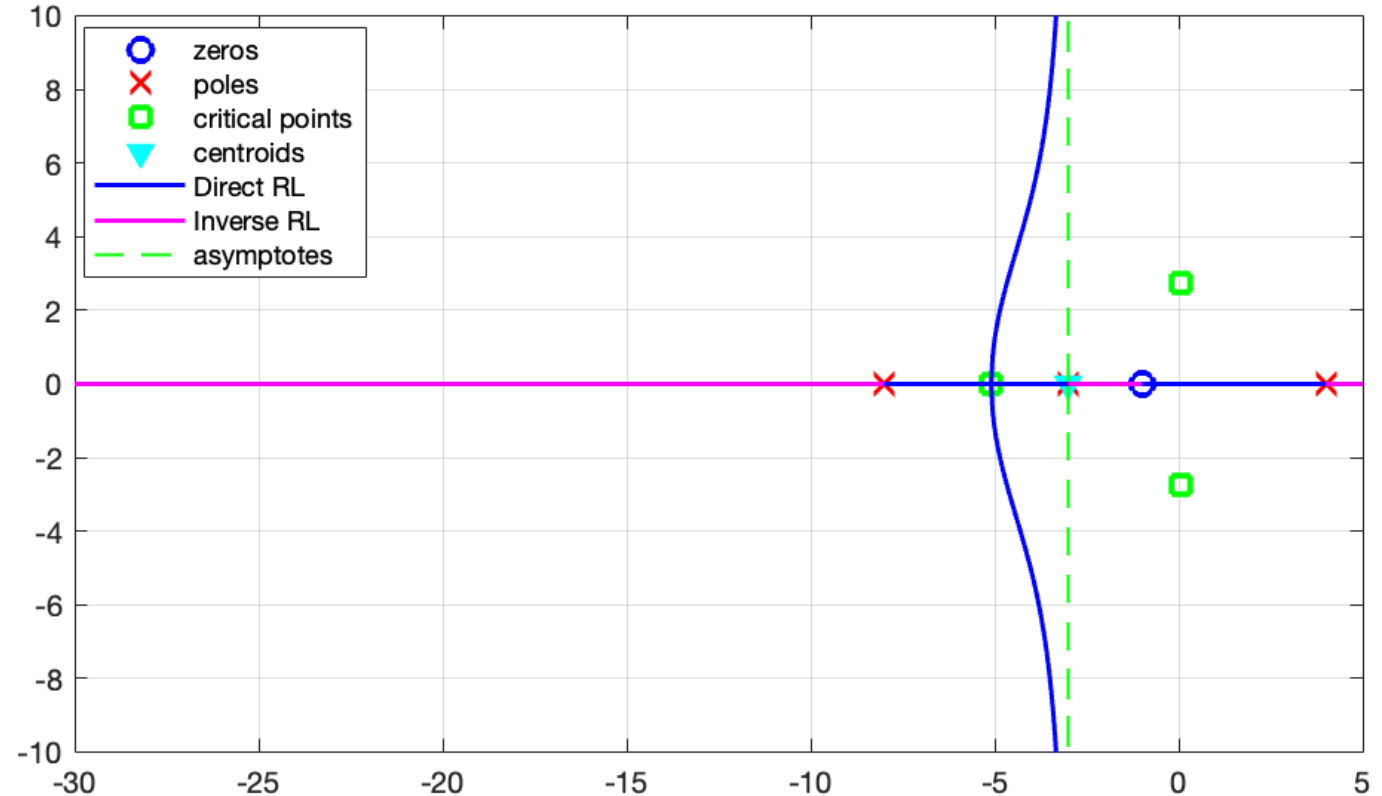
- One critical point belongs to the **Direct RL** and one to the **Inverse RL**
- The open-loop zero has a beneficial effect because its presence means having two asymptotes in the left half-plane

Example 10

$$L(s) = \frac{s + 1}{(s - 4)(s + 3)(s + 8)}$$

Remarks:

- There is one open-loop zero located on the right of the negative open-loop poles. There is one positive open-loop pole.
- Both the **Direct RL** and the **Inverse RL** have two asymptotes
- The zero "attracts" the centroid.
- Increasing $\rho > 0$ makes the closed-loop system as. stable as shown by the **Direct RL**
- For any $\rho < 0$ the **Inverse RL** shows that the closed-loop system is unstable



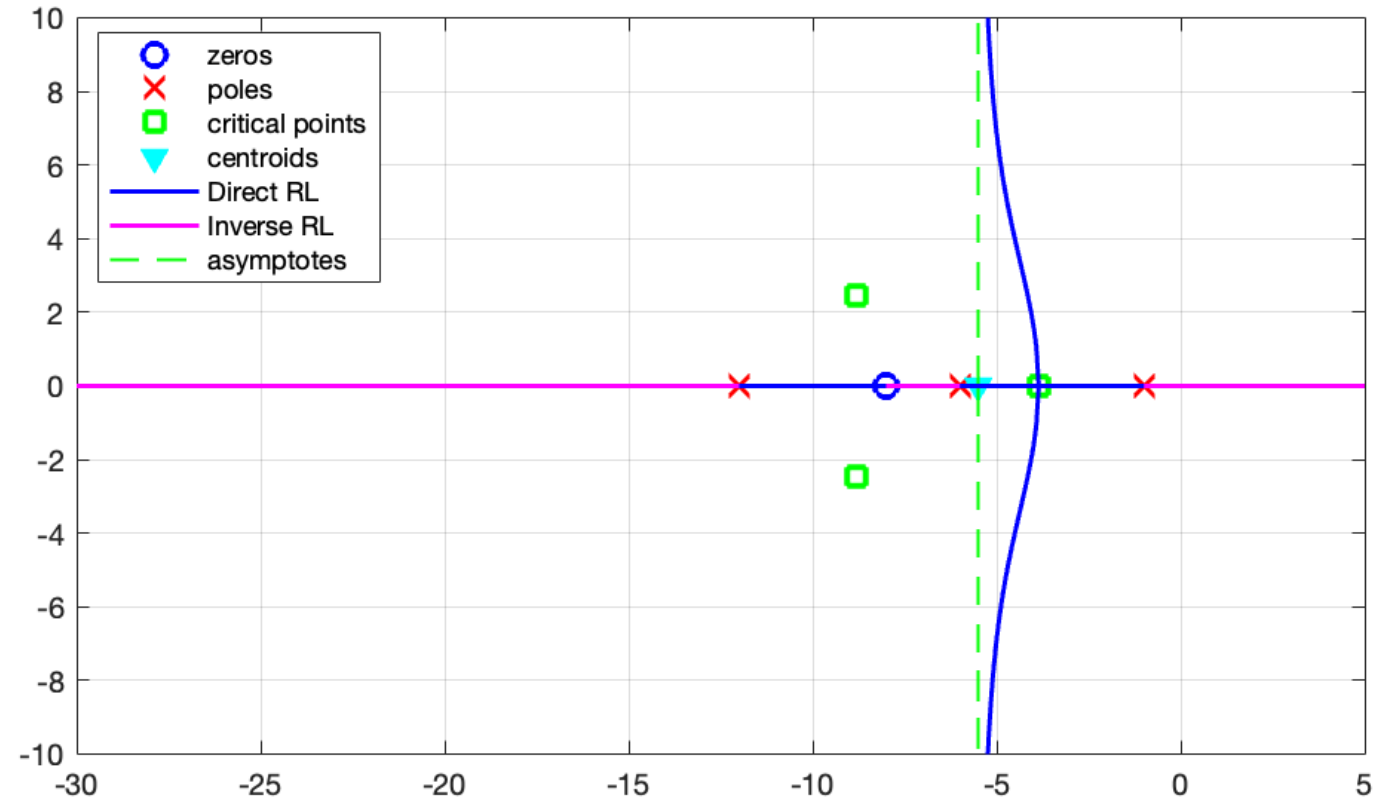
- One critical point belongs to the **Direct RL** but the other two do not belong to the **RL**

Example 11

$$L(s) = \frac{s + 8}{(s + 1)(s + 6)(s + 12)}$$

Remarks:

- All the open-loop zeros and poles are located in the left half-plane.
- Both the **Direct RL** and the **Inverse RL** have two asymptotes
- The zero "attracts" the centroid.
- For any $\varrho > 0$ the **Direct RL** shows that the closed-loop system is as stable
- Decreasing $\varrho < 0$ makes the closed-loop system unstable as shown by the **Inverse RL**
- One critical point belongs to the **Direct RL** but the other two do not belong to the **RL**

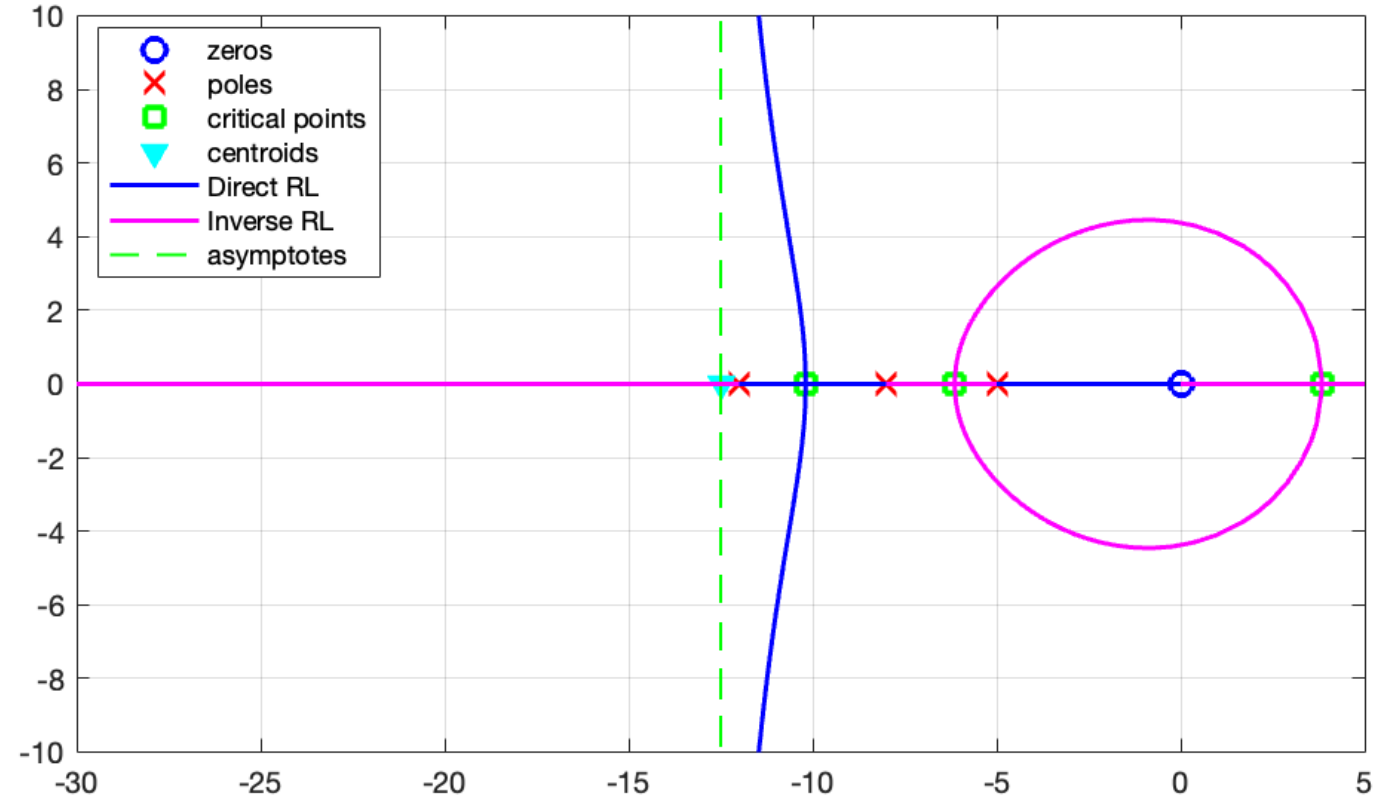


Example 12

$$L(s) = \frac{s}{(s + 5)(s + 8)(s + 12)}$$

Remarks:

- The open-loop zero is located on the imaginary axis and all open-loop poles are located in the left half-plane.
- Both the **Direct RL** and the **Inverse RL** have two asymptotes
- The zero does not "attract" the centroid in this case because the pole in -5 is too close
- For any $\varrho > 0$ the **Direct RL** shows that the closed-loop system is as. stable
- Decreasing $\varrho < 0$ makes the closed-loop system unstable as shown by the **Inverse RL**



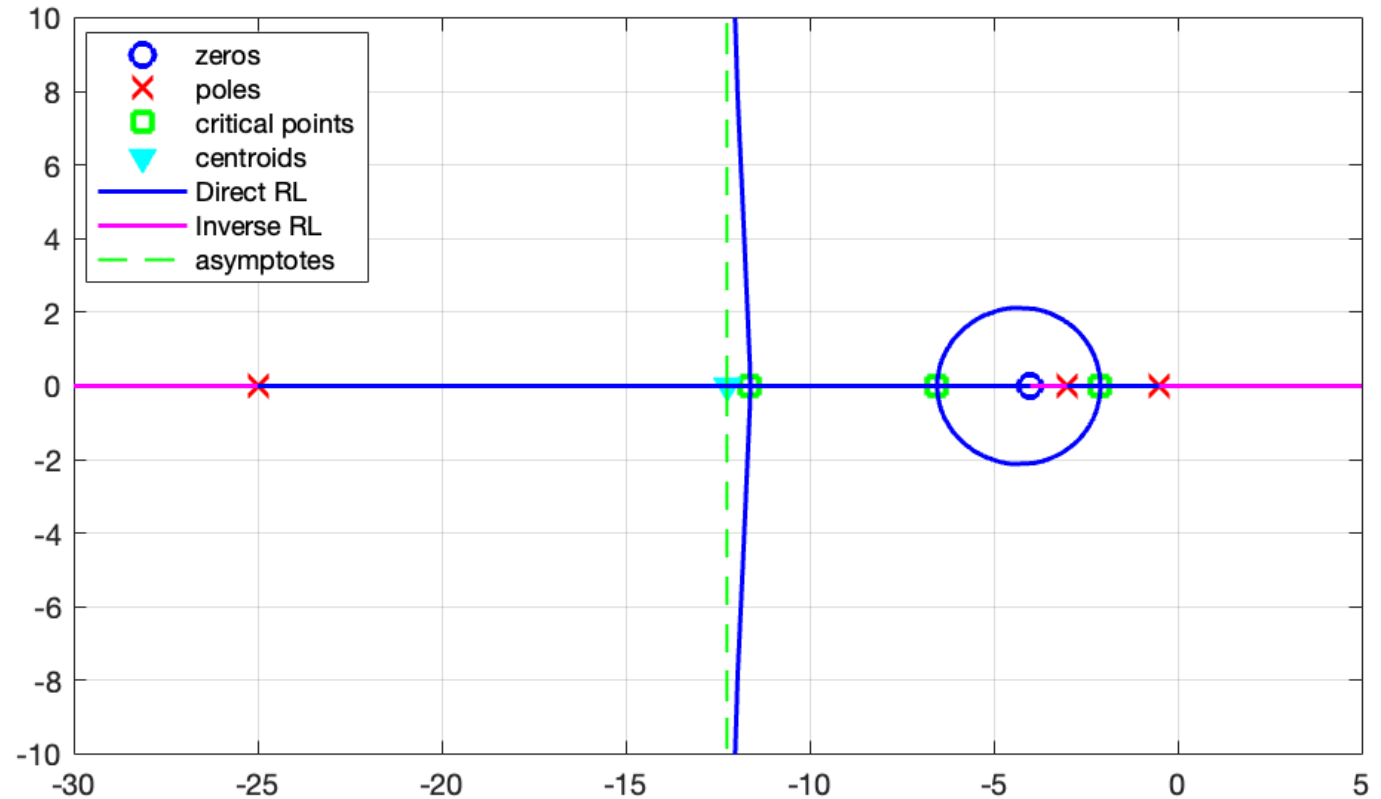
- One critical point belongs to the **Direct RL** and the other two belong to the **Inverse RL**

Example 13

$$L(s) = \frac{s + 4}{(s + 1/2)(s + 3)(s + 25)}$$

Remarks:

- All the open-loop zeros and poles are located in the left half-plane.
- The zero is very close with the pole in -3. Hence the zero does not "attract" the centroid.
- In the region of the zero and the two close negative poles, the form of the RL is similar to Example 1.
- Both the **Direct RL** and the **Inverse RL** have two asymptotes
- For any $\rho > 0$ the **Direct RL** shows that the closed-loop system is as. stable
- Decreasing $\rho < 0$ makes the closed-loop system unstable as shown by the **Inverse RL**



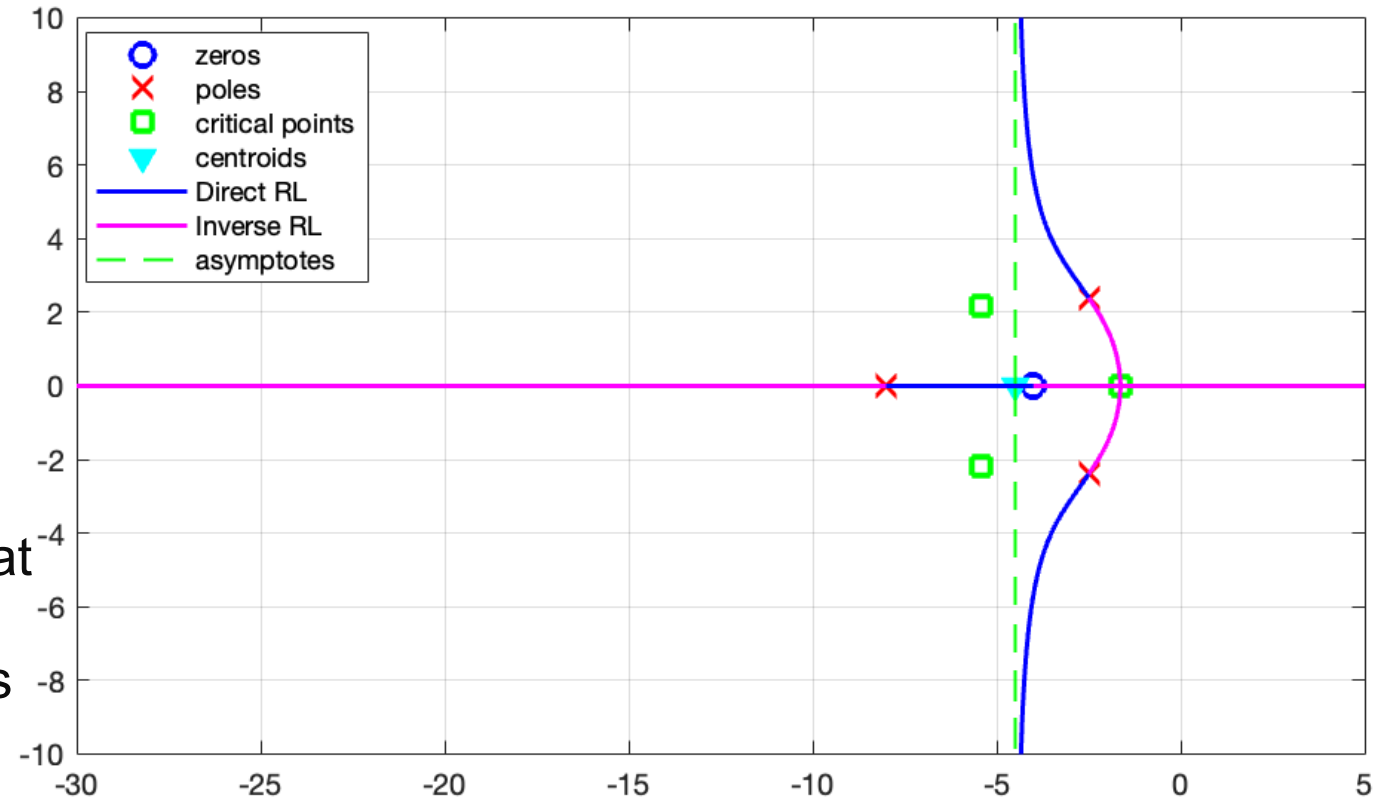
- All three critical points belong to the **Direct RL**

Example 14

$$L(s) = \frac{s + 4}{(s + 8)(s^2 + 5s + 12)}$$

Remarks:

- All the open-loop zeros and poles are located in the left half-plane.
- Compared to Example 6 (no open-loop zero), the form of the RL is modified by the zero.
- Both the **Direct RL** and the **Inverse RL** have two asymptotes
- For any $\rho > 0$ the **Direct RL** shows that the closed-loop system is as. stable. Compared with Example 6, the zero has a stabilising effect.
- Decreasing $\rho < 0$ makes the closed-loop system unstable as shown by the **Inverse RL**



- Only one critical point belongs to the RL